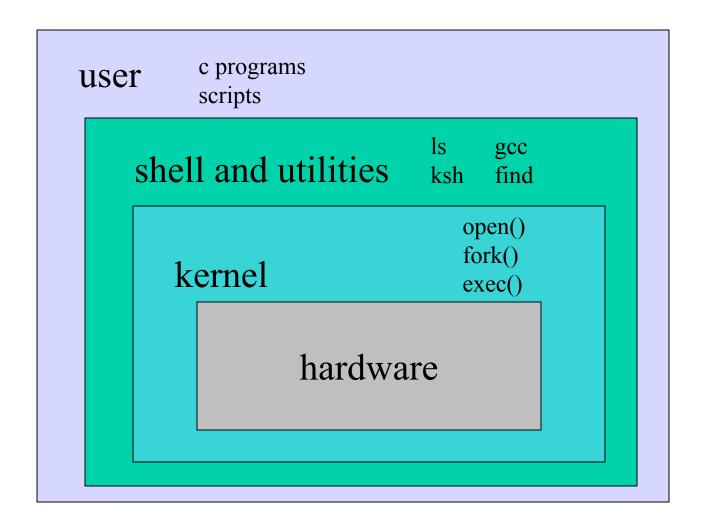
#### Lecture 2 & 3

UNIX Basics
The UNIX Filesystem

#### On the last episode of UNIX Tools...

- Course Info
- History of UNIX
- Highlights of UNIX
- The UNIX Philosophy
- System organization

### **Unix System Structure**



# **Kernel Subsystems**

- File system
  - Deals with all input and output
    - Includes files and terminals
    - Integration of storage devices
- Process management
  - Deals with programs and program interaction
    - How processes share CPU, memory and signals
    - Scheduling
    - Interprocess Communication
    - Memory management
- UNIX variants have different implementations of different subsystems.

#### What is a shell?

- The user interface to the operating system
- Functionality:
  - Execute other programs
  - Manage files
  - Manage processes
- A program like any other
- Executed when you log on



#### **Most Commonly Used Shells**

– /bin/shThe Bourne Shell / POSIX shell

– /bin/cshC shell

– /bin/tcshEnhanced C Shell

/bin/kshKorn shell

/bin/bash
 Bourne-Again Shell - Free ksh clone

#### Basic form of shell:

```
while (read command) {
  parse command
  execute command
}
```

#### **Shell Interactive Use**

When you log in, you interactively use the shell:

- Command history
- Command line editing
- File expansion (tab completion)
- Command expansion
- Key bindings
- Spelling correction
- Job control

# **Shell Scripting**

- A set of shell commands that constitute an executable *program*
- A shell script is a regular text file that contains shell or UNIX commands
- Very useful for automating repetitive task and administrative tools and for storing commands for later execution



# **Simple Commands**

- *simple command*: sequence of non blanks arguments separated by blanks or tabs.
- 1st argument (numbered zero) usually specifies the name of the command to be executed.
- Any remaining arguments:
  - Are passed as arguments to that command.
  - Arguments may be filenames, pathnames, directories or special options (up to command)
  - Special characters are interpreted by shell

# A simple example

```
ls -1 /bin
-xwxx-xr-x
               root
                      SYS
                              1065112 Apr 24
                                               2006 bash
                                34848 Jan 13
                                               2006 cat
-r-xr-xr-x
                root
                      SYS
                root
                                52476 Jan 13 2006 chmod
-r-xr-xr-x
                      SYS
                                43796 Jun 28 2006 cp
               root
-r-xr-xr-x
                      SYS
                               654400 Jan 13 2006 csh
-r-xr-xr-x
               root
                      SYS
                                 43632 Jan 13
                                               2006 date
-r-xr-xr-x
               root
                      sys
             command
      prompt
                      arguments
```

- Execute a basic command
- Parsing into command in arguments is called *splitting*

### **Types of Arguments**

\$ tar -c -v -f archive.tar main.c main.h

- Options/Flags
  - Convention: -*X* or --*longname*
- Parameters
  - May be files, may be strings
  - Depends on command

# **Getting Help on UNIX**

- man: display entries from UNIX online documentation
- whatis, apropos
- Manual entries organization:
  - 1. Commands
  - 2. System calls
  - 3. Subroutines
  - 4. Special files
  - 5. File format and conventions
  - 6. Games

#### **Example Man Page**

ls (1)

ls (1) USER COMMANDS

#### **NAME**

ls - list files and/or directories

#### **SYNOPSIS**

**ls** [ options ] [ file ... ]

#### **DESCRIPTION**

For each directory argument **Is** lists the contents; for each file argument the name and requested information are listed. The current directory is listed if no file arguments appear. The listing is sorted by file name by default, except that file arguments are listed before directories.

