

# **Software Engineering**

## **G22.2440-001**

### **Session 7 – Sub-Topic 2**

#### **UML Review**

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*Computer Science Department*  
*Courant Institute of Mathematical Sciences*

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## **Diagrams Reviewed ...**

- A review of the various notations (use-case, activity, class, sequence, collab, component, etc...)
- And a close look at more “exotic” notations

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## Use Cases: Scenario based requirements modeling

- Recommended: UML distilled...

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## Use Cases

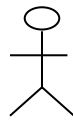
Use case

- specifies the behavior of a system
- sequence of *actions* to yield an observable result of value to an *actor*
- Capture the *intended* behavior (the what) of the system omitting the implementation of the behavior (the how)
- customer requirements/ early analysis

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# What is a use case?

- Description of a sequence of *actions*, including variants (specifies desired behavior)
- Represents a functional requirement on the system
- Use case involves interaction of actors and the system



Financial Officer



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# Use cases: terms and concepts

- Unique name
- Sequence of actions (event flows)
  - textual (informal, formal, semi formal)
    - Main flow of events:* The use case starts when the system prompts the *Customer* for a PIN number. The *Customer* can now enter a pin number...
  - interaction diagrams

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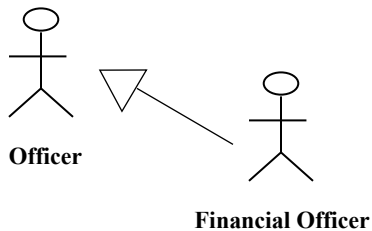
# Actors

- *Role* that a user plays with respect to the system
- Actors carry out use cases
  - look for actors, then their use cases
- Actors do not need to be humans!
- Actors can get value from the use case or participate in it

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# Actors

- Actors can be specialized



- connected to use cases only by association
- association = communication relationship (each one sending, or receiving messages)

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## **Use case description**

- Generic, step-by-step written description of a use case's event flow
- Includes interactions between the actor(s) and a use case
- May contain extension points
- Clear, precise, short descriptions

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## **Example use case description**

- Capture deal
  1. Enter the user name & bank account
  2. Check that they are valid
  3. Enter number of shares to buy & share ID
  4. Determine price
  5. Check limit
  6. Send order to NYSE
  7. Store confirmation number

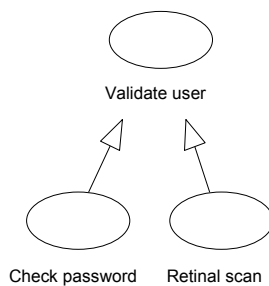
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# Organizing Use Cases

- Generalization
- Use/Include
- Extend

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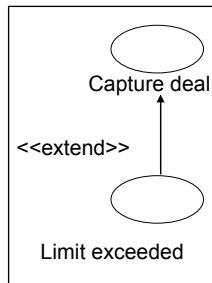
## Generalization relationship



- child use case inherits behavior and meaning of the parent use case
- child may add or override the parent's behavior
- child may substitute any place the parent appears

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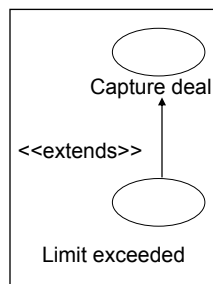
# Extends relationship



- Allows to model the part of a use case the user may see as optional
- Allows to model conditional subflows
- Allows to insert subflows at a certain point, governed by actor interaction
- represented by an *extend* dependency
- extension points (in textual event flows)

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# Extends relationship



- Allows to model the part of a use case the user may see as optional
  - Allows to model conditional subflows
  - Allows to insert subflows at a certain point, governed by actor interaction
- ⇒ Capture the base use case
  - ⇒ For every step ask
    - what could go wrong
    - how might this work out differently
  - ⇒ Plot every variation as an extension of the use case

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## Example: extension points

- Capture deal
  1. Enter the user name & bank account
  2. Check that they are valid  
extension point: reenter data in case they are invalid
  3. Enter number of shares to buy & share ID
  4. Determine price
  5. Check limit
  6. Send order to NYSE
  7. Store confirmation number

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## Uses/Includes relationship

- Used to avoid describing the same flow of events several times, by putting the common behavior in a use case of its own



- Avoids copy-and-paste of parts of use case descriptions

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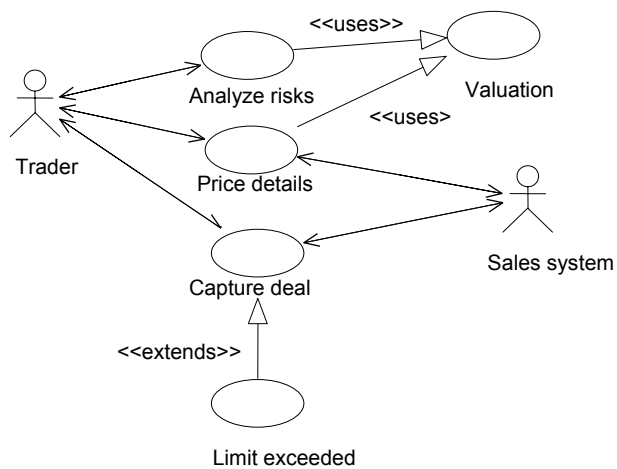


# Comparing extends/uses

- Different intent
  - extends
    - to distinguish variants
    - set of actors perform use case and all extensions
    - actor is linked to “base” case
  - uses/includes
    - to extract common behavior
    - often no actor associated with the common use case
    - different actors for “caller” cases possible

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## A use case diagram



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# Use Case Diagrams (Functional)

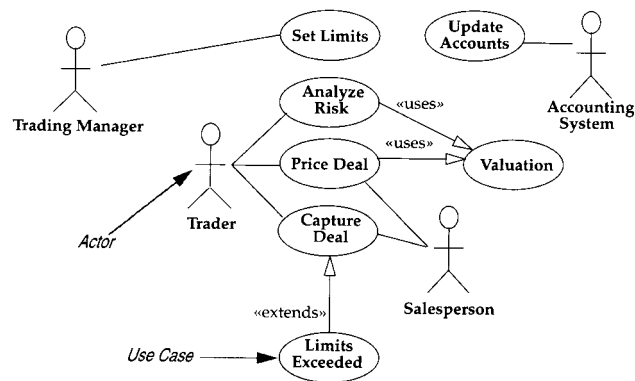


Figure 3-1: Use Case Diagram

Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

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## Properties of use cases

- Granularity: fine or course
- Achieve a discrete goal
- Use cases describe externally required functionality
- Often: Capture user-visible function

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## When and how

- Requirements capture - first thing to do
- Use case: Every discrete thing your customer wants to do with the system
  - give it a name
  - describe it shortly (some paragraphs)
  - add details later

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## Class diagrams

- *Overview*
- Class diagram essentials
- Generalization

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# Class diagram

- Central for OO modeling
- Shows static structure of the system
  - Types of objects
  - Relationships
    - Association
    - Subtypes

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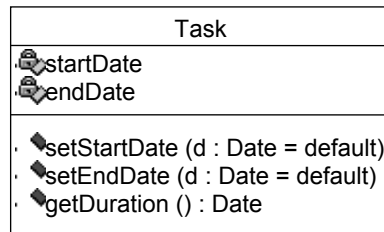
# Perspectives

- Conceptual
  - Shows concepts of the domain
  - Independent of implementation
- Specification
  - Interfaces of software (types)
  - Often: Best perspective
- Implementation
  - Structure of the implementation
  - Most often used

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# Class

- Set of objects
- Defines
  - name
  - attributes
  - operations



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## Class versus type

- OO type
  - = protocol understood by an object
  - = set of methods that are implemented
- Class =
  - implementation oriented construct
    - implements one or more types
- Type: Used for specification

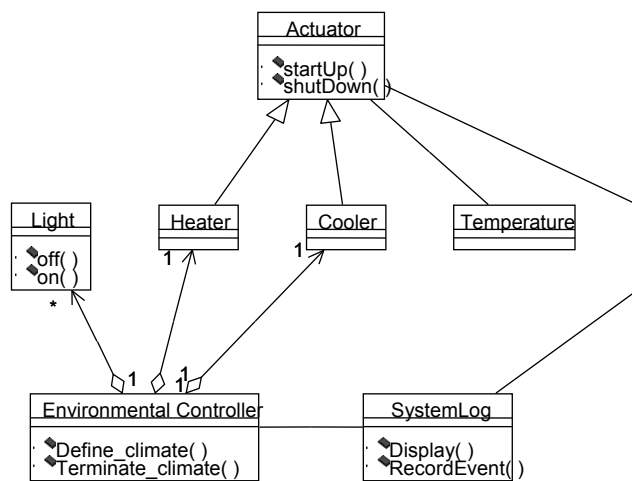
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# Association

- Relationship between instances of classes
  - A student is registered for a course
  - A professor is teaching the course

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## Class diagram example



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## Rules of thumb

- One class can be part of several diagrams
- Diagrams shall illustrate specific aspects
  - Not too many classes
  - Not too many associations
  - Hide irrelevant attributes/operations
- Several iterations needed to create diagram

