Building Artificial Vision Systems with Machine Learning

Yann LeCun

Courant Institute of Mathematical Sciences and Center for Neural Science, New York University Collaborators: Rob Fergus, Karol Gregor, Arthur Szlam, Graham Taylor Y-Lan Boureau, Benoit Corda, Clément Farabet Kevin Jarrett, Koray Kavukcuoglu, Pierre Sermanet

The Next Challenge for AI, Robotics, and Neuroscience

- How do we learn perception (e.g. vision)?
- How do we learn representations of the perceptual world?
- How do we learn visual categories from just a few examples?





The Traditional "Shallow" Architecture for Recognition



- The raw input is pre-processed through a hand-crafted feature extractor
- The features are not learned
- The trainable classifier is often generic (task independent), and "simple" (linear classifier, kernel machine, nearest neighbor,.....)
- The most common Machine Learning architecture: the Kernel Machine

...But the Mammalian Visual Cortex is Hierarchical. Why?

- The ventral (recognition) pathway in the visual cortex has multiple stages
- Retina LGN V1 V2 V4 PIT AIT



[picture from Simon Thorpe]

Good Internal Representations are Hierarchical



- Low-level features mid-level features high-level features categories
- Representations are increasingly abstract, global, and invariant.
- In Vision: part-whole hierarchy
 - Pixels->Edges->Textons->Parts->Objects->Scenes
- In Language: hierarchy in syntax and semantics
 - Words->Parts of Speech->Sentences->Text
 - Objects, Actions, Attributes...-> Phrases -> Statements -> Stories

"Deep" Learning: Learning Hierarchical Representations



- **Deep Learning:** learning a hierarchy of internal representations
- From low-level features to mid-level invariant representations, to object identities
- **Representations are increasingly invariant as we go up the layers**
- using multiple non-linear stages gets around the specificity/invariance dilemma [Mallat 2010]

Feature Transform = Filter Bank + Non-Linearity + Pooling



Biologically-inspired models of low-level feature extraction

- Inspired by [Hubel and Wiesel 1962]
- Many feature extraction methods are based on this
- SIFT, GIST, HoG, Convolutional networks.....

An Old Idea for Image Representation with Distortion Invariance

[Hubel & Wiesel 1962]:

- simple cells detect local features
- complex cells "pool" the outputs of simple cells within a retinotopic neighborhood.



Vision: Multiple Stage of Feature Transform + Classifier



- Stacking multiple stages of [Filter Bank + Non-Linearity + Pooling].
- Learning the filter banks at every layers
- Creating a hierarchy of features
- Basic elements are inspired by models of the visual cortex
 - Simple Cell + Complex Cell model of [Hubel and Wiesel 1962]
 - Many "traditional" feature extraction methods are based on this
 - SIFT, GIST, HoG, Convolutional networks.....

Example of Architecture: Convolutional Network (ConvNet)



Non-Linearity: tanh, absolute value, shrinkage function, local whitening,...

Pooling: average, max, Lp norm,

Example of Architecture: Convolutional Network (ConvNet)



Training all the filters in a multi-stage ConvNet architecture



- End-to-End Supervised Learning by Stochastic Gradient Descent (backprop)
- Layerwise Unsupervised Training with Sparse Coding ("deep learning")
- Supervised Refinement after Unsupervised pre-Training.
- Lots of people work on these architectures: Rob Fergus NYU), Geoff Hinton (Toronto), Andrew Ng (Stanford), David Lowe (UBC), Tommy Poggio (MIT), Larry Carin (Duke), Thomas Serre (Brown), Stéphane Mallat (Polytechnique), Sebastian Seung (MIT),
- Industry: Kai Yu, Ronan Collobert (NEC), T. Dean, J. Weston (Google), C. Garcia (France Telecom), P. Simard (Microsoft) + a number of startups...

Supervised Learning of Convolutional Nets

Supervised Learning of ConvNets

- Stochastic Gradient Descent
- Gradients computed using back-propagation (chain rule)
- Filters are initialized randomly



Face Detection: Results

Data Set->	TILTED		PROFILE		MIT+CMU	
False positives per image->	4.42	26.9	0.47	3.36	0.5	1.28
Our Detector	90%	97%	67%	83%	83%	88%
Jones & Viola (tilted)	90%	95%	X		X	
Jones & Viola (profile)	X		70% 83%		X	





Face Detection and Pose Estimation: Results























Face Detection with a ConvNet



Demo produced with EBLearn open source package

http://eblearn.sf.net



Generic Object Detection and Recognition with Invariance to Pose and Illumination

- **50** toys belonging to 5 categories: **animal, human figure, airplane, truck, car**
- **10** instance per category: **5** instances used for training, **5** instances for testing
- **Raw dataset: 972** stereo pair of each object instance. **48,600** image pairs total.

For each instance:

- 📑 18 azimuths
 - 0 to 350 degrees every 20 degrees
- 9 elevations
 - 30 to 70 degrees from horizontal every 5 degrees

📑 6 illuminations

- on/off combinations of 4 lights
- **2** cameras (stereo)
 - 7.5 cm apart
 - 40 cm from the object



Training instances

Test instances



Experiment 2: Jittered-Cluttered Dataset



291,600 training samples, **58,320** test samples

SVM with Gaussian kernel	43.3% error
Convolutional Net with binocular input:	7.8% error
Convolutional Net + SVM on top:	5.9% error
Convolutional Net with monocular input:	20.8% error
Smaller mono net (DEMO):	26.0% error

Dataset available from http://www.cs.nyu.edu/~yann

Examples (Monocular Mode)





Road Sign Recognition Competition

GTSRB Road Sign Recognition Competition (phase 1)

- 32x32 images
- The 13 of the top 14 entries are ConvNets, 6 from NYU, 7 from IDSIA
- No 6 is humans!

