



# Data Communications & Networks

## Session 7 – Main Theme Reliable Data Transfer

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*Adapted from course textbook resources  
Computer Networking: A Top-Down Approach, 6/E  
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# Agenda



**1 Session Overview**

**2 Reliable Data Transfer**

**3 Summary and Conclusion**



- Course description and syllabus:

- » <http://www.nyu.edu/classes/jcf/csci-ga.2262-001/>
- » <http://cs.nyu.edu/courses/Fall13/CSCI-GA.2262-001/index.html>

- Textbooks:

- » ***Computer Networking: A Top-Down Approach (6<sup>th</sup> Edition)***

James F. Kurose, Keith W. Ross

Addison Wesley

ISBN-10: 0132856204, ISBN-13: 978-0132856201, 6th Edition (02/24/12)





- Computer Networks and the Internet
- Application Layer
- Fundamental Data Structures: queues, ring buffers, finite state machines
- Data Encoding and Transmission
- Local Area Networks and Data Link Control
- Wireless Communications
- Packet Switching
- OSI and Internet Protocol Architecture
- Congestion Control and Flow Control Methods
- Internet Protocols (IP, ARP, UDP, TCP)
- Network (packet) Routing Algorithms (OSPF, Distance Vector)
- IP Multicast
- Sockets



- Introduction to Basic Networking Concepts (Network Stack)
- Origins of Naming, Addressing, and Routing (TCP, IP, DNS)
- Physical Communication Layer
- MAC Layer (Ethernet, Bridging)
- Routing Protocols (Link State, Distance Vector)
- Internet Routing (BGP, OSPF, Programmable Routers)
- TCP Basics (Reliable/Unreliable)
- Congestion Control
- QoS, Fair Queuing, and Queuing Theory
- Network Services – Multicast and Unicast
- Extensions to Internet Architecture (NATs, IPv6, Proxies)
- Network Hardware and Software (How to Build Networks, Routers)
- Overlay Networks and Services (How to Implement Network Services)
- Network Firewalls, Network Security, and Enterprise Networks



- Principles of Reliable Data Transfer
- Reliable Data Transfer: Getting Started
- Reliable Data Transfer: Operational Details
- Other Reliable Data Transfer Protocols
- Conclusion



Information



Common Realization



Knowledge/Competency Pattern



Governance



Alignment



Solution Approach

# Agenda



- 1 Session Overview

- 2 **Reliable Data Transfer**

- 3 Summary and Conclusion

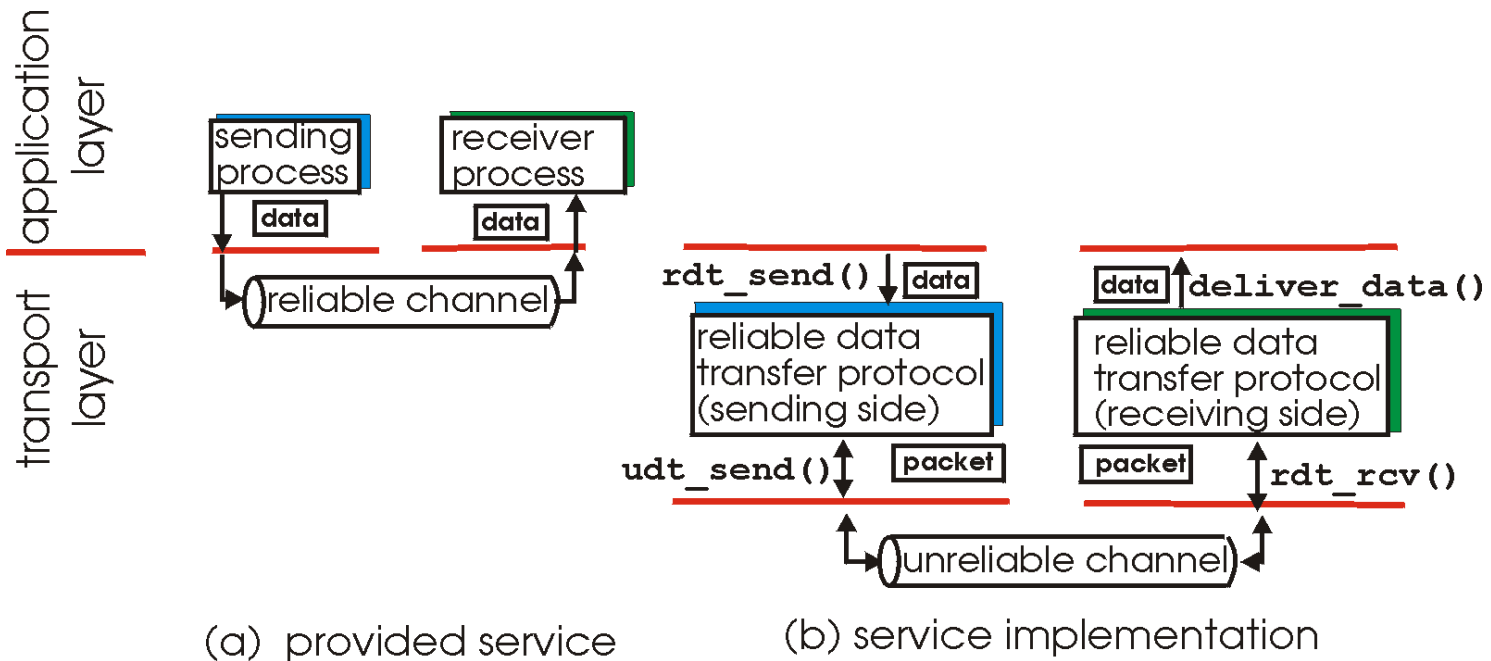




- Principles of Reliable Data Transfer
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# Principles of Reliable Data Transfer

- Important in app., transport, link layers
- Top-10 list of important networking topics!

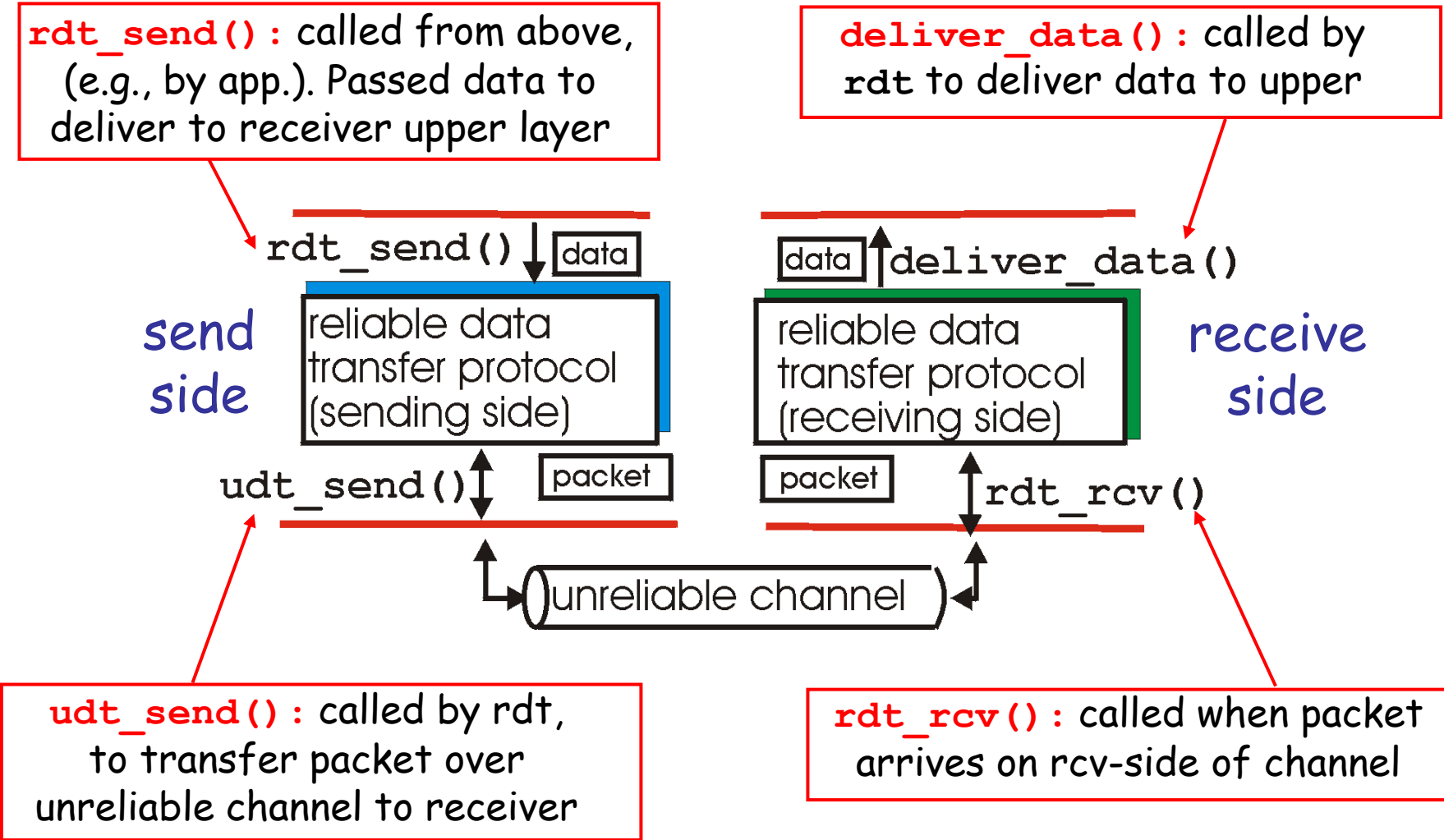


- Characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)



- Principles of Reliable Data Transfer
- **Reliable Data Transfer: Getting Started**
- Reliable Data Transfer: Operational Details
- Other Reliable Data Transfer Protocols

