

Convolutional Neural Nets

EECS 442 – David Fouhey
Winter 2023, University of Michigan

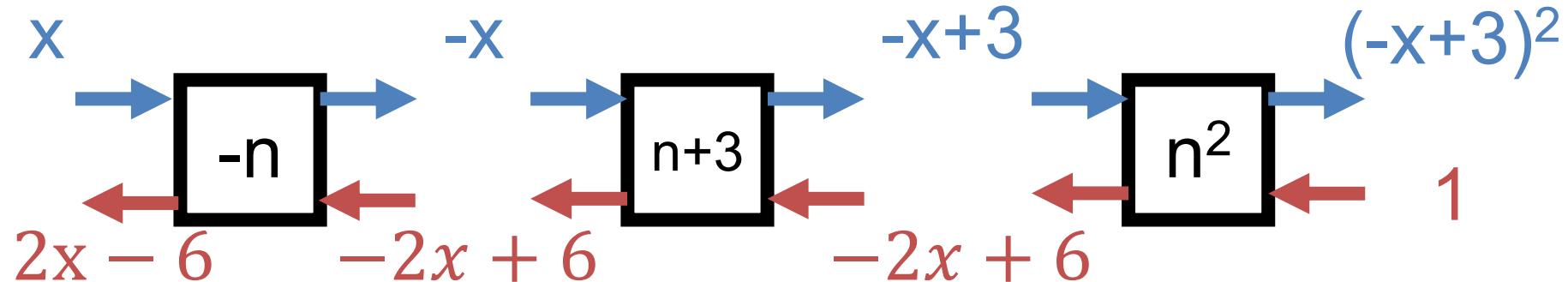
http://web.eecs.umich.edu/~fouhey/teaching/EECS442_W23/

Administrivia

- HW3 Due Date SNAFU: It was up for long enough, and there's some flexibility, so it's now due March 6 and the same extra 72 late hours apply.

Previously – Backpropagation

$$f(x) = (-x + 3)^2$$

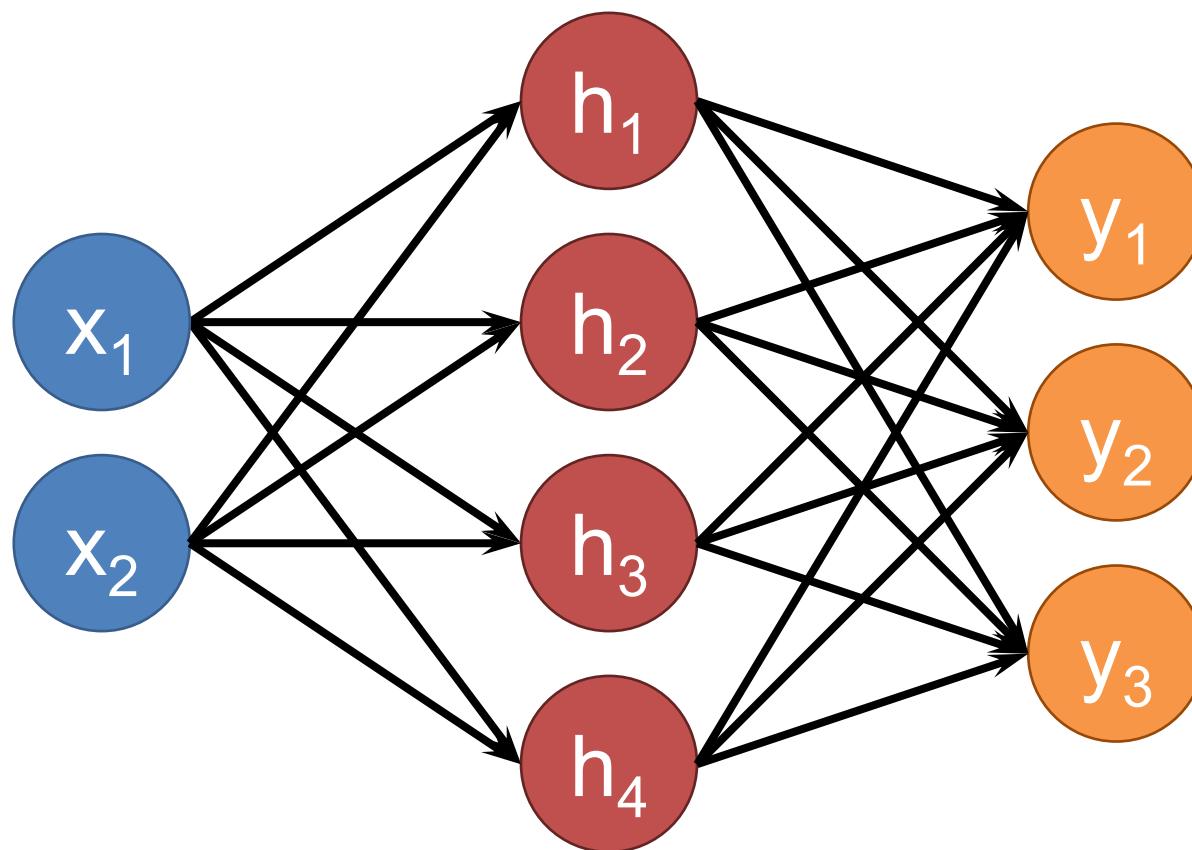


Forward pass: compute function

Backward pass: compute derivative of all parts of the function

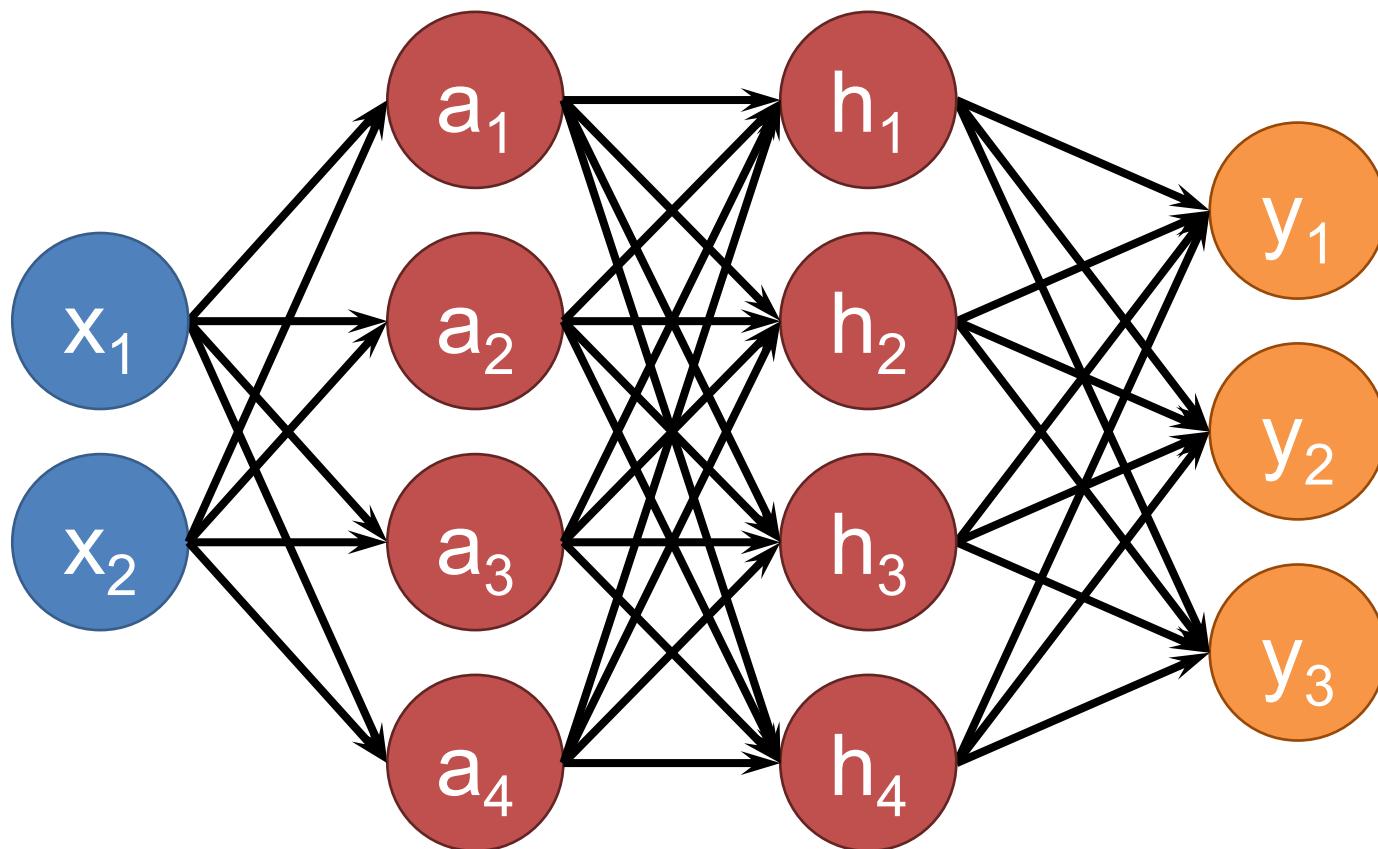
Setting Up A Neural Net

Input Hidden Output

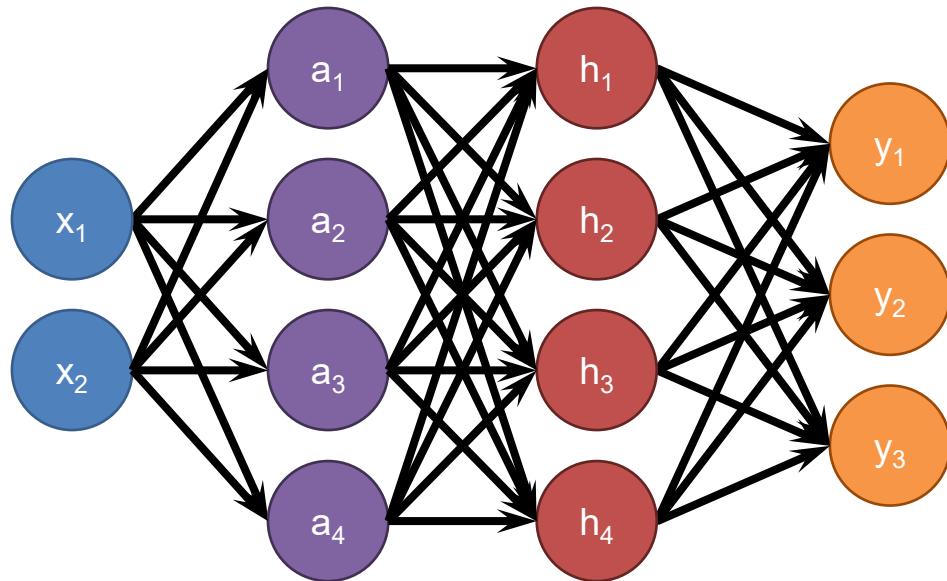


Setting Up A Neural Net

Input Hidden 1 Hidden 2 Output

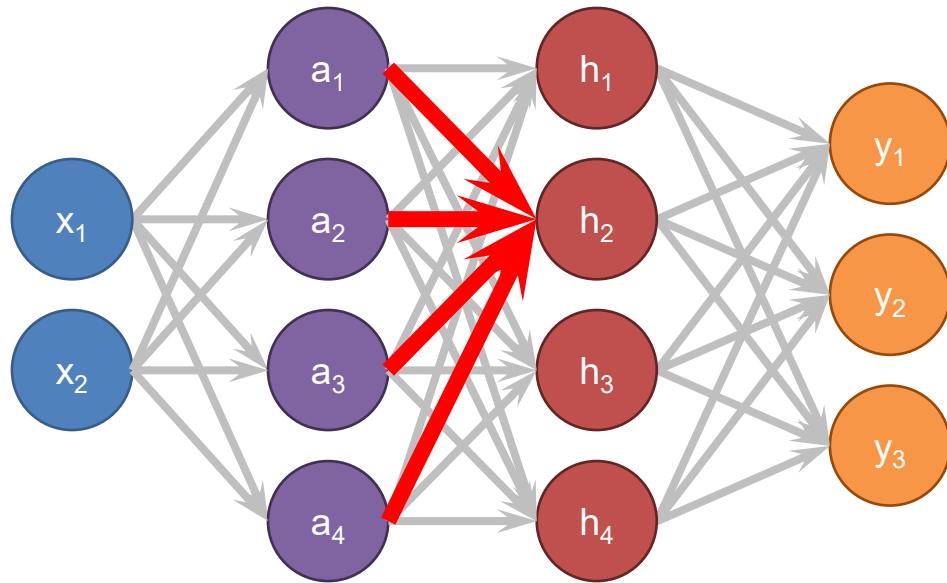


Fully Connected Network



Each neuron connects
to each neuron in the
previous layer

Fully Connected Network



a All layer a values

w_i, b_i Neuron i weights, bias

f Activation function

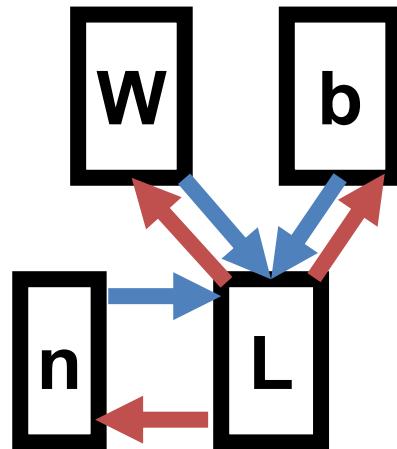
$$h = f(Wa + b)$$

$$\begin{bmatrix} h_1 \\ h_2 \\ h_3 \\ h_4 \end{bmatrix} = f \left(\begin{array}{c} w_1^T \\ w_2^T \\ w_3^T \\ w_4^T \end{array} \right) \begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ a_4 \end{bmatrix} + \begin{bmatrix} b_1 \\ b_2 \\ b_3 \\ b_4 \end{bmatrix}$$

Fully Connected Network

Define New Block: “Linear Layer”

