

Calculational Design  
of [In]Correctness Transformational Program Logics  
by Abstract Interpretation

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# Objective

Method to design program transformational logics

Transformational logic = Hoare style logics  $\{P\} S \{Q\}$

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- I. Define the **natural relational semantics**  $\llbracket S \rrbracket_{\perp}$  of the programming language (in **structural fixpoint form**)

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**Theory** of a logic = the subset of all true formulas

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3. Calculate the theory  $\alpha(\{\llbracket S \rrbracket_{\perp}\})$  in **structural fixpoint form** by **fixpoint abstraction**

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3. Calculate the theory  $\alpha(\{\llbracket S \rrbracket_{\perp}\})$  in **structural fixpoint form** by **fixpoint abstraction**
4. Calculate the **proof system** by **fixpoint induction** and **Aczel correspondence** between fixpoints and deductive systems

**Theory** of a logic = the subset of all true formulas

# Two simple examples\*: Hoare (HL) and reverse Hoare aka incorrectness (IL) logics

\* not in the paper (where the examples are more complicated).

# General Idea

HL = strongest postcondition abstraction of the collecting semantics } theory  
+ over approximating consequence abstraction  
+ over approximating fixpoint induction } proof system  
+ Aczel correspondence fixpoint  $\leftrightarrow$  proof system



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- HL** = strongest postcondition abstraction of the collecting semantics } theory
- + over approximating consequence abstraction
  - + over approximating fixpoint induction
  - + Aczel correspondence fixpoint  $\leftrightarrow$  proof system
- IL** = strongest postcondition abstraction of the collecting semantics } theory
- + under approximating consequence abstraction
  - + under approximating fixpoint induction
  - + Aczel correspondence fixpoint  $\leftrightarrow$  proof system

# I. Angelic relational semantics $\llbracket S \rrbracket^e$

- Syntax\*:

$S \in \mathcal{S} ::= x = A \mid \text{skip} \mid S;S \mid \text{if } (B) S \text{ else } S \mid \text{while } (B) S \mid x = [a, b] \mid \text{break}$

- States:  $\Sigma$

- Angelic relational semantics:  $\llbracket S \rrbracket^e \in \wp(\Sigma \times \Sigma)$

ends

$\llbracket S \rrbracket^e$

\* plus unbounded nondeterminism, breaks, and nontermination  $\perp$  in the paper.

# I. Angelic relational semantics $\llbracket S \rrbracket$ (in deductive form)

- Notations using judgements:

- $\sigma \vdash S \xRightarrow{e} \sigma'$  for  $\langle \sigma, \sigma' \rangle \in \llbracket S \rrbracket^e$

- $\sigma \vdash \text{while}(B) S \xRightarrow{i} \sigma'$  for  $\sigma$  leads to  $\sigma'$  after 0 or more iterations

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- Semantics of the conditional iteration\*  $W = \text{while}(B) S$ :

$$\begin{array}{l} \text{(a)} \quad \sigma \vdash W \xRightarrow{i} \sigma \\ \text{(b)} \quad \frac{\mathcal{B}[\![B]\!] \sigma, \quad \sigma \vdash S \xRightarrow{e} \sigma', \quad \sigma' \vdash W \xRightarrow{i} \sigma''}{\sigma \vdash W \xRightarrow{i} \sigma''} \end{array} \quad (2)$$

$$\text{(a)} \quad \frac{\sigma \vdash W \xRightarrow{i} \sigma', \quad \mathcal{B}[\![\neg B]\!] \sigma'}{\sigma \vdash W \xRightarrow{e} \sigma'} \quad (3)$$

\* plus breaks, and co-induction for nontermination  $\perp$  in the paper.

# I. Angelic relational semantics $\llbracket S \rrbracket$ (in fixpoint form)

- Semantics of the conditional iteration\*  $W = \text{while}(B) S$  :

$$F^e(X) \triangleq \text{id} \cup (\llbracket B \rrbracket \circ \llbracket S \rrbracket^e \circ X), \quad X \in \wp(\Sigma \times \Sigma) \quad (49)$$

$$\llbracket \text{while } (B) S \rrbracket^e \triangleq \text{lfp}^{\subseteq} F^e \circ \llbracket \neg B \rrbracket \quad (\text{no break}) \quad (51)$$

- Derived using Aczel correspondence between deductive systems and set-theoretic fixpoints, see Ex. II.5.1

# Aczel correspondence between deductive systems and fixpoints

- Rules:  $\frac{P}{c}$  ( $\mathcal{U}$  universe,  $P \in \wp_{\text{fin}}(\mathcal{U})$  premiss,  $c \in \mathcal{U}$  conclusion,  $\frac{\emptyset}{c}$  axiom)

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- Subset of the universe  $\mathcal{U}$  defined by  $R$ :

$$\{t_n \in \mathcal{U} \mid \exists t_1, \dots, t_{n-1} \in \mathcal{U} . \forall k \in [1, n] . \exists \frac{P}{c} \in R . P \subseteq \{t_1, \dots, t_{k-1}\} \wedge t_k = c\}$$

proof theoretic ↓

$$= \text{lfp}^{\varepsilon} F(R)$$

← model theoretic (gfp for coinduction)

$$F(R)X \triangleq \left\{ c \mid \exists \frac{P}{c} \in R . P \subseteq X \right\}$$

← consequence operator



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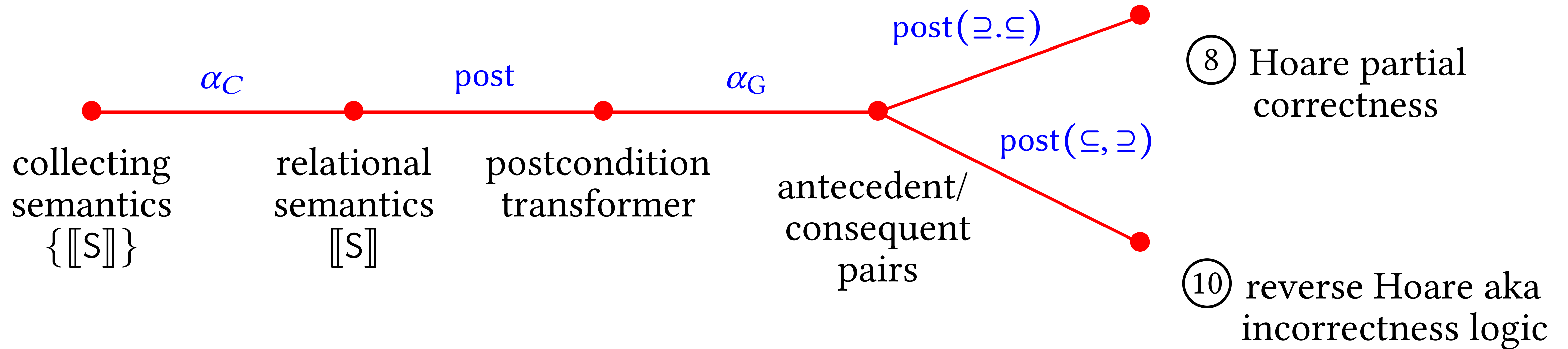
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- Deductive system defining  $\text{lfp}^{\varepsilon} F$  :  $R_F \triangleq \left\{ \frac{P}{c} \mid P \subseteq \mathcal{U} \wedge c \in F(P) \right\}$

## 2. Abstraction (much simplified)

- The composition of these abstractions is



- This is an oversimplification of Fig. 1 of the paper, forgetting about nontermination including total correctness and relational predicates

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- Hyper properties to properties abstraction:

$$\langle \wp(\wp(\Sigma \times \Sigma)), \sqsubseteq \rangle \xrightleftharpoons[\alpha_C]{\gamma_C} \langle \wp(\Sigma \times \Sigma), \sqsubseteq \rangle \quad \alpha_C(P) \triangleq \bigcup P \quad \gamma_C(S) \triangleq \wp(S)$$

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- Post-image isomorphism:

$$\langle \wp(\Sigma \times \Sigma), \sqsubseteq \rangle \xrightleftharpoons[\text{post}]{\widetilde{\text{pre}}} \langle \wp(\Sigma) \rightarrow \wp(\Sigma), \sqsubseteq \rangle \quad \text{post}(R) \triangleq \lambda P \cdot \{ \sigma' \mid \exists \sigma \in P \wedge \langle \sigma, \sigma' \rangle \in R \}$$
$$\widetilde{\text{pre}}(R) \triangleq \lambda X \cdot \{ \sigma \mid \forall \sigma' \in Q . \langle \sigma, \sigma' \rangle \in R \}$$

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- Graph isomorphism (a function is isomorphic to its graph, which is a function relation):.../...

