

# ON CERTIFIED ISOTOPIC APPROXIMATION OF SPACE CURVES

by

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# ABSTRACT

The approximation of implicitly defined curves or surfaces is a problem of interest for many fields. As a result, this problem has been explored using algebraic, geometric, and numerical methods. Amongst these, a numerical method called Marching Cubes Algorithm ([4]) has been the primary choice in implementations because of its efficiency and implementability, even though a guarantee for topological correctness was not generally present.

Research in this area has largely focused on approximations of  $n - 1$  dimensional manifolds in  $n$  dimensional Euclidean space. These are called co-dimension 1 manifolds, defined as the zero sets of single equations in  $n$  variables. Plantinga and Vegter (2004) [8] derived the first algorithms with guaranteed topological correctness using interval arithmetic and adaptive subdivision for  $n = 2, 3$ . Faster variants of such algorithms were described by Yap et al. (2009, 2014) [10] [11]. Galehouse (2008) [9] succeeded in producing such algorithms for all  $n$ .

This thesis addresses the problem of computing isotopic approximations of co-dimension 2 manifolds, i.e.,  $n - 2$  dimensional manifolds in  $n$  dimensional Euclidean space. Such manifolds are the intersection of the zero sets of two equations in  $n$  variables. The first interesting case is  $n = 3$ , i.e., the problem of computing an isotopic approximation of a space curve in 3D. We work on devising new algorithms by extending the previous interval techniques in co-dimension 1. Moreover, we implement and visualize such curves in order to verify their practical efficiency.

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# 1 | INTRODUCTION

## 1.1 PROBLEM STATEMENT

We present work on the problem of constructing an ambient isotopic estimation  $S'$  to a space curve  $S$  defined by two implicit surfaces given by  $f_1 = 0$  and  $f_2 = 0$  in a bounding box  $B_0 \subseteq \mathbb{R}^3$  where functions  $f_1, f_2 : \mathbb{R}^3 \rightarrow \mathbb{R}$  are smooth and non-singular in  $B_0$ . We use the notation  $\mathbf{f} = (f_1, f_2)$  - for which the traced curve can be defined as the set of points given by  $S = \mathbf{f}^{-1}(\mathbf{0}) = \{\mathbf{p} \in \mathbb{R}^3, \mathbf{f}(\mathbf{p}) = \mathbf{0}\} = f_1^{-1}(0) \cap f_2^{-1}(0)$ .

An important result of non-singularity in  $B_0$  is the truth of the following statement:

$$\forall \mathbf{p} \in B_0. (f_1(\mathbf{p}) = 0 \implies \nabla f_1(\mathbf{p}) \neq 0) \wedge (f_2(\mathbf{p}) = 0 \implies \nabla f_2(\mathbf{p}) \neq 0)$$

This result, alongside the smoothness condition, plays a central role in our arguments for the guaranteed halting of our algorithm steps, as it is presented in part 3 of this report.

### 1.1.1 ASSUMPTIONS

1. We assume that  $S = \mathbf{f}^{-1}(\mathbf{0})$  is a 1-dimensional curve.
2. We assume that box functions (point convergent interval functions) for  $f_1, f_2, \nabla f_1, \nabla f_2$  exist and are denoted by  $\square f_1, \square f_2, \square \nabla f_1, \square \nabla f_2$ .

3. We assume that the surfaces given by  $f_1 = 0$  and  $f_2 = 0$  never intersect tangentially in  $B_0$ .  

$$(\forall \mathbf{p} \in B_0, \forall c \in \mathbb{R}. f_1(\mathbf{p}) = f_2(\mathbf{p}) = 0 \implies \nabla f_1(\mathbf{p}) \neq c \nabla f_2(\mathbf{p}))$$
4. We assume that the traced curve and the implicit surfaces given by  $f_1 = 0$  and  $f_2 = 0$  intersect the bounding box  $B_0$  transversally or not at all.

The softening or removal of our 4th assumption can presumably be achieved by adequately processing the boundary of  $B_0$  in future research. Steps to ensure the prevention of problems of similar origin in the tracing of curves in 2D space have been previously presented by Lin and Yap ([10])

## 1.2 RELEVANT BACKGROUND

Our work on this problem builds upon concepts from differential geometry and interval arithmetic. The following sections (1.2.1-1.2.4) can be seen for the explanation of core constructs and principles utilized by our approach.

### 1.2.1 IMPLICIT MANIFOLDS AND CURVES

For arbitrary  $m, n \in \mathbb{Z}^+$ , an implicit manifold is a manifold defined as the set of points  $\mathbf{p} \in \mathbb{R}^m$  satisfying the system of equations  $\mathbf{f}(\mathbf{p}) = (f_1(\mathbf{p}), f_2(\mathbf{p}), \dots, f_n(\mathbf{p})) = \mathbf{0}^n = \mathbf{0}$  where  $f_1, f_2, \dots, f_n$  each map  $\mathbb{R}^m$  to  $\mathbb{R}$ . An implicit curve is an implicit manifold with topological dimension 1.

### 1.2.2 ISOTOPY

Two manifolds  $S, S' \subseteq \mathbb{R}^3$  are **ambient isotopic** if there exists a continuous map

$$\gamma : [0, 1] \times \mathbb{R}^3 \rightarrow \mathbb{R}^3$$

such that:

1. For each  $t \in [0, 1]$ , the map  $\gamma_t : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  given by  $\gamma_t(p) = \gamma(t, p)$  is a homeomorphism;
2.  $\gamma_0$  is the identity function;
3.  $\gamma_1(S) = S'$ .

Such a map  $\gamma$  is also called an **ambient isotopy** from  $S$  to  $S'$ . It is an  $\varepsilon$ -**ambient isotopy** if, in addition, we have  $\|\gamma_0(p) - \gamma_1(p)\| \leq \varepsilon$  for all  $p \in S$ . Note that  $\varepsilon$ -ambient isotopy of  $S$  and  $S'$  implies that the Hausdorff distance between  $S$  and  $S'$  is  $\leq \varepsilon$ .

If the continuous map

$$\gamma : [0, 1] \times S \rightarrow \mathbb{R}^3$$

satisfies (i)-(iii), then we say that  $\gamma$  is an **isotopy** from  $S$  to  $S'$ ; we also say  $S$  is **isotopic** to  $S'$ . Clearly ambient isotopy implies isotopy, which in turn implies homeomorphism. The difference between ambient isotopy and (plain) isotopy is that the former requires a simultaneous transformation of the complementary space  $\mathbb{R}^3 \setminus S$ . But Hirsch [[2]] shows that, conversely, an isotopy can be extended to an ambient isotopy in case  $S$  is a smooth manifold.

### 1.2.3 INTERVAL ARITHMETIC

Interval arithmetic is a tool to derive information about the range of functions over their domain. For this, operations are carried out on intervals rather than single values to achieve bounds on the global maximum and minimum of the function over the evaluated domain.

More formally, interval arithmetic and its methods are described by Ratschek and Ronke [3] as follows:

Let  $X \subseteq \mathbb{R}$  be an arbitrary compact interval (i. e.  $X = [a, b]$  such that  $a, b \in \mathbb{R}$  and  $a \leq b$ ) and let  $f : X \rightarrow \mathbb{R}$  be a **continuous** function. Let us also denote the range of  $f$  on its domain as  $\tilde{f}(X) = \{f(x) | x \in X\}$ .

Then, one can define inner ( $\Psi(X)$ ) and outer ( $F(X)$ ) estimations of  $\tilde{f}(X)$  as estimations satisfying the following criterion:

$$\Psi(X) \subseteq \tilde{f}(X) \subseteq F(X)$$

We are particularly interested in functions outputting outer estimations ( $F(X)$ ), which are known to always exist for continuous  $f$  as denoted by Moore (1966) [1]. These functions are often referred to as inclusion functions ([3]) and will be referred to as such here.

These inclusion functions are also often directly computable, specifically for rational functions. An outer bound  $F(X)$  for  $\tilde{f}(X)$  (for a continuous rational function  $f : X \rightarrow \mathbb{R}, X \in I$  where  $I$  is the set of compact intervals in  $\mathbb{R}$ ) can be directly calculated by replacing the variables in an arithmetic expression of  $f$  with the domains of the respective variables and using interval arithmetic operations defined as follows ([3]):

$$[a, b] + [c, d] = [a + c, b + d]$$

$$[a, b] - [c, d] = [a - d, b - c]$$

$$[a, b][c, d] = [\min(ac, ad, bc, bd), \max(ac, ad, bc, bd)]$$

$$[a, b]/[c, d] = [a, b][1/b, 1/c] \text{ if } 0 \notin [c, d]$$

This provides a direct way to evaluate functions over intervals in algorithms. However, the exact defining arithmetic expression used in this evaluation affects the tightness and convergence

of the calculated outer bound ( $F(X)$ ), and thus a good representation should be utilized for the efficient use of interval arithmetic. The standard-centered form and Krawczyk's form are two commonly used expression forms with particularly good convergence rates. The formulations of these forms, as well as the further properties of interval arithmetic operations, can be seen in the work of Ratschek and Ronke [3], but are not explicitly discussed here.

#### 1.2.4 BOXES AND BOX FUNCTIONS:

Building upon interval arithmetic but abstracting away the specific evaluated arithmetic expressions of interval functions, the work discussed in this thesis research builds upon the properties of two constructs: **boxes** and **box functions**

**Boxes:** An  $n$ -dimensional box  $B$  is defined as a Cartesian product of  $n$  compact intervals denoted as  $B = I_1 \times I_2 \times \dots \times I_n$ . One important point of interest for such a box is the mid-point of the box denoted by  $m(B)$ , which has the coordinates  $(m(I_1), m(I_2), \dots, m(I_n))$ .

The evaluation of a function  $f$  with  $n$  interval arguments on such a box is then defined with the following equality:

$$f(B) = f(I_1, \dots, I_n)$$

**Box Functions:** A inclusion function  $\Box f$  is called a box function for  $f$  if, in addition to being an inclusion function, it is a point convergent, i.e., for any strictly decreasing sequence  $B_0 \subset B_1 \subset \dots$  of boxes that converges to a point  $p$ , we have  $\Box f(B_i) \rightarrow f(p)$  as  $i \rightarrow \infty$ . ([10])

These are the main mathematical constructs of interest in our current research and allow us to discuss methods utilizing arbitrary implementations of box functions.

## 1.3 RELATED WORK

Our work builds upon previous research on the certified isotopic approximations of co-dimension 1 manifolds and root isolation algorithms. Amongst the algorithms produced by previous studies, the following algorithms serve as the main foundations of our approach:

### 1.3.1 THE PLANTINGA-VEGTER (PV) ALGORITHM ([8])

Let  $F : \mathbb{R}^2 \mapsto \mathbb{R}$  be an implicit function, and  $B \subseteq \mathbb{R}^2$  be a square bounding box. Also, let  $\Box g$  denote a convergent inclusion function for  $g$  for all functions  $g$ . The 2D PV algorithm defines a procedure that generates a guaranteed topologically correct piecewise linear estimation for  $S = F^{-1}(0)$  where  $S$  is a regular curve (0 is a regular value of  $F$  as the gradient  $\nabla F$  is non-zero at every point of the curve.).

This procedure defined by the algorithm starts by initializing a quadtree  $T$  on this box (initially with only one node), and subdivides the boxes until either a predicate ensuring the discarding of the box ( $0 \notin F(C)$ ) or a stopping condition ( $\langle \Box \nabla F(C), \Box \nabla F(C) \rangle > 0$ ) is satisfied where  $C$  is the two-dimensional interval defining the box. This result is then refined to make the subdivision quadtree balanced (ensuring that boxes that are adjacent are the same size or have a 1/2 ratio in between).

The termination of this process is certain under the assumptions on the inputs (as proven by Long Lin & Chee Yap in [10]). Furthermore, the inner product constraint puts an upper limit of  $\pi/2$  radians for the angles between the gradients of  $F$  in any terminal box and furthermore implies the local parameterizability of the implicit curve in either the x or y direction.

This local parametarizability implies that  $S$  intersects at most two edges of each cell  $C$  and

that there cannot be any self-intersections. With this, within any such cell  $C$ , if there are two intersection points along the edges, the part of the curve inside  $C$  can be seen to be isotopic to a line segment. And thus, an approximation of the implicit curve  $S$  can be constructed by linear lines between the centers of box edges with different signs that will be isotopic to  $S$ , showing the correctness of the algorithm with the given restrictions. Moreover, even if any given box has more than two intersection points along the edges, it can be shown that the produced result is still globally isotopic to the original curve even though it might not be isotopic to the approximation in each box.

This method is particularly powerful, as it forgoes local isotopy (at each subdivided box) to be able to produce a globally isotopic approximation while being guaranteed to halt.

The 3D PV algorithm builds upon this base, providing a mesh construction method in 3D to give certified isotopic approximations of surfaces in 3D.

### 1.3.2 MIRANDA ALGORITHM ([13])

Given an initial bounding box  $B_0$ , the Miranda algorithm provides guaranteed isolation of simple zeros of a function  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  for some  $n \in \mathbb{Z}^+$  in  $B_0$ . For this, an existence test  $EC$  (proving the existence of at least one root in a box) and a Jacobian test  $JC$  (proving the existence of at most one root in a box) are utilized alongside an exclusion predicate defined as  $C_0(B) = \mathbf{0} \notin f(B)$  with the following algorithm:



```

SIMPLE ISOLATE( $f, B_0$ )
  Output: sequence of isolating boxes for roots in  $B_0$ 
   $Q \leftarrow \{B_0\}$ 
  While  $Q \neq \emptyset$ 
     $B \leftarrow Q.pop()$ 
    If  $C_0(B)$  continue;       $\triangleleft$  discard  $B$  and repeat loop
    If  $EC(B) \wedge JC(B)$        $\triangleleft$   $B$  has a unique root
      output  $B$  and continue;
    If  $w(N(B) \cap B) < w(B)$    $\triangleleft$  if contraction succeeds
       $Q.push(B)$ 
    else
       $Q.push(subdivide(B))$ 

```

**Figure 1.1:** The Miranda algorithm as presented in [13]

This algorithm is guaranteed to produce correct outputs and can be shown to always halt for the proposed EC and JC tests.

The proposed EC test (the MK Test) is of particular interest to us, as it is a predicate proving the existence of a root of  $f$  when it holds. This test utilizes a preconditioning phase (in which the range of  $f$  is multiplied by the inverse Jacobian matrix of  $f$  evaluated at the midpoint of  $B$ ). Then, the value of  $J_f(m(B))^{-1}f_i$  is evaluated at the opposite ends of the evaluation box in the  $i$ 'th dimension. If all such evaluations show that  $f_i$  is negative towards the negative direction in the  $i$ 'th axis and positive on the opposite side, the test is said to succeed as this proves the existence of at least one root in the box (as an extension of the Poincare-Miranda Theorem, as explained in [13]).

This test is formally presented as follows in the [13] paper:

### ABSTRACT MK TEST

Input:  $f$  and box  $B$

Output: true iff  $\text{MK}_f(B)$  succeeds

1.  $C \leftarrow J_f(\mathbf{m}(B))$ , Jacobian matrix at  $\mathbf{m}(B)$   
If  $C^{-1}$  does not exist, return false.
2. Construct a “preconditioned version”  $\mathbf{g}$ :  
 $\mathbf{g} \leftarrow C^{-1}f = (g_1(\mathbf{x}), \dots, g_n(\mathbf{x}))$
3. Apply the Simple Miranda Test to  $2B$  for  $\mathbf{g}$ :  
For  $i \leftarrow 1, \dots, n$ :  
If  $g_i(2B_i^+) \leq 0$  or  $g_i(2B_i^-) \geq 0$ , (\*)  
return false
4. Return true.

**Figure 1.2:** The MK test, as presented in [13]

A numerical version of this abstract test proven to work under the constraints of finite-precision arithmetic is also provided here, which serves as a foundational tool utilized by our proposed approach.

## 2 | PRELIMINARIES AND THEORY

### 2.1 BOX PREDICATES

We introduce several predicates (also called “conditions”) on boxes  $B \subseteq \mathbb{R}^3$ :

- (Exclusion Condition  $C_0$ )

The condition  $C_0(B) = C_0^f(B)$  holds iff  $C_0^{f_1}(B) \vee C_0^{f_2}(B)$  where

$$C_0^{f_j}(B) \equiv \left[ 0 \notin f_j(B) \right] \quad (j = 1, 2). \quad (2.1)$$

If  $C_0^f(B)$  holds, then the curve  $\mathbf{f}^{-1}(\mathbf{0})$  does not intersect  $B$  (so  $B$  can be excluded in our search).

- (Inclusion Condition  $C_1$ )

We write  $D_i f$  for the function  $\frac{\partial f}{\partial x_i}$  ( $i = 1, 2, 3$ ). The condition  $C_1(B) = C_1^f(B)$  holds iff  $C_1^{f_1}(B) \wedge C_1^{f_2}(B)$  where

$$C_1^{f_j}(B) \equiv \left[ 0 \notin \sum_{i=1}^3 (D_i f_j(B))^2 \right] \quad (2.2)$$

Note that the expression of the right is the natural set extension of the usual arithmetic operations, with  $S^2 := \{st : s, t \in S\}$  (not  $S^2 = \{s^2 : s \in S\}$ ). Condition  $C_1(B)$  implies that the angle between the gradients at any two points in  $B$  is at most 90 degrees; this implies that  $B$  does not contain any any closed surface of  $f_1^{-1}(\mathbf{0})$  or  $f_2^{-1}(\mathbf{0})$ . However  $B$  may still

contain a closed loop of  $f_1^{-1}(0) \cap f_2^{-1}(0) = \mathbf{f}^{-1}(0)$ . The next condition will address this.

- (Jacobian Conditions  $JC_i$ )

First let

$$D\mathbf{f}(\mathbf{x}) := \begin{bmatrix} (f_1)_x(\mathbf{x}) & (f_1)_y(\mathbf{x}) & (f_1)_z(\mathbf{x}) \\ (f_2)_x(\mathbf{x}) & (f_2)_y(\mathbf{x}) & (f_2)_z(\mathbf{x}) \end{bmatrix} \quad (2.3)$$

denote a  $2 \times 3$  matrix where  $(f_1)_i = \frac{\partial f_1}{\partial x_i}$  and similarly for  $(f_2)_i$  ( $i = x, y, z$ ). The Jacobian condition at a point  $\mathbf{p} \subseteq \mathbb{R}^3$  is when the matrix  $D\mathbf{f}$  is full-rank<sup>1</sup> when evaluated at  $\mathbf{x} := \mathbf{p}$ .

Next, we define the corresponding condition for a box  $B$ . Let  $\Delta_i \mathbf{f} : \mathbb{R}^3 \rightarrow \mathbb{R}$  ( $i = 1, 2, 3$ ) denote the determinant of the  $2 \times 2$  matrix obtained by deleting the  $i$ th column of  $D\mathbf{f}(\mathbf{x})$ , multiplied by  $(-1)^{i+1}$ . Thus

$$\Delta_1 \mathbf{f} := \det \begin{bmatrix} (f_1)_y(\mathbf{x}) & (f_1)_z(\mathbf{x}) \\ (f_2)_y(\mathbf{x}) & (f_2)_z(\mathbf{x}) \end{bmatrix}, \quad \Delta_2 \mathbf{f} := -\det \begin{bmatrix} (f_1)_x(\mathbf{x}) & (f_1)_z(\mathbf{x}) \\ (f_2)_x(\mathbf{x}) & (f_2)_z(\mathbf{x}) \end{bmatrix}, \quad \Delta_3 \mathbf{f} := \det \begin{bmatrix} (f_1)_x(\mathbf{x}) & (f_1)_y(\mathbf{x}) \\ (f_2)_x(\mathbf{x}) & (f_2)_y(\mathbf{x}) \end{bmatrix}. \quad (2.4)$$

The **Jacobian condition** at  $B$  is defined by

$$JC^{\mathbf{f}}(B) \equiv \left[ \bigvee_{i=1}^3 JC_i^{\mathbf{f}}(B) \right] \quad (2.5)$$

where

$$JC_i^{\mathbf{f}}(B) \equiv \left[ 0 \notin \Delta_i \mathbf{f}(B) \right] \quad (i = 1, 2, 3). \quad (2.6)$$

Thus  $JC^{\mathbf{f}}(B)$  implies that  $D\mathbf{f}(\mathbf{p})$  is full-rank for each  $\mathbf{p} \in B$ . But the main geometric conclusion is seen in Lemma 2.3 in the proceeding sections.