#	Team	Method	Accuracy	
	sermanet	EBLearn 2LConvNet ms 108 feats	99.17%	
		+ 100-feats CF classifier + No color		
197	IDSIA	cnn_hog3	98.98%	
196	IDSIA	cnn_cnn_hog3	98.98%	
178	sermanet	EBLearn 2LConvNet ms 108 feats	98.97 %	
195	IDSIA	cnn_cnn_hog3_haar	98.97%	
187	sermanet	EBLearn 2LConvNet		
		ms 108 + val	98.89%	
199	INI-RTCV	Human performance	98.81%	
170	IDSIA	CNN(IMG)_MLP(HOG3)	98.79%	

Convolutional Nets For Brain Imaging and Biology

Brain tissue reconstruction from slice images [Jain,...,Denk, Seung 2007]

- Sebastian Seung's lab at MIT.
- 3D convolutional net for image segmentation
- ConvNets Outperform MRF, Conditional Random Fields, Mean Shift, Diffusion,...[ICCV'07]



Visual Navigation for a Mobile Robot

[LeCun et al. NIPS 2005]

Mobile robot with two cameras

- The convolutional net is trained to emulate a human driver from recorded sequences of video + human-provided steering angles.
- The network maps stereo images to steering angles for obstacle avoidance







Industrial Applications of ConvNets

AT&T/Lucent/NCR

Check reading, OCR, handwriting recognition (deployed 1996)

Vidient Inc

Vidient Inc's "SmartCatch" system deployed in several airports and facilities around the US for detecting intrusions, tailgating, and abandoned objects (Vidient is a spin-off of NEC)

NEC Labs

Cancer cell detection, automotive applications, kiosks

Google

Face and license plate removal from StreetView

Microsoft

OCR, handwriting recognition, speech detection

France Telecom

Face detection, HCI, cell phone-based applications

Other projects: HRL (3D vision)....

Embedded Hardware for Fast ConvNet

NeuFlow: a Dataflow Computer for Embedded Vision

High Peak Performance

- Current proven implementation: 92 GOP/sec on a Xilinx Virtex 6
- Beta version: 200 GOP/sec on a Xilinx Virtex 6
- Simulated version: 700 GOP/sec on an IBM 45nm process

High Actual Performance with a Custom Dataflow Compiler

- Takes a high-level description of a processing flow as an input (any typical image transform, as found in EbLearn/GbLearn/Torch)
- Performs a multi-step analysis and generates optimized bytecode for NeuFlow, by minimizing memory bandwidth usage
- A typical ConvNet is computed at an average of 80 to 90% (end-toend) of the peak perf (GPU code rarely goes beyond 20/30%)

FPGA Custom Board: NYU ConvNet Processor

- Xilinx Virtex 4 FPGA, 8x5 cm board [Farabet et al. ISCAS 2009]
 Dual camera port, Fast dual QDR RAM,
- New version developed in collaboration with Eugenio Culurciello (Yale)
 - Version for Virtex 6 FPGA development board (operational!)



NeuFlow: Dataflow architecture for ConvNet/Vision

Reconfigurable Dataflow Architecture: grid of processor tiles



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Active Data Lines

xFlow & LuaFlow: Language/Compiler for NeuFlow

- Algorithm described as a graph of computing nodes (dataflow graph)
- Compiler generates instructions for NeuFlow processor (or CUDA)



LuaFlow program example

I6 convolutions, 9x9 kernels - > tanh - > fully-connected layer

initializing neuFLow:

neuFlow = NeuFlow{mode='runtime'}

describing a neural net:

```
input_host = torch.Tensor(100,100)
net = nn.Sequential()
net:add(nn.SpatialConvolution(1,16,9,9))
net:add(nn.Tanh())
net:add(nn.SpatialLinear(16,4))
```

+ elaborating the code for neuFlow:

```
neuFlow:beginLoop('main')
    input_nf = neuFlow:copyFromHost(input_host)
    output_nf = neuFlow:compile(net, input_nf)
    output_host = neuFlow:copyToHost(output_nf)
neuFlow:endLoop('main')
```

```
New York University
```

LuaFlow program example

16 convolutions, 9x9 kernels - > tanh - > fully-connected layer

Ioading the bytecode on neuFlow:

```
neuFlow:loadBytecode()
-- at this point, neuFlow executes its new code
```

now simply describe the host code:

```
while true do
    input_host = camera:getFrame()
    neuFlow:copyToDev(input_host)
    neuFlow:copyFromDev(output_host)
    result = soft_classifier:forward(output_host)
end
```

 at this point the code is running in a loop, neuFlow is computing the neural net, while the host computes a simple linear classifier on the results

FPGA Performance

Seconds per frame for a robot vision task (log scale) [Farabet et al. 2010]



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NeuFlow: Performance with the LAGR ConvNet

Example: a typical ConvNet trained for obstacle detection (LAGR)





connections per input patch {x10⁸ }

NeuFlow ASIC

- Collaboration withe-Lab (Yale)
- Design in progress
- 45 nm technology
- **700 Gop/s**
- < 3 Watts.</p>

5x3 mm





Example: a typical ConvNet

	CPU Intel 2 cores	GPU NVidia GT335m	GPU NVidia GS1070	FPGA NeuFlow Virtex-4	FGPA NeuFlow Virtex-6	ASIC NeuFlow IBM 45nm
Peak Gop/sec	10	182	1000	40	160	700
Actual Gop/sec	1.1	54	290	37	147 99	600
FPS	1.4	67	360	46	182	700
Power (W)	30	30	220	10	15	3
Gop/s/W	0.037	1.8	1.32	3.7	9.8	200

"The Economist", October 21, 2010



Computer vision

Eye robot

Poor eyesight remains one of the main obstacles to letting robots loose among humans. But it is improving, in part by aping natural vision Oct 21st 2010

A ConvNet begins by swiping a number of software filters, each several pixels across, over the image, pixel by pixel. Like the brain's primary visual cortex, these filters look for simple features such as edges. The upshot is a set of feature maps, one for each filter, showing which patches of the original image contain the sought-after element. A series of transformations is then performed on each map in order to enhance it and improve the contrast. Next, the maps are swiped again, but this time rather than stopping at each pixel, the filter takes a snapshot every few pixels. That produces a new set of maps of lower resolution. These highlight the salient features while reining in computing power. The whole process is then repeated, with several hundred filters probing for more elaborate shapes rather than just a few scouring for simple ones. The resulting array of feature maps is run through one final set of filters. These classify objects into general categories, such as pedestrians or cars.

