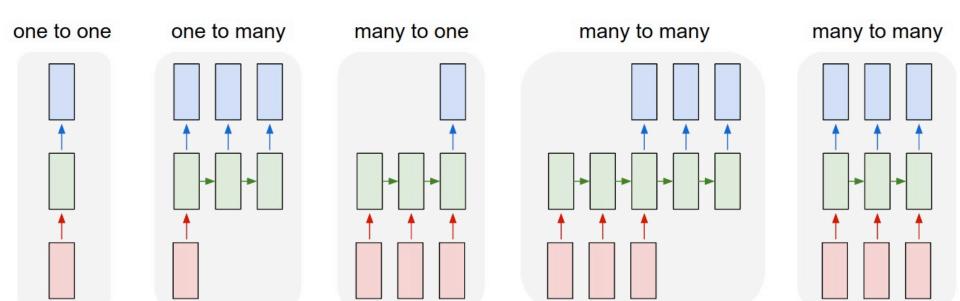
Recurrent Neural Nets & Visual Captioning

Lecture 7

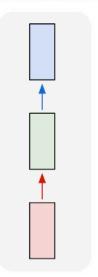
Slides from: Dhruv Bhatra, Fei-Fei Li, Justin Johnson, Serena Yeung, Andrej Karpathy

Recurrent Neural Nets



Recurrent Neural Nets

one to one

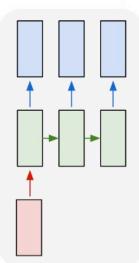


Input: No sequence

Output: No sequence

Example:
"standard"
classification /
regression
problems

one to many

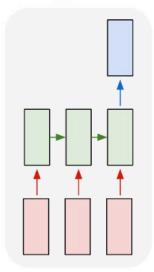


Input: No sequence

Output: Sequence

Example: Im2Caption

many to one

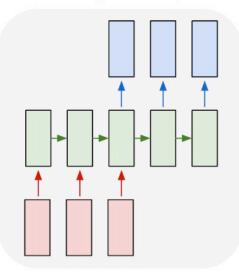


Input: Sequence

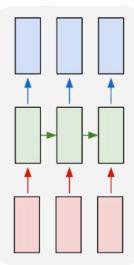
Output: No sequence

Example: sentence classification, multiple-choice question answering

many to many



many to many



Input: Sequence

Output: Sequence

Example: machine translation, video captioning, openended question answering, video question answering

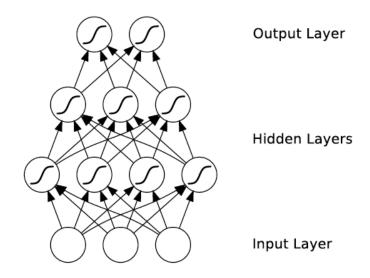
Synonyms

- Recurrent Neural Networks (RNNs)
- Types:
 - "Vanilla" RNNs
 - Long Short Term Memory (LSTMs)
 - Gated Recurrent Units (GRUs)
 - **—** ...
- Algorithms
 - BackProp Through Time (BPTT)

(C) Dhruv Batra

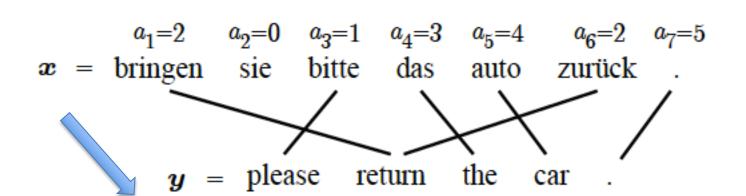
What's wrong with MLPs/ConvNets?

- Problem 1: Can't model sequences
 - Fixed-sized Inputs & Outputs
 - No temporal structure
- Problem 2: Pure feed-forward processing
 - No "memory", no feedback

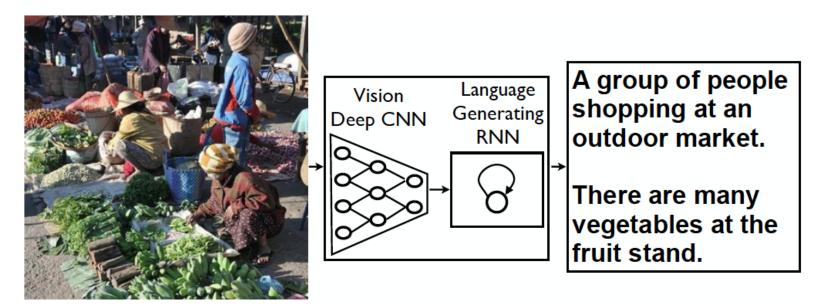


Sequences are everywhere...

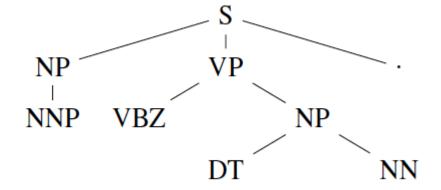




Even where you might not expect a sequence...



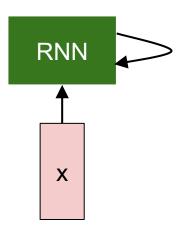
John has a dog . \rightarrow

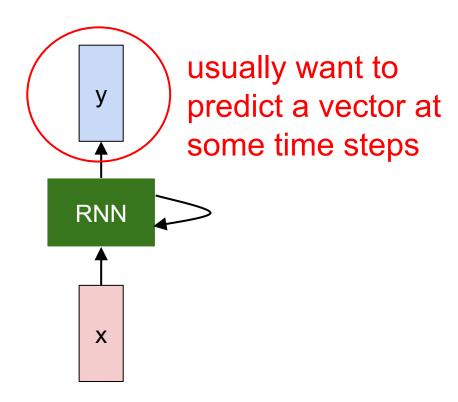


John has a dog.