$$\langle \wp(\Sigma) \rightarrow \wp(\Sigma), = \rangle \xrightleftharpoons[\alpha_G]{\gamma_G} \langle \wp_{\text{fun}}(\wp(\Sigma) \times \wp(\Sigma)), = \rangle \quad f \in \wp(\Sigma) \rightarrow \wp(\Sigma)$$

$$\alpha_G(f) = \{ \langle P, f(P) \rangle \mid P \in \wp(\Sigma) \}$$

$$\gamma_G(R) \triangleq \lambda P \cdot (Q \text{ such that } \langle P, S \rangle \in R)$$

## 2. Abstraction (much simplified)

- Strongest postcondition logic theory (common to HL and IL with no consequence rule):

$$\begin{aligned}\mathcal{T}(s) &\triangleq \alpha_G \circ \text{post} \circ \alpha_C(\{\llbracket s \rrbracket\}) \\ &= \{\langle P, \text{post} \llbracket s \rrbracket P \rangle \mid P \in \wp(\Sigma)\}\end{aligned}$$

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- Notation:  $\{P\} s \{Q\} \triangleq \langle P, Q \rangle \in \mathcal{T}(s)$
- The next step is to express this theory in fixpoint form

## 2. Abstraction (much simplified)

- The abstraction of a fixpoint is a fixpoint (POPL 79)

THEOREM II.2.1 (FIXPOINT ABSTRACTION). If  $\langle C, \sqsubseteq \rangle \xrightleftharpoons[\alpha]{\gamma} \langle A, \preceq \rangle$  is a Galois connection between complete lattices  $\langle C, \sqsubseteq \rangle$  and  $\langle A, \preceq \rangle$ ,  $f \in C \xrightarrow{i} C$  and  $\bar{f} \in A \xrightarrow{i} A$  are increasing and commuting, that is,  $\alpha \circ f = \bar{f} \circ \alpha$ , then  $\alpha(\text{lfp}^{\sqsubseteq} f) = \text{lfp}^{\preceq} \bar{f}$  (while semi-commutation  $\alpha \circ f \preceq \bar{f} \circ \alpha$  implies  $\alpha(\text{lfp}^{\sqsubseteq} f) \preceq \text{lfp}^{\preceq} \bar{f}$ ).



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- We get a **fixpoint definition of the theory of strongest postconditions logics** (common to HL and IL with no consequences at all)
- For the iteration  $W = \text{while } (B) S :$

$$\mathcal{T}(W) \triangleq \{ \langle P, \text{post}[\neg B](\text{lfp}^{\sqsubseteq} \lambda X \cdot P \cup \text{post}(\llbracket B \rrbracket ; \llbracket S \rrbracket^e)X) \rangle \mid P \in \wp(\Sigma) \}$$

## 1 PROPERTIES OF STRONGEST POSTCONDITIONS

LEMMA 1.1 (COMPOSITION).  $\text{post}(X \wp Y) = \text{post}(Y) \circ \text{post}(X)$ .

PROOF OF LEM. 1.1.

$$\begin{aligned}
& \text{post}(X \wp Y) \\
= & \lambda P \cdot \{\sigma'' \mid \exists \sigma \in P . \langle \sigma, \sigma'' \rangle \in X \wp Y\} && \{\text{def. post}\} \\
= & \lambda P \cdot \{\sigma'' \mid \exists \sigma \in P . \exists \sigma' . \langle \sigma, \sigma' \rangle \in X \wedge \langle \sigma', \sigma'' \rangle \in Y\} && \{\text{def. } \wp\} \\
= & \lambda P \cdot \{\sigma'' \mid \exists \sigma' . \sigma' \in \{\sigma' \mid \exists \sigma \in P . \langle \sigma, \sigma' \rangle \in X\} \wedge \langle \sigma', \sigma'' \rangle \in Y\} && \{\text{def. } \exists \text{ and } \in\} \\
= & \lambda P \cdot \{\sigma'' \mid \exists \sigma' \in \text{post}(X)P . \langle \sigma', \sigma'' \rangle \in Y\} && \{\text{def. post}\} \\
= & \lambda P \cdot \text{post}(Y)(\text{post}(X)P) && \{\text{def. post}\} \\
= & \text{post}(Y) \circ \text{post}(X) && \{\text{def. function composition } \circ\} \quad \square
\end{aligned}$$

LEMMA 1.2 (TEST).  $\text{post}[\![\mathbf{B}]\!]P = P \cap \mathcal{B}[\![\mathbf{B}]\!]$ .

PROOF OF LEM. 1.2.

$$\begin{aligned}
& \text{post}[\![\mathbf{B}]\!]P \\
= & \{\sigma' \mid \exists \sigma \in P . \langle \sigma, \sigma' \rangle \in [\![\mathbf{B}]\!]\} && \{\text{def. post}\} \\
= & \{\sigma \mid \sigma \in P \wedge \sigma \in \mathcal{B}[\![\mathbf{B}]\!]\} && \{\text{def. } [\![\mathbf{B}]\!] \triangleq \{\langle \sigma, \sigma \rangle \mid \sigma \in \mathcal{B}[\![\mathbf{B}]\!]\}\} \\
= & P \cap \mathcal{B}[\![\mathbf{B}]\!] && \{\text{def. intersection } \cup\} \quad \square
\end{aligned}$$

LEMMA 1.3 (STRONGEST POSTCONDITION).  $\mathcal{T}(S) = \alpha_G \circ \text{post}[\![S]\!] = \{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\}$ .

PROOF OF LEM. 1.3.

$$\begin{aligned}
& \mathcal{T}(S) \\
= & \alpha_G \circ \text{post} \circ \alpha_I \circ \alpha_C(\{\![S]\! \perp\}) && \{\text{def. } \mathcal{T}\} \\
= & \alpha_G \circ \text{post} \circ \alpha_I(\![S]\! \perp) && \{\text{def. } \alpha_C\} \\
= & \alpha_G \circ \text{post}(\![S]\! \perp \cap (\Sigma \times \Sigma)) && \{\text{def. } \alpha_I\} \\
= & \alpha_G \circ \text{post}[\![S]\!] && \{\text{def. (1) of the angelic semantics } [\![S]\!]\} \\
= & \{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\} && \{\text{def. } \alpha_G\} \quad \square
\end{aligned}$$

LEMMA 1.4 (STRONGEST POSTCONDITION OVER APPROXIMATION).

$$\mathcal{T}_{\text{HL}}(S) \triangleq \text{post}(\supseteq, \subseteq) \circ \mathcal{T}(S) = \{\langle P, Q \rangle \mid \text{post}[\![S]\!]P \subseteq Q\} = \text{post}(=, \subseteq) \circ \mathcal{T}(S)$$

PROOF OF LEM. 1.4.

$$\begin{aligned}
& \text{post}(\supseteq, \subseteq) \circ \mathcal{T}(S) \\
= & \text{post}(\supseteq, \subseteq)(\mathcal{T}(S)) && \{\text{def. function composition } \circ\} \\
= & \text{post}(\supseteq, \subseteq)(\{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\}) && \{\text{Lem. 1.3}\} \\
= & \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle \in \{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\} . \langle \langle P, Q \rangle, \langle P', Q' \rangle \rangle \in \supseteq, \subseteq\} && \{\text{def. (10) of post}\} \\
= & \{\langle P', Q' \rangle \mid \exists P . \langle \langle P, \text{post}[\![S]\!]P \rangle, \langle P', Q' \rangle \rangle \in \supseteq, \subseteq\} && \{\text{def. } \in\} \\
= & \{\langle P', Q' \rangle \mid \exists P . \langle P, \text{post}[\![S]\!]P \rangle \supseteq, \subseteq \langle P', Q' \rangle\} && \{\text{def. } \in\} \\
= & \{\langle P', Q' \rangle \mid \exists P . P \supseteq P' \wedge \text{post}[\![S]\!]P \subseteq Q'\} && \{\text{def. } \supseteq, \subseteq\} \\
= & \{\langle P', Q' \rangle \mid \exists P . P' \subseteq P \wedge \text{post}[\![S]\!]P \subseteq Q'\} && \{\text{def. } \supseteq\}
\end{aligned}$$

$$\begin{aligned}
& = \{\langle P', Q' \rangle \mid \text{post}[\![S]\!]P' \subseteq Q'\} \\
& \quad \{\supseteq\} \text{ by Galois connection (12), post is increasing so that } P' \subseteq P \wedge \text{post}[\![S]\!]P \subseteq Q' \text{ implies} \\
& \quad \text{post}[\![S]\!]P' \subseteq \text{post}[\![S]\!]P \wedge \text{post}[\![S]\!]P \subseteq Q' \text{ hence post}[\![S]\!]P' \subseteq Q' \text{ by transitivity;} \\
& \quad (\supseteq) \text{ take } P = P'\} \\
= & \{\langle P', Q' \rangle \mid \exists P . P' = P \wedge \text{post}[\![S]\!]P \subseteq Q'\} && \{\text{def. } =\} \\
= & \{\langle P', Q' \rangle \mid \exists P . \langle P, \text{post}[\![S]\!]P \rangle =, \subseteq \langle P', Q' \rangle\} && \{\text{def. } =, \subseteq\} \\
= & \{\langle P', Q' \rangle \mid \exists P . \langle \langle P, \text{post}[\![S]\!]P \rangle, \langle P', Q' \rangle \rangle \in =, \subseteq\} && \{\text{def. } \in\} \\
= & \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle \in \{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\} . \langle \langle P, Q \rangle, \langle P', Q' \rangle \rangle \in =, \subseteq\} && \{\text{def. } \in\} \\
= & \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle \in \mathcal{T}(S) . \langle \langle P, Q \rangle, \langle P', Q' \rangle \rangle \in =, \subseteq\} && \{\text{Lem. 1.3}\} \\
= & \text{post}(=, \subseteq)(\mathcal{T}(S)) && \{\text{def. (10) of post}\} \\
= & \text{post}(=, \subseteq) \circ \mathcal{T}(S) && \{\text{def. function composition } \circ\} \quad \square
\end{aligned}$$

For simplicity, we consider conditional iteration  $\mathbf{W} = \text{while } (\mathbf{B}) \ S$  with no break.