# Reliable Data Transfer: Getting Started

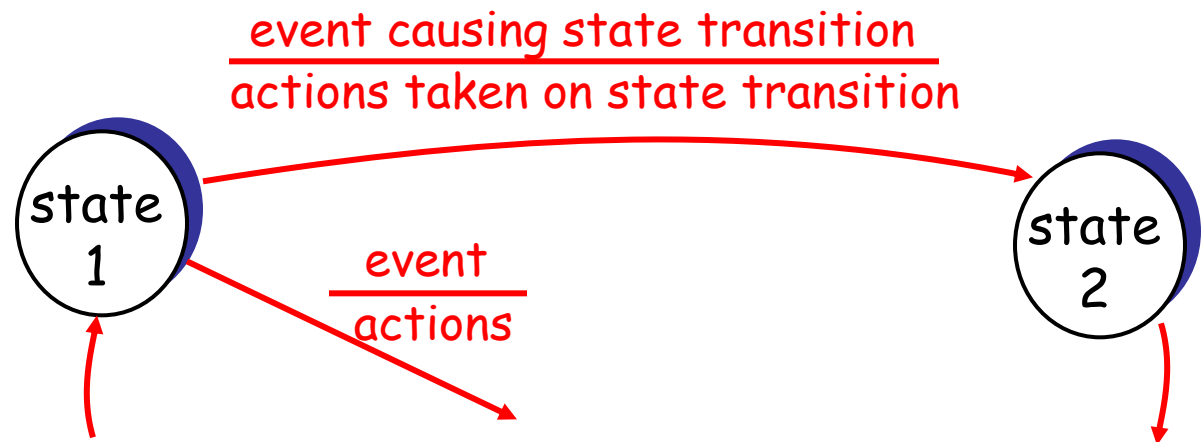


# Reliable Data Transfer: Getting Started

## We'll:

- Incrementally develop sender, receiver sides of reliable data transfer protocol (rdt)
- Consider only unidirectional data transfer
  - But control info will flow on both directions!
- Use finite state machines (FSM) to specify sender, receiver

**state:** when in this “state” next state uniquely determined by next event

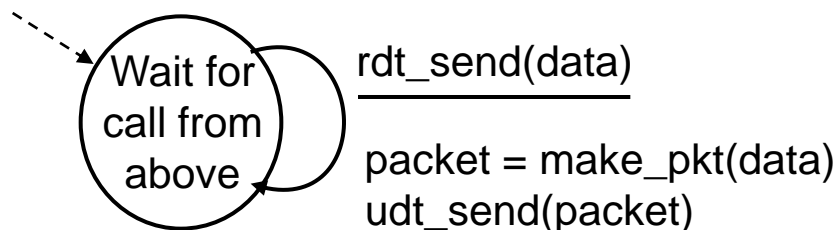




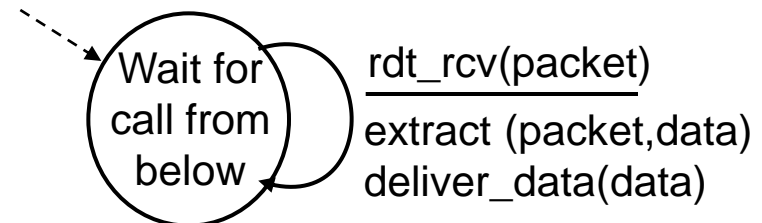
- Principles of Reliable Data Transfer
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- **Reliable Data Transfer: Operational Details**
- Other Reliable Data Transfer Protocols

# Rdt1.0 - Reliable Transfer Over a Reliable Channel

- Underlying channel perfectly reliable
  - No bit errors
  - No loss of packets
- Separate FSMs for sender, receiver:
  - Sender sends data into underlying channel
  - Receiver read data from underlying channel



sender

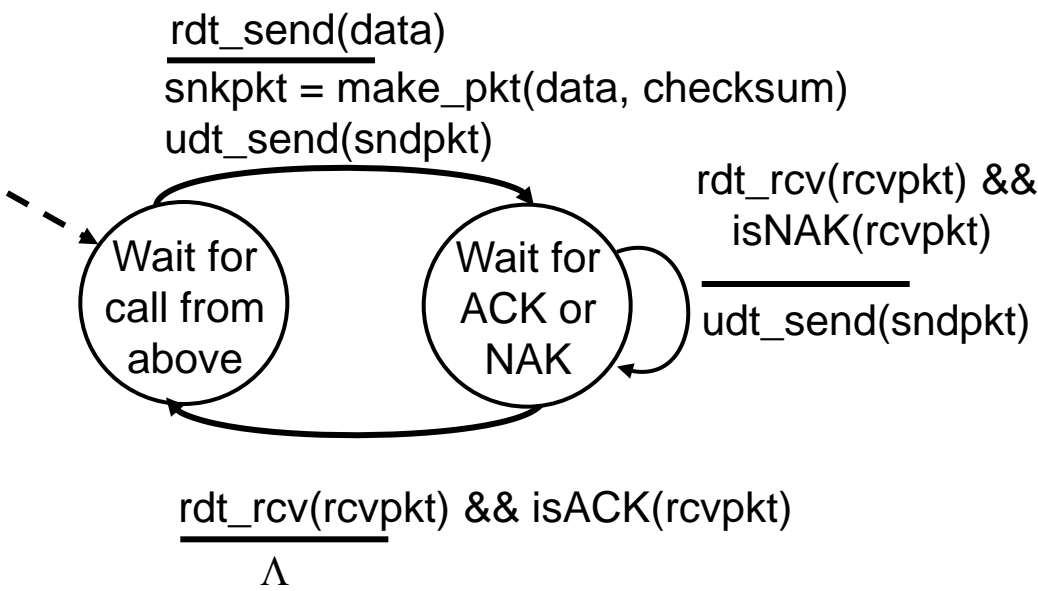


receiver

- Underlying channel may flip bits in packet
  - Checksum to detect bit errors
- *The question: how to recover from errors:*
  - *Acknowledgements (ACKs):* receiver explicitly tells sender that pkt received OK
  - *Negative acknowledgements (NAKs):* receiver explicitly tells sender that pkt had errors
    - Sender retransmits pkt on receipt of NAK
- New mechanisms in **rdt2.0** (beyond **rdt1.0**):
  - Error detection
  - Receiver feedback: control msgs (ACK,NAK) rcvr->sender