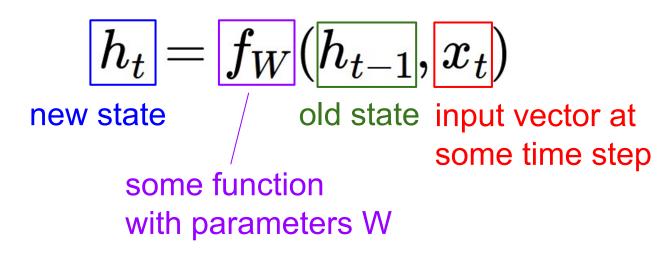
 \rightarrow

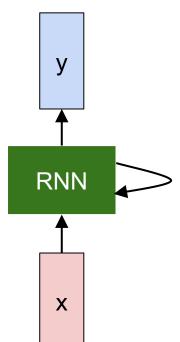
 $(S (NP NNP)_{NP} (VP VBZ (NP DT NN)_{NP})_{VP}.)_{S}$





We can process a sequence of vectors **x** by applying a **recurrence formula** at every time step:

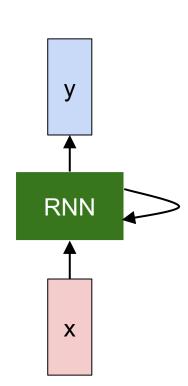




We can process a sequence of vectors **x** by applying a **recurrence formula** at every time step:

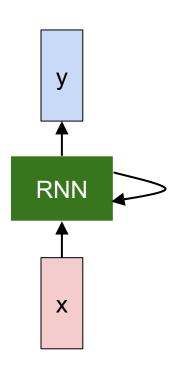
$$h_t = f_W(h_{t-1}, x_t)$$

Notice: the same function and the same set of parameters are used at every time step.



(Vanilla) Recurrent Neural Network

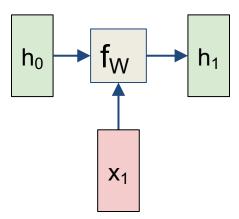
The state consists of a single "hidden" vector h:

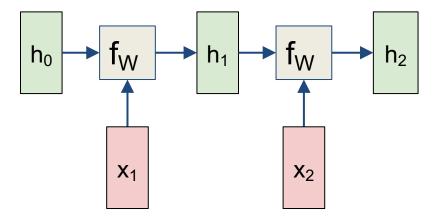


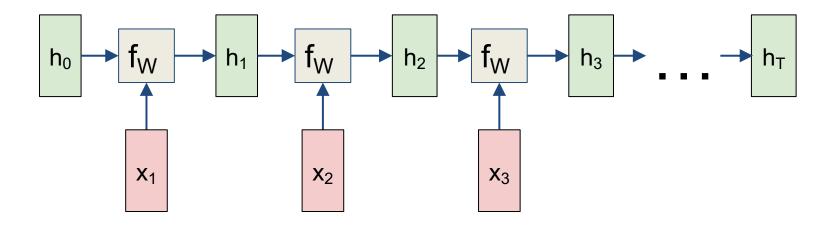
$$y_t = W_{hy}h_t + b_y$$

$$h_t = f_W(h_{t-1}, x_t)$$

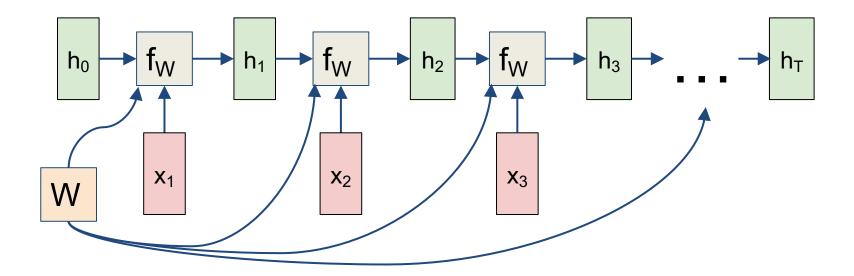
$$h_t = \tanh(W_{hh}h_{t-1} + W_{xh}x_t + b_h)$$



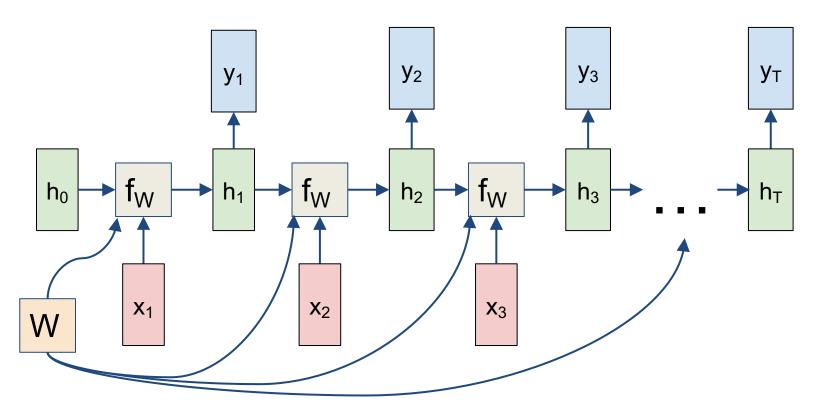




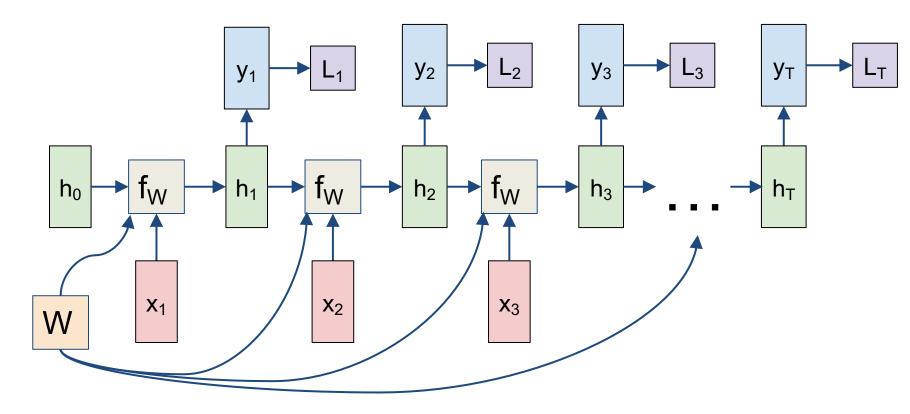
Re-use the same weight matrix at every time-step

