

Is Peter Correct or Incorrect?

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Peter's Incorrectness Logic

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We explore our hypothesis by defining incorrectness logic, a formalism that is similar to Hoare's logic of program correctness [Hoare 1969], except that it is oriented to proving incorrectness rather than correctness.

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- **Is it?**

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- Such as ``necessary preconditions''

The concept of *necessary precondition* [Cousot et al. 2013] is related. A necessary precondition for a program is a predicate which, whenever falsified, leads to divergence or an error, but never to successful termination.

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there are programs for which no non-trivial necessary pre-condition exists (e.g., `skip + error()`),
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- Should he?

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In summary, there is a rich variety of problems for both experimental and theoretical work to bring the foundations of reasoning about program incorrectness onto a par with the extensively developed foundations for correctness.

An *A Parte* on Singularities of Logics

Emptiness versus Universality

- **Emptiness**: some programs satisfy no formula of the logic
 - Ex. 1: a potentially nonterminating program satisfies no formula of the Manna-Pnueli total correctness logic
 - Ex. 2: Peter's example for "necessary preconditions"

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- Same in logic: false is never satisfied and true is always satisfied

Foundations of Reasoning on Logics

Method to design a program transformational logics

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3. Calculate the theory $\alpha(\{\llbracket S \rrbracket_{\perp}\})$ in **structural fixpoint form** by **fixpoint abstraction**
4. Calculate the **proof system** by **fixpoint induction** and **Aczel correspondence** between fixpoints and deductive systems

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The Design of Hoare Incorrectness Logic ($\overline{\text{HL}}$)

I) Relational semantics

I. Angelic relational semantics $\llbracket S \rrbracket^e$

- Syntax*:

$S \in \mathcal{S} ::= x = A \mid \text{skip} \mid S;S \mid \text{if } (B) S \text{ else } S \mid \text{while } (B) S$

- States: Σ

- Angelic relational semantics: $\llbracket S \rrbracket^e \in \wp(\Sigma \times \Sigma)$

ends

$\llbracket S \rrbracket^e \in \wp(\Sigma \times \Sigma)$

I. Angelic relational semantics $\llbracket S \rrbracket$ (in deductive form)

- Notations using judgements:

- $\sigma \vdash S \xRightarrow{e} \sigma'$ for $\langle \sigma, \sigma' \rangle \in \llbracket S \rrbracket^e$

- $\sigma \vdash \text{while}(B) S \xRightarrow{i} \sigma'$ for σ leads to σ' after 0 or more iterations

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- Semantics of the conditional iteration* $W = \text{while}(B) S$:

$$\begin{array}{l} \text{(a)} \quad \sigma \vdash W \xRightarrow{i} \sigma \\ \text{(b)} \quad \frac{\mathcal{B}[\text{B}]\sigma, \quad \sigma \vdash S \xRightarrow{e} \sigma', \quad \sigma' \vdash W \xRightarrow{i} \sigma''}{\sigma \vdash W \xRightarrow{i} \sigma''} \end{array} \quad (2)$$

$$\text{(a)} \quad \frac{\sigma \vdash W \xRightarrow{i} \sigma', \quad \mathcal{B}[\neg\text{B}]\sigma'}{\sigma \vdash W \xRightarrow{e} \sigma'} \quad (3)$$

I. Angelic relational semantics $\llbracket S \rrbracket$ (in fixpoint form)

- Semantics of the conditional iteration* $W = \text{while}(B) S$:

$$F^e(X) \triangleq \text{id} \cup (\llbracket B \rrbracket \circ \llbracket S \rrbracket^e \circ X), \quad X \in \wp(\Sigma \times \Sigma) \quad (49)$$

$$\llbracket \text{while } (B) S \rrbracket^e \triangleq \text{lfp}^{\subseteq} F^e \circ \llbracket \neg B \rrbracket \quad (51)$$

- Derived using Aczel correspondence between deductive systems and set-theoretic fixpoints (forthcoming)

II) Abstraction of the semantics to the theory

Exact abstractions

Abstraction

- Hyper properties to properties abstraction:

$$\langle \wp(\wp(\Sigma \times \Sigma)), \sqsubseteq \rangle \xrightleftharpoons[\alpha_C]{\gamma_C} \langle \wp(\Sigma \times \Sigma), \sqsubseteq \rangle \quad \alpha_C(P) \triangleq \bigcup P \quad \gamma_C(S) \triangleq \wp(S)$$

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- Post-image isomorphism:

$$\langle \wp(\Sigma \times \Sigma), \sqsubseteq \rangle \xrightleftharpoons[\text{post}]{\widetilde{\text{pre}}} \langle \wp(\Sigma) \rightarrow \wp(\Sigma), \sqsubseteq \rangle \quad \text{post}(R) \triangleq \lambda P \cdot \{ \sigma' \mid \exists \sigma \in P \wedge \langle \sigma, \sigma' \rangle \in R \}$$
$$\widetilde{\text{pre}}(R) \triangleq \lambda X \cdot \{ \sigma \mid \forall \sigma' \in Q . \langle \sigma, \sigma' \rangle \in R \}$$

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- Graph isomorphism (a function is isomorphic to its graph, which is a functional relation):.../...

$$\langle \wp(\Sigma) \rightarrow \wp(\Sigma), = \rangle \xrightleftharpoons[\alpha_G]{\gamma_G} \langle \wp_{\text{fun}}(\wp(\Sigma) \times \wp(\Sigma)), = \rangle \quad f \in \wp(\Sigma) \rightarrow \wp(\Sigma)$$

$$\alpha_G(f) = \{ \langle P, f(P) \rangle \mid P \in \wp(\Sigma) \}$$

$$\gamma_G(R) \triangleq \lambda P \cdot (Q \text{ such that } \langle P, S \rangle \in R)$$

Abstraction

- Negation abstraction:

$X \in \wp(\mathcal{X}), \alpha^{-1}(X) \triangleq \neg X$ (where $\neg X \triangleq \mathcal{X} \setminus X$)

$$\langle \wp(\mathcal{X}), \sqsubseteq \rangle \begin{array}{c} \xleftarrow{\alpha^{-1}} \\ \xrightarrow{\alpha^{-1}} \end{array} \langle \wp(\mathcal{X}), \supseteq \rangle \quad \text{and} \quad \langle \wp(\mathcal{X}), \supseteq \rangle \begin{array}{c} \xleftarrow{\alpha^{-1}} \\ \xrightarrow{\alpha^{-1}} \end{array} \langle \wp(\mathcal{X}), \sqsubseteq \rangle$$

Consequence approximation

Approximation abstraction

- The component wise approximation:

$$\langle x, y \rangle \sqsubseteq, \leq \langle x', y' \rangle \triangleq x \sqsubseteq x' \wedge y \leq y'$$

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- The component wise approximation:

$$\langle x, y \rangle \sqsubseteq, \preceq \langle x', y' \rangle \triangleq x \sqsubseteq x' \wedge y \preceq y'$$

- Over-approximation:

$$\text{post}(\sqsubseteq, \preceq) = \lambda R. \{ \langle P, Q \rangle \mid \exists \langle P', Q' \rangle \in R. P \sqsubseteq P' \wedge Q' \preceq Q \}$$

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- Strongest postcondition logic (SL): $\mathcal{T}(s) \triangleq \alpha_G \circ \text{post} \circ \alpha_C(\{\llbracket s \rrbracket\})$
 $= \{ \langle P, \text{post} \llbracket s \rrbracket P \rangle \mid P \in \wp(\Sigma) \}$

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- Hoare logic (HL): $\mathcal{T}_{\text{HL}}(s) \triangleq \text{post}(\exists.\sqsubseteq) \circ \mathcal{T}(s)$

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- Hoare logic (HL): $\mathcal{T}_{\text{HL}}(s) \triangleq \text{post}(\exists.\sqsubseteq) \circ \mathcal{T}(s)$
- Incorrectness logic (IL): $\mathcal{T}_{\text{IL}}(s) \triangleq \text{post}(\sqsubseteq.\exists) \circ \mathcal{T}(s)$

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- Hoare logic (HL): $\mathcal{T}_{\text{HL}}(S) \triangleq \text{post}(\exists.\sqsubseteq) \circ \mathcal{T}(S)$
- Incorrectness logic (IL): $\mathcal{T}_{\text{IL}}(S) \triangleq \text{post}(\sqsubseteq.\exists) \circ \mathcal{T}(S)$
- Hoare incorrectness logic ($\overline{\text{HL}}$): $\mathcal{T}_{\overline{\text{HL}}}(S) \triangleq \text{post}(\exists.\sqsubseteq) \circ \alpha^\top \circ \mathcal{T}_{\text{HL}}(S)$

