

Abstract Interpolation by Dual Narrowing

Princeton University
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Patrick Cousot

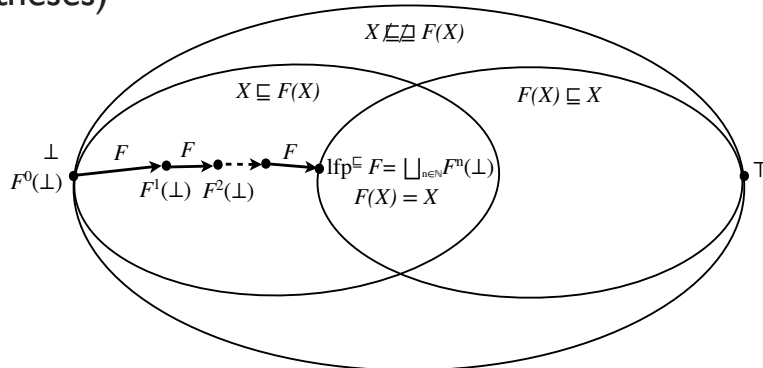
pcousot@cs.nyu.edu cs.nyu.edu/~pcousot

Abstract Interpreters

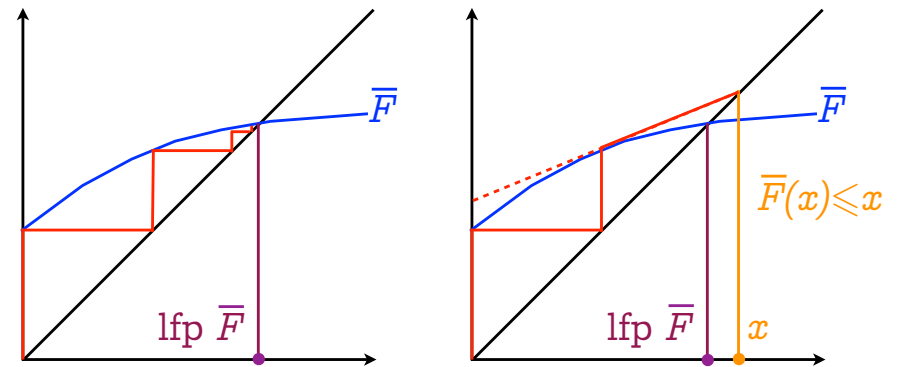
- **Transitional abstract interpreters:** proceed by induction on program steps
- **Structural abstract interpreters:** proceed by induction on the program syntax
- **Main problem:** over/under-approximate fixpoints in non-Noetherian abstract domains

Fixpoints

- Poset $\langle D, \sqsubseteq, \perp, \sqcup \rangle$
- Transformer: $F \in D \mapsto D$
- Least fixpoint: $\text{lfp}^{\sqsubseteq} F = \bigsqcup_{n \in \mathbb{N}} F^n(\perp)$ (under appropriate hypotheses)



Convergence acceleration with widening



Infinite iteration

Accelerated iteration with widening
(e.g. with a widening based on the derivative as in Newton-Raphson method^(*))

^(*) Javier Esparza, Stefan Kiefer, Michael Luttenberger: Newtonian program analysis. J. ACM 57(6): 33 (2010)

Extrapolation by Widening

- $X^0 = \perp$ (increasing iterates with widening)
- $X^{n+1} = X^n \nabla F(X^n)$ when $F(X^n) \not\sqsubseteq X^n$
- $X^{n+1} = X^n$ when $F(X^n) \sqsubseteq X^n$
- Widening ∇ :
 - $Y \sqsubseteq X \nabla Y$ (extrapolation)
 - Enforces convergence of increasing iterates with widening, limit X^ℓ