Many state-of-the-art computer-vision systems work along similar lines. The uniqueness of ConvNets lies in where they get their filters. Traditionally, these were simply plugged in one by one, in a laborious manual process that required an expert human eye to tell the machine what features to look for, in future, at each level. That made systems which relied on them good at spotting narrow classes of objects but inept at discerning anything else.

Dr LeCun's artificial visual cortex, by contrast, lights on the appropriate filters automatically as it is taught to distinguish the different types of object. When an
Object Recognition with ConvNets



C5: 200x23x23

Problem: supervised ConvNets don't work with few labeled samples

- On recognition tasks with few labeled samples, deep supervised architectures don't do so well
- **Example:** Caltech-101 Object Recognition Dataset
 - 101 categories of objects (gathered from the web)
 - Only 30 training samples per category!

Recognition rates (OUCH!):

- Supervised ConvNet:
- SIFT features + Pyramid Match Kernel SVM: [Lazebnik et al. 2006]

When learning the features, there are simply too many parameters lotus to learn in purely supervised mode (or so we thought).









joshua **t**.







background



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face





wild cat

64.6%

29.0%

"Conventional" vision systems are similar to ConvNets



Fixed low-level Features + unsupervised mid-level features + simple classifier

Example:

- SIFT + K-means + Pyramid pooling + SVM intersection kernel: >65%
 [Lazebnik et al. CVPR 2006]
- SIFT + Sparse coding + Pyramid pooling + SVM: >73%
 [Yang et al. CVPR 2009, Boureau et al. CVPR 2010]

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Unsupervised Deep Learning: Leveraging Unlabeled Data

[Hinton 05, Bengio 06, LeCun 06, Ng 07]

- Unlabeled data is usually available in large quantity
- A lot can be learned about the world by just looking at it
- Unsupervised learning captures underlying regularities about the data
- The best way to capture underlying regularities is to learn good representations of the data
- The main idea of Unsupervised Deep Learning
 - Learn each layer one at a time in unsupervised mode
 - Stick a supervised classifier on top
 - Optionally: refine the entire system in supervised mode
- Unsupervised Learning view as Energy-Based Learning

Unsupervised Feature Learning with Sparse Coding

[Olshausen & field 1997]

Find a dictionary of basis functions such that any input can be reconstructed of a sparse linear combination of them.

• Energy:
$$E(Y^{i}, Z; W_{d}) = ||Y^{i} - W_{d}Z||^{2} + \lambda \sum_{j} |z_{j}|$$

• Optimal Code $Z^{i} = argmin_{z}E(Y^{i}, z; W_{d})$
• Free Energy: $F(Y^{i}; W_{d}) = F(Z^{i}) = min_{z}E(Y^{i}, z; W_{d})$

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Unsupervised Feature Learning with Sparse Coding

The learning algorithm minimizes the loss function:

$$L(W_{d}) = \sum_{i} F(Y^{i}; W_{d}) = \sum_{i} (\min_{Z} E(Y^{i}, Z; W_{d}))$$

The columns of Wd are normalized



• Energy: $E(Y^{i}, Z; W_{d}) = ||Y^{i} - W_{d}Z||^{2} + \lambda \sum_{j} |z_{j}|$

• Free Energy: $F(Y^i; W_d) = F(Z^i) = min_z E(Y^i, z; W_d)$

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Problem with Sparse Coding: Inference is slow

Inference: find Z that minimizes the energy for a given Y

$$E(Y^{i}, Z^{i}; W_{d}) = ||Y^{i} - W_{d}Z^{i}||^{2} + \lambda \sum_{j} |z_{j}^{i}|$$
$$Z^{i} = argmin_{z}E(Y^{i}, z; W_{d})$$

- For each new Y, an optimization algorithm must be run to find the corresponding optimal Z
- This would be very slow for large scale vision tasks
- Also, the optimal Z are very unstable:
 - A small change in Y can cause a large change in the optimal Z

Solution: Predictive Sparse Decomposition (PSD)

[Kavukcuoglu, Ranzato, LeCun, 2009]

Prediction the optimal code with a trained encoder

Energy = reconstruction_error + code_prediction_error + code_sparsity

$$E(Y^{i}, Z) = ||Y^{i} - W_{d}Z||^{2} + ||Z - g_{e}(W_{e}, Y^{i})||^{2} + \lambda \sum_{j} |z_{j}|$$

$$g_{e}(W_{e}, Y^{i}) = D \tanh(W_{e}Y)$$



PSD: Inference

Inference by gradient descent starting from the encoder output

$$E(Y^{i}, Z) = ||Y^{i} - W_{d}Z||^{2} + ||Z - g_{e}(W_{e}, Y^{i})||^{2} + \lambda \sum_{j} |z_{j}|^{2}$$
$$Z^{i} = argmin_{z}E(Y^{i}, z; W)$$



PSD: Learning [Kavukcuoglu et al. 2009]

Learning by minimizing the average energy of the training data with respect to Wd and We.

• Loss function:
$$L(W_d, W_e) = \sum_i F(Y^i; W_d, W_e)$$

 $F(Y^i; W_d, W_e) = min_z E(Y^i, z; W_d, W_e)$



PSD: Learning Algorithm



- 1. Initialize Z = Encoder(Y)
- **2. Find Z that minimizes the energy function**
- **3.** Update the Decoder basis functions to reduce reconstruction error
- 4. Update Encoder parameters to reduce prediction error
- Repeat with next training sample

PSD: Encoder Architectures



- Simple: D.tanh(We.Y)
- Sophisticated/Iterative: Z(t) = shrink(We.Y + S.Z(t-1))
- For a discussion of best encoders, see [Gregor & LeCun, ICML 2010]

Encoder Architecture inspired by ISTA [Gregor, LeCun ICML 2010] (Iterative Shrinkage and Thresholding Algorithm)

ISTA/FISTA: iterative algorithm that converges to optimal sparse code



Learning ISTA (LISTA) vs ISTA/FISTA





LISTA with partial mutual inhibition matrix



Learning Coordinate Descent (LcoD): faster than LISTA

error



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Decoder Basis Functions on MNIST

PSD trained on handwritten digits: decoder filters are "parts" (strokes).