Comparing logics through their theories

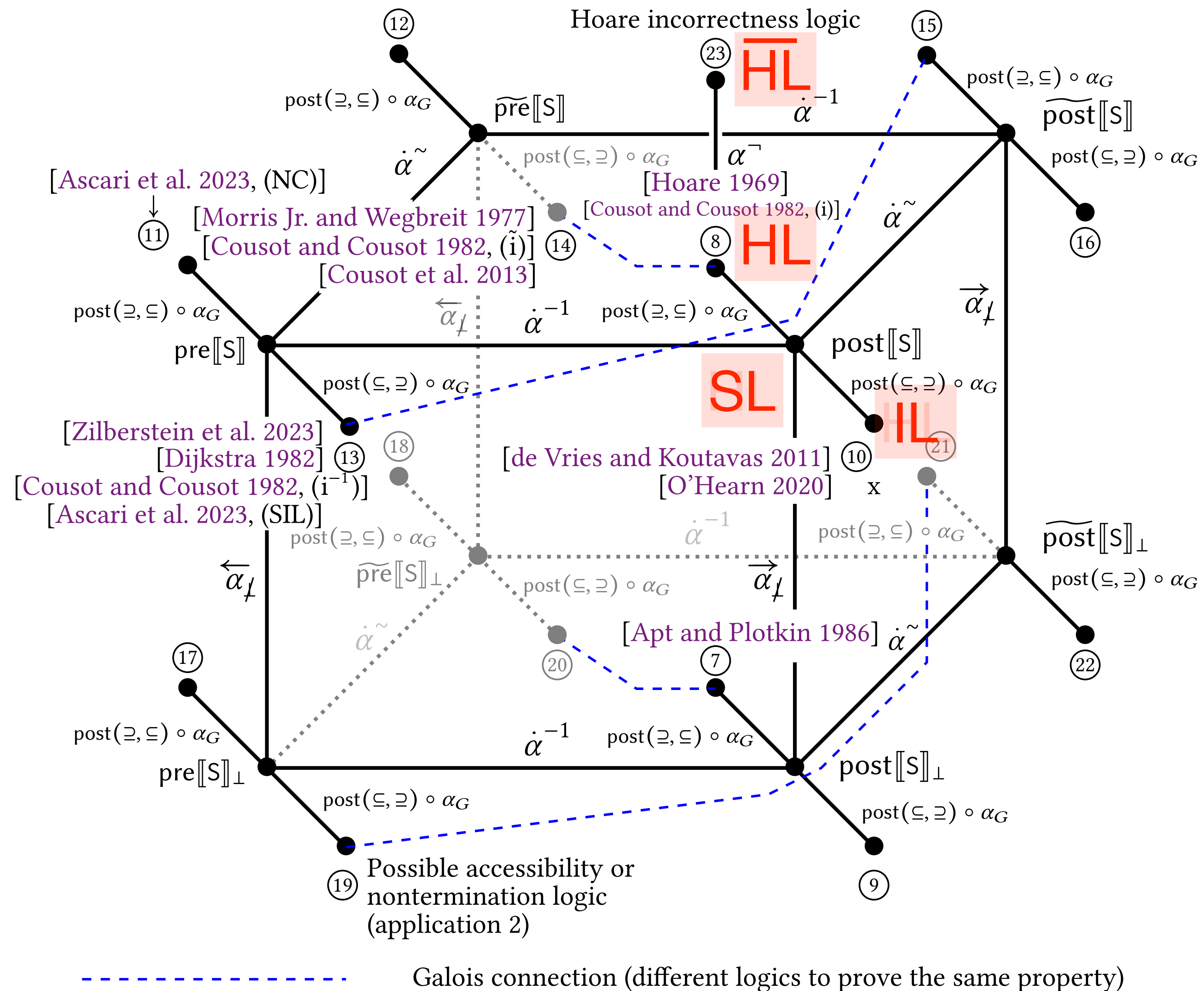


Fig. 3. Hierarchical taxonomy of transformational assertional logics

Fixpoint abstraction

2. Abstraction

- The abstraction of a fixpoint is a fixpoint (POPL 79)

THEOREM II.2.1 (FIXPOINT ABSTRACTION). If $\langle C, \sqsubseteq \rangle \xleftrightarrow[\alpha]{\gamma} \langle A, \preceq \rangle$ is a Galois connection between complete lattices $\langle C, \sqsubseteq \rangle$ and $\langle A, \preceq \rangle$, $f \in C \xrightarrow{i} C$ and $\bar{f} \in A \xrightarrow{i} A$ are increasing and commuting, that is, $\alpha \circ f = \bar{f} \circ \alpha$, then $\alpha(\text{lfp}^{\sqsubseteq} f) = \text{lfp}^{\preceq} \bar{f}$ (while semi-commutation $\alpha \circ f \preceq \bar{f} \circ \alpha$ implies $\alpha(\text{lfp}^{\sqsubseteq} f) \preceq \text{lfp}^{\preceq} \bar{f}$).

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- We get a fixpoint definition of the theory of strongest postconditions logic (SL)
- For the iteration $W = \text{while } (B) S :$

$$\mathcal{T}(W) \triangleq \{ \langle P, \text{post}[\neg B](\text{lfp}^{\sqsubseteq} \lambda X \cdot P \cup \text{post}(\llbracket B \rrbracket ; \llbracket S \rrbracket^e)X) \rangle \mid P \in \wp(\Sigma) \}$$

1 PROPERTIES OF STRONGEST POSTCONDITIONS

LEMMA 1.1 (COMPOSITION). $\text{post}(X \wp Y) = \text{post}(Y) \circ \text{post}(X)$.

PROOF OF LEM. 1.1.

$$\begin{aligned}
& \text{post}(X \wp Y) \\
= & \lambda P \cdot \{\sigma'' \mid \exists \sigma \in P . \langle \sigma, \sigma'' \rangle \in X \wp Y\} && \text{\{def. post\}} \\
= & \lambda P \cdot \{\sigma'' \mid \exists \sigma \in P . \exists \sigma' . \langle \sigma, \sigma' \rangle \in X \wedge \langle \sigma', \sigma'' \rangle \in Y\} && \text{\{def. \wp\}} \\
= & \lambda P \cdot \{\sigma'' \mid \exists \sigma' . \sigma' \in \{\sigma' \mid \exists \sigma \in P . \langle \sigma, \sigma' \rangle \in X\} \wedge \langle \sigma', \sigma'' \rangle \in Y\} && \text{\{def. \exists and \in\}} \\
= & \lambda P \cdot \{\sigma'' \mid \exists \sigma' \in \text{post}(X)P . \langle \sigma', \sigma'' \rangle \in Y\} && \text{\{def. post\}} \\
= & \lambda P \cdot \text{post}(Y)(\text{post}(X)P) && \text{\{def. post\}} \\
= & \text{post}(Y) \circ \text{post}(X) && \text{\{def. function composition \circ\}} \quad \square
\end{aligned}$$

LEMMA 1.2 (TEST). $\text{post}[\![\mathbf{B}]\!]P = P \cap \mathcal{B}[\![\mathbf{B}]\!]$.

PROOF OF LEM. 1.2.

$$\begin{aligned}
& \text{post}[\![\mathbf{B}]\!]P \\
= & \{\sigma' \mid \exists \sigma \in P . \langle \sigma, \sigma' \rangle \in [\![\mathbf{B}]\!]\} && \text{\{def. post\}} \\
= & \{\sigma \mid \sigma \in P \wedge \sigma \in \mathcal{B}[\![\mathbf{B}]\!]\} && \text{\{def. [\![\mathbf{B}]\!] \triangleq \{\langle \sigma, \sigma \rangle \mid \sigma \in \mathcal{B}[\![\mathbf{B}]\!]\}\}} \\
= & P \cap \mathcal{B}[\![\mathbf{B}]\!] && \text{\{def. intersection \cup\}} \quad \square
\end{aligned}$$

LEMMA 1.3 (STRONGEST POSTCONDITION). $\mathcal{T}(S) = \alpha_G \circ \text{post}[\![S]\!] = \{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\}$.

