

Foundations of Machine Learning  
Courant Institute of Mathematical Sciences  
Homework assignment 2  
Due: February 21, 2006

**Problem 1: VC dimension**

- (1) Show that the VC dimension of the class  $C$  of halfspaces over  $\mathbb{R}^n$  is  $n + 1$ . To do that, proceed as follows.
  - (a) Show that  $\text{VCdim}(C) \geq n + 1$ .
  - (b) Use Radon's theorem: *Any set of  $n + 2$  points  $X \subset \mathbb{R}^n$  can be partitioned in two subsets  $X_1$  and  $X_2$  such that the convex hulls of  $X_1$  and  $X_2$  intersect.*  
to show that  $\text{VCdim}(C) \leq n + 1$ .
  - (c) Prove Radon's theorem.
- (2) Consider now the class  $C_k$  of convex intersections of  $k$  halfspaces. Give lower and upper bounds estimates for  $\text{VCdim}(C_k)$ .
- (3) Let  $A$  and  $B$  be two sets of functions mapping from  $X$  into  $\{0, 1\}$ , and assume that both  $A$  and  $B$  have finite VC dimension, with  $\text{VCdim}(A) = d_A$  and  $\text{VCdim}(B) = d_B$ . Let  $C = A \cup B$  be the union of  $A$  and  $B$ .
  - (a) Prove that for all  $m$ ,  $\Pi_C(m) \leq \Pi_A(m) + \Pi_B(m)$ .
  - (b) Use Sauer's lemma to show that for  $m \geq d_A + d_B + 2$ ,  $\Pi_C(m) < 2^m$ , and give a bound on the VC dimension of  $C$ .

**Problem 2: Sample complexity**

A function  $h : \{0, 1\}^n \rightarrow \{0, 1\}$  is *symmetric* if its value is uniquely determined by the number of 1's in the input. Let  $C$  denote the set of all symmetric functions.

- (a) Determine the VC dimension of  $C$ .
- (b) Give lower and upper bounds on the sample complexity of any consistent PAC learning algorithm for  $C$ .

- (c) Note that any hypothesis  $h \in C$  can be represented by a vector  $(y_0, y_1, \dots, y_n) \in \{0, 1\}^{n+1}$ , where  $y_i$  is the value of  $h$  on examples having precisely  $i$  1's. Devise a consistent learning algorithm for  $C$  based on that representation.