LEMMA 1.5 (COMMUTATION).  $\text{post} \circ F'^e = \bar{F}^e \circ \text{post}$  where  $\bar{F}^e(X) \triangleq \text{id} \dot{\cup} (\text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e) \circ X)$  and  $F'^e \triangleq \lambda X \cdot \text{id} \cup (X \wp [\![\mathbf{B}]\!] \wp [\![S]\!]^e)$ ,  $X \in \wp(\Sigma \times \Sigma)$  by (70).

PROOF OF LEM. 1.5.

$$\begin{aligned}
& \text{post}(F'^e(X)) && \{\text{where } X \in \wp(\Sigma)\} \\
= & \text{post}(\text{id} \cup (X \wp [\![\mathbf{B}]\!] \wp [\![S]\!]^e)) && \{\text{def. } F'^e\} \\
= & \text{post}(\text{id}) \dot{\cup} \text{post}(X \wp [\![\mathbf{B}]\!] \wp [\![S]\!]^e) && \{\text{join preservation in Galois connection (12)}\} \\
= & \text{id} \dot{\cup} (\text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e) \circ \text{post}(X)) && \{\text{def. post and composition Lem. 1.1}\} \\
= & \bar{F}^e(\text{post}(X)) && \{\text{def. } \bar{F}^e\} \quad \square
\end{aligned}$$

LEMMA 1.6 (POINTWISE COMMUTATION).  $\forall X \in \wp(\Sigma) \rightarrow \wp(\Sigma) . \forall P \in \wp(\Sigma) . \bar{F}^e(X)P \triangleq \bar{F}_P^e(X(P))$  where  $\bar{F}_P^e(X) \triangleq P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)X$ .

PROOF OF LEM. 1.6.

$$\begin{aligned}
& \bar{F}^e(X)P \\
= & (\text{id} \dot{\cup} (\text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e) \circ X))P && \{\text{def. } \bar{F}^e\} \\
= & \text{id}(P) \cup (\text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e) \circ X)(P) && \{\text{pointwise def. } \dot{\cup} \text{ and function composition } \circ\} \\
= & P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)(X(P)) && \{\text{def. identity id and function application}\} \\
= & \bar{F}_P^e(X(P)) && \{\text{def. } \bar{F}_P^e(X) \triangleq P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)X\} \quad \square
\end{aligned}$$

THEOREM 1.7 (ITERATION STRONGEST POSTCONDITION).  $\text{post}[\![\mathbf{W}]\!]P = \text{post}[\![\neg\mathbf{B}]\!](\text{lfp}^{\subseteq} \bar{F}_P^e)$  where  $\bar{F}_P^e(X) \triangleq P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)X$ .

PROOF OF TH. 1.7.

$$\begin{aligned}
& \text{post}[\![\mathbf{W}]\!] \\
= & \text{post}(\text{lfp}^{\subseteq} F^e \wp [\![\neg\mathbf{B}]\!]) && \{\text{def. (49) of } [\![\mathbf{W}]\!] \text{ in absence of break}\} \\
= & \text{post}[\![\neg\mathbf{B}]\!] \circ \text{post}(\text{lfp}^{\subseteq} F^e) && \{\text{composition Lem. 1.1}\} \\
= & \text{post}[\![\neg\mathbf{B}]\!] \circ \text{post}(\text{lfp}^{\subseteq} F'^e) && \{\text{since } \text{lfp}^{\subseteq} F^e = \text{lfp}^{\subseteq} F'^e \text{ in (70)}\} \\
= & \text{post}[\![\neg\mathbf{B}]\!](\text{lfp}^{\subseteq} \bar{F}^e) && \{\text{commutation Lem. 1.5 and fixpoint abstraction Th. II.2.2}\}
\end{aligned}$$

$$\begin{aligned}
& = \text{post}[\![\neg\mathbf{B}]\!] \circ \lambda P \cdot \text{lfp}^{\subseteq} \bar{F}_P^e \\
& \quad \{\text{pointwise commutation Lem. 1.6 and pointwise abstraction Cor. II.2.2}\} \quad \square
\end{aligned}$$

COROLLARY 1.8 (CONDITIONAL ITERATION STRONGEST POSTCONDITION GRAPH).  $\mathcal{T}(\mathbf{W}) = \{\langle P, \text{post}[\![\neg\mathbf{B}]\!](\text{lfp}^{\subseteq} \bar{F}_P^e) \rangle \mid P \in \wp(\Sigma)\}$  where  $\bar{F}_P^e(X) \triangleq P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)X$ .

PROOF OF COR. 1.8.

$$\begin{aligned}
& \mathcal{T}(\mathbf{W}) \\
= & \alpha_G \circ \text{post}([\![\mathbf{W}]\!]) && \{\text{Lem. 1.3}\} \\
= & \alpha_G \circ \text{post}[\![\neg\mathbf{B}]\!] \circ \lambda P \cdot \text{lfp}^{\subseteq} \bar{F}_P^e && \{\text{Th. 1.7}\} \\
= & \{\langle P, \text{post}[\![\neg\mathbf{B}]\!](\text{lfp}^{\subseteq} \bar{F}_P^e) \rangle \mid P \in \wp(\Sigma)\} && \{\text{def. (7) of } \alpha_G\} \quad \square
\end{aligned}$$

# 3. Approximation

- The component wise approximation:

$$\langle x, y \rangle \sqsubseteq, \leq \langle x', y' \rangle \triangleq x \sqsubseteq x' \wedge y \leq y'$$

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- The over approximation abstraction for HL:

$$\text{post}(\sqsubseteq, \supseteq) = \lambda R. \{ \langle P, Q \rangle \mid \exists \langle P', Q' \rangle \in R. P \sqsubseteq P' \wedge Q' \sqsubseteq Q \}$$

$$\mathcal{T}_{\text{HL}}(S) \triangleq \text{post}(\supseteq, \sqsubseteq) \circ \mathcal{T}(S)$$

# 3. Approximation

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$$\langle x, y \rangle \sqsubseteq, \leq \langle x', y' \rangle \triangleq x \sqsubseteq x' \wedge y \leq y'$$

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$$\text{post}(\sqsubseteq, \supseteq) = \lambda R \cdot \{ \langle P, Q \rangle \mid \exists \langle P', Q' \rangle \in R . P \sqsubseteq P' \wedge Q' \sqsubseteq Q \}$$

$$\mathcal{T}_{\text{HL}}(S) \triangleq \text{post}(\supseteq, \sqsubseteq) \circ \mathcal{T}(S)$$

- The (order dual) **under** approximation abstraction for IL:

$$\text{post}(\supseteq, \sqsubseteq) = \lambda R \cdot \{ \langle P, Q \rangle \mid \exists \langle P', Q' \rangle \in R . P' \sqsubseteq P \wedge Q \sqsubseteq Q' \}$$

$$\mathcal{T}_{\text{RL}}(S) \triangleq \text{post}(\sqsubseteq, \supseteq) \circ \mathcal{T}(S)$$

- Shows what is shared by HL and IL: all but the consequence rule (?)

# 4. Fixpoint induction

- Deriving the proof system at this stage by Aczel correspondence would be great!
- A common part and different consequence rules for HL and IL

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- But then the HL proof system for iteration would be
  1. Prove strongest postconditions ( $\gggggggg$  total correctness)
  2. Approximate with a consequence rule to get partial correctness
- This is sound and complete
- But too demanding  $\implies$  **not so great!**
- What we miss is **fixpoint induction**



# 4. Fixpoint induction

THEOREM II.3.1 (PARK FIXPOINT OVER APPROXIMATION)

Let  $\langle L, \sqsubseteq, \perp, \top, \sqcup, \sqcap \rangle$  be a complete lattice,  $f \in L \xrightarrow{i} L$  be increasing, and  $p \in L$ . Then  $\text{lfp} \sqsubseteq f \sqsubseteq p$  if and only if  $\exists i \in L . f(i) \sqsubseteq i \wedge i \sqsubseteq p$ .