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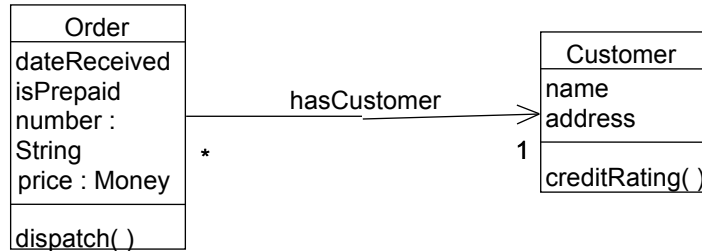
## Class diagrams

- Overview
- *Class diagram essentials*
- Generalization

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# Association

- Relationship between classes



- Order comes from one customer  
Customer may make several orders

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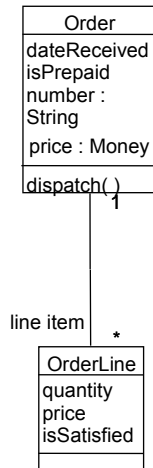
# Naming associations

- Avoid meaningless names
  - associated\_with
  - has
  - is\_related\_to
- Name is often a verb phrase
  - has\_part
  - is\_contained\_in

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# Roles

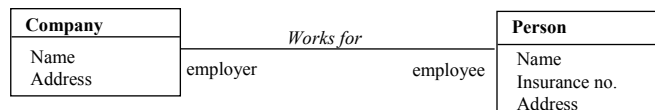


- Association has two roles
- Role is a direction on the association
- Role
  - Explicit labeled
  - Implicitly named after the target class

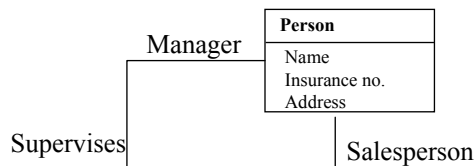
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# Role names

- Role = identifies one end of an association



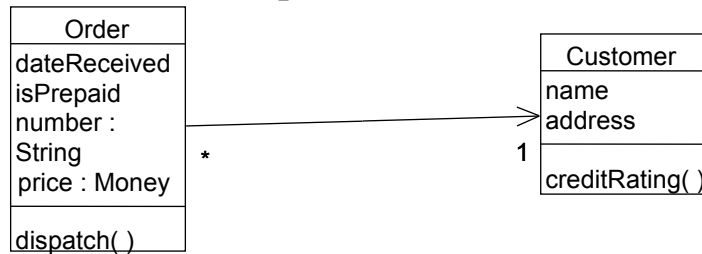
- Role name is obligatory for associations between objects of the same class



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# Multiplicity

- Indicates how many object can participate in the relationship



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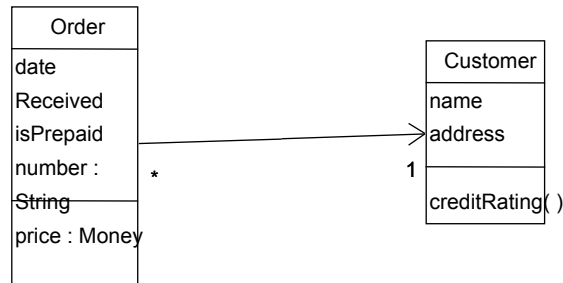
## Multiplicity (2)

- \*: 0..infinity
- 1: 1..1
- 0..1
- 1..100
- 2,4,5

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# Specification perspective

- Association represents responsibilities

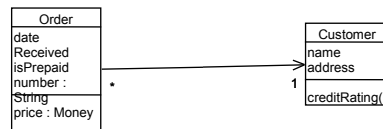


- Method in Customer returning Orders
- Method in Order that returns the Customer that made the order

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# Navigability

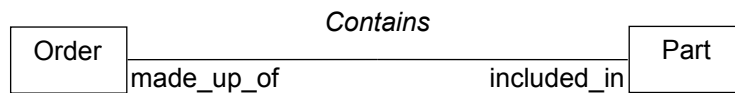
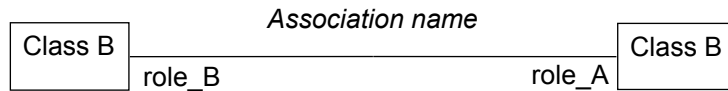
- Arrows indicate navigability



- Order has to be able to determine the Customer
- Customer does not know Orders
- Bi-directional association: Navigability in both directions (inverse roles)

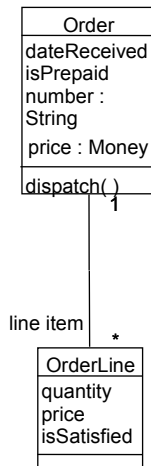
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## Summary: Basic notation for associations



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## Naming conventions



- Naming conventions allow often to infer the names of messages from the diagram

```
class Order {
    public Enumeration
    orderLines();
    public Customer customer();
}
```

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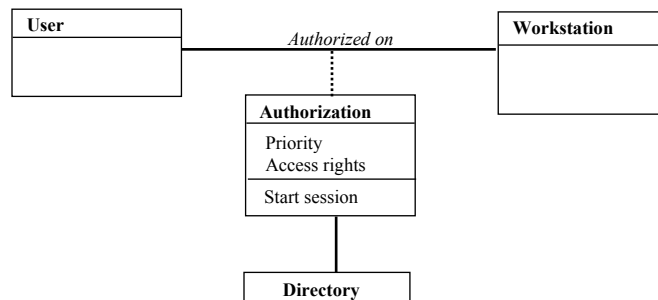
# Example: Hockey statistics

Class

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# Association classes

- Useful if
  - attributes don't belong to any one class but to the association



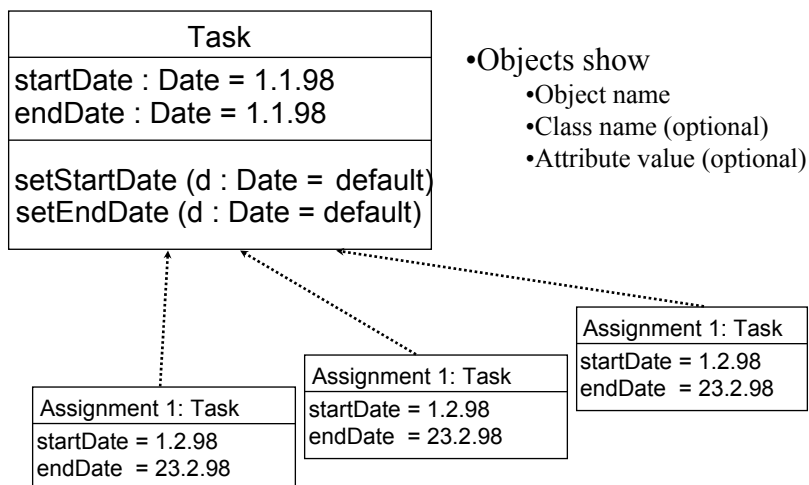
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# Contents

- *Attributes and operations*
- Aggregation
- Inheritance
- Interfaces and abstract classes
- Advanced association concepts
- When and how

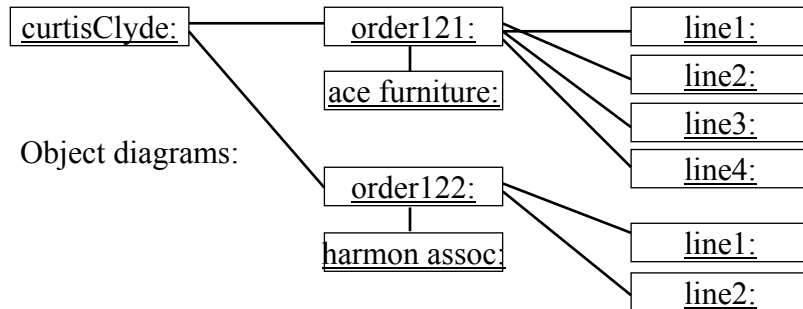
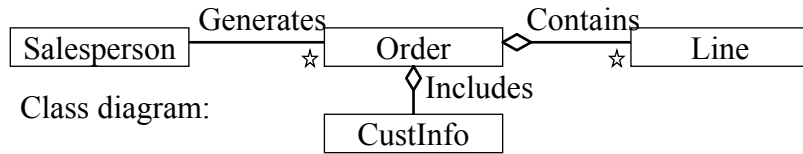
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## Classes and objects



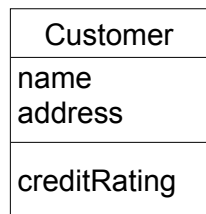
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# Example



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# Attributes



- Conceptual: Indicates that customer have names
- Specification: Customer can tell you his/her name and set it
- Implementation: An instance variable is available
- UML syntax:

<attribute name>: <Data type>

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## Difference between attribute and association

- Conceptual perspective
  - not much of a difference!
- Specification/implementation perspective
  - Attribute stores values NOT references
    - no sharing of attribute values between instances!
- Often: Stores simple objects
  - Numbers, Strings, Dates, Money objects

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## Operations

- Processes that can be carried out on instances
- Correspond to messages of the class
- Conceptual perspective
  - principal responsibilities
- Specification perspective
  - public messages = interface of the class
- Normally: Don't show operations that manipulate attributes

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# UML syntax for operations

<visibility> <name> (<parameter list>) : <return-type-expression>

+ assignAgent (a : Agent) : Boolean

- visibility: public (+), protected (#), private (-)
  - Interpretation is language dependent
  - Not needed on conceptual level
- name: string
- parameter list: arguments (syntax as in attributes)
- return-type-expression: language-dependent specification