Any digit can be reconstructed as a linear combination of a small number of these "parts".

4	2	2	2	5	8	.)	1	1	1	1	1	?	6	1	9	1	H	1	0
6	1	1	T	3	7	6	0	1	1	•	1	3		5	1	2	1	3	3
1	-	1	2	5	5	1	3	3		۴.		3	•	9	\$	ð	1	16-31	3
6	1º	*	-	5	-	3	3	2		-	-	\mathbf{G}	2	0	5	5	3	1	1
5	2		7	2	6	3	1	5	6	7	?	e	1	9	1	2		1	0
1	2	0	1	5	5	6	5	2	4	1	0	-	10	5	5)	2		2
6	•	0	4	0	C	6	-	2	1	0	-	-	1	2	3	2	2	3	
2	1	9	3	٠	2	T	3	1	0	0		0	1	1	9	7	e	1	
1	0	-	3	7		3	9	2	6.0	r	0	-	1	0	1		-	0	5
7	0	E	4	3	5	1	1	1	S	6	-	9	1	4	0	0	1	7	1

PSD Training on Natural Image Patches

Basis functions are like Gabor filters (like receptive fields in V1 neurons)

- 256 filters of size 12x12
- Trained on natural image patches from the Berkeley dataset
- Encoder is linear-tanhdiagonal



iteration no O

Learned Features on natural patches: V1-like receptive fields



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New York University

Phase 1: train first layer using PSD



FEATURES

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- Phase 1: train first layer using PSD
- Phase 2: use encoder + absolute value as feature extractor



FEATURES

- Phase 1: train first layer using PSD
- Phase 2: use encoder + absolute value as feature extractor
- Phase 3: train the second layer using PSD



FEATURES

- Phase 1: train first layer using PSD
- Phase 2: use encoder + absolute value as feature extractor
- Phase 3: train the second layer using PSD
- Phase 4: use encoder + absolute value as 2nd feature extractor



FEATURES

- Phase 1: train first layer using PSD
- Phase 2: use encoder + absolute value as feature extractor
- Phase 3: train the second layer using PSD
- Phase 4: use encoder + absolute value as 2nd feature extractor
- Phase 5: train a supervised classifier on top
- Phase 6 (optional): train the entire system with supervised back-propagation





[Hinton 05, Bengio 06, LeCun 06, Ng 07]

The "deep learning" method was popularized by Hinton for training "deep belief networks".

- DBN use a special kind of encoder-decoder architecture called Restricted Boltzmann Machines (RBM)
- 1. Train each layer in an unsupervised fashion, layer by layer
- 2. Stick a supervised classifier on top, and refine the entire system with gradient descent (back-prop) on a supervised criterion.

Training a Multi-Stage Hubel-Wiesel Architecture with PSD



- I. Train stage-1 filters with PSD on patches from natural images
- 2. Compute stage-1 features on training set
- **3.** Train state-2 filters with PSD on stage-1 feature patches
- **4.** Compute stage-2 features on training set
- 5. Train linear classifier on stage-2 features
- 6. Refine entire network with supervised gradient descent
- What are the effects of the non-linearities and unsupervised pretraining?

Using PSD Features for Object Recognition

64 filters on 9x9 patches trained with PSD

with Linear-Sigmoid-Diagonal Encoder



weights :-0.2828 - 0.3043

Adding Rectification and Normalization Modules

- C Convolutions (filter bank)
- Tanh+Abs tanh (sigmoid) + Absolute Value Rectification
- \mathbf{P} N Subtractive and Divisive Local Normalization
- Pooling down-sampling layer: average or max?



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Training Protocol

R

 R^+

Training

- Logistic Regression on Random Features:
- Logistic Regression on PSD features:
- Refinement of whole net from random with backprop:
- Refinement of whole net starting from PSD filters:
- Classifier





Using PSD Features for Recognition

$[\mathbf{64.F_{CSG}^{9 imes 9}-R/N/P^{5 imes 5}}]$ - \log_reg								
R/N/P	$R_{abs}-N-P_A$	$R_{abs}-P_A$	$\mathbf{N} - \mathbf{P}_{\mathbf{M}}$	$\mathbf{N}-\mathbf{P}_{\mathbf{A}}$	PA			
\mathbf{U}^+	54.2%	50.0%	44.3%	18.5%	14.5%			
$ \mathbf{R}^+ $	54.8%	47.0%	38.0%	16.3%	14.3%			
\mathbf{U}	52.2%	$43.3(\pm 1.6)\%$	44.0%	17.2%	13.4%			
\mathbf{R}	53.3%	31.7%	32.1%	15.3%	$12.1(\pm 2.2)\%$			
$[64.\mathrm{F}_{\mathrm{CSG}}^{9 imes9}-\mathrm{R/N/P^{5 imes5}}]$ - PMK								
U								
$[96.F^{9\times9}_{CSG}-R/N/P^{5\times5}] - PCA - lin_{svm}$								
U								
96.Gabors - PCA - lin_svm (Pinto and DiCarlo 2006)								
Gabors 59.0%								
SIFT - PMK (Lazebnik et al. CVPR 2006)								
Gabors	64.6%							

Using PSD Features for Recognition

Rectification makes a huge difference:

- 14.5% -> 50.0%, without normalization
- 44.3% -> 54.2% with normalization
- Normalization makes a difference:
 - 50.0 → 54.2
- Unsupervised pretraining makes small difference
- PSD works just as well as SIFT
- Random filters work as well as anything!
 - If rectification/normalization is present
- PMK_SVM classifier works a lot better than multinomial log_reg on lowlevel features
 - 52.2% → 65.0%

Multistage Hubel-Wiesel Architecture

Image Preprocessing:

High-pass filter, local contrast normalization (divisive)

First Stage:

- Filters: 64 9x9 kernels producing 64 feature maps
- Pooling: 10x10 averaging with 5x5 subsampling

Second Stage:

- Filters: 4096 9x9 kernels producing 256 feature maps
- Pooling: 6x6 averaging with 3x3 subsampling
- Features: 256 feature maps of size 4x4 (4096 features)

Classifier Stage:

Multinomial logistic regression

Number of parameters:

Roughly 750,000

Multistage Hubel-Wiesel Architecture on Caltech-101

Single Stage System: $[64.F_{CSG}^{9 \times 9} - R/N/P^{5 \times 5}]$ - log_reg								
R/N/P	$R_{abs}-N-P_{\mathbf{A}}$	$R_{abs}-P_{A}$	$N - P_M$	$N - P_A$	PA			
U^+	54.2%	50.0%	44.3%	18.5%	14.5%			
\mathbf{R}^+	54.8%	47.0%	38.0%	16.3%	14.3%			
U	52.2%	43.3%(±1.6)	44.0%	17.2%	13.4%			
R	53.3%	31.7%	32.1%	15.3%	$12.1\%(\pm 2.2)$			
G	52.3%							
Two Stage System: $[64.F_{CSG}^{9 \times 9} - R/N/P^{5 \times 5}] - [256.F_{CSG}^{9 \times 9} - R/N/P^{4 \times 4}]$ - log_re								
R/N/P	$R_{abs}-N-P_{\mathbf{A}}$	$R_{abs}-P_{A}$	$N - P_M$	$N - P_{\mathbf{A}}$	P_A			
U^+U^+	65.5%	60.5%	61.0%	34.0%	32.0%			
R^+R^+	64.7%	59.5%	60.0%	31.0%	29.7%			
UU	63.7%	46.7%	56.0%	23.1%	9.1%			
RR	62.9%	$33.7\%(\pm 1.5)$	37.6%(±1.9)	19.6%	8.8%			
GT	GT 55.8% \leftarrow like HMAX model							
Single Stage: $[64.F_{CSG}^{9 \times 9} - R/N/P^{5 \times 5}]$ - PMK-SVM								
U 64.0%								
Two Stages: $[64.F_{CSG}^{9 \times 9} - R/N/P^{5 \times 5}] - [256.F_{CSG}^{9 \times 9} - R/N]$ - PMK-SVM								
UU	52.8%							

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Using more ideas from biology

Pyramid Pooling

Multi-scale pooling at the last stage



Threshold/Shrinkage Response Function + Lateral Inhibition Matrix

Filter Bank - Shrinkage - Inhibition - Shrinkage

$$- \left(W_{e} \right)^{+} \left(\int_{S} \left(S \right)^{+} \left(+ \right)^{+} \left(\int_{S} \left(f \right)^{+} \left(f$$

Discriminative term during pre-training (using label information)

$$E(x, y, z, D, \theta) = C(y, l(z, \theta)) + ||x - Dz||_2^2 + \lambda_1 ||z||_1$$

[Mairal NIPS 09], [Boureau CVPR 10]

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Using a few more tricks...

- Pyramid pooling on last layer: 1% improvement over regular pooling
- Shrinkage non-linearity + lateral inhibition: 1.6% improvement over tanh
- Discriminative term in sparse coding: 2.8% improvement

	Architecture	Protocol	%
	(1) $F_{\text{tanh}} - R_{abs} - N - P_A^{pyr}$	$\mathbf{R}^{+}\mathbf{R}^{+}$	65.4 ± 1.0
	- (2) $F_{\text{tanh}} - R_{abs} - N - P_A^{pyr}$	$\mathbf{U}^+\mathbf{U}^+$	66.2 ± 1.0
/	(3) $F_{si} - R_{abs} - N - P_A$	$\mathbf{R}^{+}\mathbf{R}^{+}$	63.3 ± 1.0
	(4) $F_{si} - R_{abs} - N - P_A$	$\mathbf{U}\mathbf{U}$	60.4 ± 0.6
	(5) $F_{si} - R_{abs} - N - P_A$	$\mathbf{U}^+\mathbf{U}^+$	66.4 ± 0.5
	(6) $F_{si} - R_{abs} - N - P_A^{pyr}$	$\mathbf{U}^+\mathbf{U}^+$	67.8 ± 0.4
$\left(\right)$	$(7) F_{si} - R_{abs} - N - P_A$	DD	66.0 ± 0.3
	(8) $F_{si} - R_{abs} - N - P_A$	$\mathbf{D}^+\mathbf{D}^+$	68.7 ± 0.2
	-(9) $F_{si} - R_{abs} - N - P_A^{pyr}$	$\mathbf{D}^+\mathbf{D}^+$	$\textbf{70.6} \pm \textbf{0.3}$

Latest Results and Analysis

Latest result on C-101: 70.8% correct

- Multi-scale pooling at the last layer (pyramid pooling)
- Discriminative term in the sparse coding unsupervised learning
- Different encoder architecture, with shrinkage function. And different sparse coding inference method (ISTA) [Gregor ICML 2010]
- Second Stage + logistic regression = PMK_SVM
- Unsupervised pre-training doesn't help much :-(
- Random filters work amazingly well with normalization
- Supervised global refinement helps a bit
- The best system is really cheap
- Either use rectification and average pooling or no rectification and max pooling.


Multistage Hubel-Wiesel Architecture: Filters

After PSD



After supervised refinement



weights :-0.0929 - 0.0784

Stage 1

Demo: real-time learning of visual categories



Why Random Filters Work?









Small NORB dataset

5 classes and up to 24,300 training samples per class



Small NORB dataset



ConvNets and "Conventional" Vision Architectures are Similar



Can't we use the same tricks as ConvNets to train the second stage of a "conventional vision architecture?

Stage 1: SIFT

Stage 2: discriminatibe sparse coding over neighborhoods + normalization + pooling

Using DL/ConvNet ideas in "conventional" recognition systems

Adapting insights from ConvNets:

[Boureau et al. CVPR 2010]

Jointly encoding spatial neighborhoods instead of single points: increase spatial receptive fields for higher-level features



- Use max pooling instead of average pooling
 Train supervised dictionary for sparse coding
- This yields state-of-the-art results:
 - 75.7% on Caltech-101 (+/-1.1%): record for single system
 - **85.6%** on 15-Scenes (+/- 0.2): record!