#### **OPTIONS**

-a, --all

List entries starting with .; turns off --almost-all.

-F, --classify

Append a character for typing each entry.

 $\hbox{-l, --long}|verbose$ 

Use a long listing format.

-r, --reverse

Reverse order while sorting.

-R, --recursive

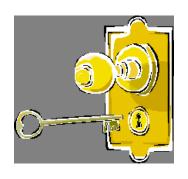
List subdirectories recursively.

#### **SEE ALSO**

chmod(1), find(1), getconf(1), tw(1)

#### **Today**

- Discuss several commands relating to:
  - Security
  - File system



### **Fundamentals of Security**

• UNIX systems have one or more users identified with a number and name.



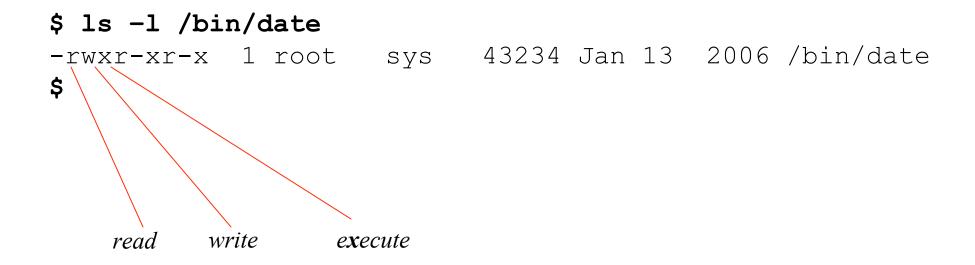
- A set of users can form a group. A user can be a member of multiple groups.
  - A special user (id 0, name **root**) has complete control.
  - Each user has a primary (default) group.



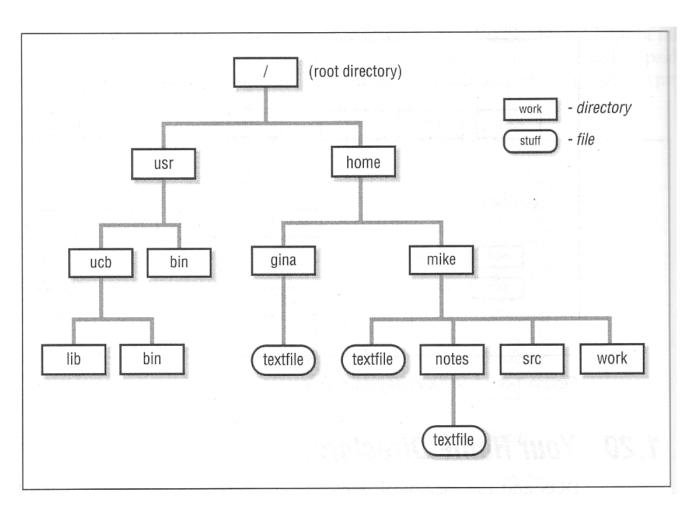
### How are Users & Groups used?

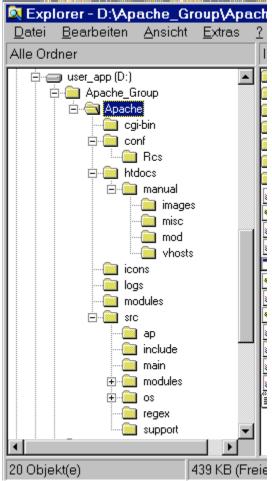
- Used to determine if file or process operations can be performed:
  - Can a given file be read? written to?
  - Can this program be run?
  - Can I use this piece of hardware?
  - Can I stop a particular process that's running?