PROOF OF LEM. 1.3.

$$\begin{aligned}
& \mathcal{T}(S) \\
= & \alpha_G \circ \text{post} \circ \alpha_I \circ \alpha_C(\{\![S]\!_\perp\}) && \text{\{def. \mathcal{T}\}} \\
= & \alpha_G \circ \text{post} \circ \alpha_I(\![S]\!_\perp) && \text{\{def. \alpha_C\}} \\
= & \alpha_G \circ \text{post}(\![S]\!_\perp \cap (\Sigma \times \Sigma)) && \text{\{def. \alpha_I\}} \\
= & \alpha_G \circ \text{post}[\![S]\!] && \text{\{def. (1) of the angelic semantics [\![S]\!]\}} \\
= & \{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\} && \text{\{def. \alpha_G\}} \quad \square
\end{aligned}$$

LEMMA 1.4 (STRONGEST POSTCONDITION OVER APPROXIMATION).

$$\mathcal{T}_{\text{HL}}(S) \triangleq \text{post}(\supseteq, \subseteq) \circ \mathcal{T}(S) = \{\langle P, Q \rangle \mid \text{post}[\![S]\!]P \subseteq Q\} = \text{post}(=, \subseteq) \circ \mathcal{T}(S)$$

PROOF OF LEM. 1.4.

$$\begin{aligned}
& \text{post}(\supseteq, \subseteq) \circ \mathcal{T}(S) \\
= & \text{post}(\supseteq, \subseteq)(\mathcal{T}(S)) && \text{\{def. function composition \circ\}} \\
= & \text{post}(\supseteq, \subseteq)(\{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\}) && \text{\{Lem. 1.3\}} \\
= & \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle \in \{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\} . \langle \langle P, Q \rangle, \langle P', Q' \rangle \rangle \in \supseteq, \subseteq\} && \text{\{def. (10) of post\}} \\
= & \{\langle P', Q' \rangle \mid \exists P . \langle \langle P, \text{post}[\![S]\!]P \rangle, \langle P', Q' \rangle \rangle \in \supseteq, \subseteq\} && \text{\{def. \in\}} \\
= & \{\langle P', Q' \rangle \mid \exists P . \langle P, \text{post}[\![S]\!]P \rangle \supseteq, \subseteq \langle P', Q' \rangle\} && \text{\{def. \in\}} \\
= & \{\langle P', Q' \rangle \mid \exists P . P \supseteq P' \wedge \text{post}[\![S]\!]P \subseteq Q'\} && \text{\{def. \supseteq, \subseteq\}} \\
= & \{\langle P', Q' \rangle \mid \exists P . P' \subseteq P \wedge \text{post}[\![S]\!]P \subseteq Q'\} && \text{\{def. \supseteq\}}
\end{aligned}$$

$$\begin{aligned}
& = \{\langle P', Q' \rangle \mid \text{post}[\![S]\!]P' \subseteq Q'\} \\
& \quad \text{\{(\subseteq) by Galois connection (12), post is increasing so that } P' \subseteq P \wedge \text{post}[\![S]\!]P \subseteq Q' \text{ implies} \\
& \quad \text{post}[\![S]\!]P' \subseteq \text{post}[\![S]\!]P \wedge \text{post}[\![S]\!]P \subseteq Q' \text{ hence post}[\![S]\!]P' \subseteq Q' \text{ by transitivity;} \\
& \quad \text{(\supseteq) take } P = P'\} \\
= & \{\langle P', Q' \rangle \mid \exists P . P' = P \wedge \text{post}[\![S]\!]P \subseteq Q'\} && \text{\{def. =\}} \\
= & \{\langle P', Q' \rangle \mid \exists P . \langle P, \text{post}[\![S]\!]P \rangle =, \subseteq \langle P', Q' \rangle\} && \text{\{def. =, \subseteq\}} \\
= & \{\langle P', Q' \rangle \mid \exists P . \langle \langle P, \text{post}[\![S]\!]P \rangle, \langle P', Q' \rangle \rangle \in =, \subseteq\} && \text{\{def. \in\}} \\
= & \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle \in \{\langle P, \text{post}[\![S]\!]P \rangle \mid P \in \wp(\Sigma)\} . \langle \langle P, Q \rangle, \langle P', Q' \rangle \rangle \in =, \subseteq\} && \text{\{def. \in\}} \\
= & \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle \in \mathcal{T}(S) . \langle \langle P, Q \rangle, \langle P', Q' \rangle \rangle \in =, \subseteq\} && \text{\{Lem. 1.3\}} \\
= & \text{post}(=, \subseteq)(\mathcal{T}(S)) && \text{\{def. (10) of post\}} \\
= & \text{post}(=, \subseteq) \circ \mathcal{T}(S) && \text{\{def. function composition \circ\}} \quad \square
\end{aligned}$$

For simplicity, we consider conditional iteration $\mathbf{W} = \text{while } (\mathbf{B}) \ S$ with no break.

LEMMA 1.5 (COMMUTATION). $\text{post} \circ F'^e = \bar{F}^e \circ \text{post}$ where $\bar{F}^e(X) \triangleq \text{id} \dot{\cup} (\text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e) \circ X)$ and $F'^e \triangleq \lambda X \cdot \text{id} \cup (X \wp [\![\mathbf{B}]\!] \wp [\![S]\!]^e)$, $X \in \wp(\Sigma \times \Sigma)$ by (70).

PROOF OF LEM. 1.5.

$$\begin{aligned}
& \text{post}(F'^e(X)) && \text{\{where } X \in \wp(\Sigma)\}} \\
= & \text{post}(\text{id} \cup (X \wp [\![\mathbf{B}]\!] \wp [\![S]\!]^e)) && \text{\{def. } F'^e\}} \\
= & \text{post}(\text{id}) \dot{\cup} \text{post}(X \wp [\![\mathbf{B}]\!] \wp [\![S]\!]^e) && \text{\{join preservation in Galois connection (12)\}} \\
= & \text{id} \dot{\cup} (\text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e) \circ \text{post}(X)) && \text{\{def. post and composition Lem. 1.1\}} \\
= & \bar{F}^e(\text{post}(X)) && \text{\{def. } \bar{F}^e\}} \quad \square
\end{aligned}$$

LEMMA 1.6 (POINTWISE COMMUTATION). $\forall X \in \wp(\Sigma) \rightarrow \wp(\Sigma) . \forall P \in \wp(\Sigma) . \bar{F}^e(X)P \triangleq \bar{F}_P^e(X(P))$ where $\bar{F}_P^e(X) \triangleq P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)X$.