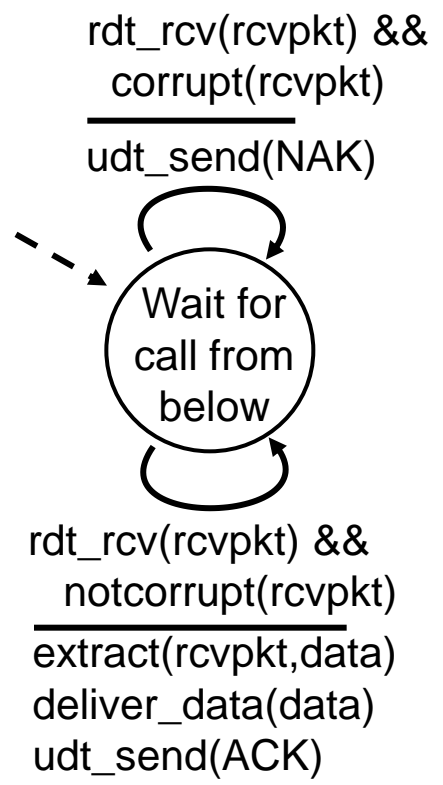


# Rdt2.0: FSM Specification

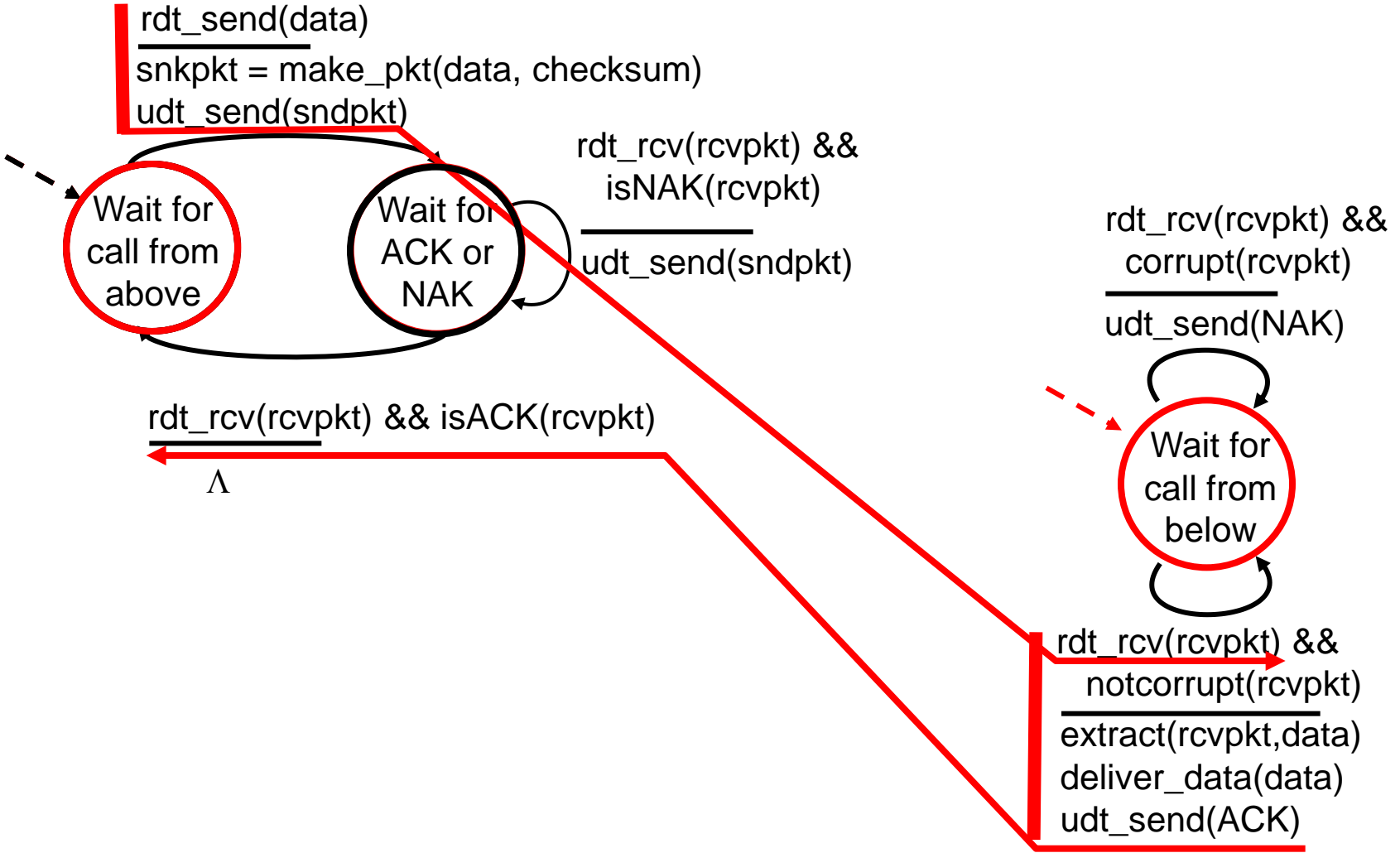


sender

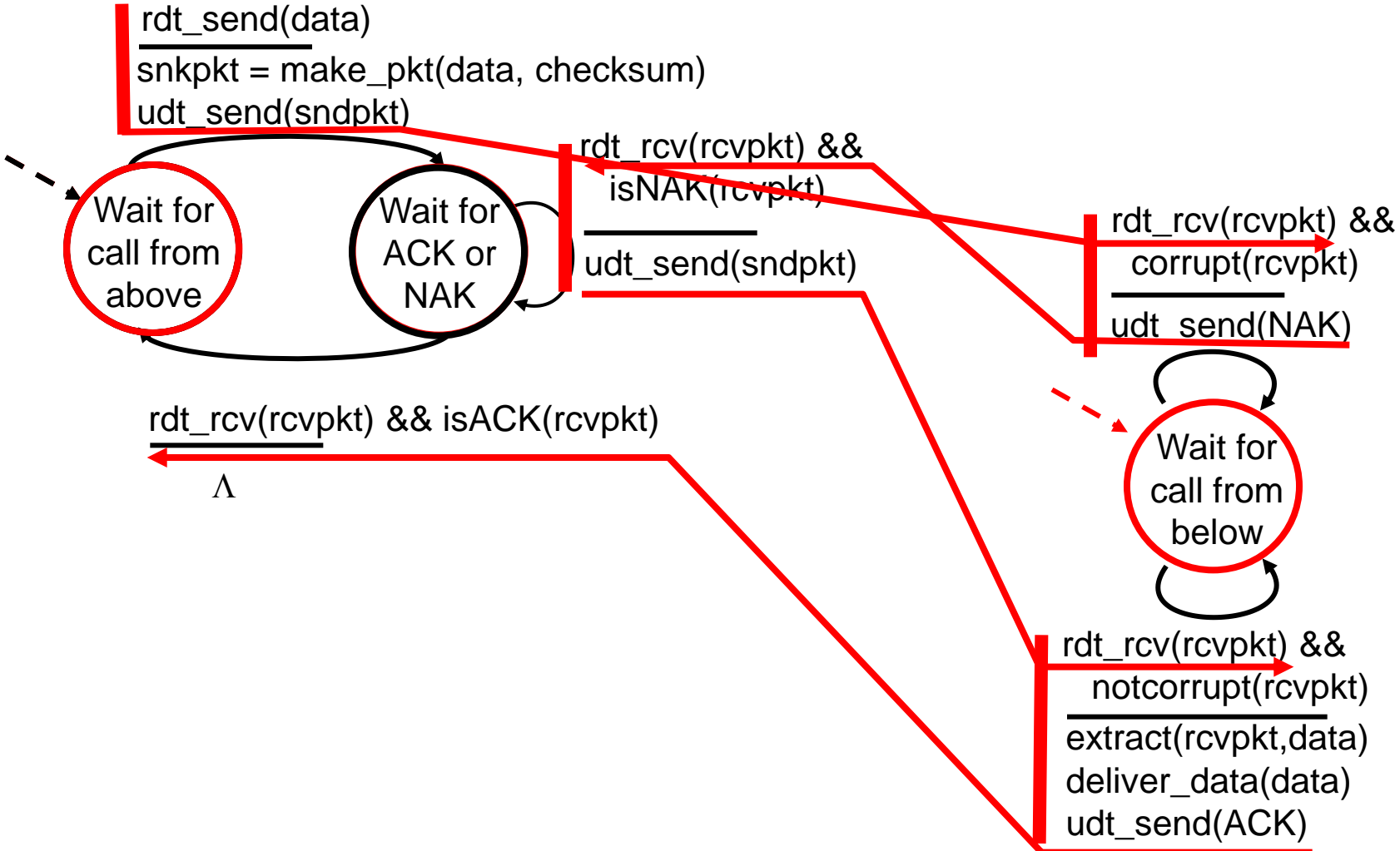
receiver



# Rdt2.0: Operation with No Error



# Rdt2.0: Error Scenario



## What happens if ACK/NAK corrupted?

- Sender doesn't know what happened at receiver!
- Can't just retransmit:  
possible duplicate

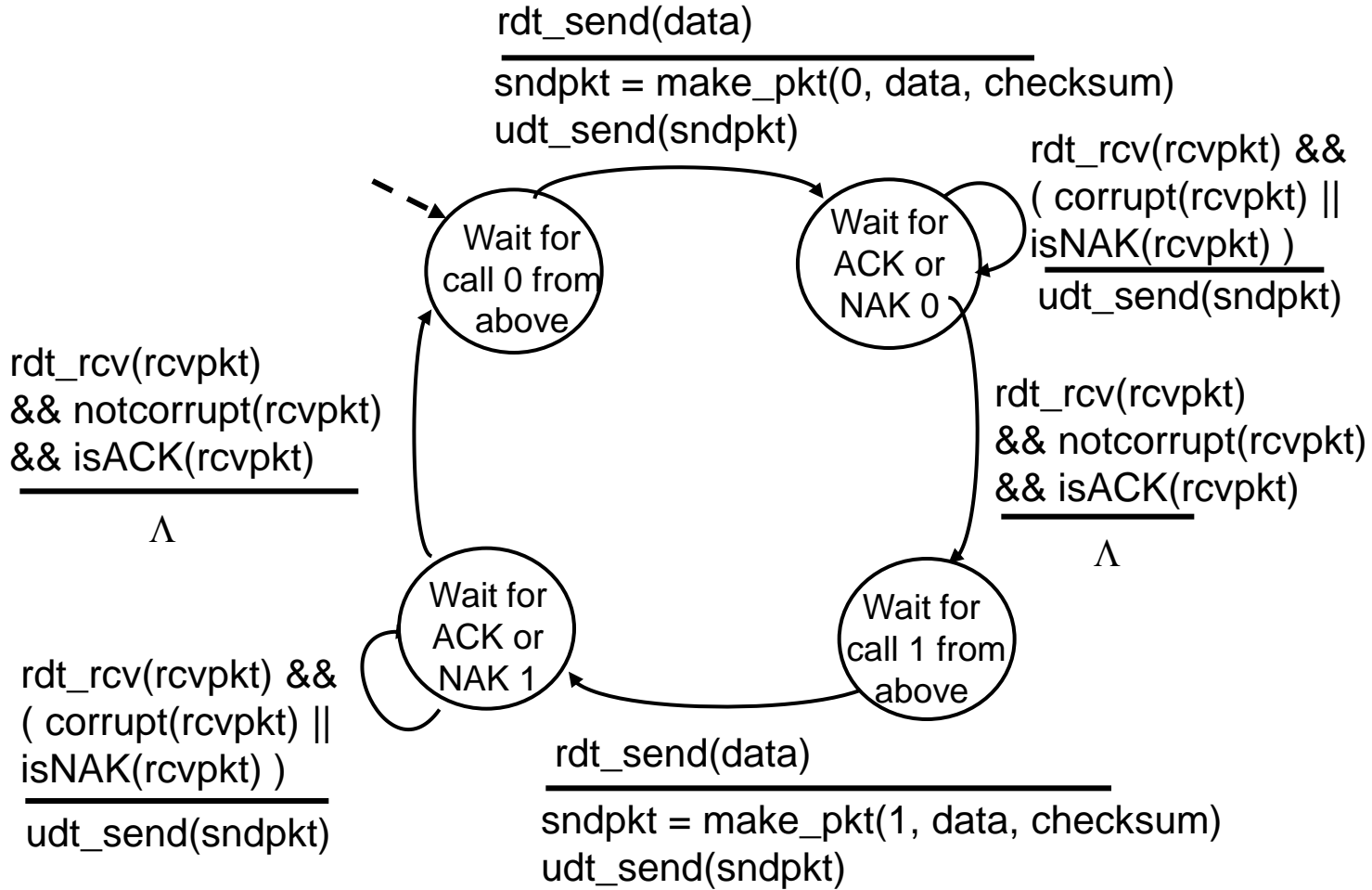
## Handling duplicates:

- Sender retransmits current pkt if ACK/NAK garbled
- Sender adds *sequence number* to each pkt
- Receiver discards (doesn't deliver up) duplicate pkt