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# Types of operations

- *Query* = returns some value without modifying the class' internal state
- *Modifier* = changes the internal state
- Queries can be executed in any order
- Getting & setting messages
  - getting: query
  - setting: modifier

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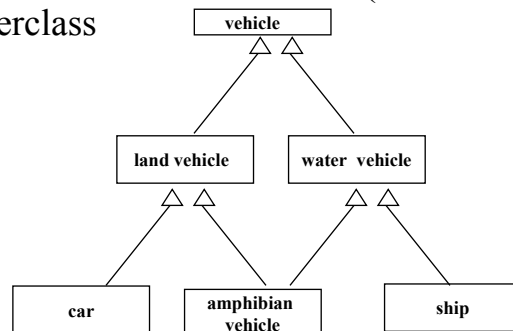
# Contents

- Attributes and operations
- *Inheritance*
- Aggregation
- Interfaces and abstract classes
- Advanced association concepts
- When and how

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# Subclassing

- Class inherits features from (more than) one superclass



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## **Subclassing**

- Attributes & operations of an ancestor class are inherited to the subclass
- Extension: adding of new attributes or operations
- Restriction: additional restrictions on ancestor attributes

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## **Perspectives**

- Conceptual: Subset relationship
- Specification: Subtype conforms to supertype interface
- Implementation: Implementation inheritance, subclassing

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# Contents

- Attributes and operations
- Inheritance
- *Aggregation*
- Interfaces and abstract classes
- Advanced association concepts
- When and how

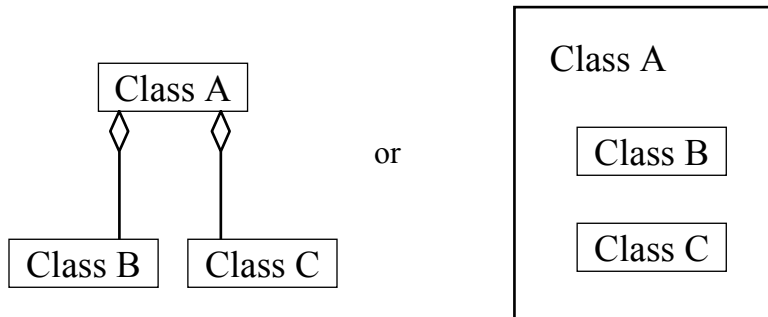
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# Aggregation

- Special form of association
- Components are *parts of* aggregated object
  - Car has an engine and wheels as its part
- Typical example:
  - parts explosion
  - organizational structure of a company

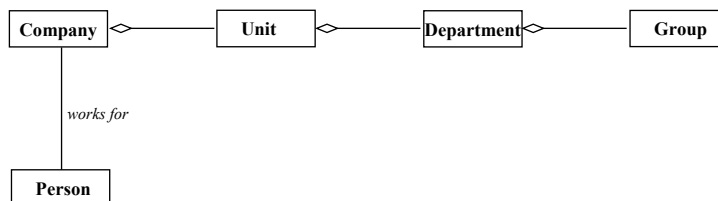
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# Notation for aggregation



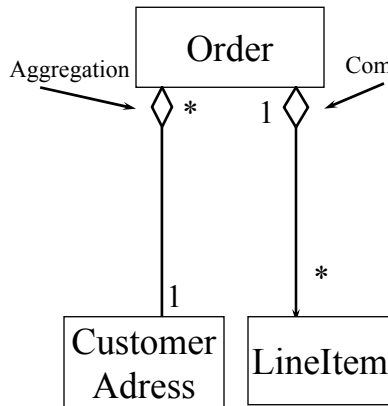
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# Example: Aggregation



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# Aggregation and composition



- Composition

- Components belong only to one whole
- Parts live and die with the whole
  - cascading delete
  - also needed for 1..1 associations
- The players can be aggregated to the Flames  
BUT  
they are not killed when the Flames disappear

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# Aggregation association

- Transitive
- Antisymmetric: Object may not be directly or indirectly part of itself

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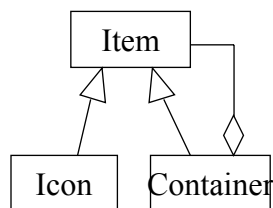
# Recursion

- Directed path of aggregation associations from a class to itself
- Variable aggregation: finite number of levels, number of parts variable (example: company)

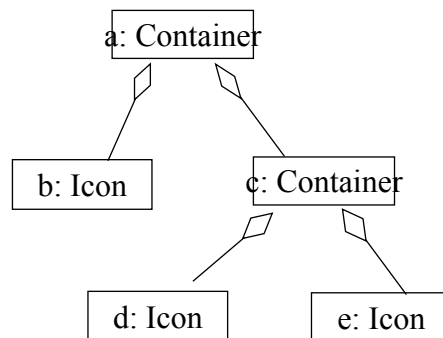
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## Example: recursive aggregation

Class diagram:

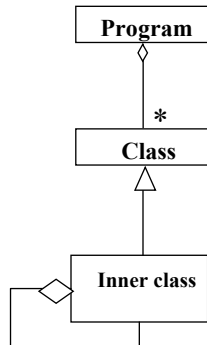


Object diagram:



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## Example: Recursive aggregation



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## Rules for using aggregation

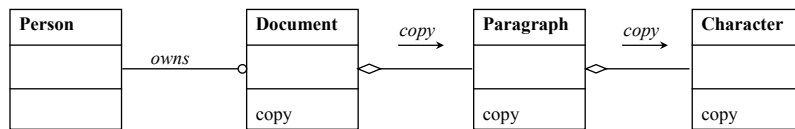
- Distinction between association and aggregation often rather matter of taste than difference in semantics
- Aggregation IS association
- Aggregate is inherently sum of its parts
- Chains of aggregate links may not form cycles
- Composition is appropriate when each part is owned by one object, part has not have an independent life from its owner

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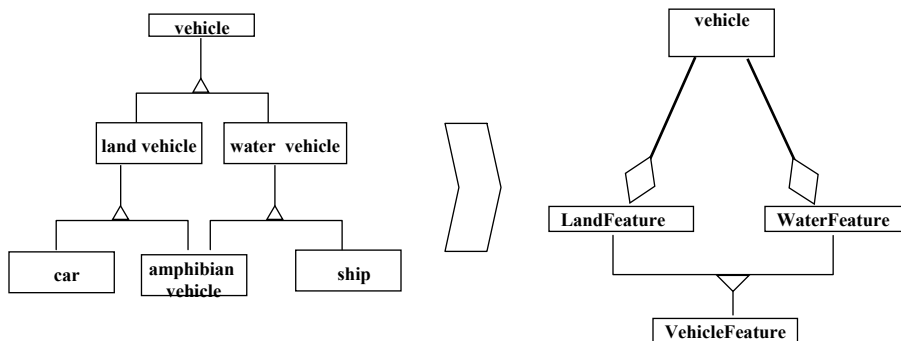
# Chaining of operations

- Chaining: Applying an operation to a net of objects
- Often for: copy, save, redo, delete, print



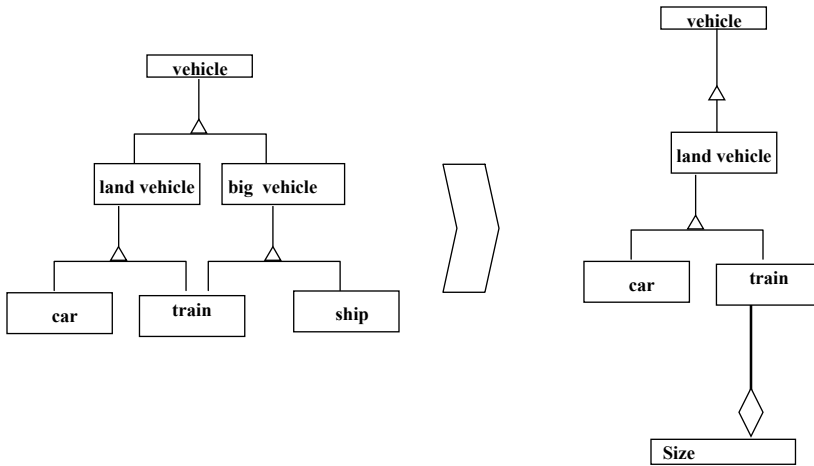
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# Delegation & aggregation



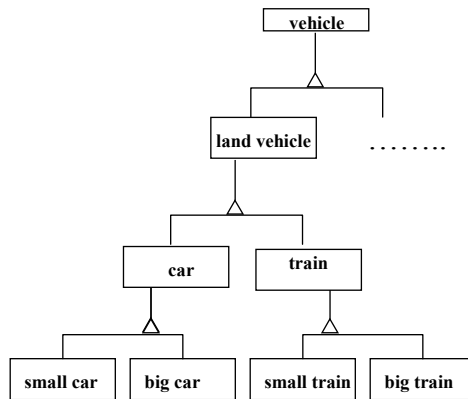
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# Most important feature & aggregation



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# Generalization based on different dimensions



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# Contents

- Attributes and operations
- Inheritance
- Aggregation
- *Types, interfaces and abstract classes*
- Advanced association concepts
- When and how

