

## Types as Abstract Interpretations

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## Subject Choice

- *Twenty Years of Abstract Interpretation* would have been a nice, peaceful and restful subject;
- *Types as Abstract Interpretation* is hopefully also an interesting subject but probably more debatable and exciting:

abstract interpretation and type theory are most often considered as separate non-interfering subjects, each with its own partisans.

## Introduction

## Abstract Interpretation

- Abstract interpretation is a methodology for designing approximate semantics of programming languages;
- Abstract interpretation is used to soundly prove and analyze program properties [1, 2].

### References

- [1] P. Cousot and R. Cousot. *Abstract interpretation: a unified lattice model for static analysis of programs by construction or approximation of fixpoints*. In 4th POPL, pages 238–252, Los Angeles, California, 1977. ACM Press.
- [2] P. Cousot and R. Cousot. *Systematic design of program analysis frameworks*. In 6th POPL, pages 269–282, San Antonio, Texas, 1979. ACM Press.

## Type Theory

- Type systems [3] and type inference [4, 5] have been a **dominating research theme** in programming languages in the last two decades;

### References

- [3] L. Damas & R. Milner. Principal type-schemes for functional programs. In *9<sup>th</sup> POPL*, pp. 207–212, Albuquerque, N.M., Jan. 1982. ACM Press.
- [4] R. Hindley. The principal type-scheme of an object in combinatory logic. *Trans. Amer. Math. Soc.*, 146:29–60, 1969.
- [5] R. Milner. A theory of polymorphism in programming. *J. Comput. Sys. Sci.*, 17(3):348–375, Dec. 1978.

## Content of the Talk

- An abridged digest of **abstract interpretation**;
- **Methodology of design** of type systems by abstract interpretation (illustrated by Church/Curry simple monotypes);
- **Application** to the design of a new Church/Curry polytype system;
- **Type inference** and its limits in the context of program analysis.
- **What is a type system?**

## Types from an Abstract Interpretation Point of View

- Broaden the **scope of abstract interpretation**: is type theory an instance of abstract interpretation?
- Understand **type theory from a different point of view**:
  - Why is type theory so difficult?
  - Can type theory be extended to cope with more profound program semantic properties analysis?

## A Digest of Abstract Interpretation

## The Idea of Semantics Approximation

- Syntax;
- Standard semantics;
- Concrete properties;
- Collecting semantics;
- Abstract Properties;
- Abstraction/concretization;
- Abstract semantics.

The abstract semantics is a safe approximation of the collecting semantics.

## Standard Semantics

- The **standard semantics** specifies the possible runtime behaviors of programs:

$$\begin{array}{ll} \mathbb{S} & \text{semantic domain} \\ \mathbf{S}[\cdot] \in \mathbb{E} \mapsto \mathbb{S} & \text{standard semantics} \end{array}$$

## Syntax

- The **syntax** defines a set of valid programs:

$$e \in \mathbb{E} \quad \text{programs/expressions}$$

## Concrete Properties

- A **concrete property** of a program is a **set of possible program behaviors**;
- The set of concrete properties:

$$P \in \mathbb{P} \triangleq \wp(\mathbb{S})$$

is a complete boolean lattice:

$$\langle \mathbb{P}, \subseteq, \emptyset, \mathbb{S}, \cup, \cap, \neg \rangle$$

for subset inclusion  $\subseteq$ , that is logical implication.

## Example of Concrete Property

- “Computing the factorial function in any environment  $R$ ” is the formal property:

$$\{\Lambda R \bullet \Lambda n \bullet (n \in \mathbb{Z} \wedge 0 \leq n \wedge n! \leq \text{maxint} \ ? \ n! \mid \Omega), \\ \Lambda R \bullet \Lambda n \bullet (n \in \mathbb{Z} \wedge n < 0 \ ? \ \perp \mid n \in \mathbb{Z} \wedge 0 \leq n \wedge n! \leq \text{maxint} \ ? \ n! \mid \Omega)\}$$

## Abstract Properties

- The abstract properties correspond to a well-chosen and conveniently encoded subset of the concrete properties;
- The set of abstract properties is a complete lattice

$$\langle \mathbb{T}, \leq, 0, 1, \vee, \wedge \rangle$$

for the approximation ordering  $\leq$ , corresponding to concrete subset inclusion/logical implication.

## Collecting Semantics

- A collecting semantics associates a concrete property (of a given class e.g. safety, liveness, ...) to each program:

$$\mathbf{C}[\bullet] \in \mathbb{E} \mapsto \mathbb{P}$$

- The standard collecting semantics:

$$\mathbf{C}[e] \triangleq \{\mathbf{S}[e]\}$$

is the strongest concrete property.

## Abstraction/Concretization

- The correspondence between concrete and abstract properties is defined by a Galois connection<sup>1</sup>:

$$\langle \mathbb{P}, \subseteq, \emptyset, \mathbb{S}, \cup, \cap \rangle \xrightleftharpoons[\alpha]{\gamma} \langle \mathbb{T}, \leq, 0, 1, \vee, \wedge \rangle$$

- $\alpha$ : abstraction;
- $\gamma$ : concretization.

<sup>1</sup> For weaker models, see P. Cousot & R. Cousot. Abstract interpretation frameworks. *J. Logic and Comp.*, 2(4):511–547, 1992.

## Galois Connection

- By definition:

$$\langle \mathbb{P}, \subseteq, \emptyset, \mathbb{S}, \cup, \cap \rangle \xleftrightarrow[\alpha]{\gamma} \langle \mathbb{T}, \leq, 0, 1, \vee, \wedge \rangle$$

means:

$$\forall P \in \mathbb{P} : \forall T \in \mathbb{T} : \alpha(P) \leq T \iff P \subseteq \gamma(T)$$

- The intuition is that:
  - $\alpha(P)$  is the best/strongest/most precise **approximation** of  $P$ ;
  - $\gamma(T)$  is the **meaning** of  $T$ .

