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## Optical flow estimation as distributed optimization problem - an aVLSI implementation

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## Abstract

I present a new focal-plane analog VLSI sensor that estimates optical flow in two visual dimensions. The chip significantly improves previous approaches both with respect to the applied model of optical flow estimation as well as the actual hardware implementation. Its distributed computational architecture consists of an array of locally connected motion units that collectively solve for the unique optimal optical flow estimate. The novel gradient-based motion model assumes visual motion to be *translational*, *smooth* and *biased*. The model guarantees that the estimation problem is computationally well-posed regardless of the visual input. Model parameters can be globally adjusted, leading to a rich output behavior. Varying the smoothness strength, for example, can provide a continuous spectrum of motion estimates, ranging from normal to global optical flow. Unlike approaches that rely on the explicit matching of brightness edges in space or time, the applied gradient-based model assures spatiotemporal continuity on visual information. The non-linear coupling of the individual motion units improves the resulting optical flow estimate because it reduces spatial smoothing across large velocity differences. Extended measurements of a 30x30 array prototype sensor under real-world conditions demonstrate the validity of the model and the robustness and functionality of the implementation.

index: visual motion perception, 2-D optical flow, constraint optimization, gradient descent, aVLSI, analog network, collective computation, neuromorphic, feedback, non-linear smoothing, non-linear bias

## 1 Motivation

The ability to estimate motion using visual information is important for any natural and artificial agent behaving in a dynamical visual environment. Knowing the relative motions between different objects as well as between objects and the agent is crucial for a cognitive perception of the environment and thus a requisite for intelligent behavior. However, the demand for real-time processing and the limited resources available on freely behaving agents impose severe constraints that require an *efficient* computational systems in terms of processing speed, energy consumption and its physical dimensions. These requirements strongly favor analog VLSI (aVLSI) implementations of highly parallel and distributed computational architectures. In particular, such implementations become appealing when image sensing and motion computation can be combined within a single sensor. Ideally, such a sensor consists of a topographically uniform array of identical processing units, each providing a local estimate of visual motion at its particular image location. In such

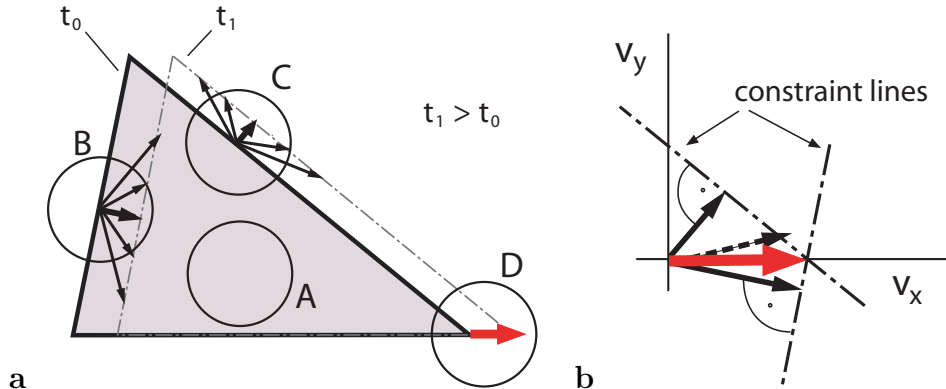


Figure 1: *The aperture problem.* (a) Translational image motion induces locally ambiguous visual motion percepts. (b) Vector averaging of the normal flow field (dashed arrow) does not lead to the correct global motion. Instead, only the intersection of the constraint lines provides the correct common object motion (bold solid arrow).

architecture, processing power scales with array size, thus keeping the processing speed independent of spatial image resolution. Local visual motion is usually represented by a vector field, referred to as *optical flow*. Analog VLSI circuits require significantly less power and silicon area than digital circuits for computational tasks of comparable complexity [1]. Furthermore, time-continuous analog processing matches the the continuous nature of visual motion information. Temporal aliasing artifacts do not occur while they, in contrast, can be a significant problem in clocked, sequential circuit implementations, in particular when limited to low frame-rates [2].

Visual information is – in general – ambiguous and does not allow a unique local interpretation of visual motion, a major reason being the aperture problem [3]. *A priori* assumptions are necessary in order to resolve the ambiguities. Such assumptions instantiate the *motion model* of the expected visual motion. Thus, the computational task and the resulting quality of the optical flow estimate can vary substantially depending on the complexity of the chosen model. Figure 1a illustrates the aperture problem for a simple motion scene under noise-free conditions. Observations through apertures showing zero-order (aperture A) or first-order spatiotemporal brightness patterns (apertures B and C) do not allow an unambiguous local estimate of the visual motion. The presence of a higher-order brightness pattern as *e.g.* the corner of the triangle in aperture D, would allow to uniquely determine the local visual motion without further assumptions, however requiring complex spatiotemporal filters to account for all possible patterns. Alternatively, instead of resolving complex patterns locally, visual information could be spatially integrated, combining the perception through multiple, sufficiently small apertures such that the spatiotemporal

pattern within each aperture can be well approximated to be maximally of first order. Combining the constraints imposed by the first-order patterns then ideally leads to the unique and correct estimate of object motion. It is important to realize, that the vector average of the *normal flow*, that is the optical flow being perpendicular to the first-order pattern orientation in each aperture, usually does **not** coincide with this collective constraint-solving estimate (see Figure 1b). The problem remains to decide how the constraints imposed by the observations at the different apertures are weighted, *i.e.* which apertures observe a common visual motion source and should be combined. Dynamical grouping processes have been suggested that assign varying ensembles of apertures to different image objects at any time, leading to an individual estimate of visual motion for each ensemble (object) [4, 5, 6, 7, 8]. Although the aVLSI implementation of an optical flow sensor with dynamical grouping process has been reported recently [9], such dynamic processes will not be discussed further in this paper. Just note, that spatial integration is a necessary requisite to solve for the correct object motion.

## 2 Review of aVLSI visual motion sensors

Most of the known aVLSI motion sensors estimate visual motion only along a given *single spatial orientation* which significantly simplifies the computational problem. They can be classified in methods performing *explicit matching in time-domain e.g.* [10, 11, 12, 13, 14], *gradient based methods e.g.* [15, 16] and *implicit matching or correlation based methods*, that follow insect vision *e.g.* [17, 18, 19, 20, 21, 22].

Only a few 2-D visual motion sensors have been reported. In the following, these approaches are briefly reviewed according to their applied motion models. A first class estimates the *direction of normal flow*. Higgins and colleagues [23] presented two focal-plane implementations that provide a quantized signal of the direction (8 directions) of normal flow. The two architectures are functional very similar: the occurrence of a brightness edge at a single image location is detected and compared with its re-appearance at neighboring locations. Deutschmann and Koch [24] proposed an approach to estimate the direction of normal flow by multiplying the spatial and temporal derivatives of the brightness distribution in the image. The limited linear range of the applied multiplier circuits impairs the correct directional estimate, leading to an increasing emphasis on diagonal visual motions for increasing stimulus contrasts. The output signal is monotonic in visual speed for a given stimulus contrast but substantially varies contrast.

Another class of implementation allows to estimate *direction and speed of normal flow*. Jiang and Wu [25] reported a correlation based approach to estimate normal flow. Motion is

reported if the time-of-travel of the extracted edges between neighbor motion units matches a pre-set delay time. Since the tuning is very narrow, the sensor is fairly limited and cannot report arbitrary visual velocities without being continuously re-tuned. More practical sensors were reported by Kramer *et.al.* [26], applying explicit matching in the time-domain. Two circuits are presented where the time-of-travel of an brightness edge in the image is measured either by eliciting a monotonic decaying function with arrival of an edge and sampling the functions value at the time the edge passes a neighboring pixel, or, by measuring the amount of overlap of two fixed-size pulses of neighboring pixels that each are triggered by the arrival of a brightness edge. A similar approach but different implementation was proposed by Etienne-Cummings *et.al* [27]. Where as in [26] temporal intensity changes are assumed to represent brightness edges, here, brightness edges are first extracted in the spatial domain before matching was performed in the time-domain. This has the advantage that also very slow speeds can accurately be detected.

None of the above approaches, however, perform spatial integration beyond averaging in order to solve the aperture problem. Tanner and Mead [28] reported a sensor with an array size of 8x8 pixels that provides two output voltages each representing the two components of the *global motion vector*. But, measured motion data was never explicitly shown and the sensor was reported to be fragile, even under well-controlled laboratory conditions. Nevertheless, it was the first hardware example of a collective computational approach to visual motion estimation. Subsequent attempts [29] failed to result in a more robust implementation. Only in a previous paper [30], we presented a first improvement that also allowed estimation of smooth optical flow besides a global motion estimate. The prototype implementation with a 7x7 array was functional although it was rather limited by its small linear output range. Another recent attempts [31] incorporated segmentation properties into our previous approach but were not able to demonstrate robust behavior under real-world conditions.

The 2-D optical flow sensor reported here represents a significant improvement and further development of all previous approaches, both in terms of the applied motion model as well as its aVLSI implementation. It is the first robust and fully functional sensor of its kind. My recently documented motion segmentation chip [9] shares an identical circuit design for its motion units.

### 3 Optical Flow Model

For analytical reasons, we define the **input** of the proposed model to be the spatiotemporal gradients  $E_x = \frac{\partial}{\partial x}E(x, y, t)$ ,  $E_y = \frac{\partial}{\partial y}E(x, y, t)$ , and  $E_t = \frac{\partial}{\partial t}E(x, y, t)$  of the brightness distri-

bution  $E(x, y, t)$  in the image. We will later discuss the extraction of these spatiotemporal gradients in the actual focal-plane implementation. The model’s **output** is the optical flow field  $\mathbf{v}(x, y, t) = (u(x, y, t), v(x, y, t))$  that represents the instantaneous estimate of visual motion. To increase readability, space and time dependence will not be explicitly expressed in subsequent annotations although it is implicitly always present.

The applied motion model assumes that the **brightness constancy constraint** [32] holds and that the optical flow varies **smoothly** in space. Following Horn and Schunck [33], these two constraints can be formulated as optimization problem for which the desired optical flow estimate is the optimal solution (see also [34]). However, it can easily be verified that using only these two constraints results in an **ill-posed** estimation problem for particular visual input patterns. Namely, when only zero and first order brightness patterns of equal orientation are present throughout the whole image. It is highly desirable for a physical system such as *e.g.* an aVLSI sensor that the computational function implemented is always well-posed. Otherwise, the system’s behavior is unpredictable, driven by noise and non-idealities of the implementation. Therefore, the so-called **bias constraint** is added, expressed as the cost function

$$B(\mathbf{v}) = (u - u_{ref})^2 + (v - v_{ref})^2, \quad (1)$$

which weakly biases the optical flow estimate to some predefined reference motion  $(u_{ref}, v_{ref})$ . The reference motion can be understood as the *a priori* expected motion in case the visual information content is unreliable or missing. In contrast to similar suggested formulations [35, 36, 37], the reference motion is not necessarily assumed to be zero. Much more, it could be adaptive to account for the statistics of its visual environment; the reference motion could *e.g.* represent the statistical mean of the experienced visual motion. However, such adaptation mechanisms will not be further discussed in this article.