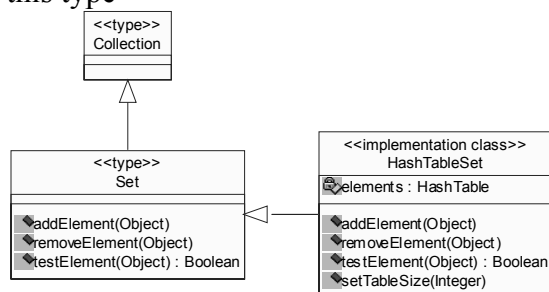
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# OO types

- Stereotype <<type>> specifies
  - domain of objects
  - operations (not their implementation) applicable to the objects of this type

- Stereotype <<implementation class>>

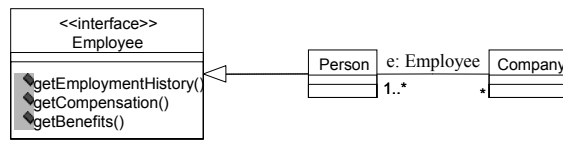
physical data structures and methods of an object



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# Types and Roles

- interfaces that belong to a class represent different roles
- You can explicitly state the role a class presents to another class:



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# Static and dynamic types

- Static types: the type of an object doesn't change over time, e.g. classes
- Dynamic types: object can gain and lose types during lifetime
- Example: Candidate, Employee, Retiree

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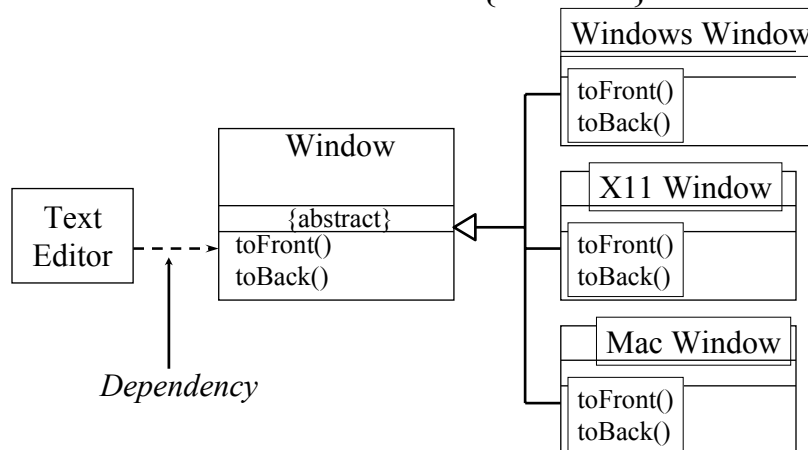
# Abstract class

- has no instances
- organizes attributes & operations
- often: facilitates code reuse
- abstract operation: implementation in concrete subclasses
- can contain concrete implementations

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# Abstract class in UML

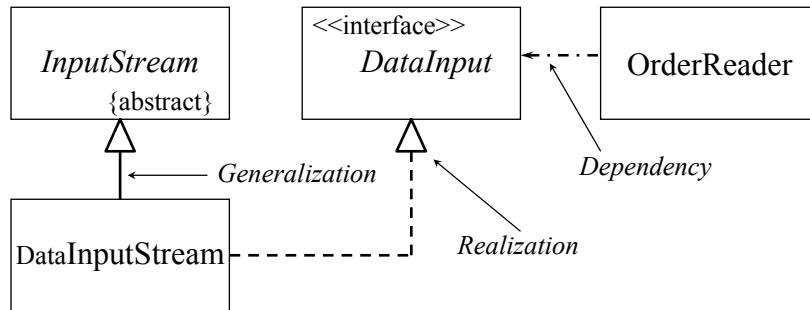
- Name in italic and/or {abstract} constraint



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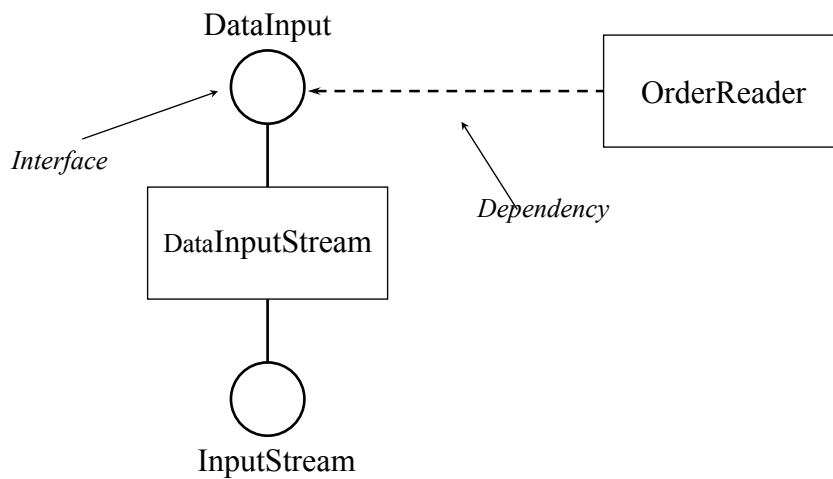
# Interfaces in UML (1)

- Stereotype <<interface>>
- Lollipop



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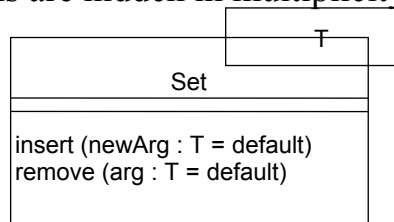
# Interfaces in UML (2)



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# Parameterized classes

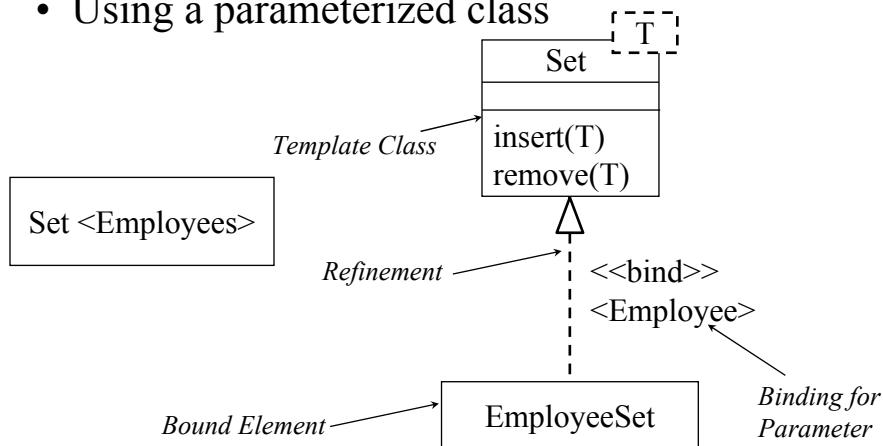
- Parameterized class = template
- Often used for collections in typed languages
- Not needed in conceptual modeling
  - Collections are hidden in multiplicity



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# Bound element

- Using a parameterized class



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# Contents

- Attributes and operations
- Inheritance
- Aggregation
- Interfaces and abstract classes
- *Advanced association concepts*
- When and how

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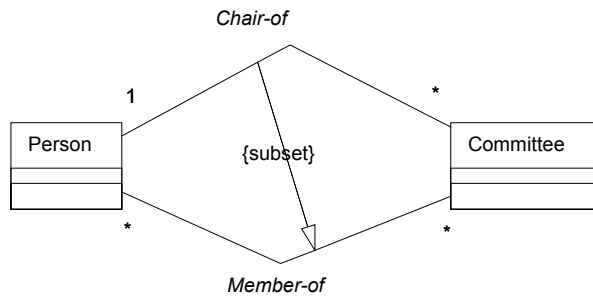
# Constraints

- Basic constructs specify important constraints
  - but: can not capture everything
- Additional constraints: in braces { }
  - {UofC has always to be better than UofA}
  - {immutable}
  - {read only}

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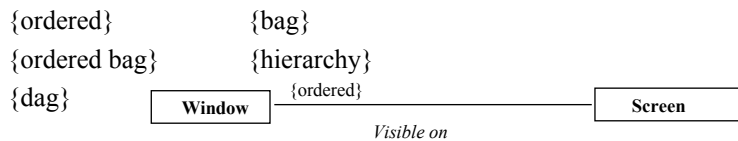
# Example



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## Collections for multi-valued roles

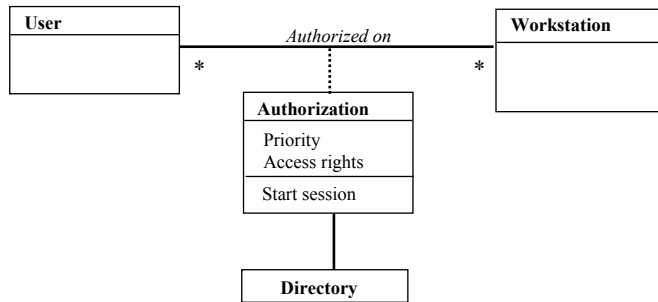
- Multiplicity  $> 1$ 
  - Set
    - no target object appears more than once
    - not ordered
- Add constraint to change that



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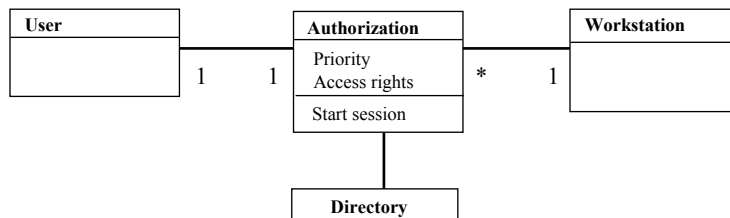
# Association classes