The Competition: SIFT + Sparse-Coding + PMK-SVM

Replacing K-means with Sparse Coding

[Yang 2008] [Boureau, Bach, Ponce, LeCun 2010]

	Method	Caltech 15	Caltech 30	Scenes
Boiman et al. [1]	Nearest neighbor + spatial correspondence	65.00 ± 1.14	70.40	-
Jain et al. [8]	Fast image search for learned metrics	61.00	69.60	-
Lazebnik et al. [12]	Spatial Pyramid + hard quantization + kernel SVM	1 56.40	64.40 ± 0.80	81.40 ± 0.50
van Gemert et al. [24]	Spatial Pyramid + soft quantization + kernel SVM	_	64.14 ± 1.18	76.67 ± 0.39
Yang et al. [26]	SP + sparse codes + max pooling + linear	$67.00 {\pm} 0.45$	73.2 ± 0.54	80.28 ± 0.93
Zhang et al. [27]	kNN-SVM	59.10 ± 0.60	66.20 ± 0.50	-
Zhou et al. [29]	SP + Gaussian mixture	_	_	84.1 ± 0.5
Baseline:	SP + hard quantization + avg pool + kernel SVM	56.74 ± 1.31	64.19 ± 0.94	80.89 ± 0.21
Unsupervised coding	SP + soft quantization + avg pool + kernel SVM	59.12 ± 1.51	66.42 ± 1.26	81.52 ± 0.54
1×1 features	SP + soft quantization + max pool + kernel SVM	63.61 ± 0.88	_	83.41 ± 0.57
8 pixel grid resolution	SP + sparse codes + avg pool + kernel SVM	62.85 ± 1.22	70.27 ± 1.29	83.15 ± 0.35
	SP + sparse codes + max pool + kernel SVM	64.62 ± 0.94	71.81±0.96	84.25 ± 0.35
	SP + sparse codes + max pool + linear	64.71 ± 1.05	71.52 ± 1.13	83.78 ± 0.53
Macrofeatures +	SP + sparse codes + max pool + kernel SVM	69.03±1.17	75.72±1.06	84.60 ± 0.38
Finer grid resolution	SP + sparse codes + max pool + linear	68.78 ± 1.09	75.14 ± 0.86	84.41 ± 0.26



[Kavukcuoglu et al. NIPS 2010]: convolutional PSD

[Zeiler, Krishnan, Taylor, Fergus, CVPR 2010]: Deconvolutional Network [Lee, Gross, Ranganath, Ng, ICML 2009]: Convolutional Boltzmann Machine [Norouzi, Ranjbar, Mori, CVPR 2009]: Convolutional Boltzmann Machine [Chen, Sapiro, Dunson, Carin, Preprint 2010]: Deconvolutional Network with automatic adjustment of code dimension.

Convolutional Training

Problem:

- With patch-level training, the learning algorithm must reconstruct the entire patch with a single feature vector
- But when the filters are used convolutionally, neighboring feature vectors will be highly redundant



Patch-level training produces lots of filters that are shifted versions of each other.

weights :-0.2828 - 0.3043

Convolutional Sparse Coding

Replace the dot products with dictionary element by convolutions.

- Input Y is a full image
- Each code component Zk is a feature map (an image)
- Each dictionary element is a convolution kernel

Regular sparse coding
$$E(Y, Z) = ||Y - \sum_{k} W_k Z_k||^2 + \alpha \sum_{k} |Z_k|$$

• Convolutional S.C.
$$E(Y, Z) = ||Y - \sum_{k} W_k * Z_k||^2 + \alpha \sum_{k} |Z_k|$$



"deconvolutional networks" [Zeiler, Taylor, Fergus CVPR 2010]

Convolutional PSD: Encoder with a soft sh() Function

Convolutional Formulation

Extend sparse coding from PATCH to IMAGE

$$\mathcal{L}(x, z, \mathcal{D}) = \frac{1}{2} ||x - \sum_{k=1}^{K} \mathcal{D}_k * z_k||_2^2 + \sum_{k=1}^{K} ||z_k - f(W^k * x)||_2^2 + |z|_1$$



PATCH based learning

CONVOLUTIONAL learning



Convolutional PSD

Convolutional Formulation

- Efficient Training using 2nd order derivative approximation
- Especially important for training an encoder function
- Encoder with smooth shrinkage non-linearity



Convolutional Training

Filters and Basis Functions obtained with 16, 32, and 64 filters.

Smooth shrinkage encoder, coordinate gradient descent inference



Convolutional PSD: Second Stage

Second Stage Filters (encoder) and Basis Functions (decoder)

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Convolutional PSD: training the filters of a ConvNet

Performance on Caltech-101

- Significant Improvement on 1st layer from *Patch* to *Convolutional*
- 1st layer is closest to input and convolutional training is most effective

	Patch	Convolutional
1 stage, unusp: U	52.2%	57.1%
1 stage, unsup+sup: U⁺	54.2%	57.6%
2 stages, unsup: UU	63.7%	65.3%
2 stages, unsup+sup: U ⁺ U ⁺	65.5%	66.3%

Cifar-10 Dataset

Dataset of tiny images

- Images are 32x32 color images
- 10 object categories with 50000 training and 10000 testing

Example Images

airplane	🚧 🔊 🐖 📈 🤟 = 🛃 🕅 🛶 🌌
automobile	ar 😸 🚵 😂 🐭 😂 🛋 🐝
bird	in 🖉 🖉 🔊 🔍 🍂 🖉 😒 💘
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horse	- The second
ship	🗃 🍻 🛶 👛 🧫 🏄 📂 🚵
truck	i i i i i i i i i i i i i i i i i i i

Architecture of Network

First Stage:

- Filters Y: 96 7x7 kernels: 64 Y, 16 U, and 16 V
- Pooling: 4x4 averaging with 2x2 subsample
- Features: 64 feature maps size 12x12
- Filters are learned <u>convolutionally</u> with DPSD

Second Stage:

- Filters: 2048 7x7 kernels
- Pooling: 3x3 averaging no downsampling
- Features: 128 feature maps of size 4x4
- Filters are learned <u>convolutionally</u> with DPSD





