

Lecture #4.

① OK

A Calculus of Natural Deduction.
[Purely Syntactic Rules
⇒ Formal Logic

\vdash ← Derivability Relation.

Gentzen Type
Calculus

Hilbert Type
Calculus.

\vdash

\vDash

Rules are given with respect to
pairs (X, α)

Formulas $\left\{ \begin{array}{l} X \\ \alpha \end{array} \right\}$

$X \vdash \alpha \left\{ \begin{array}{l} \alpha \text{ is derivable (or provable)} \\ \text{from } X. \end{array} \right.$

$X, \alpha \equiv$ Sequenz / Sequent.

BASIC Rules.

(2) OK

(IS) $\frac{}{\alpha \vdash \alpha}$ (Initial Sequent)

(MR) $\frac{X \vdash \alpha}{X' \vdash \alpha}, X' \supseteq X$ (Monotonicity)

(11) $\frac{X \vdash \alpha, \beta}{X \vdash \alpha \wedge \beta}$

(12) $\frac{X \vdash \alpha \wedge \beta}{X \vdash \alpha, \beta}$

(11) $\frac{X \vdash \alpha, \neg \alpha}{X \vdash \beta}$

(12) $\frac{X, \alpha \vdash \beta \quad | \quad X, \neg \alpha \vdash \beta}{X \vdash \beta}$



Derivation \equiv A finite sequent
(S_0, S_1, \dots, S_n)

$S_n = (X, \alpha)$

③ OK

$\alpha, \beta \vdash \alpha \wedge \beta$

$$\frac{\frac{\alpha \vdash \alpha}{\alpha, \beta \vdash \alpha} \text{M} \quad \frac{\beta \vdash \beta}{\alpha, \beta \vdash \beta} \text{M}}{\alpha, \beta \vdash \alpha \wedge \beta} \text{I}$$

$\frac{X, \neg \alpha \vdash \alpha}{X \vdash \alpha}$

\neg -Elimination.

$$\frac{X, \neg \alpha \vdash \alpha \text{ (H)} \quad \frac{\alpha \rightarrow \alpha}{X, \alpha \vdash \alpha} \text{M}}{X \vdash \alpha} \text{I}$$

$\frac{X, \neg \alpha \vdash \beta, \neg \beta}{X \vdash \alpha}$

reductio ad absurdum

$$\frac{\frac{X, \neg \alpha \vdash \beta, \neg \beta}{X, \neg \alpha \vdash \alpha} \text{I}}{X \vdash \alpha} \neg \text{Elim}$$

(4) OK

~~$X \vdash \alpha \wedge \beta$~~
 ~~$X \vdash \alpha$~~

→ Elimination

$\frac{X \vdash \alpha \wedge \beta}{X, \alpha \vdash \beta}$	(M)	$\frac{X, \alpha, \neg \beta \vdash \alpha, \neg \beta}{X, \alpha, \neg \beta \vdash \alpha \wedge \neg \beta}$	→	$\frac{X \vdash \neg(\alpha \wedge \neg \beta)}{X, \alpha, \neg \beta \vdash \neg(\alpha \wedge \neg \beta)}$	(MR)
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red. ~~$X, \alpha, \neg \beta \vdash \beta$~~

$X, \alpha, \neg \beta \vdash \beta$	(¬ elim)
$X, \alpha \vdash \beta$	

$X \vdash \alpha \mid X, \alpha \vdash \beta$
∴ $X \vdash \beta$

Cut Rule

$\frac{X \vdash \alpha}{X, \neg \alpha \vdash \alpha} \text{ (M)}$	$\frac{\frac{\frac{}{\neg \alpha \vdash \neg \alpha} \text{ IS}}{X, \neg \alpha \vdash \neg \alpha} \text{ M}}{X, \neg \alpha \vdash \beta} \text{ red.}}$
$X, \alpha \vdash \beta$	$X, \neg \alpha \vdash \beta$
$X \vdash \beta$	12

$$\frac{X, \alpha \vdash \beta}{X \vdash \alpha \rightarrow \beta}$$

→ Introduction.

$$\frac{x, \alpha \vdash \beta}{x, \alpha \wedge \neg \beta, \alpha \vdash \beta} M$$

$$\frac{\frac{\frac{\frac{\alpha \wedge \beta \vdash \alpha \wedge \beta}{x, \alpha \wedge \beta \vdash \alpha \wedge \beta} M}{x, \alpha \wedge \beta \vdash \alpha} \wedge 2}{x, \alpha \wedge \beta \vdash \beta} \text{Cut}}{x, \alpha \wedge \beta \vdash \neg \beta} \text{Cut}$$

$$\frac{x, \alpha \wedge \beta \vdash \neg \beta}{x, \alpha \wedge \beta \vdash \alpha \rightarrow \beta} (\text{Ret})$$