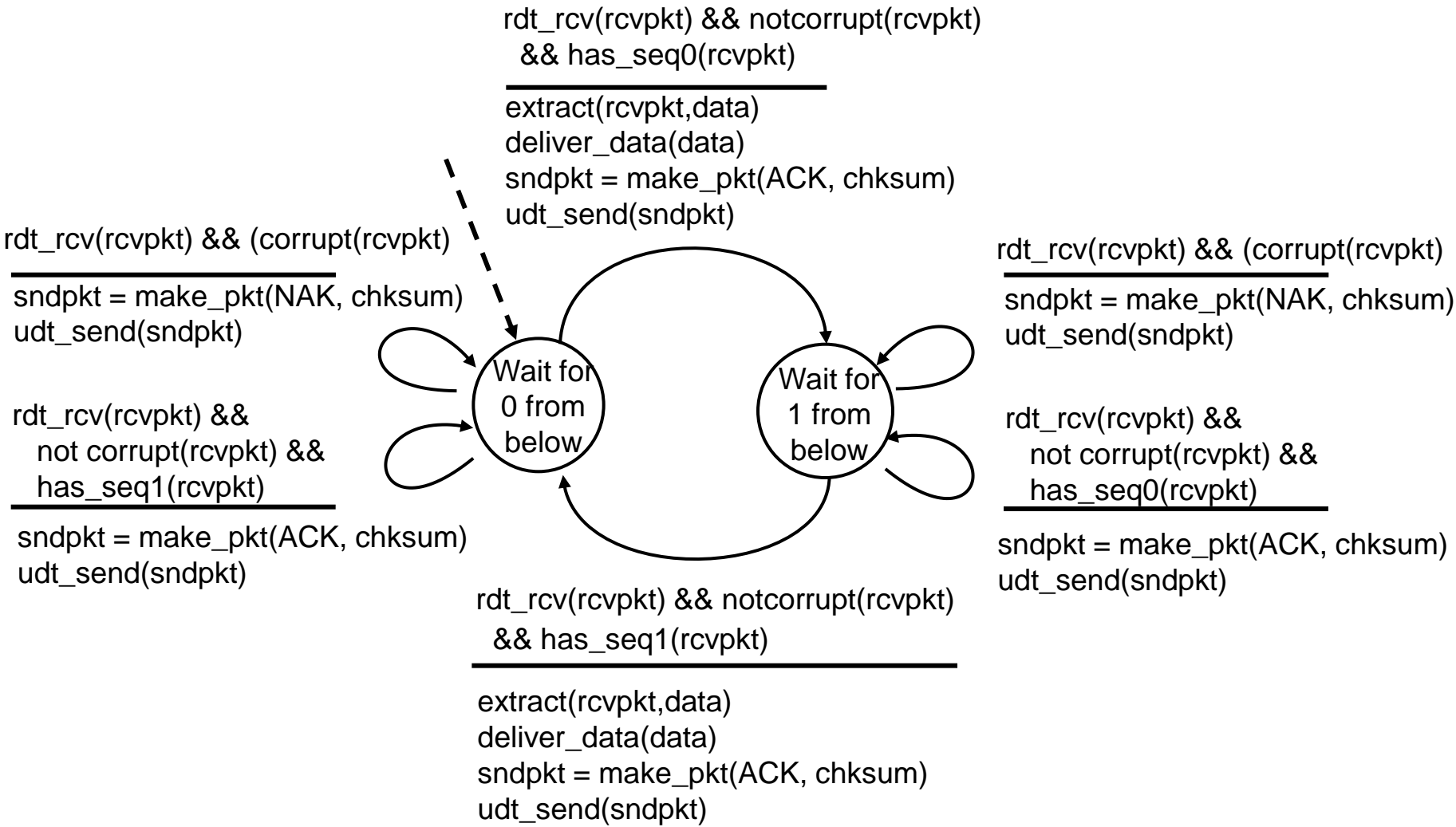
### stop and wait

Sender sends one packet,  
then waits for receiver  
response

# Rdt2.1: Sender Handles Garbled ACK/NAKs



# Rdt2.1: Receiver Handles Garbled ACK/NAKs



### Sender:

- Seq # added to pkt
- Two seq. #'s (0,1) will suffice. Why?
- Must check if received ACK/NAK corrupted
- Twice as many states
  - » state must “remember” whether “current” pkt has 0 or 1 seq. #

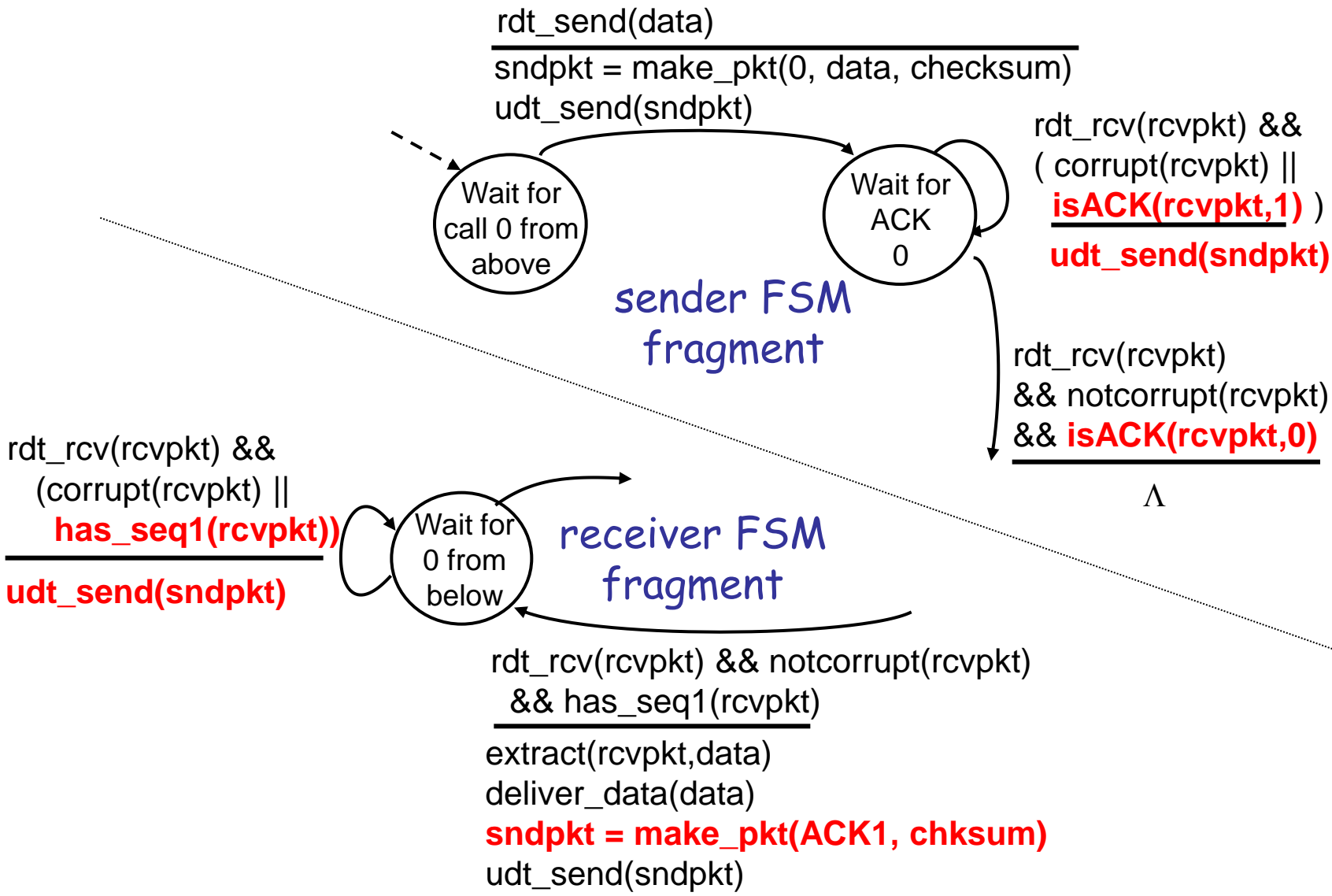
### Receiver:

- Must check if received packet is duplicate
  - » State indicates whether 0 or 1 is expected pkt seq #
- Note: receiver can *not* know if its last ACK/NAK received OK at sender

- Same functionality as rdt2.1, using ACKs only
- Instead of NAK, receiver sends ACK for last pkt received OK
  - Receiver must *explicitly* include seq # of pkt being ACKed
- Duplicate ACK at sender results in same action as NAK: *retransmit current pkt*



# Rdt2.2: Sender, Receiver Fragments



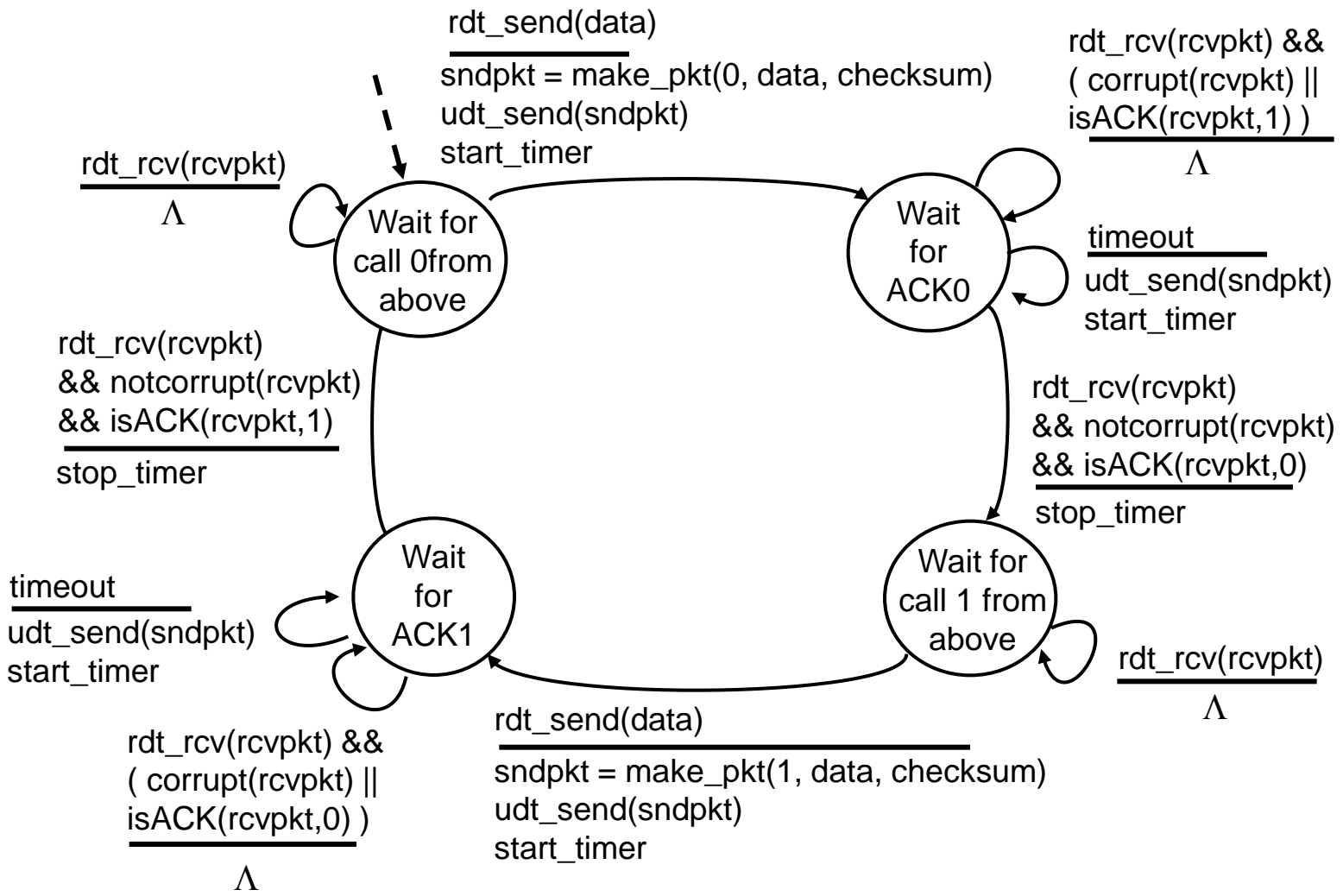
## New Assumption:

- Underlying channel can also lose packets (data or ACKs)
  - » checksum, seq. #, ACKs, retransmissions will be of help, but not enough