---

<sup>1</sup>For algebraic curves, a birational correspondence between an irreducible algebraic space curve  $C$  and an irreducible plane curve  $\mathcal{P}$  with the same genus as  $C$  depends on a similar full-rank condition (see [5]).

## 2.2 JACOBIAN CONDITION IN PLANAR CURVE INTERSECTION

Temporarily, let us define a pair of planar curves  $\mathbf{f} = (f, g) : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ . We prove the following lemma (to be used in proceeding sections):

**Lemma 2.1** (2D Jacobian Condition). *Let  $B \subseteq \mathbb{R}^2$  and  $JC_3^{\mathbf{f}}(B)$  holds, i.e.,*

$$0 \notin J_{\mathbf{f}}(B) := \det \begin{bmatrix} D_x f(B) & D_y f(B) \\ D_x g(B) & D_y g(B) \end{bmatrix}. \quad (2.7)$$

*Then  $|Zero(f, g) \cap B| \leq 1$ .*

*Proof.* Let  $\mathbf{a}, \mathbf{b} \in B$  be two distinct zeros of  $\mathbf{f}$ . Define  $L : \mathbb{R} \rightarrow \mathbb{R}^2$  where  $L(t) = \mathbf{a} + t(\mathbf{b} - \mathbf{a})$ .

Consider the function  $F(t) := f(L(t))$ : by an application of the Chain Rule, we have

$$F'(t) = \frac{dF}{dt} = \nabla f(L(t)) * (\mathbf{b} - \mathbf{a}) \quad (2.8)$$

where  $*$  denotes dot product. From  $F(0) = f(L(0)) = f(\mathbf{a}) = 0$  and  $F(1) = f(L(1)) = f(\mathbf{b}) = 0$ , the Mean Value Theorem (MVT) for  $F(t)$  implies that there exists  $\xi \in [0, 1]$  such that

$$0 = F(0) - F(1) = F'(\xi) = \nabla f(L(\xi)) * (\mathbf{b} - \mathbf{a}). \quad (2.9)$$

Similarly, if we define  $G(t) := g(L(t))$ , there exists  $\nu \in [0, 1]$  such that

$$0 = G(0) - G(1) = G'(\xi) = \nabla g(L(\xi)) * (\mathbf{b} - \mathbf{a}). \quad (2.10)$$

But 2.9 implies that  $\nabla f(L(\xi))$  is perpendicular to  $\mathbf{b} - \mathbf{a}$ . Similarly,  $\nabla g(L(\nu))$  is perpendicular to

**b – a.** Thus  $\nabla f(L(\xi))$  and  $\nabla g(L(\nu))$  are parallel, i.e.,

$$0 = \det \begin{bmatrix} D_x f(L(\xi)) & D_y f(L(\xi)) \\ D_x g(L(\nu)) & D_y g(L(\nu)) \end{bmatrix}.$$

Since  $L(\xi), L(\nu) \in B$ , this implies

$$0 \in \det \begin{bmatrix} D_x f(B) & D_y f(B) \\ D_x g(B) & D_y g(B) \end{bmatrix},$$

which contradicts [2.7](#). □

## 2.3 GEOMETRIC CONSEQUENCE OF THE JACOBIAN CONDITION

The Jacobian condition on a box  $B \subseteq \mathbb{R}^3$  has geometric consequences that can be derived from the Inverse Function Theorem (IFT) of mathematical analysis [7]. Here is a version from [12] which is suitable for our needs:

Suppose we have a system of  $m$  functions in  $m + n$  variables,

$$\mathbf{f} = (f_1, \dots, f_m) : \Omega \subseteq \mathbb{R}^m \times \mathbb{R}^n \rightarrow \mathbb{R}^m \quad (2.11)$$

where  $\Omega$  is an open set of  $\mathbb{R}^m \times \mathbb{R}^n$  and each  $f_i = f_i(\mathbf{x}; \mathbf{y})$  is a function in the real variables  $\mathbf{x} = (x_1, \dots, x_m)$  and  $\mathbf{y} = (y_1, \dots, y_n)$ . Assume  $\mathbf{f}$  is  $C^1$ , for which we can define:

$$\frac{\partial \mathbf{f}}{\partial \mathbf{x}} = \left( \frac{\partial f_i}{\partial x_j} \right)_{i=1, j=1}^{m, m} = \begin{bmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_m} \\ \vdots & \dots & \vdots \\ \frac{\partial f_m}{\partial x_1} & \dots & \frac{\partial f_m}{\partial x_m} \end{bmatrix}$$

$$\frac{\partial \mathbf{f}}{\partial \mathbf{y}} = \left( \frac{\partial f_i}{\partial y_k} \right)_{i=1, k=1}^{m, n} = \begin{bmatrix} \frac{\partial f_1}{\partial y_1} & \dots & \frac{\partial f_1}{\partial y_n} \\ \vdots & \dots & \vdots \\ \frac{\partial f_m}{\partial y_1} & \dots & \frac{\partial f_m}{\partial y_n} \end{bmatrix}$$

**Theorem 2.2** (The Implicit Function Theorem).

Given  $\mathbf{f}$  as in 2.11, let  $(\mathbf{a}; \mathbf{b}) \in \Omega \subseteq \mathbb{R}^m \times \mathbb{R}^n$  satisfy

$$\mathbf{f}(\mathbf{a}; \mathbf{b}) = \mathbf{0} \text{ and } \frac{\partial \mathbf{f}}{\partial \mathbf{x}}(\mathbf{a}; \mathbf{b}) \text{ is invertible.}$$

Then there exists an open set  $X \subseteq \mathbb{R}^m$  containing  $\mathbf{a}$ , an open set  $Y \subseteq \mathbb{R}^n$  containing  $\mathbf{b}$ , and an (implicit) function  $\mathbf{g} : Y \rightarrow X$  such that:

- For each  $\mathbf{y}^* \in Y$ , there is a unique  $\mathbf{x}^* \in X$  such that  $\mathbf{f}(\mathbf{x}^*, \mathbf{y}^*) = \mathbf{0}$ . In fact,  $\mathbf{x}^* = \mathbf{g}(\mathbf{y}^*)$ . It follows that  $\mathbf{g}(\mathbf{b}) = \mathbf{a}$ .
- The function  $\mathbf{g} : Y \rightarrow X$  is  $C^1$  with Jacobian matrix  $J\mathbf{g}$  at any  $\mathbf{y}^* \in Y$  given by

$$J\mathbf{g}(\mathbf{y}^*) = - \left[ \frac{\partial \mathbf{f}}{\partial \mathbf{x}}(\mathbf{g}(\mathbf{y}^*), \mathbf{y}^*) \right]_{m \times m}^{-1} \cdot \left[ \frac{\partial \mathbf{f}}{\partial \mathbf{y}}(\mathbf{g}(\mathbf{y}^*), \mathbf{y}^*) \right]_{m \times n}$$

See [12, Theorem 2] for a proof.

An immediate consequence of the IFT theorem is this:

**Lemma 2.3** (Implicit Function under Jacobian Condition).

Let the Jacobian condition  $JC_3^{\mathbf{f}}(B)$  hold. If  $B$  contains a point  $\mathbf{p} = (p_1, p_2, p_3)$  of the curve  $\mathbf{f}^{-1}(\mathbf{0})$  then there exists an implicit  $C^1$  function

$$\mathbf{g} : J_{\mathbf{p}} \rightarrow \mathbb{R}^2 \tag{2.12}$$

where  $J_{\mathbf{p}}$  is an open interval containing  $p_3$  satisfying

$$(i) \quad \mathbf{g}(p_3) = (p_1, p_2).$$

$$(i) \quad \text{For all } z \in J_{\mathbf{p}},$$

$$\mathbf{f}(\mathbf{g}(z), z) = \mathbf{0}.$$

*Proof.* The condition  $JC_3^{\mathbf{f}}(B)$  implies that  $\Delta_3 \mathbf{f} = \det \begin{bmatrix} (f_1)_x(\mathbf{x}) & (f_1)_y(\mathbf{x}) \\ (f_2)_x(\mathbf{x}) & (f_2)_y(\mathbf{x}) \end{bmatrix}$  is non-zero at  $\mathbf{x} = \mathbf{p}$  (see 2.4). To apply Theorem 2.2 (IFT Theorem), let  $m = 1, n = 2, \mathbf{f} = (f_1, f_2), \mathbf{x} = (x, y)$  and  $\mathbf{y} = (z)$ . If  $(\mathbf{a}; \mathbf{b}) = (p_1, p_2; p_3) = \mathbf{p}$ , then the hypothesis of IFT Theorem is satisfied, namely

$$\mathbf{f}(\mathbf{a}; \mathbf{b}) = \mathbf{0}, \quad \text{and} \quad \frac{\partial \mathbf{f}}{\partial \mathbf{x}}(\mathbf{p}) = \Delta_3 \mathbf{f}(\mathbf{p}) \neq 0.$$



We conclude that there exists an implicit function  $g : X \rightarrow Y$  where  $X \subseteq \mathbb{R}^2$  is an open set containing  $(p_1, p_2)$ ,  $Y \subseteq \mathbb{R}$  is an open interval containing  $p_3$ , and for all  $y \in Y$ ,  $f(g(y); y) = \mathbf{0}$ . The theorem follows if we rename  $Y$  to be  $J_p$ , and view the range of  $g$  to be  $\mathbb{R}^2$ .  $\square$

In other words, this lemma tells us that the set

$$\{(g(z), z) : z \in J_p\}$$

(viewed as the graph of the function  $g$ ) is a parameterization of the curve  $f^{-1}(\mathbf{0})$  in some neighborhood of  $\mathbf{p}$ . Next, if  $g_i : J_i \rightarrow Y_i$  ( $i \in I$ ) is a collection of such graphs with the property that  $J_* := \cup_{i \in I} J_i$  is a connected interval. Then we can define a unique function  $g_* : J_* \rightarrow \mathbb{R}^2$  where  $g_*(\mathbf{p}) = g_i(\mathbf{p})$  for all  $\mathbf{p} \in J_*$ .

**Lemma 2.4** (No Loop under the Jacobian Condition).

*If  $JC^f(B)$  holds, then  $B$  does not contain a closed curve (i.e., loop) of  $f^{-1}(\mathbf{0})$ .*

*Proof.* Consider a box  $B$  such that  $JC^f(B)$  holds. Without loss of generality, assume that  $JC_3^f(B)$  holds. Then, Suppose  $B$  contains a loop  $C \subseteq f^{-1}(\mathbf{0})$ . Pick a point  $\mathbf{p} = (p_1, p_2, p_3) \in C$  where  $p_3$  is maximum. Note that such a point exists since  $C$  is contained in  $B$ . By Lemma 2.3, and  $JC_3^f(B)$ , there must be an open interval containing  $p_3$  in which  $C$  is parameterizable by the third coordinate axis. This contradicts  $p_3$  being the maximum of the third coordinates amongst the points in  $C$  when  $C$  is non-singular.  $\square$

## 2.4 THE MIRANDA CONDITIONS

So far, we have given three conditions:  $C_0(B)$ ,  $C_1(B)$ ,  $JC(B)$ . They all amount to the exclusion of  $\mathbf{0}$  from various algebraic expressions evaluated on the box  $B$ . The next one is slightly different, and may be called “Miranda conditions”.

We temporarily consider the general setting of an  $n$ -dimensional axes-parallel box  $B \subseteq \mathbb{R}^n$ : let  $B_i^-$  and  $B_i^+$  (for  $i = 1, \dots, n$ ) denote the pair of opposite facets<sup>2</sup> that are normal to the  $i$ th axis. Moreover, if  $\Pi_i(\mathbf{x}) = x_i$  denote the projection to the  $i$ th coordinate, then assume that  $x_i^- < x_i^+$  where  $\Pi_i(B_i^-) = \{x_i^-\}$  and  $\Pi_i(B_i^+) = \{x_i^+\}$ . If  $\mathbf{f} = (f_1, \dots, f_n) : \mathbb{R}^n \rightarrow \mathbb{R}^n$ , the following box predicate

$$MT^{\mathbf{f}}(B) \equiv \left[ \bigwedge_{i=1}^n (f_i(B_i^-) < 0 < f_i(B_i^+)) \right] \quad (2.13)$$

was called the “simple Miranda test” in [13]. The Miranda Theorem (1940) says that if  $MT^{\mathbf{f}}(B)$  holds, then  $B \cap \mathbf{f}^{-1}(\mathbf{0})$  is non-empty (e.g., [6]). But in our co-dimension one setting, the number of functions is not  $n$ , but  $n - 1$ . If  $\mathbf{f} = (f_1, \dots, f_{n-1})$ , then we define the **Miranda condition**  $MK(B) = MK^{\mathbf{f}}(B)$  as

$$MK^{\mathbf{f}}(B) \equiv \left[ \bigvee_{i=1}^n MK_i^{\mathbf{f}}(B) \right]$$

where  $MK_i(B) = MK_i^{\mathbf{f}}(B)$  is given by

$$MK_i^{\mathbf{f}}(B) \equiv \left[ \bigwedge_{j=1}^{i-1} (f_j(B_j^-) < 0 < f_j(B_j^+)) \right] \wedge \left[ \bigwedge_{j=i+1}^n (f_{j-1}(B_j^-) < 0 < f_{j-1}(B_j^+)) \right]. \quad (2.14)$$

Note that  $MK_i(B)$  places no restrictions on the pair of faces  $B_i^-$  and  $B_i^+$ .

---

<sup>2</sup>I.e.,  $(n - 1)$ -dimensional faces of  $B$ .

## 2.5 A MIRANDA-TYPE THEOREM FOR SPACE CURVES

For  $n = 3$ , and  $\mathbf{f} = (f_1, f_2)$ ,  $MK_3^{\mathbf{f}}(B)$  is simply

$$MK_3(B) = (f_1(B_1^-) < 0 < f_1(B_1^+)) \wedge (f_2(B_2^-) < 0 < f_2(B_2^+))$$

Now, we present the following theorem:

**Theorem 2.5** (Miranda Theorem for Curves).

*Let  $B \subseteq \mathbb{R}^3$  and the following conditions hold:*

$$C_1^{\mathbf{f}}(B) \wedge JC_3^{\mathbf{f}}(B) \wedge MK_3^{\mathbf{f}}(B). \quad (2.15)$$

*Then  $\text{Zero}(f, g) \cap B$  is comprised of a single curve component with endpoints in  $B_3^-$  and  $B_3^+$ , respectively.*

If the given theorem is true, the local curves in a set of boxes  $B$  in an initial box  $B_0$  satisfying  $C_1^{\mathbf{f}}(B) \wedge JC_3^{\mathbf{f}}(B) \wedge MK_3^{\mathbf{f}}(B)$  can be "stitched together" to curve components in  $B_0$ , allowing for an approximation of the traced curve components.

Now, let us consider the following lemma:

**Lemma 2.6** (Existence of a curve). *Assume that a box  $B$  satisfies  $JC_3(B) \wedge MK_3(B)$ , then*

*there exists a curve  $H : I \rightarrow \mathbb{R}^3$  within  $\mathbf{f}^{-1}(\mathbf{0})$ , which connects the faces  $B_z^-$  and  $B_z^+$ .*

*Proof.* Because of the standard 2-dimensional Miranda theorem, we know that there exists a point  $\mathbf{p} \in B_z^+$  such that  $\mathbf{f}(\mathbf{p}) = \mathbf{0}$ . By Lemma 2.2, there exists an open interval  $J_{\mathbf{p}}$  containing  $p_3$ , and a differentiable function

$$\mathbf{h}_{\mathbf{p}} : J_{\mathbf{p}} \rightarrow \mathbb{R}^2 \quad (2.16)$$

such that  $\mathbf{h}_p(p_3) = (p_1, p_2)$  and, for all  $z \in J_p$ ,

$$\mathbf{f}(\mathbf{h}_p(z), z) = \mathbf{0}.$$

Among all open intervals  $J_p$  with that property, let  $J = (a, b)$  be one, which minimizes  $a$ . Note that the curve  $H : J \rightarrow \mathbb{R}^3, z \mapsto \mathbf{f}(\mathbf{h}_p(z), z)$  cannot intersect any of  $B$ 's faces except for  $B_z^-$  and  $B_z^+$  since  $MK_3(B)$  is satisfied. If  $a < \Pi_3(B_z^-)$  then the curve  $H$  connects the opposite faces  $B_z^-$  and  $B_z^+$  as desired. We will now show that  $a \geq \Pi_3(B_z^-)$  leads to a contradiction. We again use the Lemma 2.2 for  $\mathbf{q} = (\mathbf{h}(a), a)$  to derive an open interval  $J_q$  together with a differentiable function  $\mathbf{h}_q$  similar as before. But this is a contradiction to the maximality of interval  $J$  because also the longer interval  $J \cup J_q$  could have been chosen together with the function  $\mathbf{h}$ :

$$\mathbf{h} : J \cup J_q, z \mapsto \begin{cases} \mathbf{h}_p(z) & \text{if } z > a \\ \mathbf{h}_q(z) & \text{otherwise} \end{cases} \quad (2.17)$$

Note that also  $\mathbf{h}$  is differentiable because both  $\mathbf{h}_p$  and  $\mathbf{h}_q$  are so and the domains ( $z$ -components) and images overlap.  $\square$

Building upon this, we now present a proof of the Miranda Theorem for Curves (Theorem 2.5):

*Proof.* Without loss of generality, consider a box  $B = [-1, 1]^3$  satisfying  $C_1^f(B) \wedge JC_3^f(B) \wedge MK_3^f(B)$ .

For such a box  $B$ , the faces  $B_z^+$  and  $B_z^-$  lie in the planes  $z = 1$  and  $z = -1$ .

By the standard Miranda theorem applied to pair of functions  $(f(x, y, 1), g(x, y, 1))$ , we can see that there is a point  $\mathbf{p}_1 = (p_1, p_2, 1) \in B_z^+$  such that  $\mathbf{f}(\mathbf{p}_1) = \mathbf{0}$ . By applying the quantitative IFT, we can construct the graph of a function  $g_1 : [1-\mu, 1+\mu] \rightarrow \mathbb{R}^2$  such that  $\{(g_1(z), z) : z \in [1-\mu, 1+\mu]\}$  is a parameterization of  $\text{Zero}(\mathbf{f})$  around  $\mathbf{p}_1$ . Then, we can choose a point  $\mathbf{p}_2 = (g_1(1-\mu), 1-\mu) \in B$

on the curve. This point is in  $B$  and thus we can apply the theorem again to get another function

$$g_2 : [1 - \mu, 1 + \mu] \rightarrow \mathbb{R}^2$$

whose graph is a parameterization of  $Zero(\mathbf{f})$  around the point  $\mathbf{p}_2$ . Continuing in this way, we can construct a curve through the points  $\mathbf{p}_1, \mathbf{p}_2, \dots, \mathbf{p}_k$  (for some  $k \geq 1$ ) until the curve reaches the face  $B_z^-$ .