$$\frac{x, \neg(\alpha \wedge \beta) \vdash \alpha \rightarrow \beta}{x \vdash \alpha \rightarrow \beta} (\neg 2)$$

$$\frac{X \vdash \alpha, \alpha \rightarrow \beta}{X \vdash \beta}$$

Detachment Rule

$$\frac{X \vdash \alpha \rightarrow \beta}{X \vdash \beta \mid X \vdash \alpha} (\rightarrow \text{elim})$$

$$\frac{X \vdash \beta}{X \vdash \beta} \text{Cut}$$

$$\frac{\frac{\alpha, \alpha \rightarrow \beta \vdash \alpha, \alpha \rightarrow \beta} (\text{IS})}{\alpha, \alpha \rightarrow \beta \vdash \beta} (\text{Det})}{\alpha, \alpha \rightarrow \beta \vdash \beta} \text{Cut}$$

Modus
Ponens

(6) *OK*

Rule:
R: $\frac{x_1 \vdash \alpha_1 \mid x_2 \vdash \alpha_2 \mid \dots \mid x_n \vdash \alpha_n}{X \vdash \alpha}$

Example:
Modus Ponens $\frac{X \vdash \alpha \mid X \vdash \alpha \rightarrow \beta}{X \vdash \beta}$

[Gentzen-Style Rules].

Properties closed under R?
We wish to study a property \mathcal{E}

$\mathcal{E}(x_1, \alpha_1); \mathcal{E}(x_2, \alpha_2); \dots; \mathcal{E}(x_n, \alpha_n)$
implies $\mathcal{E}(X, \alpha)$.

Ex. Soundness Property $\mathcal{E}(X, \alpha) \equiv X \vDash \alpha$.
We wish to show that this property
is closed under the basic rules of \vdash .

That is if we prove that
 $X \vdash \alpha$

then in fact $X \vDash \alpha$

That is $\forall \omega \omega \vDash X \rightarrow \omega \vDash \alpha$
"All models of X are also models
of α ."

Proof theoretic "truth" \subseteq Model theoretic
"truth"

⑦ OK

$\varepsilon: X \models \alpha$, this property applies to all provable sequents.

Thus, the relation \vdash is (semantically) sound.

PRINCIPLE OF RULE INDUCTION.

Let $E (\varepsilon: \mathcal{PF} \rightarrow \mathcal{F})$ be a property
: $X \vdash \alpha$
closed under all basic rules of \vdash .

Then $X \vdash \alpha$ implies $E(X, \alpha)$

Proof: By induction on the length of a derivation $S = (X, \alpha)$

SOUNDNESS

" $\vdash \subseteq \models$ "
More explicitly,
 $X \vdash \alpha \Rightarrow X \models \alpha \quad \forall X, \alpha.$

ONLY SHOW FOR $X = \text{finite}$.

(IS) $\alpha \vdash \alpha \Rightarrow \alpha \models \alpha \quad \forall \omega \quad \omega \models \alpha \Rightarrow \omega \models \alpha$

(MR) $\frac{X \vdash \alpha}{X' \vdash \alpha} (X' \supseteq X) \Rightarrow X \models \alpha \text{ implies } X' \models \alpha$
 $\forall \omega \quad \omega \models X' \Rightarrow \omega \models X \Rightarrow \omega \models \alpha$

⑧

$$(11) \frac{X \vdash \alpha, \beta}{X \vdash \alpha \wedge \beta} \Rightarrow X \vDash \alpha, \beta \text{ implies } X \vDash \alpha \wedge \beta$$

$$\forall \omega \omega \vDash X \Rightarrow \omega \vDash \alpha \text{ and } \omega \vDash \beta$$

$$\Rightarrow \omega \vDash \alpha \wedge \beta.$$

$$(12) \frac{X \vdash \alpha \wedge \beta}{X \vdash \alpha, \beta} \Rightarrow X \vDash \alpha \wedge \beta \text{ implies } X \vDash \alpha, \beta$$

$$\forall \omega \omega \vDash X \Rightarrow \omega \vDash \alpha \wedge \beta$$

$$\Rightarrow \omega \vDash \alpha \text{ and } \omega \vDash \beta$$

$$(11) \frac{X \vdash \alpha, \neg \alpha}{X \vdash \beta} \Rightarrow X \vDash \alpha, \neg \alpha \text{ implies } X \vDash \beta$$

$$\forall \omega \omega \vDash X \Rightarrow \omega \vDash \alpha, \omega \vDash \neg \alpha$$

$$\Rightarrow \forall \omega \omega \vDash X$$

Vacuously, $\forall \omega \omega \vDash X$ implies $\omega \vDash \beta$.

$$(12) \frac{X, \alpha \vdash \beta \mid X, \neg \alpha \vdash \beta}{X \vdash \beta} \Rightarrow$$

~~X~~ $X, \alpha \vDash \beta$ and $X, \neg \alpha \vDash \beta$
implies $X \vDash \beta$

$$\forall \omega \omega \vDash X, \alpha \text{ and } \omega \vDash X, \neg \alpha$$

$$\Rightarrow \omega \vDash X \Rightarrow \omega \vDash \beta$$

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FINITENESS THEOREM for \vdash

Thm

If $X \vdash \alpha$ then there is a finite subset $X_0 \subseteq X$ with $X_0 \vdash \alpha$.