PROOF OF LEM. 1.6.

$$\begin{aligned}
& \bar{F}^e(X)P \\
= & (\text{id} \dot{\cup} (\text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e) \circ X))P && \text{\{def. } \bar{F}^e\}} \\
= & \text{id}(P) \cup (\text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e) \circ X)(P) && \text{\{pointwise def. \dot{\cup} and function composition \circ\}} \\
= & P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)(X(P)) && \text{\{def. identity id and function application\}} \\
= & \bar{F}_P^e(X(P)) && \text{\{def. } \bar{F}_P^e(X) \triangleq P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)X\}} \quad \square
\end{aligned}$$

THEOREM 1.7 (ITERATION STRONGEST POSTCONDITION). $\text{post}[\![\mathbf{W}]\!]P = \text{post}[\![\neg\mathbf{B}]\!](\text{lfp}^{\subseteq} \bar{F}_P^e)$ where $\bar{F}_P^e(X) \triangleq P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)X$.

PROOF OF TH. 1.7.

$$\begin{aligned}
& \text{post}[\![\mathbf{W}]\!] \\
= & \text{post}(\text{lfp}^{\subseteq} F^e \wp [\![\neg\mathbf{B}]\!]) && \text{\{def. (49) of [\![\mathbf{W}]\!] in absence of break\}} \\
= & \text{post}[\![\neg\mathbf{B}]\!] \circ \text{post}(\text{lfp}^{\subseteq} F^e) && \text{\{composition Lem. 1.1\}} \\
= & \text{post}[\![\neg\mathbf{B}]\!] \circ \text{post}(\text{lfp}^{\subseteq} F'^e) && \text{\{since } \text{lfp}^{\subseteq} F^e = \text{lfp}^{\subseteq} F'^e \text{ in (70)\}} \\
= & \text{post}[\![\neg\mathbf{B}]\!](\text{lfp}^{\subseteq} \bar{F}^e) && \text{\{commutation Lem. 1.5 and fixpoint abstraction Th. II.2.2\}}
\end{aligned}$$

$$= \text{post}[\![\neg\mathbf{B}]\!] \circ \lambda P \cdot \text{lfp}^{\subseteq} \bar{F}_P^e \quad \text{\{pointwise commutation Lem. 1.6 and pointwise abstraction Cor. II.2.2\}} \quad \square$$

COROLLARY 1.8 (CONDITIONAL ITERATION STRONGEST POSTCONDITION GRAPH). $\mathcal{T}(\mathbf{W}) = \{\langle P, \text{post}[\![\neg\mathbf{B}]\!](\text{lfp}^{\subseteq} \bar{F}_P^e) \rangle \mid P \in \wp(\Sigma)\}$ where $\bar{F}_P^e(X) \triangleq P \cup \text{post}([\![\mathbf{B}]\!] \wp [\![S]\!]^e)X$.

PROOF OF COR. 1.8.

$$\begin{aligned}
& \mathcal{T}(\mathbf{W}) \\
= & \alpha_G \circ \text{post}([\![\mathbf{W}]\!]) && \text{\{Lem. 1.3\}} \\
= & \alpha_G \circ \text{post}[\![\neg\mathbf{B}]\!] \circ \lambda P \cdot \text{lfp}^{\subseteq} \bar{F}_P^e && \text{\{Th. 1.7\}} \\
= & \{\langle P, \text{post}[\![\neg\mathbf{B}]\!](\text{lfp}^{\subseteq} \bar{F}_P^e) \rangle \mid P \in \wp(\Sigma)\} && \text{\{def. (7) of } \alpha_G\}} \quad \square
\end{aligned}$$

IV) Design of the proof system

Aczel correspondence

Aczel correspondence between deductive systems and fixpoints

- Rules: $\frac{P}{c}$ (\mathcal{U} universe, $P \in \wp_{\text{fin}}(\mathcal{U})$ premiss, $c \in \mathcal{U}$ conclusion, $\frac{\emptyset}{c}$ axiom)

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- Subset of the universe \mathcal{U} defined by R :

$$\{t_n \in \mathcal{U} \mid \exists t_1, \dots, t_{n-1} \in \mathcal{U} . \forall k \in [1, n] . \exists \frac{P}{c} \in R . P \subseteq \{t_1, \dots, t_{k-1}\} \wedge t_k = c\}$$

proof theoretic ↓

$$= \text{lfp}^{\sqsubseteq} F(R)$$

← model theoretic (gfp for coinduction)

$$F(R)X \triangleq \left\{ c \mid \exists \frac{P}{c} \in R . P \subseteq X \right\}$$

← consequence operator

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- Subset of the universe \mathcal{U} defined by R :

$$\begin{aligned}
 & \{t_n \in \mathcal{U} \mid \exists t_1, \dots, t_{n-1} \in \mathcal{U} . \forall k \in [1, n] . \exists \frac{P}{c} \in R . P \subseteq \{t_1, \dots, t_{k-1}\} \wedge t_k = c\} \\
 & \quad \text{proof theoretic } \downarrow \\
 & = \text{lfp}^{\sqsubseteq} F(R) \quad \leftarrow \text{model theoretic (gfp for coinduction)}
 \end{aligned}$$

$$F(R)X \triangleq \left\{ c \mid \exists \frac{P}{c} \in R . P \subseteq X \right\}$$

\leftarrow consequence operator

- Deductive system defining $\text{lfp}^{\sqsubseteq} F : R_F \triangleq \left\{ \frac{P}{c} \mid P \subseteq \mathcal{U} \wedge c \in F(P) \right\}$

Why not using Aczel method to get the proof system at this point?

- We get a sound and complete proof system

Why not using Aczel method to get the proof system at this point?