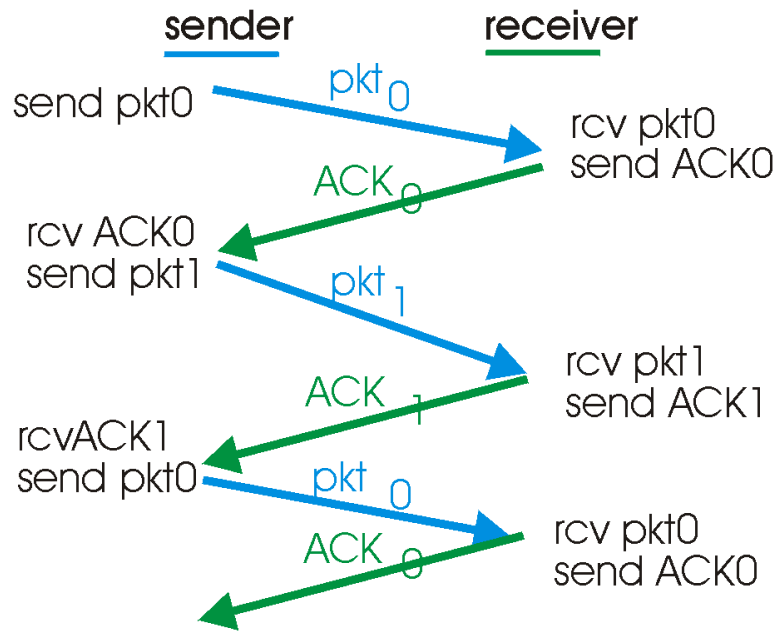
**Approach:** sender waits “reasonable” amount of time for ACK

- Retransmits if no ACK received in this time
- If pkt (or ACK) just delayed (not lost):
  - » Retransmission will be duplicate, but use of seq. #'s already handles this
  - » Receiver must specify seq # of pkt being ACKed
- Requires countdown timer

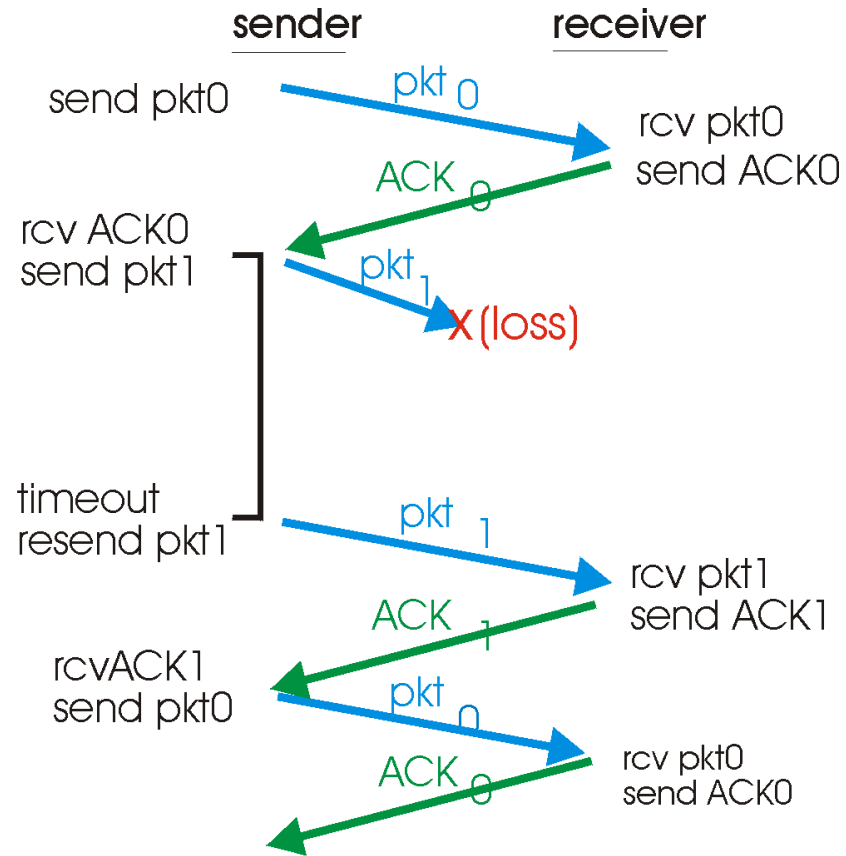
# Rdt3.0: Sender



# Rdt3.0 in Action

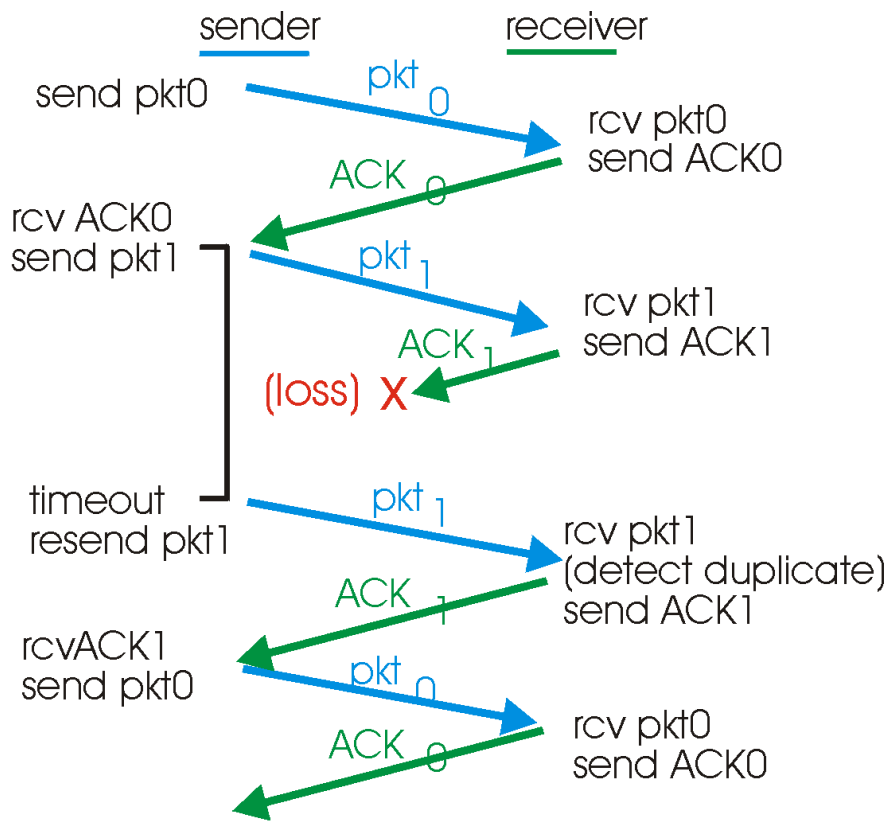


(a) operation with no loss

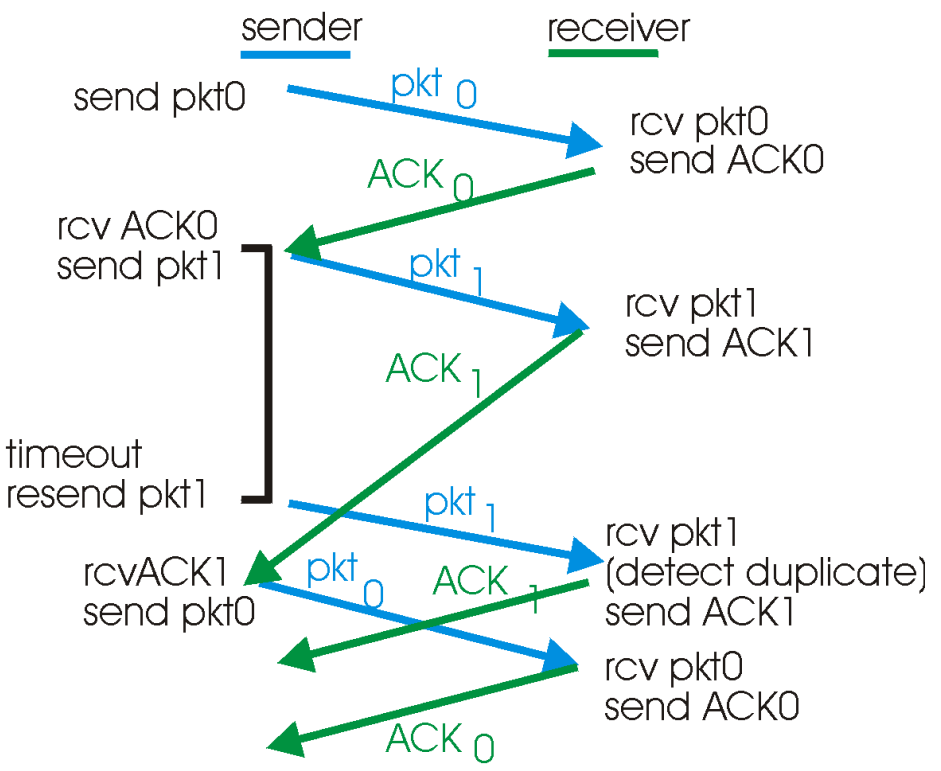


(b) lost packet

# Rdt3.0 in Action



(c) lost ACK



(d) premature timeout

- Rdt3.0 works, but performance stinks
- Example: 1 Gbps link, 15 ms e-e prop. delay, 1KB packet:

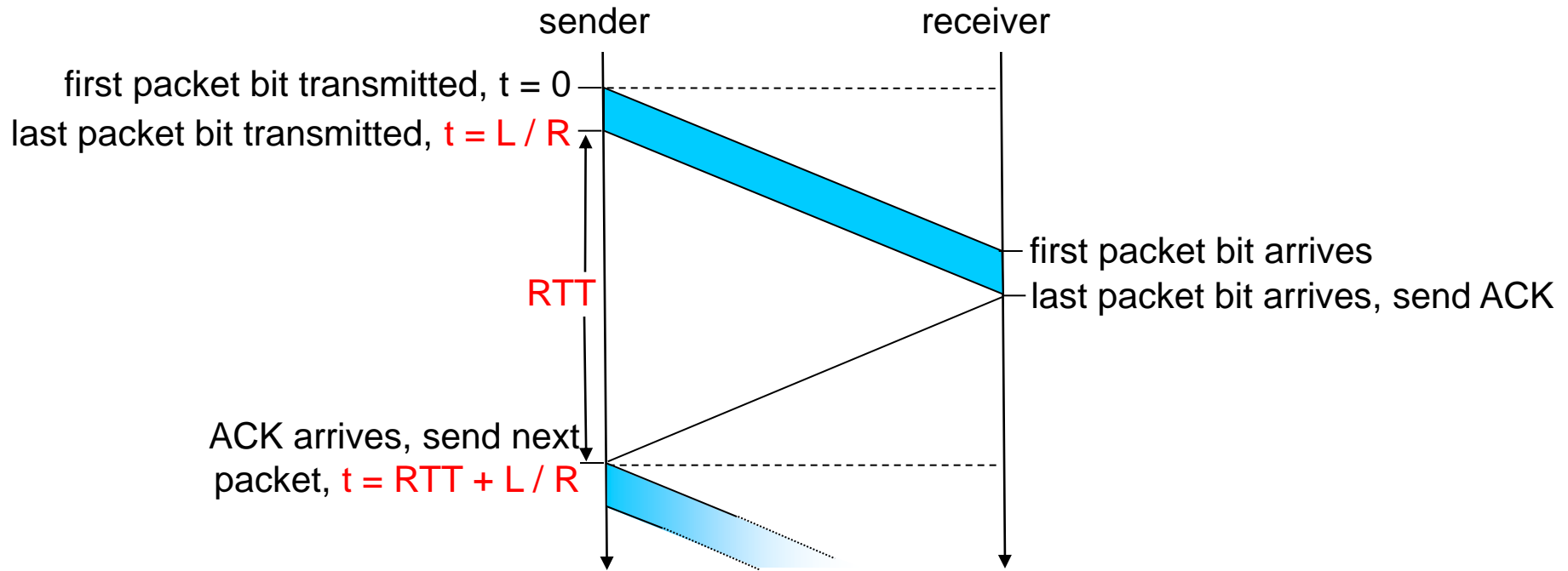
$$T_{\text{transmit}} = \frac{L \text{ (packet length in bits)}}{R \text{ (transmission rate, bps)}} = \frac{8\text{kb/pkt}}{10^{**9} \text{ b/sec}} = 8 \text{ microsec}$$