## The Abstract Interpretation Design Methodology

- Define  $\mathbf{T}[e]$  by **calculation**, simplifying the expression  $\alpha(\mathbf{C}[e])$ , using  $\leq$ -approximations for simplification purposes;
- The **soundness**  $\mathbf{S}[e] \in \gamma(\mathbf{T}[e])$  of the abstract semantics is **by construction**.

## Abstract Semantics

- An **abstract semantics** associates a abstract property to each program:

$$\mathbf{T}[\bullet] \in \mathbb{E} \mapsto \mathbb{T}$$

- The abstract semantics is a **safe approximation of the collecting semantics**:

$$\mathbf{C}[e] \subseteq \gamma(\mathbf{T}[e])$$

## The Type Theory Design Methodology

- Syntax (for a given language);
- Standard semantics (defining type errors);
- Formalize the type system by type rules;
- Verify that execution of well-typed programs cannot produce type errors;
- Design type-checking/inference algorithms;
- Verify their correctness with respect to the type system.

## Comparison of the Type Theoretic and Abstract Interpretation Design Methodologies

### Thesis:

- The design of the type rules and the inference algorithm are **abstract interpretations**;
- The correctness criterion provides a **design methodology**.

Formal construction versus formal verification.

## Simple Typing of the Eager Lambda Calculus by Abstract Interpretation

- Define the syntax, standard and collecting semantics;
- Understand the type system as an abstract semantics;
- Show that this type semantics is an abstraction of the collecting semantics (which implies that typable programs cannot go wrong);
- Show that type inference algorithms are further abstractions of the type semantics.

## Design Methodology of a Type System by Abstract Interpretation

## Syntax of the Eager Lambda Calculus

|                                 |   |             |
|---------------------------------|---|-------------|
| $x, f, \dots \in \mathbb{X}$    | : | variables   |
| $e \in \mathbb{E}$              | : | expressions |
| $e ::= x$                       |   | variable    |
| $\lambda x \cdot e$             |   | abstraction |
| $e_1(e_2)$                      |   | application |
| $\mu f \cdot \lambda x \cdot e$ |   | recursion   |
| $1$                             |   | one         |
| $e_1 - e_2$                     |   | difference  |
| $(e_1 ? e_2 : e_3)$             |   | conditional |

## Semantic Domains

|  |                           |
|--|---------------------------|
| $\Omega$   | wrong/runtime error value |
| $\perp$  | non-termination           |
| $\mathbb{W} \triangleq \{\Omega\}$   | wrong                     |
| $\mathbb{Z} \in \mathbb{Z}$  | integers                  |
| $u, f, \varphi \in \mathbb{U} \cong \mathbb{W}_\perp \oplus \mathbb{Z}_\perp \oplus [\mathbb{U} \mapsto \mathbb{U}]^2_\perp$ | values                    |
| $\mathbb{R} \in \mathbb{R} \triangleq \mathbb{X} \mapsto \mathbb{U}$   | environments              |
| $\phi \in \mathbb{S} \triangleq \mathbb{R} \mapsto \mathbb{U}$   | semantic domain           |

<sup>2</sup>  $[\mathbb{U} \mapsto \mathbb{U}]$ : continuous,  $\perp$ -strict,  $\Omega$ -strict functions from values  $\mathbb{U}$  to values  $\mathbb{U}$ .

- The semantics  $\mathbf{S}[e_1 - e_2]\mathbb{R}$  of a **difference**  $e_1 - e_2$ :

$$\mathbf{S}[e_1 - e_2]\mathbb{R} \triangleq \left( \begin{array}{l} \mathbf{S}[e_1]\mathbb{R} = \perp \vee \mathbf{S}[e_2]\mathbb{R} = \perp ? \perp \\ | \mathbf{S}[e_1]\mathbb{R} = z_1 \wedge \mathbf{S}[e_2]\mathbb{R} = z_2 ? z_1 - z_2 \\ | \Omega \end{array} \right)$$

specifies that the evaluation of  $e_1 - e_2$ :

- does not terminate if the evaluation of  $e_1$  or  $e_2$  does not terminate;
- goes wrong if the evaluation of  $e_1$  or  $e_2$  does not return integer values;
- else the result is the difference of these values.

## Denotational Semantics of the Eager Lambda Calculus

- The **denotational semantics** is:

$$\mathbf{S}[\bullet] \in \mathbb{E} \mapsto \mathbb{S}$$

- The semantics  $\mathbf{S}[1]\mathbb{R}$  of **constant 1** is the integer value 1<sup>3</sup>:

$$\mathbf{S}[1]\mathbb{R} \triangleq 1$$

<sup>3</sup> For short up/down lifting/injection are omitted.

- The **conditional**  $(e_1 ? e_2 : e_3)$  is a test for zero:

$$\mathbf{S}[(e_1 ? e_2 : e_3)]\mathbb{R} \triangleq \left( \begin{array}{l} \mathbf{S}[e_1]\mathbb{R} = \perp ? \perp \\ | \mathbf{S}[e_1]\mathbb{R} = 0 ? \mathbf{S}[e_2]\mathbb{R} \\ | \mathbf{S}[e_1]\mathbb{R} = z \neq 0 ? \mathbf{S}[e_3]\mathbb{R} \\ | \Omega \end{array} \right)$$

- the evaluation does not terminate if  $e_1$  does not terminate;
- the evaluation goes wrong if  $e_1$  does not return an integer value;
- if  $e_1$  is 0 then the result is the value of  $e_2$  else that of  $e_3$ .