Now, let  $\Gamma$  be such a curve.

As  $MK_3(B)$  holds in  $B$ , it can trivially be seen that the curve  $\Gamma$  cannot be intersecting the pair of facets  $B_1^\pm$  or the pair of faces  $B_2^\pm$ . ( $\forall i \in \{1, 2\}. f_i(B_1^-) < 0 < f_i(B_1^+) \implies 0 \notin f_i(B_1^-) \wedge 0 \notin f_i(B_1^+)$ )

This implies:

$$\forall p = (p_1, p_2, p_3) \in \Gamma. p_1, p_2, p_3 \in [-1, 1] \quad (2.18)$$

Moreover, as the curve  $\Gamma$  connects the faces  $B_z^+$  and  $B_z^-$ , we have:

$$\forall z \in [-1, 1]. \exists x, y \in [-1, 1]. (x, y, z) \in \Gamma \quad (2.19)$$

We now claim that  $Zero(\mathbf{f}) \cap B$  is contained in  $\Gamma$ , which proves our theorem. Suppose, for the sake of contradiction, that there is some point  $\mathbf{p}' = (x', y', z')' \in (Zero(\mathbf{f}) \cap B) \setminus \Gamma$ .

For such a  $\mathbf{p}' = (x', y', z')$ , we have  $x', y', z' \in [-1, 1]$  by (2.18)

However, for the same  $z'$ , we must also have:  $\exists x, y \in R. (x, y, z') \in \Gamma$  by (2.19).

$((x, y) \neq (x', y'))$  is known as  $\mathbf{p}' \notin \Gamma$

This implies that, for the box  $B' = [[-1, 1], [-1, 1], z']$  we must have:

$$|Zero(f, g) \cap B'| \geq 2$$

As we have  $\{(x, y, z), (x, y, z')\} \subseteq Zero(f, g) \cap B'$  and  $(x, y) \neq (x', y')$

Moreover, as  $B' \subseteq B$ , we have  $JC_3^f(B')$  (as a result of  $JC_3^f(B)$ ).

However, by Lemma 2.1, we know  $JC_3^f(B') \implies |Zero(f, g) \cap B'| \leq 1$

Thus, we have  $JC_3^f(B') \wedge |Zero(f, g) \cap B'| \geq 2 \wedge (JC_3^f(B') \implies |Zero(f, g) \cap B'| \leq 1)$ , which is a contradiction.

Hence, our assumption that there exists  $\mathbf{p}' = (x', y', z')' \in (Zero(\mathbf{f}) \cap B) \setminus \Gamma$  must be false, proving our claim that  $Zero(\mathbf{f}) \cap B$  is contained in  $\Gamma$ .

This completes the proof of the Miranda Theorem for Curves. □

## 2.6 PRECONDITIONING

Unfortunately, the Miranda conditions discussed in the preceding sections are not guaranteed to hold as we consider smaller and smaller boxes around an arbitrary non-singular space curve. As discussed in [13], a preconditioning operation is needed to provide such guarantees.

To describe an adequate preconditioning operation, let us denote by  $e_i$  for  $i \in \{1, 2, 3\}$  the unit vectors  $(1, 0, 0)^T$ ,  $(0, 1, 0)^T$ , and  $(0, 0, 1)^T$  respectively. Moreover, let us denote by  $\delta_{ij}$  the Kronecker delta, i.e.  $\delta_{ij} = 1$  if and only if  $i = j$ . Otherwise  $\delta_{ij} = 0$ . We use  $\partial_i$  for the partial derivative along the  $i$ -th coordinate direction. Denote for a matrix  $M \in \mathbb{R}^{m \times n}$  by  $M_{ij}$  the  $i$ -th row and  $j$ -th column entry of  $M$ .

Then, the standard preconditioning of the MK uses the following transformation:

$$\forall p \in \mathbb{R}^3 : \quad \begin{pmatrix} \tilde{f}_1 \\ \tilde{f}_2 \\ \tilde{f}_3 \end{pmatrix}_{(p)} = \begin{pmatrix} \nabla f_1^T \\ \nabla f_2^T \\ \nabla f_3^T \end{pmatrix}_{(m_B)}^{-1} \cdot \begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix}_{(p)} \quad (2.20)$$

**Lemma 2.7** (Derivatives for the standard preconditioning). *The standard preconditioning aligns the function space of  $\tilde{f}_1$ ,  $\tilde{f}_2$  and  $\tilde{f}_3$  such that*

$$\forall i \in \{1, 2, 3\} : \quad \nabla \tilde{f}_i = e_i \quad (2.21)$$

*Moreover every root of  $\tilde{\mathbf{f}}$  is also a root of  $\mathbf{f}$ .*

*Proof.* The second equation holds to linearity of derivatives:

$$\begin{aligned}
 \partial_i \tilde{f}_j(m) &= e_j^T \begin{pmatrix} \partial_i \tilde{f}_1 \\ \partial_i \tilde{f}_2 \\ \partial_i \tilde{f}_3 \end{pmatrix}_{(p)} \\
 &= e_j^T \underbrace{\begin{pmatrix} \nabla f_1^T \\ \nabla f_2^T \\ \nabla f_3^T \end{pmatrix}_{(m_B)}}_{e_i}^{-1} \cdot \begin{pmatrix} \partial_i f_1 \\ \partial_i f_2 \\ \partial_i f_3 \end{pmatrix}_{(p)} \\
 &= \delta_{ij}
 \end{aligned}$$

Therefore,  $\nabla \tilde{f}_i = e_i$  as claimed.

The transformation matrix is invertible and therefore  $\forall p \in \mathbb{R}^3$ :

$$\begin{pmatrix} \tilde{f}_1 \\ \tilde{f}_2 \\ \tilde{f}_3 \end{pmatrix}_{(p)} = \begin{pmatrix} \nabla f_1^T \\ \nabla f_2^T \\ \nabla f_3^T \end{pmatrix}_{(m_B)}^{-1} \cdot \begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix}_{(p)} = 0 \quad \Leftrightarrow \quad \begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix}_{(p)} = 0$$

I.e. a root of  $\tilde{\mathbf{f}}$  is also a root of  $\mathbf{f}$ . □

It has been proven that this preconditioning ensures the halting of the *MK* test in a small enough box around roots if  $\mathbf{f}$  in [13]. Thus, this preconditioning can be used before the *MK* test in a subdivision algorithm searching for the roots of  $\mathbf{f}$  (where boxes far from the roots are eventually discarded by  $C_0^{\mathbf{f}}$ ) to guarantee eventual halting of the search.

Unfortunately the curve  $\tilde{f}_1^{-1}(0) \cap \tilde{f}_2^{-1}(0)$  in transformed space does not directly tell us anything about the curve  $f_1^{-1}(0) \cap f_2^{-1}(0)$  in primal space. As a result, preconditioned Miranda tests



cannot be directly combined with the Miranda Theorem for Curves (Theorem 2.5) for an isotopic approximation of the traced curve.

On the other hand, the information regarding the roots of  $f$  (given by information regarding the roots of  $\tilde{f}$ ) is valuable, as it can be seen by its use in our algorithm in the proceeding chapters.

## 3 | ALGORITHM DESIGN

Here, we present two algorithms which, combined, allow us to approximate space curves. These algorithms build upon the tests and theoretical results provided in Chapter 2.

### 3.1 CURVE-ISOLATING SUBDIVISION ALGORITHM

Let us first define a subdivision of a box  $B_0$  as a set  $\bar{B}$  of disjoint boxes for which  $\bigcup_{B \in \bar{B}} B = B_0$  holds. Let us consider the elements of such a  $\bar{B}$  connected if and only if the boxes share a face.

Let us also define the concept of an octree subdivision of a box  $B_0$  as a subdivision of  $B_0$  achievable by starting with the set  $\bar{B} = \{B_0\}$  and splitting an arbitrary element of  $\bar{B}$  to eight boxes sharing the same ratios of dimensions as the original box iteratively for an arbitrary number of iterations.

Moreover, for an arbitrary box  $B \subseteq \mathbb{R}^3$ , let  $Faces(B)$  be the set of all 2D faces of  $B$ .

Now, for arbitrary box or box face  $B$  and real number  $c \geq 1$ , define  $B.scale(c)$  as the box/box face sharing the same mid-point and proportions as  $B$  but having all interval widths multiplied by  $c$ .

For  $\mathbf{f} = (f_1, f_2)$  implicitly defining a space curve and satisfying the presented assumptions on an initial bounded box  $B_0 \subseteq \square\mathbb{R}^3$ :

For all  $face \in Faces(B)$  for some  $B \subseteq \square\mathbb{R}^3$ , consider the standard preconditioning defined as follows:

$$\tilde{\mathbf{f}} = \begin{pmatrix} \tilde{f}_1 \\ \tilde{f}_2 \end{pmatrix}_{(p)} = \begin{pmatrix} \nabla f_1^T \\ \nabla f_2^T \end{pmatrix}_{(m_{face})}^{-1} \cdot \begin{pmatrix} f_1 \\ f_2 \end{pmatrix}_{(p)}$$

Then, consider the preconditioned test:  $F\_PMK_c^{\mathbf{f}}(face) \equiv MT^{\tilde{\mathbf{f}}}(face.scale(c))$

(Where  $MT$  is the simple miranda test defined as in (2.13))

Such a test can be equivalently written as:  $F\_PMK_c^{\mathbf{f}}(face) \equiv$

$$\left[ (\tilde{f}_1((face_1^-).scale(c)) < 0 < \tilde{f}_1((face_1^+).scale(c))) \wedge (\tilde{f}_2((face_2^-).scale(c)) < 0 < \tilde{f}_2((face_2^+).scale(c))) \right] \quad (3.1)$$

Then, define preconditioned MK test for boxes defined as follows:

$$PMK_c^{\mathbf{f}}(B) \equiv \left[ \bigvee_{face \in faces(B)} F\_PMK_c^{\mathbf{f}}(face.scale(c)) \right] \quad (3.2)$$

Using the test  $PMK_c^f(B)$ , alongside  $C_0$ ,  $C_1$ , and  $JC$  defined in previous sections, we can define the following algorithm:

---

**Algorithm 1**  $Subdivide^f(B_0, c, \epsilon)$

**Input:**  $B_0 \subseteq \mathbb{R}^3, c \geq 1, \epsilon \in \mathbb{R}^+ \cup \{+\infty\}$

**Output:** A subset  $\mathbf{B}$  of an octree subdivision of  $B_0$

---

**Ensure:**  $\forall B \in \mathbf{B}. (\neg C_0^f(B)) \wedge (size(B) \leq \epsilon) \wedge C_1^{f_1}(B) \wedge C_1^{f_2}(B) \wedge JC^f(B.scale(4)) \wedge PMK_c^f(B)$

$\mathbf{B} \leftarrow \emptyset$

$Q \leftarrow \{B_0\}$

**while**  $Q \neq \emptyset$  **do**

$B \leftarrow Q.pop()$

**if**  $\neg C_0^f(B)$  **then** ▷ If  $B$  not excluded

**if**  $(size(B) \leq \epsilon) \wedge C_1^{f_1}(B) \wedge C_1^{f_2}(B) \wedge JC^f(B.scale(4)) \wedge PMK_c^f(B)$  **then**

$\mathbf{B}.push(B)$  ▷ Subdivision halted for box  $B$

**else**

$Q.push(B.split())$  ▷ Further subdivision required

**end if**

**end if**

**end while**

---

Where Boolean expressions are evaluated using short-circuit evaluation. This ensures that each test in  $(size(B) \leq \epsilon) \wedge C_1^{f_1}(B) \wedge C_1^{f_2}(B) \wedge JC^f(B.scale(4)) \wedge PMK_c^f(B)$  is only carried out if the preceding tests are true.

Note that as all  $B \in \mathbf{B}$  satisfies  $PMK_c^f(B)$ , we can see that there exists  $face \in Faces(B.scale(c))$  such that the 2D MK test on  $face.scale(c)$  succeeds.

By the Miranda theorem ([6]), this implies:

$$\forall B \in \mathbf{B}. \exists \text{face} \in \text{Faces}(B.\text{scale}(c)). \exists \mathbf{p} \in \text{face}.\text{scale}(c). \mathbf{f}(\mathbf{p}) = 0$$

which means that there is a point  $\mathbf{p}$  close to the volume of box  $B$  which lies on the traced curve  $S = B \cap \mathbf{f}^{-1}(0)$ . This closeness is determined by  $c$  passed to the algorithm.

We also know that for the traced curve cannot be passing through any volume not covered by a  $B \in \mathbf{B}$  as all boxes in such volumes must have been discarded by the  $C_0$  (exclusion) predicate.

Moreover, as  $B.\text{scale}(4)$  for all boxes  $B \in \mathbf{B}$ , we know by Lemma 2.4 that there are no closed loops of the traced curve in  $B.\text{scale}(4)$  for all  $B \in \mathbf{B}$ .

Unfortunately, however, we have no proof of further properties regarding the traced curve's behavior in the outputted set of boxes  $\mathbf{B}$  as the results of the Miranda Theorem for Curves (Theorem 2.5) do not directly imply any guarantees when a preconditioning step is used. (As explained in Section 2.6)

However, experimentally,  $\text{Subdivide}^f(B_0, c, \epsilon)$  has been observed to output a set of boxes  $\mathbf{B}$  whose connected components  $K_1, \dots, K_m$  each cover exactly one of the connected components of the traced curve in  $B_0$ . (i. e. connected components of  $\mathbf{B}$  isolate the connected components of the traced curve in  $B_0$ )

### 3.2 RECONSTRUCTION ALGORITHM

Let  $Q_{\text{curve}}$  be a set of boxes, outputted by  $\text{Subdivide}^f(B_0, c, \epsilon)$  for some  $B_0 \subseteq \mathbb{R}^3$ ,  $c > 1$ ,  $\epsilon > 0$ . Then, we present the following algorithm to find approximations for the connected components of the traced curve in  $B_0$ :

---

**Algorithm 2** *Reconstruct*( $Q_{\text{curve}}$ )

**Input:**  $Q_{\text{curve}} \subseteq \square\mathbb{R}^3$

**Output:** An  $\epsilon$ -approximation of the curve(s) in  $\mathbf{f} = \mathbf{0}$

---

- 1: Create a directed graph  $G$  that contains:
    - 2: A vertex for each box in  $Q_{\text{curve}}$
    - 3: A directed edge from  $B_1$  to  $B_2 \Leftrightarrow$  both boxes share a piece of an edge and the direction of the edge conforms with  $JC(B_1)$  and  $JC(B_2)$
  - 4: Split the graph  $G$  into simple connected components  $K_1, K_2, \dots$
  - 5:
  - 6: **for** each component  $K_i$  **do**
    - 7: **if**  $\exists$  directed cycle in  $K_i$  with  $length > 2$  **then**
      - 8: Output *short(est)* directed cycle in  $K_i$  with  $length > 2$
    - 9: **else**
      - 10: Output a path realizing the graph diameter of the undirected version of  $K_i$
    - 11: **end if**
  - 12: **end for**
-

where the graph diameter for the undirected version  $K_i$  is determined with the following algorithm:

---

**Algorithm 3** *Find\_Diameter( $G$ )*

**Input:** undirected graph  $G = (V, E)$

**Output:** A path  $v, \dots, w$  realizing the diameter of  $G$

---

- 1: Let  $u \in V$
  - 2: Use BFS to find a vertex  $v$  with maximum distance from  $u$
  - 3: Use BFS to find a vertex  $w$  with maximum distance from  $v$
  - 4: Return a shortest path from  $v$  to  $w$
- 

In the *Reconstruct* algorithm, A directed edge from  $B_1$  to  $B_2$  is considered to conform with  $JC(B_1)$  and  $JC(B_2)$  if and only if the vector pointing from the center of  $B_1$  to the center of  $B_2$  does not contradict the parameterization implied by the  $JC(B_1)$  and  $JC(B_2)$  conditions.

With this, the *Reconstruct* algorithm works by constructing directed graphs for each connected component  $K_i$  of the input set  $Q_{\text{curve}}$  where the direction of each edge represents a potential way the traced curve passes between the boxes in the connected component.

If such a graph for a connected component includes cycles with length greater than two, the *Reconstruct* algorithm returns the shortest of such cycles. While we currently do not possess theoretical guarantees for such an approximation, we have experimentally observed that such cycles have only been detected in the created graphs when the traced curve piece was a closed loop inside the related connected component (for which the smallest of such cycles presented a simple approximation).

If the graph for a connected component does not include any cycles with length greater than two, then we know that the traced curve must not have a cycle of length greater than

two in the connected component as the predicates used are conservative (and thus only ever over-approximate the possibilities for the real behavior of the curve). For such cases, the approximation of the traced curve piece by a path realizing the graph diameter has been experimentally a good approximation.

Here, it must be noted that the limit of two for cycle length is necessary as cycles of length two occur sporadically in the created graphs due to the way directed edges are created. While this has not caused the erroneous approximation of any traced curve components in our experiments, further work is needed in either providing guarantees or improving this method.



## 4 | IMPLEMENTATION AND EXPERIMENTS

### 4.1 IMPLEMENTATION

The algorithms described in Chapter 3 have been implemented in Matlab alongside the needed data structures, predicates, and interval arithmetic.

In this process, the *Subdivide* algorithm has been implemented with the *parfor* function of Matlab for parallelizing the subdivision of boxes at each depth as follows:

```

1  ...
2
3  %Depth limit for phase 1
4  depthlimit = 8;
5  %Depth limit for phase 2
6  numiterMKlimit = 6;
7
8  ...
9
10 % Subdivision Phase 1
11 Q = B0; %Input of the first phase of subdivision
12 QJac = []; %Output of first phase of subdivision
13
14 % Depth for phase 1
15 depth = 0;
16
17 % Create a subdivision of boxes, which all satisfy the predicates untill C1 tests
18 % and the Jacobian tests hold (where boxes satisfying C0 get excluded at each level)
19 while ~isempty(Q) && depth <= depthlimit
20     Q_next = cell(length(Q),1);
21     QJac_add = cell(length(Q),1);
22
23     disp(['Phase 1: depth = ', num2str(depth), ' | length(Q) = ', num2str(length(Q))]);
24
25     %Parallel Subdivision
26     parfor i = 1:length(Q)
27         B_par = Q(i);
28         if ~local_predicate.C0(B_par,f,1) && ~local_predicate.C0(B_par,g,2)
29             if B_par.radius<MAXEPS && local_predicate.C1(B_par,df,3) &&
    ↪ local_predicate.C1(B_par,dg,4) && ... %&& local_predicate.C1cross(B_par,df,dg,5)

```

```

30         local_predicate.Jacobian(B_par,df,dg,5)
31         QJac_add{i} = B_par;
32     else
33         children = B_par.split;
34         Q_next{i} = children;
35     end
36 end
37 end
38
39 accepted = [QJac_add{:}];
40
41 disp(['# accepted boxes = ', num2str(length(accepted))]);
42
43 %Collection of results
44 Q = [Q_next{:}];
45 QJac = [QJac, QJac_add{:}];
46
47 depth = depth+1;
48 end
49
50 if ~isempty(Q) && depth == depthlimit + 1
51     disp("Phase 1 stopped due to depth limit");
52 end
53
54 disp(['Time for Phase 1: ',num2str(toc(tStart)),'s']);
55
56 disp("Phase 1 finalized.");
57 disp("Proceeding to phase 2...");
58
59 tPhase2 = tic;
60
61 %% Subdivision Phase 2
62 QMK = QJac; %Input of the second phase of subdivision
63 Qcurve = []; %Output of second phase of subdivision
64 %%
65 %Depth for phase 2
66 numiterMK = 1;
67
68 while ~isempty(QMK) && numiterMK <= numiterMKlimit
69     %Iteration cell arrays
70     QMK_next = cell(length(QMK),1);
71     Qcurve_add = cell(length(QMK),1);
72
73     disp(['Phase 2: numiterMK = ', num2str(numiterMK), ' | length(QMK) = ',
74 ↪ num2str(length(QMK))]);
75
76     %Parallel Subdivision
77     parfor i = 1:length(QMK)
78         B_par = QMK(i);
79         if ~local_predicate.C0(B_par,f,1) && ~local_predicate.C0(B_par,g,2)
80             if local_predicate.Jacobian(B_par,df,dg,5) &&
81 ↪ local_predicate.MK_face(B_par,f,df,g,dg,7) %local_predicate.MK_face(B_par,f,df,g,dg,7)
82                 if any(B_par.testresults{7})
83                     Qcurve_add{i} = B_par;
84                 end %Else: MK test has succeeded not in finding a root, but in excluding all in
85 ↪ internal call to C0_faces
86             else
87                 children = B_par.split;
88                 QMK_next{i} = children;
89             end
90         end
91     end
92 end

```

```

88     end
89
90     accepted = [Qcurve_add{:}];
91
92     disp(['# accepted boxes = ', num2str(length(accepted))]);
93
94     %Collection of results
95     QMK = [QMK_next{:}];
96     Qcurve = [Qcurve, Qcurve_add{:}];
97
98     numiterMK = numiterMK+1;
99 end

```

As it can be seen, the subdivide algorithm has been split into two steps here to better understand the depth of subdivision required for all boxes to satisfy the  $JC$  condition and the additional depth of subdivision required to satisfy the  $MK$  test.

