

Basic Algorithms (V22.0310); Fall 2005; Yap  
**HOMEWORK 2**  
**Date Due: Oct 5**

## Question 1

(10 Points)

Do Problem 2, Chapter 2 (p.67). “Finding the biggest input size you can solve within an hour, for various running times.”

## Question 2

(10 Points)

Do Problem 4, Chapter 2 (p.67). “Ordering a list of functions by their big-Oh order”

## Question 3

(20 Points)

Do Problem 8, Chapter 2 (p.69). “Tradeoffs for stress-testing glass jars”. If you get stuck with part (a), send an email to the TA and he will send you a hint.

## Question 4

(10 points)

(a) Suppose a binary tree on  $n$  nodes has height  $h$ . Give an upper bound on  $n$  as a function of  $h$ . We want you to give a proof by induction on  $h$ .

(b) Give an upper bound on  $h$  as a function of  $n$ .

## Question 5

(20 points) I used two concepts in class lectures: eventuality and domination. See below for a recap. Remember that they are just useful alternatives to the big-Oh type notations – you are not really learning a different concept.

(a) Show that  $n^k \prec n^{k'}$  for all  $k < k'$ .

HINT: There are really two statements to show, (i)  $n^k \preceq n^{k'}$  and (ii)  $n^k \not\preceq n^{k'}$ . To show (ii), use proof by contradiction.

(b) Let  $H_n = 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}$  for all  $n \in \mathbb{N}$ . So  $H_0 = 0, H_1 = 1, H_2 = 3/2, H_3 = 11/6$ . They are called the **harmonic numbers** and arise frequently in algorithm analysis. Show that  $H_n \asymp \lg n$ .

HINT: Again, there are 2 statements to show, (i)  $H_n \preceq \lg n$  and (ii)  $H_n \succeq \lg n$ . In both cases, first show these results when  $n$  is a power of 2. Try to regroup the summation of  $H_n$  into  $\lg n$  groups, in such a way that each group adds up to  $\Theta(1)$ .

## Question 6

(50 points)

(a) Assume a heap priority queue of size 200. How many comparisons among the input numbers does it take to do an `extractMin()`?

(b) Suppose we are given an array  $A = A[1..n]$  containing some numbers, in no particular order. We want an algorithm to build up a heap priority queue on these numbers. At the end of your algorithm, the array  $A$  would be a priority queue.

Here are some rules for your solution: You may assume the subroutines for StartHeap( $N$ ), Insert( $H, v$ ), etc., in page 64 of text. But you must not use additional arrays (so all the input numbers remain in array  $A$  at all times).

Here is the writeup we want: (i) First, briefly describe informally your strategy. (ii) Then make your ideas more concrete by giving a procedure in pseudo-code, in the style of the text (e.g., see the Heapify-down code in p.63). But be sure to give enough details that we can easily turn it into a running Java code.

(c) Run your procedure of part (a) on the input initial array,  $A[1..13] = [17, 3, 1, 11, 7, 5, 19, 13, 16, 4, 2, 10, 8]$ . Since you are not using additional arrays, all your elements are always in this array  $A$ . Thus, at any moment, you can draw the state of your computation by displaying the heap that corresponds to  $A$ .

Show the intermediate results by displaying the resulting heap after each call to subroutines such as Insert( $H, v$ ), etc.

(d) How many comparisons among the input numbers did your procedure make in part (b)? Show your working, not just a number.

(e) If the input array has size  $n$ , give the big-Oh analysis of your procedure.

## Practice problems, no credit

Show that  $\lg n$  is unbounded (i.e., the function grows arbitrarily large as  $n \rightarrow \infty$ ).

Exercise 1, p. 67 (how much slower if you double the input size or increase size by one)

Exercise 3, p.67 (ordering functions by big-Oh order)

Exercise 5, p.68 (true or false)

Can you show that  $H_n \prec \sqrt{n}$  by direct argument? HINT: break the sum of  $H_n$  into two groups: the first group has the first  $\sqrt{n}$  terms.

## Eventuality and Domination Notations

If  $f, g$  are two real functions, we write

$$f \leq g \text{ (ev.)}$$

(read “ $f$  is less than or equal to  $g$  eventually” if there is an  $x_0$  for all  $x > x_0$ ,  $f(x) \leq g(x)$ ). We write

$$f \preceq g$$

(read “ $f$  is **dominated by**  $g$ ” or “ $g$  dominates  $f$ ”) if there is a  $C > 0$  such that  $f \leq C \cdot g$  (ev.). Clearly, domination is an alternative way to view the big-Oh notation:  $f \preceq g$  iff  $f = O(g)$ . If  $f \preceq g$  but  $g \not\preceq f$  then we write

$$f \prec g.$$

Also, if  $f \preceq g$  and  $g \preceq f$  then we write

$$f \asymp g.$$

Thus  $f \asymp g$  iff  $f = \Theta(g)$ . As you might expect, the triplet of notations  $\preceq, \prec, \asymp$  correspond nicely to the well-known relations  $\leq, <, =$  on real numbers. Also, the notations  $\succeq, \succ$  are just the reverse of  $\preceq, \prec$ . For instance,  $f \preceq g$  iff  $g \succeq f$ .