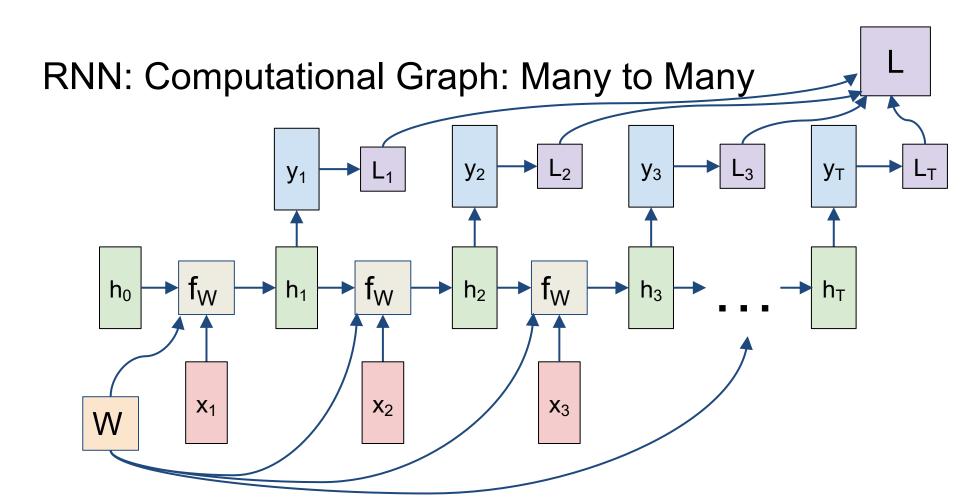


RNN: Computational Graph: Many to Many

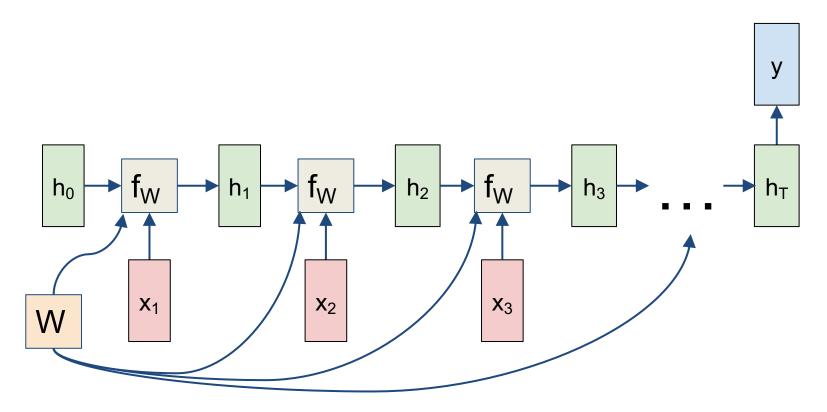


RNN: Computational Graph: Many to Many

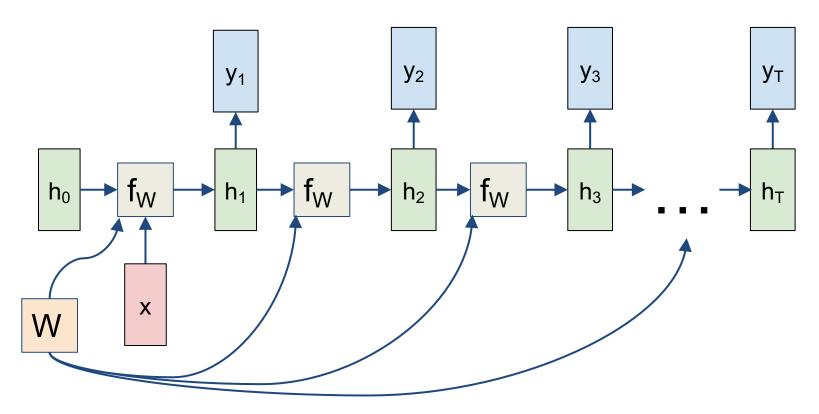




RNN: Computational Graph: Many to One

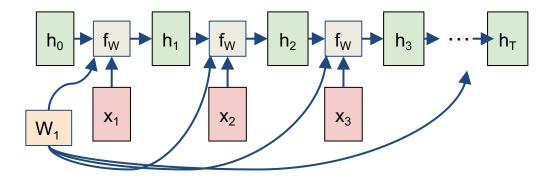


RNN: Computational Graph: One to Many

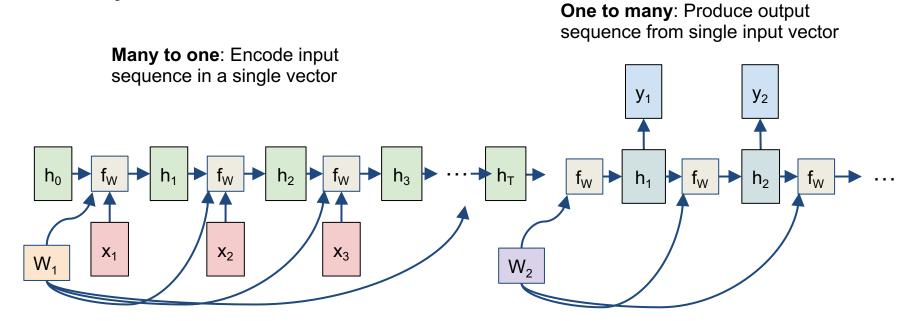


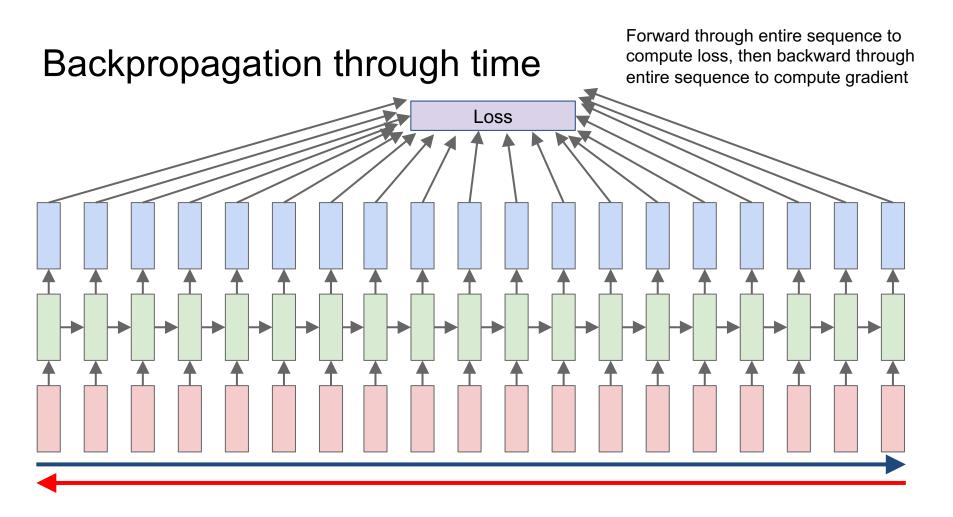
Sequence to Sequence: Many-to-one + one-to-many

Many to one: Encode input sequence in a single vector

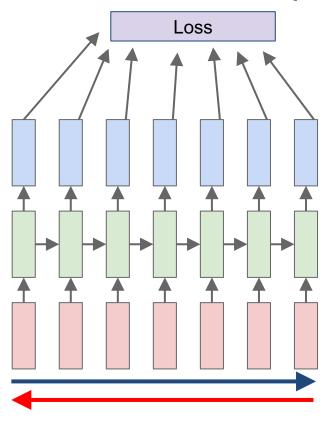


Sequence to Sequence: Many-to-one + one-tomany