Chrominance Filters (Cr, Cb)

Comparative Results on Cifar-10 Dataset

10000 linear combinations [2	36.0%							
10k GRBM, 1 layer with fine-	64.8%							
mcRBM-DBN(11025-8192-81	71.0%							
PCA(512)-iLCC(4096)-SVM	74.5 %							
Architecture	%							
(1) $F_{si} - R_{abs} - P_M - N$	\mathbf{RR}	47.5%						
(2) $F_{tanh} - R_{abs} - P_M - N$	70.0%							
(3) $F_{si} - R_{abs} - P_M - N$	70.5%							
(4) $F_{si} - R_{abs} - P_M - N$	59.6%							
(5) $F_{si} - R_{abs} - N - P_A$	60.0%							
(6) $F_{tanh} - R_{abs} - P_M - N$	(6) $F_{tanh} - R_{abs} - P_M - N$ $\mathbf{U}_{\mathbf{c}}^+ \mathbf{U}^+$							
(7) $F_{si} - R_{abs} - P_M - N$	$\mathbf{U}^+_{\mathbf{c}}\mathbf{U}^+$	74.8%						
(8) $F_{si} - R_{abs} - P_M - N$	74.4%							
(9) $F_{si} - R_{abs} - N - P_A$	$\mathbf{D}^+_{\mathbf{c}}\mathbf{D}^+$	75.0%						
(10) $F_{si} - R_{abs} - P_M - N$	$\mathbf{D}^+_{\mathbf{c}}\mathbf{D}^+$	77.6%						

* Krizhevsky. Learning multiple layers of features from tiny images. Masters thesis, Dept of CS U of Toronto

**Ranzato and Hinton. Modeling pixel means and covariances using a factorized third order boltzmann machine. CVPR 2010
Yann LeCun
New York I

Pedestrian Detection (INRIA Dataset)



Yann LeCun

R New York University

Pedestrian Detection: Examples



Yann LeCun

[Kavukcuoglu et al. NIPS 2010]

New York University

Learning Complex Cells with Invariance Properties Using Group Sparsity

[Kavukcuoglu et al. CVPR 2008]



Learning Invariant Features [Kavukcuoglu et al. CVPR 2009]

- Unsupervised PSD ignores the spatial pooling step.
- Could we devise a similar method that learns the pooling layer as well?
- Idea [Hyvarinen & Hoyer 2001]: group sparsity on pools of features
 - Minimum number of pools must be non-zero
 - Number of features that are on within a pool doesn't matter
 - Polls tend to regroup similar features



Learning the filters and the pools

Using an idea from Hyvarinen: topographic square pooling (subspace ICA)

- 1. Apply filters on a patch (with suitable non-linearity)
- 2. Arrange filter outputs on a 2D plane
- 3. square filter outputs
- 4. minimize sqrt of sum of blocks of squared filter outputs



Units in the code Z

Define pools and enforce sparsity across pools

Learning the filters and the pools

- The filters arrange themselves spontaneously so that similar filters enter the same pool.
- The pooling units can be seen as complex cells
- They are invariant to local transformations of the input
 - For some it's translations, for others rotations, or other transformations.



Pinwheels?



Yann LeCun

New York University

Invariance Properties Compared to SIFT

- Measure distance between feature vectors (128 dimensions) of 16x16 patches from natural images
 - Left: normalized distance as a function of translation
 - Right: normalized distance as a function of translation when one patch is rotated 25 degrees.
- **Topographic PSD features are more invariant than SIFT**



Learning Invariant Features

Recognition Architecture

- ->HPF/LCN->filters->tanh->sqr->pooling->sqrt->Classifier
- Block pooling plays the same role as rectification



Recognition Accuracy on Caltech 101

- A/B Comparison with SIFT (128x34x34 descriptors)
- 32x16 topographic map with 16x16 filters
- Pooling performed over 6x6 with 2x2 subsampling
- 128 dimensional feature vector per 16x16 patch
- Feature vector computed every 4x4 pixels (128x34x34 feature maps)
- Resulting feature maps are spatially smoothed

Method	Av. Accuracy/Class (%)								
local norm _{5×5} + boxcar _{5×5} + PCA ₃₀₆₀ + linear SVN									
IPSD (24x24)	50.9								
SIFT (24x24) (non rot. inv.)	51.2								
SIFT (24x24) (rot. inv.)	45.2								
Serre et al. features [25]	47.1								
local norm _{9×9} + Spatial Pyramid Match Kernel SV									
SIFT [11]	64.6								
IPSD (34x34)	59.6								
IPSD (56x56)	62.6								
IPSD (120x120)	65.5								

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Recognition Accuracy on Tiny Images & MNIST

- A/B Comparison with SIFT (128x5x5 descriptors)
- 32x16 topographic map with 16x16 filters.

Performance on Tiny Images Dataset								
Method	Accuracy (%)							
IPSD (5x5)	54							
SIFT (5x5) (non rot. inv.)	53							
Performance on MNIST Dataset								
Method	Error Rate (%)							
IPSD (5x5)	1.0							
SIFT (5x5) (non rot. inv.)	1.5							

Learning fields of Simple Cells and Complex Cells

[Gregor and LeCun, arXiv.org 2010]



Training Simple Cells with Local Receptive Fields over Large Input Images

Training on 115x115 images. Kernels are 15x15



Simple Cells + Complex Cells with Sparsity Penalty: Pinwheels

Training on 115x115 images. Kernels are 15x15



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119x119 Image Input 100x100 Code 20x20 Receptive field size sigma=5 K Obermayer and GG Blasdel, Journal of Neuroscience, Vol 13, 4114-4129 (**Monkey**)





Michael C. Crair, et. al. The Journal of Neurophysiology Vol. 77 No. 6 June 1997, pp. 3381-3385 (**Cat**)

Same Method, with Training at the Image Level (vs patch)

Color indicates orientation (by fitting Gabors)



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[Taylor, Fergus, LeCun, Bregler ECCV 2010]


Architecture



Convolutional Gated RBM: takes two successive frames as input and automatically learns motion features

