

The hierarchy of analytic semantics of weakly consistent parallelism

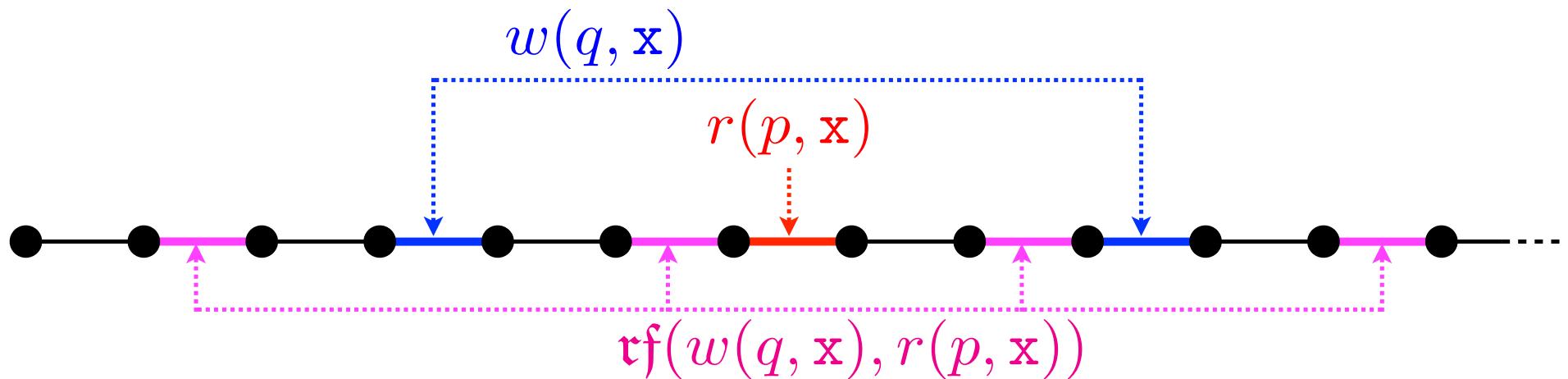
Jade Alglave (MSR-Cambridge, UCL, UK)
Patrick Cousot (NYU, Emer. ENS, PSL)

IMDEA seminar
Madrid
Tuesday, May 24th, 2016 — 11:00 AM

Analytic semantics

Weak consistency models (WCM)

- Sequential consistency:
reads $r(p, x)$ are *implicitly coordinated* with writes $w(q, x)$
- WCM:
No implicit coordination (depends on architecture, program dependencies, and explicit fences)



Analytic semantic specification

- Anarchic semantics:
describes computations, no constraints on communications
- cat specification (Jade Alglave & Luc Maranget):
imposes architecture-dependent communication constraints
- Hierarchy of anarchic semantics:
many different styles to describe the same computations (e.g. stateless/stateful, interleaved versus true parallelism)

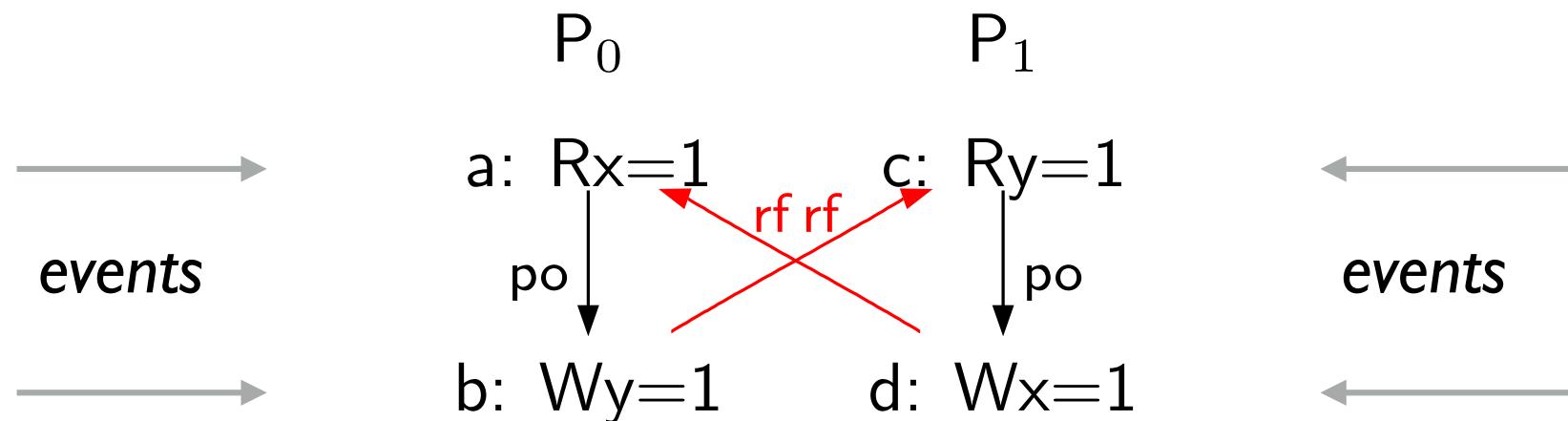
Example: load buffer (LB)

- Program:
$$\begin{array}{l} \{ x = 0; y = 0; \} \\ P0 \quad \mid \quad P1 \quad ; \\ r[] \ r1 \ x \mid r[] \ r2 \ y \ ; \\ w[] \ y \ 1 \mid w[] \ x \ 1 \ ; \\ \text{exists}(0:r1=1 \wedge 1:r2=1) \end{array}$$

- Example of execution trace $t \in S^\perp \llbracket P \rrbracket$:

$t = w(\text{start}, x, 0) \ w(\text{start}, y, 0) \ r(P0, x, 1) \ \text{rf}[w(P1, x, 1), r(P0, x, 1)] \ w(P0, y, 1) \ r(P1, y, 1)$
 $w(P1, x, 1) \ \text{rf}[w(P0, y, 1), r(P1, y, 1)] \ r(\text{finish}, x) \ \text{rf}[w(P1, x, 1), r(\text{finish}, x, 1)]$
 $r(\text{finish}, y, 1) \ \text{rf}[w(P0, y, 1), r(\text{finish}, y, 1)]$

- Abstraction to cat *candidate execution* $\alpha_\Xi(t)$:



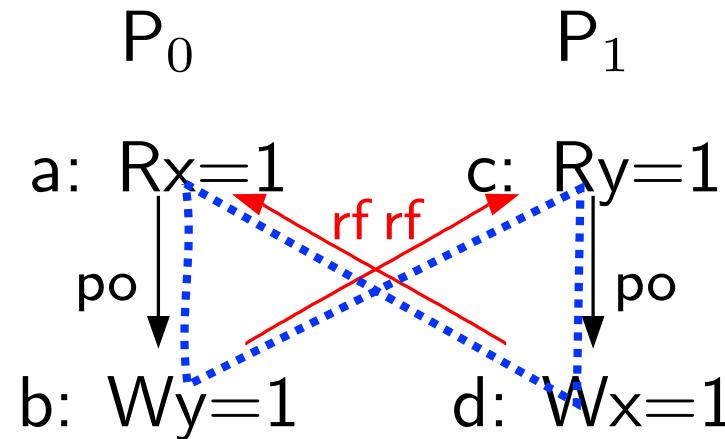
Example: load buffer (LB), cont'd

- cat specification:

acyclic (po | rf) +

The cat semantics rejects this execution $\alpha_{\Xi}(t)$:

 $\llbracket \text{cat} \rrbracket (\alpha_{\Xi}(t)) = \text{false}$



- The herd7 tool: virginia.cs.ucl.ac.uk/herd/

The WCM semantics

- Abstraction to a candidate execution:

$$\begin{aligned}\alpha_{\Xi}(t) &\triangleq \langle \alpha_e(t), \alpha_{po}(t), \alpha_{rf}(t), \alpha_{iw}(t), \alpha_{fw}(t) \rangle \\ \alpha_{\Xi}(S) &\triangleq \{\langle t, \alpha_{\Xi}(t) \rangle \mid t \in S\}\end{aligned}$$

- The cat semantics:

$$\alpha_{\text{cat}}[\![\text{cat}]\!](S) \triangleq \{t \mid \langle t, \Xi \rangle \in S \wedge \text{cat}_{\Xi}[\![\text{cat}]\!](\Xi)\}$$

- The WCM semantics:

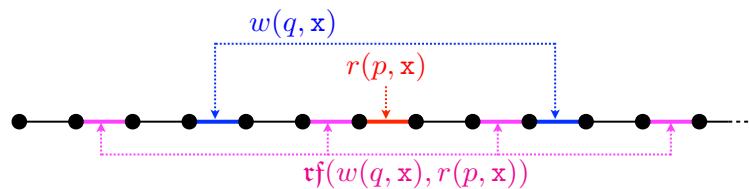
$$\alpha_{\text{cat}}[\![\text{cat}]\!] \circ \alpha_{\Xi}(S[\![\text{P}]\!])$$

$$\text{GC: } \langle \wp(\mathfrak{E}^{+\infty}), \subseteq \rangle \xleftarrow[\alpha_{\Xi}]{} \langle \wp(\mathfrak{E}^{+\infty} \times \Xi), \subseteq \rangle \xrightarrow[\alpha_{\text{cat}}[\![\text{cat}]\!]]{} \langle \wp(\mathfrak{E}^{+\infty}), \subseteq \rangle$$

Definition of the anarchic semantics

Axiomatic parameterized definition of the anarchic semantics

- The semantics $S^{\perp}[\![P]\!]$ is a finite/infinite **sequence of interleaved events of processes** satisfying well-formedness conditions.
- Events:
 - local computations and tests on registers
 - start writing a shared variable $w(q, x)$
 - start reading of shared variable $r(p, x)$
 - communication event $\text{rf}(w(q, x), r(p, x))$



Axiomatic parameterized definition of the anarchic semantics

- Examples of language independent well-formedness conditions of a semantics S :
 - uniqueness of events

$$\forall t \in S . \forall t_1, t_2 \in \mathfrak{E}^*, t_3 \in \mathfrak{E}^{*\infty} . \forall e, e' \in \mathfrak{E} . (t = t_1 \ e \ t_2 \ e' \ t_3) \implies (e \neq e') . \quad (\text{Wf}_1(S))$$

- traces start with an initialization of the shared variables $(\text{Wf}_2(S))$

$t = w(\text{start}, x, 0) \ w(\text{start}, y, 0) \ r(P0, x, 1) \ \text{rf}[w(P1, x, 1), r(P0, x, 1)] \ w(P0, y, 1) \ r(P1, y, 1)$
 $w(P1, x, 1) \ \text{rf}[w(P0, y, 1), r(P1, y, 1)] \ r(\text{finish}, x) \ \text{rf}[w(P1, x, 1), r(\text{finish}, x, 1)]$
 $r(\text{finish}, y, 1) \ \text{rf}[w(P0, y, 1), r(\text{finish}, y, 1)]$