### A simple example

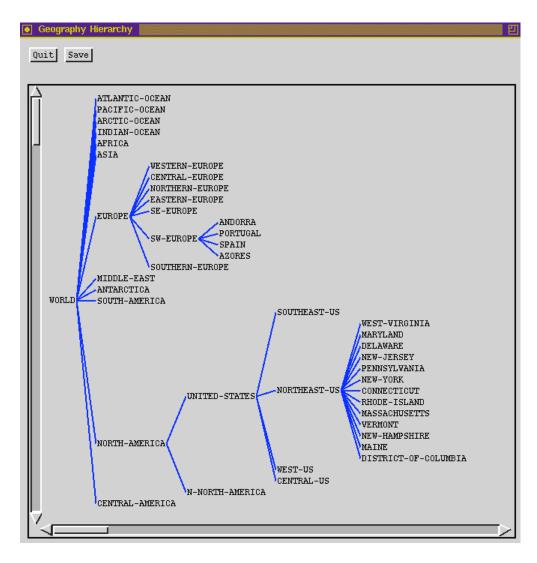


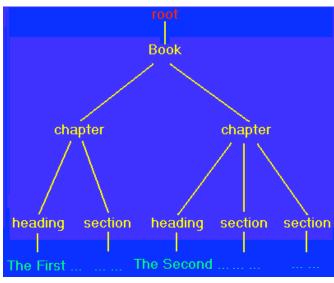
# The UNIX File Hierarchy





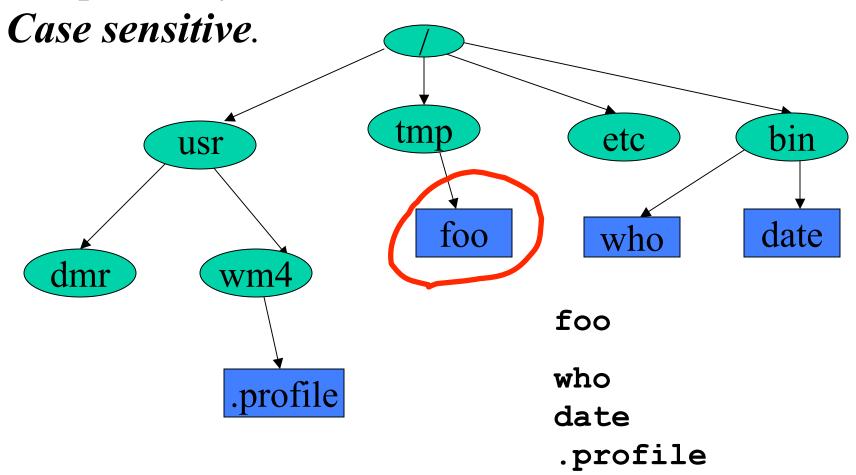
#### **Hierarchies are Ubiquitous**





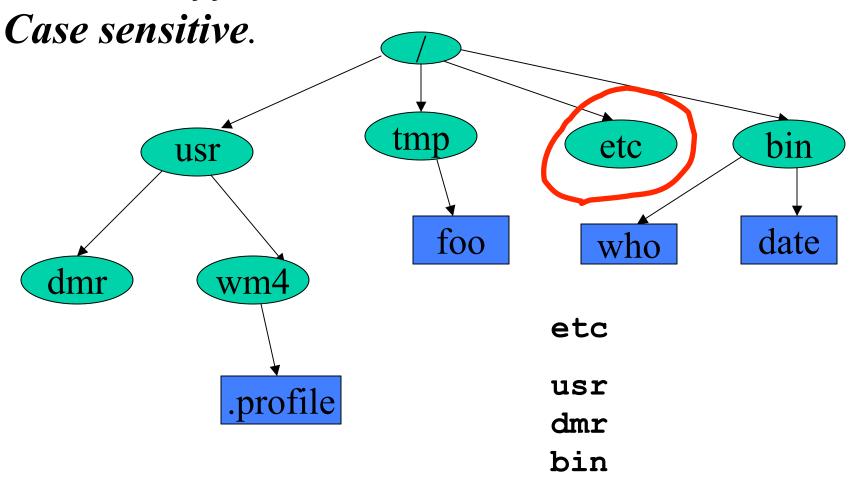
#### **Definition: Filename**

A sequence of characters other than slash.