Combining the model of Horn and Schunck with the additional bias constraint (1), we now formulate the following constraint optimization problem over all nodes  $i, j$  in a discrete, orthogonal  $n \times m$  image space: Given the input  $E_{x_{ij}}, E_{y_{ij}}$  and  $E_{t_{ij}}$  and a reference motion  $\mathbf{v}_{ref} = (u_{ref}, v_{ref})$ , find the optical flow field  $\mathbf{v}_{ij}$  such that the cost function

$$H(\mathbf{v}_{ij}; \rho, \sigma) = \sum_{i=1}^n \sum_{j=1}^m [(E_{x_{ij}}u_{ij} + E_{y_{ij}}v_{ij} + E_{t_{ij}})^2 + \rho((\Delta u_{ij})^2 + (\Delta v_{ij})^2) + \sigma((u_{ij} - u_{ref})^2 + (v_{ij} - v_{ref})^2)] \quad (2)$$

is minimal <sup>1</sup>. The positive parameters  $\sigma > 0$  and  $\rho \geq 0$  determine the relative influence of each constraint.

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<sup>1</sup> $(\Delta x_{ij})^2 = \left(\frac{x_{i-1,j} - x_{i+1,j}}{2h}\right)^2 + \left(\frac{x_{i,j+1} - x_{i,j-1}}{2h}\right)^2$ , where  $h$  is the grid constant

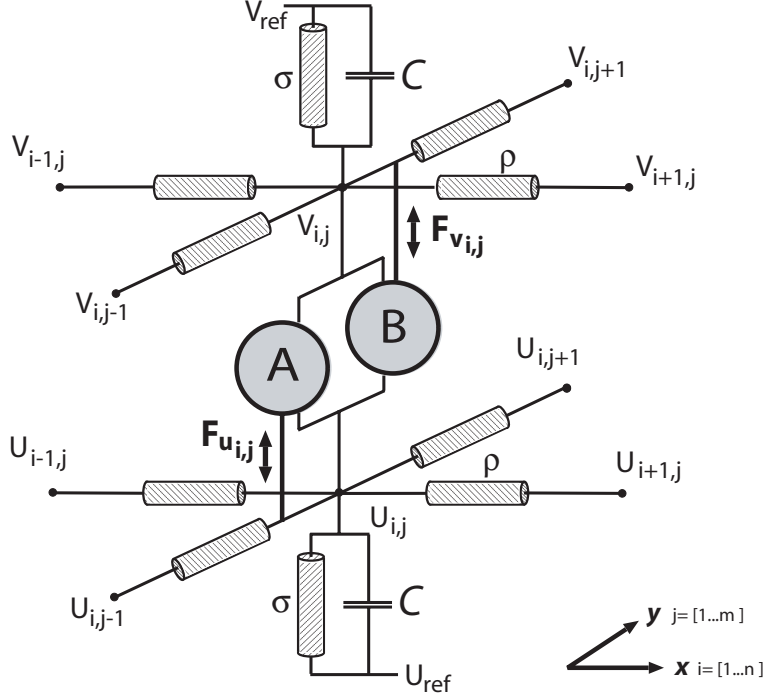


Figure 2: A single unit of the optical flow network.

## 4 Optical Flow Network

The optimization problem (2) is convex for any given input. Thus, a linear dynamical system that performs **gradient descent** on the cost function (2) is guaranteed to provide the optimal estimate once it reaches steady-state. Partial differentiation of (2) results in a system of  $2n \times m$  linear partial differential equations

$$\begin{aligned} \dot{u}_{ij} &= -\frac{1}{C} [E_{x_{ij}}(E_{x_{ij}}u_{ij} + E_{y_{ij}}v_{ij} + E_{t_{ij}}) - \rho(u_{i+1,j} + u_{i-1,j} + u_{i,j+1} + u_{i,j-1} - 4u_{ij}) + \sigma(u_{ij} - u_{ref})], \\ \dot{v}_{ij} &= -\frac{1}{C} [E_{y_{ij}}(E_{x_{ij}}u_{ij} + E_{y_{ij}}v_{ij} + E_{t_{ij}}) - \rho(v_{i+1,j} + v_{i-1,j} + v_{i,j+1} + v_{i,j-1} - 4v_{ij}) + \sigma(v_{ij} - v_{ref})] \end{aligned} \quad (3)$$

with  $C$  being a positive constant.

These first-order partial differential equations exactly describe the dynamics of two actively coupled resistive networks. Figure 2 illustrates a single unit of such coupled networks. Identifying the local estimate of optical flow with the voltages  $U_{ij}$ ,  $V_{ij}$ <sup>2</sup>, the terms in straight brackets (3) represent the sum of currents that charges up or down the capacitances  $C$  until equilibrium is reached. Each constraint of the cost function (2) has a physical counterpart: smoothness is enforced by the resistive networks with lateral conductances  $\rho$ . The bias

<sup>2</sup>with respect to some null potential  $U_0$  and  $V_0$  respectively.

constraint is implemented as the leak conductance  $\sigma$  to the reference motion represented by the potentials  $V_{ref}$  and  $U_{ref}$ .

Only the implementation of the brightness constancy constraint needs some active circuitry represented by the “constraint-boxes” [38]  $A$  and  $B$  that inject or sink the currents

$$F_{u_{i,j}} \propto -E_{x_{ij}}(E_{x_{ij}}u_{ij} + E_{y_{ij}}v_{ij} + E_{t_{ij}}) \quad \text{and} \quad F_{v_{i,j}} \propto -E_{y_{ij}}(E_{x_{ij}}u_{ij} + E_{y_{ij}}v_{ij} + E_{t_{ij}}), \quad (4)$$

respectively. These correction currents represent the violation of the constraint and are computed in a cross-coupled *feedback loops*. We exploit the natural dynamics of a physical system to solve a visual perception problem [39]: The solution of the optimization problem is represented by the steady-state of the analog electronic network. The system is assumed to be in steady-state at any time. This approximately holds if the time-constant of the network is negligible compared to the dynamics of the input. The appropriate control of the node capacitance  $C$  and the current levels in the implementation can ensure a close-to-optimal solution for reasonably slow input dynamics. Note, that the dynamics of the network strongly depend on the spatiotemporal energy of the visual input.

The characteristics of the model and therefore the computational behavior of the optical flow network are determined by the relative weight of the three constraints, determined by the lateral and vertical network conductances  $\rho$  and  $\sigma$ , respectively. According to the strength of these conductances, the network accounts for different models of visual motion estimation such as normal flow, smooth optical flow or global flow. The presented optical flow sensor allows to globally adjust  $\rho$  and  $\sigma$  which is certainly one of its advantages.

## 5 Circuit Architecture and Implementation

The complete circuit schematics of a single motion unit of the optical flow sensor is shown in Figure 3. Since the input (spatiotemporal intensity gradients) and the output (the components of the local optical flow vector) can take on positive and negative values, a differential encoding of the variables is applied consistently throughout the circuit: The variables are encoded as the difference of two voltages (or currents). However, referencing the voltages  $U_+$  and  $V_+$  to a fixed null potential  $V_0$  substantially reduces the implementation complexity by requiring only two single line resistive networks. Note, that the reference motion for the bias constraint is chosen to be zero, thus  $U_{ref}, V_{ref} = V_0$ .

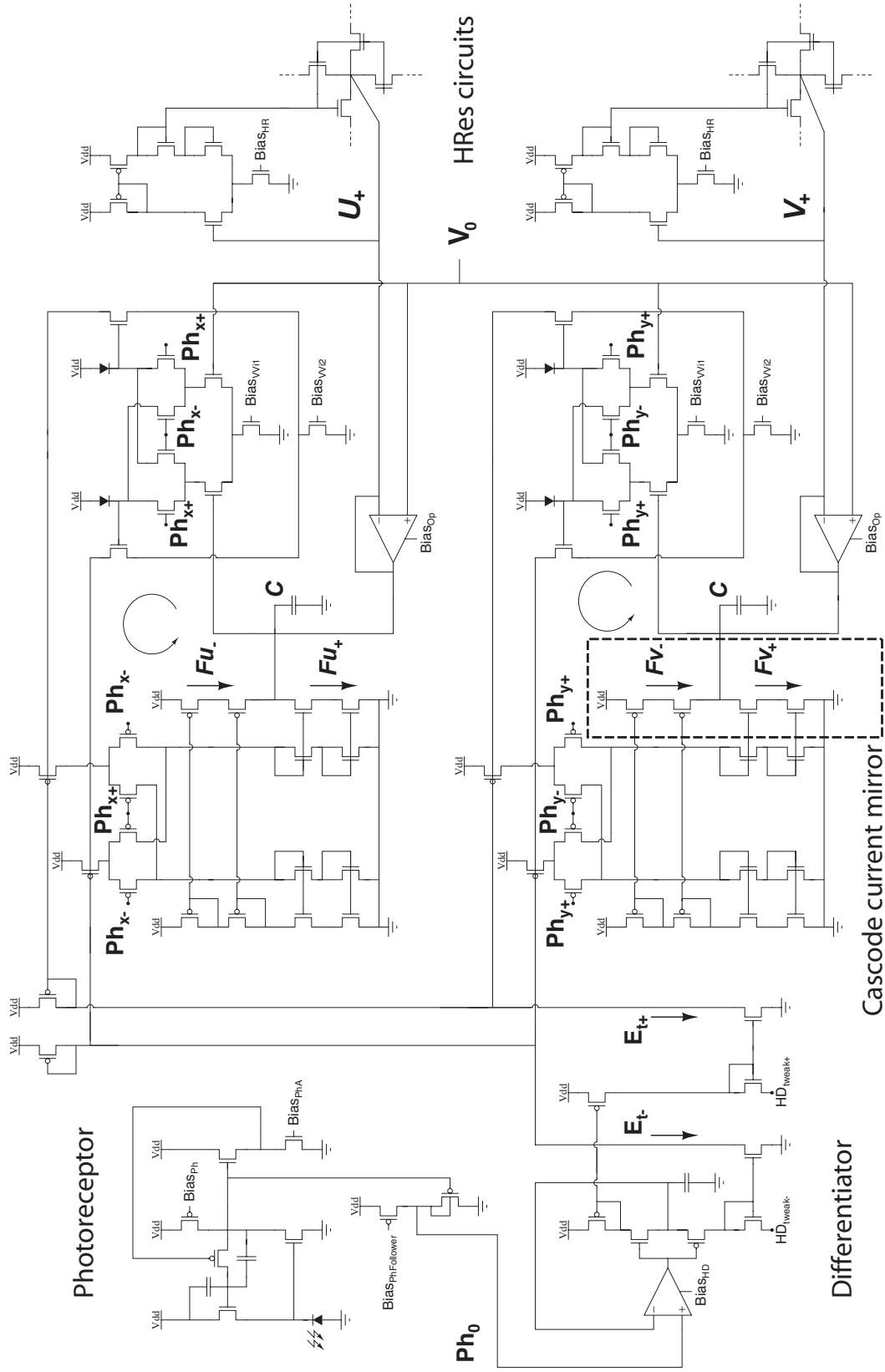


Figure 3: Schematics of a single motion unit.