»  $U_{\text{sender}}$ : **utilization** – fraction of time sender busy sending

$$U_{\text{sender}} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027 \text{ microsec}$$

- » 1KB pkt every 30 msec -> 33kB/sec thrupt over 1 Gbps link
- » network protocol limits use of physical resources!

# Rdt 3.0: Stop-and-Wait Operation



$$U_{\text{sender}} = \frac{L/R}{RTT + L/R} = \frac{.008}{30.008} = 0.00027 \text{ microsec}$$

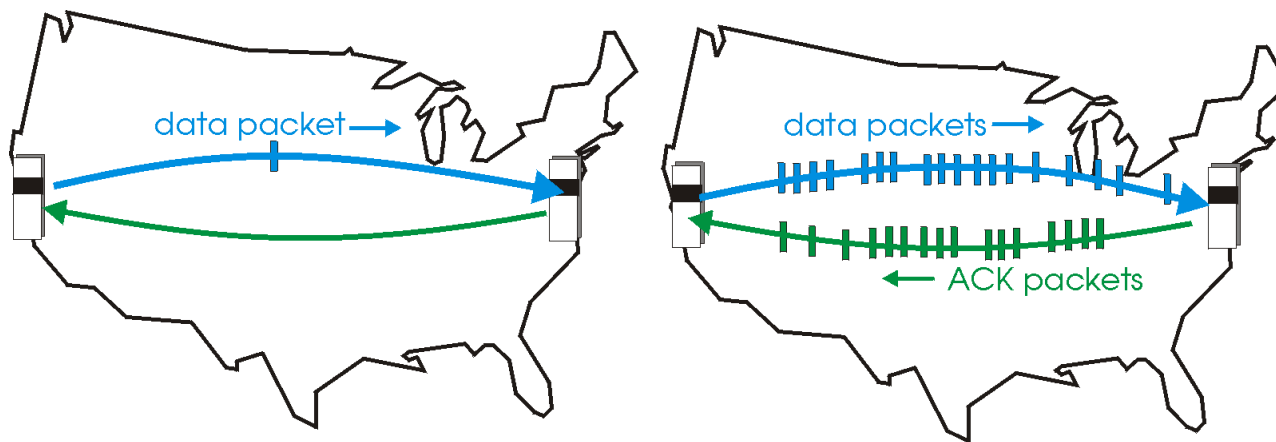


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**Pipelining:** sender allows multiple, “in-flight”, yet-to-be-acknowledged pkts

- Range of sequence numbers must be increased
- Buffering at sender and/or receiver

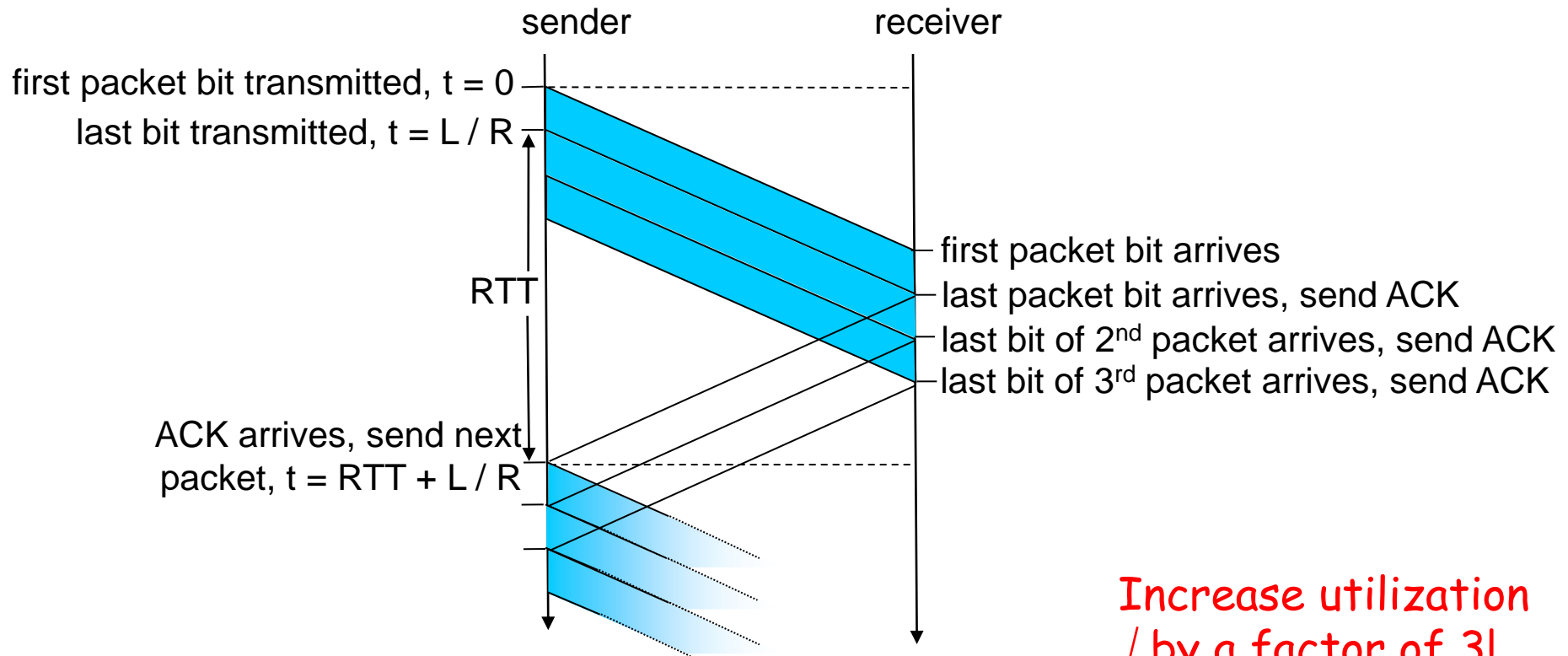


(a) a stop-and-wait protocol in operation

(b) a pipelined protocol in operation

- Two generic forms of pipelined protocols: *go-Back-N*, *selective repeat*

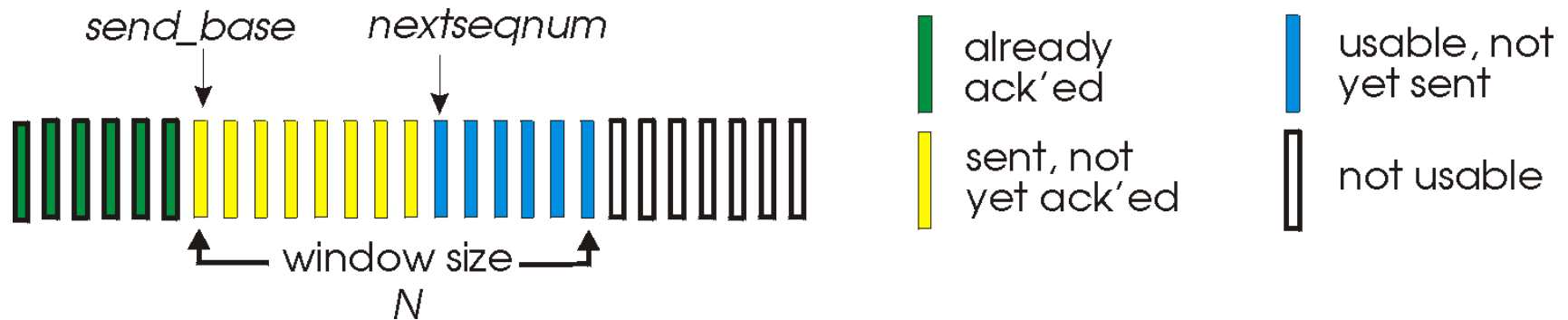
# Pipelining: Increased Utilization



$$U_{\text{sender}} = \frac{3 * L / R}{RTT + L / R} = \frac{.024}{30.008} = 0.0008 \text{ microsecon}$$