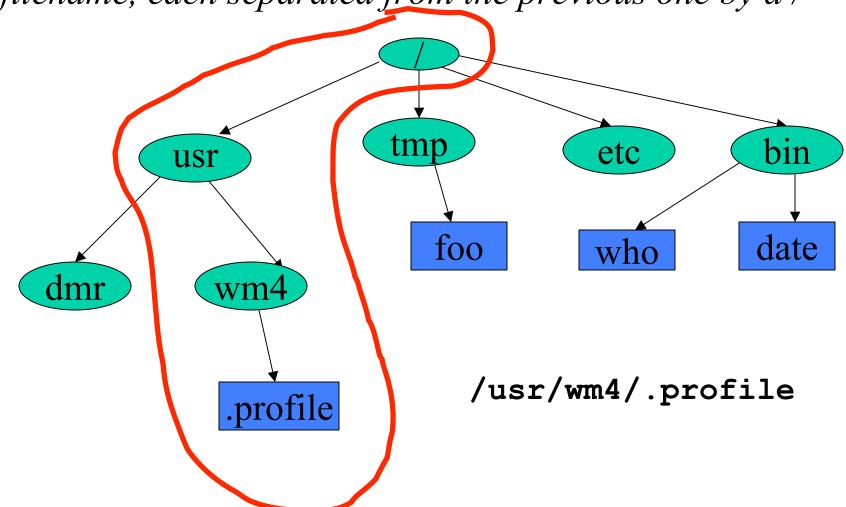
#### **Definition: Directory**

Holds a set of files or other directories.



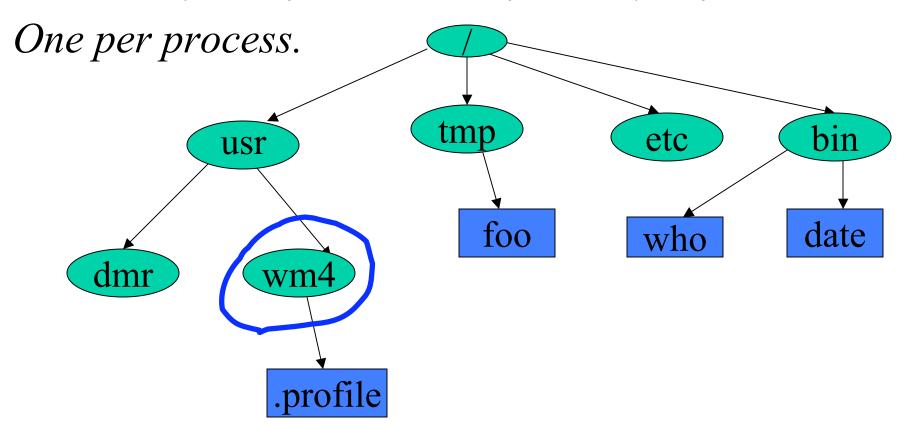
#### **Definition: Pathname**

A sequence of directory names followed by a simple filename, each separated from the previous one by a /



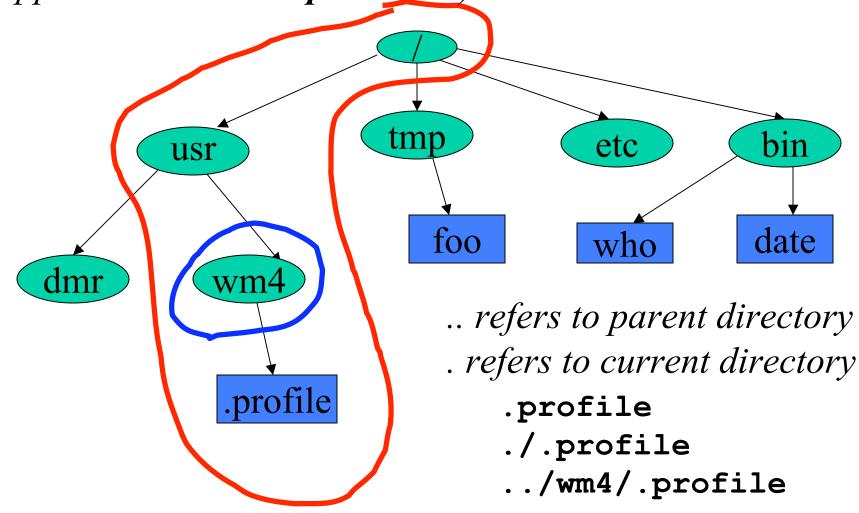
### **Definition: Working Directory**

A directory that file names refer to by default.



#### **Definition: Relative Pathname**

A pathname relative to the working directory (as opposed to absolute pathname)



#### **Files and Directories**

- Files are just a sequence of bytes
  - No file types (data vs. executable)
  - No sections
  - Example of UNIX philosophy
- Directories are a list of files and status of the files:
  - Creation date
  - Attributes
  - etc.