## 5.1 Extraction of the brightness gradients

The circuit schematics contains all building blocks of a single unit. At a first processing stage, the spatiotemporal brightness gradients have to be estimated. Each pixel includes a logarithmic, adaptive photoreceptor [40] with adjustable adaptation rate [41].

The temporal gradient is extracted by a hysteretic differentiator circuit [42] providing the currents  $E_{t-}$ ,  $E_{t+}$  that represent the rectified temporal derivative. The adjustable source potentials  $HD_{\text{tweak+}}$ ,  $HD_{\text{tweak-}}$  allow to control the output current gain of the differentiator.

The spatial derivatives  $E_x$  and  $E_y$  are estimated as first-order approximation of the brightness gradients, thus the central difference value of the photoreceptor output of nearest neighbors ( $Ph_{x+} - Ph_{x-}$ ) and ( $Ph_{y+} - Ph_{y-}$ ) respectively (see schematics in Figure 3). While continuous-time temporal differentiation avoids any temporal sampling artifacts, *discrete spatial sampling* of the image brightness significantly affects the visual motion estimate.

Let us consider a simplified, one-dimensional arrangement as depicted in Figure 4a, where a sine-wave grating moving with a fixed velocity  $\mathbf{v}$  is presented. We describe the brightness distribution in the focal-plane as  $E(x, t) = \sin(kx - \omega t)$  with  $x, t \in \mathbb{R}$ , where  $\omega = kv$  and  $k$  is the spatial frequency of the projected stimulus. First order approximation of the spatial gradient at location  $x_i$  thereby reduces to the difference operator  $\Delta x_i = (x_{i+1} - x_{i-1}) / 2d$ , where  $d$  is the sampling distance (pixel size). Assuming a non-zero sampling size  $D$  (photodiode), the discrete spatial gradient becomes

$$\begin{aligned} E_x(x_i, t) &= \frac{1}{2d} \left( \frac{1}{D} \int_{x_i+d-D/2}^{x_i+d+D/2} \sin(k(\xi - vt)) \, d\xi - \frac{1}{D} \int_{x_i-d-D/2}^{x_i-d+D/2} \sin(k(\xi - vt)) \, d\xi \right) \\ &= \frac{2}{kDd} \sin(kd) \sin(kD/2) \cos(k(x_i - vt)). \end{aligned} \quad (5)$$

Similarly, the temporal gradient at location  $x_i$  is found to be

$$E_t(x_i, t) = \frac{\partial}{\partial t} \frac{1}{D} \int_{x_i-D/2}^{x_i+D/2} \sin(k(\xi - vt)) \, d\xi = -\frac{2v}{D} (\cos(k(x_i - vt)) \cdot \sin(kD/2)). \quad (6)$$

According to (3), the response of a single unit in equilibrium with no lateral coupling ( $\rho = 0$ ) is expected to approximate normal flow and reduces for one visual dimension to

$$V_{out}(x_i, t) = -\frac{E_t(x_i, t)E_x(x_i, t) + V_{ref}\sigma}{\sigma + E_x(x_i, t)^2} \quad (7)$$

Substitution of (6) and (5) into (7) and assuming  $V_{ref} = 0$ , we find

$$V_{out}(x_i, t) = v \frac{kd}{\sin(kd)} \cdot \frac{\cos(kx_i - \omega t)^2}{\gamma + \cos(kx_i - \omega t)^2} \quad \text{with} \quad \gamma = \sigma \frac{k^2 d^2 D^2}{4 \sin^2(kd) \sin^2(kD/2)}. \quad (8)$$

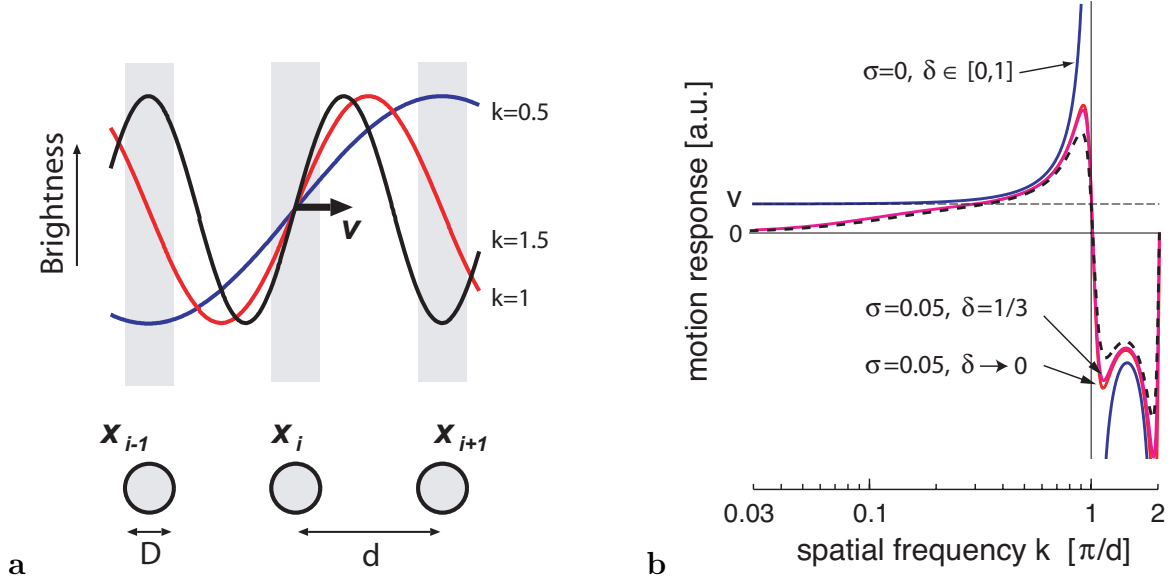


Figure 4: *Spatial sampling and its effect on the motion response.* (a) Spatial sampling of sinusoidal brightness patterns of different spatial frequencies  $k$  (given in units of the Nyquist frequency  $[\pi/d]$ ) in one visual dimension. (b) The expected response of the optical flow sensor according to (7) as a function of the spatial frequency  $k$  of a sinewave stimulus moving with velocity  $v$ . The dashed curve is the time-averaged response ( $\sigma = 0.05$ ,  $\delta = 1/3$ ).

We now can predict the motion response of a single isolated unit as a function of spatial frequency of the stimulus for different weights of the bias constraint  $\sigma$  as well as different fill-factors  $\delta = D/d$ . Figure 4b shows the predicted peak output response for different parameter values as a function of the spatial stimulus frequency  $k$ , given in units of the Nyquist frequency  $[\pi/d]$ . Note that the response strongly depends on  $k$ . Only for low spatial frequencies, the motion output well approximates the correct velocity. For very low frequencies, the local stimulus contrast diminishes and the non-zero  $\sigma$  biases the output response toward the reference motion  $V_0 = 0$ . As the spatial frequency approaches the Nyquist frequency, the response increases to unrealistically high values and changes sign at  $k \in \mathbb{Z}^+[\pi/d]$ . Increasing the fill factor reduces the effect, although only marginally for reasonable values of  $\delta$  and small  $\sigma$ . Clearly, if the bias constraint is not imposed, thus  $\sigma = 0$ , Equation (8) reduces to

$$V_{out} = v \frac{kd}{\sin(kd)} \quad (9)$$

The computation is ill-posed at spatial frequencies  $k \in \mathbb{Z}^+$  and the motion response approaches  $\pm\infty$  close to their vicinity. Two things are remarkable: Firstly,  $D$  drops out in

the equation which means that the spatial low-pass filtering of the photodiode only affects the motion estimate if the bias constraint is imposed. Secondly, the output (9) does not depend on space nor time. For  $\sigma > 0$ , however,  $\gamma$  is always non-zero and the motion response becomes **phase-dependent** due to the remaining frequency terms in (8). As a consequence, the time-averaged motion response over the duration of a complete stimulus cycle is always less than the peak response as shown in Figure 4b. Phase-dependence is a direct consequence of the bias constraint. However, spatial integration of visual information due to collective computation in the optical flow network allows to partially overcome the phase-dependent response of a single unit.

Note, that the first-order difference approximation of the spatial brightness gradients in two visual dimensions is not rotationally invariant. Unfortunately, more elaborate gradient approximations [43] are not feasible for a compact focal-plane implementation.

## 5.2 Wide-linear range multiplier

The design of the multiplier circuit needed to compute  $E_x u$  and  $E_y v$  respectively, is crucial for a successful aVLSI implementation of the optical flow network. Being part of the recurrent feedback loops that generate the error-correction signals  $F_u$  and  $F_v$ , the demands on the multiplier circuit are high. It has to operate in four quadrants, providing a wide linear output-range with respect to each multiplicand. Offsets should be minimal because they directly impose offsets in the optical flow estimate. Furthermore, the design needs to be compact in order to allow a small pixel size. The original Gilbert multiplier [44] meets these requirements fairly well, with the exception of its small linear range when operated in sub-threshold. In above-threshold operation, however, its linear range is significantly increased due to a transconductance change of the individual differential pairs [45].

The multiplier circuit proposed here, shown in detail in Figure 5a, embeds a Gilbert multiplier in an additional outer differential pair. The idea is to operate the Gilbert multiplier above-threshold to increase the linear range but in addition, to rescale the output currents to sub-threshold level such that the current levels in the feedback loop match. The scaling is approximately linear, thus

$$I_{out} = I_{out+} - I_{out-} \approx \frac{I_{b2}}{I_{b1}} I_{out}^{core}, \quad (10)$$

if  $\kappa n \approx 1$ , where  $1/n$  is the ideality factor<sup>3</sup> of the diodes and  $\kappa$  is the slope factor of the nFETs in the embedding differential pair. Using the base-emitter junction of bipolar transistor with base and collector connected to a common reference potential ensures an

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<sup>3</sup>Shockley equation:  $I_{diode} = I_0(\exp(\frac{1}{n}V_d \frac{q}{kT}) - 1)$ .