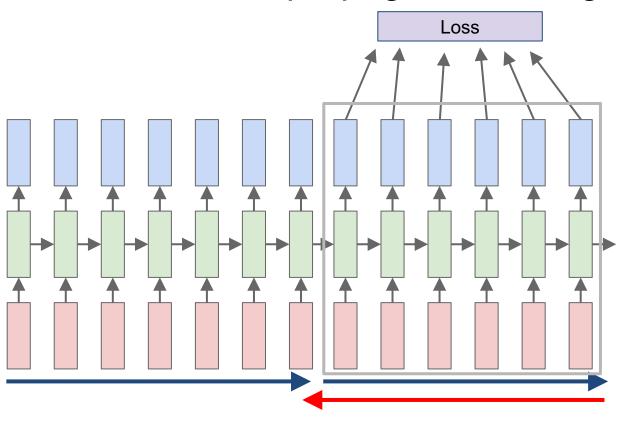


Truncated Backpropagation through time



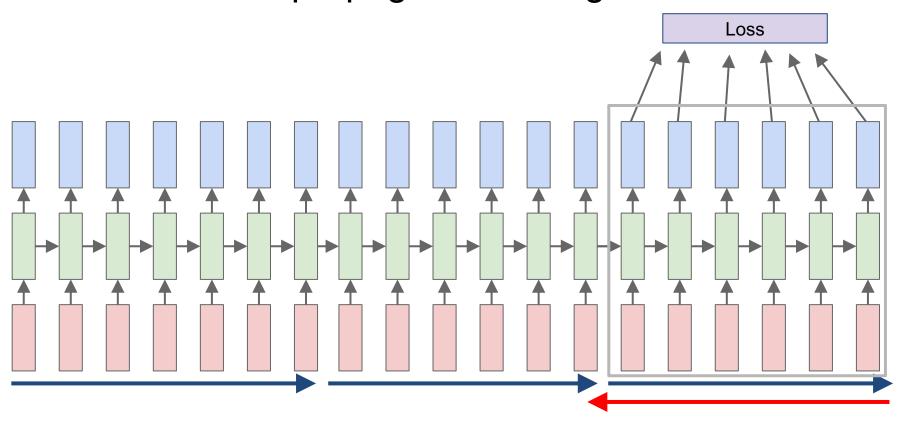
Run forward and backward through chunks of the sequence instead of whole sequence

Truncated Backpropagation through time



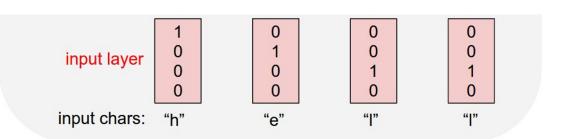
Carry hidden states forward in time forever, but only backpropagate for some smaller number of steps

Truncated Backpropagation through time



Vocabulary: [h,e,l,o]

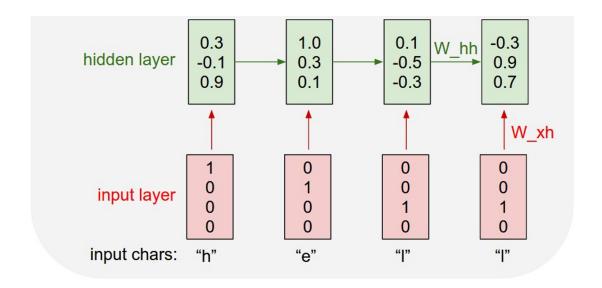
Example training sequence: "hello"



$$h_t = \tanh(W_{hh}h_{t-1} + W_{xh}x_t + b_h)$$

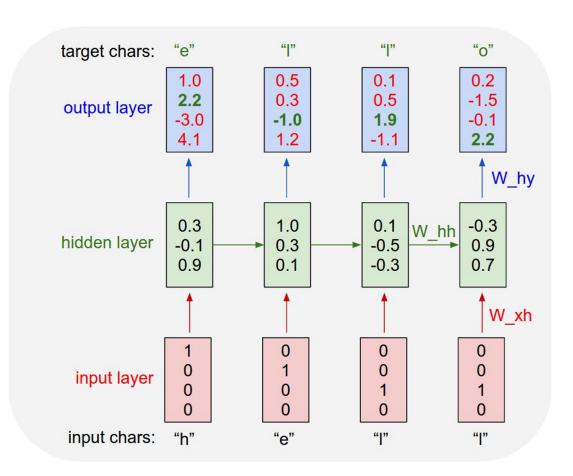
Vocabulary: [h,e,l,o]