- We get a sound and complete proof system
- **BUT** impractical:
 - you first **prove the strongest postcondition**, and then
 - use the **consequence rule to approximate!**

Fixpoint induction

Fixpoint induction

THEOREM H.3 (NON EMPTY INTERSECTION WITH ABSTRACTION OF LEAST FIXPOINT). Assume that (1) $\langle L, \sqsubseteq, \perp, \top, \sqcap, \sqcup \rangle$ is an atomic complete lattice; (2) $f \in L \rightarrow L$ preserves nonempty joins \sqcup ; (3) $\langle L, \sqsubseteq \rangle \xrightleftharpoons[\alpha]{\gamma} \langle \bar{L}, \leq, \wedge \rangle$; (4) $\bar{Q} \in \bar{L} \setminus \{0\}$ where $0 \triangleq \alpha(\perp)$; (5) There exists an inductive invariant $I \in L$ of f (i.e. $f(I) \sqsubseteq I$); (6) $\langle W, \leq \rangle$ is a well-founded set and $v \in \text{atoms}(I) \rightarrow W$ is a (variant) function; (7) There exists a sequence $\langle a_i \in \text{atoms}(I), i \in [1, \infty] \rangle$ that (7.a) $a_1 \in f(\perp)$, (7.b) $\forall i \in [1, \infty] . a_{i+1} \in \text{atoms}(f(a_i))$, (7.c) $\forall i \in [1, \infty] . (a_i \neq a_{i+1}) \Rightarrow (v(a_i) > v(a_{i+1}))$, (7.d) $\forall i \in [1, \infty] . (v(a_i) \not> v(a_{i+1})) \Rightarrow \alpha(a_i) \wedge \bar{Q} \neq 0$; Then, hypotheses (1) to (7) imply $\alpha(\text{lfp}^{\sqsubseteq} f) \wedge \bar{Q} \neq 0$. Conversely (1) to (4) and $\text{lfp}^{\sqsubseteq} f \sqcap \gamma(\bar{Q}) \neq \perp$ imply (5) to (7).

Calculational design of the proof system

$\overline{\text{HL}}$ does not need a consequence rule

THEOREM 4.1 (EQUIVALENT DEFINITIONS OF $\overline{\text{HL}}$ THEORIES).

$$\mathcal{T}_{\overline{\text{HL}}}(S) \triangleq \text{post}(\sqsubseteq, \supseteq) \circ \alpha^\neg \circ \mathcal{T}_{\text{HL}}(S) = \alpha^\neg \circ \mathcal{T}_{\text{HL}}(S)$$

Observe that Th. 4.1 shows that $\text{post}(\sqsubseteq, \supseteq)$ can be dispensed with. This implies that [the consequence rule is useless for Hoare incorrectness logic](#).

PROOF OF TH. 4.1.

$$\begin{aligned}
 \mathcal{T}_{\overline{\text{HL}}}(S) &= \text{post}(\sqsubseteq, \supseteq) \circ \alpha^\neg \circ \mathcal{T}_{\text{HL}}(S) && \{\text{def. } \mathcal{T}_{\overline{\text{HL}}}\} \\
 &= \text{post}(\sqsubseteq, \supseteq)(\neg\{\langle P, Q \rangle \mid \text{post}[\![S]\!]P \sqsubseteq Q\}) && \{\text{Lem. 1.4 and def. (30) of } \alpha^\neg\} \\
 &= \text{post}(\sqsubseteq, \supseteq)(\{\langle P, Q \rangle \mid \neg(\text{post}[\![S]\!]P \sqsubseteq Q)\}) && \{\text{def. } \neg\} \\
 &= \text{post}(\sqsubseteq, \supseteq)(\{\langle P, Q \rangle \mid \text{post}[\![S]\!]P \cap \neg Q \neq \emptyset\}) && \{\text{def. } \sqsubseteq \text{ and } \neg\} \\
 &= \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle \in \{\langle P, Q \rangle \mid \text{post}[\![S]\!]P \cap \neg Q \neq \emptyset\} . \langle P, Q \rangle \sqsubseteq, \supseteq \langle P', Q' \rangle\} && \{\text{def. post}\} \\
 &= \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle . \text{post}[\![S]\!]P \cap \neg Q \neq \emptyset \wedge \langle P, Q \rangle \sqsubseteq, \supseteq \langle P', Q' \rangle\} && \{\text{def. } \in\} \\
 &= \{\langle P', Q' \rangle \mid \exists \langle P, Q \rangle . \text{post}[\![S]\!]P \cap \neg Q \neq \emptyset \wedge P \sqsubseteq P' \wedge Q \supseteq Q'\} && \{\text{component wise def. of } \sqsubseteq, \supseteq\} \\
 &= \{\langle P', Q' \rangle \mid \exists Q . \text{post}[\![S]\!]P' \cap \neg Q \neq \emptyset \wedge Q \supseteq Q'\} \\
 &\quad \{\text{def. } \sqsubseteq\} \text{ if } P \sqsubseteq P' \text{ then } \text{post}[\![S]\!]P \sqsubseteq \text{post}[\![S]\!]P' \text{ by (12) so that } \text{post}[\![S]\!]P \cap \neg Q \neq \emptyset \text{ implies} \\
 &\quad \text{post}[\![S]\!]P' \cap \neg Q \neq \emptyset; \\
 &\quad \{\text{def. } \supseteq\} \text{ conversely, if } \exists Q . \text{post}[\![S]\!]P', \text{ then } \exists P . \text{post}[\![S]\!]P \cap \neg Q \neq \emptyset \wedge P \sqsubseteq P' \text{ by choosing} \\
 &\quad P = P'. \} \\
 &= \{\langle P', Q' \rangle \mid \text{post}[\![S]\!]P' \cap \neg Q' \neq \emptyset\} \\
 &\quad \{\text{def. } \sqsubseteq\} \text{ if } Q \supseteq Q' \text{ then } \neg Q' \supseteq \neg Q \text{ so } \text{post}[\![S]\!]P' \cap \neg Q \neq \emptyset \text{ implies } \text{post}[\![S]\!]P' \cap \neg Q' \neq \emptyset; \\
 &\quad \{\text{def. } \supseteq\} \text{ conversely } \text{post}[\![S]\!]P' \cap \neg Q' \neq \emptyset \text{ implies } \exists Q . \text{post}[\![S]\!]P' \cap \neg Q \neq \emptyset \wedge Q \supseteq Q' \text{ by choosing} \\
 &\quad Q = Q'. \} \\
 &= \{\langle P, Q \rangle \mid \neg(\text{post}[\![S]\!]P \sqsubseteq Q)\} && \{\text{def. } \sqsubseteq \text{ and } \neg\} \\
 &= \alpha^\neg \circ \mathcal{T}_{\text{HL}}(S) && \{\text{def. } \alpha^\neg \text{ and } \mathcal{T}_{\text{HL}} \text{ for Hoare logic}\} \quad \square
 \end{aligned}$$