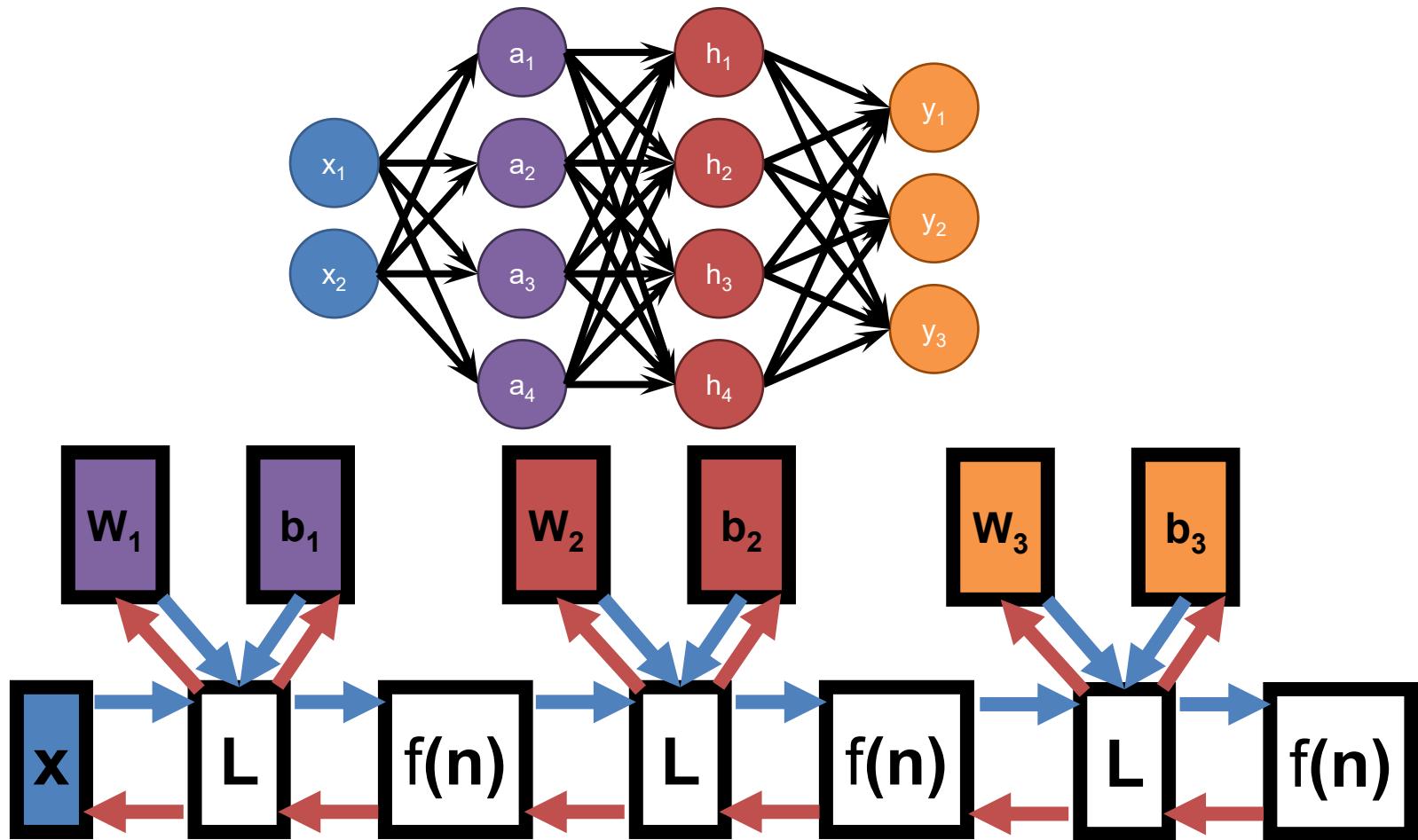
(It’s Technically Affine)



$$L(n) = Wn + b$$

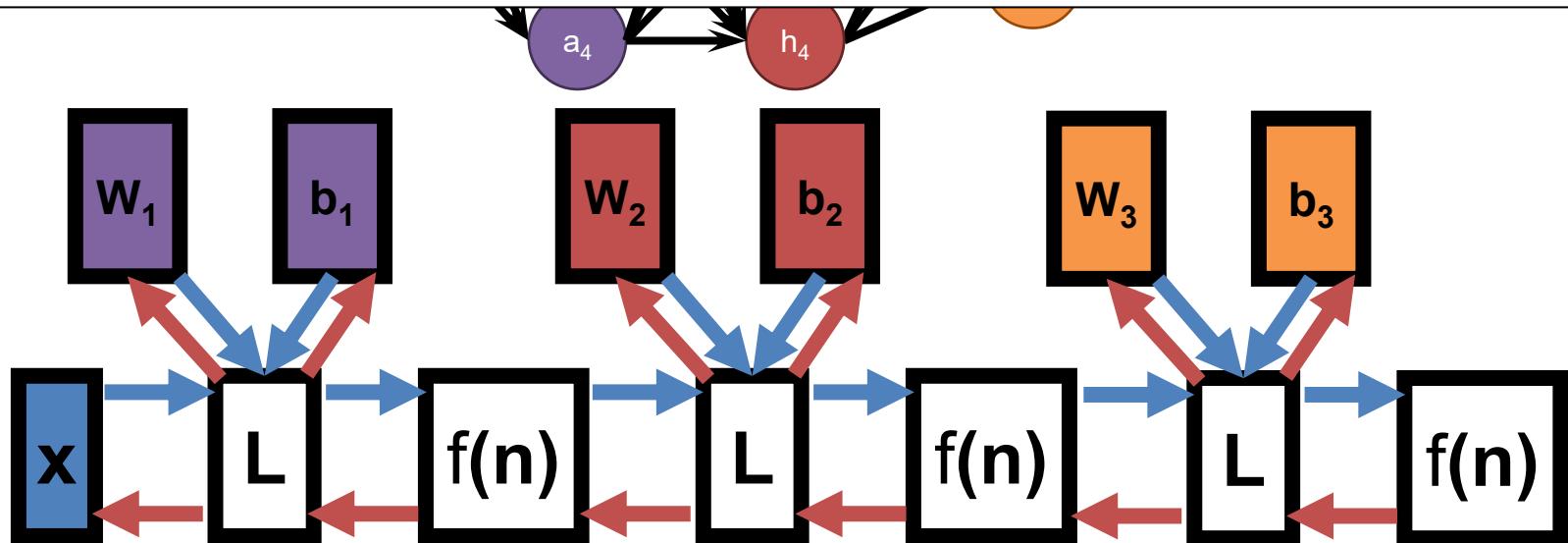
Can get gradient with respect to all the inputs
*(do on your own; useful trick: have to be able
to do matrix multiply)*

Fully Connected Network



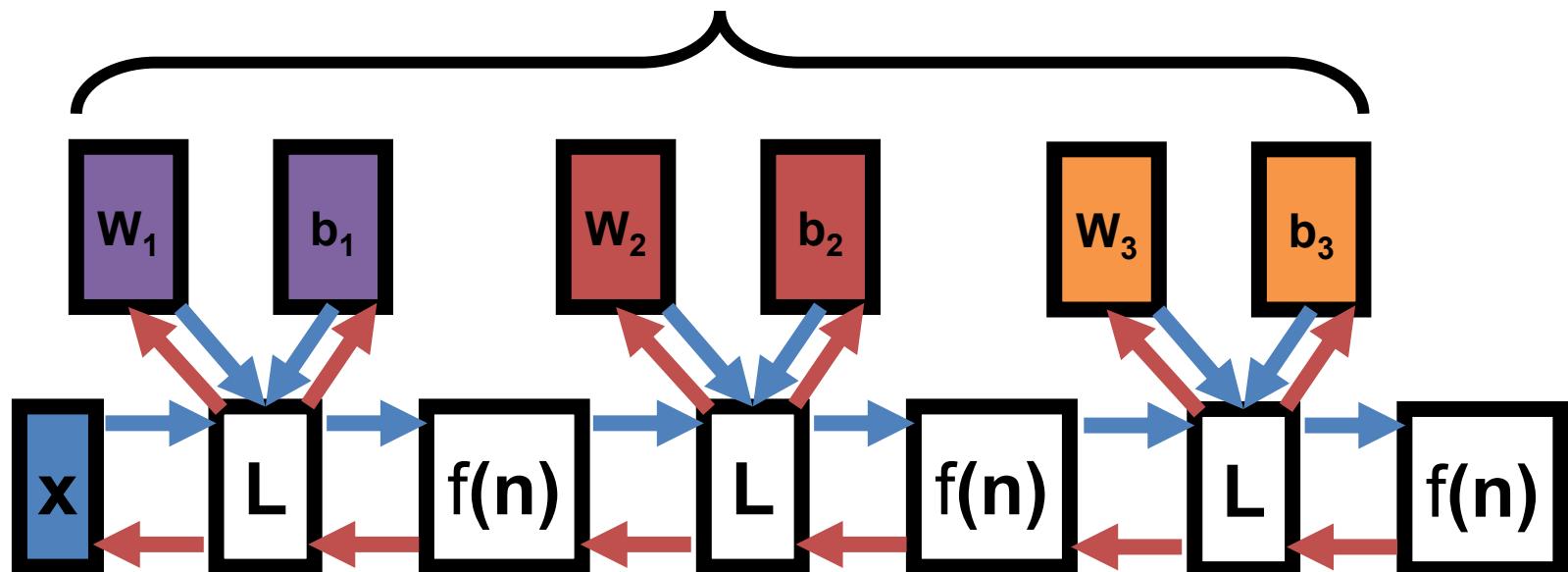
Fully Connected Network

Backpropagation lets us calculate derivative of the output/error with respect to all the Ws at a given point x



Putting It All Together – 1

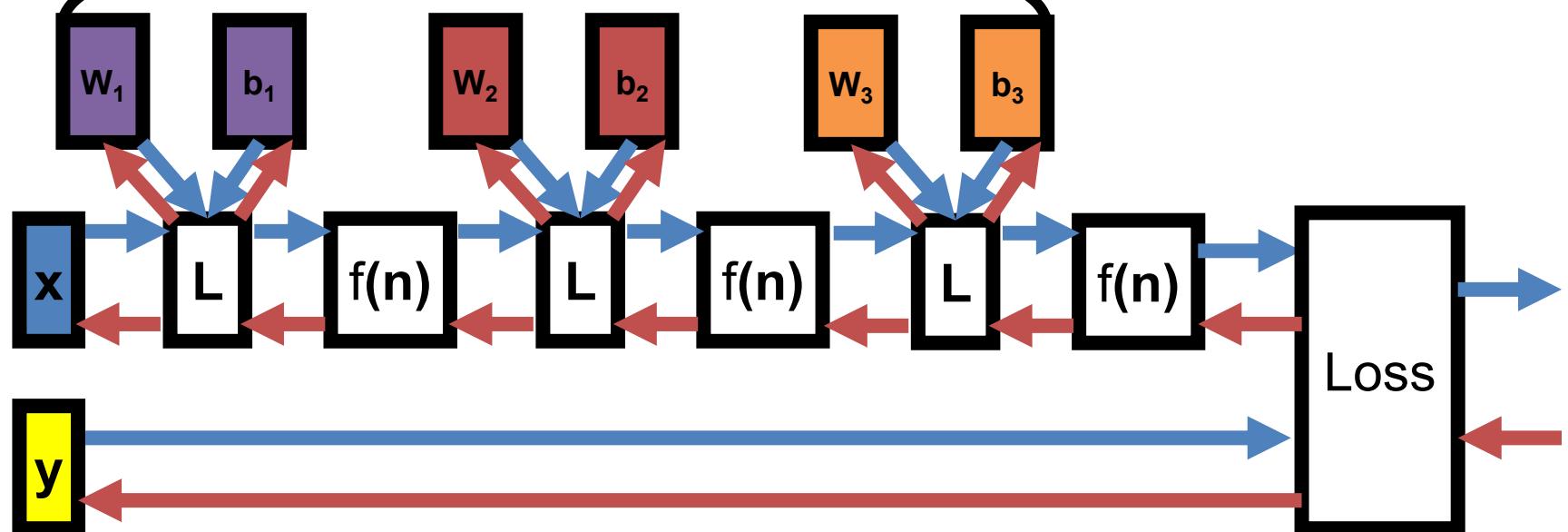
Function: $\text{NN}(x; W_i, b_i)$
Parameterized by $W = \{W_i, b_i\}$



Putting It All Together

Function: $\text{Loss}(\text{NN}(x; W_i, b_i), y)$

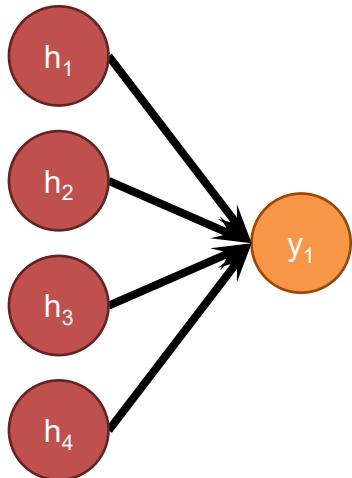
Function: $\text{NN}(x; W_i, b_i)$



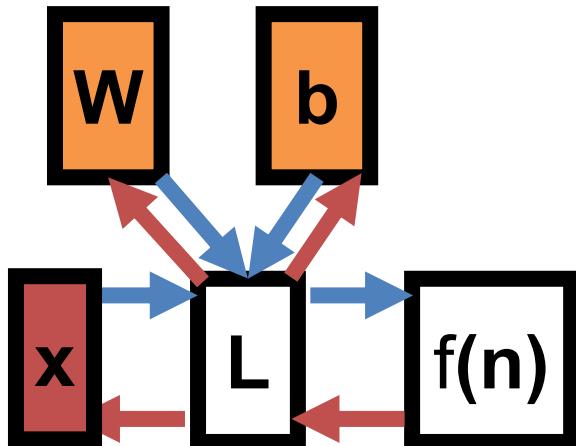
Putting It All Together

```
W = initializeWeights()  
for i in range(numIterations):  
    #sample a batch  
    batch = random.subset(0,#datapoints,K)  
    batchX, batchY = dataX[batch], dataY[batch]  
    #compute gradient with batch  
    gradW = backprop(Loss(NN(batchX,W),batchY))  
    #update W with gradient step  
    W += -stepsize*gradW  
return W
```

What Can We Represent?