- The semantics  $\mathbf{S}[\mathbf{x}]R$  of **variable**  $x$  in environment  $R$  is the value  $R(x)$  of  $x$  in  $R$ :

$$\mathbf{S}[\mathbf{x}]R \triangleq R(x)$$

- The semantics  $\mathbf{S}[e_1(e_2)]R$  of an **application**  $e_1(e_2)$ :

$$\mathbf{S}[e_1(e_2)]R \triangleq \left( \begin{array}{l} \mathbf{S}[e_1]R = \perp \vee \mathbf{S}[e_2]R = \perp ? \perp \\ | \mathbf{S}[e_1]R = f \in [\mathbb{U} \mapsto \mathbb{U}] ? f(\mathbf{S}[e_2]R) \\ | \Omega \end{array} \right)$$

specifies that:

- the application does not terminate if the evaluation of  $e_1$  or  $e_2$  does not terminate;
- $e_1$  should evaluate to a function  $f = \mathbf{S}[e_1]R$ <sup>4</sup> and the result is the application of  $f$  to the value  $\mathbf{S}[e_2]R$  of  $e_2$ <sup>5</sup>

<sup>4</sup> else evaluation goes wrong.

<sup>5</sup> The result may be  $\perp$  in case of non-termination of the call or  $\Omega$  if it goes wrong.

- The semantics  $\mathbf{S}[\lambda x \cdot e]R$  of an **abstraction**  $\lambda x \cdot e$  in environment  $R$  is a  $\perp$ -strict,  $\Omega$ -strict continuous function:

$$\mathbf{S}[\lambda x \cdot e]R \triangleq \Lambda u \cdot \left( \begin{array}{l} u = \perp ? \perp \\ | u = \Omega ? \Omega \\ | \mathbf{S}[e]R[x \leftarrow u] \end{array} \right)$$

It maps the value  $u$  of the parameter  $x$  to the value  $\mathbf{S}[e]R[x \leftarrow u]$  of the body  $e$  in the environment  $R[x \leftarrow u]$  which is  $R$  where  $x$  has value  $u$ .

- A **recursive definition**  $\mu f \cdot \lambda x \cdot e$  defines a function  $\varphi$  as the abstraction  $\lambda x \cdot e$  where every occurrence of variable  $f$  within the body  $e$  refers to  $\varphi$ :

$$\mathbf{S}[\mu f \cdot \lambda x \cdot e]R \triangleq \text{Ifp}^{\sqsubseteq} \Lambda \varphi \cdot \mathbf{S}[\lambda x \cdot e]R[f \leftarrow \varphi]$$

The choice of a least fixpoint for Scott-ordering  $\sqsubseteq$  ensures that no result can be returned before the computation ends.



- The **let** construct is defined such that:

$$\mathbf{S}[\text{let } x = e_1 \text{ in } e_2] \triangleq \mathbf{S}[(\lambda x. e_2)(e_1)]$$

Note:  $e_1$  is evaluated even when  $x$  is not used in  $e_2$  (call by value).

## Standard Collecting Semantics

- The **standard collecting semantics**:

$$\begin{aligned} \mathbf{C}[\bullet] &\in \mathbb{E} \mapsto \mathbb{P} \\ \mathbf{C}[e] &\triangleq \{\mathbf{S}[e]\} \end{aligned}$$

is the **strongest concrete property**.

## Standard Semantics

- This denotational semantics is chosen as the **standard semantics** specifying run-time **program behaviors**;
- Important characteristics:
  - *functional* presentation, explicit *fixpoints*,
  - explicit handling of *nontermination*,
  - the semantics specifies a *run-time/dynamic type checking*;
- Other semantics would only require further refinements/abstractions.

## Church/Curry Monotypes

- Simple types** are monomorphic:

$$m \in \mathbb{M}^c, \quad m ::= \text{int} \mid m_1 \rightarrow m_2 \quad \text{monotype}$$

- A **type environment** associates a type to free program variables:

$$H \in \mathbb{H}^c \triangleq \mathbb{X} \mapsto \mathbb{M}^c \quad \text{type environment}$$

## Church/Curry Monotypes (continued)

- A **typing**  $\langle H, m \rangle$  specifies a possible result type  $m$  in a given type environment  $H$  assigning types to free variables:

$$\theta \in \mathbb{I}^c \triangleq \mathbb{H}^c \times \mathbb{M}^c \quad \text{typing}$$

- An **abstract property** or **program type** is a set of typings;

$$T \in \mathbb{T}^c \triangleq \wp(\mathbb{I}^c) \quad \text{program type}$$

- type environment  $\gamma_2^c \in \mathbb{H}^c \mapsto \wp(\mathbb{R})$ :

$$\gamma_2^c(H) \triangleq \{R \in \mathbb{R} \mid \forall \mathbf{x} \in \mathbb{X} : R(\mathbf{x}) \in \gamma_1^c(H(\mathbf{x}))\}$$

- typing  $\gamma_3^c \in \mathbb{I}^c \mapsto \mathbb{P}$ :

$$\gamma_3^c(\langle H, m \rangle) \triangleq \{\phi \in \mathbb{S} \mid \forall R \in \gamma_2^c(H) : \phi(R) \in \gamma_1^c(m)\}$$

## Concretization Function

The meaning of types is a program property, as defined by the concretization function  $\gamma^c$ :<sup>6</sup>

- Monotypes  $\gamma_1^c \in \mathbb{M}^c \mapsto \wp(\mathbb{U})$ :

$$\gamma_1^c(\text{int}) \triangleq \mathbb{Z} \cup \{\perp\}$$

$$\begin{aligned} \gamma_1^c(m_1 \rightarrow m_2) \triangleq & \{\varphi \in [\mathbb{U} \mapsto \mathbb{U}] \mid \\ & \forall \mathbf{u} \in \gamma_1^c(m_1) : \varphi(\mathbf{u}) \in \gamma_1^c(m_2)\} \\ & \cup \{\perp\} \end{aligned}$$

<sup>6</sup> For short up/down lifting/injection are omitted.