Then, the output of this subdivision step  $Qcurve$  is used to create the required graph structures, and is used to find approximations for the traced curve pieces. (Appendix [A.1](#) can be seen for the whole main code including these steps for Algorithm [2](#).)

The code presented in Appendix [A](#) can be seen for further details regarding these steps, as well as the implementations of the related functions and the main data structures.

## 4.2 EXPERIMENTS AND RESULTS

In the following pages, the results for the experimental approximations of several space curves (each implicitly defined by two functions) can be seen.

When discussing the result, the first subdivision loop will be referred as Phase 1 and the second subdivision loop will be referred as Phase 2.

### 4.2.1 EXPERIMENT 1

Approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = x^2 + y^2 - z$$

$$f_2(x, y, z) = x^2 + y^2 + z^2 - 1$$

where inputs for  $Subdivide^f(B_0, c, \epsilon)$  are as follows

$$B_0 = [[-1, 1], [-1, 1], [-1, 1]]$$

$$c = 1.3$$

$$\epsilon = \infty$$

presents the following behavior:

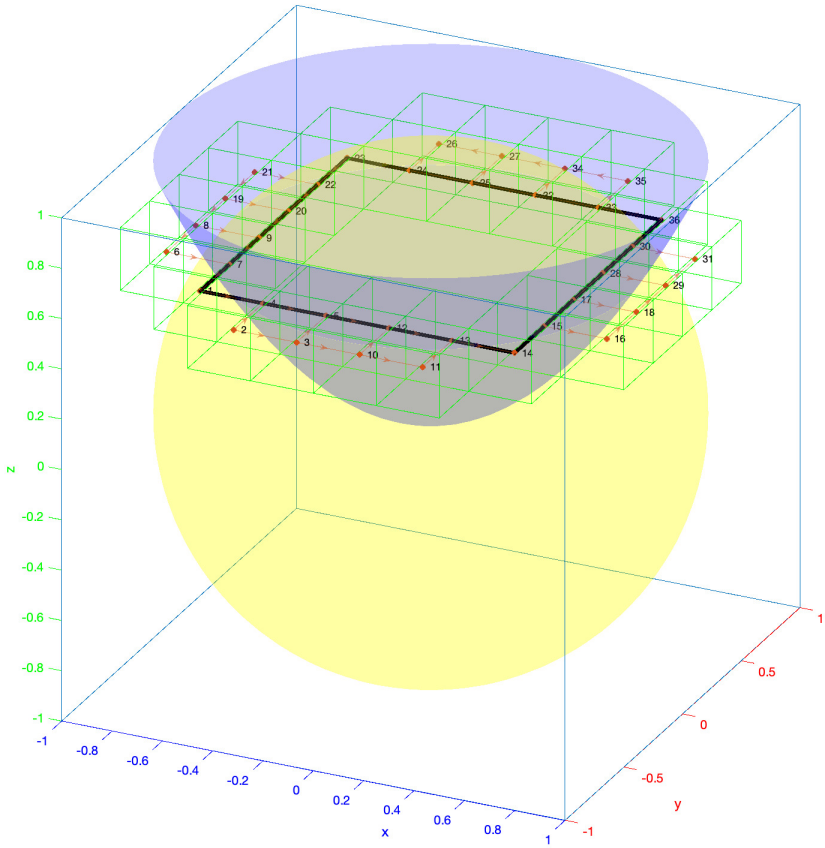
**Table 4.1:** Experiment 1 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	64	0
3	224	44
4	128	4
Time: 0.84525s		

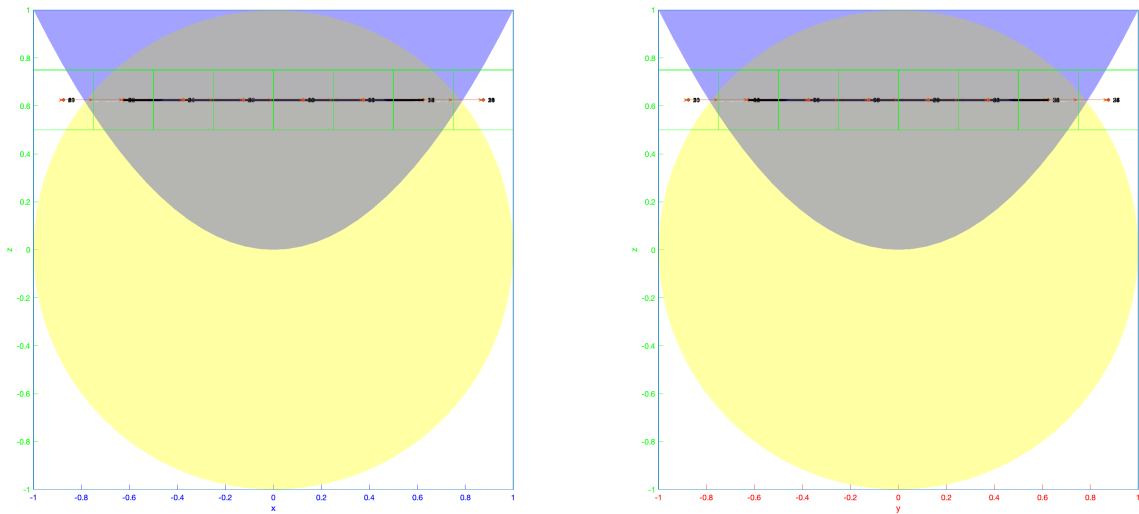
**Table 4.2:** Experiment 1 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	48	36
1	96	0
Time: 1.1794s		

The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:

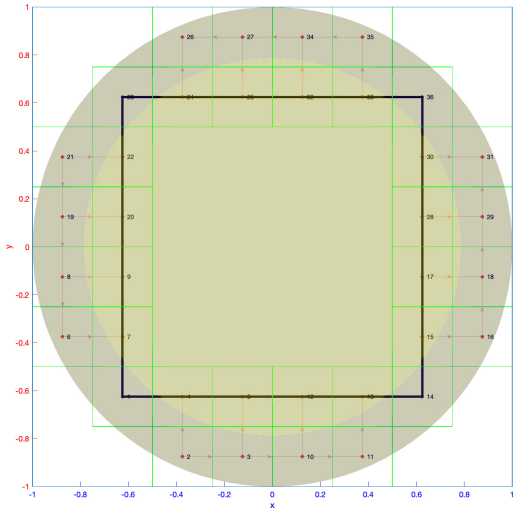


**Figure 4.1:** The output for experiment 1 seen in 3D



(a) Front view

(b) Side view



(c) Top view

**Figure 4.2:** The output for experiment 1 viewed from the front, the side, and top

## 4.2.2 EXPERIMENT 2

Approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = x^2 + y^2 - z$$

$$f_2(x, y, z) = x^2 + y^2 + z^2 - 1$$

where inputs for  $Subdivide^f(B_0, c, \epsilon)$  are as follows:

$$B_0 = [[-1, 1], [-1, 1], [-1, 1]]$$

$$c = 1.3$$

$$\epsilon = 0.05$$

presents the following behavior and results:

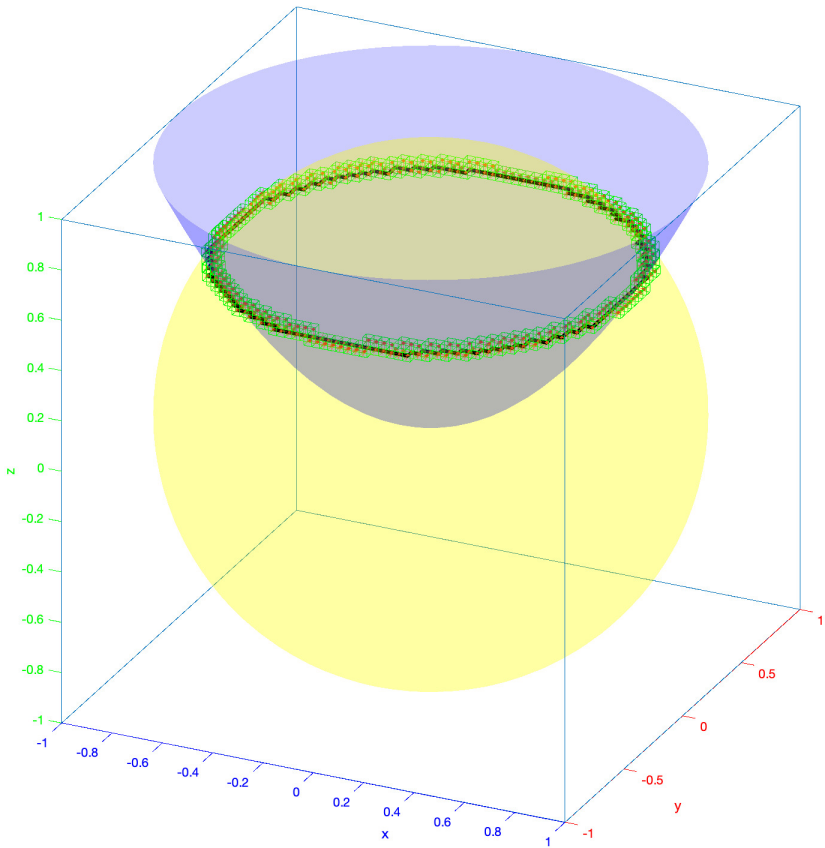
**Table 4.3:** Experiment 2 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	64	0
3	224	0
4	480	0
5	928	0
6	1664	424
Time: 3.5449s		

**Table 4.4:** Experiment 2 - Phase 2

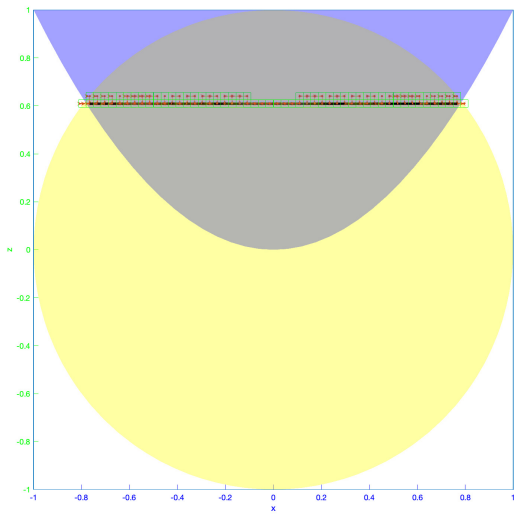
Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	424	408
1	128	0
Time: 13.8679s		

The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:

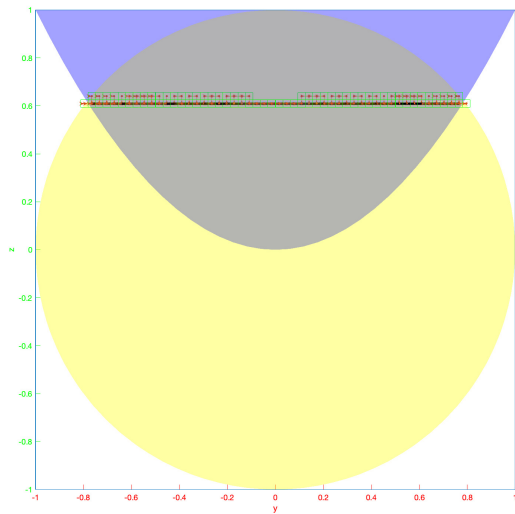


**Figure 4.3:** The output for experiment 2 seen in 3D

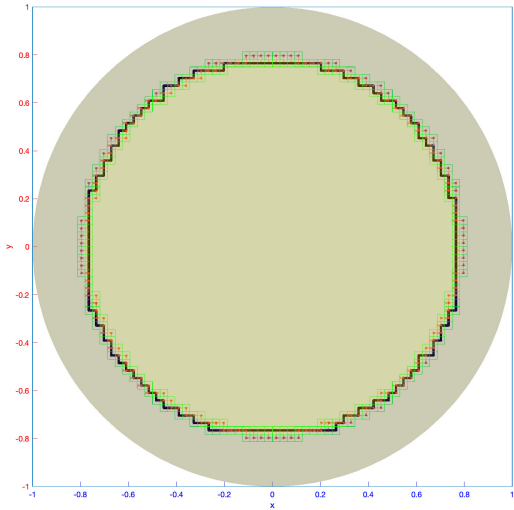




(a) Front view



(b) Side view



(c) Top view

**Figure 4.4:** The output for experiment 2 viewed from the front, the side, and top

### 4.2.3 EXPERIMENT 3

Approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = x^4 + 2x^2y^2 + y^4 - 2(x^2 + y^2) + 1 - z$$

$$f_2(x, y, z) = 0.5 - z$$

where inputs for  $Subdivide^f(B_0, c, \epsilon)$  are as follows:

$$B_0 = [[-1.2, 1.2], [-1.2, 1.2], [-1.2, 1.2]]$$

$$c = 1.3$$

$$\epsilon = \infty$$

presents the following behavior and results:

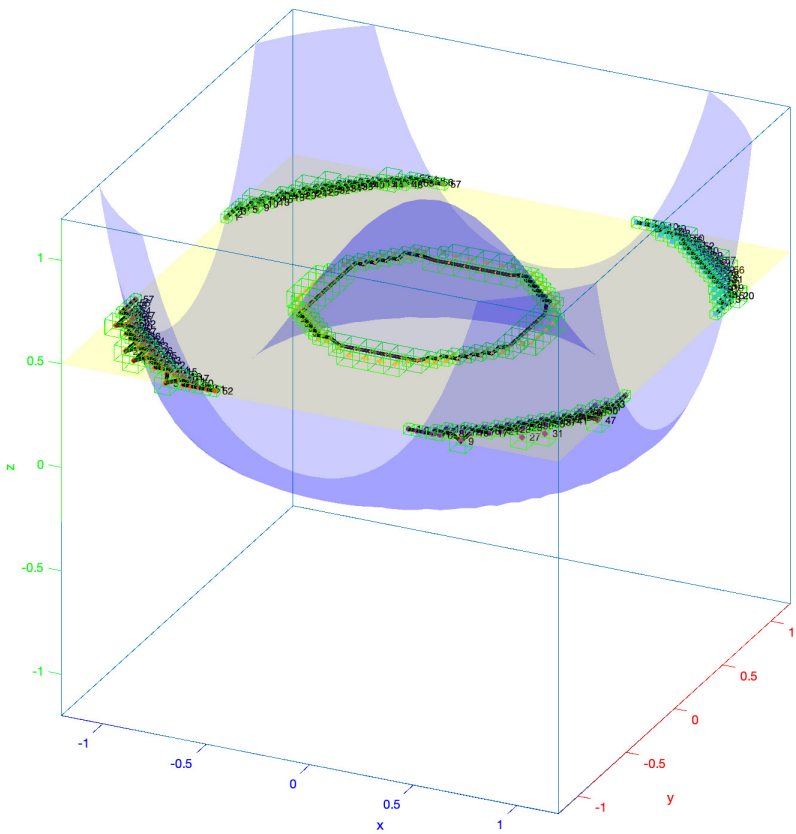
**Table 4.5:** Experiment 3 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	32	0
3	128	0
4	480	0
5	1920	108
6	3456	660
7	96	0
Time: 10.9647s		

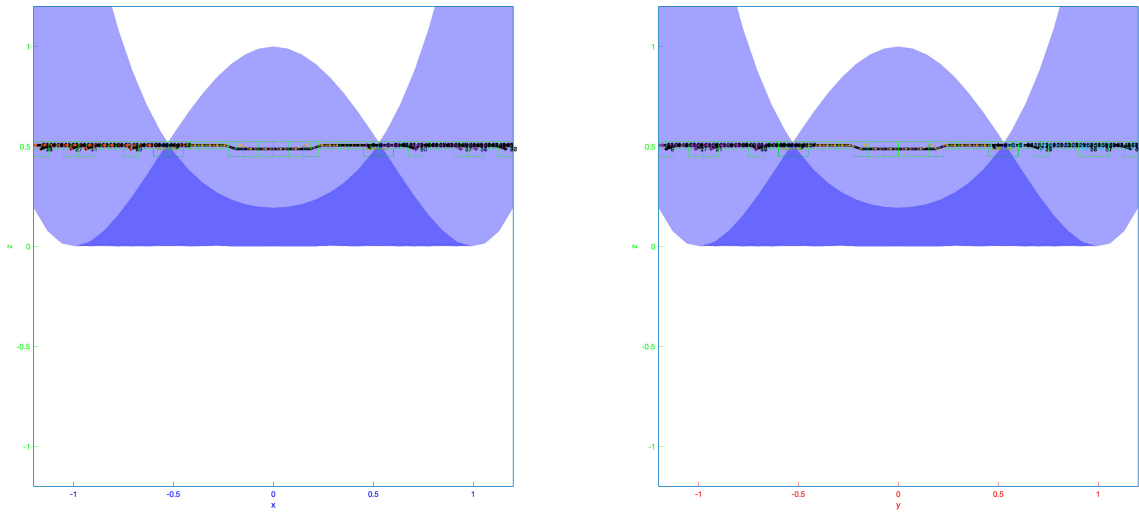
**Table 4.6:** Experiment 3 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	768	364
1	1824	0
2	736	0
Time: 31.1397s		

The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:

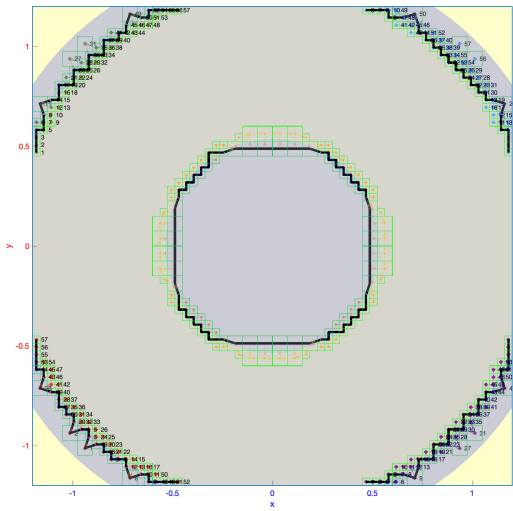


**Figure 4.5:** The output for experiment 3 seen in 3D



(a) Front view

(b) Side view



(c) Top view

Figure 4.6: The output for experiment 3 viewed from the front, the side, and top

#### 4.2.4 EXPERIMENT 4

Approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = x^2 + y^2 - z^2 - 2$$

$$f_2(x, y, z) = x^2 - y^2 + z^2 - 1$$

where inputs for  $Subdivide^f(B_0, c, \epsilon)$  are as follows:

$$B_0 = [[-3, 3], [-3, 3], [-3, 3]]$$

$$c = 1.3$$

$$\epsilon = \infty$$

presents the following behavior and results:

**Table 4.7:** Experiment 4 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	64	0
3	384	16
4	896	200
5	384	40
Time: 3.1143s		

Table 4.8: Experiment 4 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	256	224
1	256	0
2	256	0
Time: 23.6716s		

The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:

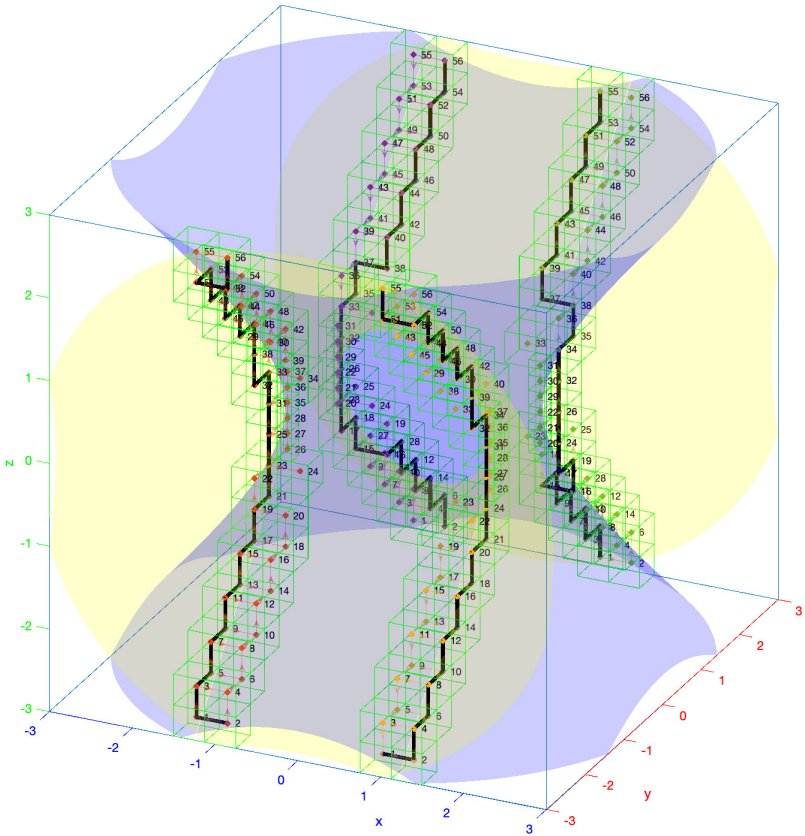
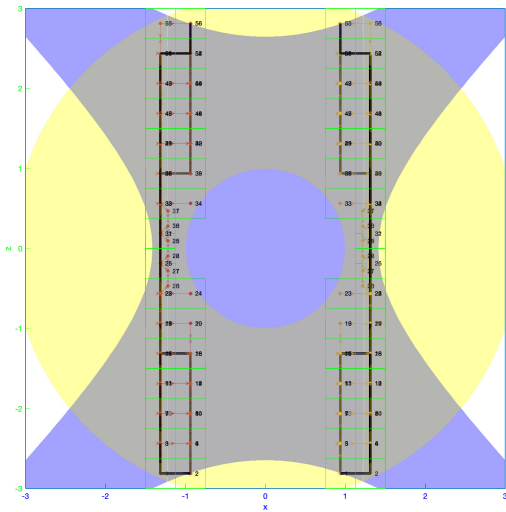
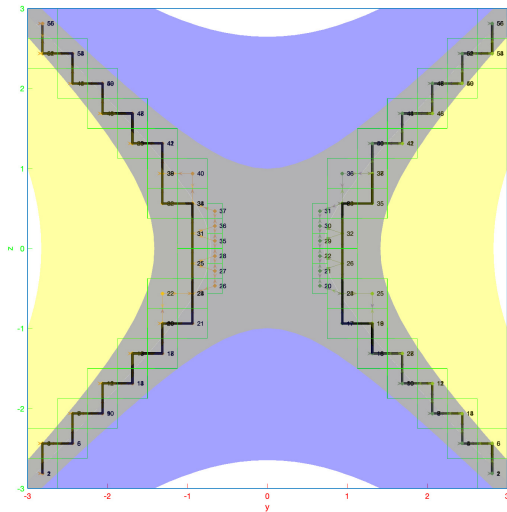


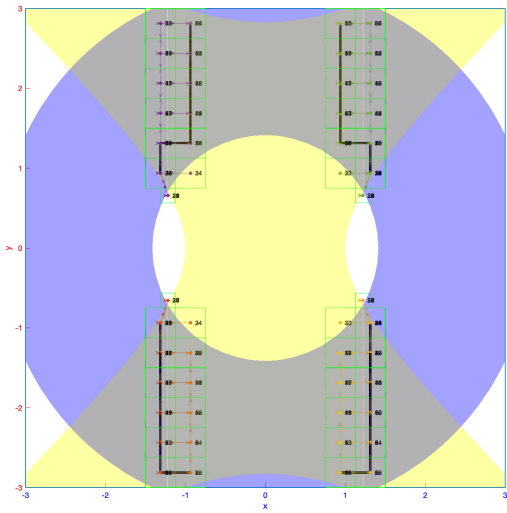
Figure 4.7: The output for experiment 4 seen in 3D



(a) Front view



(b) Side view



(c) Top view

**Figure 4.8:** The output for experiment 4 viewed from the front, the side, and top

#### 4.2.5 EXPERIMENT 5

For this experiment, we look at the approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = \|\langle qp1, p1 - [x; y; z] \rangle\| / \|qp1\| - \|\langle qp2, p2 - [x; y; z] \rangle\| / \|qp2\|$$

$$f_2(x, y, z) = \|\langle qp1, p1 - [x; y; z] \rangle\| / \|qp1\| - \|\langle qp3, p3 - [x; y; z] \rangle\| / \|qp3\|$$

where  $p1, p2, p3$  represent coordinates of three points and  $qp1, qp2, qp3$  represent 3D vectors, given as follows:

$$p1 = [0; 0; 4]$$

$$p2 = [0; 0; -4]$$

$$p3 = [1; 1; 0]$$

$$qp1 = [4; 3; 0]$$

$$qp2 = [4; -3; 0]$$

$$qp3 = [4; 1; 4]$$

With this, the given  $f_1$  function implicitly defines the surface of equal distance to the line defined by point  $p1$  and vector  $qp1$  and the line defined by point  $p2$  and vector  $qp2$ . Similarly,  $f_2$  implicitly defines the surface of equal distance to the line defined by point  $p1$  and vector  $qp1$  and the line defined by point  $p3$  and vector  $qp3$ .

Thus, the 1D manifold implicitly defined by  $f_1$  and  $f_2$  is the Voronoi diagram of the lines defined by the given points and the corresponding vectors.



For the experiment, inputs for  $Subdivide^f(B_0, c, \epsilon)$  are given as follows:

$$B_0 = [[-10, 10], [-10, 10], [-10, 10]]$$

$$c = 1.3$$

$$\epsilon = \infty$$

and we observe the following behavior and results:

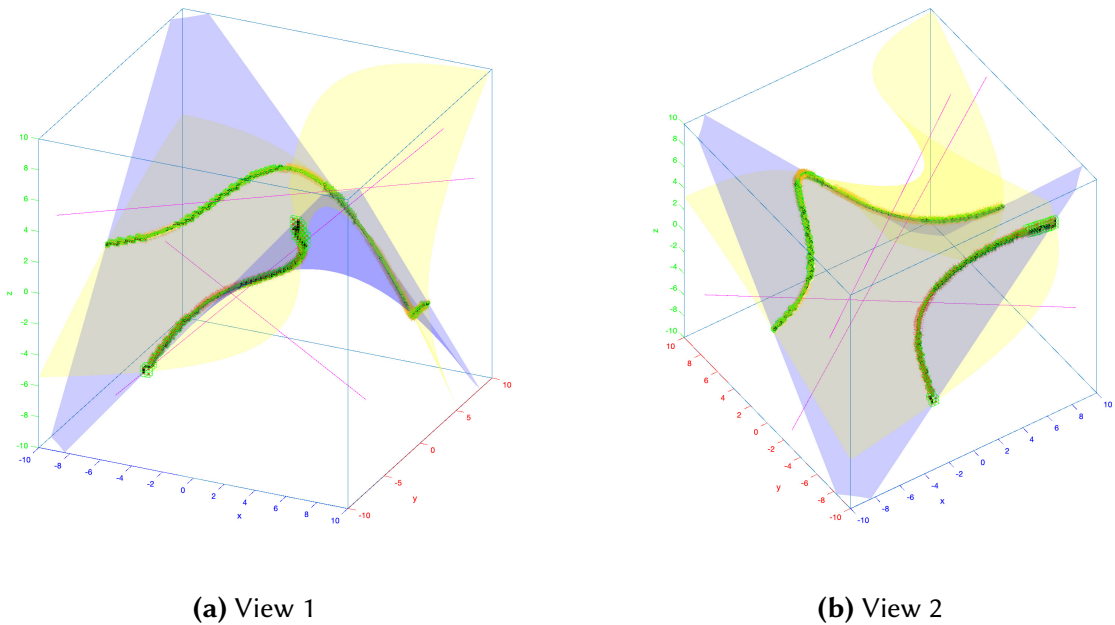
**Table 4.9:** Experiment 5 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	64	0
3	368	16
4	1368	200
5	4504	40
6	10424	186
7	20376	2945
8	19056	4949
Time: 305.3464s		

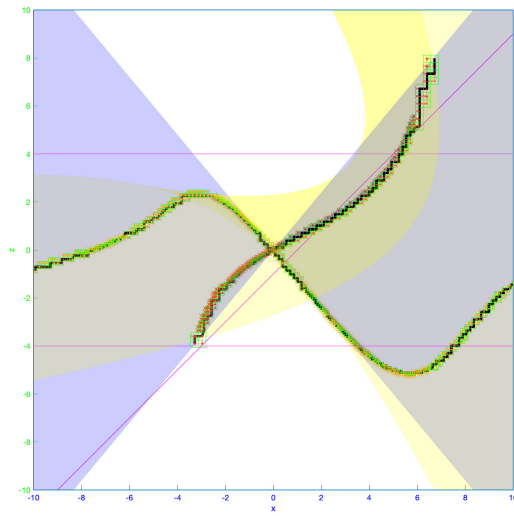
**Table 4.10:** Experiment 5 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	8080	1551
1	44312	31
2	59488	0
3	45816	0
4	11504	0
5	296	0
Time: 4451.3464s		

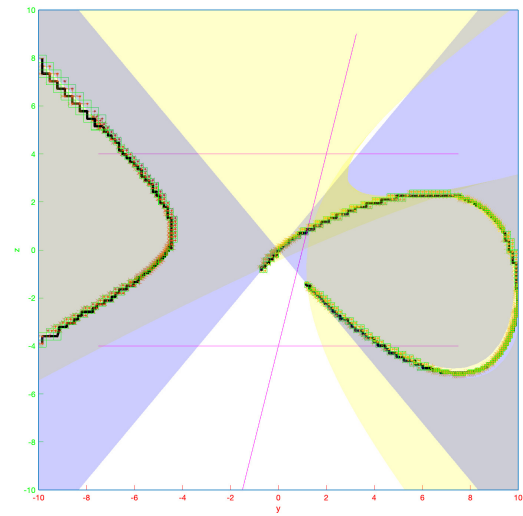
The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:



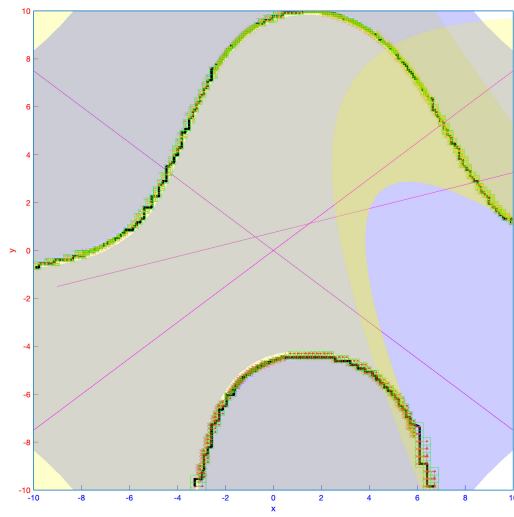
**Figure 4.9:** The output for experiment 5 seen in 3D, from two different angles



(a) Front view



(b) Side view



(c) Top view

**Figure 4.10:** The output for experiment 5 viewed from the front, the side, and top

## 5 | CONCLUSION

The isotopic approximation of implicitly-defined co-dimension 2 manifolds in  $n$  dimensional Euclidean space is an open problem in computational geometry. Building upon previous research on the approximation of co-dimension 1 manifolds, we have approached this problem using interval arithmetic and adaptive subdivision.

We have discussed predicates over interval boxes informative of the behavior of implicit space curves and presented proofs for guarantees provided by the combinations of such predicates.

We further discussed a preconditioning operation, which is currently used to guarantee the eventual detection of non-singular roots with the MK test for boxes containing the roots ([13]) at the cost of the apparent loss of some guarantees provided by our predicates.

Building upon this theory, we designed and implemented a new algorithm for the approximation of space curves and demonstrated the experimental results observed with this algorithm.

While the presented algorithm has not been shown to carry all the theoretical guarantees required for a guarantee for providing isotopic approximations, it has been demonstrated to provide accurate experimental results for the experiments studied in this thesis.

# A | APPENDIX

## A.1 MAIN CODE FOR THE IMPLEMENTED ALGORITHMS

```

1  %Main code for parallelized subdivision
2
3  %% Initialization
4  tic;
5  syms f_syms g_syms d_syms x y z
6  assume(x,'real');
7  assume(y,'real');
8  assume(z,'real');
9
10 % %Example 1
11 % f_syms(x,y,z) = x.^2+y.^2-z;
12 % g_syms(x,y,z) = x.^2+y.^2+z.^2-1;
13 % B0 = freecurvebox([-ones(3,1),ones(3,1)]);
14 % MAXEPS = inf;
15
16 % %Example 2 - Same as Example 1, but with a limit imposed on box sizes by MAXEPS
17 % f_syms(x,y,z) = x.^2+y.^2-z;
18 % g_syms(x,y,z) = x.^2+y.^2+z.^2-1;
19 % B0 = freecurvebox([-ones(3,1),ones(3,1)]);
20 % MAXEPS = 0.05;
21
22 % %Example 3
23 % f_syms(x,y,z) = x.^4+2*x.^2*y.^2+y.^4 -2*(x.^2+y.^2) +1-z;
24 % g_syms(x,y,z) = 0.5-z;
25 % % B0 = freecurvebox([zeros(3,1),ones(3,1)]);
26 % B0 = freecurvebox([-ones(3,1),ones(3,1)].scale(1.2));
27 % % B0 = freecurvebox([-1,-0.8;-1,-0.8;0.4,0.6]);
28 % % B0 = freecurvebox([-ones(2,1),ones(2,1);[0.1,2.1]]);
29 % MAXEPS = inf;
30
31 % %Example 4
32 % f_syms(x,y,z) = x.^2+y.^2-z.^2 - 2;
33 % g_syms(x,y,z) = x.^2-y.^2+z.^2 - 1;
34 % B0 = freecurvebox([zeros(3,1),ones(3,1)]);
35 % B0 = freecurvebox([-ones(3,1),ones(3,1)].scale(3));
36 % % B0 = freecurvebox([-1,-0.8;-1,-0.8;0.4,0.6]);
37 % % B0 = freecurvebox([-ones(2,1),ones(2,1);[0.1,2.1]]);
38 % MAXEPS = inf;
39

```



```

98 B0.testresults = cell(1,8);
   ↳ %1:C0(f),2:C0(g),3:C1(f),4:C1(g),5:Jacobian,6:MK,7:MK_face,8:C0_face
99 B0.inherittestindices = 1:4;
100
101 %Depth limit for phase 1
102 depthlimit = 8;
103 %Depth limit for phase 2
104 numiterMKlimit = 6;
105
106 tStart = tic;
107
108 %% Subdivision Phase 1
109 Q = B0; %Input of the first phase of subdivision
110 QJac = []; %Output of first phase of subdivision
111
112 % Depth for phase 1
113 depth = 0;
114
115 % Create a subdivision of boxes, which all satisfy the predicates untill C1 tests
116 % and the Jacobian tests hold (where boxes satisfying C0 get excluded at each level)
117 while ~isempty(Q) && depth <= depthlimit
118     Q_next = cell(length(Q),1);
119     QJac_add = cell(length(Q),1);
120
121     disp(['Phase 1: depth = ', num2str(depth), ' | length(Q) = ', num2str(length(Q))]);
122
123     %Parallel Subdivision
124     parfor i = 1:length(Q)
125         B_par = Q(i);
126         if ~local_predicate.C0(B_par,f,1) && ~local_predicate.C0(B_par,g,2)
127             ↳ if B_par.radius<MAXEPS && local_predicate.C1(B_par,df,3) &&
128                 ↳ local_predicate.C1(B_par,dg,4) && ... %&& local_predicate.C1cross(B_par,df,dg,5)
129                 ↳ local_predicate.Jacobian(B_par,df,dg,5)
130                 QJac_add{i} = B_par;
131             else
132                 children = B_par.split;
133                 Q_next{i} = children;
134             end
135         end
136     end
137
138     accepted = [QJac_add{:}];
139
140     disp(['# accepted boxes = ', num2str(length(accepted))]);
141
142     %Collection of results
143     Q = [Q_next{:}];
144     QJac = [QJac, QJac_add{:}];
145
146     depth = depth+1;
147 end
148
149 if ~isempty(Q) && depth == depthlimit + 1
150     disp("Phase 1 stopped due to depth limit");
151 end
152
153 disp(['Time for Phase 1: ',num2str(toc(tStart)),'s']);
154
155 disp("Phase 1 finalized.");
156 disp("Proceeding to phase 2...");