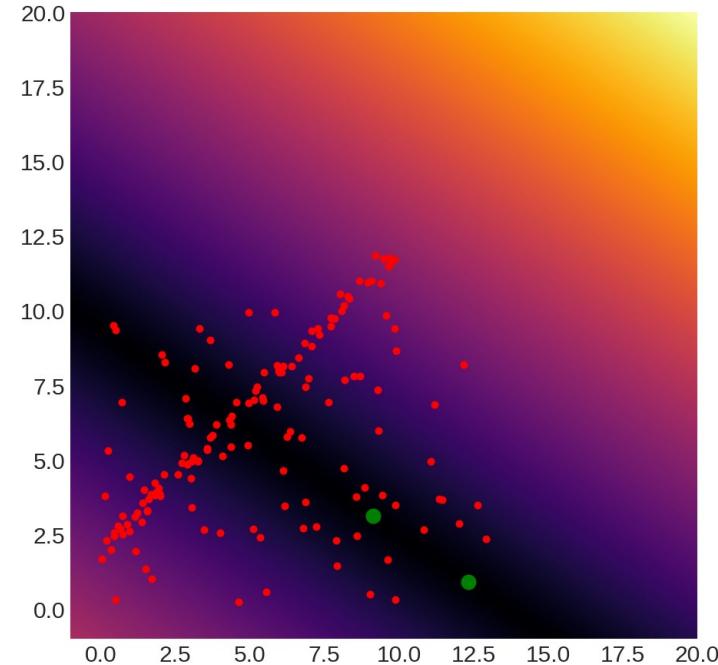
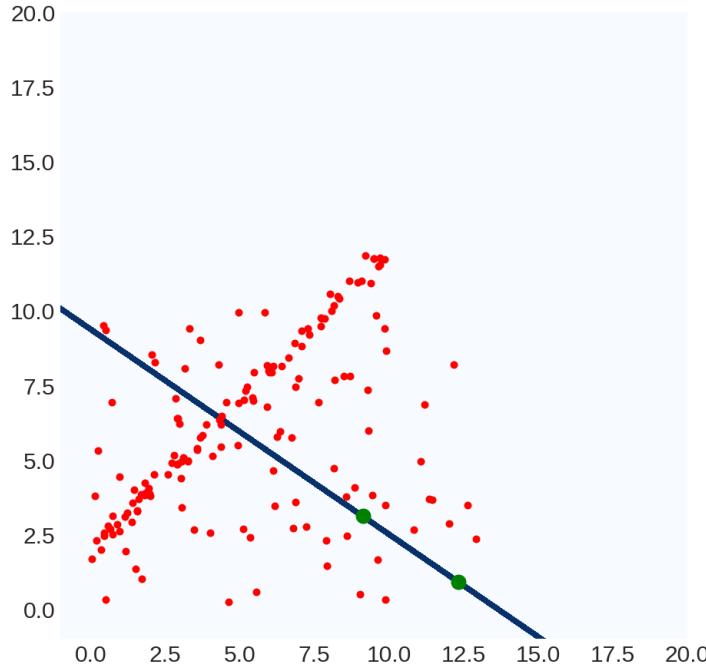


$$L(n) = Wn + b$$

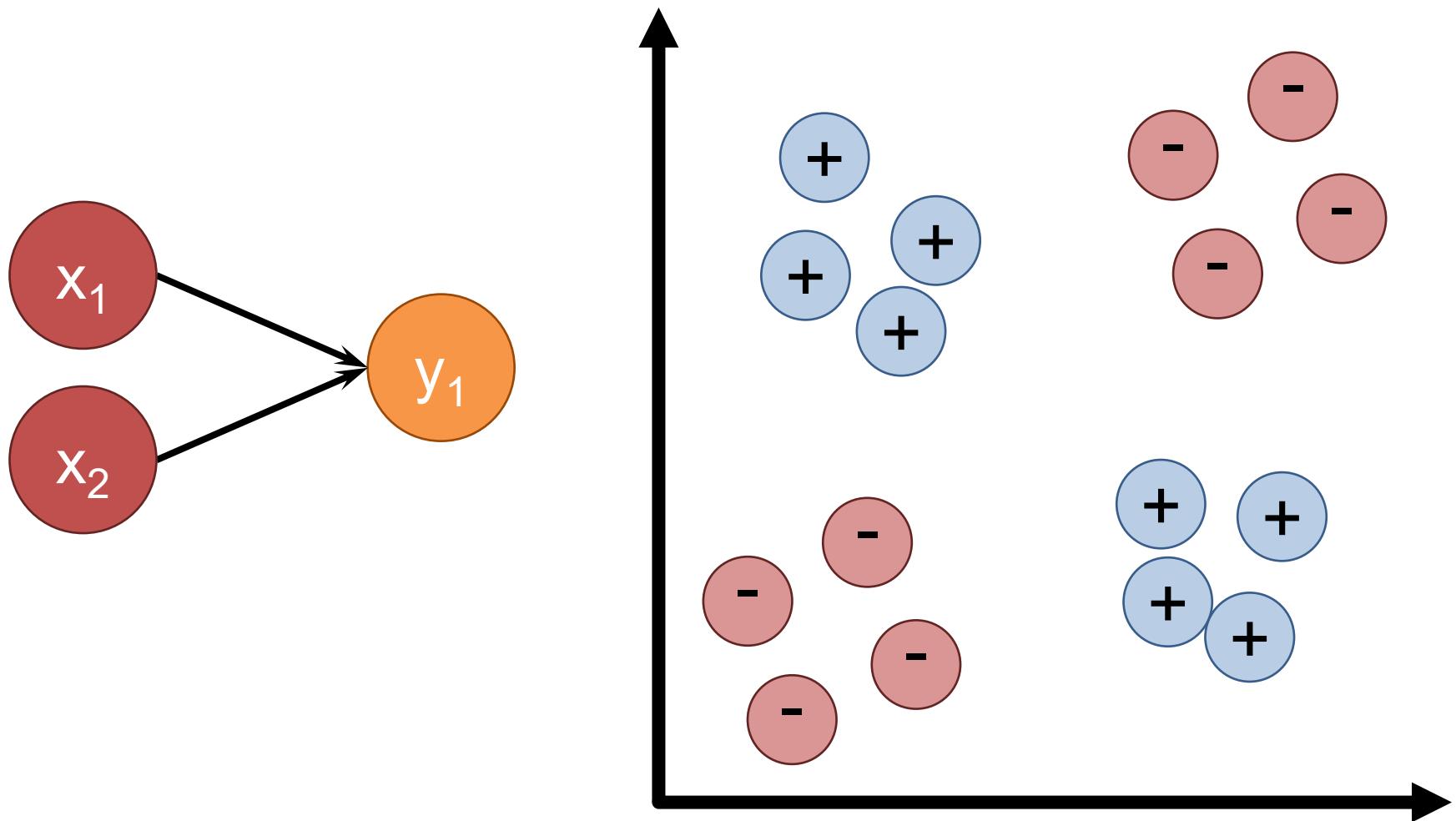


What Can We Represent

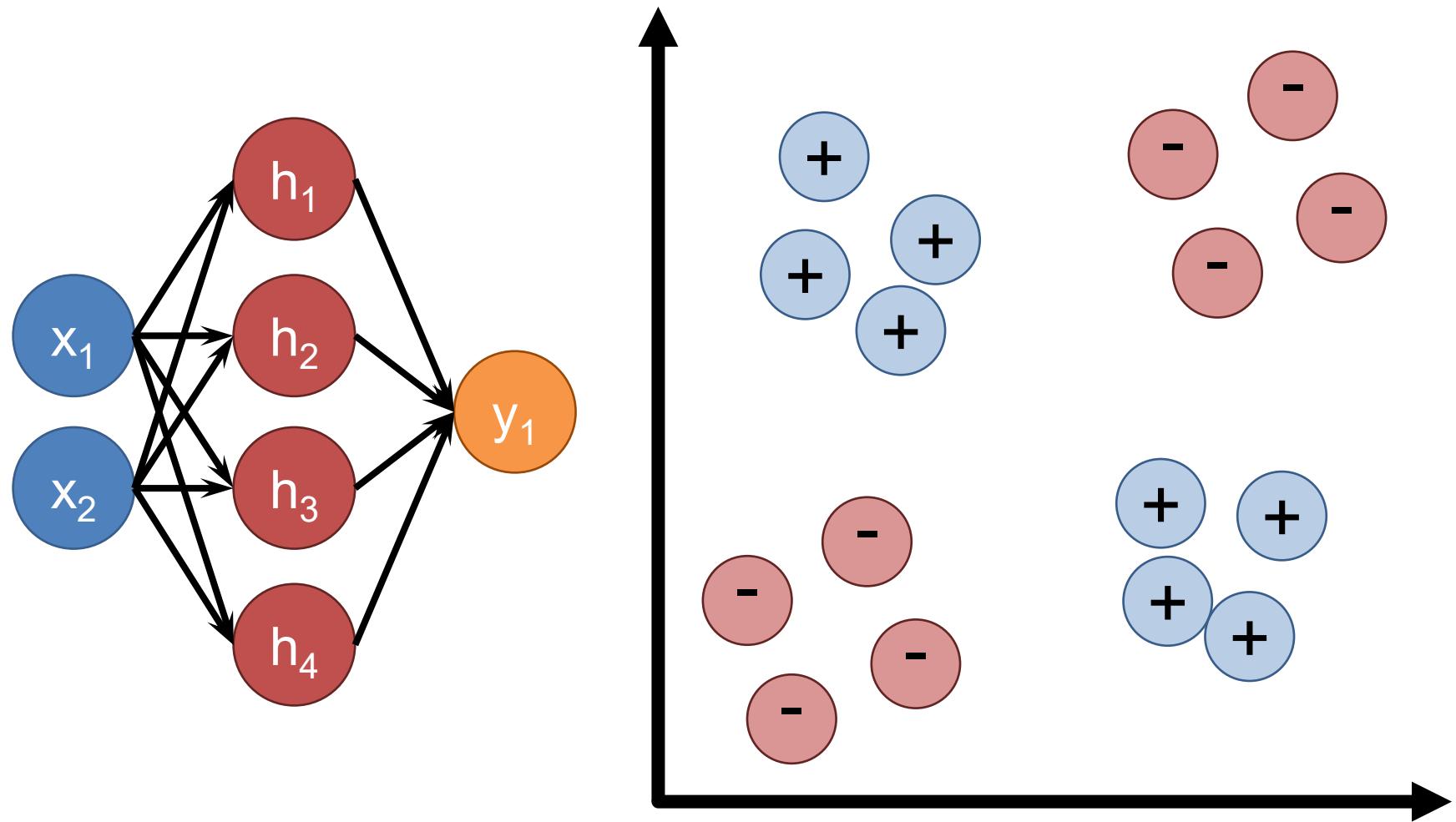
- Recall: $ax+by+z$ is
 - proportional to **signed** distance to line
 - equal to signed distance if you do it right
- Generalization to N-D: hyperplane $\mathbf{w}^T \mathbf{x} + b$



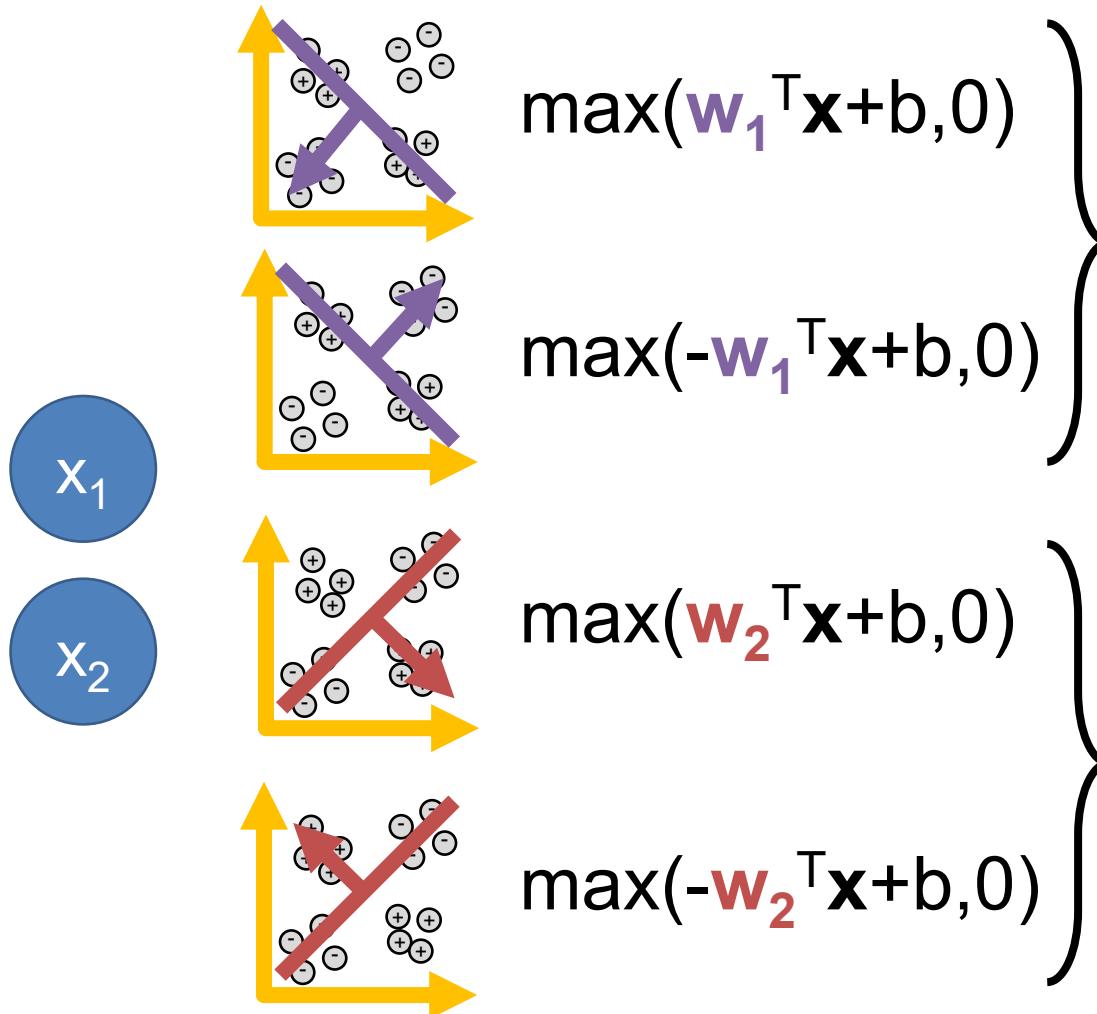
Can We Train a Network To Do It?



Can We Train a Network To Do It?



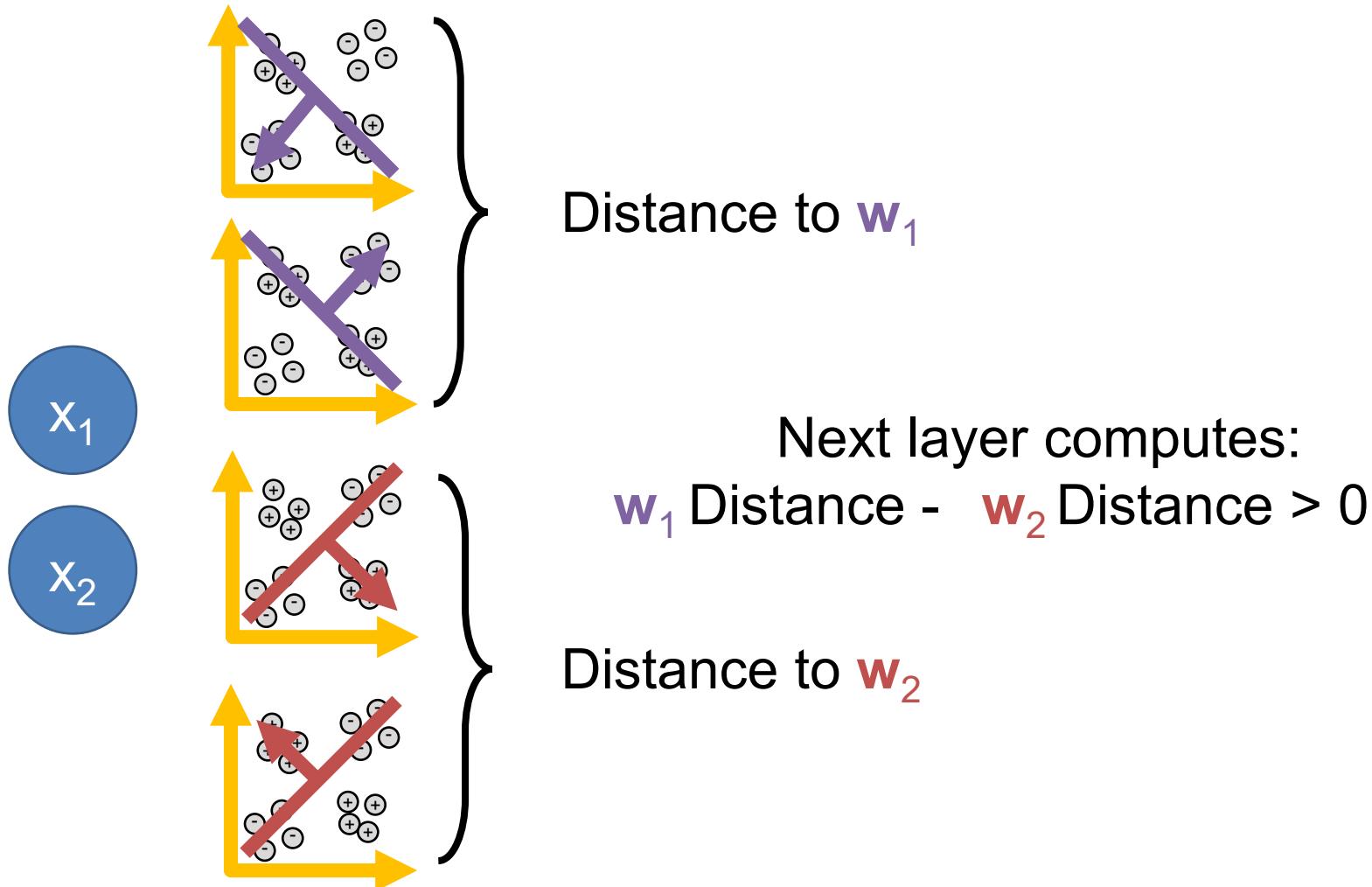
Can We Train a Network To Do It?