### **Tilde Expansion**

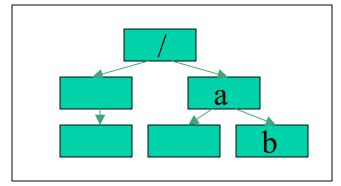
- Each user has a *home* directory
- Most shells (ksh, csh) support ~ operator:
  - − ~ expands to my home directory
    - ~/myfile → /home/mohri/myfile
  - ~user expands to user's home directory
    - ~unixtool/file2 → /home/unixtool/file2
- Useful because home directory locations vary by machine

# **Mounting File Systems**

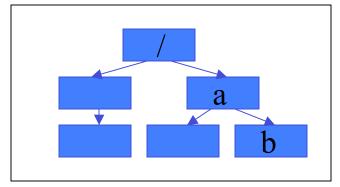
- When UNIX is started, the directory hierarchy corresponds to the file system located on a single disk called the *root device*.
- *Mounting* allows root to splice the root directory of a file system into the existing directory hierarchy.
- File systems created on other devices can be attached to the original directory hierarchy using the mount mechanism.
- Commands mount and umount manage

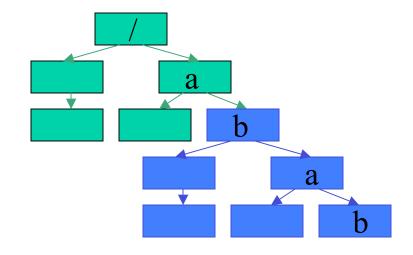
# **Mounting File Systems**

#### root device



external device





Device	Mount Point
	/
	/a/b

Mount table

# **Printing File Contents**

- The cat command can be used to copy the contents of a file to the terminal. When invoked with a list of file names, it concatenates them.
- Some options:
  - **-n n**umber output lines (starting from 1)
  - -v display control-characters in visible form (e.g. ^C)
- Interactive commands **more** and **less** show a page at a time

# Common Utilities for Managing files and directories

• pwd print process working dir

• ed, vi, emacs... create/edit files

• **ls** list contents of directory

• rm remove file

• **mv** rename file

• cp copy a file

• touch create an empty file or update

• **mkdir** and **rmdir** create and remove dir

• wc counts the words in a file

• file determine file contents

• du directory usage

#### **File Permissions**

- UNIX provides a way to protect files based on users and groups.
- Three **types** of permissions:
  - read, process may read contents of file
  - write, process may write contents of file
  - execute, process may execute file
- Three **sets** of permissions:
  - permissions for owner
  - permissions for group (1 group per file)
  - permissions for other

### **Directory permissions**

- Same types and sets of permissions as for files:
  - read: process may read the directory *contents*(i.e., list files)
  - write: process may add/remove files in the directory
  - execute: process may open files in directory or subdirectories

# Utilities for Manipulating file attributes

• chmod change file permissions

• **chown** change file owner

• **chgrp** change file group

• umask user file creation mode mask

- only owner or super-user can change file attributes
- upon creation, default permissions given to file modified by process **umask** value

#### **Chmod command**

- Symbolic access modes {u,g,o} / {r,w,x}
  - example: chmod +r file
- Octal access modes

octal	read	write	execute
0	no	no	no
1	no	no	yes
2	no	yes	no
3	no	yes	yes
4	yes	no	no
5	yes	no	yes
6	yes	yes	no
7	yes	yes	yes

# **File System Internals**

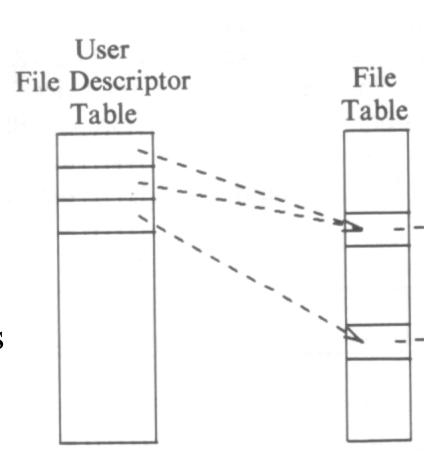
### The Open File Table

- I/O operations are done on files by first **opening** them, reading/writing/etc., then **closing** them.
- The kernel maintains a global table containing information about each open file.