- Useful if
  - attributes don't belong to any one class but to the association



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# Remodeling: association classes

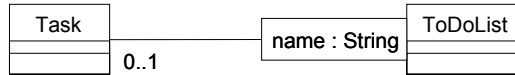


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# Qualified associations (1)

- UML equivalent for Hashtable

o1	44
o2	56
o3	87
o4	99



- Within a ToDoList, you mustn't have two tasks with the same name

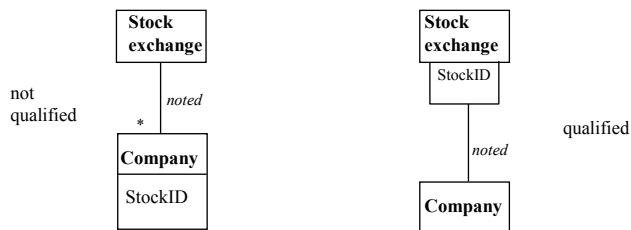
```

class ToDoList {
    public Task getTask(String name);
    public void addTask(String name, Task aTask);
    ...}
  
```

- Multiplicity \*: Multiple task with one name

# Qualified association (2)

- Improves semantic accuracy
- Makes navigation paths understandable



## Qualified association (3)

- Qualification splits a set of objects in disjunctive parts



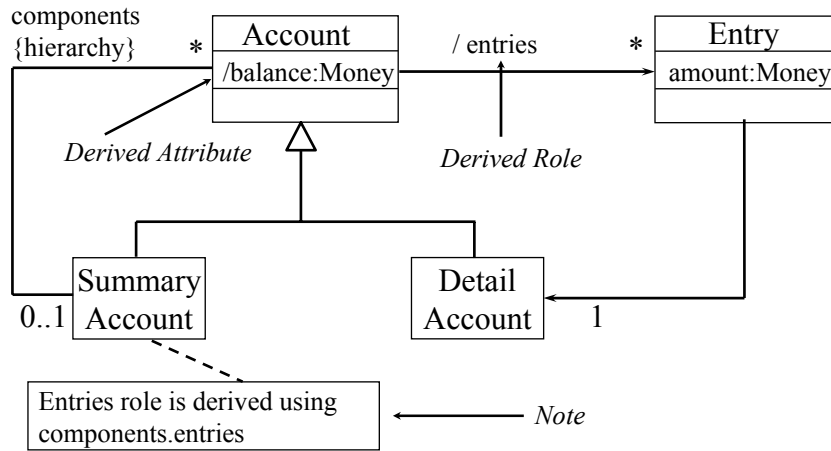
87

## Derived associations and attributes (1)

- Calculated based on other attributes and associations
- Specification: Shows constraint not what is stored and what is calculated

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## Derived associations and attributes (2)



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## Class Diagram (Structural)

- Use: Describe the static structure of a system
  - Hierarchy
  - Containment
  - Inheritance
  - Calling
  - Object Types

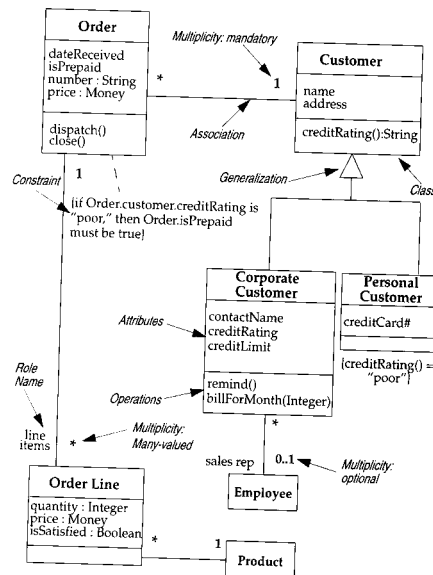


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

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# Contents

- Attributes and operations
- Inheritance
- Aggregation
- Interfaces and abstract classes
- Advanced association concepts
- *When and how*

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## When to use class diagrams

- Class diagrams are the backbone of OO development approaches
- Don't use all the notations
  - start with simple stuff
- Take the perspective into account
  - not too many details in analysis
  - specification often better than implementation
- Concentrate on key areas
  - better few up-to-date diagrams than many obsolete models

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## Creating a class diagram

- Start simple
  - major classes & obvious associations
- Then add
  - Attributes
  - Multiplicity
  - Operations

93

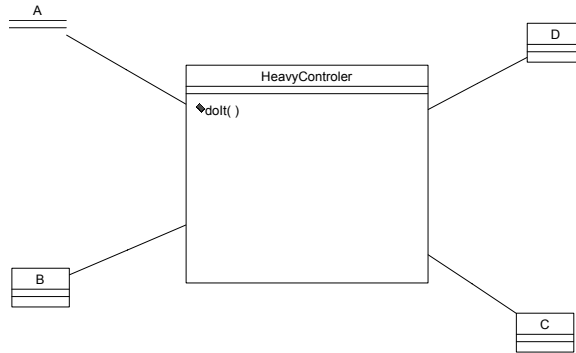
## Rules of thumb

- One class can be part of several diagrams
- Diagrams shall illustrate specific aspects
  - Not too many classes
  - Not too many associations
  - Hide irrelevant attributes/operations
- Several iterations needed to create diagram

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# Avoid “Heavy” classes

- Controller does everything
- Other classes: Data encapsulation only



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# Contents

- *State diagrams: an example*
- Interaction diagrams
  - Sequence diagrams
  - Collaboration diagrams

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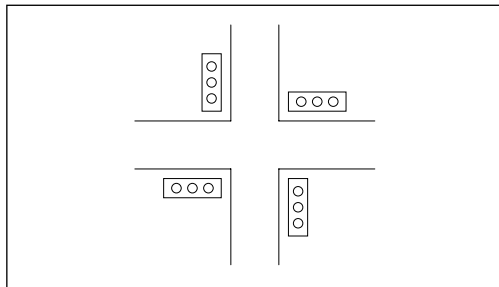
# Example

- A zoo consists of a set of cages.
- Every cage is the home of at least 2 animals.
- Cages are located besides each other.
- Every cage has at most one left neighbor and at most one right neighbor.
- Animals can be reptiles, insects, and mammals.
- Mammals are elephants, monkeys, and tigers.
- Monkeys eat bananas.
- Tigers prefer meat.

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# Traffic lights

- Develop a state transition diagram for the 4 traffic lights at a crossing. Make sure that the lights never allow traffic to move east to west (or west to east) at the same time as they allow traffic to move north to south (or south to north). Give meaningful names to all state transitions.



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# Contents

- State diagrams: an example
- *Interaction diagrams*
  - *Sequence diagrams*
  - Collaboration diagrams

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# Interaction diagrams

- describe how groups of objects interact
- typically describe the scenario of a single use case
- show
  - example objects
  - messages between them
  - timeline

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# Sequence diagrams

- shows object interactions arranged in time sequence
  - objects (and classes)
  - message exchange to carry out the scenarios functionality
- time line

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# Objects in UML

- Rectangle
- Name (specific or general) of object is underlined
  - name
  - name & class
  - class (anonymous object)