Example training sequence: "hello"

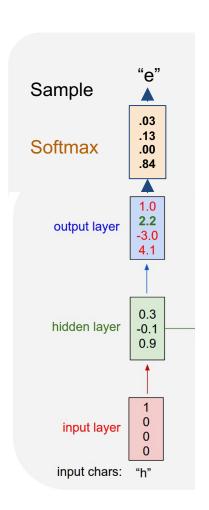


Vocabulary: [h,e,l,o]

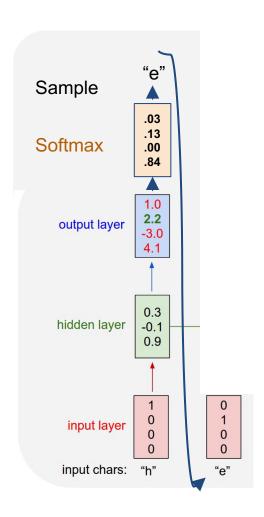
Example training sequence: "hello"



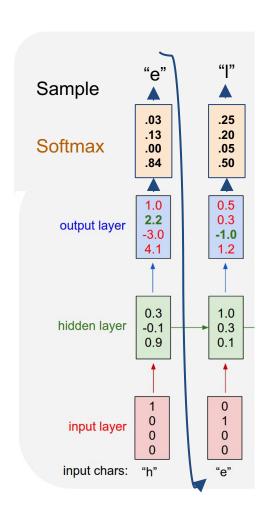
Vocabulary: [h,e,l,o]



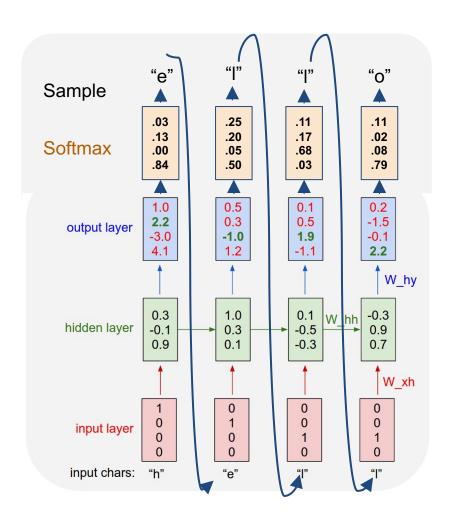
Vocabulary: [h,e,l,o]



Vocabulary: [h,e,l,o]



Vocabulary: [h,e,l,o]



min-char-rnn.py gist: 112 lines of Python

```
Minimal character-level Vanilla RNN model. Written by Andrej Karpathy (@karpathy)
    BSD License
    import numpy as np
    # data T/O
    data = open('input.txt', 'r').read() # should be simple plain text file
   chars = list(set(data))
    data_size, vocab_size = len(data), len(chars)
    print 'data has %d characters, %d unique.' % (data_size, vocab_size)
    char_to_ix = { ch:i for i,ch in enumerate(chars) }
    ix_to_char = { i:ch for i,ch in enumerate(chars) }
    hidden_size = 100 # size of hidden layer of neurons
    seq_length = 25 # number of steps to unroll the RNN for
    learning_rate = 1e-1
   # model parameters
21 Wxh = np.random.rando(hidden size, vocab size)*0.01 # input to hidden
    Whh = np.random.random(hidden size, hidden size)*0.01 # hidden to hidden
    Why = np.random.randn(vocab_size, hidden_size)*0.01 # hidden to output
    bh = np.zeros((hidden_size, 1)) # hidden bias
by = np.zeros((vocab_size, 1)) # output bias
27 def lossFun(inputs, targets, hprev):
      inputs, targets are both list of integers.
      hprev is Hx1 array of initial hidden state
      returns the loss, gradients on model parameters, and last hidden state
      xs, hs, ys, ps = {}, {}, {}, {}
      hs[-1] = np.copy(hprev)
      loss = 0
      # forward pass
      for t in xrange(len(inputs)):
        xs[t] = np.zeros((vocab_size,1)) # encode in 1-of-k representation
        xs[t][inputs[t]] = 1
        hs[t] = np.tanh(np.dot(Wxh, xs[t]) + np.dot(Whh, hs[t-1]) + bh) # hidden state
        ys[t] = np.dot(Why, hs[t]) + by # unnormalized log probabilities for next chars
        ps[t] = np.exp(ys[t]) / np.sum(np.exp(ys[t])) # probabilities for next chars
        loss += -np.log(ps[t][targets[t],0]) # softmax (cross-entropy loss)
      # backward pass: compute gradients going backwards
      dWxh, dWhh, dWhy = np.zeros_like(Wxh), np.zeros_like(Whh), np.zeros_like(Why)
      dbh, dby = np.zeros_like(bh), np.zeros_like(by)
      dhnext = np.zeros like(hs[0])
      for t in reversed(xrange(len(inputs))):
       dv = np.copv(ps[t])
        dy[targets[t]] -= 1 # backprop into y
        dWhy += np.dot(dy, hs[t].T)
        dby += dy
        dh = np.dot(Why.T. dy) + dhnext # backprop into h
       dhraw = (1 - hs[t] * hs[t]) * dh # backprop through tanh nonlinearity
        dbh += dhraw
        dWxh += np.dot(dhraw, xs[t].T)
        dWhh += np.dot(dhraw, hs[t-1].T)
        dhnext = np.dot(Whh.T, dhraw)
      for dparam in [dWxh, dWhh, dWhy, dbh, dby]:
       np.clip(dparam, -5, 5, out=dparam) # clip to mitigate exploding gradients
      return loss, dWxh, dWhh, dWhy, dbh, dby, hs[len(inputs)-1]
```

```
63 def sample(h, seed_ix, n):
       sample a sequence of integers from the model
       h is memory state, seed_ix is seed letter for first time step
       x = np.zeros((vocab_size, 1))
       x[seed_ix] = 1
       ixes = []
       for t in xrange(n):
        h = np.tanh(np.dot(Wxh, x) + np.dot(Whh, h) + bh)
        v = np.dot(Whv, h) + bv
         p = np.exp(y) / np.sum(np.exp(y))
         ix = np.random.choice(range(vocab_size), p=p.ravel())
        ixes.append(ix)
      return ixes
81 n, p = 0, 0
     mWxh, mWhh, mWhy = np.zeros_like(Wxh), np.zeros_like(Whh), np.zeros_like(Why)
     mbh, mby = np.zeros_like(bh), np.zeros_like(by) # memory variables for Adagrad
     smooth\_loss = -np.log(1.0/vocab\_size)*seq\_length \# loss at iteration 0
     while True:
      # prepare inputs (we're sweeping from left to right in steps seq_length long)
      if p+seq_length+1 >= len(data) or n == 0:
        hprev = np.zeros((hidden_size,1)) # reset RNN memory
        p = 0 # go from start of data
       inputs = [char_to_ix[ch] for ch in data[p:p+seq_length]]
       targets = [char_to_ix[ch] for ch in data[p+1:p+seq_length+1]]
       # sample from the model now and then
       if n % 100 == 0:
        sample_ix = sample(hprev, inputs[0], 200)
        txt = ''.join(ix_to_char[ix] for ix in sample_ix)
        print '----\n %s \n----' % (txt, )
       # forward seq_length characters through the net and fetch gradient
       loss, dwxh, dwhh, dwhy, dbh, dby, hprev = lossFun(inputs, targets, hprev)
       smooth_loss = smooth_loss * 0.999 + loss * 0.001
       if n % 100 == 0: print 'iter %d, loss: %f' % (n, smooth_loss) # print progress
       # perform parameter update with Adagrad
       for param, dparam, mem in zip([Wxh, Whh, Why, bh, by],
                                    [dwxh, dwhh, dwhy, dbh, dby],
                                    [mWxh, mWhh, mWhy, mbh, mby]):
        mem += dparam * dparam
         param += -learning_rate * dparam / np.sqrt(mem + 1e-8) # adagrad update
p += seq_length # move data pointer
      n += 1 # iteration counter
```