- program type  $\gamma^c \in \mathbb{T}^c \mapsto \mathbb{P}$ :

$$\gamma^c(T) \triangleq \bigcap_{\theta \in T} \gamma_3^c(\theta)$$

$$\gamma^c(\emptyset) \triangleq \mathbb{S}$$

- Types exclude going wrong:

$$\Omega \in \gamma^c(T) \iff T = \emptyset$$

## Galois Connection

- Disjunction of properties correspond to intersection of types

$$\gamma^c\left(\bigcup_{i \in \Delta} T_i\right) = \bigcap_{i \in \Delta} \gamma^c(T_i)$$

so that the correspondence between concrete properties and program types is a **Galois connection**:

$$\langle \mathbb{P}, \subseteq, \emptyset, \mathbb{S}, \cup, \cap \rangle \xrightleftharpoons[\alpha^c]{\gamma^c} \langle \mathbb{T}^c, \supseteq, \mathbb{I}^c, \emptyset, \cap, \cup \rangle$$

$$\frac{H[f \leftarrow m] \vdash^c \lambda x. e \Rightarrow m}{H \vdash^c \mu f. \lambda x. e \Rightarrow m} \quad (\text{REC})$$

$$H \vdash^c 1 \Rightarrow \text{int} \quad (\text{CST})$$

$$\frac{H \vdash^c e_1 \Rightarrow \text{int}, H \vdash^c e_2 \Rightarrow \text{int}}{H \vdash^c e_1 - e_2 \Rightarrow \text{int}} \quad (\text{DIF})$$

$$\frac{H \vdash^c e_1 \Rightarrow \text{int}, H \vdash^c e_2 \Rightarrow m, H \vdash^c e_3 \Rightarrow m}{H \vdash^c (e_1 ? e_2 : e_3) \Rightarrow m} \quad (\text{CND})$$

## Church/Curry Monotyping Rules

$$H \vdash^c x \Rightarrow H(x) \quad (\text{VAR})$$

$$\frac{H \vdash^c e_1 \Rightarrow m_1 \rightarrow m_2, H \vdash^c e_2 \Rightarrow m_1}{H \vdash^c e_1(e_2) \Rightarrow m_2} \quad (\text{APP})$$

$$\frac{H[x \leftarrow m_1] \vdash^c e \Rightarrow m_2}{H \vdash^c \lambda x. e \Rightarrow m_1 \rightarrow m_2} \quad (\text{ABS})$$

## Abstract Semantics

- These typing rules can be understood as a **compositional abstract semantics**:

$$\mathbb{T}[\bullet] \in \mathbb{E} \mapsto \mathbb{T}^c$$

- Reciprocally, a compositional abstract semantics can be presented using **inference rules**;
- This follows from a general result [6], showing **equivalence** of various semantics presentations.

### Reference

- [6] P. Cousot & R. Cousot. Compositional and inductive semantic definitions in fixpoint, equational, constraint, closure-condition, rule-based and game-theoretic form, invited paper. CAV '95, LNCS 939, pp. 293–308, 1995.

## Church/Curry Monotype Semantics

$$\mathbf{T}^c[\mathbf{x}] \triangleq \{\langle H, H(\mathbf{x}) \rangle \mid H \in \mathbb{H}^c\} \quad (\text{VAR})$$

$$\mathbf{T}^c[\lambda \mathbf{x} \cdot e] \triangleq \{\langle H, m_1 \rightarrow m_2 \rangle \mid \langle H[\mathbf{x} \leftarrow m_1], m_2 \rangle \in \mathbf{T}^c[e]\} \quad (\text{ABS})$$

$$\mathbf{T}^c[e_1(e_2)] \triangleq \{\langle H, m_2 \rangle \mid \langle H, m_1 \rightarrow m_2 \rangle \in \mathbf{T}^c[e_1] \wedge \langle H, m_1 \rangle \in \mathbf{T}^c[e_2]\} \quad (\text{APP})$$

## Abstraction Theorem

- The Church/Curry type semantics  $\mathbf{T}^c[\bullet]$  is an **upper-approximation**<sup>7</sup> of the strongest collecting semantics (for the standard denotational semantics):

$$\begin{aligned} \alpha^c(\mathbf{C}[e]) &\supseteq \mathbf{T}^c[e] \\ \iff \mathbf{C}[e] &\subseteq \gamma^c(\mathbf{T}^c[e]) \\ \iff \mathbf{S}[e] &\in \gamma^c(\mathbf{T}^c[e]) \end{aligned}$$

<sup>7</sup>  $\subseteq$ -upper concrete and  $\supseteq$ -upper (i.e.  $\subseteq$ -lower) abstract approximation

$$\mathbf{T}^c[\mu \mathbf{f} \cdot \lambda \mathbf{x} \cdot e] \triangleq \{\langle H, m \rangle \mid \langle H[\mathbf{f} \leftarrow m], m \rangle \in \mathbf{T}^c[\lambda \mathbf{x} \cdot e]\} \quad (\text{REC})$$

$$\mathbf{T}^c[\mathbf{1}] \triangleq \{\langle H, \text{int} \rangle \mid H \in \mathbb{H}^c\} \quad (\text{CST})$$

$$\mathbf{T}^c[e_1 - e_2] \triangleq \{\langle H, \text{int} \rangle \mid \langle H, \text{int} \rangle \in \mathbf{T}^c[e_1] \cap \mathbf{T}^c[e_2]\} \quad (\text{DIF})$$

$$\mathbf{T}^c[(e_1 ? e_2 : e_3)] \triangleq \{\langle H, m \rangle \mid \langle H, \text{int} \rangle \in \mathbf{T}^c[e_1] \wedge \langle H, m \rangle \in \mathbf{T}^c[e_2] \cap \mathbf{T}^c[e_3]\} \quad (\text{CND})$$

## Typable Programs Cannot Go Wrong

- A program  $e$  is **typable** iff  $\mathbf{T}^c[e] \neq \emptyset$ .
- Typable programs cannot go wrong:

$$\langle H, m \rangle \in \mathbf{T}^c[e] \wedge R \in \gamma_2^c(H) \implies \mathbf{S}[e]R \neq \Omega$$

## Design Methodology

- The **soundness requirement** that the abstract semantics is an  $\supseteq$ -upper abstract approximation of the concrete/collecting semantics:

$$\begin{aligned} & \mathbf{C}[e] \subseteq \gamma^c(\mathbf{T}^c[e]) \\ \Leftrightarrow & \alpha^c(\mathbf{C}[e]) \supseteq \mathbf{T}^c[e] \end{aligned}$$

provides a design methodology:

Design  $\mathbf{T}^c[e]$  by calculation, starting from the best possible choice:  $\alpha^c(\mathbf{C}[e])$ .