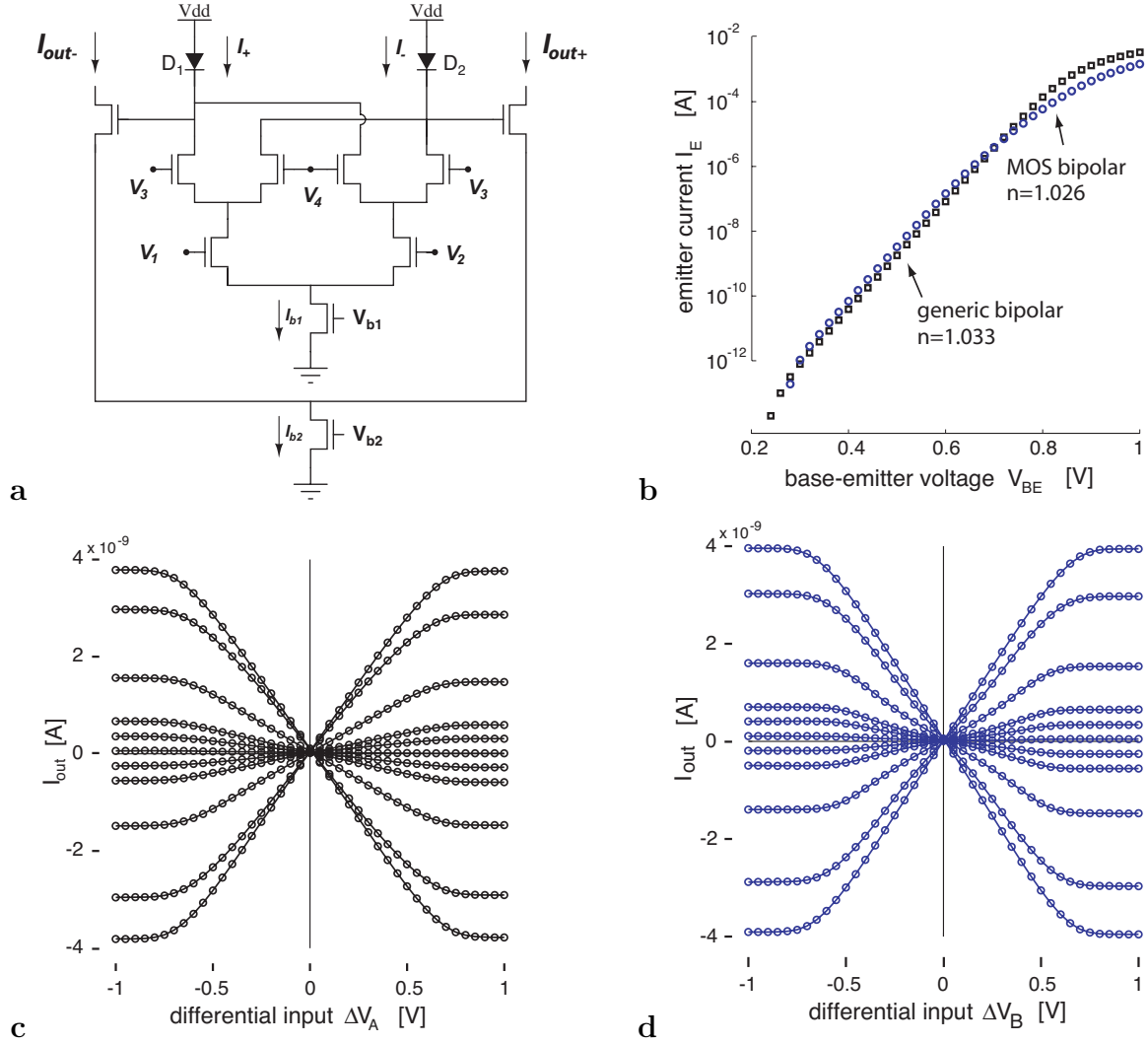


Figure 5: *Wide linear-range multiplier*. (a) Circuit schematics. (b) The measured emitter currents for a native npn-bipolar transistor and the vertical pnp-bipolar of a pFET. (c) Measured output currents of the wide linear-range multiplier as a function of the applied input voltage at the lower differential pair  $\Delta V_A = V_2 - V_1$ . Each curve represents the output current for a fixed differential voltage  $\Delta V_B = V_4 - V_3 = [0, 0.05, 0.1, 0.15, 0.2, 0.3, 0.4, 0.5, 0.75 \text{ V}]$ . (d) Same as c but now sweeping the upper differential pair input  $\Delta V_B$ .

ideal ( $n = 1$ ) behavior [46] which has been verified by the measurements shown in Figure 5b. Also, the voltage drop across the diodes is such that the gate voltage of the outer nFETs are typically within one volt below  $V_{dd}$ , meaning that their gate-bulk potentials are large. At such levels,  $\kappa$  asymptotically approaches unity because the capacitance of the depletion layer becomes negligibly small compared to the gate-oxide capacitance. Thus,  $\kappa n \approx 1$  and

we can safely assume a linear scaling of the multiplier output current.

Base-emitter junctions can be exploited either using native bipolar transistors in a genuine BiCMOS process or the vertical bipolar transistors in standard CMOS technology. In practice, however, it is necessary to use a native BiCMOS process in order to implement the multiplier circuit correctly: Figure 5b displays the measured base-emitter voltages  $V_{BE}$  as a function of the applied emitter current  $I_E$  for both, a vertical pnp-bipolar transistor in a typical p-substrate CMOS process and a native npn-bipolar transistor in a genuine BiCMOS process. At current levels above  $1 \mu\text{A}$ , however, the vertical bipolar starts to deviate significantly from the desired exponential characteristics due to high-level injection caused by the relative light doping of the base (well) [46]. This occurs already at a current level that is significantly below the range where the multiplier core is preferably operated at. The exponential regime of the native bipolar, however, extends up to  $0.1 \text{ mA}$ . Although a CMOS implementation of the diodes is desirable to avoid the more complex and expensive BiCMOS process, it would severely impair the linear scaling (10).

Figure 5c and d show the measured output of the multiplier for sweeping either of the two input voltages. For the applied bias voltages, the linear range is approximately  $\pm 0.5 \text{ V}$  and slightly smaller for the upper differential input. Note, that the measurements were obtained from a test circuit with identical layout to the one within each pixel. Offsets are small. The circuit is compact and allows to control the linear range and the output-current level independently by the two bias voltages  $V_{b1}$  and  $V_{b2}$ . The disadvantages are the increased power consumption caused by the above-threshold operation and the need for BiCMOS technology.

### 5.3 Output conductance of the feedback-loop

Consider the current equilibrium at one of the capacitive nodes in Figure 2. In steady state, we assume all currents onto this node to represent the deviation to the different constraints according to (3). To be completely true, this would require the feedback current to be generated by ideal current sources  $A$  and  $B$ . However, the small but present output conductance of the feedback node causes some extra current that shifts the current equilibrium. This output conductance can be understood as imposing a *second bias constraint* on the estimation problem. It biases the capacitive node to a reference voltage that is intrinsic and depends on various parameters like the strength of the feedback current or the Early voltages of the transistors. In general, this reference voltage is not identical with  $V_0$ . Thus, the superposition of the two bias currents has an asymmetric influence on the final motion estimate. Since the total correction currents are typically weak, the effect is significant.

The aim, therefore, is to reduce the output conductances of the feedback loop as much

as possible. The applied cascode current mirror circuit reveals a substantial decrease in output conductance compared with a simple current mirror used in previous related implementations [28, 29, 30]. Neglecting any junction leakages in drain and source, we find the total output conductance at the individual capacitive nodes to be

$$g_o = g_{oN} + g_{oP} = \frac{F_+ \cdot U_T}{V_{E,N1} V_{E,N2}} + \frac{F_- \cdot U_T}{V_{E,P1} V_{E,P2}}, \quad (11)$$

where  $F_+ - F_-$  is the total feedback current,  $U_T$  the thermal voltage and  $V_{E,X}$  the Early voltages of the different transistors in the cascode current mirror (see box in Figure 3).

## 5.4 Effect of Non-Linearities

Gradient descent on the cost function (2) defines a system of coupled, but linear partial differential equations. However, a linear translation into silicon is hardly possible. In the following, some important non-linearities of the implementation and their effect on the optical flow estimate are discussed.

### 5.4.1 Saturation in the feedback-loop

The first important non-linearity is caused by the saturation of the multiplier circuit in the feedback loop. As shown in Figure 5, the output current saturates for larger input voltages. What does this mean in terms of the expected motion output of a single motion unit?

For the sake of illustration, we once more assume a one-dimensional array of the optical flow network. Furthermore, we disable all lateral connections and neglect the bias constraint ( $\rho = 0, \sigma = 0$ ). Then, for given non-zero brightness gradients, the circuit ideally satisfies the brightness constancy constraint

$$E_x u + E_t = 0. \quad (12)$$

Now, assume that the multiplication  $E_x u$  is replaced by  $f(u)|_{E_x}$  with  $E_x$  constant, where  $f$  describes the saturating output characteristics of the proposed multiplier circuit. For reasons of simplicity we assume the output of the multiplier core  $I_{out}^{core}$  to follow a simple sigmoidal function  $\tanh(u)$  which is only qualitatively correct [45]. We can rewrite the multiplier output (10) as  $f(u)|_{E_x} = c_{E_x} I_{b2}/I_{b1} \tanh(u)$ , where the constant  $c_{E_x}$  is proportional to a given  $E_x$ . Since  $f(u)$  is one to one, we can solve (12) for the motion response and find

$$u = f^{-1}(-E_t) = -\operatorname{artanh}\left(\frac{I_{b1}}{I_{b2}} E_t c_{E_x}^{-1}\right). \quad (13)$$

Figure 6 illustrates the expected motion response according to different values of the bias current  $I_{b2}$ . We see that the motion output over-estimates the stimulus velocity the more

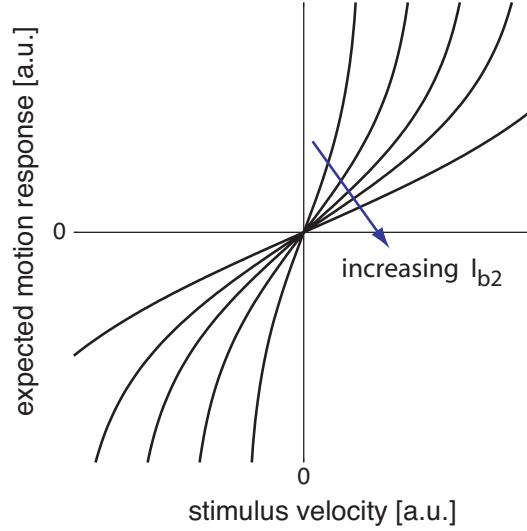


Figure 6: *Expected motion response due to saturation of the multiplier circuit.* The figure qualitatively shows the expected speed tuning curves according to (13). The different curves correspond to different values of the bias current  $I_{b2}$ .

the multiplier circuit saturates and finally would end up at the voltage rails. The more the output of the multiplier circuit is below the true multiplication result, the more the feedback loop over-estimates  $u$  in order to make the equilibrium (12) hold. Considering the correct characteristics of the real multiplier circuit, the response will differ from Figure 6 insofar as the linear range is increased and the saturation transition is sharper and more pronounced. Nevertheless, Figure 6 illustrates the qualitative response behavior nicely. Increasing  $I_{b2}$  decreases the slope of the motion response. Thus, the bias current of the outer differential pair acts as **gain control** that allows to match the limited linear output range to the expected maximal motion range.