Axiomatic parameterized definition of the anarchic semantics

- Examples of language independent well-formedness conditions of a semantics S :
 - finite traces are maximal

$$\forall t \in S \cap \mathfrak{E}^+. \nexists t' \in \mathfrak{E}^{+\infty}. t t' \in S. \quad (\text{Wf}_3(S))$$

- the final value of shared variables in finite traces is known thanks to a final read $(\text{Wf}_4(S))$

$t = w(\text{start}, x, 0) \ w(\text{start}, y, 0) \ r(P0, x, 1) \ \text{rf}[w(P1, x, 1), r(P0, x, 1)] \ w(P0, y, 1) \ r(P1, y, 1)$
 $w(P1, x, 1) \ \text{rf}[w(P0, y, 1), r(P1, y, 1)] \ r(\text{finish}, x) \ \text{rf}[w(P1, x, 1), r(\text{finish}, x, 1)]$
 $r(\text{finish}, y, 1) \ \text{rf}[w(P0, y, 1), r(\text{finish}, y, 1)]$

Axiomatic parameterized definition of the anarchic semantics

- Examples of language independent well-formedness conditions of a semantics S :
 - **read events must be satisfied by a unique communication event**

$$\forall t \in S . \forall t_1 \in \mathfrak{E}^*, t_2 \in \mathfrak{E}^{*\infty} . (t = t_1 r(p, \mathbf{x}) t_2) \implies (\exists t_3 \in \mathfrak{E}^*, t_4 \in \mathfrak{E}^{*\infty} . t = t_3 \mathfrak{rf}[w(q, \mathbf{x}), r(p, \mathbf{x})] t_4) . \quad (\text{Wf}_5(S))$$

$$\forall t \in S . \forall t_1, t_2 \in \mathfrak{E}^*, t_3 \in \mathfrak{E}^{*\infty} . \\ (t \neq t_1 \mathfrak{rf}[w(q, \mathbf{x}), r(p, \mathbf{x})] t_2 \mathfrak{rf}[w'(q', \mathbf{x}), r(p, \mathbf{x})] t_3) . \quad (\text{Wf}_6(S))$$

Axiomatic parameterized definition of the anarchic semantics

- Examples of language independent well-formedness conditions of a semantics S :
 - communications cannot be spontaneous (must be originated by a read *and* a write)

$$\forall t \in S . \forall t_1 \in \mathfrak{E}^*, t_2 \in \mathfrak{E}^{*\infty} . (t = t_1 \text{ rf}[w(q, \mathbf{x}), r(p, \mathbf{x})] t_2) \implies (\exists t_3 \in \mathfrak{E}^*, t_4 \in \mathfrak{E}^{*\infty} . t = t_3 w(q, \mathbf{x}) t_4 \wedge \exists t_5 \in \mathfrak{E}^*, t_6 \in \mathfrak{E}^{*\infty} . t = t_5 r(p, \mathbf{x}) t_6) . \quad (\text{Wf}_7(S))$$

Axiomatic parameterized definition of the anarchic semantics

- The language :

- Programs : $\text{initialisation } \llbracket P_1 \parallel \dots \parallel P_n \rrbracket \text{ finalisation}$

- Actions (labelled $\ell \in \mathbb{L}(p)$) :

$a ::= m$	imperative actions	marker
$r := e$		assignment
$r := x$		read of shared variable x
$x := e$		write of shared variable x
$b \mid \neg b$	conditional actions	test

- Next action : $\text{next}(p, \ell)$ $\text{nextt}(p, \ell)$ $\text{nextf}(p, \ell)$

\uparrow
 \uparrow
for tests

Axiomatic parameterized definition of the anarchic semantics

- Example of language-dependent well-formedness condition:
computation (markers: skip, fence, begin/end of rmw)

Any process p Any point k Any label ℓ
in trace of p

marker event by
process p in trace τ

$$\forall p \in \mathbb{P}_i . \forall k \in [1, 1 + |\tau|] . \forall \ell \in \mathbb{L}(p) .$$

$$(\exists \theta \in \mathfrak{P}(p) . \bar{\tau}_k = \mathfrak{m}(\langle p, \ell, m, \theta \rangle))$$

$$\implies (\ell \in N^p(\tau, k) \wedge \text{action}(p, \ell) = m) .$$

(Wf₂₁(τ))

(unique) event
stamp θ

control of process
 p is at label ℓ

action of process p
is at label ℓ is the
marker action m

Axiomatic parameterized definition of the anarchic semantics

- Example of language-dependent well-formedness condition: **computation** (local variable assignment)

*register assignment event
by process p in trace τ*

(unique) event stamp θ

$$\forall p \in \mathbb{P}^i . \forall k \in]1, 1 + |\tau|[. \forall \ell \in \mathbb{L}(p) . \forall v \in \mathcal{D} . \quad (\text{Wf}_{22}(\tau))$$

$$(\exists \theta \in \mathfrak{P}(p) . \bar{\tau}_k = \mathfrak{a}(\langle p, \ell, \mathbf{r} := e, \theta \rangle, v))$$
$$\implies (\ell \in N^p(\tau, k) \wedge \text{action}(p, \ell) = \mathbf{r} := e \wedge v = E^p[\![e]\!](\tau, k - 1)) .$$

