

Homework 1  
Fundamental Algorithms, Fall 2004, Professor Yap

Due: Thu Sep 23, in class

INSTRUCTIONS:

- Please read questions carefully. When in doubt, please ask.
  - There are links from the homework page to the old homeworks from previous classes, including solutions. Feel free to study these.
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1. (15 Points)

In the first lecture, we described a conventional program for merging two sorted lists.

(a) Please draw a comparison-tree for merging two sorted lists of numbers,  $(x < y)$  and  $(a < b < c < d)$ . Your comparison-tree should be obtained by “unwinding” the algorithm described in class. HINT: you can appeal to symmetry to only draw a portion of the comparison-tree.

(b) What is the height of your comparison tree?

(c) Determine  $M(2, 4)$  (give as good an upper and lower bound as you can). Do not quote some known result – you need to do an argument from first principles. HINT: make two separate arguments for upper and lower bounds, respectively.

2. (20 Points)

We want to consider the “best case time” in comparison trees. If  $T$  is a tree, let  $B(T)$  denote the length of a *shortest* path from the root to a leaf of  $T$ .

(a) Define  $M'(m, n)$  to be the length of a **shortest path** in some comparison-tree for merging two lists of sizes  $m$  and  $n$ . More precisely,  $M'(m, n) = \min_T \{B(T)\}$  where  $T$  ranges over all comparison-trees for merging two lists of sizes  $m$  and  $n$ . Determine  $M'(m, n)$ .

(b) Define  $S'(n)$  to be the length of the length of a **shortest path** in some comparison-tree for sorting a list of size  $n$ , i.e.,  $S'(n) = \min_T \{B(T)\}$  where  $T$  ranges over all comparison-trees for sorting  $n$  elements. Determine  $S'(n)$ .

HINT: (a) and (b) are quite easy, once you understand what the problem is about.

3. (15 Points)

Use the Rote Method to solve the following recurrence:

$$T(n) = n \lg n + 4T(n/2).$$

Be sure to indicate each of the EGVS steps. You can choose your own initial conditions (the “strong form”). NOTE:  $\lg n$  means  $\log_2 n$ .

4. (20 Points)

Consider the real recurrences:

$$T_0(n) = n + 2T_0(n/2)$$

and

$$T_1(n) = n + 2T_1(\lfloor n/2 \rfloor + 2).$$

The initial conditions are given by  $T_0(n) = T_1(n) = 0$  for  $n < 4$ .

(a) Use real induction to show that  $T_0(n) \leq T_1(n)$ . HINT: Use the fact that  $T_1(n)$  is non-decreasing in  $n$ .

(b) Fix  $k_0 > 0$ . Use real induction to show that for all  $0 \leq k \leq k_0$ ,  $T_0(n+k) = T_0(n) + O(k \lg n)$ .

(c) Show that  $T_1(n) = O(T_0(n))$ . HINT: you can reduce to the case (b) by showing  $T_1(n) \leq T_0(n+k)$ .