Theory of $\overline{\text{HL}}$

THEOREM 4.2 (THEORY OF $\overline{\text{HL}}$).

$$\mathcal{T}_{\overline{\text{HL}}}(\text{W}) = \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \langle \mathcal{B}[\text{B}] \cap \{ \sigma_i \}, \neg \{ \sigma_{i+1} \} \rangle \in \mathcal{T}_{\overline{\text{HL}}}(\text{S}) \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \}$$

PROOF OF TH. 4.2. W = while (B) S

$\mathcal{T}_{\overline{\text{HL}}}(\text{W})$

$$\begin{aligned} &= \{ \langle P, Q \rangle \mid \text{post}[\neg\text{B}](\text{lfp}^{\subseteq} \bar{F}_P^e) \cap \neg Q \neq \emptyset \} \quad \{ \text{Lem. 1.3, where } \bar{F}_P^e(X) \triangleq P \cup \text{post}([\text{B}] ; [\text{S}]^e)X \} \\ &= \{ \langle P, Q \rangle \mid \text{lfp}^{\subseteq} \bar{F}_P^e \cap \text{pre}[\neg\text{B}](\neg Q) \neq \emptyset \} \quad \{ (39.d) \} \\ &= \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . \bar{F}_P^e(I) \subseteq I \wedge \exists \langle W, \leq \rangle \in \mathfrak{Wf} . \exists v \in I \rightarrow W . \exists \langle \sigma_i \in I, i \in [1, \infty] \rangle . \sigma_1 \in \bar{F}_P^e(\emptyset) \wedge \forall i \in [1, \infty] . \sigma_{i+1} \in \bar{F}_P^e(\{ \sigma_i \}) \wedge \forall i \in [1, \infty] . (\sigma_i \neq \sigma_{i+1}) \Rightarrow (v(\sigma_i) > v(\sigma_{i+1}) \wedge \forall i \in [1, \infty] . (v(\sigma_i) \not> v(\sigma_{i+1}) \Rightarrow \{ \sigma_i \} \cap \text{pre}[\neg\text{B}](\neg Q) \neq \emptyset) \} \quad \{ \text{induction principle Th. H.3} \} \\ &= \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . P \subseteq I \wedge \text{post}([\text{B}] ; [\text{S}]^e)I \subseteq I \wedge \exists \langle W, \leq \rangle \in \mathfrak{Wf} . \exists v \in I \rightarrow W . \exists \langle \sigma_i \in I, i \in [1, \infty] \rangle . \sigma_1 \in P \wedge \forall i \in [1, \infty] . (\sigma_{i+1} \in P \vee \{ \sigma_{i+1} \} \subseteq \text{post}([\text{B}] ; [\text{S}]^e)\{ \sigma_i \}) \wedge \forall i \in [1, \infty] . (\sigma_i \neq \sigma_{i+1}) \Rightarrow (v(\sigma_i) > v(\sigma_{i+1}) \wedge \forall i \in [1, \infty] . (v(\sigma_i) \not> v(\sigma_{i+1}) \Rightarrow \sigma_i \in \text{pre}[\neg\text{B}](\neg Q)) \} \\ &\quad \{ \text{def. } \bar{F}_P^e(X) \triangleq P \cup \text{post}([\text{B}] ; [\text{S}]^e)X, \subseteq, \text{ and post, which is } \emptyset\text{-strict} \} \\ &= \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . P \subseteq I \wedge \text{post}([\text{B}] ; [\text{S}]^e)I \subseteq I \wedge \exists \langle W, \leq \rangle \in \mathfrak{Wf} . \exists v \in I \rightarrow W . \exists \langle \sigma_i \in I, i \in [1, \infty] \rangle . \sigma_1 \in P \wedge \forall i \in [1, \infty] . \{ \sigma_{i+1} \} \subseteq \text{post}([\text{B}] ; [\text{S}]^e)\{ \sigma_i \} \wedge \forall i \in [1, \infty] . (\sigma_i \neq \sigma_{i+1}) \Rightarrow (v(\sigma_i) > v(\sigma_{i+1}) \wedge \forall i \in [1, \infty] . (v(\sigma_i) \not> v(\sigma_{i+1}) \Rightarrow \sigma_i \in \text{pre}[\neg\text{B}](\neg Q)) \} \\ &\quad \{ \text{since if } \sigma_{i+1} \in P, \text{ we can equivalently consider the sequence } \langle \sigma_j \in I, j \in [i+1, \infty] \rangle \} \\ &= \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . P \subseteq I \wedge \text{post}([\text{B}] ; [\text{S}]^e)I \subseteq I \wedge \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \{ \sigma_{i+1} \} \subseteq \text{post}([\text{B}] ; [\text{S}]^e)\{ \sigma_i \} \wedge \sigma_n \in \text{pre}[\neg\text{B}](\neg Q) \} \\ &\quad \{ (\subseteq) \text{ By } \langle W, \leq \rangle \in \mathfrak{Wf}, v \in I \rightarrow W, \forall i \in [1, \infty] . (\sigma_i \neq \sigma_{i+1}) \Rightarrow (v(\sigma_i) > v(\sigma_{i+1})), \text{ the sequence is ultimately stationary at some rank } n. \text{ For then on, } \sigma_{i+1} = \sigma_i, i \geq n \text{ and so } v(\sigma_i) = v(\sigma_{i+1}). \text{ Therefore } \forall i \in [1, \infty] . (v(\sigma_i) \not> v(\sigma_{i+1}) \Rightarrow \sigma_i \notin Q \text{ implies that } \sigma_n \in \text{pre}[\neg\text{B}](\neg Q); \\ &\quad (\supseteq) \text{ Conversely, from } \langle \sigma_i \in I, i \in [1, n] \rangle \text{ we can define } W = \{ \sigma_i \mid i \in [1, n] \} \cup \{ -\infty \} \text{ with } -\infty < \sigma_i < \sigma_{i+1} \text{ and } v(x) = (\!| x \in \{ \sigma_i \mid i \in [1, n] \} \? x \!| \!| -\infty) \text{ and the sequence } \langle \sigma_j \in I, j \in [1, \infty] \rangle \text{ repeats } \sigma_n \text{ ad infimum for } j \geq n. \} \\ &= \{ \langle P, Q \rangle \mid \exists I \in \wp(\Sigma) . P \subseteq I \wedge \text{post}([\text{B}] ; [\text{S}]^e)I \subseteq I \wedge \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \{ \sigma_{i+1} \} \subseteq \text{post}([\text{B}] ; [\text{S}]^e)\{ \sigma_i \} \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \} \quad \{ \text{def. pre} \} \end{aligned}$$