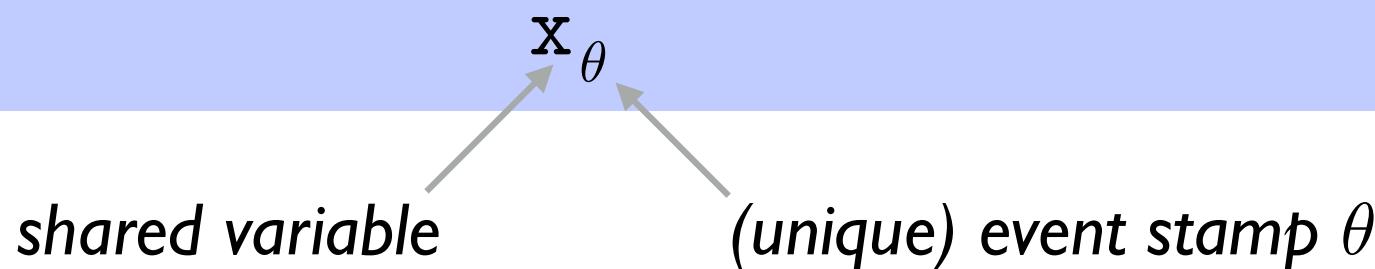
*control of process
 p is at label ℓ*

*action of process p
is at label ℓ is a
register assignment*

*value v of e is
evaluated by past-
travel*

Media variables

- With WCM there is **no notion of “the current value of shared variable x ”**
- At a given time each process may read a **different value** of the shared variable x (maybe guessed or unknown since a read may read from a future write)
- We use **media variables** (to record the values communicated between a write and read, whether the two accesses are on the same process or not)



Axiomatic parameterized definition of the anarchic semantics

- Example: communication

- a read event is initiated by a read action:

read event by process p in trace τ

$$\forall p \in \mathbb{P}^i . \forall k \in]1, 1 + |\tau|[. \forall \ell \in \mathbb{L}(p) .$$

$$(\exists \theta \in \mathfrak{P}(p) . (\bar{\tau}_k = \mathbf{r}(\langle p, \ell, \mathbf{r} := \mathbf{x}, \theta \rangle, \mathbf{x}_\theta)))$$

$$\implies (\ell \in N^p(\tau, k) \wedge \text{action}(p, \ell) = \mathbf{r} := \mathbf{x}) .$$

unique media variable

(Wf₂₃(τ))

- a read must read-from (rf) a write (weak fairness):

$$\forall p \in \mathbb{P}^i . \forall i \in]1, 1 + |\tau|[. \forall r \in \mathfrak{R}^i(p) .$$

(Wf₂₆(τ))

$$(\bar{\tau}_i = r) \implies (\exists j \in]1, 1 + |\tau|[. \exists w \in \mathfrak{W}^i . \bar{\tau}_j = \mathbf{rf}[w, r]) .$$

communication (read-from) event

Axiomatic parameterized definition of the anarchic semantics

- Predictive evaluation of media variables:

$$V_{(32)}^p[\![\mathbf{x}_\theta]\!](\tau, k) \triangleq v \text{ where } \exists!i \in [1, 1 + |\tau|] . (\bar{\tau}_i = \mathbf{r}(\langle p, \ell, \mathbf{r} := \mathbf{x}, \theta \rangle, \mathbf{x}_\theta)) \wedge \\ \exists!j \in [1, 1 + |\tau|] . (\bar{\tau}_j = \mathbf{rf}[\mathbf{w}(\langle p', \ell', \mathbf{r} := e', \theta' \rangle, v), \bar{\tau}_i])$$

- Local past-travel evaluation of an expression:

$$E_{(30)}^p[\![\mathbf{r}]\!](\tau, k) \triangleq v \quad \text{if } k > 1 \wedge ((\bar{\tau}_k = \mathbf{a}(\langle p, \ell, \mathbf{r} := e, \theta \rangle, v)) \vee \\ (\bar{\tau}_k = \mathbf{r}(\langle p, \ell, \mathbf{r} := \mathbf{x}, \theta \rangle, \mathbf{x}_\theta) \wedge V^p[\![\mathbf{x}_\theta]\!](\tau, k) = v))$$

$$E_{(30)}^p[\![\mathbf{r}]\!](\tau, 1) \triangleq I[\![0]\!] \quad \text{i.e. } \bar{\tau}_1 = \epsilon_{\text{start}} \text{ by Wf}_{15}(\tau)$$

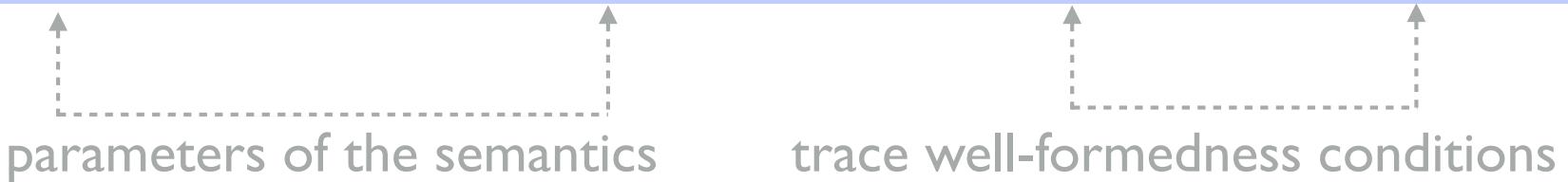
$$E_{(30)}^p[\![\mathbf{r}]\!](\tau, k) \triangleq E_{(30)}^p[\![\mathbf{r}]\!](\tau, k - 1) \quad \text{otherwise.}$$

Abstractions of the anarchic semantics

Abstractions

- Anarchic semantics:

$$S^+[\![P]\!] \triangleq \lambda \langle \mathcal{B}, \text{sat}, \mathcal{D}, I, \mathfrak{S}, V, E, N \rangle \bullet \{\tau \in \mathfrak{T}[\![P]\!] \mid \text{Wf}_1(\tau) \wedge \dots \wedge \text{Wf}_{29}(\tau)\}$$