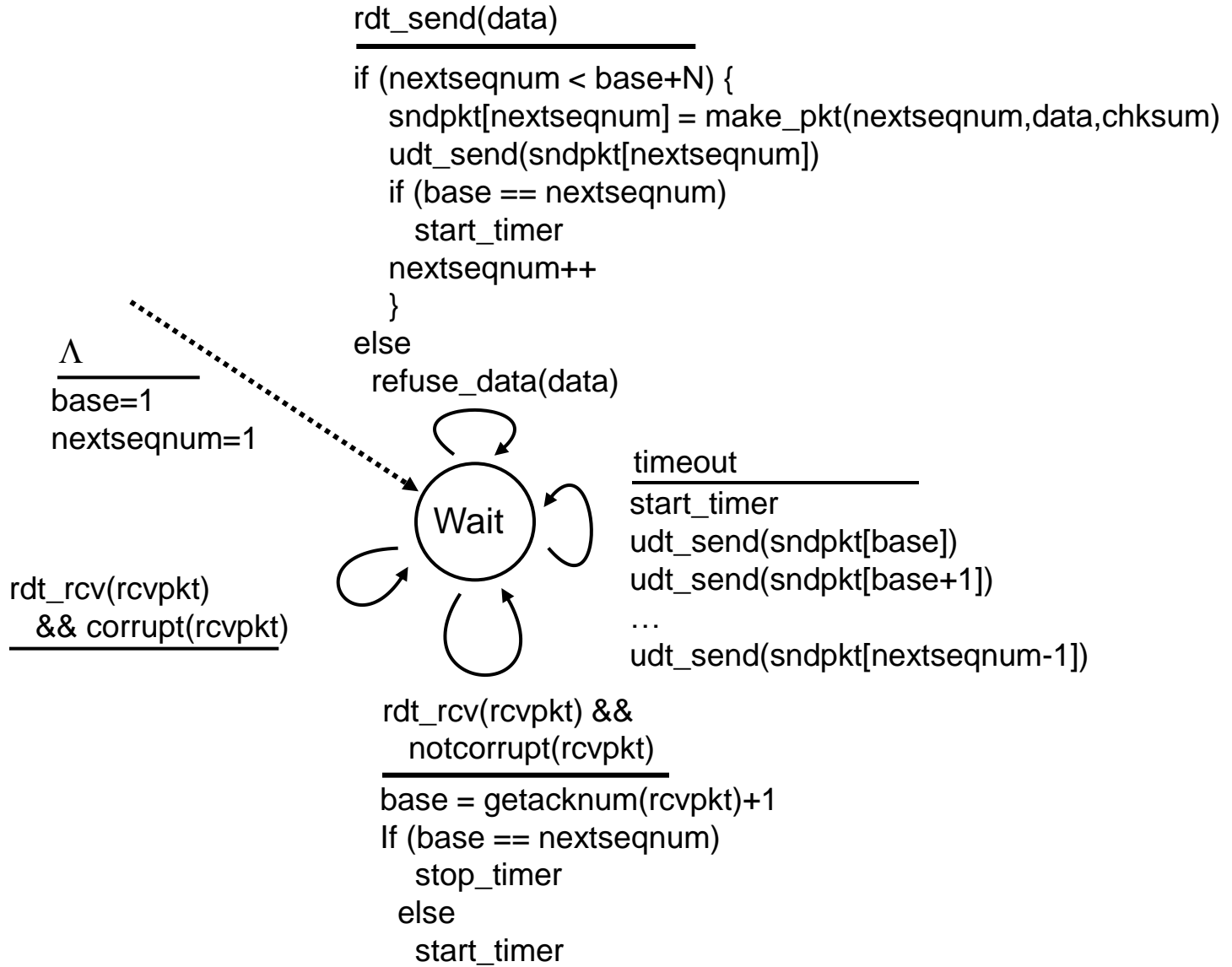
## Sender:

- k-bit seq # in pkt header
- “window” of up to N, consecutive unack’ed pkts allowed

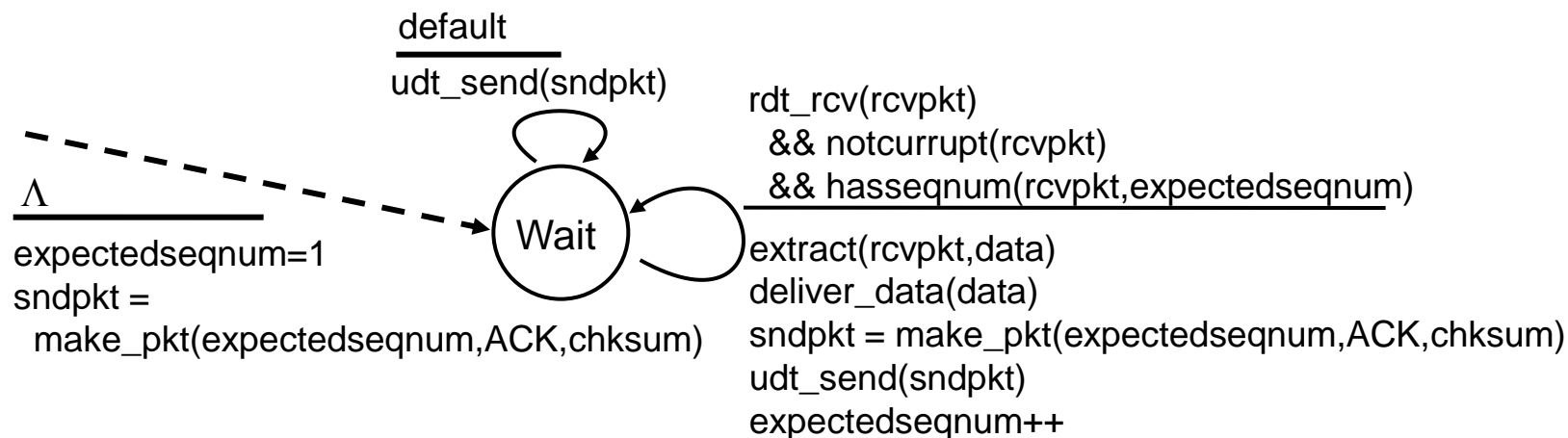


- ACK(n): ACKs all pkts up to, including seq # n - “cumulative ACK”
  - May receive duplicate ACKs (see receiver)
- Timer for each in-flight pkt
- *timeout(n)*: retransmit pkt n and all higher seq # pkts in window

# GBN: Sender Extended FSM

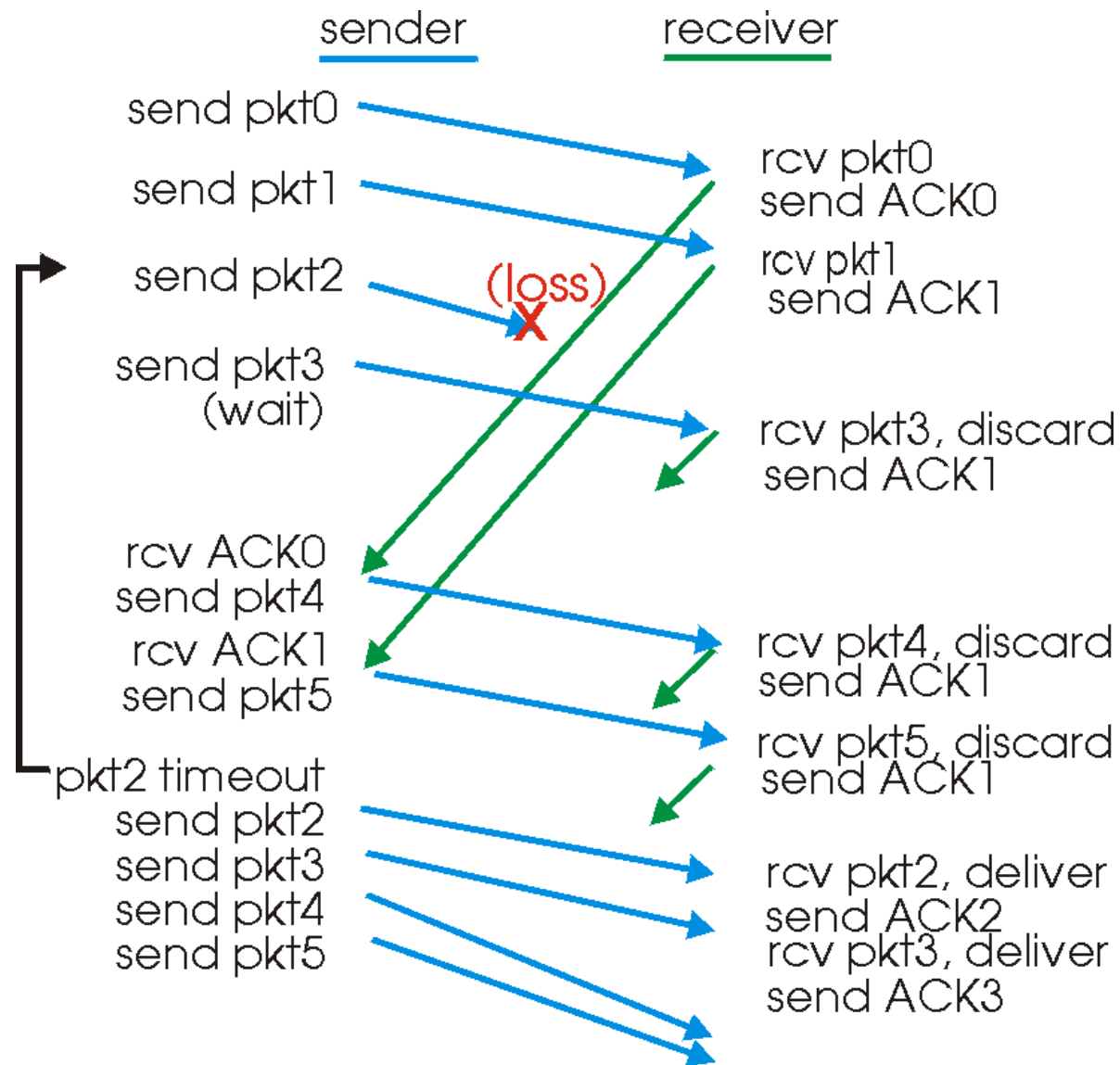


# GBN: Receiver Extended FSM



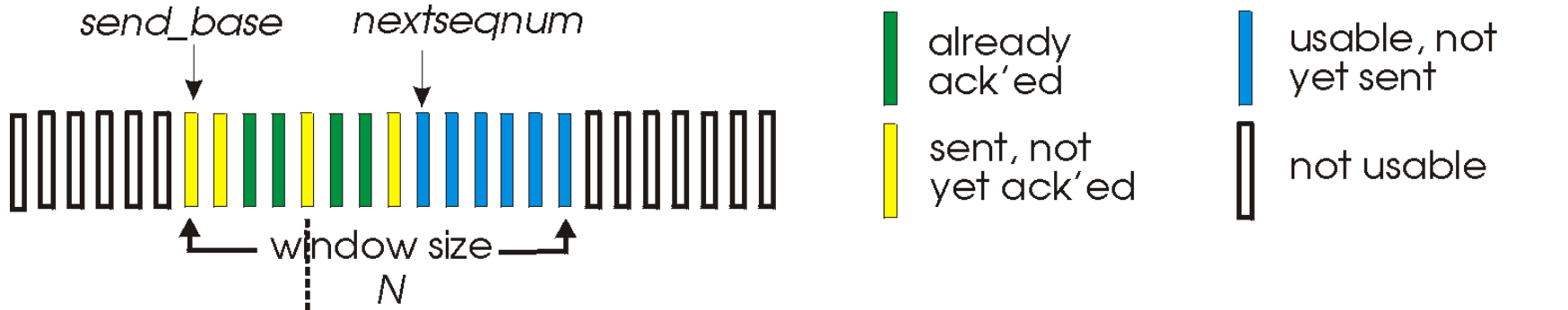
- ACK-only: always send ACK for correctly-received pkt with highest *in-order* seq #
  - May generate duplicate ACKs
  - Need only remember **expectedseqnum**
- Out-of-order pkt:
  - Discard (don't buffer) -> **no receiver buffering!**
  - Re-ACK pkt with highest in-order seq #