# 4. Fixpoint induction

**THEOREM II.3.6 (FIXPOINT UNDER APPROXIMATION BY TRANSFINITE ITERATES)**  
Let  $f \in L \xrightarrow{i} L$  be an increasing function on a CPO  $\langle L, \sqsubseteq, \perp, \sqcup \rangle$ .  $P \sqsubseteq \text{lfp}^\sqsubseteq f$ , if and only if there exists an increasing transfinite sequence  $\langle X^\delta, \delta \in \mathbb{O} \rangle$  such that

- (1)  $X^0 = \perp$ ,
- (2)  $X^{\delta+1} \sqsubseteq f(X^\delta)$  for successor ordinals,
- (3)  $\sqcup_{\delta < \lambda} X^\delta$  exists for limit ordinals  $\lambda$  such that  $X^\lambda \sqsubseteq \sqcup_{\delta < \lambda} X^\delta$ , and
- (4)  $\exists \delta \in \mathbb{O} . P \sqsubseteq X^\delta$ .

$\delta$  bounded by  $\omega$  for continuous  $f$ .

# 5. Computational design of HL

- Theory of HL (for iteration):

$$\begin{aligned}\mathcal{T}_{HL}(W) &\triangleq \text{post}(\exists.\subseteq) \circ \mathcal{T}(W) \\ &= \{ \langle P, Q \rangle \mid \exists I . P \subseteq I \wedge \langle I \cap \mathcal{B}[[B]], I \rangle \in T_{HL}(S) \wedge (I \cap \neg \mathcal{B}[[B]]) \subseteq Q \}\end{aligned}$$

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- HL proof system:

THEOREM 3 (HOARE RULES FOR CONDITIONAL ITERATION).

$$\frac{P \sqsubseteq I, \{I \cap \mathcal{B}[[B]]\} S \{I\}, (I \cap \neg \mathcal{B}[[B]]) \sqsubseteq Q}{\{P\} \text{while } (B) S \{Q\}}$$

## 2 CALCULATIONAL DESIGN OF HOARE LOGIC HL

### 2.1 Calculational Design of Hoare Logic Theory

THEOREM 2.1 (THEORY OF HOARE LOGIC HL).

$$\begin{aligned} \mathcal{T}_{HL}(w) &\triangleq \text{post}(\exists, \subseteq) \circ \mathcal{T}(w) \\ &= \{ \langle P, Q \rangle \mid \exists I. P \subseteq I \wedge \langle I \cap \mathcal{B}[\mathbb{B}], I \rangle \in T_{HL}(s) \wedge (I \cap \neg \mathcal{B}[\mathbb{B}]) \subseteq Q \} \end{aligned}$$

PROOF OF TH. 2.1 .

$$\begin{aligned} &\mathcal{T}_{HL}(w) \\ &= \text{post}(\exists, \subseteq) \circ \mathcal{T}(w) && \{ \text{def. } \mathcal{T}_{HL} \} \\ &= \text{post}(=, \subseteq) \circ \mathcal{T}(w) && \{ \text{Lem. 1.4} \} \\ &= \{ \langle P', Q' \rangle \mid \langle P, Q \rangle \in \mathcal{T}(w) . \langle P, Q \rangle =, \subseteq \langle P', Q' \rangle \} && \{ \text{def. post} \} \\ &= \{ \langle P', Q' \rangle \mid \langle P, Q \rangle \in \mathcal{T}(w) . P = P' \wedge Q \subseteq Q' \} && \{ \text{component wise def. } =, \subseteq \} \\ &= \{ \langle P, Q' \rangle \mid \exists Q . \langle P, Q \rangle \in \mathcal{T}(w) . Q \subseteq Q' \} && \{ \text{def. } = \} \\ &= \{ \langle P, Q' \rangle \mid \exists Q . \text{post}[\neg \mathbb{B}](\text{lfp}^{\subseteq} \bar{F}_P^e) \subseteq Q \wedge Q \subseteq Q' \} && \{ \text{Th. 1.7} \} \\ &= \{ \langle P, Q' \rangle \mid \exists Q . \text{post}[\neg \mathbb{B}](\text{lfp}^{\subseteq} \bar{F}_P^e) \subseteq Q' \} \\ &\quad \{ (\subseteq) \exists Q . \text{post}[\neg \mathbb{B}](\text{lfp}^{\subseteq} \bar{F}_P^e) \subseteq Q \wedge Q \subseteq Q' \text{ and transitivity;} \\ &\quad (\supseteq) \text{ take } Q = Q' \} \\ &= \{ \langle P, Q' \rangle \mid \exists Q . \text{lfp}^{\subseteq} \bar{F}_P^e \subseteq Q \wedge \text{post}[\neg \mathbb{B}](Q) \subseteq Q' \} \\ &\quad \{ (\subseteq) \text{ take } Q = \text{lfp}^{\subseteq} \bar{F}_P^e; (\supseteq) \text{ post}[\neg \mathbb{B}] \text{ is increasing by (12)} \} \\ &= \{ \langle P, Q' \rangle \mid \exists Q . \exists I . \bar{F}_P^e(I) \subseteq I \wedge I \subseteq Q \wedge \text{post}[\neg \mathbb{B}](Q) \subseteq Q' \} && \{ \text{Park fixpoint induction Th. II.3.1} \} \\ &= \{ \langle P, Q' \rangle \mid \exists I . \bar{F}_P^e(I) \subseteq I \wedge \text{post}[\neg \mathbb{B}](I) \subseteq Q' \} \\ &\quad \{ (\subseteq) I \subseteq Q \text{ implies } \text{post}[\neg \mathbb{B}](I) \subseteq \text{post}[\neg \mathbb{B}](Q) \text{ since } \text{post}[\neg \mathbb{B}] \text{ is increasing by (12) hence} \\ &\quad \text{post}[\neg \mathbb{B}](I) \subseteq Q' \text{ by transitivity;} \\ &\quad (\supseteq) \text{ take } Q = I \} \\ &= \{ \langle P, Q \rangle \mid \exists I . P \cup \text{post}([\mathbb{B}] \circ [\mathbb{S}]^e)(I) \subseteq I \wedge \text{post}[\neg \mathbb{B}](I) \subseteq Q \} && \{ \text{renaming, def. } \bar{F}_P^e \} \\ &= \{ \langle P, Q \rangle \mid \exists I . P \cup \text{post}([\mathbb{B}] \circ [\mathbb{S}])(I) \subseteq I \wedge \text{post}[\neg \mathbb{B}](I) \subseteq Q \} && \{ [\mathbb{S}]^e = [\mathbb{S}] \text{ in absence of breaks} \} \\ &= \{ \langle P, Q \rangle \mid \exists I . P \subseteq I \wedge \text{post}([\mathbb{B}] \circ [\mathbb{S}])I \subseteq I \wedge \text{post}[\neg \mathbb{B}](I) \subseteq Q \} && \{ \text{def. } \subseteq \text{ and } \cup \} \\ &= \{ \langle P, Q \rangle \mid \exists I . P \subseteq I \wedge \text{post}[\mathbb{S}](\text{post}[\mathbb{B}]I) \subseteq I \wedge \text{post}[\neg \mathbb{B}](I) \subseteq Q \} && \{ \text{composition Lem. 1.1} \} \\ &= \{ \langle P, Q \rangle \mid \exists I . P \subseteq I \wedge \text{post}[\mathbb{S}](I \cap \mathcal{B}[\mathbb{B}]) \subseteq I \wedge (I \cap \neg \mathcal{B}[\mathbb{B}]) \subseteq Q \} && \{ \text{test Lem. 1.2} \} \\ &= \{ \langle P, Q \rangle \mid \exists I . P \subseteq I \wedge \langle I \cap \mathcal{B}[\mathbb{B}], I \rangle \in \{ \langle P, Q \rangle \mid \text{post}[\mathbb{S}]P \subseteq Q \} \wedge (I \cap \neg \mathcal{B}[\mathbb{B}]) \subseteq Q \} && \{ \text{def. } \in \} \\ &= \{ \langle P, Q \rangle \mid \exists I . P \subseteq I \wedge \langle I \cap \mathcal{B}[\mathbb{B}], I \rangle \in \text{post}(=, \subseteq) \circ \mathcal{T}(s) \wedge (I \cap \neg \mathcal{B}[\mathbb{B}]) \subseteq Q \} && \{ \text{Lem. 1.4} \} \\ &= \{ \langle P, Q \rangle \mid \exists I . P \subseteq I \wedge \langle I \cap \mathcal{B}[\mathbb{B}], I \rangle \in T_{HL}(s) \wedge (I \cap \neg \mathcal{B}[\mathbb{B}]) \subseteq Q \} && \{ \text{Lem. 1.4} \} \quad \square \end{aligned}$$