## Design of a New Church/Curry Polytype System by Abstract Interpretation

## A Simplistic Example ... Constants

$$\begin{aligned} & \mathbf{T}^c[\mathbf{1}] \\ \triangleq & \alpha^c(\mathbf{C}[\mathbf{1}]) && \text{best possible choice} \\ = & \alpha^c(\{\mathbf{S}[\mathbf{1}]\}) && \text{def. collecting sem.} \\ = & \alpha^c(\{\Lambda R \bullet 1\}) && \text{def. standard sem.} \\ = & \bigcup \{T \mid \{\Lambda R \bullet 1\} \subseteq \gamma^c(T)\} && \text{Galois connection} \\ = & \bigcup \{T \mid \forall \theta \in T : \Lambda R \bullet 1 \in \gamma_3^c(\theta)\} && \text{def. } \gamma^c \\ = & \{\theta \mid \Lambda R \bullet 1 \in \gamma_3^c(\theta)\} && \text{set theory} \\ = & \{\langle H, m \rangle \mid \forall R \in \gamma_2^c(H) : 1 \in \gamma_1^c(m)\} && \text{def. } \gamma_3^c \\ = & \{\langle H, \text{int} \rangle \mid H \in \mathbb{H}^c\} && \text{def. } \gamma_1^c \end{aligned}$$

## A New Type System

- The methodology is **illustrated** by the design of a new simple polytype system:
  - the **Church/Curry polytype system**:
    - polytypes are infinitary conjunctions of monotypes;
    - let-polymorphism, à la Milner;
    - more powerful than the Damas-Miner and Milner-Mycroft polymorphic type systems;
    - no complete inference algorithm.

## Church/Curry Polytypes

|   |                  |
|---|------------------|
| $m \in \mathbb{M}^{\text{PC}}$ , $m ::= \text{int} \mid m_1 \rightarrow m_2$                        | monotype         |
| $p \in \mathbb{P}^{\text{PC}} \triangleq \wp(\mathbb{M}^{\text{PC}})$                               | polytype         |
| $H \in \mathbb{H}^{\text{PC}} \triangleq \mathbb{X} \mapsto \mathbb{P}^{\text{PC}}$                 | type environment |
| $\theta \in \mathbb{I}^{\text{PC}} \triangleq \mathbb{H}^{\text{PC}} \times \mathbb{M}^{\text{PC}}$ | typing           |
| $T \in \mathbb{T}^{\text{PC}} \triangleq \wp(\mathbb{I}^{\text{PC}})$                               | program type     |

Polymorphism is restricted to environments.

- **Concretization** of polytypes  $\gamma_2^{\text{PC}} \in \mathbb{P}^{\text{PC}} \mapsto \wp(\mathbb{U})$ :

$$\gamma_2^{\text{PC}}(p) \triangleq \bigcap_{m \in p} \gamma_1^{\text{PC}}(m)$$

$$\gamma_2^{\text{PC}}(\emptyset) \triangleq \mathbb{U}$$

- **Concretization** of polytype environments  $\gamma_3^{\text{PC}} \in \mathbb{H}^{\text{PC}} \mapsto \wp(\mathbb{R})$ :

$$\gamma_3^{\text{PC}}(H) \triangleq \{R \in \mathbb{R} \mid \forall x \in \mathbb{X} : R(x) \in \gamma_2^{\text{PC}}(H(x))\}$$

## Galois Connection

- The correspondence between polytypes and program properties is a **Galois connection**:

$$\langle \mathbb{P}, \subseteq \rangle \stackrel{\gamma^{\text{PC}}}{\longleftarrow} \stackrel{\alpha^{\text{PC}}}{\longrightarrow} \langle \mathbb{T}^{\text{PC}}, \supseteq \rangle$$

- **Concretization** of monotypes  $\gamma_1^{\text{PC}} \in \mathbb{M}^{\text{PC}} \mapsto \wp(\mathbb{U})$  (unchanged):

$$\gamma_1^{\text{PC}}(\text{int}) \triangleq \mathbb{Z} \cup \{\perp\}$$

$$\gamma_1^{\text{PC}}(m_1 \rightarrow m_2) \triangleq \{\varphi \in [\mathbb{U} \mapsto \mathbb{U}] \mid \forall u \in \gamma_1^{\text{PC}}(m_1) : \varphi(u) \in \gamma_1^{\text{PC}}(m_2)\} \cup \{\perp\}$$

- **Concretization** of polytypings  $\gamma_4^{\text{PC}} \in \mathbb{I}^{\text{PC}} \mapsto \mathbb{P}$ :

$$\gamma_4^{\text{PC}}(\langle H, m \rangle) \triangleq \{\phi \in \mathbb{S} \mid \forall R \in \gamma_3^{\text{PC}}(H) : \phi(R) \in \gamma_1^{\text{PC}}(m)\}$$

- **Concretization** of program types  $\gamma^{\text{PC}} \in \mathbb{T}^{\text{PC}} \mapsto \mathbb{P}$ :

$$\gamma^{\text{PC}}(T) \triangleq \bigcap_{\theta \in T} \gamma_4^{\text{PC}}(\theta)$$

$$\gamma^{\text{PC}}(\emptyset) \triangleq \mathbb{S}$$

- If  $T \neq \emptyset$  then  $\gamma^{\text{PC}}(T)$  excludes semantical values going wrong.