$\max(\mathbf{w}_1^T \mathbf{x} + b, 0) + \max(-\mathbf{w}_1^T \mathbf{x} + b, 0) =$
Distance to line
defined by \mathbf{w}_1

$\max(\mathbf{w}_2^T \mathbf{x} + b, 0) + \max(-\mathbf{w}_2^T \mathbf{x} + b, 0) =$
Distance to line
defined by \mathbf{w}_2

Can We Train a Network To Do It?



Can We Train a Network To Do It?

Result: feedforward neural networks with a finite number of neurons in a hidden layer can approximate any continuous function with a bounded domain

Cybenko (1989) for neural networks with sigmoids; Hornik (1991) more generally

In practice, doesn't give a practical guarantee.
Why?

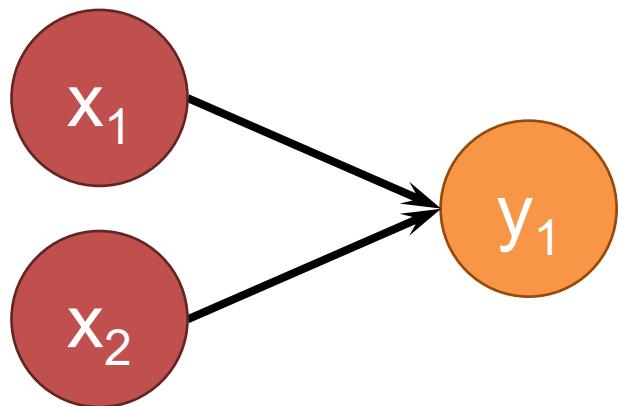
Developing Intuitions

There is no royal road to geometry. – Euclid

- Best way: play with data, be skeptical of everything you do, be skeptical of everything you are told
- Remember: this is linear algebra, not magic
- Common technique: How would you set the weights by hand if you were forced to be a deep net

Parameters

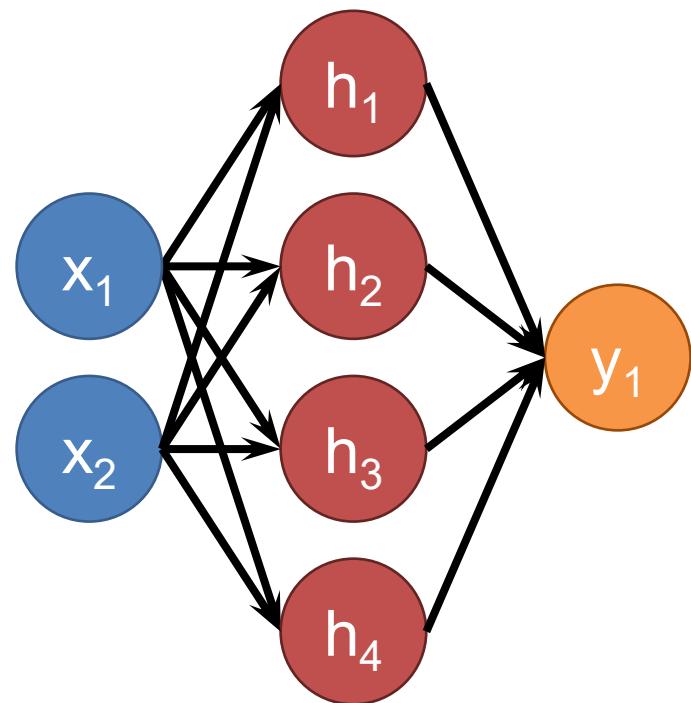
How many parameters does this network have?



Weights: 1x2
Parameters: 3 (bias!)

Parameters

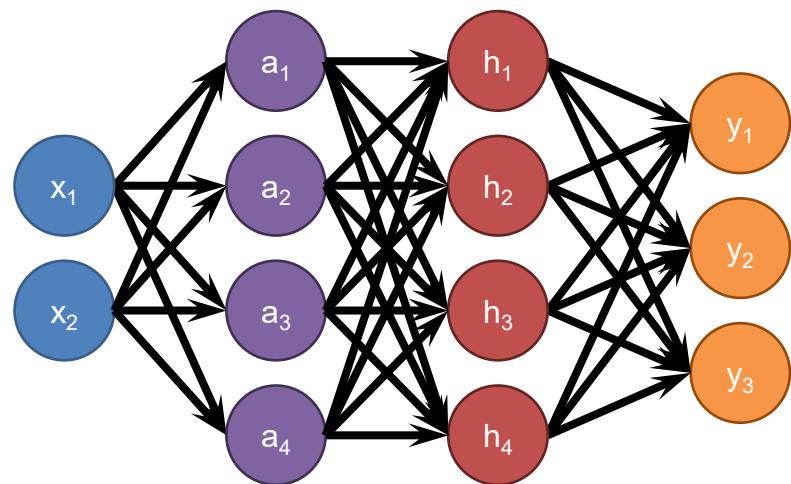
How many parameters does this network have?



Weights: $1 \times 4 + 4 \times 2 = 12$
Parameters: $12 + 5 = 17$

Parameters

How many parameters does this network have?



Weights: $3 \times 4 + 4 \times 4 + 4 \times 2 = 36$

Parameters: $36 + 11 = 47$

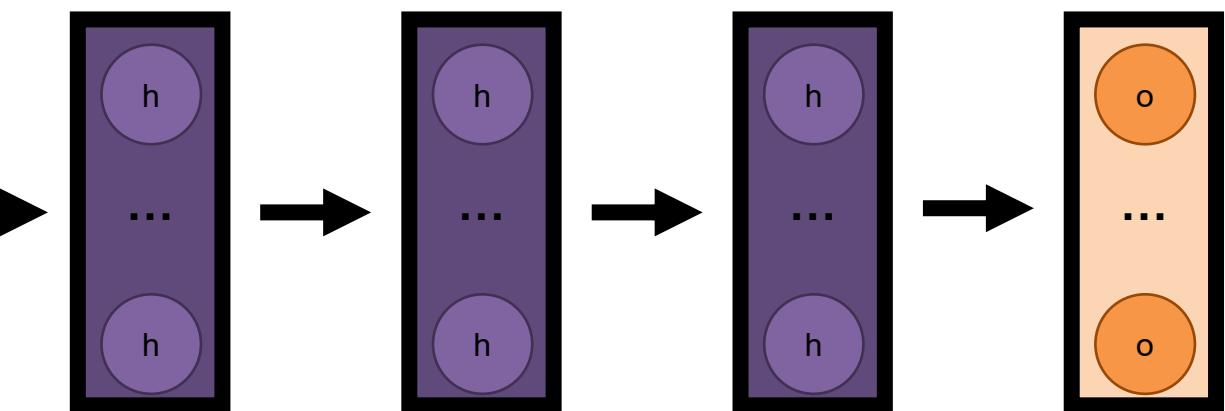
Parameters



H^*P+	H^*H	H^*H	O^*H
H	$+H$	$+H$	$+O$
H	H	H	O
neurons	neurons	neurons	neurons

Make
 $P \times 1$
vector

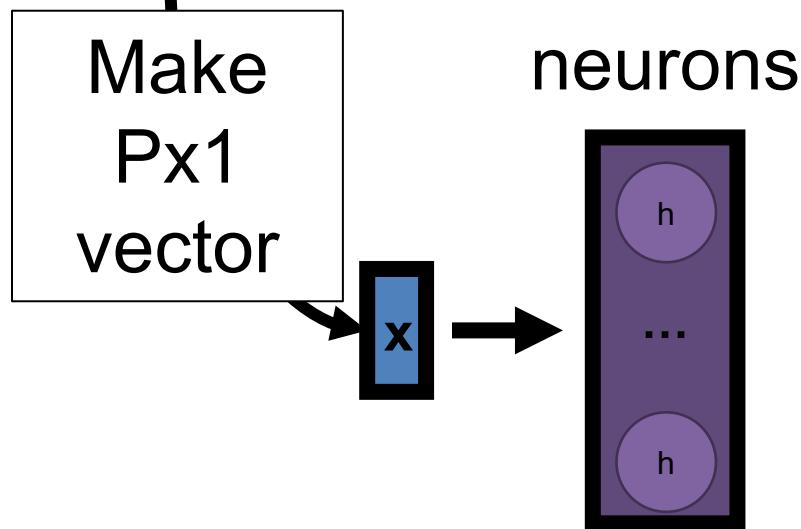
x



$P: 285 \times 350$ picture (**terrible!**), $H: 1000$, $O: 3$

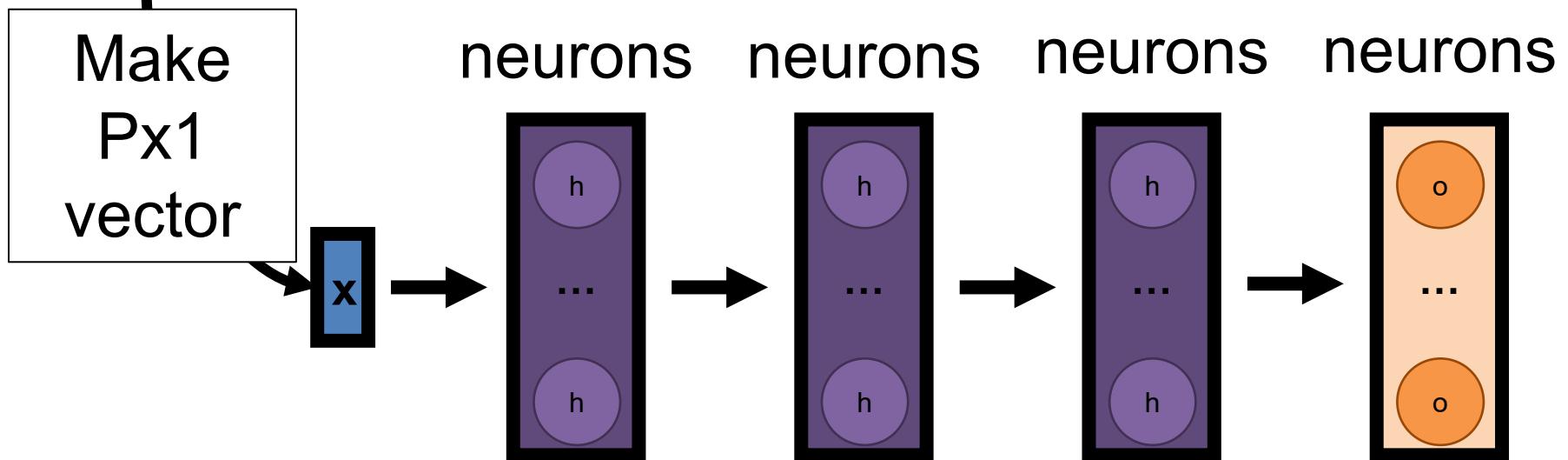
102 million parameters (400MB)

Parameters



- First layer converts **all** visual information into a single N dimensional vector.
- Suppose you want a neuron to represent dx/dy at each pixel. **How many neurons do you need?**
- **2P!**

Parameters



P: 285x350, H: 2P, O: 3

100 billion parameters (400GB)

Convnets

Keep Spatial Resolution Around

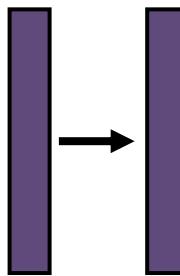
Neural net:

Data: vector $F \times 1$

Transform: matrix-multiply



Make
 $P \times 1$
vector



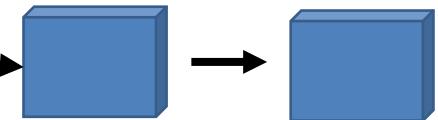
Convnet:

Data: image $H \times W \times F$

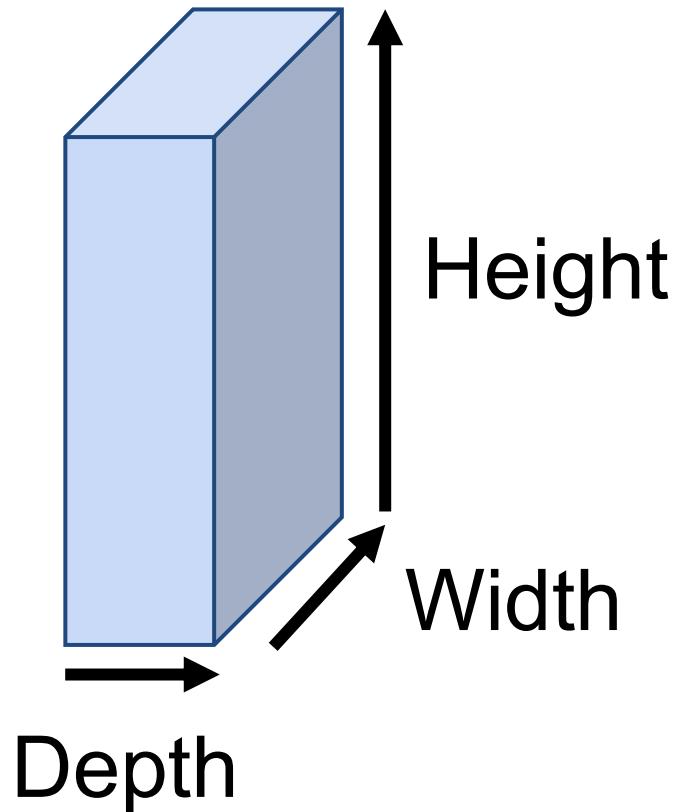
Transform: convolution



Keep
Image
Dims



Convnet



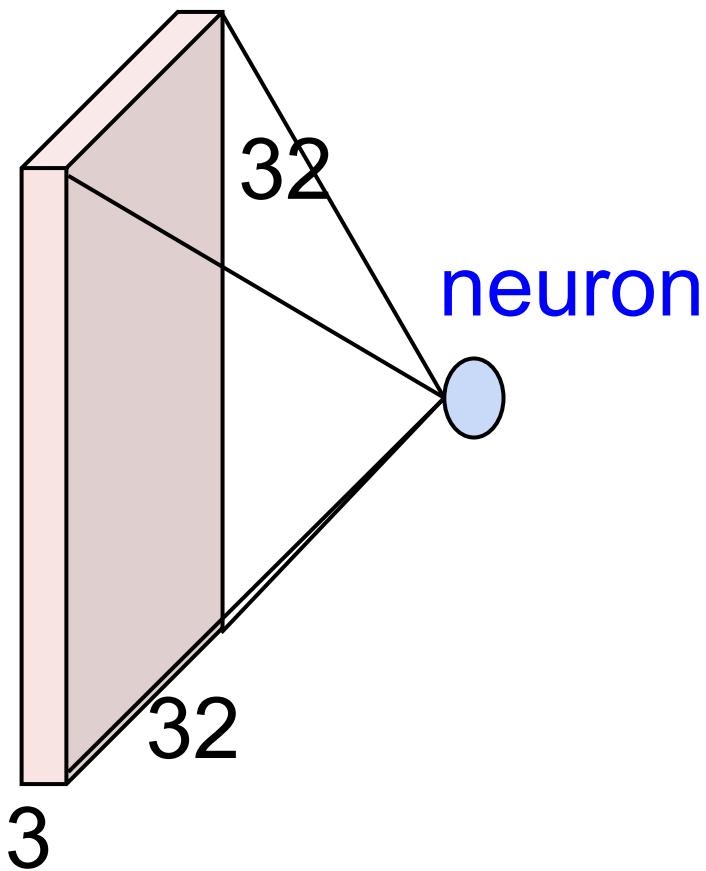
Height: 300
Width: 500
Depth: 3



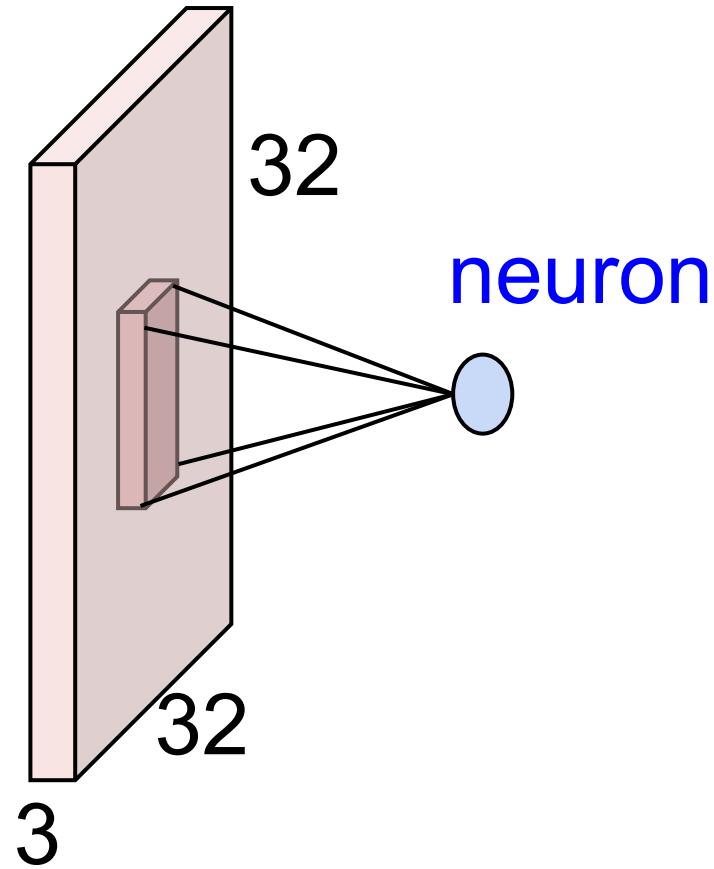
Height: 32
Width: 32
Depth: 3

Convnet

Fully connected:
Connects to everything

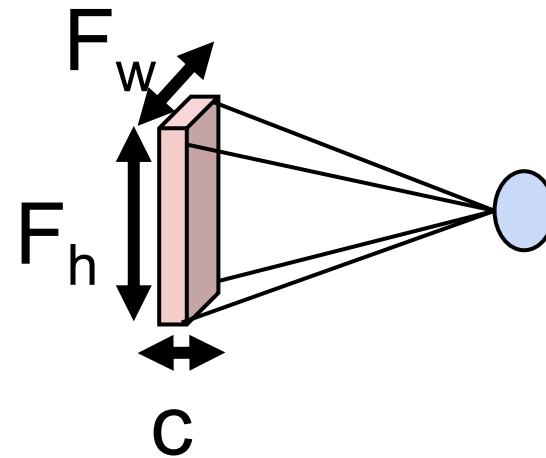
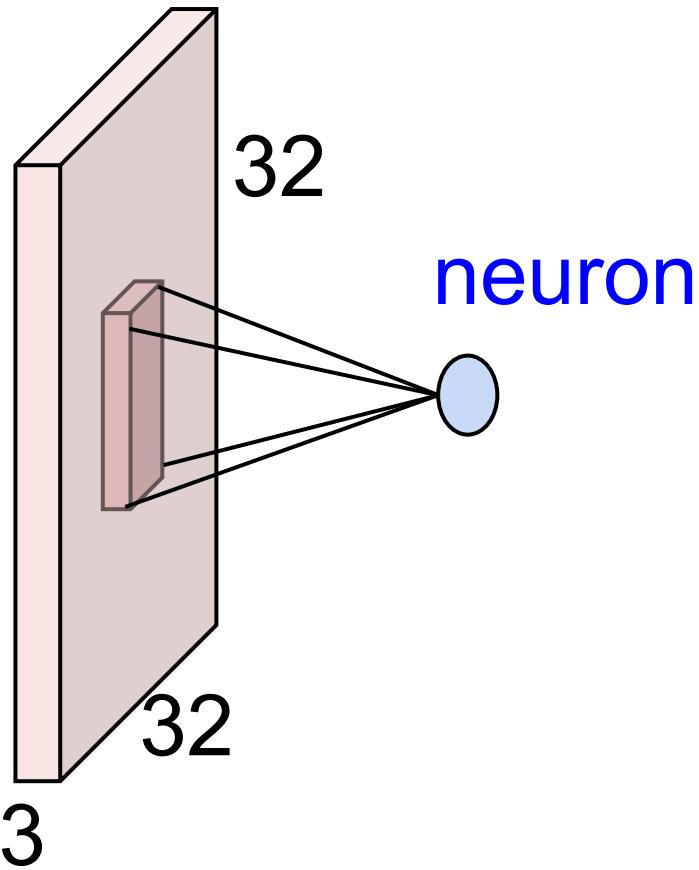


Convnet:
Connects locally



Convnet

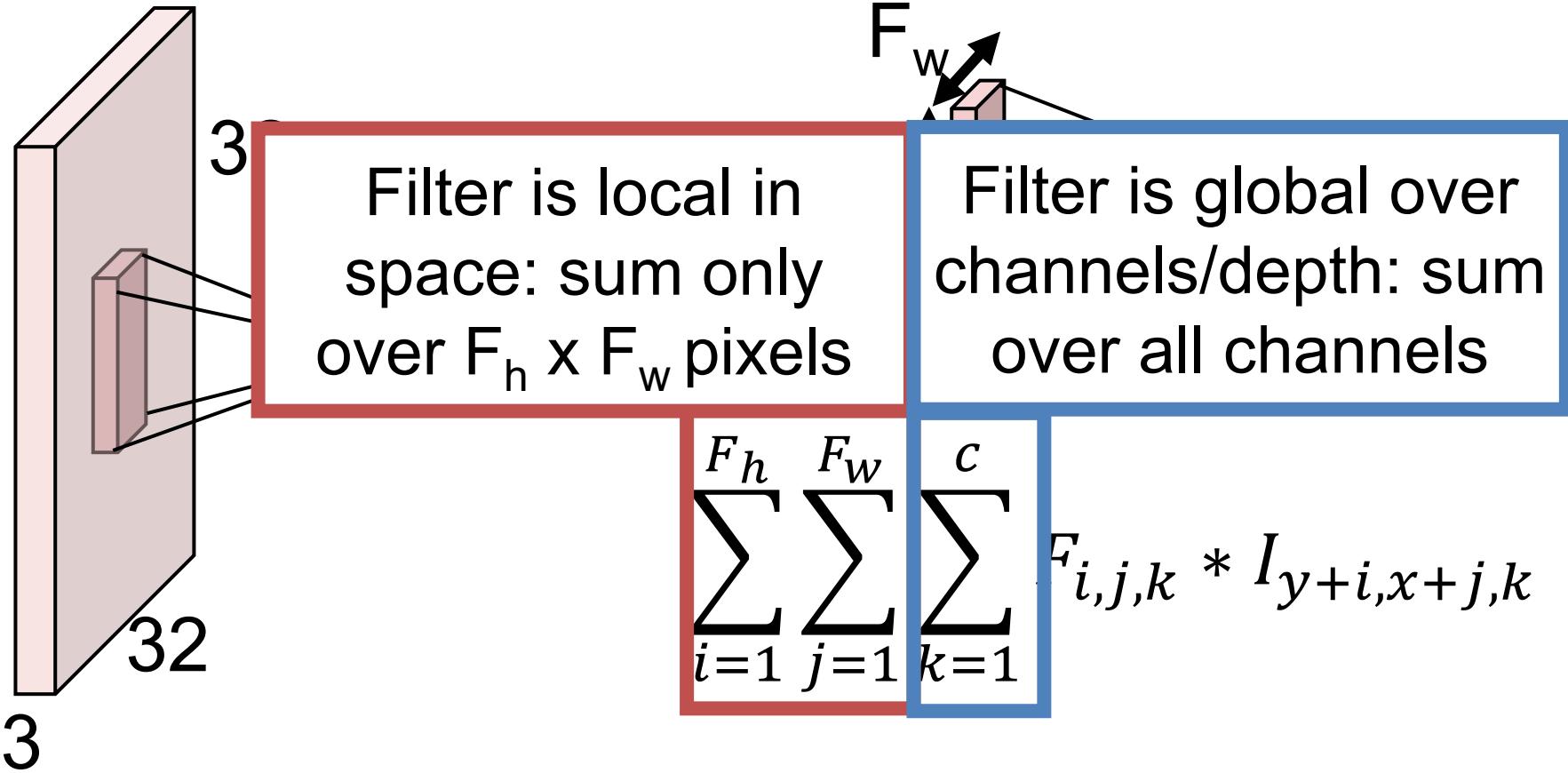
Neuron is the same: weighted linear average



$$\sum_{i=1}^{F_h} \sum_{j=1}^{F_w} \sum_{k=1}^C F_{i,j,k} * I_{y+i,x+j,k}$$

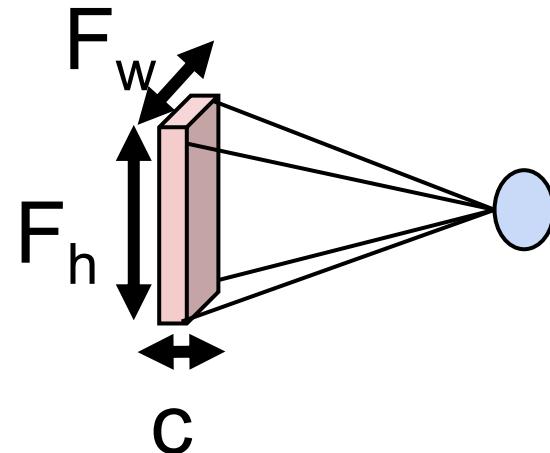
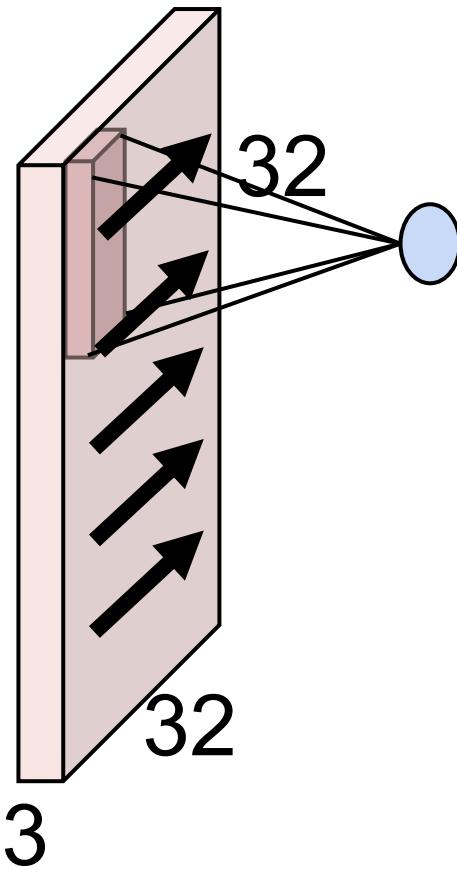
Convnet

Neuron is the same: weighted linear average



Convnet

Get spatial output by sliding filter over image



$$\sum_{i=1}^{F_h} \sum_{j=1}^{F_w} \sum_{k=1}^c F_{i,j,k} * I_{y+i,x+j,k}$$

Differences From Earlier Filtering

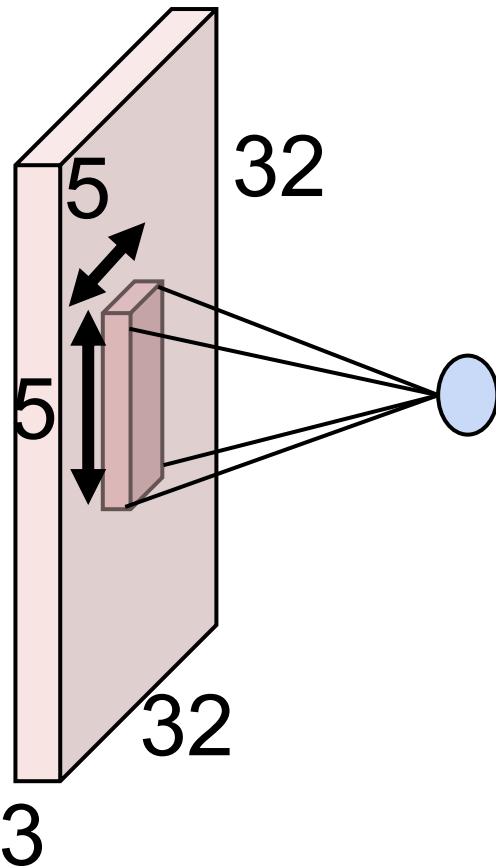
- (a) #input channels can be greater than one
- (b) forget you learned the difference between convolution and cross-correlation

I11	F11	F12	F13	I15	I16
I21	F21	F22	F23	I25	I26
I31	F31	F32	F33	I35	I36
I41	I42	I43	I44	I45	I46
I51	I52	I53	I54	I55	I56

$$\begin{aligned} \text{Output}[1,2] \\ = I[1,2]*F[1,1] + I[1,3]*F[1,2] \\ + \dots + I[3,4]*F[3,3] \end{aligned}$$

Convnet

How big is the output?