### 2.2 Hoare logic rules

THEOREM 2.2 (HOARE RULES FOR CONDITIONAL ITERATION).

$$\frac{P \subseteq I, \{ I \cap \mathcal{B}[\mathbb{B}] \} s \{ I \}, (I \cap \neg \mathcal{B}[\mathbb{B}]) \subseteq Q}{\{ P \} \text{ while } (B) s \{ Q \}} \quad (1)$$

PROOF OF TH. 2.2. We write  $\{ P \} s \{ Q \} \triangleq \langle P, Q \rangle \in \mathcal{T}_{HL}(s)$ ;

By structural induction (S being a strict component of while (B) S), the rule for  $\{ P \} s \{ Q \}$  have already been defined;

By **Aczel method**, the (constant) fixpoint  $\text{lfp}^{\subseteq} \lambda X . S$  is defined by  $\{ \frac{\emptyset}{c} \mid c \in S \}$ ;

So for while (B) S we have an axiom  $\frac{\emptyset}{\{ P \} \text{ while } (B) s \{ Q \}}$  with side condition  $P \subseteq I, \{ I \cap \mathcal{B}[\mathbb{B}] \} s \{ I \}, (I \cap \neg \mathcal{B}[\mathbb{B}]) \subseteq Q$ ;

Traditionally, the side condition is written as a premiss, to get (1).

# Sound and complete by construction

# Machine checkable, if not machine checked!

# Surprised to find a variant of HL proof system

We also have (post is increasing):

$$\mathcal{T}_{\text{HL}}(S) = \text{post}(=, \sqsubseteq) \circ \mathcal{T}(S)$$

yields the sound and complete proof system:

$\sqsubseteq$  comes from  $\longrightarrow$  Th. II.3.1

$$\frac{P \sqsubseteq I, \quad \{I \cap \mathcal{B}[\mathbf{B}]\} S \{I\}}{\{P\} \text{ while } (\mathbf{B}) S \{I \cap \neg \mathcal{B}[\mathbf{B}]\}}$$

$$\frac{\{P\} S \{Q\}, \quad Q \sqsubseteq Q'}{\{P\} S \{Q'\}}$$

# Surprised to find a variant of HL proof system

We also have (post is increasing):

$$\mathcal{T}_{\text{HL}}(S) = \text{post}(=, \sqsubseteq) \circ \mathcal{T}(S)$$

yields the sound and complete proof system:

$\sqsubseteq$  comes from  $\longrightarrow P \sqsubseteq I, \quad \{I \cap \mathcal{B}[\mathbf{B}]\} S \{I\}$

Th. II.3.1

$$\frac{\{P\} \text{while } (\mathbf{B}) S \{I \cap \neg \mathcal{B}[\mathbf{B}]\}}{\{P\} S \{Q\}, \quad Q \sqsubseteq Q'}$$
$$\frac{\{P\} S \{Q\}, \quad Q \sqsubseteq Q'}{\{P\} S \{Q'\}}$$

no need for Hoare left consequence rule (but for iteration):

~~If  $\vdash P\{Q\}R$  and  $\vdash S \supset P$  then  $\vdash S\{Q\}R$~~

# 5. Computational design of IL

- Theory of IL (for iteration):

$$\begin{aligned}\mathcal{T}_{IL}(W) &\triangleq \text{post}(\subseteq.\exists) \circ \mathcal{T}(W) \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n \in \mathbb{N} \rangle . J^0 = P \wedge \langle J^n \cap \mathcal{B}[\mathbb{B}], J^{n+1} \rangle \in \mathcal{T}_{IL}(S) \wedge Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[\neg\mathbb{B}] \}\end{aligned}$$



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$$\begin{aligned}\mathcal{T}_{IL}(W) &\triangleq \text{post}(\subseteq.\exists) \circ \mathcal{T}(W) \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n \in \mathbb{N} \rangle . J^0 = P \wedge \langle J^n \cap \mathcal{B}[[B]], J^{n+1} \rangle \in \mathcal{T}_{IL}(S) \wedge Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[[\neg B]] \}\end{aligned}$$

- IL proof system:

THEOREM 5 (IL RULES FOR CONDITIONAL ITERATION).

$$\frac{J^0 = P, [J^n \cap \mathcal{B}[[B]]] S [J^{n+1}], Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[[\neg B]]}{[P] \text{while } (B) S [Q]}$$

(similar to O'Hearn backward variant since the consequence rule can also be separated)

# Calculational design of IL

## 3 CALCULATIONAL DESIGN OF REVERSE HOARE AKA INCORRECTNESS LOGIC (IL)

### 3.1 Calculational Design of Reverse Hoare aka Incorrectness Logic Theory

THEOREM 3.1 (THEORY OF IL).

$$\begin{aligned} \mathcal{T}_{IL}(W) &\triangleq \text{post}(\subseteq, \exists) \circ \mathcal{T}(W) \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n \in \mathbb{N} \rangle . J^0 = P \wedge \langle J^n \cap \mathcal{B}[\mathbb{B}], J^{n+1} \rangle \in \mathcal{T}_{IL}(S) \wedge Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[\neg \mathbb{B}] \} \end{aligned}$$

PROOF OF TH. 3.1.

$$\begin{aligned} &\mathcal{T}_{IL}(W) \\ &= \text{post}(\subseteq, \exists) \circ \mathcal{T}(W) \quad \{\text{def. } \mathcal{T}_{IL}\} \\ &= \{ \langle P, Q \rangle \mid Q \subseteq \text{post}[\mathbb{W}]P \} \quad \{\subseteq\text{-order dual of Lem. 1.4}\} \\ &= \{ \langle P, Q \rangle \mid Q \subseteq \text{post}[\neg \mathbb{B}](\text{lfp}^{\subseteq} \bar{F}_P^e) \} \quad \{\text{Th. 1.7 where } \bar{F}_P^e(X) \triangleq P \cup \text{post}(\llbracket \mathbb{B} \rrbracket ; \llbracket S \rrbracket^e)X\} \\ &= \{ \langle P, Q \rangle \mid \exists I . Q \subseteq \text{post}[\neg \mathbb{B}](I) \wedge I \subseteq \text{lfp}^{\subseteq} \bar{F}_P^e \} \\ &\quad \{\subseteq\} \quad \{\text{Take } I = \text{lfp}^{\subseteq} \bar{F}_P^e \text{ and reflexivity;} \\ &\quad \{\supseteq\} \quad \{\text{By Galois connection (12), } \text{post}[\neg \mathbb{B}] \text{ is increasing so } Q \subseteq \text{post}[\neg \mathbb{B}](I) \subseteq \text{post}[\neg \mathbb{B}](\text{lfp}^{\subseteq} \bar{F}_P^e) \text{ and transitivity}\} \\ &= \{ \langle P, Q \rangle \mid \exists I . Q \subseteq \text{post}[\neg \mathbb{B}](I) \wedge \exists \langle J^n, n < \omega \rangle . J^0 = \emptyset \wedge J^{n+1} \subseteq \bar{F}_P^e(J^n) \wedge I \subseteq \bigcup_{n < \omega} J^n \} \\ &\quad \{\text{fixpoint underapproximation Th. II.3.6}\} \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n < \omega \rangle . J^0 = \emptyset \wedge J^{n+1} \subseteq \bar{F}_P^e(J^n) \wedge Q \subseteq \text{post}[\neg \mathbb{B}](\bigcup_{n < \omega} J^n) \} \\ &\quad \{\subseteq\} \quad \{\text{By Galois connection (12), } \text{post}[\neg \mathbb{B}] \text{ is increasing so } Q \subseteq \text{post}[\neg \mathbb{B}](I) \subseteq \text{post}[\neg \mathbb{B}](\bigcup_{n < \omega} J^n) \text{ and transitivity;} \\ &\quad \{\supseteq\} \quad \{\text{take } I = \bigcup_{n < \omega} J^n\} \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n < \omega \rangle . J^0 = \emptyset \wedge J^{n+1} \subseteq (P \cup \text{post}(\llbracket \mathbb{B} \rrbracket ; \llbracket S \rrbracket^e)(J^n)) \wedge Q \subseteq \text{post}[\neg \mathbb{B}](\bigcup_{n < \omega} J^n) \} \\ &\quad \{\text{def. } \bar{F}_P^e\} \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, 1 \leq n < \omega \rangle . J^1 = P \wedge J^{n+1} \subseteq \text{post}(\llbracket \mathbb{B} \rrbracket ; \llbracket S \rrbracket^e)(J^n) \wedge Q \subseteq \text{post}[\neg \mathbb{B}](\bigcup_{1 \leq n < \omega} J^n) \} \\ &\quad \{\text{getting rid of } J^0 = \emptyset\} \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n \in \mathbb{N} \rangle . J^0 = P \wedge J^{n+1} \subseteq \text{post}(\llbracket \mathbb{B} \rrbracket ; \llbracket S \rrbracket^e)(J^n) \wedge Q \subseteq \text{post}[\neg \mathbb{B}](\bigcup_{n \in \mathbb{N}} J^n) \} \\ &\quad \{\text{changing } n+1 \text{ to } n\} \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n \in \mathbb{N} \rangle . J^0 = P \wedge J^{n+1} \subseteq \text{post}[\llbracket S \rrbracket^e](J^n \cap \mathcal{B}[\mathbb{B}]) \wedge Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[\neg \mathbb{B}] \} \\ &\quad \{\text{Lem. 1.2}\} \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n \in \mathbb{N} \rangle . J^0 = P \wedge \langle J^n \cap \mathcal{B}[\mathbb{B}], J^{n+1} \rangle \in \{ \langle P', Q' \rangle \mid Q' \subseteq \text{post}[\llbracket S \rrbracket^e]P' \} \} \wedge Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[\neg \mathbb{B}] \\ &\quad \{\text{def. } \in\} \\ &= \{ \langle P, Q \rangle \mid \exists \langle J^n, n \in \mathbb{N} \rangle . J^0 = P \wedge \langle J^n \cap \mathcal{B}[\mathbb{B}], J^{n+1} \rangle \in \mathcal{T}_{IL}(S) \wedge Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[\neg \mathbb{B}] \} \quad \{\text{def. } \mathcal{T}_{IL}\} \end{aligned}$$