#### 5.4.2 Non-linear bias conductance $\sigma$

The quadratic measure of the bias constraint in the cost function (2) results in a constant conductance  $\sigma$  and therefore an ohmic bias current. Sufficiently low and compact ohmic conductances, however, are difficult to implement in CMOS technology. Instead, the *bias constraint* is imposed by the transconductance  $g_m$  of a simple amplifier circuit (see Figure 3). Its characteristics are ideally given as

$$I(\Delta V) = I_b \tanh\left(\frac{\kappa}{2U_T}\Delta V\right), \quad (14)$$

with  $I_b$  being the bias current controlled by the voltage  $\text{Bias}_{OP}$ ,  $U_T$  the thermal voltage and  $\kappa$  the common slope factor. Figure 7a illustrates the output of a saturating resistor according to (14); the transconductance  $g_m = \frac{I_b \kappa}{2U_T}$  characterizes the small ohmic regime and the bias current  $I_b$  determines the saturation current level of the circuit.

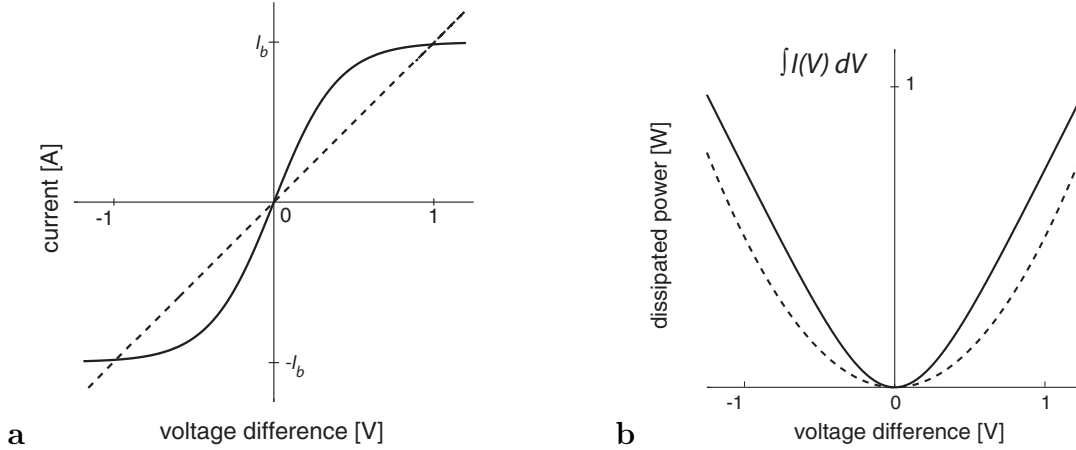


Figure 7: *Ohmic versus saturating resistances.* (a) The characteristic curves for an ohmic (dashed line) and a saturating resistance. (b) The electrical co-contents (integrals).

Changing the resistance from a linear to a saturating behavior changes the bias constraint (1) from a quadratic toward an absolute-value function (Figure 7b), precisely given as the electrical co-content. From a computational point of view, this implies that the optical flow estimate is no longer penalized proportionally to the size of its components. Rather, beyond the small ohmic regime, a *constant* bias current is applied. Therefore, the bias constraint has a *subtractive* rather than a *divisive* effect on the optical flow estimate. As discussed earlier, it seems reasonable to strengthen the bias constraint locally according to the degree of ambiguity. Only, the degree of ambiguity is not at all reflected by the amplitude of the motion estimate which is the only information available for the bias conductance. Thus, it is most sensible to penalize the motion estimate *independently* of its size, which actually means to apply a constant bias current. As we will see later, the *non-linear* bias conductance significantly improves the output behavior of the optical flow sensor for low contrast and low spatial frequency stimuli compared to a quadratic formulation of the bias constraint as proposed by [37, 35]. Global asymptotic stability of the optical flow network is ensured since the cost function remains convex [45].

### 5.4.3 Non-linear smoothing of the optical flow

The smoothness constraint is enforced by HRes circuits [42] that have an equivalent characteristics as the transconductance amplifier (14) shown in Figure 7. The saturation current level is controlled by the bias voltage  $\text{Bias}_{HR}$ . As a consequence, the squared differences of the optical flow components in the cost function (2) have to be replaced by the total dissipated power, thus *e.g.*

$$(\Delta x_{ij})^2 \Rightarrow \int_0^{\Delta x_{ij}^x} I(V) dV + \int_0^{\Delta x_{ij}^y} I(V) dV = \log(\cosh(\Delta x_{ij}^x)) + \log(\cosh(\Delta x_{ij}^y)). \quad (15)$$

As the bias constraint, the smoothness constraint turns from a quadratic (for small voltage differences) to an absolute-value cost function. Saturating resistors privilege smoothing across small voltage differences, thus between image locations that show only little differences in the components of their optical flow vectors. If the difference becomes too big, the smoothing conductance is continuously reduced in proportion to the local gradient of the optical flow components. Consequently, smoothing is reduced at boundaries of different motion sources where the optical flow is likely to differ. This *improves* the optical flow estimate compared to applying a linear smoothing conductance. Because the non-linear smoothing is independent in each component of the optical flow estimate, the smoothing is not rotationally invariant but rather slightly increased for visual motion along the diagonal of the intrinsic coordinate frame. In contrast to line-processes, *e.g.* resistive fuses circuits [47], the above non-linear smoothing conductances preserve the convexity of the cost function (2), thus ensures global asymptotical stability.

## 6 Sensor Measurements

In the following, we will present and discuss measurements gathered of two implementations of the proposed sensor, both sharing an identical pixel design and only differing in their array size. Characterization of the sensors are exclusively based on measurements form the smaller array while the flow fields shown in the end are recorded from the larger array. Specifications are as indicated in Table 1.

For all measurements, the stimuli were directly projected onto the sensor via an optical lens system. They were either generated electronically and displayed on a computer screen, or were physical moving objects. The measured on-chip irradiance during all experiments was within one order of magnitude with a minimal value of 9 mW/m<sup>2</sup> for low contrast computer screen displays. At such low values, the rise time of the photoreceptor circuit is

Technology	AMS 0.8 $\mu\text{m}$ BiCMOS, 2M, 2P
Supply voltage	5 V
Die size(s)	2.55x2.55 mm <sup>2</sup> / 4.72x4.5 mm <sup>2</sup>
Array size(s) (motion units)	10x10 / 30x30
Pixel size	124x124 $\mu\text{m}^2$
Active elements	119
Power dissipation	52 $\mu\text{W}$
Outputs (scanned)	optical flow, photoreceptor

Table 1: Sensor specifications.

in the order of a few milliseconds [48]. This is sufficiently fast with respect to the applied stimulus speed in those particular measurements. Unless indicated differently, the bias voltages were all sub-threshold. The shown motion output signals are always referenced to the null-potential  $V_0$ .

## 6.1 Response characteristics

Moving sinewave and squarewave gratings were applied to characterize the motion response for varying speed, contrast and spatial frequency of the gratings. Furthermore, the orientation tuning of the optical flow sensor and its ability to report the intersection-of-constraints estimate of visual motion are tested. The presented data in this section constitute the *global* motion signal, thus the unique, collectively computed solution provided by all units of the optical flow sensor. Unless indicated differently, each data point shown in the following figures represents the mean value of 20 single measurements, each being the output voltage time-averaged over one stimulus cycle

### 6.1.1 Speed tuning

Figure 8a shows the speed-tuning of the optical flow sensor to a moving sinewave and a squarewave grating. Negative speed values indicate motion in the opposite direction. The output is almost identical for both visual stimuli. It clearly shows the qualitatively predicted behavior due to the saturation of the multipliers in the feedback loop (compare to Figure 6). The tuning is linear in a range of approximately  $\pm 0.5$  V as indicated by the dash-dotted lines for above-threshold operation of the multiplier core ( $\text{Bias}_{V_{VI}} = 1.1$  V). Beyond the linear range, the output quickly increases/decreases and finally hits the voltage rails on either side. In contrast to our previous qualitative analysis (13), the measured responses

reveal a pronounced linear-range and a sharper transition to the super-linear behavior.