## Church/Curry Polytype Semantics

- The Church/Curry polytype semantics:

$$\mathbf{T}^{\text{PC}}[\bullet] \in \mathbb{E} \mapsto \mathbb{T}^{\text{PC}}$$

is designed according to the soundness condition:

$$\begin{aligned} & \alpha^{\text{PC}}(\mathbf{C}[e]) \supseteq \mathbf{T}^{\text{PC}}[e] \\ \Leftrightarrow & \mathbf{C}[e] \subseteq \gamma^{\text{PC}}(\mathbf{T}^{\text{PC}}[e]) \\ \Leftrightarrow & \mathbf{S}[e] \in \gamma^{\text{PC}}(\mathbf{T}^{\text{PC}}[e]) \end{aligned}$$

so that typable programs cannot go wrong;

## Church/Curry Polytyping Rules

- Rule-based presentation of the polytype semantics  $\mathbf{T}^{\text{PC}}[\bullet]$ :

$$\frac{m \in H(\mathbf{x})}{H \vdash^{\text{PC}} \mathbf{x} \Rightarrow m} \quad (\text{VAR})$$

$$\frac{H[\mathbf{x} \leftarrow \{m_1\}] \vdash^{\text{PC}} e \Rightarrow m_2}{H \vdash^{\text{PC}} \lambda \mathbf{x} \cdot e \Rightarrow m_1 \rightarrow m_2} \quad (\text{APP})$$

- By calculation we derive a compositional functional fixpoint definition of  $\mathbf{T}^{\text{PC}}[\bullet]$ ;
- We then express this polytype semantics in equivalent rule-based form.

$$\frac{H \vdash^{\text{PC}} e_1 \Rightarrow m_1 \rightarrow m_2, H \vdash^{\text{PC}} e_2 \Rightarrow m_1}{H \vdash^{\text{PC}} e_1(e_2) \Rightarrow m_2} \quad (\text{ABS})$$

$$\frac{p_1 \neq \emptyset, \forall m_1 \in p_1 : H \vdash^{\text{PC}} e_1 \Rightarrow m_1, \quad H[\mathbf{x} \leftarrow p_1] \vdash^{\text{PC}} e_2 \Rightarrow m_2}{H \vdash^{\text{PC}} \text{let } \mathbf{x} = e_1 \text{ in } e_2 \Rightarrow m_2} \quad (\text{LET})$$

$$\frac{\forall m_1 \in p_1 : H[\mathbf{f} \leftarrow p_1] \vdash^{\text{PC}} \lambda \mathbf{x} \cdot e \Rightarrow m_1, \quad m \in p_1}{H \vdash^{\text{PC}} \mu \mathbf{f} \cdot \lambda \mathbf{x} \cdot e \Rightarrow m} \quad (\text{REC})$$

## Church/Curry Polytyping Semantics

The most interesting case is for recursion:

$$\mathbf{T}^{\text{PC}}[\mu f \cdot \lambda x \cdot e] \triangleq \{ \langle H, m \rangle \mid m \in \text{gfp}_{\mathbb{M}^{\text{PC}} \rightarrow \mathbb{M}^{\text{PC}}} \Psi \}$$

where  $\Psi \triangleq \Lambda p \cdot \{ m' \mid \langle H[f \leftarrow p], m' \rangle \in \mathbf{T}^{\text{PC}}[\lambda x \cdot e] \}$

or equivalently:

$$\mathbf{T}^{\text{PC}}[\mu f \cdot \lambda x \cdot e] = \{ \langle H, m \rangle \mid \exists p \subseteq \mathbb{M}^{\text{PC}} \rightarrow \mathbb{M}^{\text{PC}} : m \in p \wedge \forall m' \in p : \langle H[f \leftarrow p], m' \rangle \in \mathbf{T}^{\text{PC}}[\lambda x \cdot e] \}$$

## ... without Hindley/Milner Type

```
> Caml Light version 0.71/mac
#let rec F f g n x =
  if n = 0 then g(x)
  else F(f)(fun x -> (fun h -> g(h(x))))(n-1)(x)(f);;
Toplevel input:
> .....F f g n x =
> if n = 0 then g(x)
> else F(f)(fun x -> (fun h -> g(h(x))))(n-1)(x)(f)..
This expression has type
'a -> ('b -> 'c) -> int -> 'b -> 'c,
but is used with type
'a -> ('b -> ('b -> 'b) -> 'c) -> int -> 'b -> 'a -> 'c.
```

## A Typable Program ...

The ML program:

```
let rec F f g n x =
  if n = 0 then g(x)
  else F(f)(fun x ->(fun h -> g(h(x))))(n-1)(x)(f);;
```

such that:

$$F f g n x = g(f^n(x))$$

has type:

$$\{ \langle H, (m_1 \rightarrow m_1) \rightarrow ((m_1 \rightarrow m_2) \rightarrow (int \rightarrow (m_1 \rightarrow m_2))) \rangle \mid H \in \mathbb{H}^{\text{PC}} \wedge m_1, m_2 \in \mathbb{M}^{\text{PC}} \}$$

## What is the Problem?

- À la Milner typing makes **rough** program-independent **approximations** of fixpoints;
- The abstract interpretation **iteration strategy** for fixpoints is more refined<sup>8</sup>,
- Worst, à la Milner typing uses **specific** type property and language-dependent **abstractions** which are not general enough for program analysis<sup>9</sup>.

<sup>8</sup> convergence may have to be enforced through with widenings & narrowings

<sup>9</sup> Mostly applicable to boolean abstract domains.