(https://gist.github.com/karpathy/d4dee 566867f8291f086)

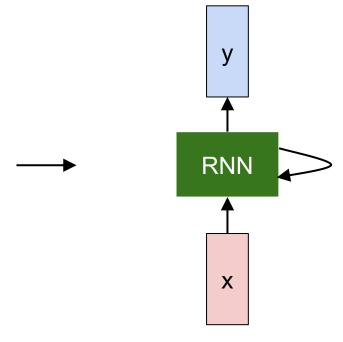
THE SONNETS

by William Shakespeare

From fairest creatures we desire increase,
That thereby beauty's rose might never die,
But as the riper should by time decease,
His tender heir might bear his memory:
But thou, contracted to thine own bright eyes,
Feed'st thy light's flame with self-substantial fuel,
Making a famine where abundance lies,
Thyself thy foe, to thy sweet self too cruel:
Thou that art now the world's fresh ornament,
And only herald to the gaudy spring,
Within thine own bud buriest thy content,
And tender churl mak'st waste in niggarding:
Pity the world, or else this glutton be,
To eat the world's due, by the grave and thee.

When forty winters shall besiege thy brow,
And dig deep trenches in thy beauty's field,
Thy youth's proud livery so gazed on now,
Will be a tatter'd weed of small worth held:
Then being asked, where all thy beauty lies,
Where all the treasure of thy lusty days;
To say, within thine own deep sunken eyes,
Were an all-eating shame, and thriftless praise.
How much more praise deserv'd thy beauty's use,
If thou couldst answer 'This fair child of mine
Shall sum my count, and make my old excuse,'
Proving his beauty by succession thine!
This were to be new made when thou art old,

This were to be new made when thou art old, And see thy blood warm when thou feel'st it cold.



at first:

tyntd-iafhatawiaoihrdemot lytdws e ,tfti, astai f ogoh eoase rrranbyne 'nhthnee e plia tklrgd t o idoe ns,smtt h ne etie h,hregtrs nigtike,aoaenns lng



train more

"Tmont thithey" fomesscerliund Keushey. Thom here sheulke, anmerenith ol sivh I lalterthend Bleipile shuwy fil on aseterlome coaniogennc Phe lism thond hon at. MeiDimorotion in ther thize."



train more

Aftair fall unsuch that the hall for Prince Velzonski's that me of her hearly, and behs to so arwage fiving were to it beloge, pavu say falling misfort how, and Gogition is so overelical and ofter.



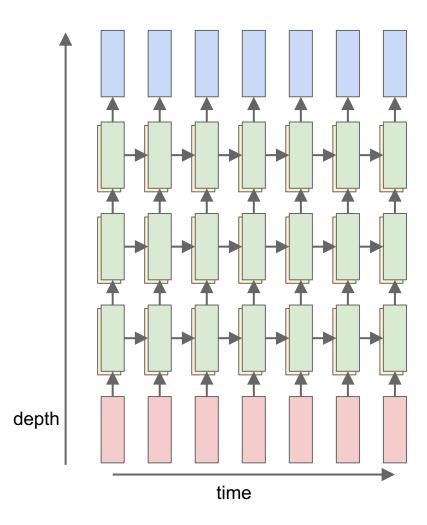
train more

"Why do what that day," replied Natasha, and wishing to himself the fact the princess, Princess Mary was easier, fed in had oftened him. Pierre aking his soul came to the packs and drove up his father-in-law women.