```

```

157 tPhase2 = tic;
158
159 %% Subdivision Phase 2
160 QMK = QJac; %Input of the second phase of subdivision
161 Qcurve = []; %Output of second phase of subdivision
162 %%
163 %Depth for phase 2
164 numiterMK = 1;
165
166 while ~isempty(QMK) && numiterMK <= numiterMKlimit
167     %Iteration cell arrays
168     QMK_next = cell(length(QMK),1);
169     Qcurve_add = cell(length(QMK),1);
170
171     disp(['Phase 2: numiterMK = ', num2str(numiterMK), ' | length(QMK) = ',
↪ num2str(length(QMK))]);
172
173     %Parallel Subdivision
174     parfor i = 1:length(QMK)
175         B_par = QMK(i);
176         if ~local_predicate.C0(B_par,f,1) && ~local_predicate.C0(B_par,g,2)
177             if local_predicate.Jacobian(B_par,df,dg,5) &&
↪ local_predicate.MK_face(B_par,f,df,g,dg,7) %local_predicate.MK_face(B_par,f,df,g,dg,7)
178                 if any(B_par.testresults{7})
179                     Qcurve_add{i} = B_par;
180                 end %Else: MK test has succeeded not in finding a root, but in excluding all in
↪ internal call to C0_faces
181             else
182                 children = B_par.split;
183                 QMK_next{i} = children;
184             end
185         end
186     end
187
188     accepted = [Qcurve_add{:}];
189
190     disp(['# accepted boxes = ', num2str(length(accepted))]);
191
192     %Collection of results
193     QMK = [QMK_next{:}];
194     Qcurve = [Qcurve, Qcurve_add{:}];
195
196     numiterMK = numiterMK+1;
197 end
198
199 if ~isempty(QMK) && numiterMK == numiterMKlimit + 1
200     disp("Phase 2 stopped due to depth limit");
201 end
202
203 disp(['Time for Phase 2: ', num2str(toc(tPhase2)), 's']);
204
205 disp("Phase 2 finalized.");
206
207 %% Outputs
208 disp(['Total time for subvision: ', num2str(toc(tStart)), 's']);
209
210 disp(['Number of boxes in Q: ', num2str(length(Q))]);
211
212 %boxes which were not classified and fail the Jacobian
213 for i = 1:length(Q)
214     Q(i).plotbox(ax, 'r');

```



```

215 end
216
217 disp(['Number of boxes in QMK: ', num2str(length(QMK))]);
218
219 %boxes which were not classified but pass the Jacobian
220 for i = 1:length(QMK)
221     QMK(i).plotbox(ax, 'y');
222 end
223
224 disp(['Number of accepted boxes: ', num2str(length(Qcurve))]);
225
226 %boxes which pass the MK test and satisfy all the C1/Jacobian requirements
227 for i = 1:length(Qcurve)
228     Qcurve(i).plotbox(ax, 'g');
229 end
230
231 %% #####
232
233
234
235
236
237 %% Curve Construction
238 tic;
239
240 leavessub = leaves(B0);
241 n = length(leavessub);
242 nodesnearcurvelogical = false(n,1);
243 centers = zeros(3,n);
244
245 parfor i = 1:n
246     B = leavessub(i);
247     leavessub(i).boxid = i;
248     centers(:,i) = leavessub(i).center;
249     if any(B.testresults{7}) %all boxes in the final subdivision which pass the MK test also
250         ↪ pass Jacobian
251         nodesnearcurvelogical(i) = true;
252     end
253 end
254 nodes = 1:n;
255 nodesnearcurve = nodes(nodesnearcurvelogical); %list of nodes according to initial IDs
256
257 Afull = logical(sparse(n,n));
258
259 for i = 1:n %Cannor directly use parfor here
260     neighbors = leavessub(i).neighbors;
261     for j = 1:length(neighbors) %probably not worth doing with parfor
262         Afull(i,neighbors(j).boxid) = true;
263     end
264 end
265
266 Afull = Afull | Afull';
267 Gfull = graph(Afull);
268
269 Gnearcurve = subgraph(Gfull, nodesnearcurve);
270
271 bins = conncomp(Gnearcurve);
272 numcomps = numel(unique(bins));
273 curvepieces = cell(1, numcomps);
274
275 disp(['Numcomps: ', num2str(numcomps)])

```

```

275
276 for comp = 1:numcomps
277     nodescomponentlogical = bins == comp;
278     nodescomponent = nodesnearcurve(nodescomponentlogical);
279     Gcomponent = subgraph(Gnearcurve,nodescomponentlogical);
280
281     ndirected = length(nodescomponent);
282
283     disp(["Comp ", num2str(comp), ":"])
284     disp(["Component size:", ndirected])
285
286     if ndirected == 1
287         disp("Skipped comp of size 1.")
288         disp("-----ooooo-----")
289         continue
290     end
291
292     Adirected = logical(sparse(ndirected,ndirected));
293     edges = table2array(Gcomponent.Edges);
294     for i = 1:size(edges,1)
295         edge = edges(i,:);
296         B1 = leavessub(nodescomponent(edge(1)));
297         B2 = leavessub(nodescomponent(edge(2)));
298         center1 = B1.center;
299         center2 = B2.center;
300         direction = (B1.radius+B2.radius)/sqrt(3) - (center2-center1) < 10*eps;
301         direction = direction*(-1)^(center1(direction)<center2(direction));%B1 has smaller
↪ coordinates than B2 in direction
302         if all((direction')*B1.testresults{5} >= 0) && all((direction')*B2.testresults{5} >=0)
303             Adirected(edge(1),edge(2)) = true;
304             elseif all((direction')*B1.testresults{5} <= 0) && all((direction')*B2.testresults{5}
↪ <=0)
305                 Adirected(edge(2),edge(1)) = true;
306             end
307         end
308     Gdirected = digraph(Adirected);
309     hGdirected = plot(ax,Gdirected,'XData',centers(1,nodescomponent),'YData',centers(2,nodesc
↪ omponent),'ZData',centers(3,nodescomponent));
310     hGdirected.ArrowSize = 5; %7.5;
311     hGdirected.LineWidth = 0.5; %0.75;
312
313     %%Find cycle or path
314     %Use BFS to create a tree with shortest paths
315     %Then run DFS to check for paths that have all boxes nearby (neighbors of neighbors)
316     %Repeat for all neighbors of the starting vertex and select the shortest path
317     [path,foundcycle] = findcycle(Gdirected);
318     if ~foundcycle
319         disp("Cycle not found!")
320         path = findpath(Gdirected);
321     else
322         disp("Cycle found.")
323     end
324     disp(["Path length:", length(path)])
325     disp("-----ooooo-----")
326     curvepieces{comp} = path;
327
328     %Plot curve
329     Coordinates = zeros(3,length(path));
330     for i = 1:length(path)
331         Coordinates(:,i) = leavessub(nodescomponent(path(i))).center;
332     end

```

```
333     plot3(ax,Coordinates(1,:),Coordinates(2,:),Coordinates(3,:), '-k', 'Linewidth',3);
334 end
335 disp(['time for graph algorithm: ',num2str(toc),'s']);
```

## A.2 CODE FOR THE CYCLE FINDING ALGORITHM USED IN RECONSTRUCTION

```

1  function [cycle,foundcycle] = findcycle(G)
2      if nargin < 1
3          s = [1 1 2 3 3 4 4 6 6 7 8 7 5];
4          t = [2 3 4 4 5 5 6 1 8 1 3 2 8];
5          G = digraph(s,t);
6          plot(G);
7          hold on;
8      end
9      %We use node 1 or any of its neighbors as start vertex
10
11     edges = table2array(G.Edges);
12     n = size(G.Nodes,1);
13     neighbors = (edges(:,1) == 1) | (edges(:,2) == 1); %any(edges == 1,2);
14     neighbors = unique(neighbors,:);
15     foundcycle = false;
16     cycle = [];
17     i = 1;
18     while ~foundcycle && i <= length(neighbors)
19         s = neighbors(i);
20         [~,D] = shortestpathtree(G,'all',s);
21         maxdist = max(D(~isinf(D)));
22
23         farthestnodesbool = D >= maxdist-2 & ~isinf(D) & D>1;
24         farthestnodes = 1:n;
25         farthestnodes = farthestnodes(farthestnodesbool);
26         j = 1;
27         while maxdist < inf && ~foundcycle && j <= length(farthestnodes)
28             if findedge(G,farthestnodes(j),s) || findedge(G,s,farthestnodes(j))
29                 foundcycle = true;
30                 t = farthestnodes(j);
31                 TR = shortestpathtree(G,t,s,'OutputForm','cell');
32                 cycle = [TR{1},t];
33             end
34             j = j+1;
35         end
36         i = i+1;
37     end
38 end

```

### A.3 CODE FOR THE PATH FINDING ALGORITHM USED IN RECONSTRUCTION

```

1  function path = findpath(G)
2      if nargin < 1
3          s = [1 3 3 4 6 6 7 8 7 5];
4          t = [2 4 5 5 1 8 1 3 2 8];
5          G = digraph(s,t);
6          plot(G);
7          hold on;
8      end
9      edges = table2array(G.Edges);
10     Gundirected = graph(edges(:,1),edges(:,2));
11     % H = flippedge(G);
12     v = 1;
13     [~,D] = shortestpathtree(Gundirected,'all',v);
14     s = find(D==max(D),1);
15     [~,D] = shortestpathtree(Gundirected,'all',s);
16     t = find(D==max(D),1);
17     TR = shortestpathtree(Gundirected,s,t,'OutputForm','cell');
18     path = TR{1};
19
20     %Warning: this is not 100% correct, we would also need to additionally check that the first
    ↪ and last boxes are on the domain boundary
21 end

```

## A.4 CODE FOR THE IMPLEMENTED TESTS

```

1  classdef local_predicate < handle
2
3      %Assuming the following indexing for inherited test results:
4      %1:C0(f),2:C0(g),3:C1(f),4:C1(g),5:JC,6:MK,7:MK_face,8:C0_face
5
6      properties (Constant)
7          JC_scale = 4;
8          MK_scale = 2;
9          %For the test used on 6 sides:
10         MK_box_scale = 1.3; %Scales each box before determining the faces, must be above 1 for
↪ current implementation
11         MK_face_scale = 1.3; %Scales each face of the box, must be above 1 for current
↪ implementation
12         MK_jac_ind = 6;
13         C0_depth = 0; %0 means just evalaute on the main box, and gives the best performance by
↪ far (for C0_depth)
14         C0_face_depth = 0; %0 means just evalaute on the main box, and seems to give the best
↪ performance (for C0_face_depth)
15     end
16
17     methods
18         function this = local_predicate()
19             local_tracecurve;
20         end
21     end
22     methods(Static)
23         % ##### C0 #####
24         function bool = C0(B,f,ind)
25             if nargin > 2
26                 if isempty(B.testresults{ind})
27                     bool = local_predicate.C0core(B,f);
28                     B.testresults{ind} = bool;
29                 else
30                     bool = B.testresults{ind};
31                 end
32             else
33                 bool = local_predicate.C0core(B,f);
34             end
35         end
36         function bool = C0core(B,f)
37             %Returns true if the surface f=0 surely does not pass through B
38             %bool = 0 \notin f(B)
39             bool = funexcludes0(f,B,local_predicate.C0_depth);
↪ %~interval.zeros(1).subset(f(B));
40         end
41         % #####
42
43
44
45         % ##### C1 #####
46         function bool = C1(B,df,ind)
47             if nargin > 2
48                 if isempty(B.testresults{ind})
49                     bool = local_predicate.C1core(B,df);
50                     B.testresults{ind} = bool;

```

```

51         else
52             bool = B.testresults{ind};
53         end
54     else
55         bool = local_predicate.C1core(B,df);
56     end
57 end
58 function bool = C1core(B,df)
59     %C1(B,df, IND)
60     %Returns true if the surface f=0 satisfies the C1 condition
61     %bool = 0 \notin < df(B) , df(B) >
62     Bint = B.interval;
63     bool = ~interval.zeros(3,1).subset(df(Bint)'*df(Bint));
64 end
65 function bool = C1cross(B,df,dg,ind)
66     if nargin > 2
67         if isempty(B.testresults{ind})
68             bool = local_predicate.C1crosscore(B,df,dg);
69             B.testresults{ind} = bool;
70         else
71             bool = B.testresults{ind};
72         end
73     else
74         bool = local_predicate.C1crosscore(B,df);
75     end
76 end
77 function bool = C1crosscore(B,df,dg)
78     %C1cross(B,df,dg,ind)
79     %Returns true if the curve defined by f=0 and g=0 satisfies the C1 condition
80     %bool = 0 \notin < df(B) x dg(B) , df(B) x dg(B)>
81     Bint = B.interval;
82     cross_fg = cross(df(Bint),dg(Bint));
83     bool = ~interval.zeros(3,1).subset(cross_fg'*cross_fg);
84 end
85 % #####
86
87
88
89 % ##### JC #####
90 function [bool,v] = Jacobian(B,df,dg,ind)
91     if nargin > 3
92         if isempty(B.testresults{ind})
93             [bool,v] = local_predicate.Jacobiancore(B,df,dg);
94             B.testresults{ind} = v;
95         else
96             v = B.testresults{ind};
97             bool = any(v);
98         end
99     else
100         [bool,v] = local_predicate.Jacobiancore(B,df,dg);
101     end
102     B.passesJacobian = B.passesJacobian || bool; %for function markcurve
103 end
104 function [bool,v] = Jacobiancore(B,df,dg)
105     B = B.scale(local_predicate.JC_scale);
106     v = zeros(3,1);
107     dB = [df(B),dg(B)];
108     for i = 1:3
109         testinterval = -(-1)^i*det(dB(setdiff([1,2,3],i),:));
110         if 0 < testinterval
111             v(i) = 1;

```

```

112         elseif testinterval < 0
113             v(i) = -1;
114         else
115             v(i) = 0; %Jacobian did not succeed
116         end
117     end
118     bool = any(v);
119 end
120 % #####
121
122 % ##### MK #####
123 function bool = MK(B,f,df,g,dg,ind,ax)
124     if nargin > 5
125         if isempty(B.testresults{ind})
126             if nargin > 6
127                 bool = local_predicate.MKcore(B,f,df,g,dg,ax);
128             else
129                 bool = local_predicate.MKcore(B,f,df,g,dg);
130             end
131             B.testresults{ind} = bool;
132         else
133             bool = B.testresults{ind};
134         end
135     else
136         bool = local_predicate.MKcore(B,f,df,g,dg);
137     end
138 end
139 function bool = MKcore(B,f,df,g,dg,ax)
140     %Returns true if there are two pairs of opposite faces of B
141     %with f having opposite sign on one pair, and g on the other.
142     %Includes preconditioning around the box center
143     B = B.scale(local_predicate.MK_scale);
144     m = B.center;
145     dfm = df(m); dgm = dg(m);
146
147     Jm = [dfm,dgm,cross(dfm,dgm)]';
148     invJm = Jm^(-1);
149     h = @(varargin) cross(dfm,dgm)'*(funmanipulation.convert2vec(varargin{:})-m);
150     ↪ %varargin can be x,y,z components or box or interval vector
151     fprime = @(varargin) invJm(1,:) * [f(varargin{:});g(varargin{:});h(varargin{:})];
152     gprime = @(varargin) invJm(2,:) * [f(varargin{:});g(varargin{:});h(varargin{:})];
153     hprime = @(varargin) invJm(3,:) * [f(varargin{:});g(varargin{:});h(varargin{:})];
154
155     faces = B.facets;
156     if ~funsmaller0(fprime,faces(1,1)) || ~funsmaller0(@(B) -fprime(B),faces(1,2)) ||
157     ↪ ...
158         ~funsmaller0(gprime,faces(2,1)) || ~funsmaller0(@(B) -gprime(B),faces(2,2)) ||
159     ↪ ...
160         ~funsmaller0(hprime,faces(3,1)) || ~funsmaller0(@(B) -hprime(B),faces(3,2))
161         bool = false;
162     else
163         bool = true;
164     end
165
166     if nargin>5
167         Bint = B.interval;
168         plothandle = B.plotbox(ax,'b');
169         if ~exist('axorig','var') || isempty(axorig) || ~isgraphics(axorig) %#ok<NODEF>
170             subplot(1,3,1,ax);

```



```

170         axorig = subplot(1,3,2);
171         r = groot;
172         Monitors = r.MonitorPositions;
173         [~,M] = max(Monitors(:,3));
174         fig = ax.Parent;
175         sizex = Monitors(M,3);
176         originalPos = fig.Position;
177         newPos = originalPos;
178
179         set(fig, 'units', 'pixels', 'position',newPos);
180         prepareaxes(axorig);
181     end
182     if ~exist('axtrans','var') || isempty(axtrans) || ~isgraphics(axtrans)
↪ %ok<NODEF>
183         axtrans = subplot(1,3,3);
184         prepareaxes(axtrans);
185     end
186
187     plothoriginal = local_predicate.plotfunctions(axorig,f,g,h,Bint);
188     plothtransformed =
↪ local_predicate.plotfunctions(axtrans,fprime,gprime,hprime,Bint);
189     ploth = [plothoriginal,plothtransformed];
190 end
191
192     if nargin>5
193         if bool
194             titletext = 'success';
195         else
196             titletext = [...
197                 '$ f^{\sim(B_x^-)}$ =
↪ [' ,num2str(fprime(faces(1,1)).bounds), ']',newline,...
198                 '$ -f^{\sim(B_x^+)}$ =
↪ [' ,num2str(uminus(fprime(faces(1,2)).bounds), ']',newline,...
199                 '$ g^{\sim(B_y^-)}$ =
↪ [' ,num2str(gprime(faces(2,1)).bounds), ']',newline,...
200                 '$ -g^{\sim(B_y^+)}$ =
↪ [' ,num2str(uminus(gprime(faces(2,2)).bounds), ']',newline,...
201                 '$ h^{\sim(B_y^-)}$ =
↪ [' ,num2str(hprime(faces(3,1)).bounds), ']',newline,...
202                 '$ -h^{\sim(B_y^+)}$ =
↪ [' ,num2str(uminus(hprime(faces(3,2)).bounds), ']',newline];
203         end
204         title(axtrans,titletext,'interpreter','latex','FontSize',16);
205
206         for i = 1:length(ploth)
207             delete(ploth{i});
208         end
209         delete(plothhandle);
210     end
211 end
212 % #####
213
214 % ##### Plot #####
215 function ploth = plotfunctions(ax,fun1,fun2,fun3,B)
216 %plot the functions within the box for testing reasons
217     if nargin == 5
218         plotinterval = [B(1).bounds,B(2).bounds,B(3).bounds];
219         axis(ax,plotinterval);
220     else
221         plotinterval = axis(ax);
222     end

```

```

223     %Use the function arrayfun for the next 3 lines possibly
224     fun1_element = @(x,y,z) elementwisefunction(fun1,x,y,z);
225     fun2_element = @(x,y,z) elementwisefunction(fun2,x,y,z);
226     fun3_element = @(x,y,z) elementwisefunction(fun3,x,y,z);
227     ploth = cell(1,3);
228     ploth{1} = fimplicit3(ax,fun1_element,plotinterval,'b');
229     ploth{2} = fimplicit3(ax,fun2_element,plotinterval,'r');
230     ploth{3} = fimplicit3(ax,fun3_element,plotinterval,'g');
231     alpha(ploth{1},0.5);
232     alpha(ploth{2},0.5);
233     alpha(ploth{3},0.5);
234 end
235 function ploth = plotfunctions2(ax,fun1,fun2,B)
236 %plot the functions within the box for testing reasons
237     if nargin == 5
238         plotinterval = [B(1).bounds,B(2).bounds,B(3).bounds];
239         axis(ax,plotinterval);
240     else
241         plotinterval = axis(ax);
242     end
243     %Use the function arrayfun for the next 3 lines possibly
244     fun1_element = @(x,y,z) elementwisefunction(fun1,x,y,z);
245     fun2_element = @(x,y,z) elementwisefunction(fun2,x,y,z);
246     ploth = cell(1,2);
247     ploth{1} = fimplicit3(ax,fun1_element,plotinterval,'b');
248     ploth{2} = fimplicit3(ax,fun2_element,plotinterval,'r');
249     alpha(ploth{1},0.5);
250     alpha(ploth{2},0.5);
251 end
252 % #####
253
254 % ##### MK_face #####
255 function [bool,v] = MK_face(B,f,df,g,dg,ind,ax)
256     if nargin > 5
257         if isempty(B.testresults{ind})
258             if nargin > 6
259                 [bool,v] = local_predicate.MK_face_core(B,f,df,g,dg,ax);
260             else
261                 [bool,v] = local_predicate.MK_face_core(B,f,df,g,dg);
262             end
263             B.testresults{ind} = v;
264         else
265             v = B.testresults{ind};
266             bool = any(v);
267         end
268     else
269         [bool,v] = local_predicate.MK_face_core(B,f,df,g,dg);
270     end
271 end
272 function [bool,v] = MK_face_core(B,f,df,g,dg)
273 %Matrix showing which surfaces intersect the curve (populated below):
274 res = zeros(3,2);
275 %(Does not consider intersections in non-parameterizable direction's faces)
276
277 spandir = zeros(3,1);
278
279 %C0 on the faces of the initial box
280 [C0_face_small, ~] = local_predicate.C0_face_core(B.scale(1),f,g);
281 if C0_face_small %MK test terminates true but no faces are found, will not be
↳ considered during reconstruction later
    bool = true;
282