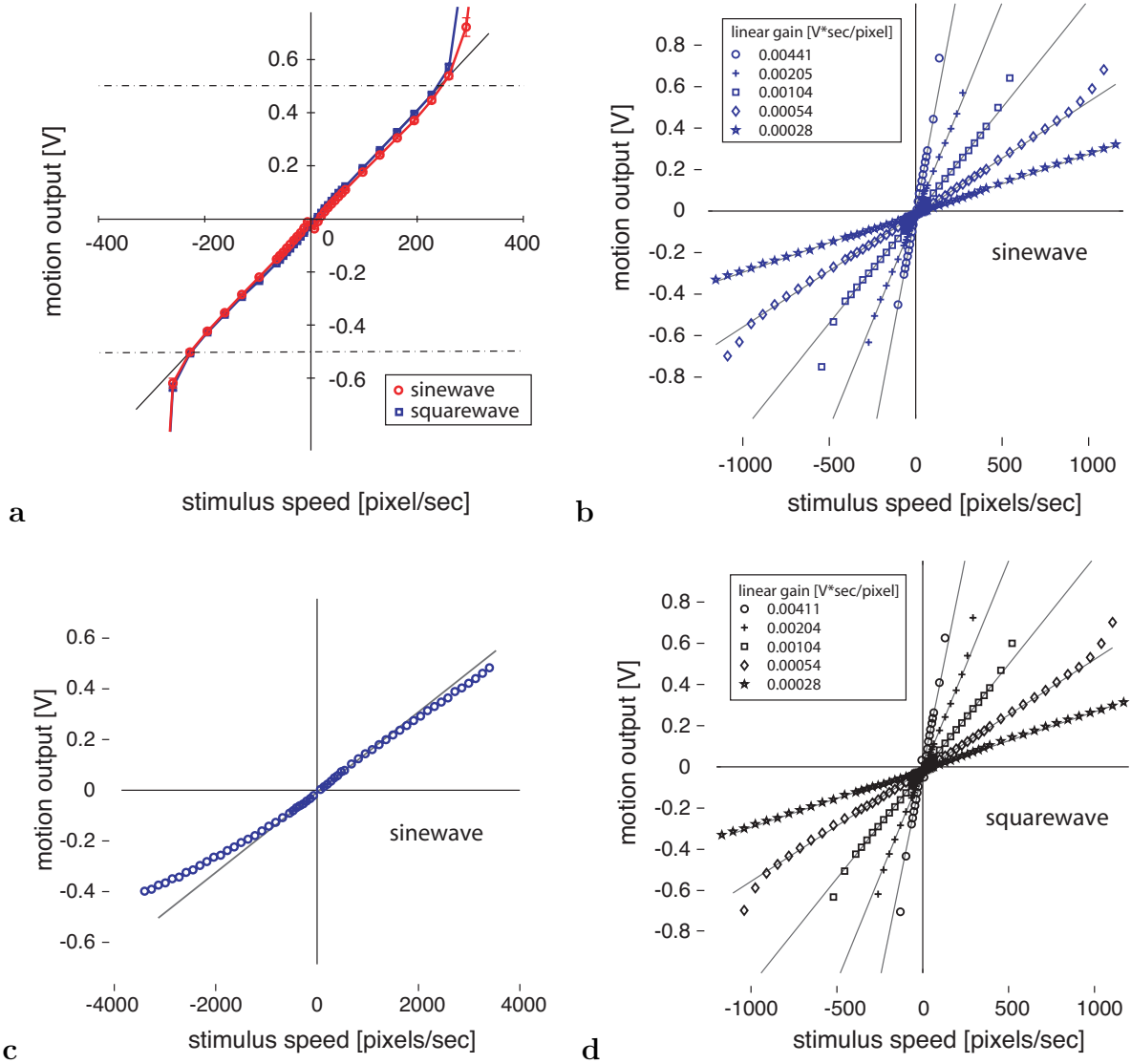


Figure 8: *Speed tuning of the optical flow sensor.* (a) Measured time-averaged speed tuning of the optical flow sensor to a moving sinewave and squarewave grating (spatial frequency 0.08 cycles/pixel, contrast 80%). (b) Speed tuning for a moving sinewave grating for different values of the multiplier bias voltage ( $\text{Bias}_{V_{I2}} = [0.5, 0.53, 0.56, 0.59, 0.62 \text{ V}]$ ). (c) Output in the low gain limit ( $\text{Bias}_{V_{I2}} = 0.67 \text{ V}$ ). (d) Same as b but squarewave gratings. Values in inlets indicate the measure linear response gains.

Figures 8b,d illustrate how the biasing of the feedback multiplier affects the sensitivity of the optical flow sensor: Increasing the voltage  $\text{Bias}_{V_{I2}}$  leads to a smaller output gain and thus maps the linear output-range to a larger maximal speed range. The solid lines

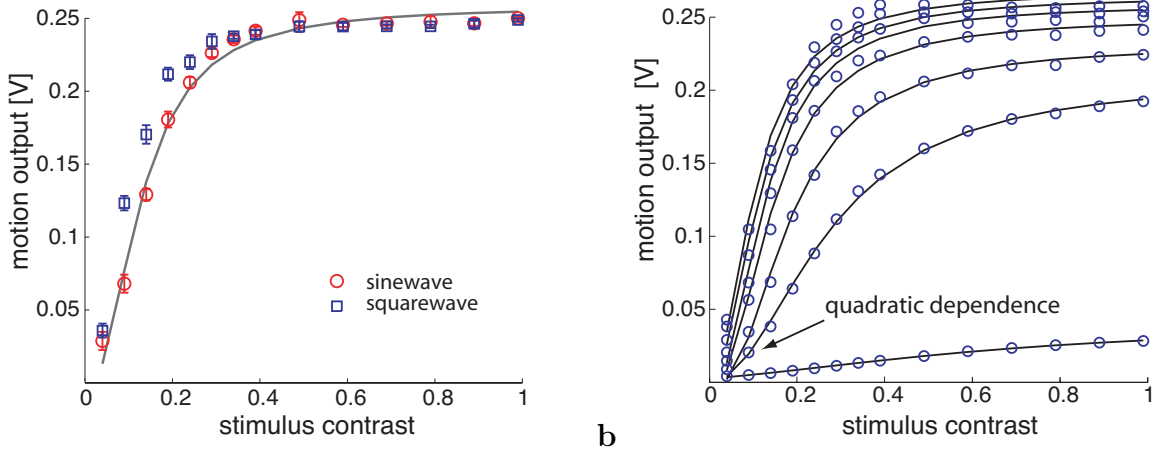


Figure 9: *Contrast dependence of the motion output.* (a) The motion output is measured as a function of stimulus contrast for sinewave and squarewave gratings. (b) Increasing the bias voltage  $\text{Bias}_{OP} = [0.15, 0.23, 0.26, 0.29, 0.32, 0.35, 0.45 \text{ V}]$  leads to an increased contrast dependence of the motion output, here measured for sinewave grating stimuli.

represent the linear fits to the individual measurements. The linear gain scales by a factor of one half for a fixed increase in bias voltage  $\text{Bias}_{V_{I2}}$  by 30 mV (see measured gain values in inlets), and thus is inversely proportional to the bias current (10). Comparing the measured responses to sinewave (Figure 8b) and squarewave gratings (Figure 8d) did not reveal any significant differences (see slope values in inlets). Setting  $\text{Bias}_{V_{I2}}$  high (0.67 volts) allows to measure speeds up to 5000 pixels/sec. However, the response becomes slightly asymmetrical as shown in Figure 8c.

### 6.1.2 Contrast dependence

The output of the optical flow sensor depends continuously on stimulus contrast. Figure 9a shows the output voltage as a function of stimulus contrast<sup>4</sup> for moving sinewave and squarewave grating stimuli with identical spatial frequency (0.08 cycles/pixel) and constant speed (30 pixels/sec). The lower the contrast, the more dominant is the influence of the bias constraint, forcing the responses toward the reference voltage  $V_0$ .

Below a critical contrast value of about 0.3, the output signal continuously decreases towards the zero reference motion  $V_0$  with decreasing contrast. The squarewave stimulus shows a slightly sustained resistance against the drop-off. A least-square fit is applied according to (7) for the response to the sinewave stimulus. Although it describes the overall response well, the fit gets considerably incorrect around the critical contrast value. The mea-

<sup>4</sup>contrast measure:  $(E_2 - E_1)/(E_1 + E_2)$

sured output curves rise faster and exhibit a more extended plateau towards lower contrast than the fit. This *improved* output behavior originates from the non-linear implementation of the bias conductance: The effective conductance decreases with increasing motion output signal while (7) assumes a constant  $\sigma$  that leads to a stronger bias at high contrast. Figure 9b shows the influence of the total bias strength on the contrast tuning using the same sinewave grating stimuli as before. Increasing values of the voltage  $\text{Bias}_{OP}$  lead to a contrast dependent output over the complete contrast range. For high values of  $\text{Bias}_{OP}$  the bias conductance dominates the denominator in (7) and the motion estimate becomes quadratically dependent on contrast: It reduces to the multiplication of the spatial and temporal brightness gradients, hence  $v \approx E_x E_t$ . In fact, such simple spatiotemporal gradient multiplication makes the costly feedback architecture of the circuit obsolete and can be implemented in a compact feed-forward way [15, 16] as mentioned before. The expected quadratic dependence can be observed in Figure 9b only for very low contrast, because the Gilbert multipliers computing the product of the spatial and temporal gradient saturate for higher contrast levels.

### 6.1.3 Spatial frequency tuning

The third stimulus parameter tested was spatial frequency. Moving sinewave and square-wave gratings (80% contrast, 30 pixels/sec) of various spatial frequencies were applied. Figure 10a shows the motion output of the optical flow sensor to sinewave and squarewave gratings as a function of spatial frequency.

The waveform difference does not have a significant effect on the output. A least-square fit according to (7) was performed on the response to the sinewave grating for frequencies  $k < 0.75$ . For spatial frequencies  $k < 0.06$ , the locally measured spatial gradients on the chip surface are very low and thus the bias constraint dominates and enforces the reference motion. Again, we recognize a significant deviation from the fit caused by the non-ohmic bias conductance. It *extends* the range for correct motion estimation for low frequencies  $0.06 < k < 0.2$ . As spatial frequencies become high ( $k > 1$ ), the response curves rapidly drop below zero and remain small negative. The deviation from the predicted response, where large negative values occur as the spatial period drops below the double inter-pixel distance  $d$ , resulted from the non-optimal test setup that did not allow to project high spatial frequency stimuli with sufficiently high local contrast onto the focal plane.

The strength of the bias voltage  $\text{Bias}_{OP}$  affects the amplitude of the motion output as well as the spatial frequency for which the motion response is maximal as shown in Figure 10b. Recall, that increasing  $\text{Bias}_{OP}$  decreases the motion output the more, the smaller the local spatial gradient is. Because  $E_x$  is largest at  $k = 0.5$  (see Figure 4a) the spatial frequency

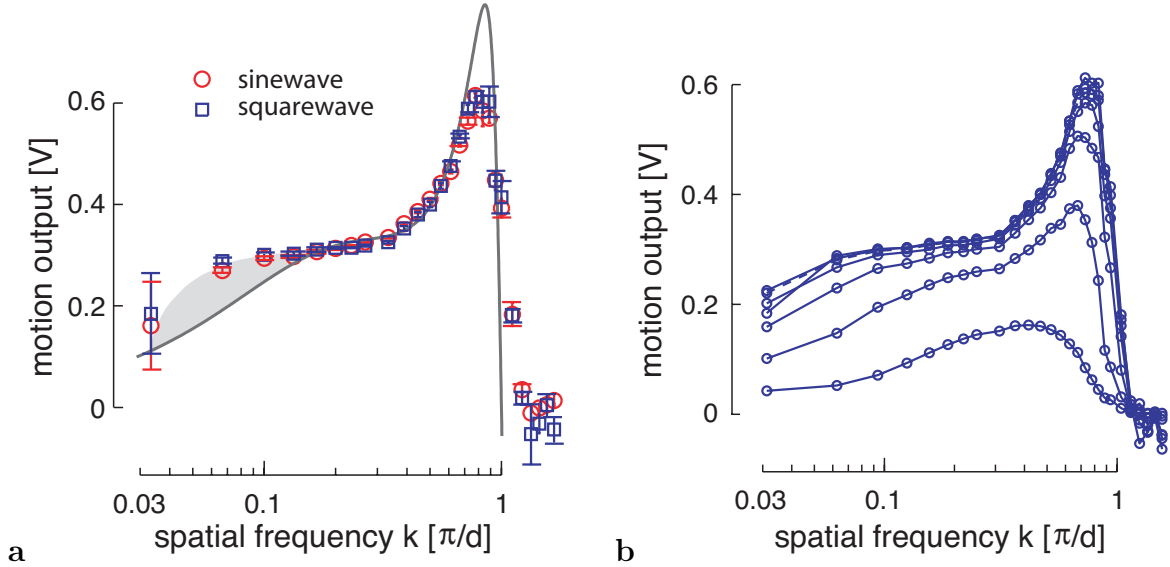


Figure 10: *Spatial frequency tuning* (a) The spatial frequency response was measured for a sinewave and squarewave grating moving with constant speeds. Spatial frequency  $k$  is given in units of the Nyquist frequency  $[\pi/d]$ . For frequencies  $k < 1$ , the response follows closely the expected response (fit according to 8). The non-linear bias conductance extends the range for accurate estimation for low spatial frequencies (gray area). (b) Increasing the bias voltage  $\text{Bias}_{OP} = [0.1, 0.15, 0.2, 0.25, 0.30, 0.35, 0.40 \text{ V}]$  reduces the overall response and shifts the peak response.

for which the motion output is maximal shifts towards this value with increasing values of  $\text{Bias}_{OP}$ .