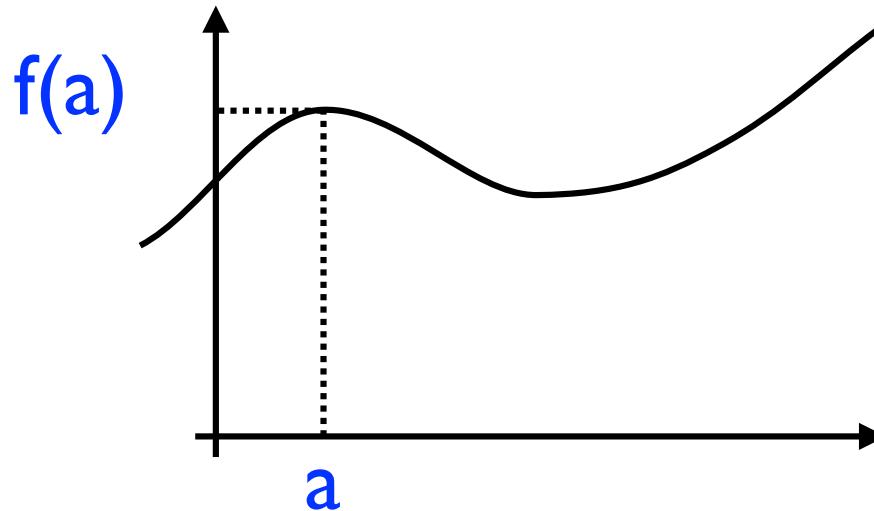
- Examples of abstractions:

- Choose data (e.g. ground values, uninterpreted symbolic expressions, interpreted symbolic expressions i.e. “symbolic guess”)
- Bind parameters (e.g. how expressions are evaluated)
- ...

Binding a parameter of the semantics

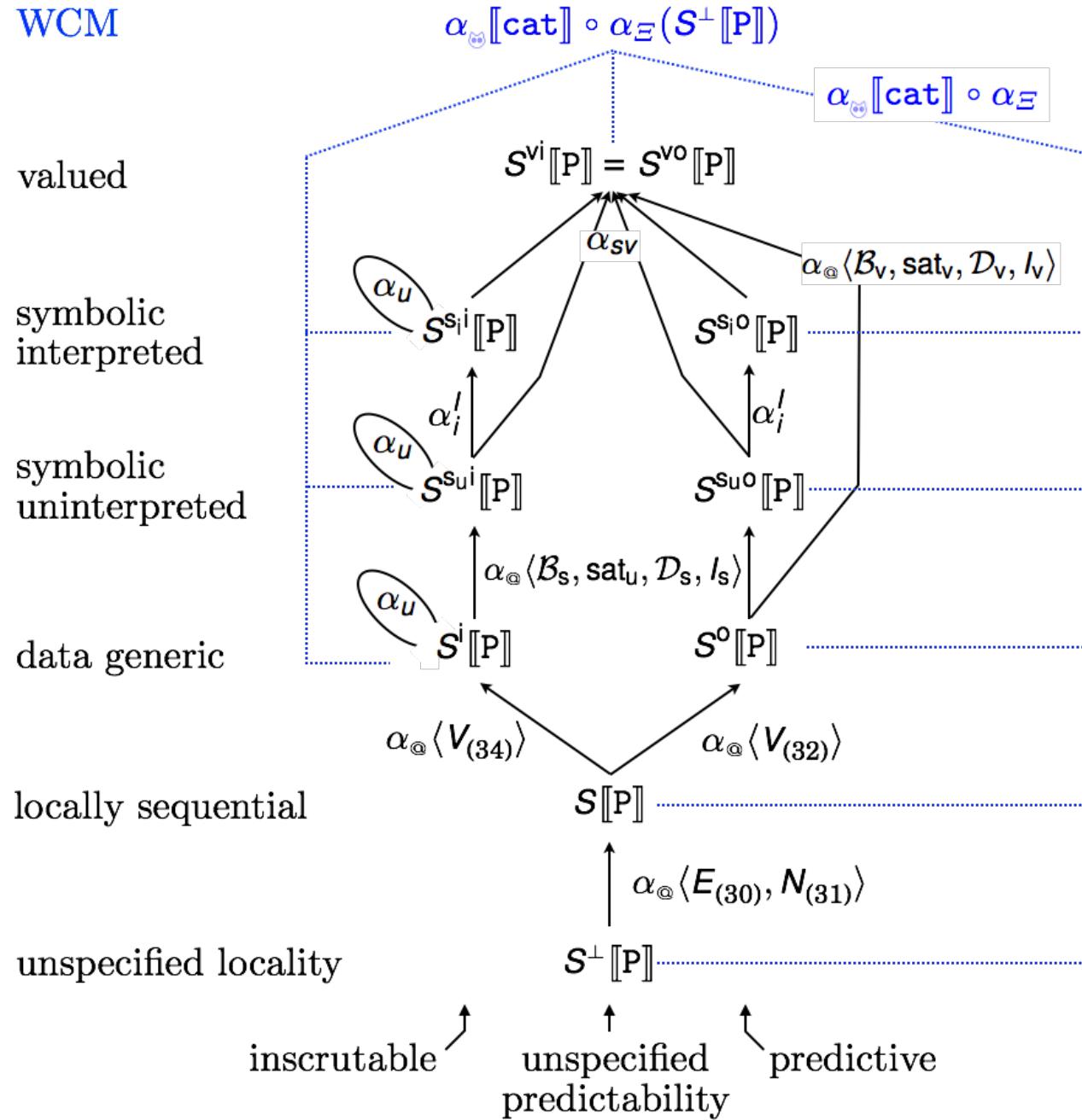
- The abstraction

$$\alpha_a(f) \stackrel{\text{def}}{=} f(a)$$



$$\langle \wp(A, B, \dots) \rightarrow \wp(R), \dot{\subseteq} \rangle \xrightleftharpoons[\alpha_a]{\gamma_a} \langle \wp(B, \dots) \rightarrow \wp(R), \dot{\subseteq} \rangle$$