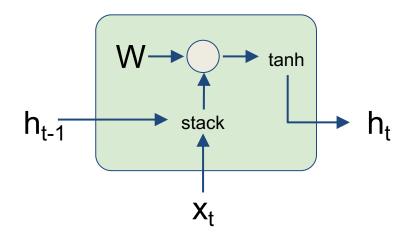
Multilayer RNNs

$$h_t^l = \tanh W^l \begin{pmatrix} h_t^{l-1} \\ h_{t-1}^l \end{pmatrix}$$

$$h \in \mathbb{R}^n \quad W^l \quad [n \times 2n]$$



Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994
Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



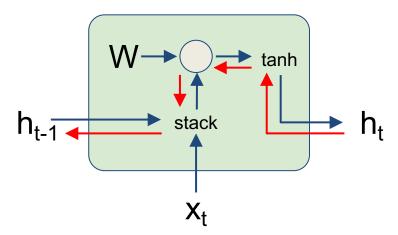
$$h_{t} = \tanh(W_{hh}h_{t-1} + W_{xh}x_{t})$$

$$= \tanh\left(\left(W_{hh} \quad W_{hx}\right) \begin{pmatrix} h_{t-1} \\ x_{t} \end{pmatrix}\right)$$

$$= \tanh\left(W \begin{pmatrix} h_{t-1} \\ x_{t} \end{pmatrix}\right)$$

Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994
Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013

Backpropagation from h_t to h_{t-1} multiplies by W (actually W_{hh}^T)

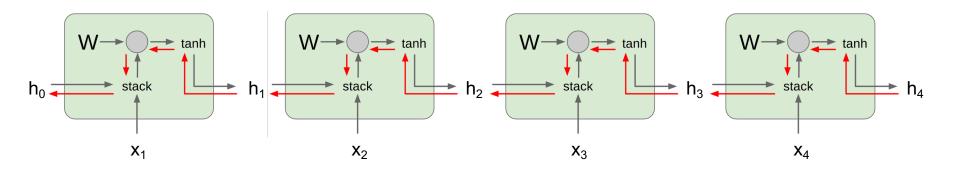


$$h_{t} = \tanh(W_{hh}h_{t-1} + W_{xh}x_{t})$$

$$= \tanh\left(\left(W_{hh} \quad W_{hx}\right) \begin{pmatrix} h_{t-1} \\ x_{t} \end{pmatrix}\right)$$

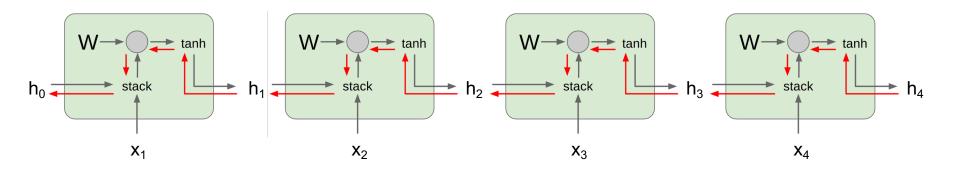
$$= \tanh\left(W \begin{pmatrix} h_{t-1} \\ x_{t} \end{pmatrix}\right)$$

Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994
Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



Computing gradient of h₀ involves many factors of W (and repeated tanh)

Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994
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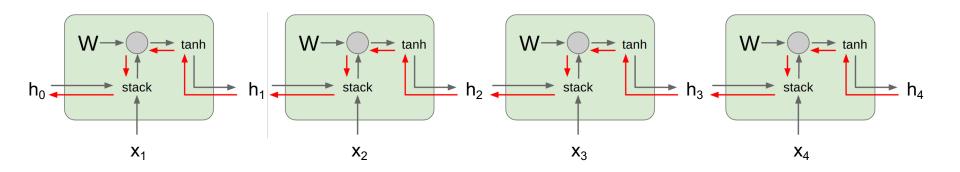


Computing gradient of h₀ involves many factors of W (and repeated tanh)

Largest singular value > 1: **Exploding gradients**

Largest singular value < 1: Vanishing gradients

Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994
Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



Computing gradient of h₀ involves many factors of W (and repeated tanh)

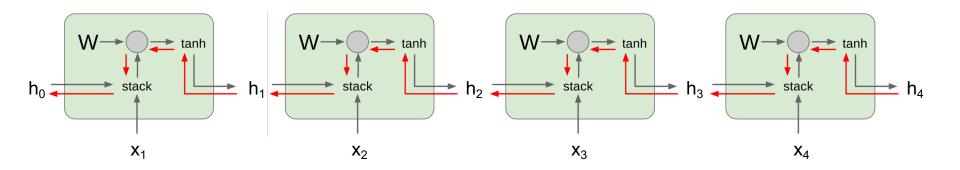
Largest singular value > 1: **Exploding gradients**

Largest singular value < 1: Vanishing gradients

Gradient clipping: Scale gradient if its norm is too big

```
grad_norm = np.sum(grad * grad)
if grad_norm > threshold:
    grad *= (threshold / grad_norm)
```

Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994
Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



Computing gradient of h₀ involves many factors of W (and repeated tanh)

Largest singular value > 1: **Exploding gradients**

Largest singular value < 1:
Vanishing gradients

→ Change RNN architecture

Long Short Term Memory (LSTM)

Vanilla RNN

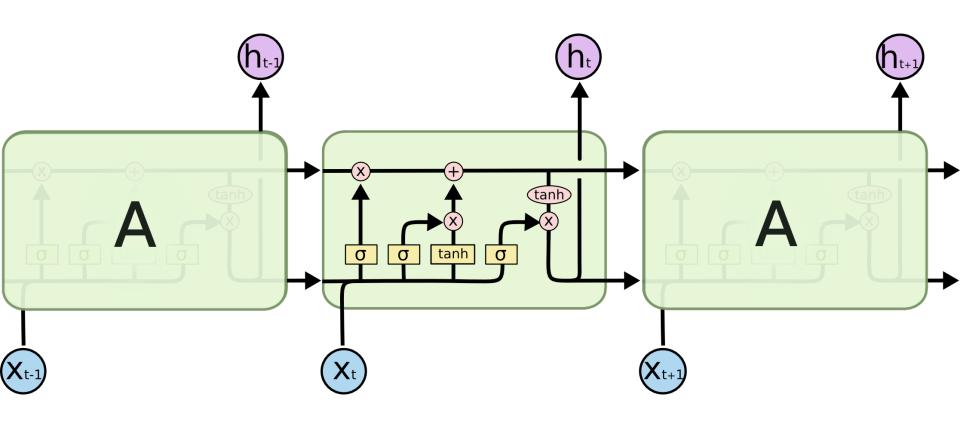
$$h_t = \tanh\left(W\begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}\right)$$