# GBN In Action



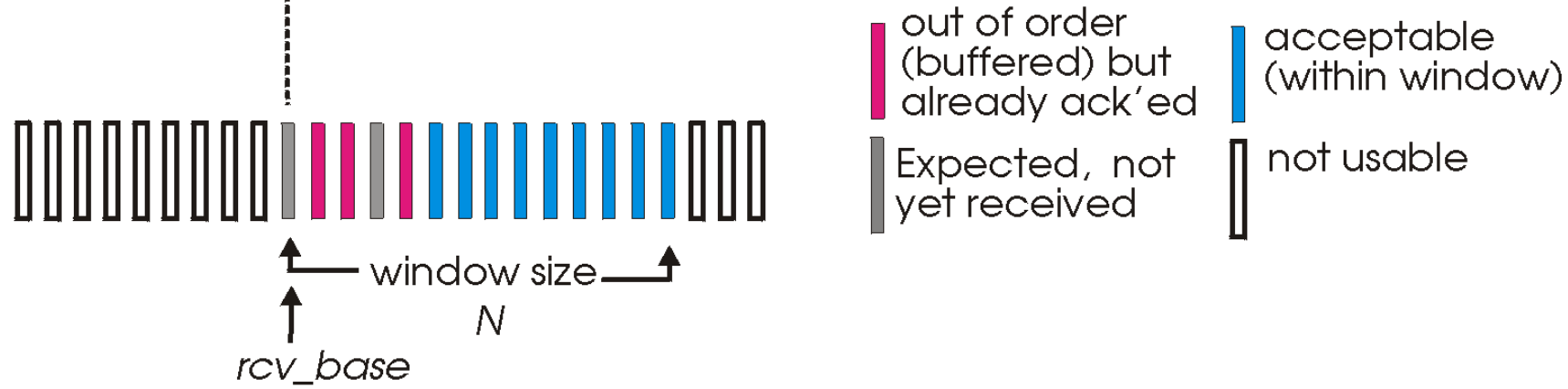
- Receiver *individually* acknowledges all correctly received pkts
  - Buffers pkts, as needed, for eventual in-order delivery to upper layer
- Sender only resends pkts for which ACK not received
  - Sender timer for each unACKed pkt
- Sender window
  - N consecutive seq #'s
  - Again limits seq #'s of sent, unACKed pkts

# Selective Repeat: Sender, Receiver Windows



- already ack'ed
- sent, not yet ack'ed
- usable, not yet sent
- not usable

(a) sender view of sequence numbers



- out of order (buffered) but already ack'ed
- Expected, not yet received
- acceptable (within window)
- not usable

(b) receiver view of sequence numbers



## sender

### Data from above:

- If next available seq # in window, send pkt

### Timeout(n):

- Resend pkt n, restart timer

### ACK(n) in [sendbase, sendbase+N]:

- Mark pkt n as received
- If n smallest unACKed pkt, advance window base to next unACKed seq #

## receiver

### Pkt n in [rcvbase, rcvbase+N-1]

- Send ACK(n)
- Out-of-order: buffer
- In-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

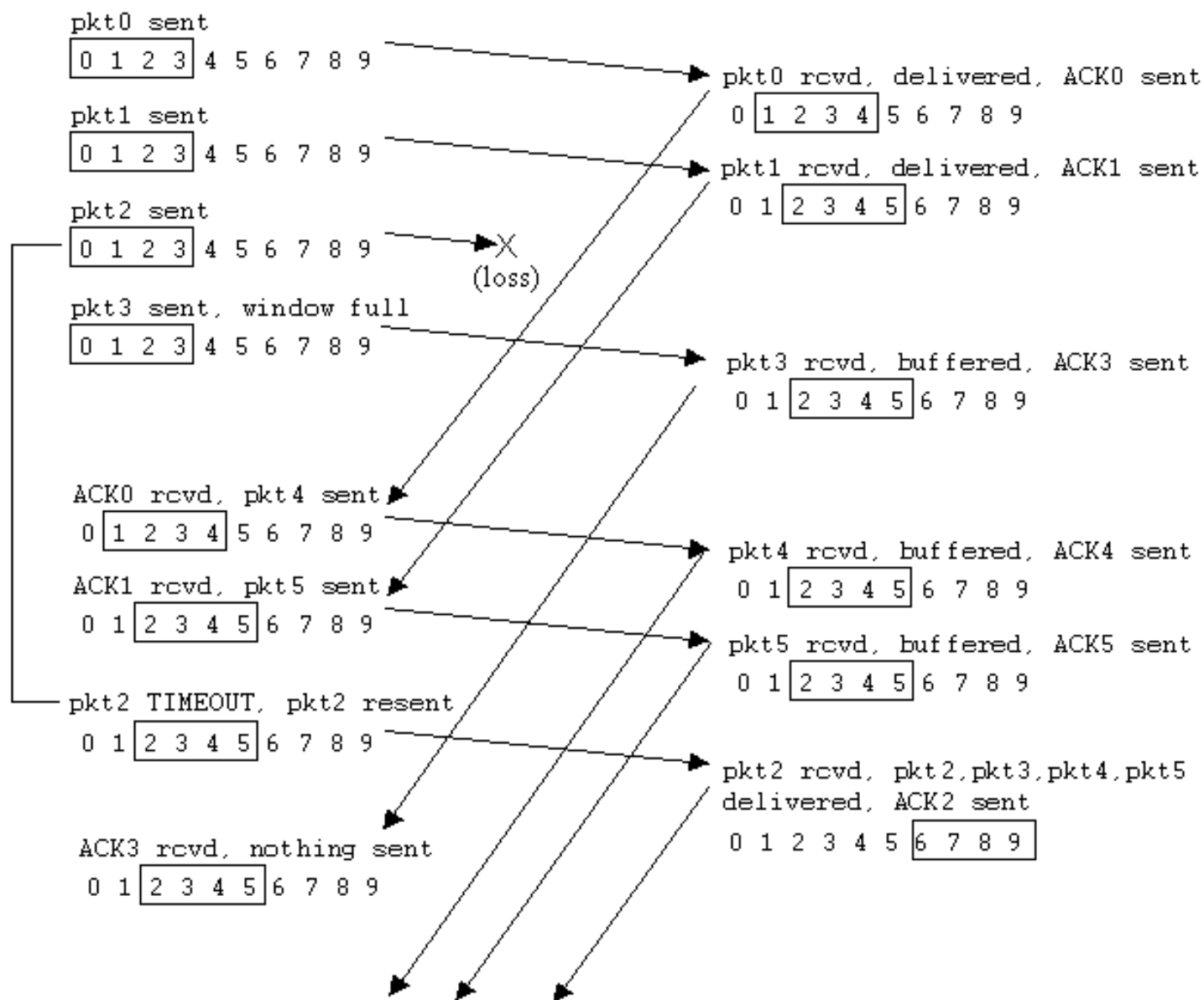
### Pkt n in [rcvbase-N, rcvbase-1]

- ACK(n)

### Otherwise:

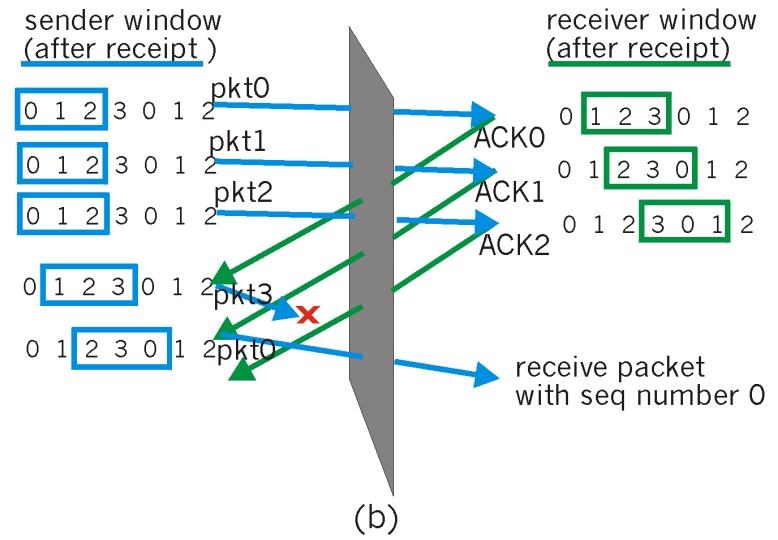
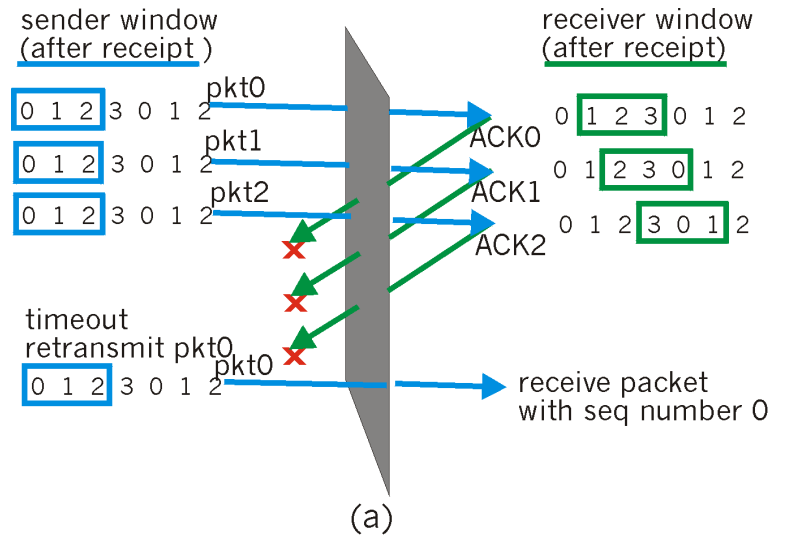
- Ignore

# Selective Repeat in Action



# Selective Repeat: Dilemma

- Example:
  - Seq #'s: 0, 1, 2, 3
  - Window size=3
  - Receiver sees no difference in two scenarios!
  - Incorrectly passes duplicate data as new in (a)
- Q: what relationship between seq # size and window size?



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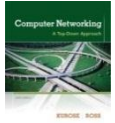
**3 Summary and Conclusion**





- Principles of Reliable Data Transfer
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- Readings



» Chapter 3 (sections 3.1-3.4)

- Assignment #6