The hierarchy of interleaved semantics



True parallelism with local communications

- Extract from interleaved executions:
 - The **subtrace of each process keeping communications** in the process that read
⇒ **no more global time** between processes
 - ⇒ **local time** between local actions and communications (a read can still tell when it is satisfied by which write)

True parallelism with local communications

- Interleaved execution:

$t = w(\text{start}, x, 0) \ w(\text{start}, y, 0) \ r(P0, x, 1) \ \text{rf}[w(P1, x, 1), r(P0, x, 1)] \ w(P0, y, 1) \ r(P1, y, 1)$
 $w(P1, x, 1) \ \text{rf}[w(P0, y, 1), r(P1, y, 1)] \ r(\text{finish}, x) \ \text{rf}[w(P1, x, 1), r(\text{finish}, x, 1)]$
 $r(\text{finish}, y, 1) \ \text{rf}[w(P0, y, 1), r(\text{finish}, y, 1)]$

global time

- Parallel executions with interleaved communications:

$t = \text{Initialization: } w(\text{start}, x, 0) \ w(\text{start}, y, 0)$

local time

P0: $r(P0, x, 1) \ \text{rf}[w(P1, x, 1), r(P0, x, 1)] \ w(P0, y, 1)$

local time

P1: $r(P1, y, 1) \ w(P1, x, 1) \ \text{rf}[w(P0, y, 1), r(P1, y, 1)]$

Finalization: $r(\text{finish}, x) \ \text{rf}[w(P1, x, 1), r(\text{finish}, x, 1)]$
 $r(\text{finish}, y, 1) \ \text{rf}[w(P0, y, 1), r(\text{finish}, y, 1)]$

True parallelism of computations and communications

- Extract from interleaved executions:
 - The **subtrace of each process** (sequential execution of actions)
 - The **rf communication relation** (interactions between processes)
- ⇒ no more **global time** between processes
- ⇒ no more **global/local time** for communications

True parallelism with separate communications

- Parallel executions with interleaved communications:

Initialization: $w(\text{start}, \text{x}, 0)$ $w(\text{start}, \text{y}, 0)$

P0: $r(\text{P0}, \text{x}, 1)$ $w(\text{P0}, \text{y}, 1)$ $\xrightarrow{\text{local time}}$

P1: $r(\text{P1}, \text{y}, 1)$ $w(\text{P1}, \text{x}, 1)$ $\xrightarrow{\text{local time}}$

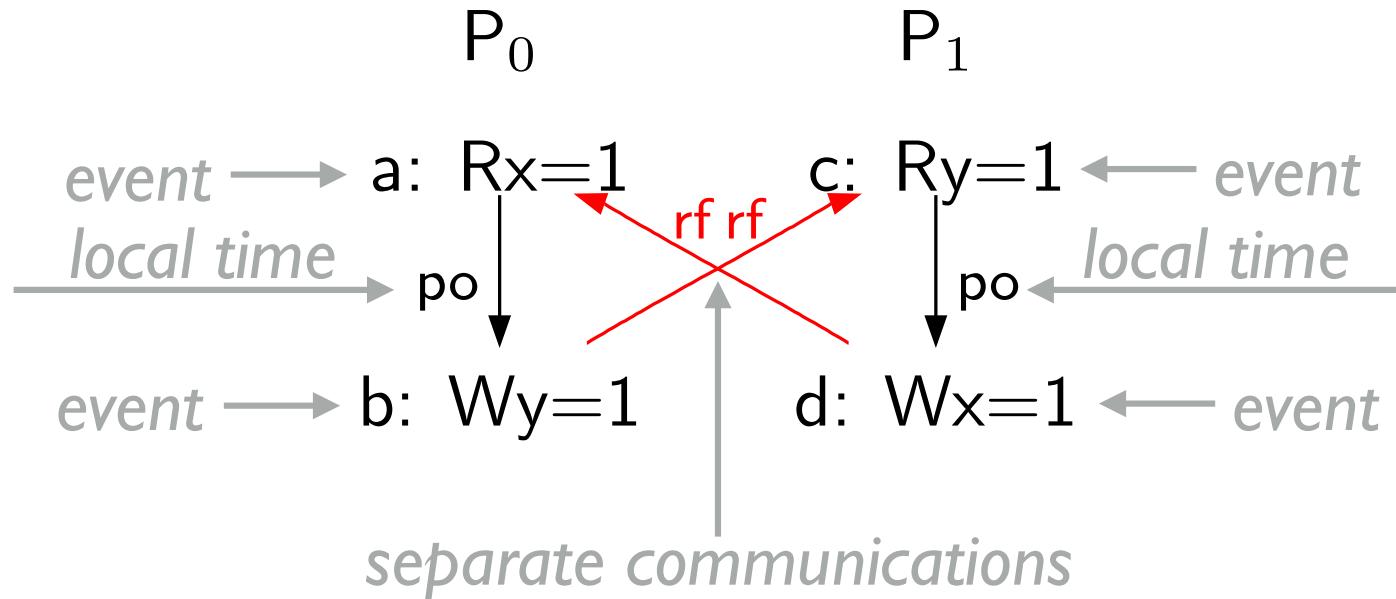
Finalization: $r(\text{finish}, \text{x})$ $\text{rf}[w(\text{P1}, \text{x}, 1), r(\text{finish}, \text{x}, 1)]$
 $r(\text{finish}, \text{y}, 1)$ $\text{rf}[w(\text{P0}, \text{y}, 1), r(\text{finish}, \text{y}, 1)]$