## Type Inference and its Limits for Program Analysis

## Monotypes with Variables

$'a \in \mathbb{V}$   
 $\tau \in \mathbb{M}_{\mathbb{V}}^{\#}$   
 $\tau ::= \text{int} \mid 'a \mid \tau_1 \rightarrow \tau_2$   
 $H \in \mathbb{H}^{\#} \triangleq \mathbb{X} \mapsto \mathbb{M}_{\mathbb{V}}^{\#}$   
 $T \in \mathbb{T}^{\#} \triangleq \mathbb{H}^{\#} \times \mathbb{M}_{\mathbb{V}}^{\#}$

type variables  
 monotype with variables

type environment  
 program typing

## Herbrand Abstraction

- The **Herbrand abstraction**  $\text{lcg}$  (least common generalization) can be used to abstract an (infinite) set of ground terms by a single, machine-representable term with variables.
- For example, up to variable  $'a$ ,  $'b$ , ... renaming:

$$\begin{aligned}
 & \text{lcg}(\{(m_1 \rightarrow m_1) \rightarrow ((m_1 \rightarrow m_2) \rightarrow (\text{int} \rightarrow (m_1 \rightarrow m_2))) \mid \\
 & \qquad \qquad \qquad m_1, m_2 \in \mathbb{M}^c\}) \\
 &= ('a \rightarrow 'a) \rightarrow (('a \rightarrow 'b) \rightarrow (\text{int} \rightarrow ('a \rightarrow 'b)))
 \end{aligned}$$

## Concretization

$$\begin{aligned}
 & \text{ground}(\emptyset) = \emptyset \\
 & \text{ground}(\text{int}) = \{\text{int}\} \\
 & \text{ground}('a \rightarrow 'a) = \{m \rightarrow m \mid m \in \mathbb{M}^c\} \\
 & \text{ground}('a) = \mathbb{M}^c
 \end{aligned}$$

## Galois Connection

- The Herbrand abstraction  $\text{lcg}$  is a Galois connection:

$\langle \wp(\text{ground}(T)), \subseteq, \emptyset, \text{ground}(T), \cup, \cap \rangle$

$$\begin{array}{c} \xleftarrow{\text{ground}} \\ \xrightarrow{\text{lcg}} \end{array} \langle T^\emptyset / \equiv, \leq, \emptyset, [ 'a ] \equiv, \text{lcg}, \text{gci} \rangle$$

where:

- $T$ : set of terms with variables 'a, ... ,
- $\text{lcg}$ : least common generalization,
- $\text{ground}$ : set of ground instances,
- $\leq$ : instance preordering,
- $\text{gci}$ : greatest common instance.

## Wrong Direction of Approximation

- The Church/Curry monotype abstraction:

$$\langle \mathbb{P}, \subseteq, \emptyset, \mathbb{S}, \cup, \cap \rangle \begin{array}{c} \xleftarrow{\gamma^c} \\ \xrightarrow{\alpha^c} \end{array} \langle \mathbb{T}^c, \supseteq, \mathbb{I}^c, \emptyset, \cap, \cup \rangle$$

- The Herbrand abstraction:

$$\langle \mathbb{T}^c, \subseteq, \emptyset, \mathbb{I}^c, \cup, \cap \rangle \begin{array}{c} \xleftarrow{\text{ground}} \\ \xrightarrow{\text{lcg}} \end{array} \langle \mathbb{T}^{\text{H}\emptyset} / \equiv, \leq, \emptyset, [\alpha] \equiv, \text{lcg}, \text{gci} \rangle$$

They do not compose!

## Imprecision

- The Herbrand abstraction  $\text{lcg}$  approximates a set of monotypes by a single monotype with variables;
- The Herbrand abstraction  $\text{lcg}$  is quite **approximate**:

$$\text{lcg}(\{\text{int}, \text{int} \rightarrow \text{int}\}) = 'a$$

- This e.g. excludes an overloaded primitive  $f$  which would behave as an  $\text{int} \rightarrow \text{int}$  function in a call context and as an  $\text{int}$  (e.g.  $f(0)$ ) in the context of an arithmetic operand.

## A Specific Solution: Exact Herbrand Abstraction

- For soundness, we need a  $\supseteq$ -upper (i.e.  $\subseteq$ -lower) approximation of sets of monotypes;
- The Herbrand abstraction provides a  $\subseteq$ -upper approximation by a monotype with variable;
- The specific solution is to require **equality**, just for those sets of monotypes considered in the Church/Curry monotype semantics;
- This can always be obtained by restricting the considered types and language!

## Exactness

We have:

$$\begin{aligned} \mathbf{T}^c[\bullet] \in \mathbb{E} &\mapsto \langle \mathbb{T}^c, \supseteq \rangle \\ \langle \mathbb{T}^c, \supseteq \rangle &\xrightleftharpoons[\text{lcg}]{\text{ground}} \langle \mathbb{T}^h/\equiv, \leq \rangle \end{aligned}$$

We design, by calculation:

$$\mathbf{T}^h[e] = \text{lcg}(\mathbf{T}^c[e])$$

and, for soundness, require:

$$\text{ground}(\mathbf{T}^h[e]) = \mathbf{T}^c[e]$$

## Design of the Type Semantics/Inference Algorithm

- Define  $\mathbf{T}^h[e]$  by **calculation** of the expression  $\alpha^h(\mathbf{T}^c[e])$  ( $\alpha^h$  based on **lcg**);
- Check that  $\gamma^h(\mathbf{T}^h[e]) = \mathbf{T}^c[e]$  to ensure **soundness** ( $\gamma^h$  based on **ground**);
- If the elements of the abstract domain are computer-representable and the abstract semantics is computable then the abstract semantics is a specification of a **type inference algorithm**.

## Exact Abstract Semantics

- It follows that Church/Curry monotype semantics  $\mathbf{T}^c[\bullet]$  and Hindley monotype with variables semantics  $\mathbf{T}^h[\bullet]$  are  **$\langle \text{lcg}, \text{ground} \rangle$  isomorphic**;
- For other points of  $\mathbb{T}^c$ , outside  $\{\mathbf{T}^c[e] \mid e \in \mathbb{E}\}$ , the approximation may be unsound;
- So the language  $\mathbb{E}$  has to be somewhat singular, since the slightest change in the standard semantics might be unsound.