*Object Name*

History 101-Section 2

*Object Name and Class*

History 101-Section 7: CourseOffering

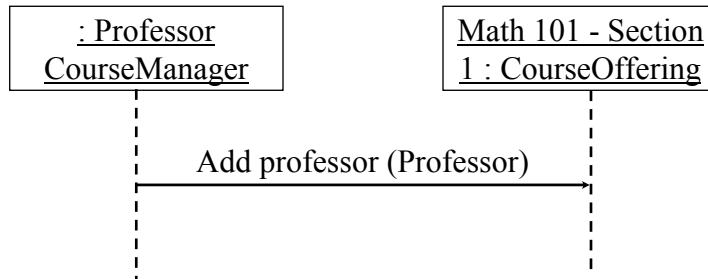
*Class Name*

: CourseOffering

102

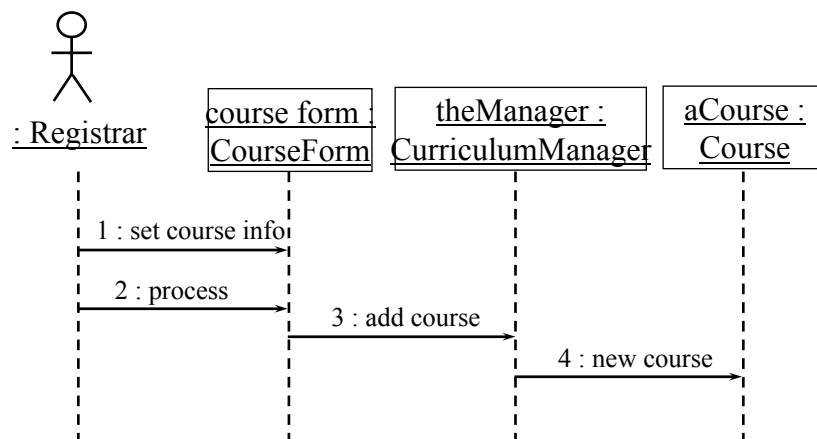
# Timelines

- Messages point from client to supplier



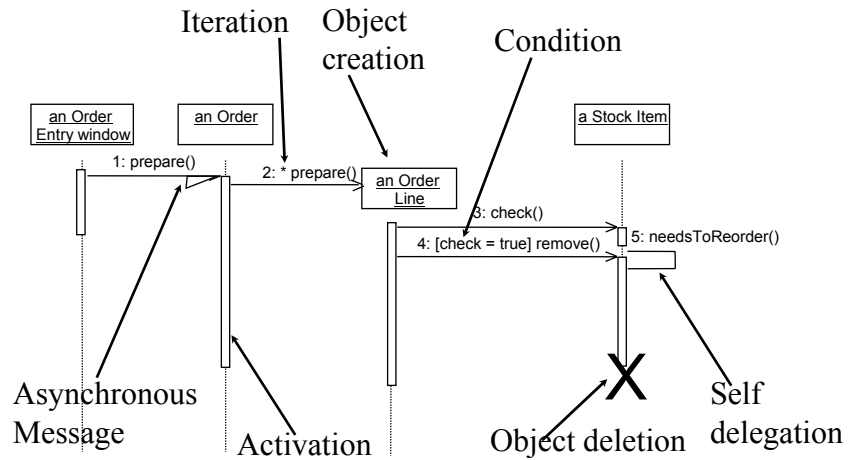
103

# Example: Sequence diagram



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## Sequence diagrams: More details



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## Asynchronous messages

- Do not block the caller
- Can do 3 things:
  - Create a new thread
  - Create a new object
  - Communicate with a thread that is already running

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## **Boundary classes**

- Handle communication between system and outside world
  - e.g. user interface or other system
- Boundary classes in interaction diagrams:
  - capture interface requirements
  - do NOT show how the interface will be implemented

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## **Complexity and sequence diagrams**

- KISS
  - = keep it small and simple
- Diagrams are meant to make things clear
- Conditional logic
  - simple: add it to the diagram
  - complex: draw separate diagrams

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# Contents

- State diagrams: an example
- *Interaction diagrams*
  - Sequence diagrams
  - *Collaboration diagrams*

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## Sequence Diagram (Behavioral)

- Use: Describing behavior across several objects of a use-case or scenario

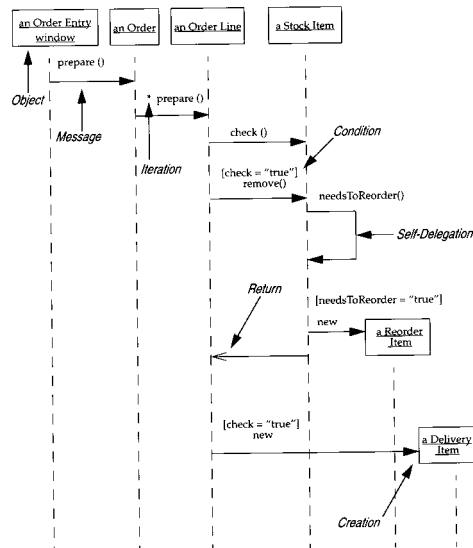


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

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# Sequence Diagram with Concurrency

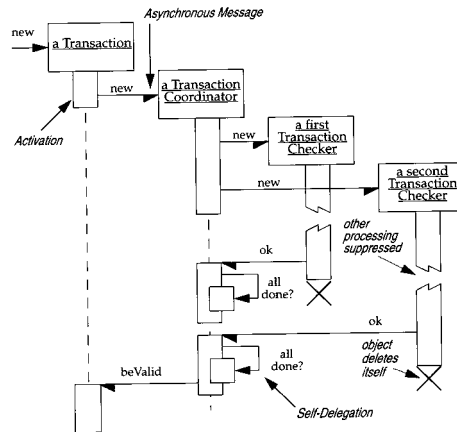


Diagram: UML Distilled, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

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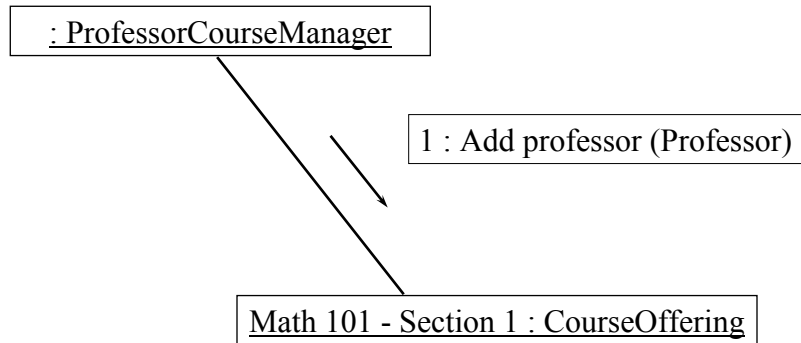
## Collaboration diagrams

- Show objects and messages
- Sequence of messages determined by numbering
  - 1, 2, 3, 4, .....
  - 1, 1.1, 1.2, 1.3, 2, 2.1, 2.1.1, 2.2, 3  
(shows which operation calls which other operation)

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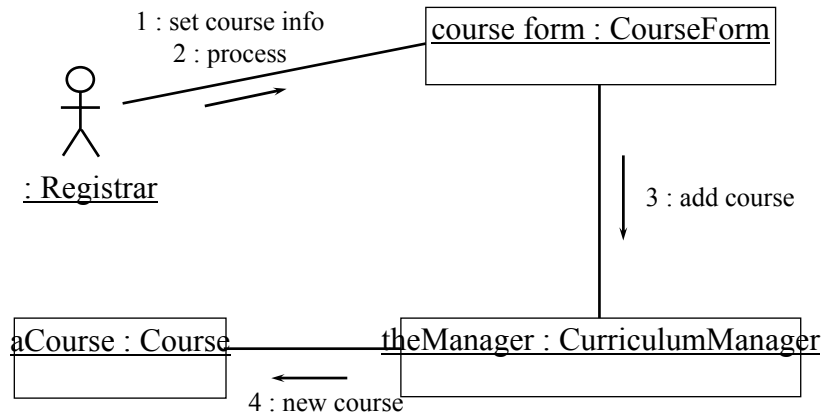


## Collaboration diagram basics



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## Collaboration diagram example



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# Collaboration Diagram (Behavioral)

- Use:  
Describing  
behavior across  
several objects  
of a use-case or  
scenario

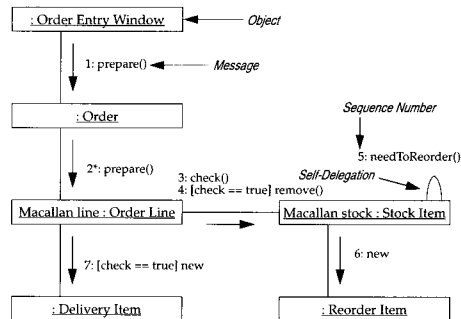


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

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## Comparing sequence & collaboration diagrams

- Sequence of messages more difficult to understand in collaboration diagrams
- Layout of collaboration diagrams may show static connections of objects
- Complex control is difficult to express

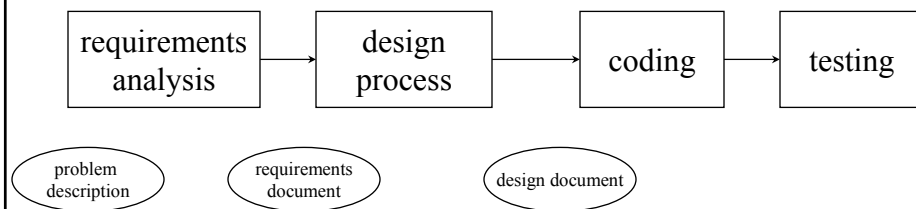
116

# State diagrams

- *Design document*
- State diagrams

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## Main processes of the team assignment



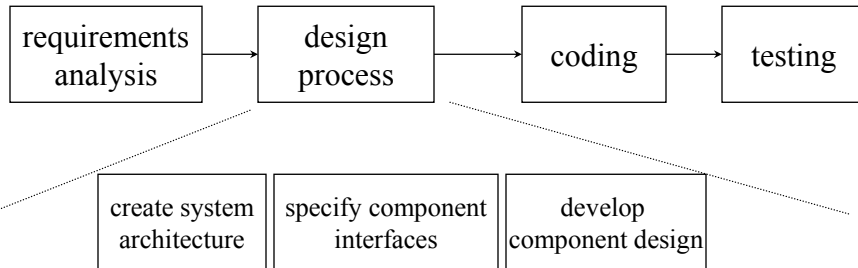
Used technique:  
Use cases

Used technique:  
UML class diagrams,  
UML sequence diagrams,  
UML activity diagrams  
*UML state diagrams*

Used language:  
Java

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# Refined design processes of the team assignment



Techniques:  
UML class diagrams,  
UML sequence  
diagrams,  
*UML state diagrams*

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## Design document

- System architecture: class diagrams
- Component interfaces
  - class diagrams (interfaces, types)
  - sequence diagrams
- Component design
  - class diagrams
  - state diagrams
  - sequence diagrams

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## Design document - aim

- Basis for implementation
- provides different views
  - other developers: architecture, component interfaces
  - implementation: straightforward
- Allows quick overview over the system structure and main design decisions
- Allows developers to work in parallel