Communications:

$\{ \text{rf}[w(\text{P1}, \text{x}, 1), r(\text{P0}, \text{x}, 1)], \text{rf}[w(\text{P0}, \text{y}, 1), r(\text{P1}, \text{y}, 1)] \}$

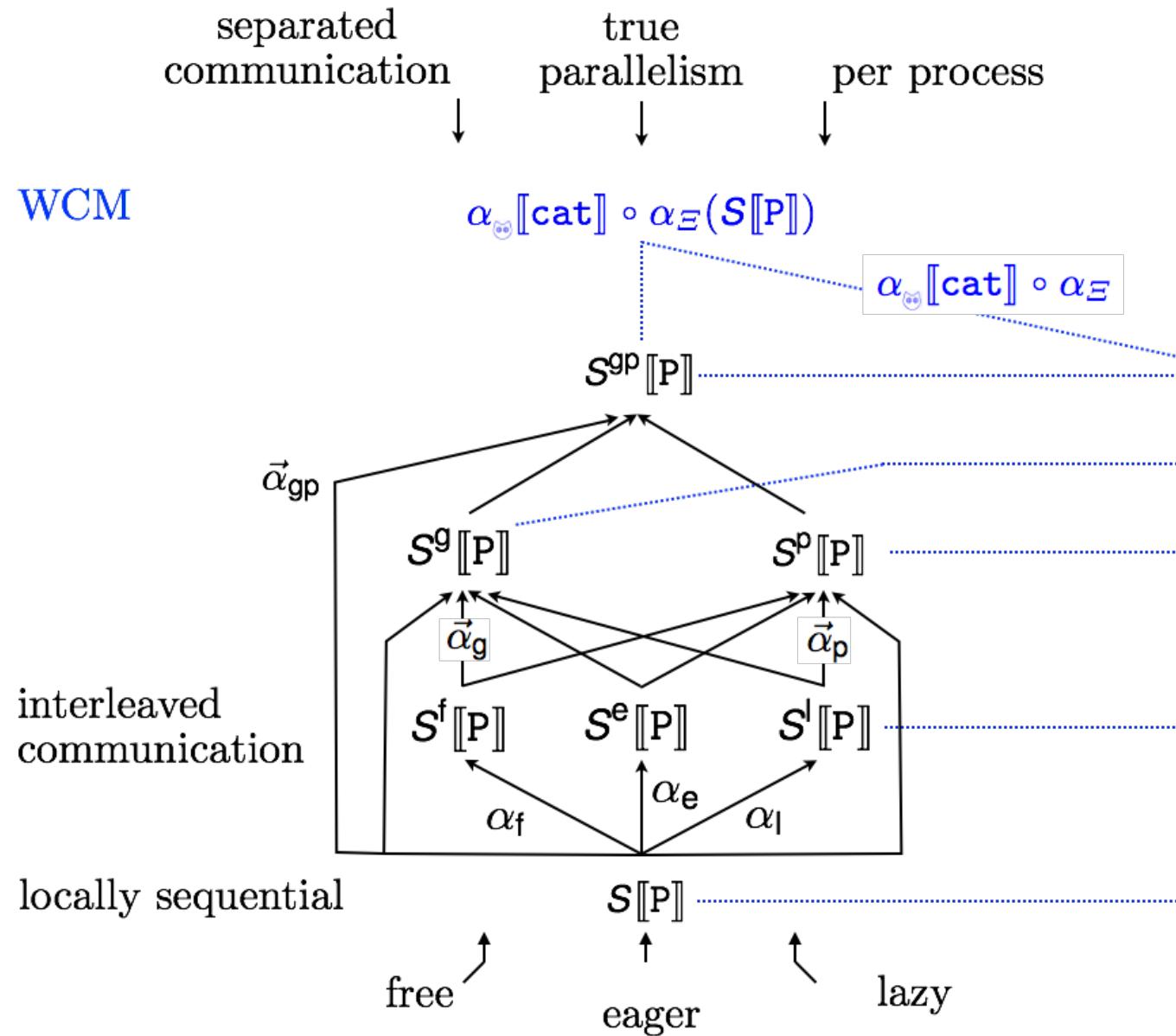
True parallelism with separate communications

- This is the semantics used by the herd7 tool:



+ interpreted symbolic expressions i.e. “symbolic guess”

The true parallelism hierarchy



States

- At each point in a trace, the state abstracts the past computation history up to that point
- Example: classical environment (assigning values to register at each point k of the trace):

$$\rho^p(\tau, k) \triangleq \lambda r \in \mathbb{R}(p) \bullet E^p[r](\tau, k)$$

$$\nu^p(\tau, k) \triangleq \lambda x_\theta \bullet V_{(32)}^p[x_\theta](\tau, k)$$

Prefixes, transitions, ...