#### 6.1.4 Spatial integration

The ability to accurately estimate two-dimensional visual motion is a key property of the presented optical flow sensor. Figure 11a shows the orientation tuning of the optical flow sensor when presented with an orthogonal plaid stimulus. The output fits well the theoretically expected sine and cosine functions.

The optical flow sensor approximately solves the aperture problem for a single moving object on a non-structured background. To demonstrate this, we biased the sensor to compute global motion ( $\text{Bias}_{HR} = 0.8 \text{ V}$ ). Then, a high contrast visual stimulus was presented that consisted of a dark triangle on a light background, moving in either of the four cardinal directions. The triangular object shape requires the intersection-of-constraints solution in order to achieve the correct estimate of object motion. Figure 11b shows the applied stimulus and the global motion estimates of the sensor for a constant positive or negative

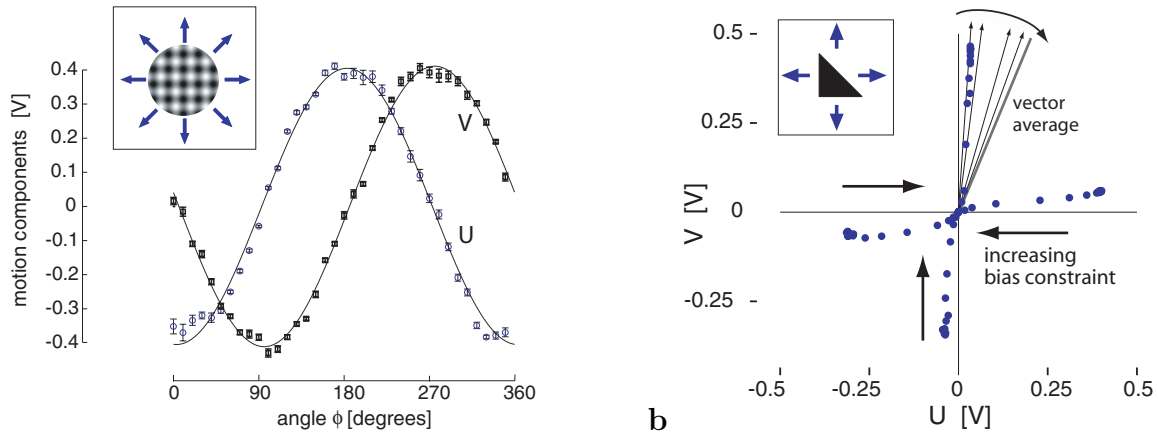


Figure 11: *Solving the Aperture problem.* (a) The optical flow sensor exhibits the expected cosine- and sinewave tuning curves for the orthogonal motion components  $U$  and  $V$ . The sinusoidal plaid stimulus (spatial frequency 0.8 cycles/pixel, contrast 80%) was moving at a velocity of 30 pixels/sec. (b) The time-averaged output of the optical flow sensor is shown for a triangular object moving in orthogonal directions. The optical flow sensor approximately solves the aperture problem. Data points along each trajectory correspond to particular bias voltages  $\text{Bias}_{OP} = [0, 0.1, 0.15, 0.2, 0.25, 0.3, 0.33, 0.36, 0.39, 0.42, 0.45, 0.48, 0.51, 0.75 \text{ V}]$ .

object motion in the two orthogonal directions. Each data point represents the estimate for a particular value of the bias conductance. For  $\text{Bias}_{OP} < 0.25 \text{ V}$ , the optical flow sensor almost perfectly reports the true object motion in either of the four tested directions although with a small asymmetry in the speed estimate. The non-perfect integration with a directional deviation of less than 10 degrees is the result of the remaining intrinsic output conductance. Note, that a vector average estimate would lead to a deviation in direction of 22.5 degrees for this particular stimulus. As  $\text{Bias}_{OP}$  increases, the reported speed decreases rapidly while the direction of the global motion estimate resembles more a vector average estimate (see arrows in Figure 11b).

### 6.1.5 Array offsets

To conclude the characterization of the optical flow sensor, the output variations between the individual units of the optical flow network were investigated. A moving, diagonally oriented sinewave grating was applied such that the  $u$ -component and the negative  $v$ -component of its apparent motion were equally large. Each unit was recorded in isolation with the smoothness constraint being completely disabled ( $\text{Bias}_{HR} = 0 \text{ V}$ ). The stimulus speed and

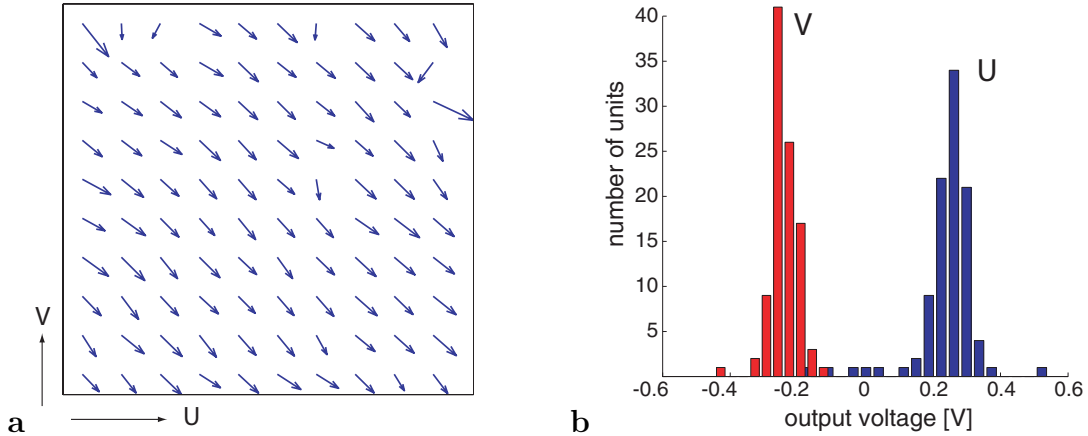


Figure 12: *Flow field offsets*. (a) The measured time-averaged optical flow for uniform visual motion. (b) Histograms for both components of the optical flow vectors with mean values of  $\bar{U} = 0.25$  V and  $\bar{V} = -0.24$  V and standard deviations of  $U_{std} = 0.09$  V and  $V_{std} = 0.04$  V respectively.

the bias settings were chosen such that the output values are clearly within the linear range of the optical flow units to prevent any distortion of the output distribution due to the expansive non-linearity of the multiplier circuits.

Figure 12a shows the resulting time-averaged (20 stimulus cycles) optical flow field. It reveals some moderate offsets between the optical flow vectors of the different units in the array. However, these units seem to be located preferably at the array boundaries where device mismatch is usually pronounced due to asymmetries in the layouts. Because motion is computed component-wise, deviations due to mismatch are causing errors in speed as well as orientation of the optical flow estimate. Figure 12b shows the histogram of the output voltages of all motion units. Very similar results were found for other chips of the same fabrication batch. The outputs consistently approximate normal distributions as long as the motion signals are within the linear range of the circuit which is in agreement with randomly induced mismatch due to the fabrication process.

The above offset values are for completely isolated motion units. Already weak coupling among the units noticeably increases the homogeneity of the individual responses and therefore reduces the offsets.

## 6.2 Flow field estimation

In the following, sampled optical flow fields of the 30x30 array implementation are presented. On-chip scanning circuitry allows to simultaneously read-out the optical flow estimate (volt-

ages  $U_+$ ,  $V_+$ ) as well as the photoreceptor voltage at each pixel. Scanning was performed at 67 frames/sec (100kHz clock frequency) but can be as high as 1000 frames/sec before the cut-off frequency of the follower based scanning circuitry starts to significantly impair the signals. The following results represent the sampled output of the optical flow sensor presented with real-world stimuli under typical indoor lightning conditions. Each flow vector is defined by the local voltage signals  $U_+$ ,  $V_+$  referenced a null potential  $V_0$ .

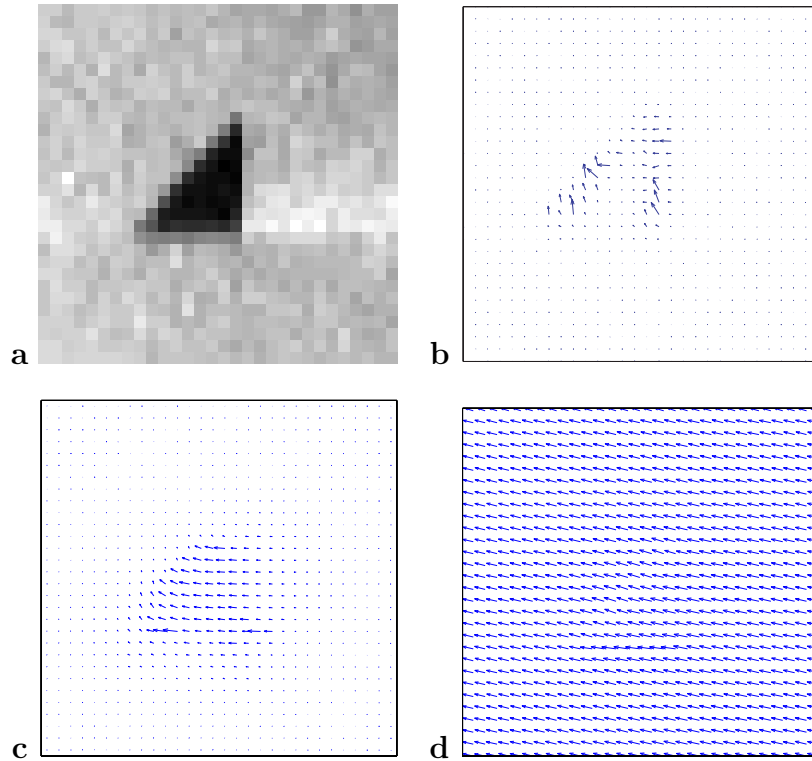


Figure 13: *Varying smoothness of the optical flow estimate.* (a) The photoreceptor image. (b-d) Optical flow samples for increasing effect of the smoothness constraint ( $\text{Bias}_{HR}=[0.25, 0.38 \text{ and } 0.8 \text{ V}]$ ).