□

### 3.2 Calculational design of IL rules

$$\frac{J^0 = P, [J^n \cap \mathcal{B}[\mathbb{B}]] S [J^{n+1}], Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[\neg \mathbb{B}]}{[P] \text{while } (\mathbb{B}) S [Q]} \quad (2)$$

PROOF. We write  $[P] S [Q] \triangleq \langle P, Q \rangle \in \mathcal{T}_{IL}(S)$ ;

By structural induction (S being a strict component of while (B) S), the rule for  $[P] S [Q]$  have already been defined;

By **Aczel method**, the (constant) fixpoint  $\text{lfp}^{\subseteq} \lambda X . S$  is defined by  $\{ \frac{\emptyset}{c} \mid c \in S \}$ ;

So for while (B) S we have an axiom  $\frac{\emptyset}{\{P\} \text{while } (\mathbb{B}) S \{Q\}}$  with side condition  $J^0 = P, [J^n \cap \mathcal{B}[\mathbb{B}]] S [J^{n+1}], Q \subseteq (\bigcup_{n \in \mathbb{N}} J^n) \cap \mathcal{B}[\neg \mathbb{B}]$ ;

Traditionally, the side condition is written as a premiss, to get (2).

Much more in the paper

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- Bi-inductive relational semantics with break and non termination ( $\perp$ ), for **termination and nontermination proofs**

Fig. 3. Taxonomy of assertional logics.

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- Bi-inductive relational semantics with break and non termination ( $\perp$ ), for **termination and nontermination proofs**
- Many more abstractions and combinations  $\rightarrow$  **hundreds of transformational logics theories** (including property negations, proofs by contradictions, backward logics, etc.)

Fig. 3. Taxonomy of assertional logics

# Much more in the paper

- Bi-inductive relational semantics with break and non termination ( $\perp$ ), for **termination and nontermination proofs**
- Many more abstractions and combinations  $\rightarrow$  **hundreds of transformational logics theories** (including property negations, proofs by contradictions, backward logics, etc.)
- Taxonomies based on theory abstractions (not proof systems)

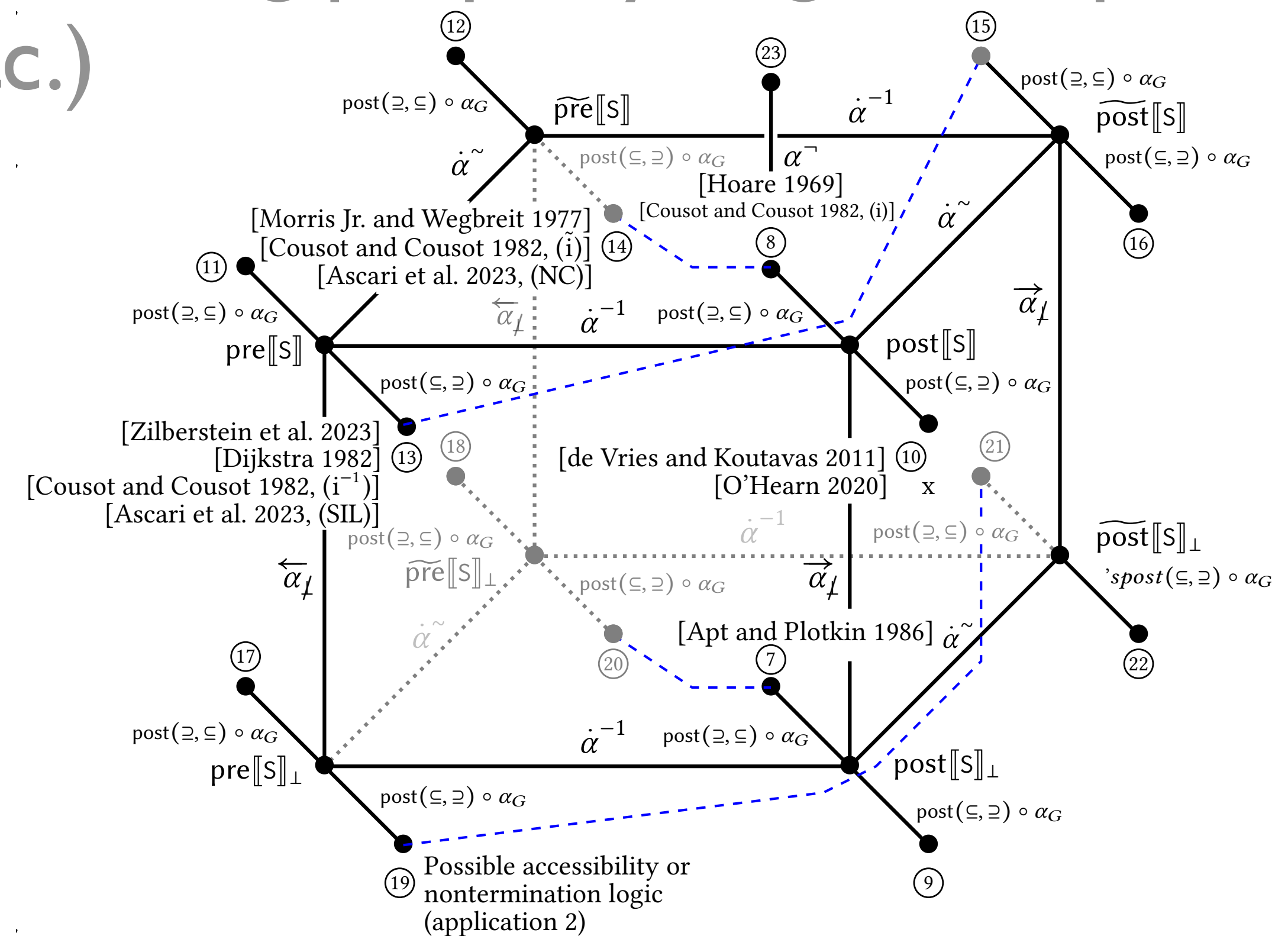


Fig. 3. Taxonomy of assertional logics

# Much more in the paper

- Many more fixpoint induction principles (including  $P \sqsubseteq \text{lfp}^{\sqsubseteq} F$ ,  $\text{lfp}^{\sqsubseteq} F \sqsubseteq P$ ,  $P \sqsubseteq \text{gfp}^{\sqsubseteq} F$ ,  $\text{gfp}^{\sqsubseteq} F \sqsubseteq P$ ,  $\text{lfp}^{\sqsubseteq} F \sqcap P \neq \emptyset$ ,  $\text{gfp}^{\sqsubseteq} F \sqcap P \neq \emptyset$ , etc)

# Much more in the paper

- Example I: calculational design of a logic for partial correctness + total correctness + non termination

$$\{ n = \underline{n} \wedge f = 1 \}$$

while (n!=0) { f = f \* n; n = n - 1; }

$$\{ (\underline{n} \geq 0 \wedge f = !\underline{n}) \vee (\underline{n} < 0 \wedge n = f = \perp) \}$$



# Much more in the paper

- Example II: calculational design of an incorrectness logic including non termination