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## Diagram notations

- State diagrams
  - describe the behavior of objects
- Activity diagrams
  - describe the flow of work
  - parallel processing
- Sequence diagrams
  - describe time ordering of messages
- Deployment diagrams
  - physical relationship of software and hardware

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## State diagrams

- Design document
- *State diagrams*

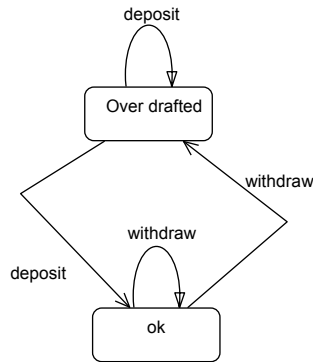
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## State diagrams

- State diagram: Shows the behavior of *one* object
  - how does it change its state based on the messages it receives
  - narrowly focused, fine-grained
- Other names
  - State transition diagram
  - Harel diagram (statecharts)

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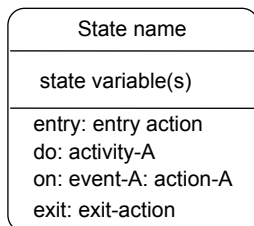
## State diagrams (2)



- State: condition/situation during lifetime of an object
- State transition: relationship indicating a state change
  - atomic & non-interruptible
- Action:
  - atomic & non-interruptible

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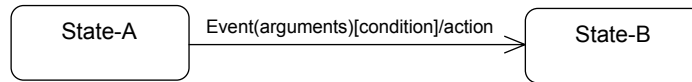
## State notation (1)



- Substates: disjoint/concurrent
- Entry/exit actions
  - entry: an action that is performed on entry to the state
  - exit: an action performed on exiting the state
- do: an ongoing activity performed while in the state (example: display window)
  - interruptible
- on: an action performed as a result of a specific event

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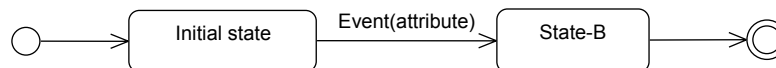
## Transition notation (2)



- Event: significant occurrence that has a location in time and space
  - triggers the transition
  - signals, calls, passing of time, change in state
- Guard condition:
  - Transition only eligible to fire when guard evaluates to true
  - Guards of transition exiting one state are mutually exclusive
- Action: executable atomic computation

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## State diagram notation (3)

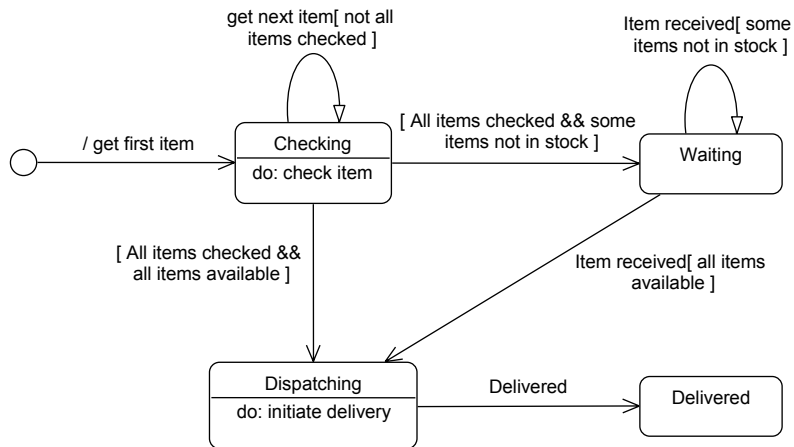


- Start state
  - No event triggers allowed
  - branch conditions allowed
  - may not remain in start states
- End state
  - Top level end state terminates a state machine

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# State transitions for an order



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# State Diagram (Behavioral)

- Use: Describing behavior of a single object
- Hint: the entire system is a single top-level object

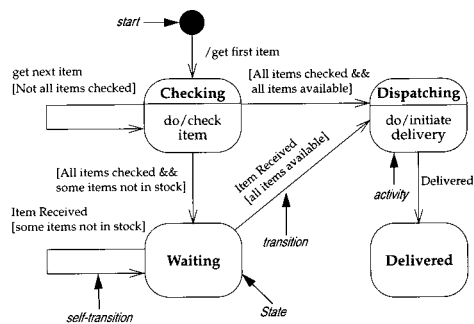
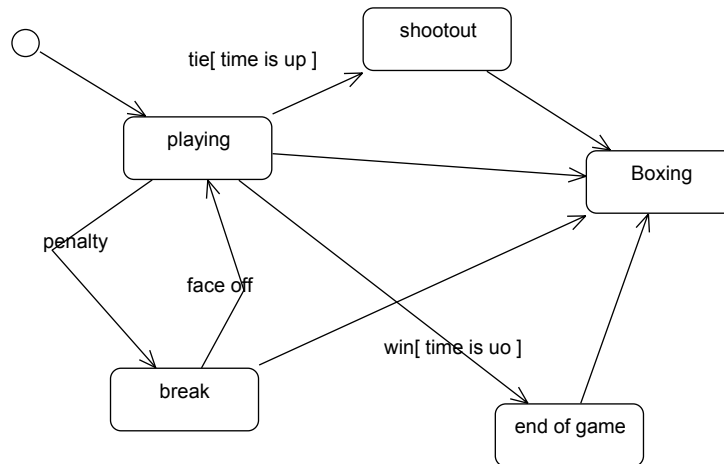


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

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## States of a hockey game



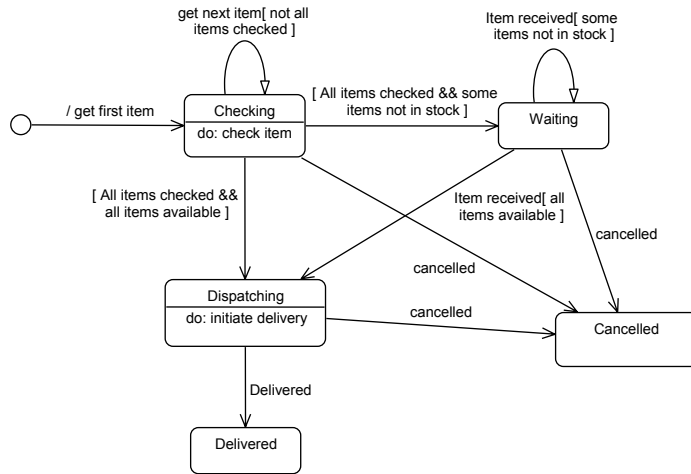
131

## Problem: Cancel the order

- Want to be able to cancel an order at any time
- Solutions
  - Transitions from every state to state “cancelled”
  - Superstate and single transition

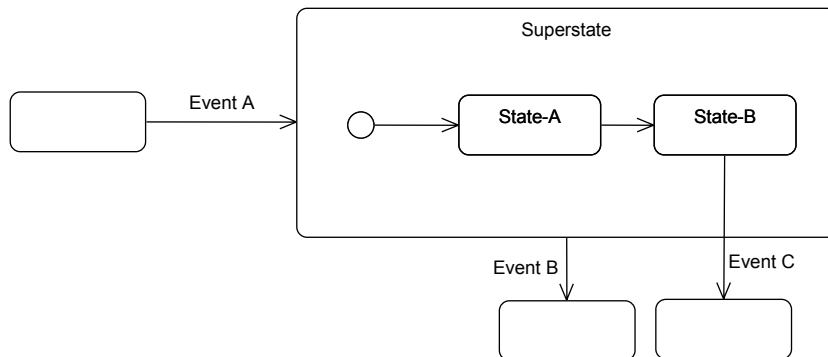
132

# Transitions to “cancelled”



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# State diagram notation (4)



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# State Diagram with Substates

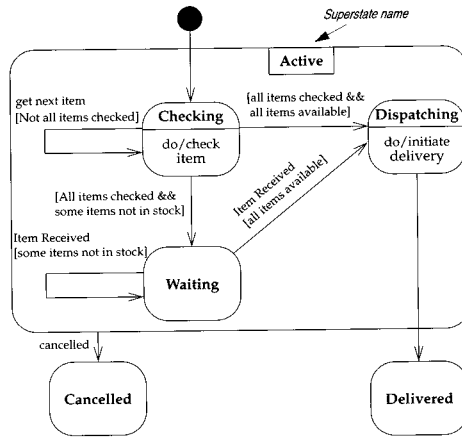
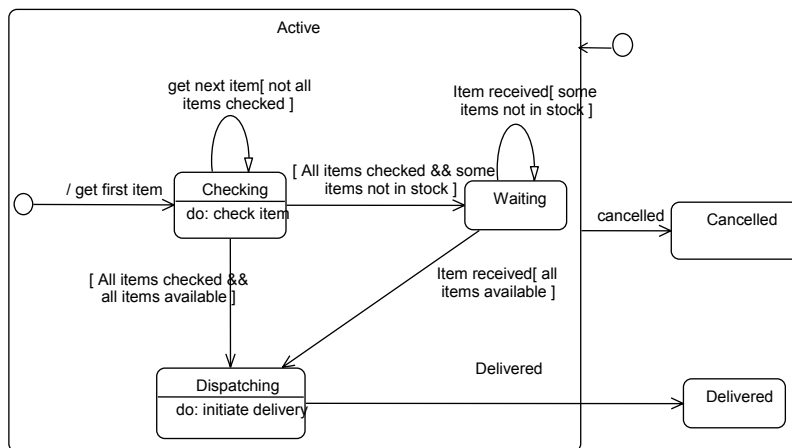
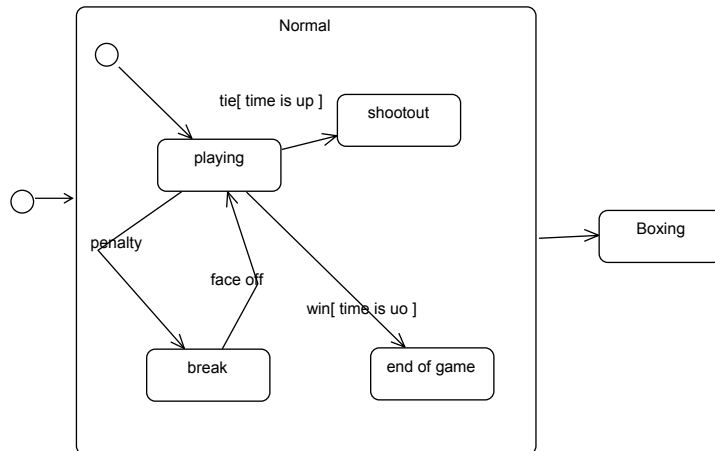