Height? $32 - 5 + 1 = 28$

Width? $32 - 5 + 1 = 28$

Channels? 1

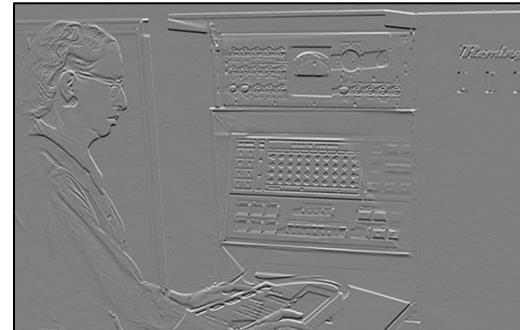
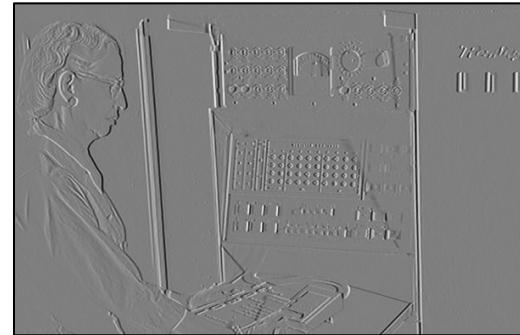
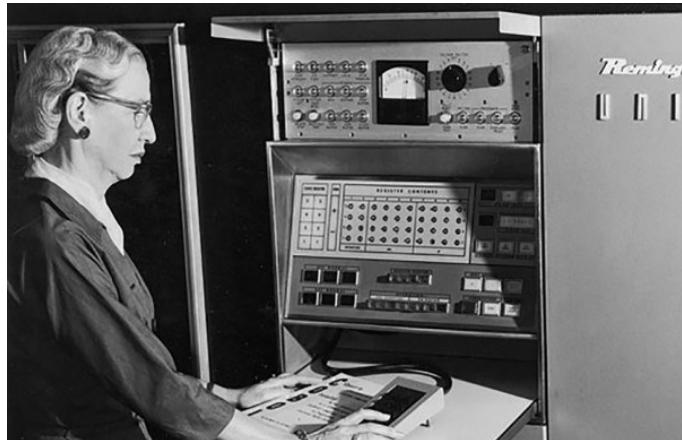
One filter not very
useful by itself

Multiple Filters

You've already seen this before

Input:
400x600x1

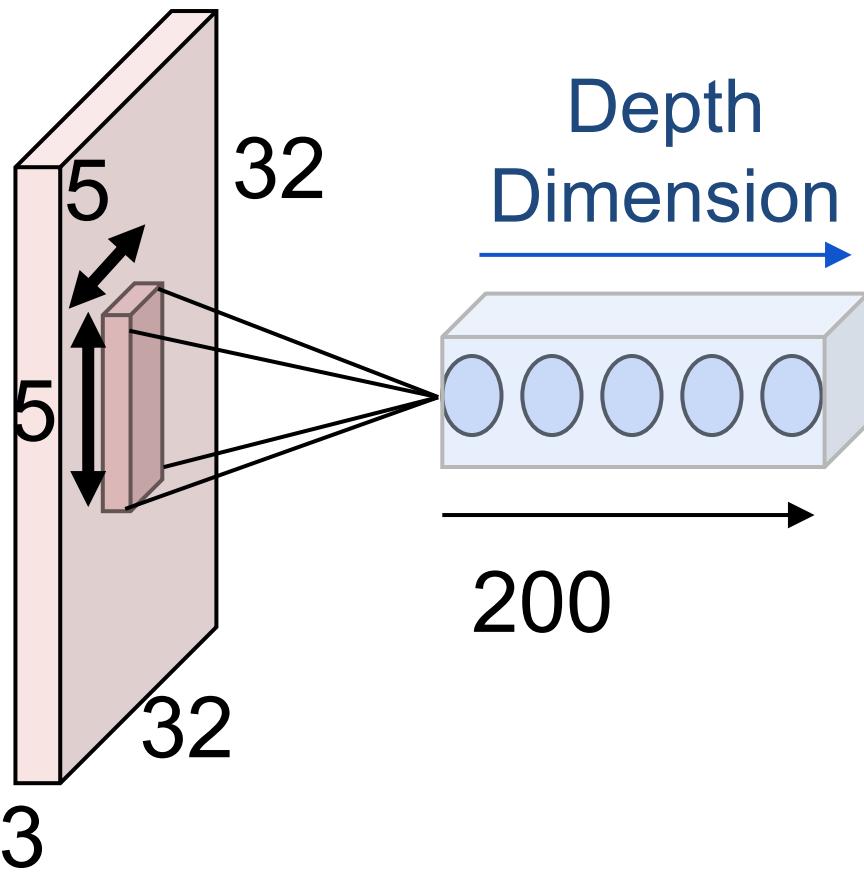
Output:
400x600x2



Convnet

Multiple out channels via multiple filters.

How big is the output?



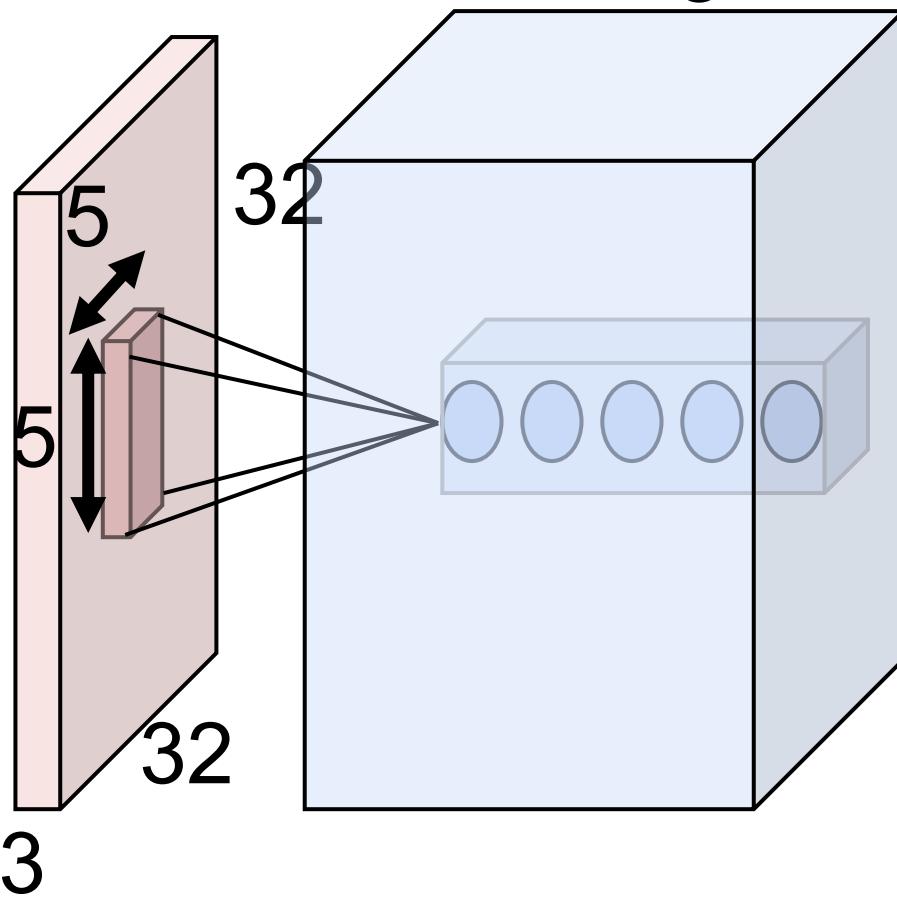
Height? $32 - 5 + 1 = 28$

Width? $32 - 5 + 1 = 28$

Channels? 200

Convnet

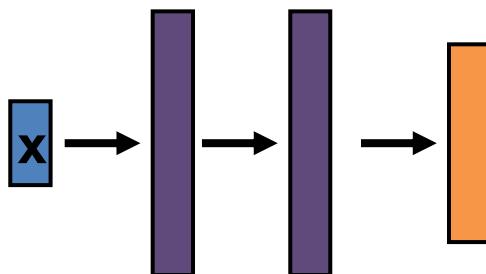
Multiple out channels via multiple filters.
How big is the output?



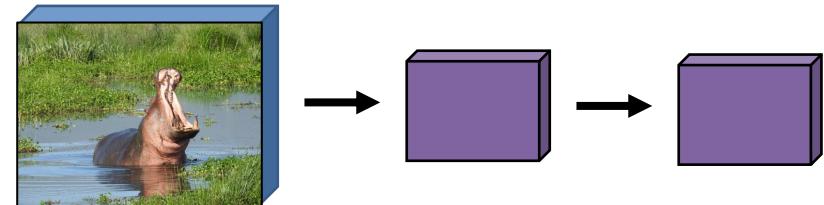
Height? $32 - 5 + 1 = 28$
Width? $32 - 5 + 1 = 28$
Channels? 200

Convnet, Summarized

Neural net:
series of matrix-multiplies
parameterized by \mathbf{W}, \mathbf{b} +
nonlinearity/activation
Fit by gradient descent



Convnet:
series of convolutions
parameterized by \mathbf{F}, \mathbf{b} +
nonlinearity/activation
Fit by gradient descent



One Additional Subtlety – Stride

Warmup: how big is the output spatially?

F11	F12	F13	I14	I15	I16	I17
F21	F22	F23	I24	I25	I26	I27
F31	F32	F33	I34	I35	I36	I37
I41	I42	I43	I44	I45	I46	I47
I51	I52	I53	I54	I55	I56	I57
I61	I62	I63	I64	I65	I66	I67
I71	I72	I73	I74	I75	I76	I77

Normal (Stride 1):
5x5 output

One Additional Subtlety – Stride

Stride: skip a few (here 2)

F11	F12	F13	I14	I15	I16	I17
F21	F22	F23	I24	I25	I26	I27
F31	F32	F33	I34	I35	I36	I37
I41	I42	I43	I44	I45	I46	I47
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I61	I62	I63	I64	I65	I66	I67
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Normal (Stride 1):
5x5 output

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I41	I42	I43	I44	I45	I46	I47
I51	I52	I53	I54	I55	I56	I57
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I31	I32	I33	I34	F31	F32	F33
I41	I42	I43	I44	I45	I46	I47
I51	I52	I53	I54	I55	I56	I57
I61	I62	I63	I64	I65	I66	I67
I71	I72	I73	I74	I75	I76	I77

Normal (Stride 1):
5x5 output

Stride 2 convolution:
3x3 output

One Additional Subtlety – Stride

What about stride 3?

F11	F12	F13	I14	I15	I16	I17
F21	F22	F23	I24	I25	I26	I27
F31	F32	F33	I34	I35	I36	I37
I41	I42	I43	I44	I45	I46	I47
I51	I52	I53	I54	I55	I56	I57
I61	I62	I63	I64	I65	I66	I67
I71	I72	I73	I74	I75	I76	I77

Normal (Stride 1):
5x5 output

Stride 2 convolution:
3x3 output

One Additional Subtlety – Stride

What about stride 3?

I11	I12	I13	F11	F12	F13	I17
I21	I22	I23	F21	F22	F23	I27
I31	I32	I33	F31	F32	F33	I37
I41	I42	I43	I44	I45	I46	I47
I51	I52	I53	I54	I55	I56	I57
I61	I62	I63	I64	I65	I66	I67
I71	I72	I73	I74	I75	I76	I77

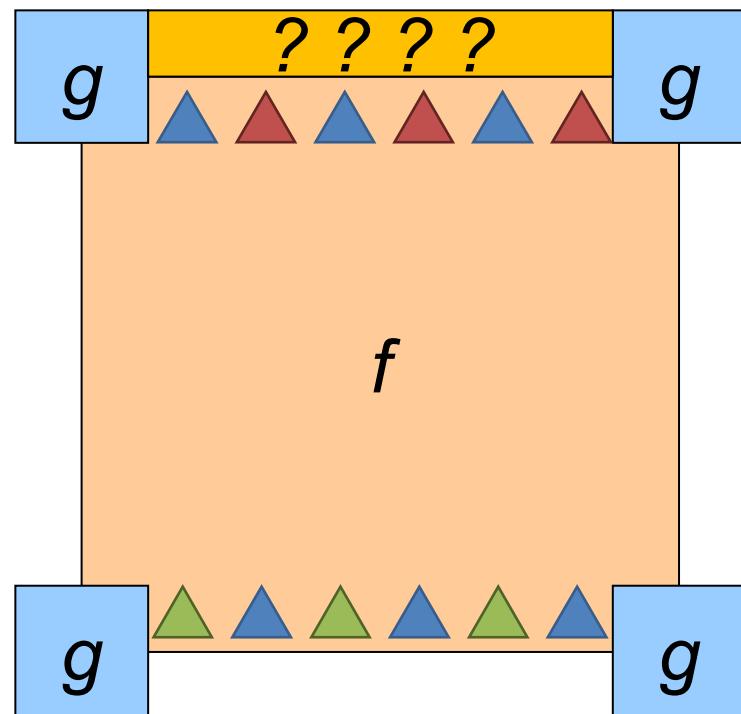
Normal (Stride 1):
5x5 output

Stride 2 convolution:
3x3 output

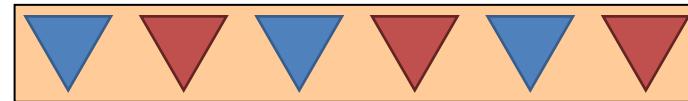
Stride 3 convolution:
Doesn't work!