Figure 13 demonstrates how a different biasing of the HRes circuits controls smoothness. The same 'triangle' stimulus as in Figure 11b was presented, moving from right to left with constant speed. Optical flow was recorded for three different smoothness strengths and snapshots are shown when the object passed the center of the visual field. Figure 13a represents the photoreceptor output voltages while (b-d) display the flow fields according to increasing values of  $\text{Bias}_{HR}$ . For low values, spatial interaction amongst motion units is limited and an approximate normal flow estimate results with flow vectors preferably oriented perpendicular to the brightness edges. As the voltage increases, the optical flow estimate

becomes smoother and finally represents an almost global estimate that well approximates the correct two-dimensional object motion.

Finally, Figure 14 shows a sampled sequence of the optical flow sensor's output for a 'natural' real-world scene. The sensor is observing two tape rolls passing each other on a office table from opposite direction. Again, each frame is a snap-shot and consists of a gray-scale image encoding the photoreceptor output voltages overlaid with the estimated optical flow field. The optical flow estimate well matches the qualitative expectations according

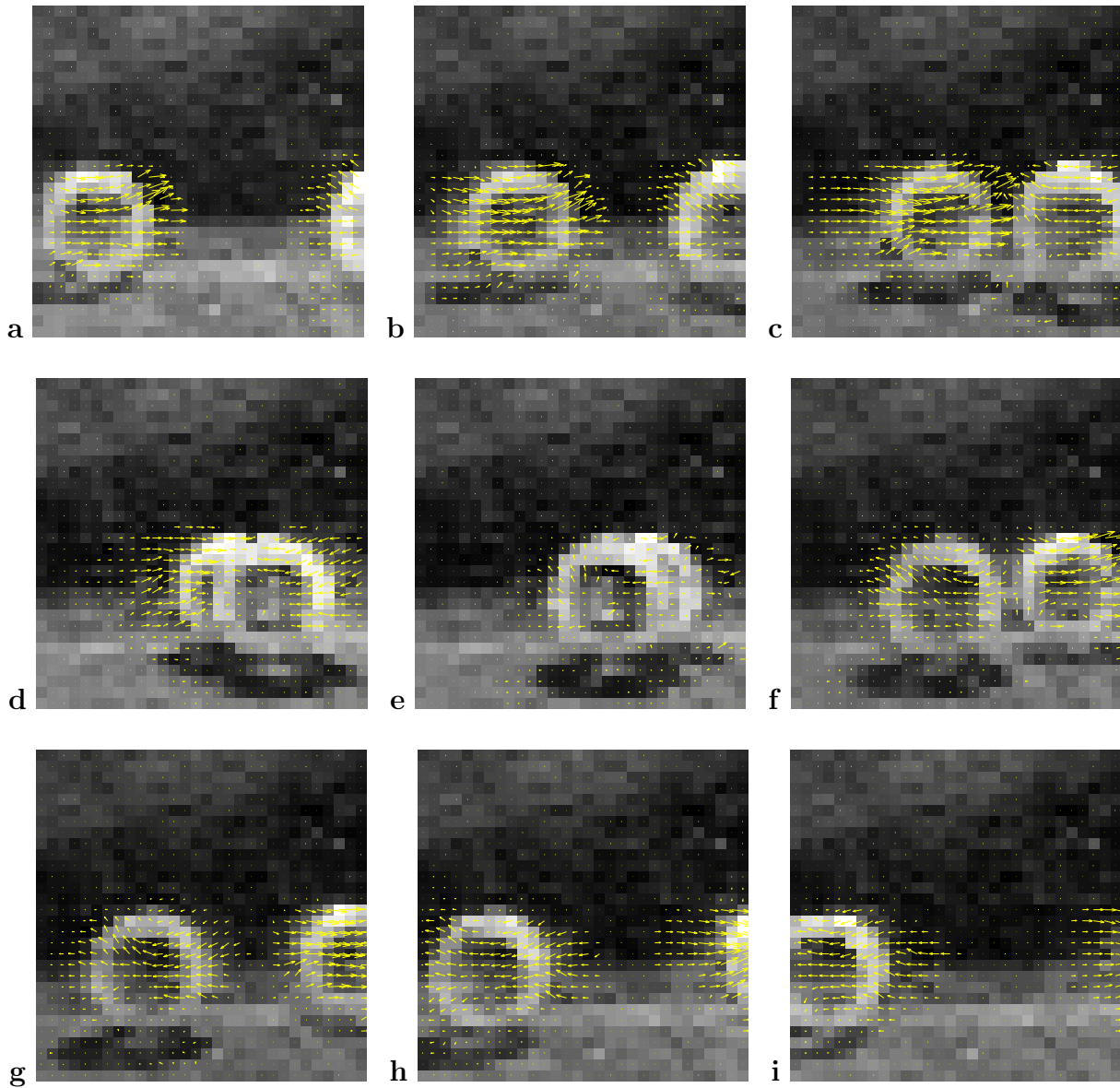


Figure 14: *Sampled output of the 2-D optical flow sensor watching a real-world scene.*

to our previous analysis. Rotation of the rolls cannot be perceived because the spatial

brightness structure on their surface is not sufficient. The flow fields are mildly smooth leading to motion being perceived *e.g.* in the center-hole of each roll. Note, that the chosen value of the smoothing conductance ( $\text{Bias}_{\text{HRes}} = 0.41 \text{ V}$ ) does not prevent everywhere the optical flow estimate from being affected by the aperture problem: at the outer borders of the rolls, the flow vectors tend to reflect normal flow. However, for regions for which the smoothing kernel combines image areas of differently orientated spatial gradients (*e.g.* the center region of the rolls), the aperture problem does not hold and the sensor provides the perceptually correct estimate of visual motion.

The tape rolls sequence demonstrates another interesting behavior of the sensor; the optical flow field seems partially sustained and trailing the trajectory of the rolls (see *e.g.* the optical flow field on the left-hand side in Figure 14c), indicating a long decay time-constant of the optical flow signal. As we have previously seen, the total conductance (11) at the feedback node of each motion units is not constant and is given mainly by the transconductance of the feedback loop and the bias conductance<sup>5</sup>. In the tape rolls sequence, the background and the table is unstructured revealing almost no spatial brightness gradients. Thus, the transconductance of the feedback loop is dropping low, once the high contrast tape rolls boundary has passed, making the time-constant long because it is now solely determined by the bias conductance  $\rho$  – which is ideally small. As counter-example, consider the situation after the occlusion of the two tape rolls: there is almost no trailing expansion of the flow field right after occlusion (Figure 14f) when the high-contrast back edge of the second roll immediately induces new motion information<sup>6</sup> (high correction currents  $\rightarrow$  high transconduction). In subsequent frames (Figures 14g-i) the trailing flow field is continuously growing again.

As expected from its implied smooth motion model, the optical flow sensor cannot resolve occlusions where multiple motion sources are present in the same spatial location. In this particular sequence with opposite translational motion sources, the motion estimate cancels at occlusions (see Figure 14d and e).

## 7 Discussion

The here presented optical flow sensor is an example of an aVLSI implementation of distributed and parallel processing sensory system [49] working in continuous-time. The nearest-neighbor connected array of motion units applies recurrent feedback in order to

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<sup>5</sup>neglecting the smoothness conductance

<sup>6</sup>note, that a static background can provide motion information (zero motion) as long as it has spatial structure.

correct the common estimate of visual motion according to the local visual information with respect to its built-in internal motion model. Such error coding and adaptation strategy is also believed to be pursued by biological neural systems [50]. From a mathematical perspective, the optical flow sensor solves a constraint optimization problem; it has to find the optical flow estimate that best matches the visual information with respect to the implicit model. To my knowledge, the sensor is the first functional aVLSI implementation following such strategy. It proves that there is no conceptual reason why such an approach cannot be successfully implemented in aVLSI known for its inherent susceptibility to device mismatch and noise.

The optical flow sensor embeds a motion model that has advantages compared to previously reported computational models of visual motion estimation. The bias constraint leads to a robust system and a computationally rich behavior and has been shown to account for many of the known illusory percepts of human visual motion [37]. However, the novel **non-quadratic** form of the bias constraint caused by the intrinsic non-linear implementation of the bias conductance improves the performance compared to comparable, quadratic formulations [35, 36, 37]; the visual motion estimate is less biased for high contrast stimuli without sacrificing robustness (see *e.g.* Figure 10a).

Another novel feature of the model is its **dynamical properties**. The non-zero time-constant of the optical flow sensor leads to the integration of visual motion information over time. Since the time-constant depends on the spatio-temporal energy and thus on the confidence in the visual information present, the actual temporal integration window becomes large for weak and short for reliable visual input (see Figure 14): At any time the model assumes the optical flow to change smoothly over time with an integration window adapted to the confidence and thus the signal/noise ratio of the visual input. Recent recordings of the dynamical properties of primate’s visual motion system reveal some similarity with biological systems: Pack and Born[51] reported that pattern selective cells in the visual motion area MT of the macaque monkey are preferably tuned to component motion in their initial response phase and only establish their preference for pattern motion after some latency. This is consistent with the **gradient-descent** dynamics of the optical flow sensor. It also reports initially an estimate that is biased toward the normal flow and continuously approaches the correct pattern motion estimate (results not shown). However, such similarities shall not be over-emphasized. Rather, the similarities to these highly evolved biological visual motion systems support the soundness of the presented approach. The optical flow sensor is at most a functional model for biological visual motion processing.

A few reported examples already demonstrate the potential of the sensor for smart visual interfaces [52] or robotics applications [53], in particular when tuned to provide

a global optical flow estimate. The resulting low dimensionality of a global optical flow estimate eliminates the need for high transmission bandwidth and complex interfaces to further processing stages, allowing the construction of simple yet powerful systems. Other applications for surveillance and navigation tasks are certainly imaginable.

The network architecture and thus the motion model applied can be further evolved by including mechanisms that *locally* control the conductances  $\sigma_{ij}(t)$  and  $\rho_{ij}(t)$ . This could allow a much richer, more object related computational behavior of the system [45]. Some focal-plane implementations of such network architectures have been reported where the local smoothness conductances  $\sigma_{ij}(t)$  are adapted to limit motion integration to locations restricted to individual motion sources [9]. Further research has to be conducted, however, in particular with respect to the necessary separation of more complex systems onto multiple chips. The local control of the bias conductance  $\rho_{ij}(t)$  would also allow interesting attentionally guided visual motion processing. Motion units not belonging to the attentional focus could be suppressed by increasing  $\rho_{ij}$ , thus literally shunting the unit. We know that such attentional inhibition is involved in the processing of visual motion in area MT [54], for example. Although in detail not biological plausible, such extended aVLSI systems of the optical flow sensor would allow to study in a more systematic way the bottom-up and top-down interplay in a real-time perceptual system.

## Acknowledgments

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