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- A specification for factorial:  
$$\{ n \in [-\infty, \infty] \wedge f \in [1, 1] \}$$
$$\text{while } (n \neq 0) \{ f = f * n; n = n - 1; \}$$
$$\{ f \in [1, \infty] \}$$
- False alarm  $f \in [-\infty, 0]$  with a (totally imprecise) interval analysis

# Much more in the paper

- Example II: calculational design of an incorrectness logic including non termination
- A specification for factorial:  
$$\{ n \in [-\infty, \infty] \wedge f \in [1, 1] \}$$
$$\text{while } (n \neq 0) \{ f = f * n; n = n - 1; \}$$
$$\{ f \in [1, \infty] \}$$
- False alarm  $f \in [-\infty, 0]$  with a (totally imprecise) interval analysis
- The alarm is false by nontermination, not provable with IL

# About incorrectness

- IL is not Hoare incorrectness logic (sufficient, not necessary)

$$\begin{aligned} \neg(\{P\} s \{Q\}) & \not\Rightarrow [P] s [\neg Q] \\ & \Leftrightarrow \exists R \in \wp(\Sigma) . [P] s [R] \wedge R \cap \neg Q \neq \emptyset \\ & \Leftrightarrow \exists \sigma \in \Sigma . [P] s [\{\sigma\}] \wedge \sigma \notin Q \end{aligned}$$

- The logic  $\mathcal{T}_{\overline{HL}}(W) \triangleq \text{post}(\sqsubseteq, \supseteq) \circ \alpha^{-1} \circ \mathcal{T}_{HL}(W) = \alpha^{-1} \circ \mathcal{T}_{HL}(W)$  can be calculated by the design method (and does not need a consequence rule)

# Calculational design of Hoare incorrectness logic $\overline{\text{HL}}$

## 4 CALCULATIONAL DESIGN OF HOARE INCORRECTNESS LOGIC

### 4.1 Calculational Design of Hoare Incorrectness Logic Theory

THEOREM 4.1 (EQUIVALENT DEFINITIONS OF  $\overline{\text{HL}}$  THEORIES).

$$\mathcal{T}_{\overline{\text{HL}}}(\mathbb{W}) \triangleq \text{post}(\subseteq, \supseteq) \circ \alpha^- \circ \mathcal{T}_{\text{HL}}(\mathbb{W}) = \alpha^- \circ \mathcal{T}_{\text{HL}}(\mathbb{W}) \quad \mathbb{W} = \text{while } (\text{B}) \text{ S}$$

Observe that Th. 4.1 shows that  $\text{post}(\subseteq, \supseteq)$  can be dispensed with. This implies that **the consequence rule is useless for Hoare incorrectness logic.**

PROOF OF TH. 4.1.

$$\begin{aligned} & \mathcal{T}_{\overline{\text{HL}}}(\mathbb{W}) = \text{post}(\subseteq, \supseteq) \circ \alpha^- \circ \mathcal{T}_{\text{HL}}(\mathbb{W}) && \{\text{def. } \mathcal{T}_{\overline{\text{HL}}}\} \\ = & \text{post}(\subseteq, \supseteq)(\neg\{ \langle P, Q \rangle \mid \text{post}[\mathbb{W}]P \subseteq Q \}) && \{\text{Lem. 1.4 and def. (30) of } \alpha^-\} \\ = & \text{post}(\subseteq, \supseteq)(\{ \langle P, Q \rangle \mid \neg(\text{post}[\mathbb{W}]P \subseteq Q) \}) && \{\text{def. } \neg\} \\ = & \text{post}(\subseteq, \supseteq)(\{ \langle P, Q \rangle \mid \text{post}[\mathbb{W}]P \cap \neg Q \neq \emptyset \}) && \{\text{def. } \subseteq \text{ and } \neg\} \\ = & \{ \langle P', Q' \rangle \mid \exists \langle P, Q \rangle \in \{ \langle P, Q \rangle \mid \text{post}[\mathbb{W}]P \cap \neg Q \neq \emptyset \} . \langle P, Q \rangle \subseteq, \supseteq \langle P', Q' \rangle \} && \{\text{def. post}\} \\ = & \{ \langle P', Q' \rangle \mid \exists \langle P, Q \rangle . \text{post}[\mathbb{W}]P \cap \neg Q \neq \emptyset \wedge \langle P, Q \rangle \subseteq, \supseteq \langle P', Q' \rangle \} && \{\text{def. } \subseteq\} \\ = & \{ \langle P', Q' \rangle \mid \exists \langle P, Q \rangle . \text{post}[\mathbb{W}]P \cap \neg Q \neq \emptyset \wedge P \subseteq P' \wedge Q \supseteq Q' \} && \{\text{component wise def. of } \subseteq, \supseteq\} \\ = & \{ \langle P', Q' \rangle \mid \exists Q . \text{post}[\mathbb{W}]P' \cap \neg Q \neq \emptyset \wedge Q \supseteq Q' \} \\ & \quad \{(\subseteq) \text{ if } P \subseteq P' \text{ then } \text{post}[\mathbb{W}]P \subseteq \text{post}[\mathbb{W}]P' \text{ by (12) so that } \text{post}[\mathbb{W}]P \cap \neg Q \neq \emptyset \text{ implies } \\ & \quad \text{post}[\mathbb{W}]P' \cap \neg Q \neq \emptyset; \\ & \quad (\supseteq) \text{ conversely, if } \exists Q . \text{post}[\mathbb{W}]P', \text{ then } \exists P . \text{post}[\mathbb{W}]P \cap \neg Q \neq \emptyset \wedge P \subseteq P' \text{ by choosing } \\ & \quad P = P'. \} \\ = & \{ \langle P', Q' \rangle \mid \text{post}[\mathbb{W}]P' \cap \neg Q' \neq \emptyset \} \\ & \quad \{(\subseteq) \text{ if } Q \supseteq Q' \text{ then } \neg Q' \supseteq \neg Q \text{ so } \text{post}[\mathbb{W}]P' \cap \neg Q \neq \emptyset \text{ implies } \text{post}[\mathbb{W}]P' \cap \neg Q' \neq \emptyset; \\ & \quad (\supseteq) \text{ conversely } \text{post}[\mathbb{W}]P' \cap \neg Q' \neq \emptyset \text{ implies } \exists Q . \text{post}[\mathbb{W}]P' \cap \neg Q \neq \emptyset \wedge Q \supseteq Q' \text{ by choosing } \\ & \quad Q = Q'. \} \\ = & \{ \langle P, Q \rangle \mid \neg(\text{post}[\mathbb{W}]P \subseteq Q) \} && \{\text{def. } \subseteq \text{ and } \neg\} \\ = & \alpha^- \circ \mathcal{T}_{\text{HL}}(\mathbb{W}) && \{\text{def. } \alpha^- \text{ and } \mathcal{T}_{\text{HL}} \text{ for Hoare logic}\} \quad \square \end{aligned}$$

THEOREM 4.2 (THEORY OF  $\overline{\text{HL}}$ ).

$$\mathcal{T}_{\overline{\text{HL}}}(\mathbb{W}) = \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . \langle \mathcal{B}[\mathbb{B}] \cap \{ \sigma_i \}, \{ \sigma_{i+1} \} \rangle \in \mathcal{T}_{\overline{\text{HL}}}(\text{S}) \wedge \sigma_n \notin \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q \}$$

PROOF OF TH. 4.2.

$$\begin{aligned} & \mathcal{T}_{\overline{\text{HL}}}(\mathbb{W}) \\ = & \{ \langle P, Q \rangle \mid \text{post}[\neg\text{B}](\text{lfp}^c \bar{F}_P^e) \cap \neg Q \neq \emptyset \} && \{\text{Lem. 1.3, where } \bar{F}_P^e(X) \triangleq P \cup \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)X \} \\ = & \{ \langle P, Q \rangle \mid \text{lfp}^c \bar{F}_P^e \cap \text{pre}[\neg\text{B}](\neg Q) \neq \emptyset \} && \{\text{(39.d)}\} \\ = & \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . \bar{F}_P^e(I) \subseteq I \wedge \exists \langle W, \leq \rangle \in \mathfrak{W}\mathfrak{f} . \exists \nu \in I \rightarrow W . \exists \langle \sigma_i \in I, i \in [1, \infty] \rangle . \sigma_1 \in \\ & \bar{F}_P^e(\emptyset) \wedge \forall i \in [1, \infty] . \sigma_{i+1} \in \bar{F}_P^e(\{ \sigma_i \}) \wedge \forall i \in [1, \infty] . (\sigma_i \neq \sigma_{i+1}) \Rightarrow (\nu(\sigma_i) > \nu(\sigma_{i+1}) \wedge \forall i \in \\ & [1, \infty] . (\nu(\sigma_i) \not> \nu(\sigma_{i+1}) \Rightarrow \{ \sigma_i \} \cap \text{pre}[\neg\text{B}](\neg Q) \neq \emptyset) \} && \{\text{induction principle Th. H.3}\} \\ = & \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . P \subseteq I \wedge \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)I \subseteq I \wedge \exists \langle W, \leq \rangle \in \mathfrak{W}\mathfrak{f} . \exists \nu \in I \rightarrow W . \exists \langle \sigma_i \in I, \\ & i \in [1, \infty] \rangle . \sigma_1 \in P \wedge \forall i \in [1, \infty] . (\sigma_{i+1} \in P \vee \{ \sigma_{i+1} \} \subseteq \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)\{ \sigma_i \}) \wedge \forall i \in [1, \infty] . (\sigma_i \neq \\ & \sigma_{i+1}) \Rightarrow (\nu(\sigma_i) > \nu(\sigma_{i+1}) \wedge \forall i \in [1, \infty] . (\nu(\sigma_i) \not> \nu(\sigma_{i+1}) \Rightarrow \sigma_i \in \text{pre}[\neg\text{B}](\neg Q)) \} \end{aligned}$$

