



Dennis Shasha

DOI:10.1145/2743036

## Upstart Puzzles

# Strategic Friendship

CONSIDER THE FOLLOWING game (first posed to my close friend Dr. Ecco) played among several entities. Each entity  $E_i$  has a certain force  $F_i$  and a certain wealth  $W_i$ . A coalition of one or more entities has a combined force equal to the sum of the force of the individual entities. If a coalition  $C_1$  has a force that exceeds the force of a coalition  $C_2$ , and  $C_1$  attacks  $C_2$ , then  $C_2$  is eliminated, and the wealth of the entities making up  $C_2$  is distributed equally among the coalition members of  $C_1$ , but the force of the coalition members in  $C_1$  does not change. Note every member of a coalition must agree to attack for an attack to take place. If the force of  $C_1$  is less than the force of  $C_2$ , and  $C_1$  attacks  $C_2$ , then  $C_1$  is eliminated. This will never happen, however, because we assume every entity wants to survive and increase its wealth. If the force of  $C_1$  is equal to the force of  $C_2$ , then an attack has no effect.

*Starter warm-up 1.* Suppose there are only two entities— $E_1$  and  $E_2$ —and  $F_1 > F_2$ . What happens then?

*Solution.*  $E_1$  attacks  $E_2$  and takes its wealth; there is indeed no charity in this world.

1. Assume there are three entities— $E_1$ ,  $E_2$ ,  $E_3$ —with force 5, 4, 3 and wealth

10, 10, and 100, respectively. What then? See the figure here for a hint.

2. What would happen if there were three entities— $E_1$ ,  $E_2$ ,  $E_3$ —each with the same force, say, 2, but with wealth 1, 2, 3, respectively? How does wealth influence outcome?

3. What would happen if there were four entities— $E_1$ ,  $E_2$ ,  $E_3$ ,  $E_4$ —with force 5, 4, 3, 6 and wealth 10, 10, 12, and 20, respectively?

Stability among entities sometimes depends on wealth, as we have seen, but also on the willingness of an entity to take risk. Suppose there are four entities, each with force 1 and wealth 6. If, say,  $E_1$ ,  $E_2$ , and  $E_3$  form a coalition to defeat  $E_4$ , they divide  $E_4$ 's wealth equally, but then one of them will be the target of the other two, based on their self-interest. We say an entity  $E$  is “risk ready” if it is willing to agree to an attack that might later expose  $E$  to an attack. Otherwise, we say  $E$  is “risk averse.”

The general upstart question is, given a configuration of risk-ready entities, no two of which have the same wealth, how would you test for its stability? If unstable, devise a formula or an algorithm to determine a stable configuration that can be reached and

has the property that the survivors between them would gain as much wealth from the vanquished as possible. Demonstrate any properties—uniqueness, comparison of total force before and after—that strike you as interesting.

For clever reader solutions to this, as well as to other, upstart challenge, see <http://cs.nyu.edu/cs/faculty/shasha/papers/cacmpuzzles.html>

*Solutions that show what happens in this strategically unforgiving world:*

1. Nothing happens because  $E_2$  and  $E_3$  form a coalition;  $E_1$  never chooses to attack.  $E_3$  never allows that coalition to attack  $E_1$ , because once  $E_1$  goes away,  $E_3$  loses to  $E_2$ . Similarly,  $E_2$  never attacks  $E_3$  while  $E_1$  is still around, because without  $E_3$ ,  $E_2$  would lose to  $E_1$ . This configuration is “stable.”

2. Most likely,  $E_1$  and  $E_2$  would form a coalition to attack  $E_3$ . When they do, the resulting configuration is stable.

3. There are several possibilities, because any three entities here would form a stable configuration, whereas no two entities are stable. But  $E_4$  is the most attractive target due to its wealth. Any two of  $E_1$ ,  $E_2$ , and  $E_3$  could defeat  $E_4$ , but most likely three are needed to defeat  $E_4$ . Do you see why?

4.  $E_3$  would then form a coalition with  $E_4$  from the start, because if  $E_4$  would be vanquished, then  $E_3$  would definitely be next. ■

All are invited to submit solutions and prospective upstart-style puzzles for future columns to [upstartpuzzles@cacm.acm.org](mailto:upstartpuzzles@cacm.acm.org)

**Dennis Shasha** ([dennisshasha@yahoo.com](mailto:dennisshasha@yahoo.com)) is a professor of computer science in the Computer Science Department of the Courant Institute at New York University, New York, as well as the chronicler of his good friend the omnihurist Dr. Ecco.

Copyright held by author.  
Publication rights licensed to ACM. \$15.00

### Despite a tempting target, a stable outcome.

If  $E_3$  is eliminated, then  $E_2$  will fall to  $E_1$ ;

if  $E_1$  is eliminated, then  $E_3$  will fall to  $E_2$ ;

and if  $E_2$  is eliminated, then  $E_3$  will fall to  $E_1$ .

This configuration is stable, even though  $E_3$  is such a tempting target.



$E_1$ ,  
force 5,  
\$10



$E_2$ ,  
force 4,  
\$10



$E_3$ ,  
force 3,  
\$100