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

# Superstate



## Hockey example with superstate



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## Some remarks

- Only one initial state may occur (directly) within a composite state
- End state represents completion of a composite
- End state triggers transition with composite as source

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# Orthogonal components and concurrency

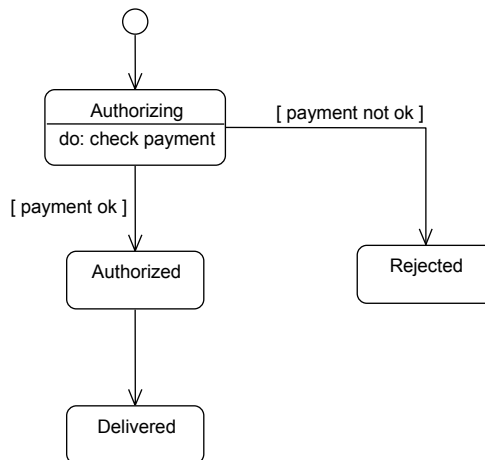
- Unrelated components of objects
  - combinatorial number of states
- Example: Car states
  - engine (started, stopped)
  - doors (open, closed)
- What happens when we add one component?
  - seat belt (fastened, open)

4 car states:  
 started\_open  
 started\_closed  
 stopped\_open  
 stopped\_closed

8 car states:  
 started\_open\_open  
 started\_closed\_open  
 stopped\_open\_open  
 stopped\_closed\_open

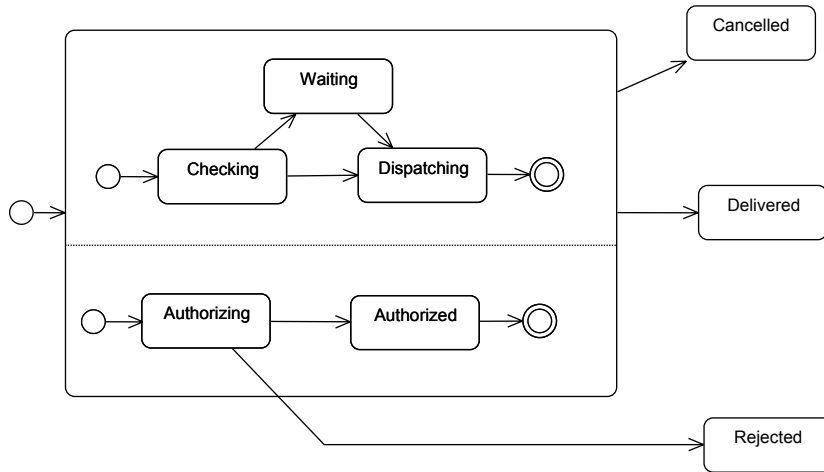
started\_open\_fastened  
 started\_closed\_fastened  
 stopped\_open\_fastened  
 stopped\_closed\_fastened

## Example: Payment authorization in class Order



2 parallel processes:  
 - authorization  
 - order handling

# Concurrent state diagram for the class Order



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# State Diagram with Concurrency

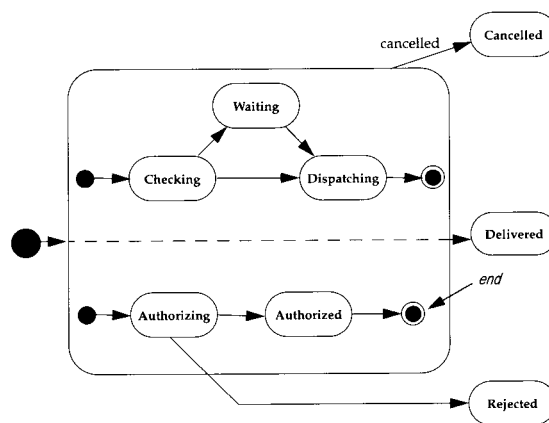


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

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# Rules of thumb

- Not every class needs a state diagram
- Often: State diagram not very complex
- State diagrams are often used for UI and control objects
- Not too many concurrent sets of behavior occurring in a single object (in that case: split into separate objects)

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## Activity Diagrams (Behavioral)

- Use: Understanding Workflow
- Use: Analyzing Use-Case
- Use: Dealing with Multi-Threading
- No: Analyzing Object Collaboration
  - Use Sequence or Collaboration Diagrams
- No: Analyzing Object Behavior
  - Use State Diagram

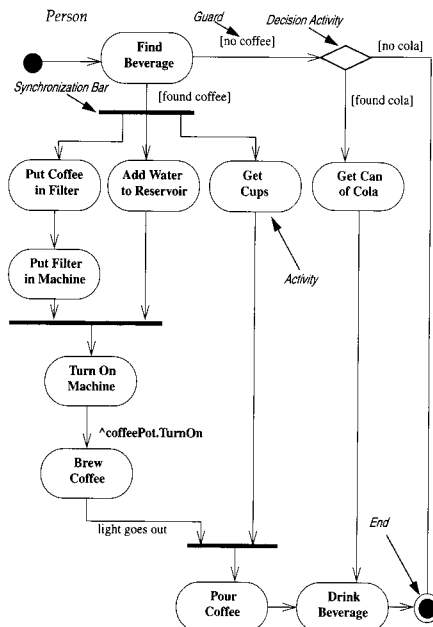


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley



# Activity Diagrams with Swim Lanes

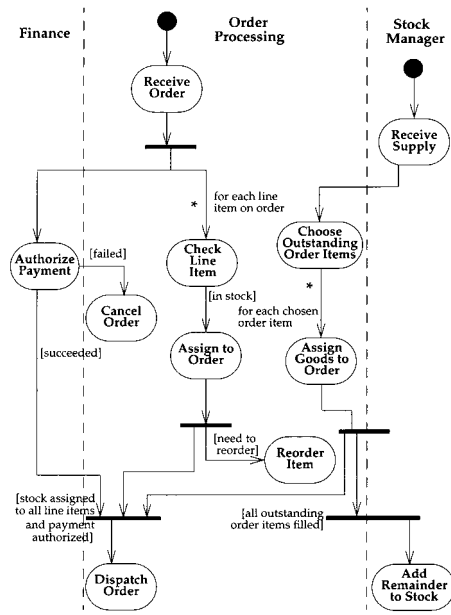


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

# Package Diagram (Structural)

- Use: Large-Project Structures

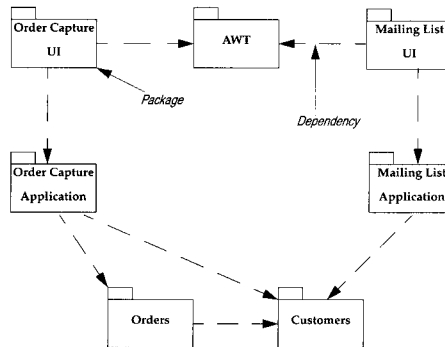
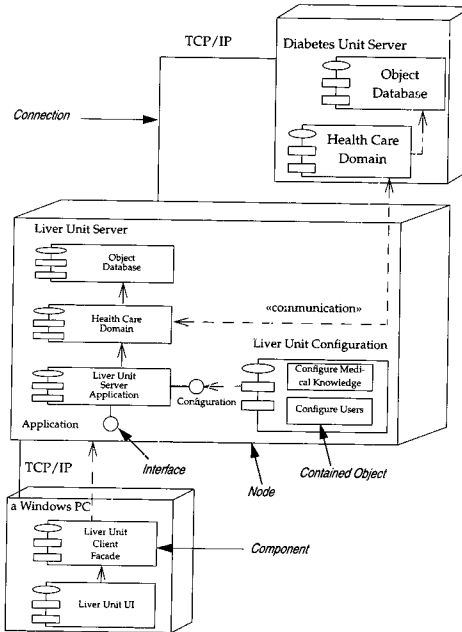


Diagram: *UML Distilled*, Martin Fowler, Kendall Scott, 1997, Addison-Wesley, Copyright 1997, Addison-Wesley

# Deployment Diagram

- Use: Describing System/Hardware/Software Relationships



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