- Feature encode the transformation from the first frame to the second frame
- Trained in unsupervised mode to predict the second frame
- The rest is a 3D (spatio-temporal) convolutional network
 - Trained in supervised mode with sparse coding

Gated RBM



$$E(\mathbf{y}, \mathbf{z}; \mathbf{x}) = -\sum_{ijk} W_{ijk} x_i y_j z_k$$
$$p(\mathbf{y}, \mathbf{z} | \mathbf{x}) = \frac{1}{Z(\mathbf{x})} \exp\left(-E(\mathbf{y}, \mathbf{z}; \mathbf{x})\right)$$

$$E\left(\mathbf{y}, \mathbf{z}; \mathbf{x}\right) = \frac{1}{2\sigma^2} \sum_{j} (y_j - a_j)^2 - \frac{1}{\sigma} \sum_{ijk} W_{ijk} x_i y_j z_k$$

In practice, we use this energy
(real valued outputs, biases) $-\sum_k b_k z_k$

$$p(z_k = 1 | \mathbf{x}, \mathbf{y}) = \frac{1}{1 + \exp\left(-\sum_{ij} W_{ijk} x_i y_j\right)}$$

Inference

Convolutional Gated RBM (ConvGRBM)



Yann LeCun

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KTH Action Dataset

- Available since 2004
- I60xI20 greyscale
- 25 subjects performing 6 actions: walking, jogging, running, boxing, hand waving and hand clapping
- 4 scenarios: outdoors, outdoors with scale variation, outdoors with different clothes and indoors
- 2 popular evaluation schemes









Features Learned by ConvGRBM on KTH





Boxing

Jogging

Hollywood 2 Dataset

[Laptev 2008]

- I 2 classes of human actions, I 0 class of scenes
- 3669 video clips (30s-2m);
 20.1h total video
- Captured from 69 Hollywood movies
- Samples may contain instances of several actions
- Contains an "automatic" and "human" labeled training sets

Actions				
	Training subset (clean)	Training subset (automatic)	Test subset (clean)	
AnswerPhone	66	59	64	
DriveCar	85	90	102	
Eat	40	44	33	
FightPerson	54	33	70	
GetOutCar	51	40	57	
HandShake	32	38	45	
HugPerson	64	27	66	
Kiss	114	125	103	
Run	135	187	141	
SitDown	104	87	108	
SitUp	24	26	37	
StandUp	132	133	146	
All Samples	823	810	884	

Hollywood 2 Architecture

- ConvGRBM
- Sparse coding
- Max pooling
- SVM



Results

KTH actions



Hollywood2



Compared to other methods using dense sampling (no interest points):

Method	Accuracy
HOG3D-KM-SVM	85.3
HOG/HOF-KM-SVM	86.1
HOG-KM-SVM	79.0
HOF-KM-SVM	88.0
32GRBM-KM-SVM	88.3
32GRBM-SC-SVM	89.1
32convGRBM-3Dconvnet-LR	88.9
32convGRBM-3Dconvnet-MLP	90.0

State-of-the-art: 91.8% (Laptev et al. 2008) Uses explicit interest-point detection Dense sampling actually works better:

Method	Mean AP
HOG3D-KM-SVM	45.3
HOG/HOF-KM-SVM	47.4
HOG-KM-SVM	39.4
HOF-KM-SVM	45.5
convGRBM+SC+SVM	46.8
	↑ 2 nd place

We also outperform Cuboids (45.0%) and Willems et. al (38.2%)

📍 New York University

Deep Learning for Mobile Robot Vision



DARPA/LAGR: Learning Applied to Ground Robotics

- Getting a robot to drive autonomously in unknown terrain solely from vision (camera input).
- Our team (NYU/Net-Scale Technologies Inc.) was one of 8 participants funded by DARPA
- All teams received identical robots and can only modify the software (not the hardware)
- The robot is given the GPS coordinates of a goal, and must drive to the goal as fast as possible. The terrain is unknown in advance. The robot is run 3 times through the same course.
- Long-Range Obstacle Detection with online, self-trained ConvNet
- Uses temporal consistency!





Obstacle Detection

Obstacles overlaid with camera image



Camera image

Detected obstacles (red)



Navigating to a goal is hard...



stereo perspective



human perspective





Self-Supervised Learning

- Stereo vision tells us what nearby obstacles look like
- Use the labels (obstacle/traversible) produced by stereo vision to train a monocular neural network
- Self-supervised "near to far" learning

Long Range Vision: Distance Normalization



Pre-processing (125 ms)

- Ground plane estimation
- Horizon leveling
- Conversion to YUV + local contrast normalization
- Scale invariant pyramid of distance-normalized image "bands"

112.3m to INF, scale: 1.0 50.7m to INF, scale: 1.4 24.2m to INF, scale: 1.9 13.8m to 86.8m, scale: 2.6 9.0m to 34.5m, scale: 3.5 5.8m to 17.6m, scale: 5.0 4.1m to 11.3m, scale: 6.7





Convolutional Net Architecture

Operates on 12x25 YUV windows from the pyramid





Convolutional Net Architecture

20@30x484



20@30x125





CONVOLUTIONS (7x6)







Long Range Vision: 5 categories

Online Learning (52 ms)

• Label windows using stereo information – 5 classes









Trainable Feature Extraction

- "Deep belief net" approach to unsupervised feature learning
- Two stages are trained in sequence
 - each stage has a layer of convolutional filters and a layer of horizontal feature pooling.
 - Naturally shift invariant in the horizontal direction
- Filters of the convolutional net are trained so that the input can be reconstructed from the features
 - 20 filters at the first stage (layers 1 and 2)
 - 300 filters at the second stage (layers 3 and 4)
- Scale invariance comes from pyramid.
 - for near-to-far generalization









Long Range Vision Results







Long Range Vision Results







Long Range Vision Results















Technologies, Inc.







Feature Learning for traversability prediction (LAGR)

Comparing

- purely supervised
- stacked, invariant auto-encoders
- DrLIM invariant learning



Testing on hand-labeled groundtruth frames – binary labels

Comparison of Feature Extractors on Groundtruth Data