One Additional Subtlety

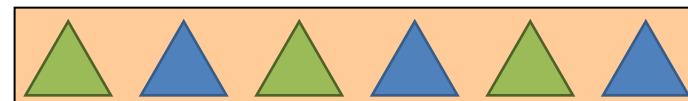
Zero padding is extremely common, although other forms of padding do happen



Symm: fold sides over



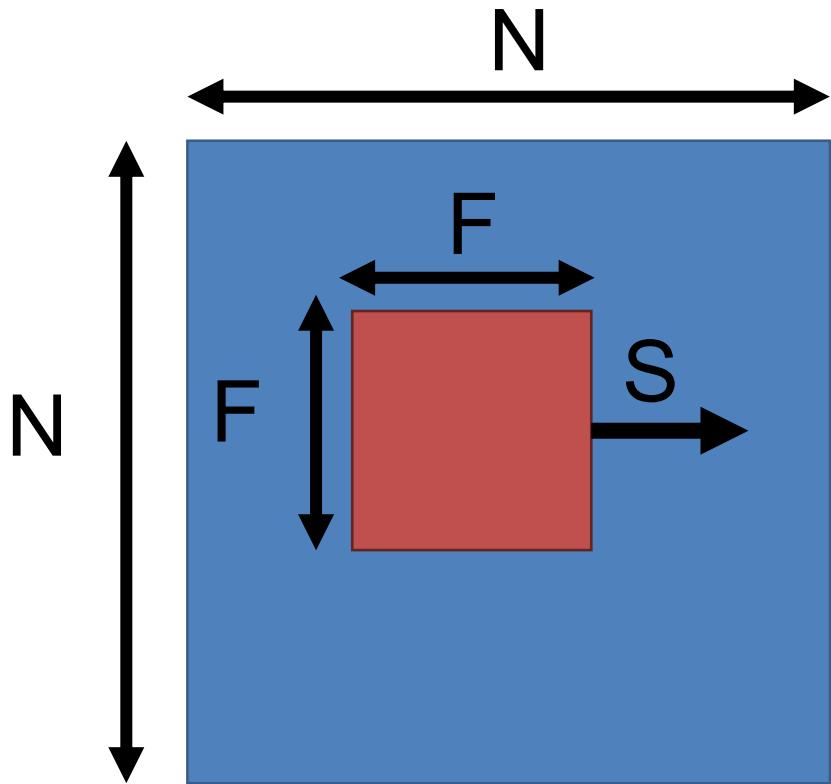
Circular/Wrap: wrap around



pad/fill: add value, often 0



In General



Output Size

$$\frac{(N - F)}{S} + 1$$

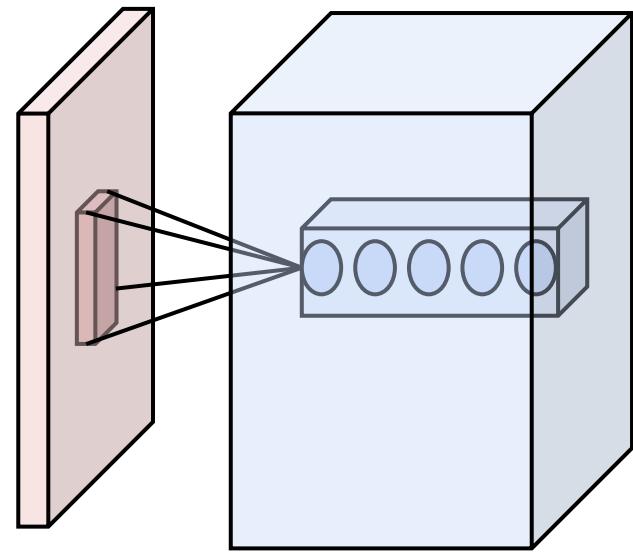
More Examples

Input volume: **32x32x3**

Receptive fields: **5x5, stride 1**

Number of neurons: **5**

$$\frac{(N - F)}{S} + 1$$



Output volume size?

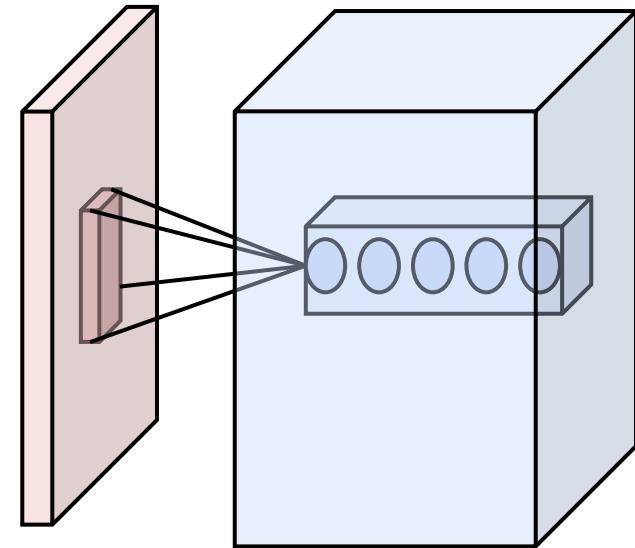
More Examples

Input volume: **32x32x3**

Receptive fields: **5x5, stride 1**

Number of neurons: **5**

$$\frac{(N - F)}{S} + 1$$



Output volume: $(32 - 5) / 1 + 1 = 28$, so: **28x28x5**

Number of Parameters?

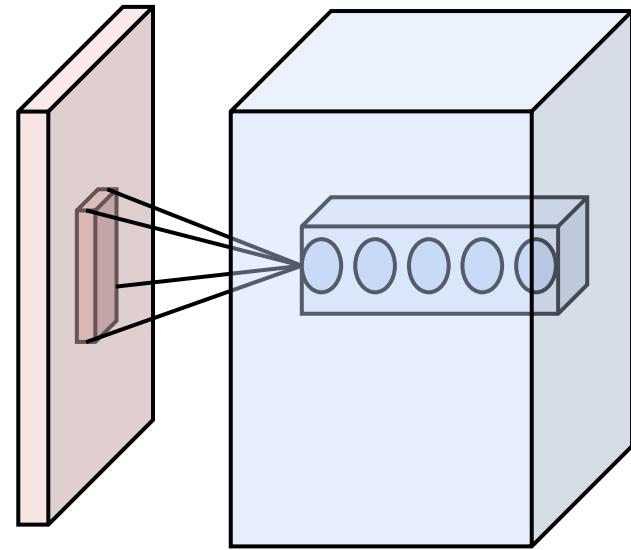
More Examples

Input volume: **32x32x3**

Receptive fields: **5x5, stride 1**

Number of neurons: **5**

$$\frac{(N - F)}{S} + 1$$



Output volume: $(32 - 5) / 1 + 1 = 28$, so: **28x28x5**

How many parameters? **$5 \times 5 \times 3 \times 5 + 5 = 380$**

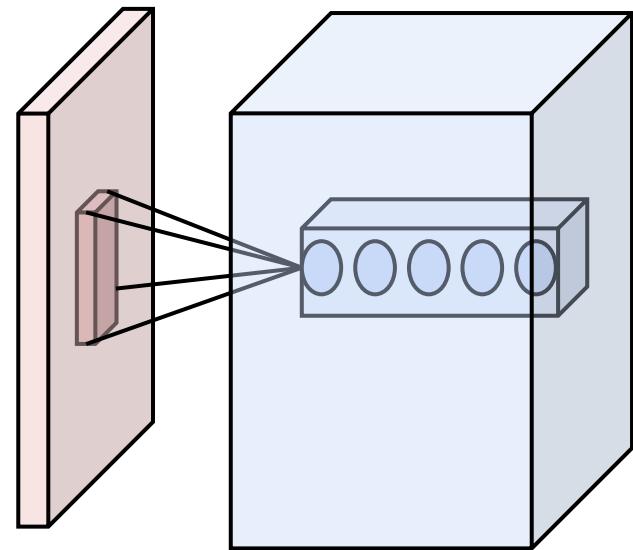
More Examples

Input volume: **32x32x3**

Receptive fields: **5x5, stride 3**

Number of neurons: **5**

$$\frac{(N - F)}{S} + 1$$



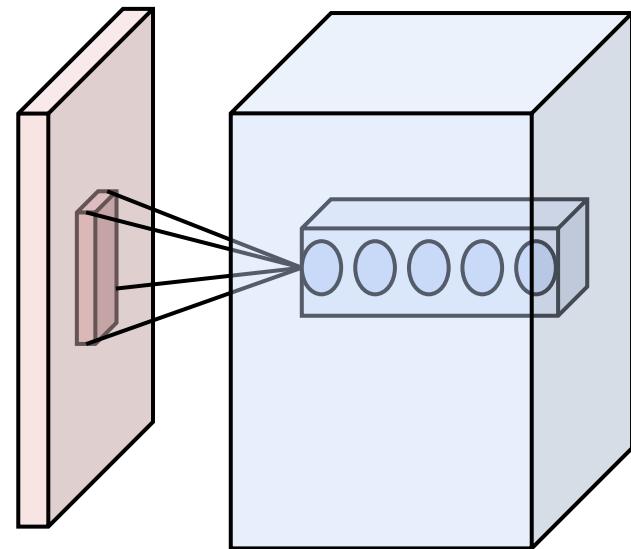
Output volume size?

More Examples

Input volume: **32x32x3**

Receptive fields: **5x5, stride 3**

Number of neurons: **5**



Output volume: $(32 - 5) / 3 + 1 = 10$, so: **10x10x5**

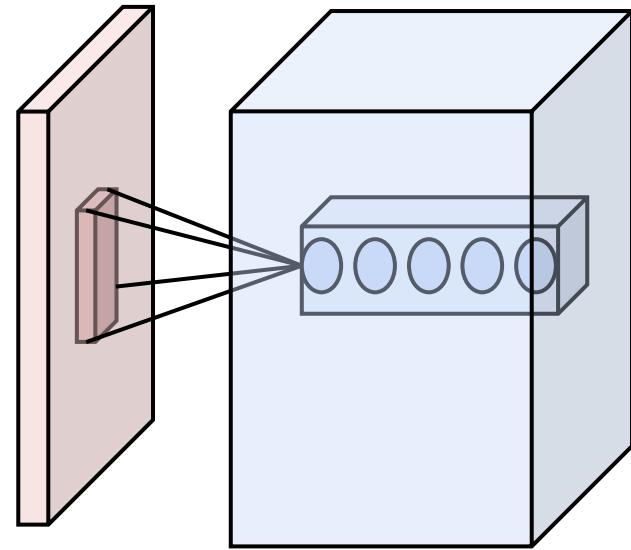
Number of Parameters?

More Examples

Input volume: **32x32x3**

Receptive fields: **5x5, stride 3**

Number of neurons: **5**



Output volume: $(32 - 5) / 3 + 1 = 10$, so: **10x10x5**

How many parameters? **5x5x3x5 + 5 = 380. Same!**

Thought Problem

- How do you write a normal neural network as a convnet?

Other Layers – Pooling

Idea: just want spatial resolution of activations / images smaller; applied per-channel

1	1	2	4
5	6	7	8
3	2	1	0
1	1	3	4

Max-pool
2x2 Filter
Stride 2



6	8
3	4

Other Layers – Pooling

Idea: just want spatial resolution of activations / images smaller; applied per-channel

1	1	2	4
5	6	7	8
3	2	1	0
1	1	3	4

Avg-pool
2x2 Filter
Stride 2



3.25	5.25
1.75	2.0

Other Layers – Pooling

Idea: just want spatial resolution of activations / images smaller; applied per-channel

I11	I12	I13	I14	I15	I16	I17
I21	I22	I23	I24	I25	I26	I27
I31	I32	I33	I34	I35	I36	I37
I41	I42	I43	I44	I45	I46	I47
I51	I52	I53	I54	I55	I56	I57
I61	I62	I63	I64	I65	I66	I67
I71	I72	I73	I74	I75	I76	I77

Max-pool
3x3 Filter
Stride 2



O11

O11 = maximum value in blue box

Other Layers – Pooling

Idea: just want spatial resolution of activations / images smaller; applied per-channel

I11	I12	I13	I14	I15	I16	I17
I21	I22	I23	I24	I25	I26	I27
I31	I32	I33	I34	I35	I36	I37
I41	I42	I43	I44	I45	I46	I47
I51	I52	I53	I54	I55	I56	I57
I61	I62	I63	I64	I65	I66	I67
I71	I72	I73	I74	I75	I76	I77

Max-pool
3x3 Filter
Stride 2



O11	O12
-----	-----

O12 = maximum value in blue box

Other Layers – Pooling

Idea: just want spatial resolution of activations / images smaller; applied per-channel

I11	I12	I13	I14	I15	I16	I17
I21	I22	I23	I24	I25	I26	I27
I31	I32	I33	I34	I35	I36	I37
I41	I42	I43	I44	I45	I46	I47
I51	I52	I53	I54	I55	I56	I57
I61	I62	I63	I64	I65	I66	I67
I71	I72	I73	I74	I75	I76	I77