## Hindley Monotype Semantics

$$\begin{aligned} \mathbf{T}^h[x] &\triangleq \langle \mathcal{H}, \mathcal{H}(x) \rangle \\ \mathbf{T}^h[\lambda x \cdot e] &\triangleq (\mathbf{T}^h[e] = \langle H, \tau \rangle ? \\ &\quad \langle H[x \leftarrow 'a], H(x) \rightarrow \tau \rangle \mid \emptyset) \\ \mathbf{T}^h[e_1(e_2)] &\triangleq (\mathbf{T}^h[e_2] = \langle H_2, \tau_2 \rangle \wedge \text{gci}\{\mathbf{T}^h[e_1], \langle H_2, \\ &\quad \tau_2 \rightarrow 'a \rangle\} = \langle H, \tau_2 \rightarrow \tau \rangle ? \langle H, \tau \rangle \mid \emptyset) \\ \mathbf{T}^h[\mu f \cdot \lambda x \cdot e] &\triangleq (\mathbf{T}^h[\lambda x \cdot e] = \langle H, \tau \rangle \wedge \\ &\quad \sigma = \text{mgu}\{'a \rightarrow 'b, H(f), \tau\} \neq \emptyset ? \\ &\quad \langle \sigma(H)[f \leftarrow 'c], \sigma(\tau) \rangle \mid \emptyset) \end{aligned}$$

$$\mathbf{T}^H[1] \triangleq \langle \mathcal{H}, \text{int} \rangle$$

$$\mathbf{T}^H[e_1 - e_2] \triangleq \text{gci}\{\langle \mathcal{H}, \text{int} \rangle, \mathbf{T}^H[e_1], \mathbf{T}^H[e_2]\}$$

$$\mathbf{T}^H[(e_1 ? e_2 : e_3)] \triangleq (\mathbf{T}^H[e_1] = \langle H_1, \text{int} \rangle ? \text{gci}\{\langle H_1, 'a' \rangle, \mathbf{T}^H[e_2], \mathbf{T}^H[e_3]\} \mid \emptyset)$$

## What is a Type System?

## Limits of the Exact Herbrand Abstraction

- **Language dependent** (e.g. introduction of an  $\{\text{int}, \text{int} \rightarrow \text{int}\}$  overloaded primitive fails);
- Concrete **property dependent** (e.g. restriction of polymorphic typings to certain environments only).
- The abstraction is rough and specific, **not convenient for most program analyzers**.

## Lattice of Type Abstract Interpretations

- We can define a partial preorder on type systems through the notion of abstraction;
- In this way, type systems can be organized into a complete lattice;
- Type systems can then be defined as any **abstraction of a type collecting semantics**, the most refined of all of them;
- Type inference algorithms are the computable ones.

## Church/Curry monotype semantics is an abstraction of Church/Curry polytype semantics

The correspondence is given by the Galois connection:

$$\langle \mathbb{T}^{\text{pc}}, \supseteq \rangle \stackrel{\gamma}{\alpha} \langle \mathbb{T}^{\text{c}}, \supseteq \rangle$$

defined by:

$$\alpha(T) \triangleq \{ \langle H, m \rangle \mid \langle \lambda y \in \mathbb{X} \cdot \{H(y)\}, m \rangle \in T \}$$

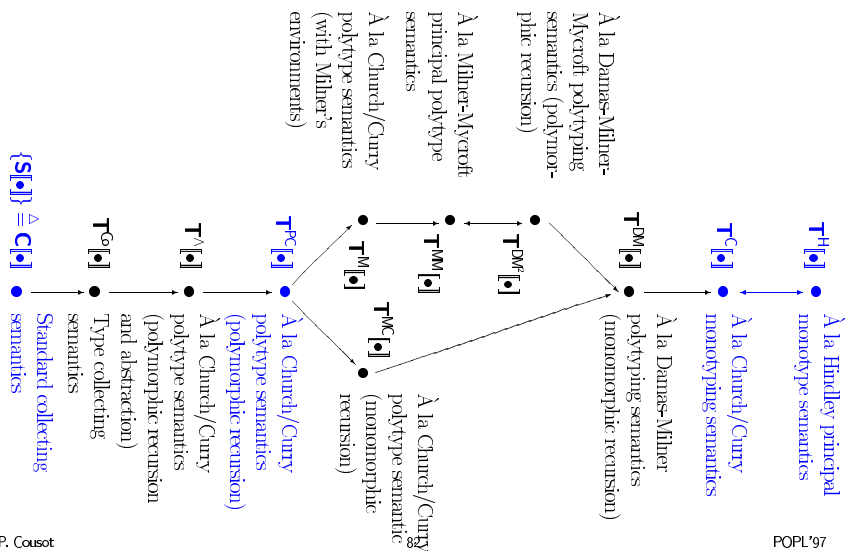
$$\gamma(T') \triangleq \{ \langle \lambda y \in \mathbb{X} \cdot \{H(y)\}, m \rangle \mid \langle H, m \rangle \in T' \}$$

such that:

$$\mathbb{T}^{\text{c}}[e] = \alpha(\mathbb{T}^{\text{pc}}[e])$$

## More in the Proceedings

- Sketch of a conjunctive Church/Curry polytype semantics (with polymorphic abstraction);
- More developments on abstraction and soundness;
- Design of a type collecting semantics;
- Proof that the Milner/Mycroft polymorphic type semantics is an Herbrand abstraction of the new Church/Curry polytype semantics;
- Proof that the Damas/Milner polymorphic type semantics is a further approximation of the Milner/Mycroft semantics;



Conclusion

## A Personal Conclusion

- **Positive:**
  - Abstract interpretation provides a **semantic foundation** of type theory;
  - This leads to less empirical, **calculation based development of type systems**;
- **Negative:**
  - The **Herbrand abstraction is too specific** to be of general use in program analysis.

## A Personal Hope

- Now that type inference is understood as an abstract interpretation it becomes possible to **combine type inference with program analysis**:
  - certainly not by using an Herbrand-like encoding of program properties;
  - probably in combination with other abstract domains.