Inode	Mode	Count	Position
1023	read	1	0
1331	read/write	2	50
		•••	

## The File Descriptor Table

- Each process contains a table of files it has opened.
- Inherits open files from parent
- Each open file is associated with a **number** or **handle**, called **file descriptor**, **(fd)**.
- Each entry of this table points to an entry in the open file table.
- Always starts at 0

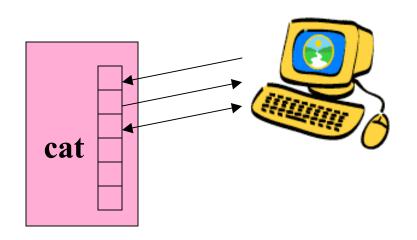


# Why not directly use the open file table?

- Convenient for kernel
  - Indirection makes security easier
- Numbering scheme can be local to process (0 .. 128)
- Extra information stored:
  - Should the open file be inherited by children?
     (close-on-exec flag)

#### **Standard in/out/err**

• The first three entries in the file descriptor table are special by convention:



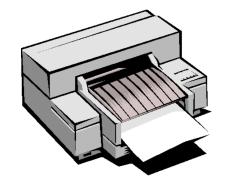
- Entry 0 is for input
- Entry 1 is for output
- Entry 2 is for error messages

• What about reading/writing to the screen?

#### **Devices**

 Besides files, input and output can go from/to various hardware devices





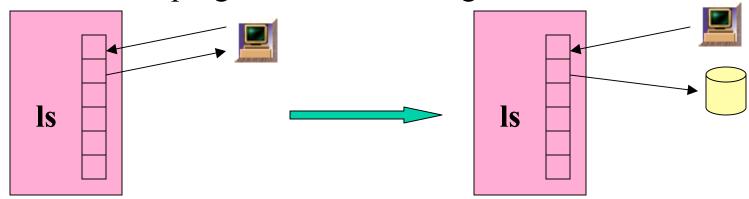


- UNIX innovation: Treat these just like files!
  - /dev/tty, /dev/lpr, /dev/modem
- By default, standard in/out/err opened with /dev/tty

#### Redirection



- Before a command is executed, the input and output can be changed from the default (terminal) to a file
  - Shell modifies file descriptors in child process
  - The child program knows nothing about this



# Redirection of input/ouput

- Redirection of output: >
  - example:\$ ls > my files
- Redirection of input: <
  - example: \$ mail mohri < input.data
- Append output: >>
  - example: \$ date >> logfile
- Bourne Shell derivatives: fd>
  - example: \$ 1s 2> error\_log

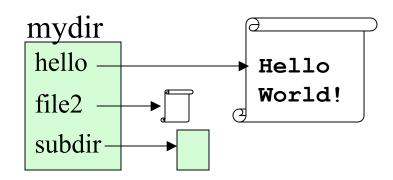
## **Using Devices**

- Redirection works with devices (just like files)
- Special files in **/dev** directory
  - Example: /dev/tty
  - Example: /dev/lp
  - Example: /dev/null
    - cat big\_file > /dev/lp
    - cat big\_file > /dev/null

#### Links



- Directories are a list of files and directories.
  - Each directory entry *links* to a file on the disk

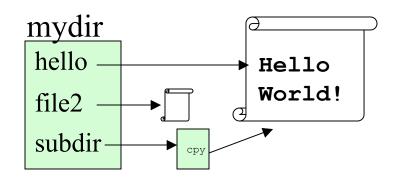


- Two different directory entries can link to the same file
  - In same directory or across different directories
- Moving a file does not actually move any data around.
  - Creates link in new location
  - Deletes link in old location
- In command

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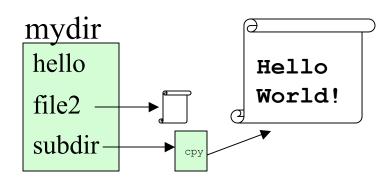