$$\begin{aligned} &= \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \{ \sigma_{i+1} \} \subseteq \text{post}([\text{B}] ; [\text{S}]^e)\{ \sigma_i \} \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \} \\ &\quad \{ I \text{ is not used and can always be chosen to be } \Sigma \} \\ &= \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \text{post}([\text{B}] ; [\text{S}]^e)\{ \sigma_i \} \cap \{ \sigma_{i+1} \} \neq \emptyset \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \} \\ &\quad \{ \text{since } x \in X \Leftrightarrow X \cap \{ x \} \neq \emptyset \} \\ &= \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \text{post}([\text{B}] ; [\text{S}]^e)\{ \sigma_i \} \cap \neg(\neg\{ \sigma_{i+1} \}) \neq \emptyset \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \} \\ &\quad \{ \text{def. } \neg X = \Sigma \setminus X \} \\ &= \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \neg(\text{post}([\text{B}] ; [\text{S}]^e)\{ \sigma_i \} \subseteq \neg\{ \sigma_{i+1} \}) \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \} \\ &\quad \{ \neg(X \subseteq Y) \Leftrightarrow (X \cap \neg Y \neq \emptyset) \} \\ &= \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \neg(\text{post}([\text{S}]^e)(\mathcal{B}[\text{B}] \cap \{ \sigma_i \}) \subseteq \neg\{ \sigma_{i+1} \}) \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \} \\ &\quad \{ \text{def. post, } [\text{B}], \text{ and } ; \} \\ &= \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \langle \mathcal{B}[\text{B}] \cap \{ \sigma_i \}, \neg\{ \sigma_{i+1} \} \rangle \in \{ \langle P, Q \rangle \mid \neg(\text{post}([\text{S}]^e)P \subseteq Q) \} \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \} \\ &\quad \{ \text{def. } \in \} \\ &= \{ \langle P, Q \rangle \mid \exists n \geq 1 . \exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. \langle \mathcal{B}[\text{B}] \cap \{ \sigma_i \}, \neg\{ \sigma_{i+1} \} \rangle \in \mathcal{T}_{\overline{\text{HL}}}(\text{S}) \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q \} \\ &\quad \{ \text{def. } \mathcal{T}_{\overline{\text{HL}}}(\text{S}) \} \quad \square \end{aligned}$$

Proof system of $\overline{\text{HL}}$

THEOREM 4.3 ($\overline{\text{HL}}$ RULES FOR CONDITIONAL ITERATION).

$$\frac{\exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. (\mathcal{B}[\text{B}] \cap \{\sigma_i\}) \text{S} (\neg\{\sigma_{i+1}\}) \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q}{(\text{P}) \text{while} (\text{B}) \text{S} (\text{Q})} \quad (3)$$

PROOF OF (3). We write $(\text{P}) \text{S} (\text{Q}) \triangleq \langle \text{P}, \text{Q} \rangle \in \overline{\text{HL}}(\text{S})$;

By structural induction (S being a strict component of while (B) S), the rule for $(\text{P}) \text{S} (\text{Q})$ have already been defined;

By **Aczel method**, the (constant) fixpoint $\text{lfp} \stackrel{\text{c}}{=} \lambda X . \text{S}$ is defined by $\{\frac{\emptyset}{c} \mid c \in \text{S}\}$;

So for while (B) S we have an axiom $\frac{\emptyset}{(\text{P}) \text{while} (\text{B}) \text{S} (\text{Q})}$ with side condition $\exists \langle \sigma_i \in I, i \in [1, n] \rangle . \sigma_1 \in P \wedge \forall i \in [1, n[. (\mathcal{B}[\text{B}] \cap \{\sigma_i\}) \text{S} (\neg\{\sigma_{i+1}\}) \wedge \sigma_n \notin \mathcal{B}[\text{B}] \wedge \sigma_n \notin Q$ where $(\mathcal{B}[\text{B}] \cap \{\sigma_i\}) \text{S} (\neg\{\sigma_{i+1}\})$ is well-defined by structural induction;

Traditionally, the side condition is written as a premiss, to get (3). □

About incorrectness

- IL is not Hoare incorrectness logic (sufficient, not necessary)

$$\begin{aligned} \neg(\{P\} S \{Q\}) & \not\Rightarrow [P] S [\neg Q] \\ & \Leftrightarrow \exists R \in \wp(\Sigma) . [P] S [R] \wedge R \cap \neg Q \neq \emptyset \\ & \Leftrightarrow \exists \sigma \in \Sigma . [P] S [\{\sigma\}] \wedge \sigma \notin Q \end{aligned}$$

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The End, Thank You

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Happy Sixties to Peter