- Abstract traces by their prefixes:

$$\overleftarrow{\alpha}(S) \triangleq \bigcup\{\overleftarrow{\alpha}(\tau) \mid \tau \in S\}$$

$$\overleftarrow{\alpha}(\tau) \triangleq \{\tau[j] \mid j \in [1, 1 + |\tau|[]]\}$$

$$\tau[j] \triangleq \langle \xrightarrow{\bar{\tau}_i} \underline{\tau}_i \mid i \in [1, 1 + j[]] \rangle$$

- and transitions: extract transitions from traces

⇒ communication fairness is lost, inexact abstraction,
⇒ add fairness condition
⇒ impossible to implement with a scheduler (\neq process fairness)

Effect of the cat specification on the hierarchy

Exactness and cat preservation

$$\alpha_{\text{cat}}[\text{cat}] \circ \alpha_{\Xi}(\alpha(S^{\perp}[P])) = \\ \alpha(\alpha_{\text{cat}}[\text{cat}] \circ \alpha_{\Xi}(S^{\perp}[P]))$$

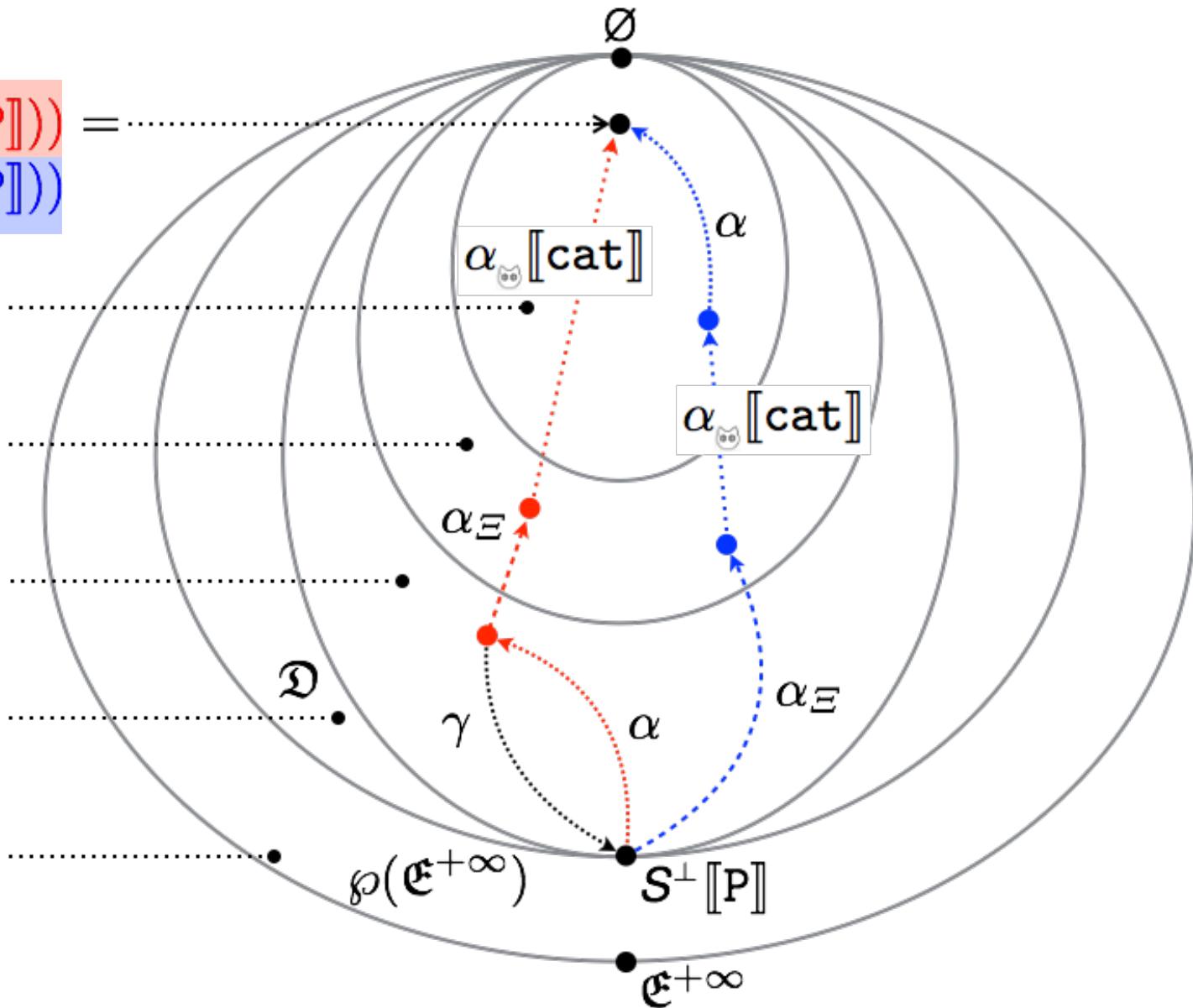
WCM semantics

Concurrent
execution semantics

Exact and cat-
preserving semantics

Anarchic semantics

Semantics



The cat abstraction

- The same cat specification $\alpha_{\text{cat}} \llbracket \text{cat} \rrbracket$ applies equally to any concurrent execution abstraction α_E of any interleaved/truly parallel semantics in the hierarchy
- The appropriate level of abstraction to specify WCM:
 - No states, only marker (e.g. fence), r, w, rf(w,r) events
 - No values in events
 - No global time (only po order of events per process)
 - Time of communications forgotten (only rf of who communicates with whom)

Conclusion

Conclusion

- **Analytic semantics**: a new style of semantics
- The hierarchy of **anarchic semantics** describes the same computations and potential communications in very different styles
- The **cat semantics** restricts communications to a machine/network architecture in the same way for all semantics in the hierarchy
- This idea of **parameterized semantics at various levels of abstraction** is useful for
 - Verification
 - Static analysis

The End