Example of widenings

- Primitive widening [1,2]

```
(x  $\bar{\nabla}$  y) = cas x  $\in$  Va, y  $\in$  Va dans
  |  $\perp$ , ?  $\Rightarrow$  y ;
  | ?,  $\perp$   $\Rightarrow$  x ;
  | [n1, m1], [n2, m2]  $\Rightarrow$ 
    [si n2 < n1 alors - $\infty$  sinon n1 fi ;
     si m2 > m1 alors + $\infty$  sinon m1 fi] ;
  fincas ;
```

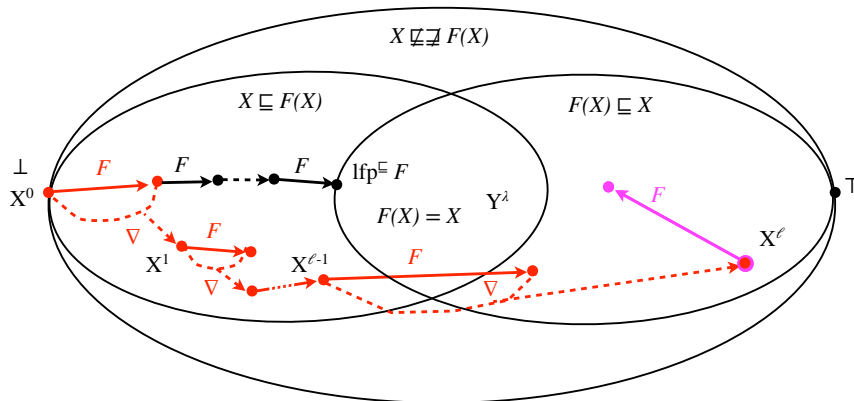
```
[a1, b1]  $\bar{\nabla}$  [a2, b2] =
  [if a2 < a1 then - $\infty$  else a1 fi,
   if b2 > b1 then + $\infty$  else b1 fi]
```

- Widening with thresholds [3]

```
 $\forall x \in \bar{L}_2, \perp \nabla_2(j) x = x \nabla_2(j) \perp = x$ 
[ $l_1, u_1$ ]  $\nabla_2(j)$  [ $l_2, u_2$ ]
= [if 0  $\leq$  l2 < l1 then 0 elsif l2 < l1 then -b - 1 else l1 fi,
   if u1 < u2  $\leq$  0 then 0 elsif u1 < u2 then b else u1 fi]
```

[1] Patrick Cousot, Radhia Cousot: Vérification statique de la cohérence dynamique des programmes. Rapport du contrat IRIA-SESORI No 75-032, 23 septembre 1975.
 [2] Patrick Cousot, Radhia Cousot: Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints. POPL 1977: 238-252
 [3] Patrick Cousot, Semantic foundations of program analysis, Ch. 10 of Program flow analysis: theory and practice, N. Jones & S. Muchnick (eds), Prentice Hall, 1981.

Extrapolation with widening



Interpolation with narrowing

- $Y^0 = X^\ell$ (decreasing iterates with narrowing)
- $Y^{n+1} = Y^n \Delta F(Y^n)$ when $F(Y^n) \sqsubseteq Y^n$
- $Y^{n+1} = Y^n$ when $F(Y^n) = Y^n$
- Narrowing Δ :
 - $Y \sqsubseteq X \Rightarrow Y \sqsubseteq X \Delta Y \sqsubseteq X$ (interpolation)
 - Enforces convergence of decreasing iterates with narrowing, Y^λ