$\{ \text{def. } \bar{F}_P^e(X) \triangleq P \cup \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)X, \subseteq, \text{ and } \text{post}, \text{ which is } \emptyset\text{-strict} \}$

$$\begin{aligned} = & \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . P \subseteq I \wedge \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)I \subseteq I \wedge \exists \langle W, \leq \rangle \in \mathfrak{W}\mathfrak{f} . \exists \nu \in I \rightarrow W . \exists \langle \sigma_i \in I, \\ & i \in [1, \infty] \rangle . \sigma_1 \in P \wedge \forall i \in [1, \infty] . \{ \sigma_{i+1} \} \subseteq \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)\{ \sigma_i \} \wedge \forall i \in [1, \infty] . (\sigma_i \neq \sigma_{i+1}) \Rightarrow \\ & (\nu(\sigma_i) > \nu(\sigma_{i+1}) \wedge \forall i \in [1, \infty] . (\nu(\sigma_i) \not> \nu(\sigma_{i+1}) \Rightarrow \sigma_i \in \text{pre}[\neg\text{B}](\neg Q)) \} \\ & \quad \{ \text{since if } \sigma_{i+1} \in P, \text{ we can equivalently consider the sequence } \langle \sigma_j \in I, j \in [i+1, \infty] \rangle \} \\ = & \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . P \subseteq I \wedge \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)I \subseteq I \wedge \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in \\ & [1, n[ . \{ \sigma_{i+1} \} \subseteq \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)\{ \sigma_i \} \wedge \sigma_n \in \text{pre}[\neg\text{B}](\neg Q) \} \\ & \quad \{(\subseteq) \text{ By } \langle W, \leq \rangle \in \mathfrak{W}\mathfrak{f}, \nu \in I \rightarrow W, \forall i \in [1, \infty] . (\sigma_i \neq \sigma_{i+1}) \Rightarrow (\nu(\sigma_i) > \nu(\sigma_{i+1})), \text{ the} \\ & \quad \text{sequence is ultimately stationary at some rank } n. \text{ For then on, } \sigma_{i+1} = \sigma_i, i \geq n \text{ and so} \\ & \quad \nu(\sigma_i) = \nu(\sigma_{i+1}). \text{ Therefore } \forall i \in [1, \infty] . (\nu(\sigma_i) \not> \nu(\sigma_{i+1}) \Rightarrow \sigma_i \notin Q \text{ implies that } \sigma_n \in \\ & \quad \text{pre}[\neg\text{B}](\neg Q); \\ & \quad (\supseteq) \text{ Conversely, from } \langle \sigma_i \in I, i \in [1, n] \rangle \text{ we can define } W = \{ \sigma_i \mid i \in [1, n] \} \cup \{ -\infty \} \text{ with} \\ & \quad -\infty < \sigma_i < \sigma_{i+1} \text{ and } \nu(x) = (\exists x \in \{ \sigma_i \mid i \in [1, n] \} ? x : -\infty) \text{ and the sequence } \langle \sigma_j \in I, \\ & \quad j \in [1, \infty] \rangle \text{ repeats } \sigma_n \text{ ad infimum for } j \geq n. \} \\ = & \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . P \subseteq I \wedge \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)I \subseteq I \wedge \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in \\ & [1, n[ . \{ \sigma_{i+1} \} \subseteq \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)\{ \sigma_i \} \wedge \sigma_n \notin \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q \} && \{\text{def. pre}\} \\ = & \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . \{ \sigma_{i+1} \} \subseteq \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)\{ \sigma_i \} \wedge \sigma_n \notin \\ & \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q \} && \{I \text{ is not used and can always be chosen to be } \Sigma\} \\ = & \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)\{ \sigma_i \} \cap \{ \sigma_{i+1} \} \neq \emptyset \wedge \sigma_n \notin \\ & \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q \} && \{\text{since } x \in X \Leftrightarrow X \cap \{ x \} \neq \emptyset\} \\ = & \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . \text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)\{ \sigma_i \} \cap \neg(\neg\{ \sigma_{i+1} \}) \neq \\ & \emptyset \wedge \sigma_n \notin \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q \} && \{\text{def. } \neg X = \Sigma \setminus X\} \\ = & \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . \neg(\text{post}(\llbracket \text{B} \rrbracket ; \llbracket \text{S} \rrbracket^e)\{ \sigma_i \} \subseteq \\ & (\neg\{ \sigma_{i+1} \})) \wedge \sigma_n \notin \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q \} && \{\neg(X \subseteq Y) \Leftrightarrow (X \cap \neg Y \neq \emptyset)\} \\ = & \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . \neg(\text{post}(\llbracket \text{S} \rrbracket^e)(\mathcal{B}[\mathbb{B}] \cap \{ \sigma_i \})) \subseteq \\ & (\neg\{ \sigma_{i+1} \})) \wedge \sigma_n \notin \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q \} && \{\text{def. post, } \llbracket \text{B} \rrbracket, \text{ and } \wp\} \\ = & \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . \langle \mathcal{B}[\mathbb{B}] \cap \{ \sigma_i \}, \neg\{ \sigma_{i+1} \} \rangle \in \{ \langle P, \\ & Q \rangle \mid \neg(\text{post}(\llbracket \text{S} \rrbracket^e)P \subseteq Q) \} \wedge \sigma_n \notin \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q \} && \{\text{def. } \subseteq\} \\ = & \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . \langle \mathcal{B}[\mathbb{B}] \cap \{ \sigma_i \}, \neg\{ \sigma_{i+1} \} \rangle \in \mathcal{T}_{\overline{\text{HL}}}(\text{S}) \wedge \sigma_n \notin \\ & \mathcal{B}[\mathbb{B}] \wedge \sigma_n \in Q \} && \{\text{def. } \mathcal{T}_{\overline{\text{HL}}}(\text{S})\} \quad \square \end{aligned}$$

### 4.2 Calculational Design of $\overline{\text{HL}}$ Proof Rules

THEOREM 4.3 ( $\overline{\text{HL}}$  RULES FOR CONDITIONAL ITERATION).

$$\frac{\exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . (\mathcal{B}[\mathbb{B}] \cap \{ \sigma_i \}) \text{S } (\neg\{ \sigma_{i+1} \}) \wedge \sigma_n \notin \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q}{(P) \text{while } (\text{B}) \text{S } (Q)} \quad (3)$$

PROOF OF (3). We write  $(P) \text{S } (Q) \triangleq \langle P, Q \rangle \in \overline{\text{HL}}(\text{S})$ ;

By structural induction (S being a strict component of while (B) S), the rule for  $(P) \text{S } (Q)$  have already been defined;

By **Aczel method**, the (constant) fixpoint  $\text{lfp}^c \lambda X . S$  is defined by  $\{ \frac{\emptyset}{c} \mid c \in S \}$ ;

So for while (B) S we have an axiom  $\frac{\emptyset}{(P) \text{while } (\text{B}) \text{S } (Q)}$  with side condition  $\exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[ . (\mathcal{B}[\mathbb{B}] \cap \{ \sigma_i \}) \text{S } (\neg\{ \sigma_{i+1} \}) \wedge \sigma_n \notin \mathcal{B}[\mathbb{B}] \wedge \sigma_n \notin Q$  where  $(\mathcal{B}[\mathbb{B}] \cap \{ \sigma_i \}) \text{S } (\neg\{ \sigma_{i+1} \})$  is well-defined by structural induction;

Traditionally, the side condition is written as a premiss, to get (3).  $\square$

# Conclusion

A transformational logic is  
an abstract interpretation of  
a natural relational semantics

# The End, Thank You

- slides + calculational design + recording are online on my web page (<https://cs.nyu.edu/~pcousot/>)
- paper + appendix = 1 clickable file on Zenodo <https://zenodo.org/records/10439109>

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