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#### Links



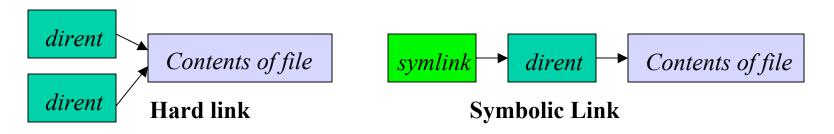
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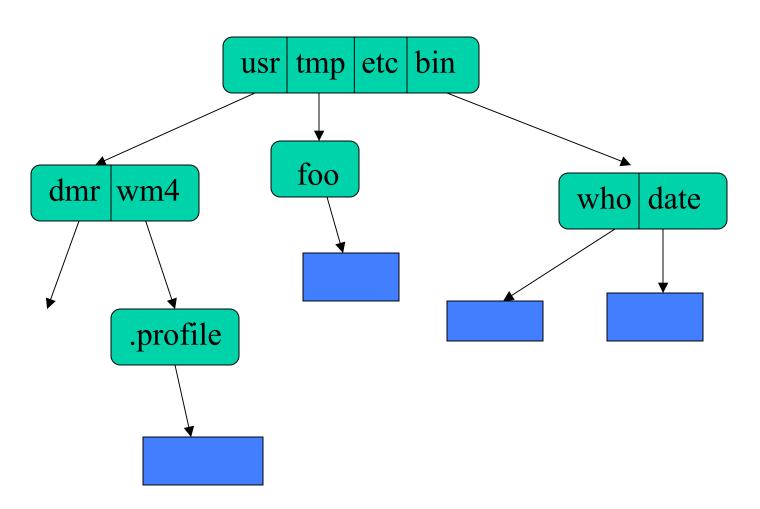
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# Symbolic links

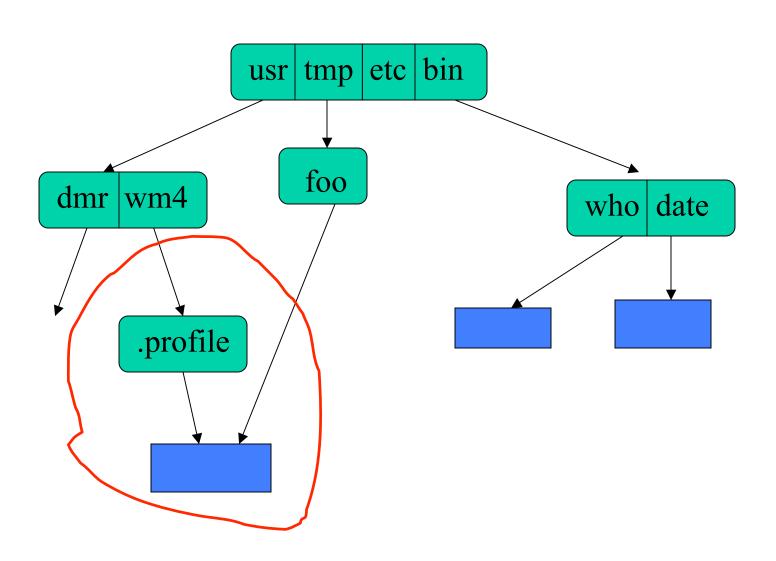
- Symbolic links are different than regular links (often called hard links). Created with ln -s
- Can be thought of as a directory entry that points to the *name* of another file.
- Does not change link count for file
  - When original deleted, symbolic link remains
- They exist because:
  - Hard links don't work across file systems
  - Hard links only work for regular files, not directories