Proof: By induction.

Let $\mathcal{E}(X, \alpha)$ be the property

$$\boxed{\exists X_0 \subseteq X, X_0 = \text{finite} \quad X_0 \vdash \alpha}$$

Show that \mathcal{E} is closed under the basic rules.

IS $\frac{}{\alpha \vdash \alpha} \quad \mathcal{E}(X, \alpha) \quad \begin{array}{l} X = \{\alpha\} \\ X_0 = X. \end{array}$

MR $\frac{X \vdash \alpha}{X' \vdash \alpha} (X' \supseteq X) \quad \left. \begin{array}{l} \mathcal{E}(X, \alpha) \\ X_0 \subseteq X \end{array} \right\} \text{IH.}$
 $\Rightarrow \mathcal{E}(X', \alpha)$

$\wedge I \quad \frac{X \vdash \alpha, \beta}{X \vdash \alpha \wedge \beta} \quad \begin{array}{l} \mathcal{E}(X, \alpha) \quad \mathcal{E}(X, \beta) \\ X_1 \subseteq X \quad X_2 \subseteq X \\ X_0 = X_1 \cup X_2 = \text{finite} \end{array}$

$$\frac{X_0 \vdash \alpha, \beta}{X_0 \vdash \alpha \wedge \beta}$$

$\hookrightarrow \mathcal{E}(X, \alpha \wedge \beta)$

\vdots

SATISFIABILITY:

Two cases:

HORN CLAUSES
HORN SAT.

KROM CLAUSES
2 SAT

A Horn clause is an OR of literals in which all or nearly all of the literals are complemented [at most one of its literals is pure].

$$\begin{aligned} \bar{x} \vee \bar{y} &\equiv x \wedge y \Rightarrow 1 \\ x &\equiv T \Rightarrow x \\ \omega \vee \bar{y} \vee \bar{x} &\equiv y \wedge x \Rightarrow \omega \\ \bar{u} \vee \bar{v} \vee \bar{w} \vee \bar{x} \vee \bar{y} \vee z &\equiv u \wedge v \wedge w \wedge x \wedge y \Rightarrow z \end{aligned}$$

A Krom clause is an OR of exactly 2 literals.

$$\begin{aligned} x \vee x &\equiv \{T \Rightarrow x; \bar{x} \Rightarrow \perp\} \\ \bar{x} \vee \bar{x} &\equiv \{T \Rightarrow \bar{x}; x \Rightarrow \perp\} \\ x \vee y &\equiv \{\bar{x} \Rightarrow y; \bar{y} \Rightarrow x\} \\ x \vee \bar{y} &\equiv \{\bar{x} \Rightarrow \bar{y}; y \Rightarrow x\} \\ \bar{x} \vee \bar{y} &\equiv \{x \Rightarrow \bar{y}; y \Rightarrow \bar{x}\} \end{aligned}$$

(11) OK

HORN SAT Algorithm.

① Assign all variables false.

Thus, initially all clauses of the following forms will be satisfied

$$x \wedge y \Rightarrow \perp$$

$$u \wedge v \wedge w \wedge x \wedge y \Rightarrow z.$$

But not

$$T \Rightarrow z$$



② FLIP: change x 's truth assignment to true

③ Reevaluate all clauses.

if $x \wedge y \Rightarrow \perp$ is SAT

$u \wedge v \wedge w \wedge x \wedge y$ evals true

FLIP x 's truth value to true

and continue.

if $x \wedge y$ evals to true

$x \wedge y \Rightarrow \perp$ is not satisfiable

Complexity $O(m \times n)$ Every v'ble can change only from false \rightarrow true.

Every clause is reevaluated after a change in assignment

HORN SAT $\in P$.

Note: $O(m+n)$ with clever data str.

2-SAT

directed

create a graph $G = (V, E)$

$$V: \{T, \perp\} \cup \{x, \bar{x} \mid \forall x \in PV\}$$

$(u, v) \in E$ iff $u \rightarrow v$ is a clause

2-CNF = Satisfiable

iff

No strong component of the digraph $G(V, E)$ contains both a variable and its complement.

Complexity ~~$O(m+n)$~~ $O(m+n)$

2SAT $\in P$.