Example of narrowing

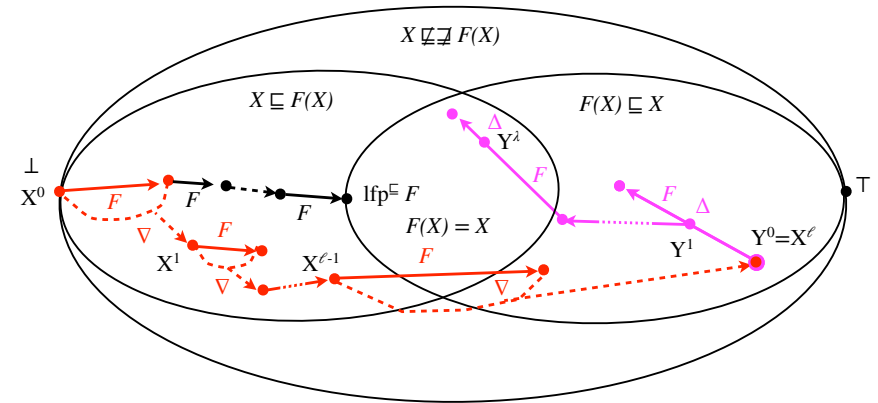
• [2]

```

[a1, b1] Δ̄ [a2, b2] =
  [if a1 = -∞ then a2 else MIN (a1, a2),
   if b1 = +∞ then b2 else MAX (b1, b2)]
  
```

[2] Patrick Cousot, Radhia Cousot: Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints. POPL 1977: 238-252
 POPL'15 PC Workshop, Princeton University, September 27 & 28, 2014 © P. Cousot

Interpolation with narrowing

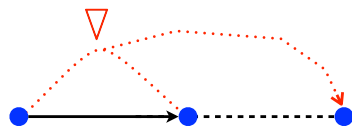


Duality

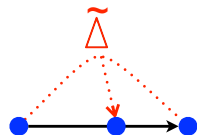
	Convergence above the limit	Convergence below the limit
Increasing iteration	Widening $\bar{\nabla}$	Dual-narrowing $\bar{\Delta}$
Decreasing iteration	Narrowing Δ	Dual widening $\bar{\nabla}$

Extrapolators ($\bar{\nabla}$, $\bar{\nabla}$) and interpolators (Δ , $\bar{\Delta}$)

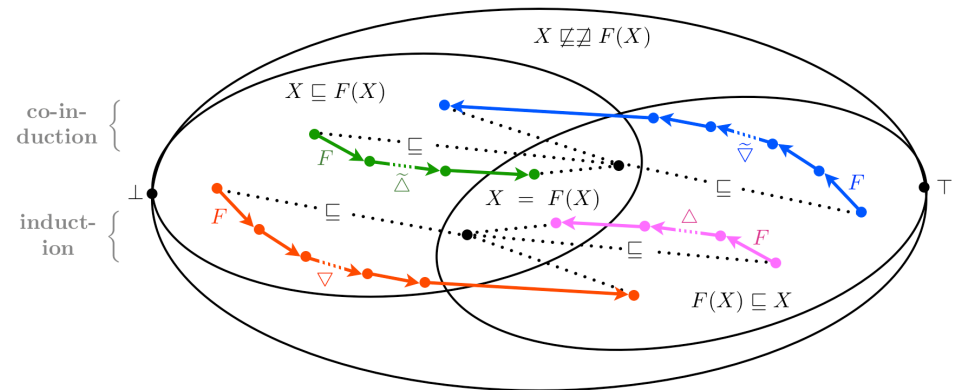
• Extrapolators:



• Interpolators:



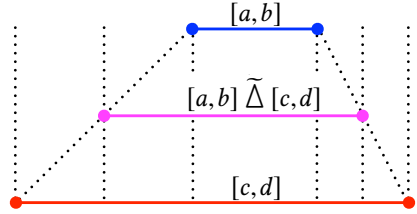
Extrapolators, Interpolators, and Duals



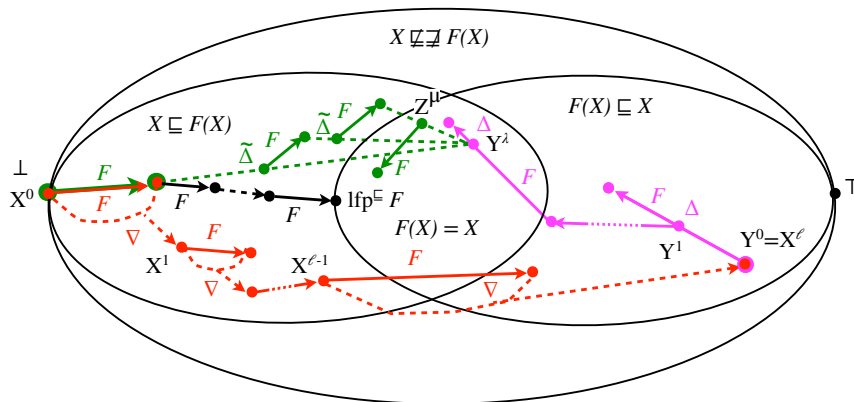
Interpolation with dual narrowing

- $Z^0 = \perp$ (increasing iterates with dual-narrowing)
- $Z^{n+1} = F(Z^n) \tilde{\Delta} Y^\lambda$ when $F(Z^n) \not\subseteq Z^n$
- $Z^{n+1} = Z^n$ when $F(Z^n) \subseteq Z^n$
- Dual-narrowing $\tilde{\Delta}$:
 - $X \subseteq Y \implies X \subseteq X \tilde{\Delta} Y \subseteq Y$ (interpolation)
 - Enforces convergence of increasing iterates with dual-narrowing

Example of dual-narrowing

- 
- $[a, b] \tilde{\Delta} [c, d] \triangleq [(c = -\infty ? a : \lfloor (a+c)/2 \rfloor), (d = \infty ? b : \lceil (b+d)/2 \rceil)]$
- The first method we tried in the end 70's with Radhia
 - Slow
 - Does not easily generalize (e.g. to polyhedra)

Interpolation with dual-narrowing

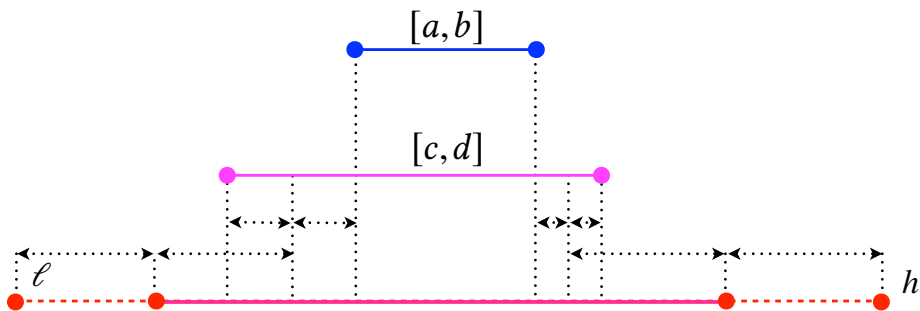


Relationship between narrowing and dual-narrowing

- $\tilde{\Delta} = \Delta^{-1}$
- $Y \subseteq X \implies Y \subseteq X \Delta Y \subseteq X$ (narrowing)
- $Y \subseteq X \implies Y \subseteq Y \tilde{\Delta} X \subseteq X$ (dual-narrowing)
- Example: Craig interpolation
- Why not use a bounded widening (bounded by B)?
 - $F(X) \subseteq B \implies F(X) \subseteq F(X) \tilde{\Delta} B \subseteq B$ (dual-narrowing)
 - $X \subseteq F(X) \subseteq B \implies F(X) \subseteq X \nabla_B F(X) \subseteq B$ (bounded widening)

Example of widenings (cont'd)

- Bounded widening (in $[\ell, h]$):



$$[a, b] \nabla_{[\ell, h]} [c, d] \triangleq \left[\frac{c+a-2\ell}{2}, \frac{b+d+2h}{2} \right]$$

Conclusion

- Abstract interpretation in infinite domains is traditionally by **iteration with widening/narrowing**.
- We shown how to use **iteration with dual-narrowing**.
- These ideas of the 70's **generalize Craig interpolation from logic to arbitrary abstract domains**.

The End, Thank You