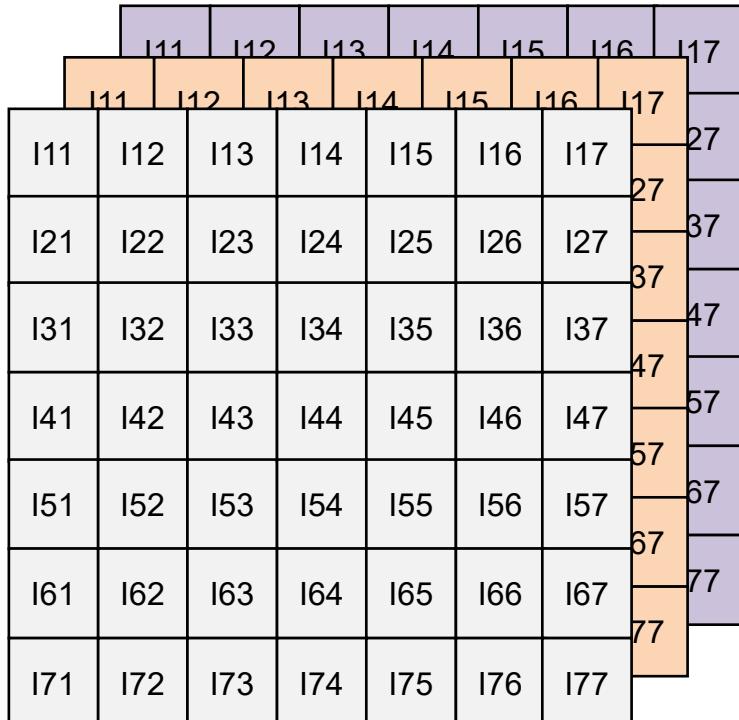
Max-pool
3x3 Filter
Stride 2

O11	O12	O13
-----	-----	-----

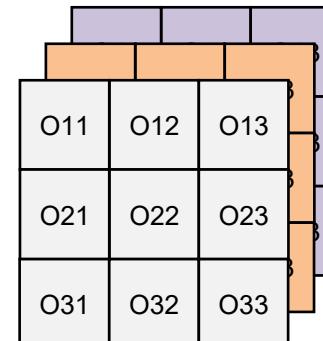
O13 = maximum value in blue box

Other Layers – Pooling

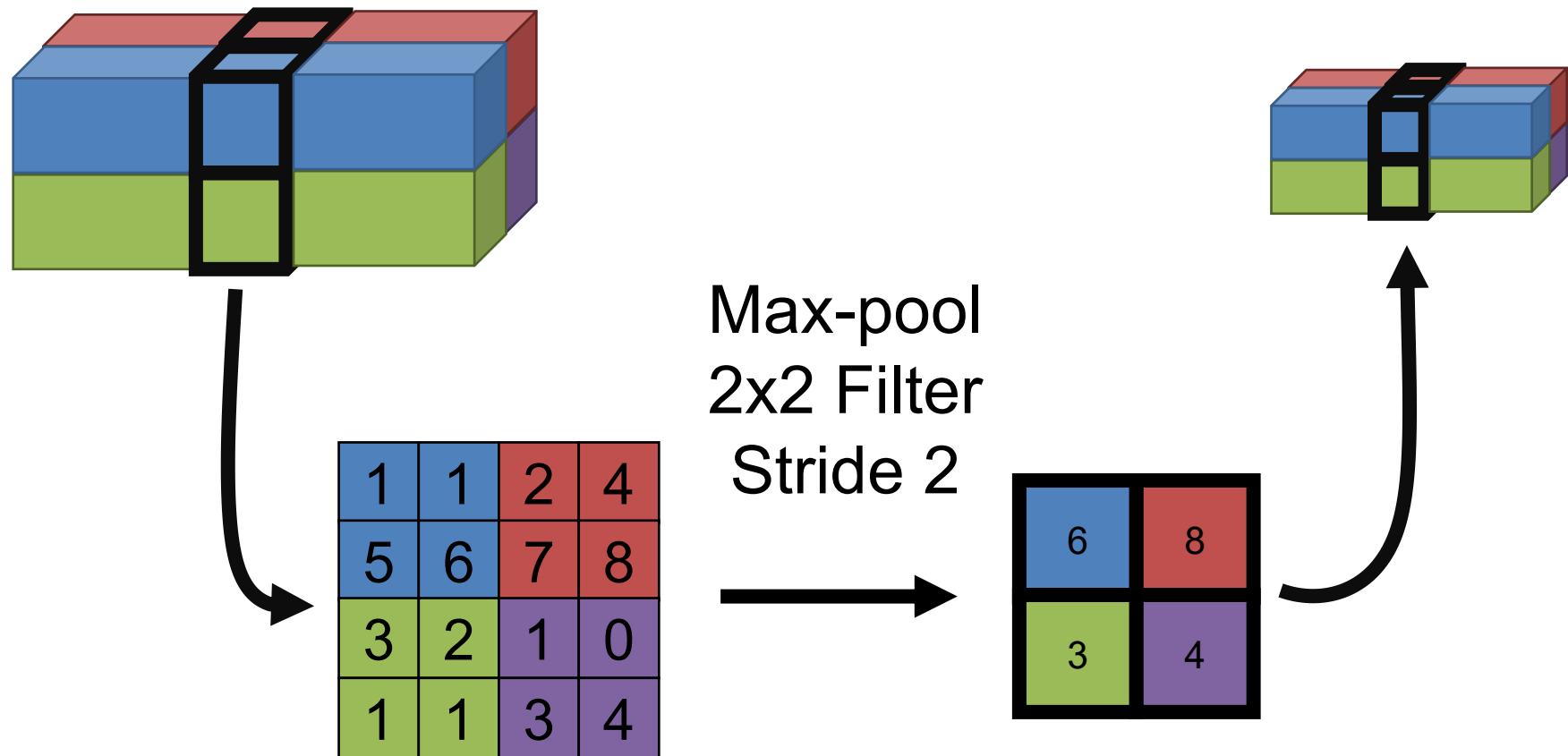
Idea: just want spatial resolution of activations
/ images smaller; applied per-channel



Max-pool
3x3 Filter
Stride 2



Squeezing a Loaf of Bread



Example Network

Suppose we want to convert a $32 \times 32 \times 3$ image into a 10×1 vector of classification results



Figure Credit: Karpathy and Fei-Fei; see <http://cs231n.stanford.edu/>

Example Network

input: [32x32x3]

CONV with 10 3x3 filters, stride 1, pad 1:

gives: [32x32x10]

new parameters: $(3*3*3)*10 + 10 = 280$

RELU

CONV with 10 3x3 filters, stride 1, pad 1:

gives: [32x32x10]

new parameters: $(3*3*10)*10 + 10 = 910$

RELU

POOL with 2x2 filters, stride 2:

gives: [16x16x10]

parameters: 0

Example Network

Previous output: [16x16x10]

CONV with 10 3x3 filters, stride 1:
gives: [16x16x10]

new parameters: $(3*3*10)*10 + 10 = 910$

RELU

CONV with 10 3x3 filters, stride 1:

gives: [16x16x10]

new parameters: $(3*3*10)*10 + 10 = 910$

RELU

POOL with 2x2 filters, stride 2:

gives: [8x8x10]

parameters: 0

Example Network

Conv, Relu, Conv, Relu, Pool continues until it's [4x4x10]

Fully-Connected FC layer to 10 neurons
(which are our class scores)

Number of parameters:

$$10 * 4 * 4 * 10 + 10 = 1610$$

done!

An Alternate Conclusion

Conv, Relu, Conv, Relu, Pool continues until it's [4x4x10]

Average POOL 4x4x10 to 10 neurons

Fully-Connected FC layer to 10 neurons
(which are our class scores)

Number of parameters:

$$10 * 10 + 10 = 110$$

done!

Example Network

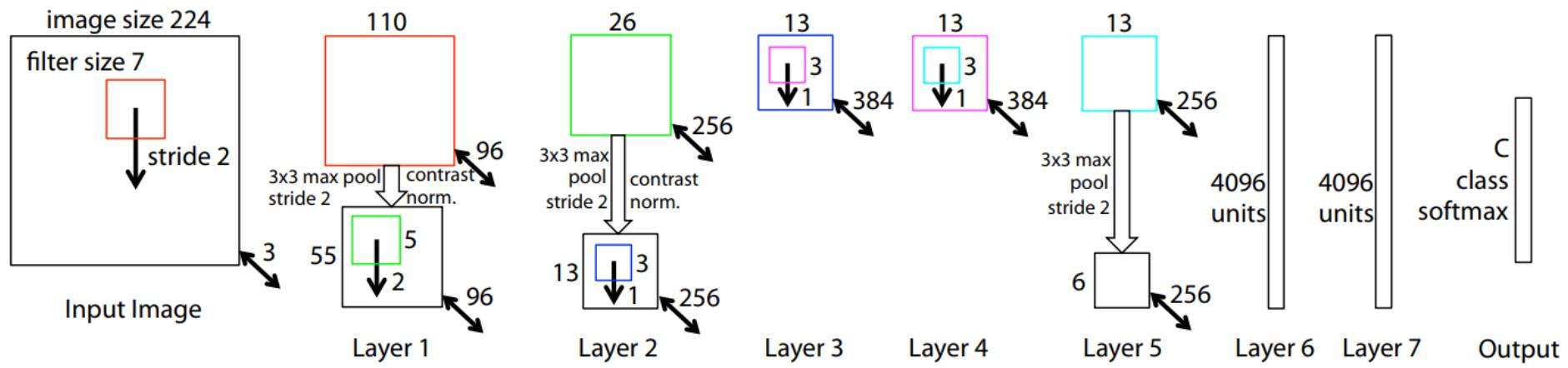
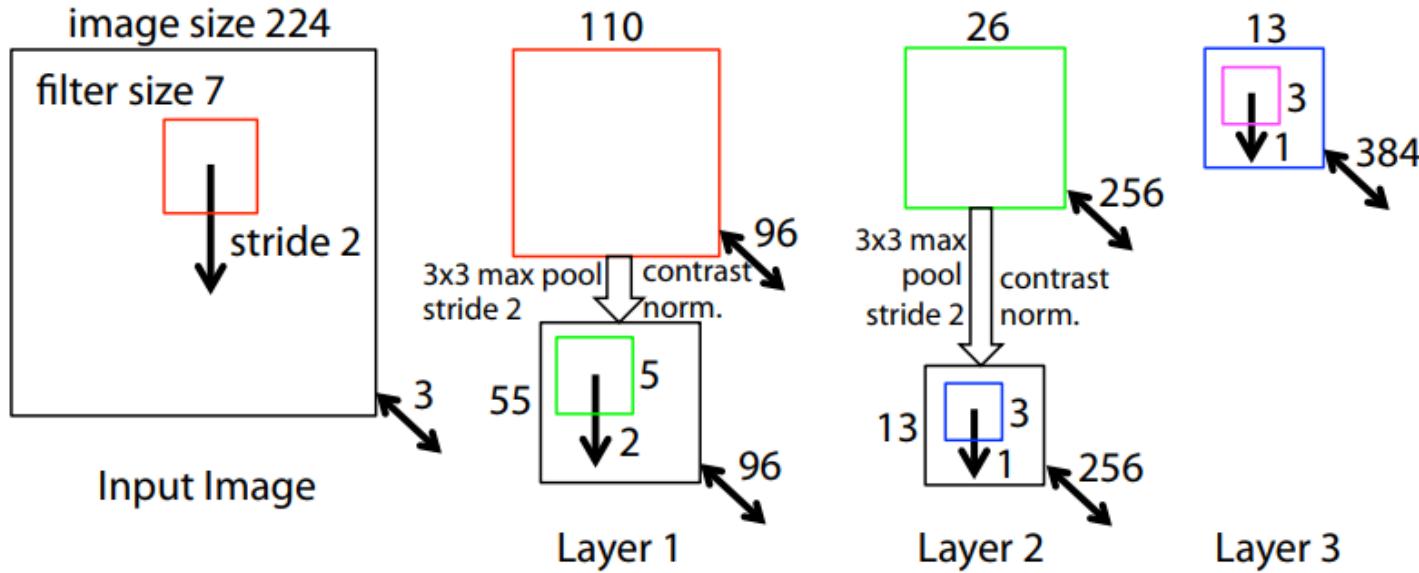


Figure Credit: Zeiler and Fergus, Visualizing and Understanding Convolutional Networks. ECCV 2014

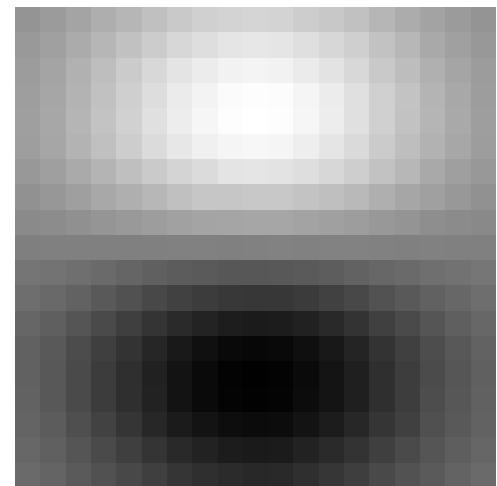
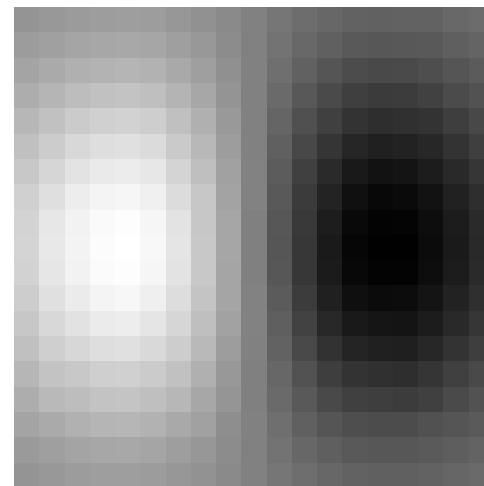
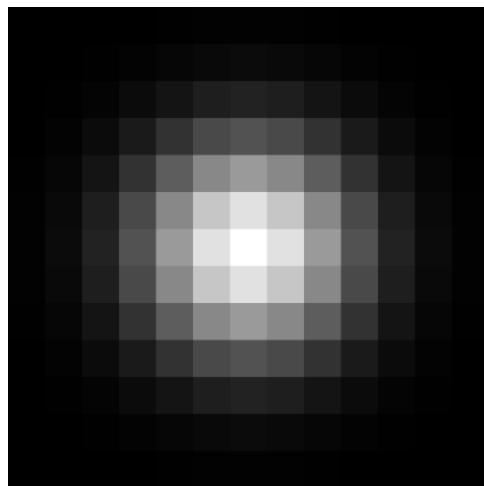
Example Network



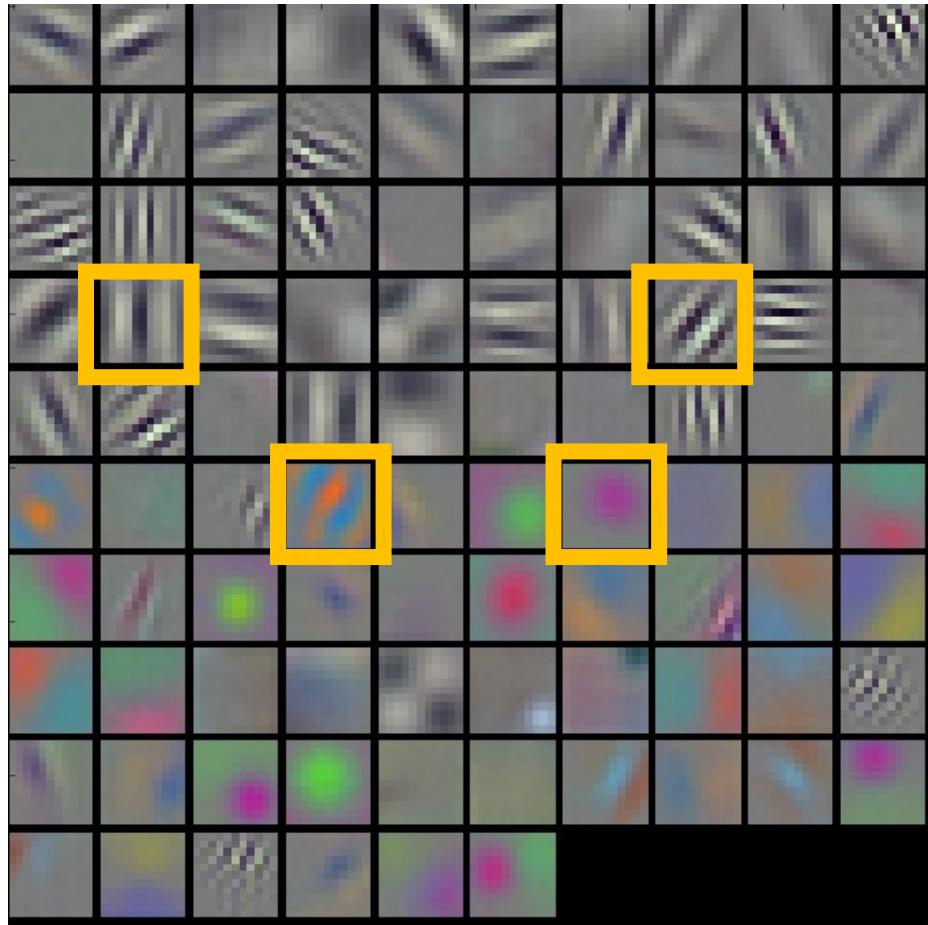
- (1) filter image with 96 7x7 filters
- (2) ReLU
- (3) 3x3 max pool with stride 2 (and *contrast normalization – now ignored*)

What Do The Filters Represent?

Recall: filters are images and we can look at them



What Do The Filters Represent?



First layer filters of a network trained to distinguish 1000 categories of objects

Remember these filters go over color.

For the interested:
[Gabor filter](#)

What Do The Filters Do?