```

```

283         v = reshape(res.',1,[]);
284         return
285     end
286
287     Bscaled = B.scale(local_predicate.MK_box_scale);
288
289     %C0 on the faces of the scaled box
290     [C0_face, exclusions] = local_predicate.C0_face_core(Bscaled,f,g);
291     if C0_face %MK test terminates true but no faces are found, will not be considered
↪ during reconstruction later
292         bool = true;
293         v = reshape(res.',1,[]);
294         return
295     end
296
297     [jacbool,jac] = local_predicate.Jacobian(B,df,dg, local_predicate.MK_jac_ind);
298     if ~jacbool %Jacobian test fails, need to split
299         bool = false;
300         v = reshape(res.',1,[]);
301         return
302     end
303
304     faces = Bscaled.facets;
305
306     for i = 1:3
307         if jac(i) ~= 0 %Parameterizable in this direction
308             for j = 1:2
309                 if exclusions(2*(i-1)+j) ~= 1 %do not bother if face would be excluded
310                     face = faces(i,j);
311                     m = face.center;
312                     dfm = df(m); dgm = dg(m);
313
314                     %Remove the i'th dimension from dfm/dgm to get 2d versions
315                     dfm(i,:) = [];
316                     dgm(i,:) = [];
317
318                     Jm = [dfm,dgm]';
319                     invJm = Jm^(-1);
320
321                     fprime = @(varargin) invJm(1,:) * [f(varargin{:});g(varargin{:})];
322                     gprime = @(varargin) invJm(2,:) * [f(varargin{:});g(varargin{:})];
323
324                     edges = face.scale(local_predicate.MK_face_scale).facets;
325
326                     %facebool
327                     if ~funsmaller0(fprime,edges(1,1)) || ~funsmaller0(@(B)
↪ -fprime(B),edges(1,2)) || ...
328                         ~funsmaller0(gprime,edges(2,1)) || ~funsmaller0(@(B)
↪ -gprime(B),edges(2,2))
329                         facebool = false;
330                     else
331                         facebool = true;
332                     end
333
334                     res(i,j) = facebool;
335                 end
336             end
337         end
338         dirbool = res(i,1) && res(i,2);
339         spandir(i) = dirbool;
340     end
end

```

```

341
342     %Flatten result for output
343     v = reshape(res.',1,[]);
344     bool = any(v);
345
346 end
347 #####
348
349 % ##### C0_face #####
350 function [bool,v] = C0_face(B,f,g,ind) %Returns true iff all surfaces can be excluded
351     if nargin > 3
352         if isempty(B.testresults{ind})
353             [bool,v] = local_predicate.C0_face_core(B,f,g);
354             B.testresults{ind} = v;
355         else
356             v = B.testresults{ind};
357             bool = all(v);
358         end
359     else
360         [bool,v] = local_predicate.C0_face_core(B,f,g);
361     end
362 end
363 function [bool,v] = C0_face_core(B,f,g)
364     %Matrix showing which surfaces can be excluded
365     res = zeros(3,2);
366
367     faces = B.facets;
368
369     for i = 1:3
370         for j = 1:2
371             face = faces(i,j);
372
373             res(i,j) = funexcludes0(f,face,local_predicate.C0_face_depth) ||
↪ funexcludes0(g,face,local_predicate.C0_face_depth); %Can replace by calls to C0_core
374         end
375     end
376
377     %Flatten result for output
378     v = reshape(res.',1,[]);
379     bool = all(v);
380 end
381 #####
382 end
383 end

```

## A.5 CODE DEFINING INTERVALS AND INTERVAL ARITHMETIC

```

1  classdef interval < handle & matlab.mixin.Copyable
2      %Interval arithmetic operations
3      %functions
4
5      %Overloading operators for the interval class
6      %a+b
7      %a-b
8      %-a
9      %+a
10     %a.*b
11     %a*b
12     %a./b
13     %a.\b
14     %a/b
15     %a\b
16     %a.^b
17     %a^b
18     %a < b
19     %a > b
20     %a <=b
21     %a >= b
22     %a ~= b
23     %a == b
24     %%%Not included
25     %%% a&b
26     %%% a|b
27     %%% ~a
28     %%% a:d:b
29     %%% a:b
30     %a'
31     %a.'
32     %%% [a,b]
33     %%% [a;b]
34     %%% a(s1,s2,...,sn)
35     %%% a(s1,s2,...,sn) = b
36     %%% b(a)
37     %sqrt
38     %nthroot
39     %abs
40     %sign
41     %norm %L_2 norm of interval vectors
42     %norm_p %L_p norm
43     %disp
44     %int2str
45     %extractbounds: used when working with matrices of intervals
46     %sum
47     %min
48     %max
49     %cross(a,b): computes the cross product of two interval vectors of size 3
50     %det: computes the determinant of a 2x2 interval matrix
51     %a.cap(b):      computes the intersection of intervals a and b
52     %a.cup(b):      computes the union of intervals a and b
53
54     %a \subset b:    a.subset(b)
55     %a \superset b: a.superset(b)

```

```

56         %x \in a:      a.element(x)
57
58         %scale(factor): if [a,b] is bounded then this doubles the length of the interval, while
↪ keeping the midpoint
59         %              if [a,b] is unbounded, then this halves the length of the uncovered
↪ number line
60
61         %linspace(n): creates a mesh with each interval getting uniformly split into n values
62     properties
63         bounds %[a,b]
64         %if a<=b: I = [a,b]
65         %if b<a: I = [-inf,a] \cup [b,inf]
66         %The empty interval is represented by [inf,-inf]
67         %Imagine the ends of the number line being connected at infinity
68     end
69
70     methods
71         function this = interval(lowerbounds,upperbounds)
72             %Accepts two matrices of same size as bounds to create a matrix of intervals
73             if nargin > 0
74                 if nargin == 1
75                     upperbounds = lowerbounds;
76                 end
77                 v = size(lowerbounds);
78                 if all(size(lowerbounds) == size(upperbounds))
79                     numv = prod(v);
80                     if numv > 1
81                         for ind = numv:-1:1
82                             this(ind) = interval(lowerbounds(ind),upperbounds(ind));
83                         end
84                         if length(v) == 1
85                             v = [v,1];
86                         end
87                         this = reshape(this,v);
88                     else
89                         this.bounds = [lowerbounds,upperbounds];
90                     end
91                 else
92                     warning('wrong interval definition');
93                 end
94             end
95         end
96         %Overloading operators with MATLAB:
97         %https://ch.mathworks.com/help/matlab/matlab_oop/implementing-operators-for-your-class.html
↪ s.html
98         function result = plus(a,b)
99             %a+b
100             fct = @interval.plus_element;
101             result = interval.elementwiseoperator(fct,a,b);
102         end
103         function result = minus(a,b)
104             %a-b
105             result = a+(-b);
106         end
107         function result = uminus(a)
108             %-a
109             fct = @interval.uminus_element;
110             result = interval.elementwiseoperator(fct,a);
111         end
112         function result = uplus(a)
113             %+a

```

```

114         result = a;
115     end
116     function result = times(a,b)
117         %a.*b
118         fct = @interval.times_element;
119         result = interval.elementwiseoperator(fct,a,b);
120     end
121     function result = mtimes(a,b)
122         %a*b
123         [i,j] = size(a);
124         [j2,k] = size(b);
125         result = interval(zeros([i,k]));
126         if j == j2
127             if ~isa(a,'interval')
128                 a = interval(a);
129             end
130             if ~isa(b,'interval')
131                 b = interval(b);
132             end
133             for iind = 1:i
134                 for kind = 1:k
135                     currentsum = interval(0,0);
136                     for jind = 1:j
137                         currentsum =
↪         currentsum+interval.times_element(a(iind,jind).bounds,b(jind,kind).bounds);
138                     end
139                     result(iind,kind) = currentsum;
140                 end
141             end
142         else
143             error('The matrix dimensions are not matching');
144         end
145     end
146     function result = rdivide(a,b)
147         %a./b
148         if isa(b, 'interval')
149             result = a.*inverse(b);
150         else
151             result = a.*(1/b);
152         end
153     end
154     function result = inverse(a)
155         %1./a
156         fct = @interval.inverse_element;
157         result = interval.elementwiseoperator(fct,a);
158     end
159     %a.\b
160     %a/b
161     %a\b
162     function result = power(a,b)
163         %a.^b
164         fct = @interval.power_element;
165         result = interval.elementwiseoperator(fct,a,b);
166     end
167     %a^b
168     function result = lt(a,b)
169         %a < b
170         fct = @interval.lt_element;
171         result = interval.elementwiseoperator(fct,a,b);
172     end
173     function result = gt(a,b)

```

```

174         %a > b
175         result = b<a;
176     end
177     function result = le(a,b)
178         %a <=b
179         fct = @interval.le_element;
180         result = interval.elementwiseoperator(fct,a,b);
181     end
182     function result = ge(a,b)
183         %a >= b
184         result = b <= a;
185     end
186     function result = ne(a,b)
187         %a ~= b
188         result = ~(a==b);
189     end
190     function result = eq(a,b)
191         %a == b
192         fct = @interval.eq_element;
193         result = interval.elementwiseoperator(fct,a,b);
194     end
195
196     function result = inverse_elementwise(this)
197         fct = @interval.inverse_element;
198         result = interval.elementwiseoperator(fct,this);
199     end
200
201     function result = sqrt(a)
202         %sqrt(a)
203         fct = @interval.power_element;
204         result = interval.elementwiseoperator(fct,a,1/2);
205     end
206     function result = nthroot(a,n)
207         %nthroot(a,n)
208         fct = @interval.power_element;
209         result = interval.elementwiseoperator(fct,a,n^(-1));
210     end
211
212     function result = abs(a)
213         %abs(a)
214         fct = @interval.abs_element;
215         result = interval.elementwiseoperator(fct,a);
216     end
217
218     function result = norm(a)
219         %norm(a)
220         result = norm_p(a,2);
221     end
222     function result = norm_p(a,p)
223         %nthroot(a,b)
224         if length(size(a)) == 2 && length(size(p)) == 2 && all(size(p) == [1,1])
225             sizes = size(a);
226             if sizes(1) == 1 %row vector
227                 result = sum(a.^p,2)^(1/p);
228             elseif sizes(2) == 1 %column vector
229                 result = sum(a.^p,1)^(1/p);
230             else %matrix
231                 error('matrix norm not yet implemented')
232             end
233         elseif length(size(a)) ~= 2
234             error('invalid input a for norm_p(a,p)')

```



```

235         else
236             error('norm_p(a,b) function requires a single scalar p')
237         end
238     end
239
240     function disp(this)
241         disp('Interval');
242         dispmat = interval.int2mat(this);
243         disp(dispmat);
244     end
245
246     function str = int2str(this)
247         str = mat2str(interval.int2mat(this));
248     end
249
250     function result = summinmax(this,directions,to_be_evaluated)
251         if nargin<2
252             directions = 1;
253         end
254         s = size(this);
255         d = length(s);
256         snew = s;
257         snew(directions) = ones(1,length(directions));
258         result = interval(zeros(snew));
259         specifieddirections = false(1,d);
260         specifieddirections(directions) = true(1,length(directions));
261
262         vLim = s;
263         vLim1 = s;
264         vLim1(directions) = [];
265
266         v1 = ones(1, length(vLim1));
267         ready = false;
268         while ~ready
269             Index1 = arrayindexing.sub2indV(vLim1, v1);
270
271             vLim2 = s(directions);
272             v2 = ones(1, length(vLim2));
273             ready = false;
274             while ~ready
275                 v = zeros(1,d);
276                 v(~specifieddirections) = v1;
277                 v(specifieddirections) = v2;
278                 Index = arrayindexing.sub2indV(vLim, v);
279                 eval(to_be_evaluated);
280                 % Update the index vector:
281                 [v2,ready] = arrayindexing.updateindexvec(v2,vLim2);
282             end
283             % Update the index vector:
284             [v1,ready] = arrayindexing.updateindexvec(v1,vLim1);
285         end
286     end
287     function result = sum(this,directions)
288         to_be_evaluated = 'result(Index1) = result(Index1)+this(Index)';
289         result = summinmax(this,directions,to_be_evaluated);
290     end
291     function result = min(this,varargin)
292         to_be_evaluated = 'result(Index1) = result(Index1)+this(Index)';
293         result = summinmax(this,directions,to_be_evaluated);
294     end
295     %max

```

```

296 %         function result = max(this,varargin)
297 %         end
298
299         function result = cross(a,b)
300             %cross(a,b)
301             if numel(a) == 3 && numel(b) == 3 %length(size(a)) == 2 && length(size(b)) == 2 &&
↪ all(size(a) == [1,3]) && all(size(b) == [1,3])
302                 result = [(a(2)*b(3)) - (a(3)*b(2)), (a(3)*b(1)) - (a(1)*b(3)), (a(1)*b(2)) -
↪ (a(2)*b(1))];
303             else
304                 error('cross function is only implemented for two interval vectors of size 3')
305             end
306         end
307
308         %det
309         function result = det(this)
310             if length(size(this)) == 2 && all(size(this) == [2,2])
311                 result = this(1)*this(4)-this(2)*this(3);
312             else
313                 error('det function has to be implemented for non-2x2 matrices')
314             end
315         end
316         %cap
317         %cup
318         function result = cup(this,b)
319             fct = @interval.cup_element;
320             result = interval.elementwiseoperator(fct,this,b);
321         end
322
323         function result = sign(this)
324             fct = @interval.sign_element;
325             result = interval.elementwiseoperator(fct,this);
326         end
327
328         function result = extractbounds(this)
329             s = size(this);
330             n = prod(s);
331             result = zeros(n,2);
332             for i = 1:n
333                 result(i,:) = this(i).bounds;
334             end
335             if s(end) == 1
336                 s(end) = [];
337             end
338             result = reshape(result,[s,2]);
339         end
340
341         function result = subset(a,b)
342             fct = @interval.subset_element;
343             result = all(interval.elementwiseoperator(fct,a,b));
344         end
345         function result = superset(this,bi)
346             %this superset of b
347             result = bi.subset(this);
348         end
349
350         function result = scale(this,factor)
351             result = interval(zeros(size(this)));
352             s = 1+(factor-1)/2;
353             for i=1:numel(this)
354                 result(i) = interval(s*this(i).bounds(1)+(1-s)*this(i).bounds(2),(1-s)*this(i)
↪ ).bounds(1)+s*this(i).bounds(2));

```

```

355         end
356     end
357     function result = linspace(this,n)
358         result = zeros([numel(this),n]);
359         for i=1:numel(this)
360             result(i,:) = linspace(this(i).bounds(1),this(i).bounds(2),n);
361         end
362         s = size(this);
363         if length(s) == 2 && s(2) == 1
364             s(2) = [];
365         end
366         result = reshape(result,[s,n]);
367     end
368
369     %%%%%%%%%%% functions which need revision
370
371     %
372     function result = mrdivide(a,b)
373         %a/b
374         %%%%%%%%%%% Be careful if 0 is contained in numerator and denominator
375         result = a*b.inverseinterval;
376     end
377     %
378     function result = mpower(a,b)
379         %a^b
380         res1 =
381         result = a.interval+b.interval;
382     end
383
384     end
385
386     methods(Static)
387         function result = elementwiseoperator(fct,a,b)
388             %Computes elementwise: fct(a,b) or fct(a) if b is not defined
389             %Possible outputtupes are: 'interval' or 'logical'
390             %allows for arrays a and b to have only 1 element while the other doesn't, similar
391             ↪ to 1+[2,3] = [3,4]
392
393             s = size(a);
394             if isa(a,'double')
395                 a = interval(a);
396             end
397             if nargin >= 3
398                 if numel(a) == 1
399                     s = size(b);
400                 end
401                 if isa(b,'double')
402                     b = interval(b);
403                 end
404             end
405             snum = prod(s); %#ok<NASGU>
406             for ind = prod(s):-1:1
407                 if nargin <= 2
408                     input = {a(ind).bounds};
409                 else
410                     if numel(a) == 1
411                         input = {a.bounds,b(ind).bounds};
412                     elseif numel(b) == 1
413                         input = {a(ind).bounds,b.bounds};
414                     else
415                         input = {a(ind).bounds,b(ind).bounds};
416                     end
417                 end
418             end
419         end

```

```

415         end
416         result(ind) = fct(input{:});
417     end
418     result = reshape(result,s);
419 end
420
421 function result = plus_element(a,b)
422     %a+b
423     if (a(2)<a(1) && b(2)<b(1)) || (a(1)+b(1) <= a(2)+b(2) && (a(2)<a(1) || b(2) <
↪ b(1)))
424         bounds = [-inf,inf];
425     else
426         bounds = a+b;
427     end
428     result = interval(bounds(1),bounds(2));
429 end
430 function result = uminus_element(a)
431     %-a
432     result = interval(-a(2),-a(1));
433 end
434 function result = times_element(a,b)
435     %a*b
436     values = a'*b;
437     if a(1) <= a(2) && b(1) <= b(2)
438         bounds = [min(values(:)),max(values(:))];
439     else
440         %make a contain infinity
441         if a(1) <= a(2)
442             c = a;
443             a = b;
444             b = c;
445         end
446         if interval.subset_element([0,0],b) || (b(2) < b(1) &&
↪ interval.subset_element([0,0],a)) %0 in a or b
447             bounds = [-inf,inf];
448         elseif b(2) < b(1) && ~interval.subset_element([0,0],a) &&
↪ ~interval.subset_element([0,0],b) %0 notin a or b
449             bounds = [min(values(values>0)),max(values(values<0))];
450         else
451             if interval.subset_element([0,0],b)
452                 c = a;
453                 a = b;
454                 b = c;
455             end
456             if ~interval.subset_element([0,0],a)
457                 if 0 < b(1) %b positive
458                     bounds = [a(1)*b(1),a(2)*b(1)];
459                 else %b negative
460                     bounds = [a(2)*b(2),a(1)*b(2)];
461                 end
462             else
463                 if 0<a(2) %0 < complement(a)
464                     if 0 < b(1) %b positive
465                         bounds = [a(1)*b(1),a(2)*b(2)];
466                         if bounds(2)>bounds(1)
467                             bounds = [-inf,inf];
468                         end
469                     else %b negative
470                         bounds = [a(2)*b(1),a(1)*b(2)];
471                         if bounds(2)>bounds(1)
472                             bounds = [-inf,inf];