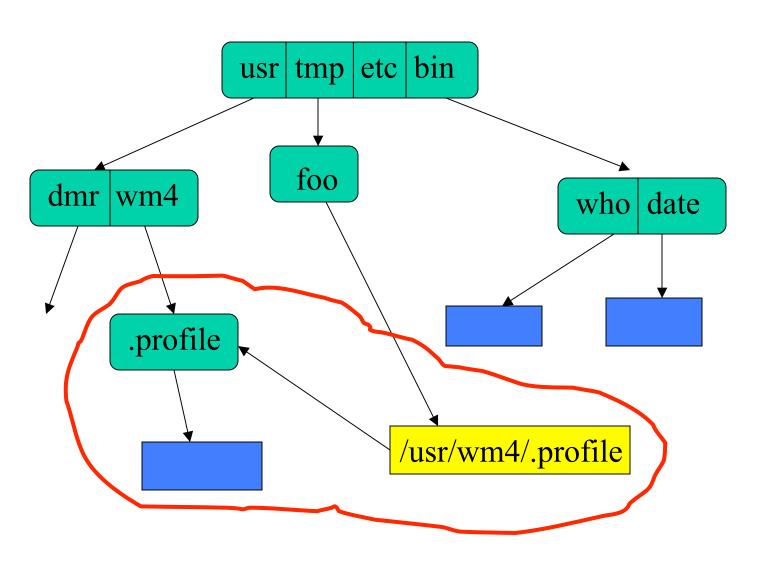
# **Example**



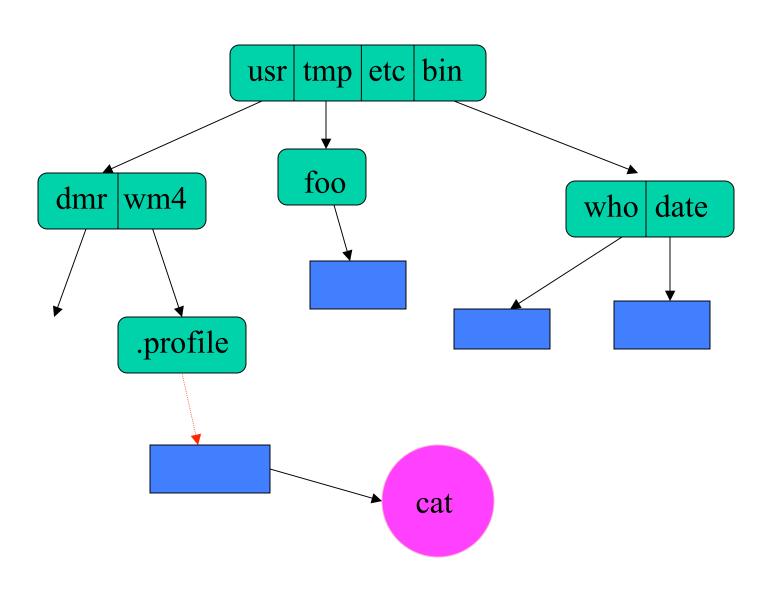
#### **Hard Link**



# Symbolic Link



#### Can a file have no links?



## **Tree Walking**

- How can we find a *set* of files in the hierarchy?
- One possibility:
  - ls -1 -R /
- What about:
  - All files below a given directory in the hierarchy?
  - All files since Jan 1, 2001?
  - All files larger than 10K?

## find utility

- find pathlist expression
- **find** recursively descends through *pathlist* and applies *expression* to every file.
- expression can be:
  - -name pattern
    - *true* if file name matches pattern. Pattern may include shell patterns such as \*, must be in quotes to suppress shell interpretation.
  - $-\operatorname{Eg}$ : find / -name '\*.c'

# find utility (continued)

- -perm [+-]*mode* 
  - Find files with given access mode, mode must be in octal. Eg:
     find . 755
- -type ch
  - Find files of type ch (c=character, b=block, f for plain file, etc..).
     Eg: find /home -type f
- -user userid/username
  - Find by owner userid or username
- -group groupid/groupname
  - Find by group groupid or groupname
- -size size
  - File size is at least size
- many more...

# find: logical operations

- ! expression
- op1 -a op2
- op1 -o op2
- ( )

- returns the logical negation
- of expression
- matches both patterns
- op1 and op2
- matches either op1 or op2
- group expressions together

#### find: actions

• -print

prints out the name of the current file (default)

- -exec cmd
  - Executes cmd, where cmd must be terminated by an escaped semicolon (\; or ';').
  - If you specify {} as a command line argument, it is replaced by the name of the current file just found.
  - exec executes *cmd* once per file.
  - Example:
    - find -name "\*.o" -exec rm "{}" ";"

#### find Examples

- Find all files beneath home directory beginning with f
  - find ~ -name 'f\*' -print
- Find all files beneath home directory modified in last day
  - find ~ -mtime 1 -print
- Find all files beneath home directory larger than 10K
  - find ~ -size 10k -print
- Count words in files under home directory
  - find ~ -exec wc -w {} \; -print
- Remove core files
  - find / -name core -exec rm {} \;

# diff: comparing two files

- **diff**: compares two files and outputs a description of their differences
  - Usage: diff [options] file1 file2
  - -i: ignore case

apples
oranges
walnuts

apples oranges grapes

```
$ diff test1 test2
3c3
< walnuts
---
> grapes
```

# Other file comparison utilities

#### cmp

- Tests two files for equality
- If equal, nothing returned. If different, location of first differing byte returned
- Faster than diff for checking equality

#### comm

- Reads two files and outputs three columns:
  - Lines in first file only
  - Lines in second file only
  - Lines in both files
- Must be sorted
- Options: fields to suppress ([-123])