```

```

473         end
474     end
475     else %complement(a) < 0
476         if 0 < b(1) %b positive
477             bounds = [a(1)*b(2),a(2)*b(1)];
478             if bounds(2)>bounds(1)
479                 bounds = [-inf,inf];
480             end
481         else %b negative
482             bounds = [a(1)*b(1),a(2)*b(2)];
483             if bounds(2)>bounds(1)
484                 bounds = [-inf,inf];
485             end
486         end
487     end
488 end
489 end
490 result = interval(bounds(1),bounds(2));
491 end
492
493
494
495
496
497
498 function result = inverse_element(a)
499     %Computes the inverse interval a^-1
500     result = interval(1/a(2),1/a(1));
501 end
502
503 function result = abs_element(a)
504     %|a|
505     if a(1) <= a(2) %[a(1), a(2)]
506         if a(1) >= 0
507             result = interval(a(1), a(2));
508         elseif a(2) <= 0
509             result = interval(-a(2), -a(1));
510         else %a(1) < 0 < a(2)
511             result = interval(0, max(-a(1),a(2)));
512         end
513     else %[-inf, a(2)] \cup [a(1), inf]
514         if a(2) >= 0 || a(1) <= 0
515             result = interval(0, inf);
516         else
517             result = interval(min(abs(a(1)), abs(a(2))), inf);
518         end
519     end
520 end
521
522 function result = power_element(a,b)
523     %Computes a^b assuming b is a real number
524     %and a is non-negative when b is not an integer
525     if a(2) >= a(1)
526         if b(1) == b(2) %b can be treated as a single real number
527             b = b(1);
528             if rem(b(1),1) == 0 %b is an integer
529                 if b >= 0
530                     if rem(b,2) == 0 && a(1) <= 0 && 0 <= a(2)
531                         result = interval(0,max(a(1)^b,a(2)^b));
532                     else
533                         res1 = a(1)^b;

```

```

534         res2 = a(2)^b;
535         result = interval(min(res1,res2),max(res1,res2));
536     end
537     else % b < 0
538         if rem(b,2) == 0 && a(1) <= 0 && 0 <= a(2)
539             result = interval(min(a(1)^b,a(2)^b), inf);
540         elseif a(1) <= 0 && 0 <= a(2) %rem(b,2) == 1
541             result = interval(-inf, inf);
542         else %a does not include 0
543             res1 = a(1)^b;
544             res2 = a(2)^b;
545             result = interval(min(res1,res2),max(res1,res2));
546         end
547     end
548     elseif a >= 0 %b is not an integer, but a is non-negative
549         res1 = a(1)^b;
550         res2 = a(2)^b;
551         result = interval(min(res1,res2),max(res1,res2));
552     else
553         error("interval method a.^b not implemented for non-integer b and
↪ negative a")
554     end
555     else
556         error("interval method a.^b not implemented for an interval b")
557     end
558     else %a(1) > a(2) (a = [-inf,a(2)] \cup [a(1),inf])
559         result = cup(interval(-inf,a(2)).^2, interval(a(1),inf).^2);
560     end
561 end
562
563 function result = lt_element(a,b)
564     %a < b
565     result = (a(1)<=a(2)) && (b(1)<=b(2)) && a(2)<b(1);
566 end
567 function result = le_element(a,b)
568     %a <=b
569     result = (a(1)<=a(2)) && (b(1)<=b(2)) && a(2)<=b(1);
570 end
571 function result = eq_element(a,b)
572     %a == b
573     result = all(abs(a - b) == 3*eps);
574 end
575
576 function result = cup_element(a,b)
577     if a(2) >= a(1) && b(2) >= b(1)
578         % [a(1), a(2)] \cup [b(1), b(2)]
579         result = interval(min(a(1),b(1)), max(a(2),b(2)));
580     elseif a(2) >= a(1) && b(2) < b(1)
581         % [a(1), a(2)] \cup [-inf, b(2)] \cup [b(1), inf]
582         if (a(1) <= b(2) && a(2) >= b(1)) || (a(1) >= b(2) && a(2) <= b(1))
583             result = interval(-inf, inf);
584         elseif a(1) <= b(2) && a(2) < b(1)
585             result = interval(b(1), a(2));
586         else % a(1) > b(2) && a(2) >= b(1)
587             result = interval(a(1), b(2));
588         end
589     elseif a(2) < a(1) && b(2) >= b(1)
590         % [-inf, a(2)] \cup [a(1), inf] \cup [b(1), b(2)]
591         %symmetric to the previous case
592         c = a;
593         a = b;

```

```

594         b = c;
595         if (a(1) <= b(2) && a(2) >= b(1)) || (a(1) >= b(2) && a(2) <= b(1))
596             result = interval(-inf, inf);
597         elseif a(1) <= b(2) && a(2) < b(1)
598             result = interval(b(1), a(2));
599         else % a(1) > b(2) && a(2) >= b(1)
600             result = interval(a(1), b(2));
601         end
602     else % a(2) < a(1) && b(2) < b(1)
603         % ([-inf, a(2)] \cup [a(1), inf]) \cup ([-inf, b(2)] \cup [b(1), inf])
604         if max(a(2), b(2)) >= min(a(1), b(1))
605             result = interval(-inf, inf);
606         else
607             result = interval(min(a(1),b(1)), max(a(2), b(2)));
608         end
609     end
610     %to be checked
611 end
612
613 function result = sign_element(a)
614     result = interval(min(sign(a(1)), sign(a(2))), max(sign(a(1)), sign(a(2))));
615 end
616
617
618
619
620
621
622
623
624
625 function result = subset_element(a,b)
626     %a subset of b
627     if a(1)<=a(2) && b(1) <= b(2)
628         result = b(1) <= a(1) && a(2) <= b(2);
629     elseif a(1)<=a(2) && ~(b(1) <= b(2))
630         result = a(2) <= b(1) || b(2) <= a(1);
631     elseif ~(a(1)<=a(2)) && b(1) <= b(2)
632         result = false;
633     elseif ~(a(1)<=a(2)) && ~(b(1) <= b(2))
634         result = a(1)<=b(1) && b(2)<=a(2);
635     end
636 end
637
638
639
640
641
642 function Index = sub2indV(Vlim,X)
643     k = [1, cumprod(Vlim)];
644     Index = sum(k(1:length(X)) .* (X - 1)) + 1;
645 end
646 function v = ind2subV(Vlim, ind)
647     ind = ind-1;
648     v = zeros(1,0);
649     for i = 1:length(Vlim)
650         v(i) = 1+mod(ind,Vlim(i));
651         ind = (ind-v(i)+1)/Vlim(i);
652     end
653 end
654 function mat = int2mat(I)

```

```

655         %Gather the interval bounds in a matrix
656         sizeI = size(I);
657         if sizeI(end) == 1
658             sizeI(end) = [];
659         end
660         mat = zeros([sizeI,2]);
661         num = numel(I);
662         for i = 1:num
663             if ~isempty(I(i).bounds)
664                 mat([i,i+num]) = I(i).bounds;
665             else
666                 mat = ['empty ',num2str(sizeI),' array of intervals'];
667             end
668         end
669     end
670
671     function int = zeros(varargin)
672         sizes = cell2mat(varargin);
673         int = interval(zeros(sizes));
674     end
675     function int = ones(varargin)
676         sizes = cell2mat(varargin);
677         int = interval(ones(sizes));
678     end
679     function int = unit(varargin)
680         sizes = cell2mat(varargin);
681         if length(sizes) == 1
682             A = zeros([sizes,1]);
683             B = ones([sizes,1]);
684         else
685             A = zeros(sizes);
686             B = ones(sizes);
687         end
688         int = interval(A,B);
689     end
690
691
692     function test()
693         interval.test1;
694     end
695     function test1()
696         a = interval(0,1);
697         b = interval(3,4);
698         disp(a+b);
699         disp(a-b);
700         disp(a.*b);
701         disp(a./b);
702     end
703 end
704 end
705
706

```



## A.6 CODE DEFINING GENERIC BOXES AND FUNCTIONS ON BOXES

```

1  classdef box < handle & matlab.mixin.Copyable
2      %box class for subdivision algorithms
3
4      properties
5          boxdimensions %D*2 vector with min and max coordinate of
6              %the dimensions in each row[xmin,xmax; ymin,ymax,...]
7
8          length0 %D*1 logical vector keeping track of the directions of 0 length
9              %i.e. representing a lower dimensional box / face in D-dimensional space
10
11          depth = 0;
12      end
13      properties (NonCopyable)
14          parent %parent box
15          children %2*2*...*2 array containing 2^d many children boxes
16              %children(1,1,...,1) corresponds to the child with minimal
17              %coordinates in each direction
18              %d is the number of directions in which the box does not have 0 length
19
20          plotboxhandle %handle to the plotted box boundary
21      end
22
23      methods
24          function this = box(boxdimensions,length0)
25              %box(boxdimensions)
26              if nargin > 0
27                  this.boxdimensions = boxdimensions;
28                  if nargin > 1
29                      this.length0 = length0;
30                  else
31                      this.length0 = boxdimensions(:,1) == boxdimensions(:,2);
32                  end
33              end
34          end
35          function disp(this)
36              if length(this) == 1
37                  disp(this.boxdimensions);
38              else
39                  disp([num2str(size(this)), ' matrix of boxes']);
40              end
41          end
42          function boxes = split(this)
43              if ~isempty(this.children) || isempty(this.boxdimensions)
44                  boxes = [];
45              % warning("no box split performed");
46              return
47          end
48          D = size(this.boxdimensions,1);
49          d = D-sum(this.length0);
50
51          childrenarray(2^d) = copy(this);
52          if D == 1 || d == 1
53              childrenarray = reshape(childrenarray,[2*ones(1,d),1]);
54          else
55              childrenarray = reshape(childrenarray,2*ones(1,d));

```

```

56         end
57
58         vLim = 2*ones(1,d);
59         v     = ones(1, d);
60         ready = false;
61         while ~ready
62             Index = arrayindexing.sub2indV(vLim, v);
63             %If v(i) = 1, then the child will get the smaller coordinate in dimension i
64             vcomplete = ones(1,D);
65             vcomplete(~this.length0) = v;
66             childrenarray(Index).boxdimensions = [this.boxdimensions(:,1),sum(this.boxdimensions,2)/2]+((vcomplete-1).*(this.boxdimensions(:,2)-this.boxdimensions(:,1))/2)*[1,1];
67             childrenarray(Index).length0 = this.length0;
68             childrenarray(Index).depth = this.depth+1;
69             childrenarray(Index).parent = this;
70
71             % Update the index vector:
72             [v,ready] = arrayindexing.updateindexvec(v,vLim);
73         end
74
75         this.children = childrenarray;
76         this.plotboxhandle = [];
77         boxes = childrenarray(:)';
78     end
79
80     function c = center(this)
81         c = sum(this.boxdimensions,2)/2;
82     end
83     function r = radius(this)
84         r = norm(this.center-this.boxdimensions(:,1));
85     end
86     function C = corners(this)
87         D = size(this.boxdimensions,1);
88         d = D-sum(this.length0);
89         C = zeros(D,2^d);
90
91         for i = 1:2^d
92             v = arrayindexing.ind2subV(2*ones(1,d),i);
93             corner = zeros(D,1);
94             corner(this.length0) = this.boxdimensions(this.length0,1);
95             vind = 0;
96             for j = 1:D
97                 if ~this.length0(j)
98                     vind = vind+1;
99                     corner(j) =
100                     (v(vind)==1)*this.boxdimensions(j,1)+(v(vind)==2)*this.boxdimensions(j,2);
101                 end
102             end
103             C(:,i) = corner;
104         end
105     end
106     function f = facets(this)
107         D = size(this.boxdimensions,1);
108         d = D-sum(this.length0);
109         dind = d;
110         for i = D:-1:1
111             if ~this.length0(i)
112                 for j = 2:-1:1
113                     B = copy(this);
114                     B.boxdimensions(i,3-j) = B.boxdimensions(i,j);
115                     B.length0 = this.length0;

```

```

115         B.length0(i) = true;
116         f(dind,j) = B;
117     end
118     dind = dind-1;
119 end
120 end
121 end
122
123 function Bscaled = scale(this,factor)
124     classtype = class(this);
125     scaledboxdimensions =
↪ interval(this.boxdimensions(:,1),this.boxdimensions(:,2)).scale(factor);
126     extractedbounds = scaledboxdimensions.extractbounds; %#ok<NASGU>
127     Bscaled = eval([classtype,'(scaledboxdimensions.extractbounds);']);
128     Bscaled.length0 = this.length0;
129 end
130
131 %Returns a box without the removed dimension
132 function Bnew = removedim(this,dim)
133     classtype = class(this);
134     dims = this.boxdimensions;
135     len0 = this.length0;
136     dims(dim,:) = [];
137     len0(dim,:) = [];
138     Bnew = eval([classtype,'(dims);']);
139     Bnew.length0 = len0;
140 end
141
142 %Returns a box with an inserted dimension at the specified location
143 %Inserts to the first/last position if the requested position is
144 %out of bounds
145 function Bnew = insertdim(this,dim,rowdims)
146     classtype = class(this);
147     dims = this.boxdimensions;
148     len0 = this.length0;
149     if dim < 1
150         dim = 1;
151     elseif dim > length(this.boxdimensions) + 1
152         dim = length(this.boxdimensions) + 1;
153     end
154     dims = [dims(1:dim-1,:); rowdims; dims(dim:end,:)];
155     len0 = [len0(1:dim-1,:); rowdims(1) == rowdims(2); len0(dim:end,:)];
156     Bnew = eval([classtype,'(dims);']);
157     Bnew.length0 = len0;
158 end
159
160 function Q = leaves(this)
161     if isempty(this.children)
162         Q = this;
163     else
164         Q = [];
165         for i = 1:numel(this.children)
166             Q = [Q,leaves(this.children(i))]; %#ok<*AGROW>
167         end
168     end
169 end
170
171 function int = interval(this)
172     int = interval(this.boxdimensions(:,1),this.boxdimensions(:,2));
173 end
174
175 function str = box2str(this)

```

```

175         str = int2str(this.interval);
176     end
177
178     function Bcap = cap(this,B)
179         %to be implemented
180         Bcap = this;
181     end
182     function Bcup = cup(this,B)
183         %to be implemented
184         Bcup = this;
185     end
186
187     function varargout = coord(this)
188         %Splits the box into its coordinate interval components
189         %For 3D boxes:
190         %[X,y,z] = B.splitcoordinates
191         D = size(this.boxdimensions,1);
192         varargout = cell(D,1);
193         intvec = this.interval;
194         output = mat2cell(intvec(:),ones(D,1))';
195         [varargout{:}] = deal(output{:});
196     end
197     function plotsubdivision(this,ax,varargin)
198         if isempty(this.children)
199             this.plotbox(ax,varargin{:});
200         else
201             for i = 1:numel(this.children)
202                 this.children(i).plotsubdivision(ax,varargin{:});
203             end
204         end
205     end
206     function h = plotbox(this,ax,varargin)
207         D = size(this.boxdimensions,1);
208         d = D-sum(this.length0);
209         switch d
210             case 1
211                 %1-dimensional box possibly in higher dimensions
212                 corners = this.corners;
213                 switch D
214                     case {1,2}
215                         h = scatter(corners(1,:),corners(2,:),varargin{:});
216                     case 3
217                         h = scatter3(corners(1,:),corners(2,:),corners(3,:),varargin{:});
218                 end
219             case 2
220                 %plot the box's edges
221                 corners = this.corners;
222                 corners = corners(:,[1,2,4,3,1]);
223                 switch D
224                     case 2
225                         h = plot(ax,corners(1,:),corners(2,:),varargin{:});
226                     case 3
227                         h = plot3(ax,corners(1,:),corners(2,:),corners(3,:),varargin{:});
228                 end
229             case 3
230                 %plot the box's edges
231                 corners = this.corners;
232                 %collect the vertex indices making up the edges
233                 eind = [1,2;...
234                        2,4;...
235                        4,3;...

```

```

236         3,1;...
237         5,6;...
238         6,8;...
239         8,7;...
240         7,5;...
241         1,5;...
242         2,6;...
243         3,7;...
244         4,8];
245     XYZ = zeros(3,36);
246     for i = 1:12
247         XYZ(:,[3*i-2,3*i-1,3*i]) = [corners(:,eind(i,:)),[NaN;NaN;NaN]];
248     end
249     h = plot3(ax,XYZ(1,:),XYZ(2,:),XYZ(3,:),varargin{:});
250 end
251 this.plotboxhandle = h;
252 end
253 function zoom(this,ax)
254     plotinterval = this.boxdimensions;
255     plotinterval(this.length0,:) = this.boxdimensions(this.length0,:)+0.5*[-ones(sum(
↪ this.length0),1),ones(sum(this.length0),1)];
256     plotinterval = plotinterval';
257     plotinterval = reshape(plotinterval(:,1,[]),
258         axis(ax,plotinterval);
259     %         r1 = boundingbox(1,2)-boundingbox(1,1);
260     %         r2 = boundingbox(2,2)-boundingbox(2,1);
261     %         pbaspect([r1, r2, max(r1,r2)])
262     daspect(ax,[1 1 1]);
263 end
264 % function fillbox(this,axeshandle,color)
265 %     switch size(this.boxdimensions,1)
266 %     case 2
267 %         X = [this.boxdimensions(1,1);this.boxdimensions(1,2);this.boxdimensions(
↪ 1,2);this.boxdimensions(1,1);this.boxdimensions(1,1)];
268 %         Y = [this.boxdimensions(2,1);this.boxdimensions(2,1);this.boxdimensions(
↪ 2,2);this.boxdimensions(2,2);this.boxdimensions(2,1)];
269 %         this.filling = fill(axeshandle,X,Y,color);
270 %     case 3
271 %     end
272 % end
273
274 function delete(this)
275     if ~isempty(this.children)
276         while ~isempty(this.children)
277             this.children(1).delete;
278             this.children(1) = [];
279         end
280     end
281     if ~isempty(this.parent)
282         this.parent = [];
283     end
284 end
285
286 %automatically transform into an interval for interval computations
287 function result = plus(a,b)
288     %a+b
289     [a,b] = this.convert2interval(a,b);
290     result = a+b;
291 end
292 function result = minus(a,b)
293

```

```

294         %a-b
295         [a,b] = this.convert2interval(a,b);
296         result = a-b;
297     end
298     function result = uminus(a)
299         %-a
300         [a] = this.convert2interval(a);
301         result = -a;
302     end
303     function result = uplus(a)
304         %+a
305         [a] = this.convert2interval(a);
306         result = a;
307     end
308     function result = times(a,b)
309         %a.*b
310         [a,b] = this.convert2interval(a,b);
311         result = a.*b;
312     end
313     function result = mtimes(a,b)
314         %a*b
315         [a,b] = this.convert2interval(a,b);
316         result = a.*b;
317     end
318     % function result = rdivide(a,b)
319     % end
320
321 end
322 methods(Static)
323     function varargout = convert2interval(varargin)
324         n = length(varargin);
325         varargout = cell(1,n);
326         for i = 1:n
327             if isnumeric(varargin{1}) || isa(varargin{1}, "interval")
328                 varargout{i} = varargin{1};
329             else
330                 varargout{i} = varargin{1}.interval;
331             end
332         end
333     end
334     function [B,fig,ax] = test
335         B = box([-1,1;-1,1;0,0],[false,false,true]);
336         [fig,ax] = box.testsplit(B);
337     end
338     function [fig,ax] = testsplit(B)
339         [fig,ax] = createfigure(B);
340         B.split;
341         B.children(1).split;
342         B.children(1).children(2).split;
343         B.plotsubdivision(ax,'k');
344     end
345 end
346 end

```

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