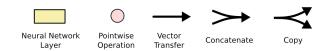
LSTM

$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \sigma \\ \sigma \\ \sigma \\ \tanh \end{pmatrix} W \begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}$$
$$c_t = f \odot c_{t-1} + i \odot g$$
$$h_t = o \odot \tanh(c_t)$$

Hochreiter and Schmidhuber, "Long Short Term Memory", Neural Computation 1997

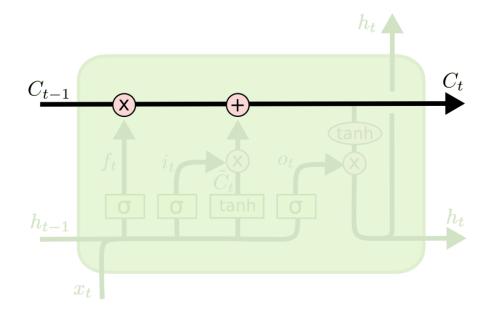
Meet LSTMs





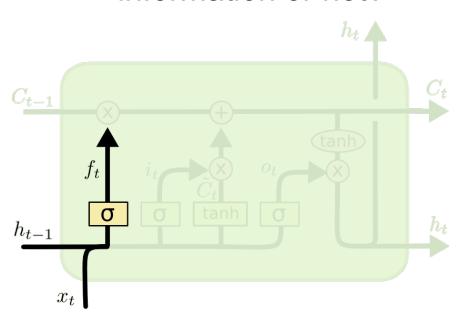
LSTMs Intuition: Memory

Cell State / Memory



LSTMs Intuition: Forget Gate

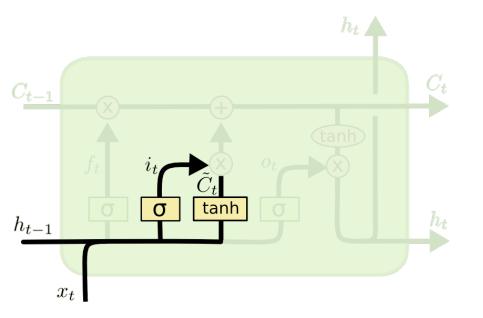
 Should we continue to remember this "bit" of information or not?



$$f_t = \sigma\left(W_f \cdot [h_{t-1}, x_t] + b_f\right)$$

LSTMs Intuition: Input Gate

- Should we update this "bit" of information or not?
 - If so, with what?

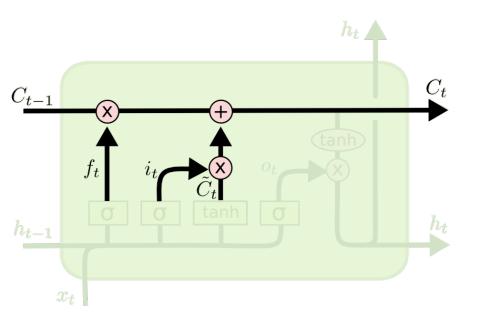


$$i_t = \sigma \left(W_i \cdot [h_{t-1}, x_t] + b_i \right)$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

LSTMs Intuition: Memory Update

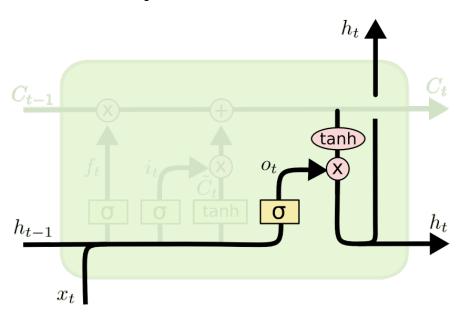
Forget that + memorize this



$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

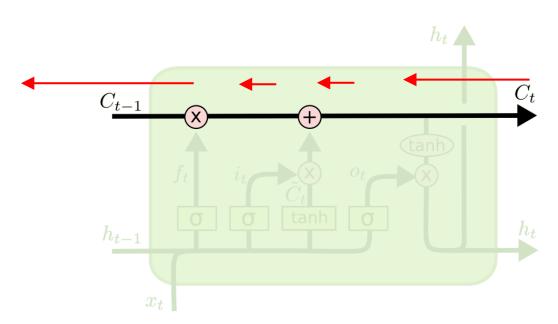
LSTMs Intuition: Output Gate

 Should we output this "bit" of information to "deeper" layers?



$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh (C_t)$$

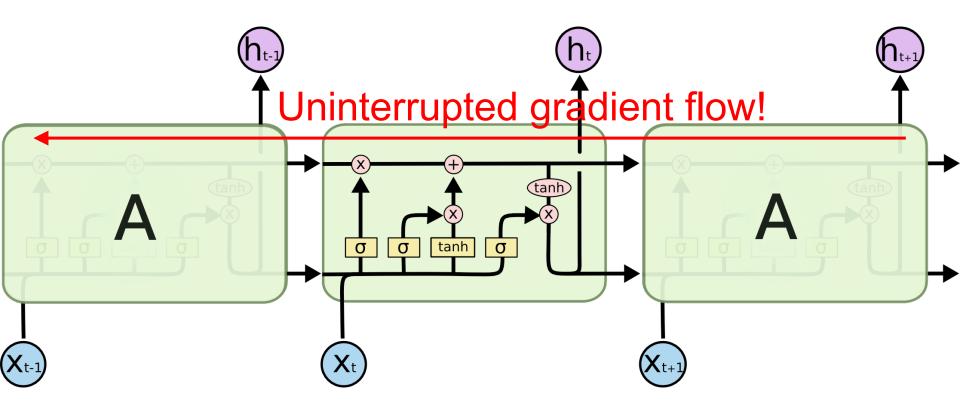
LSTMs Intuition: Additive Updates



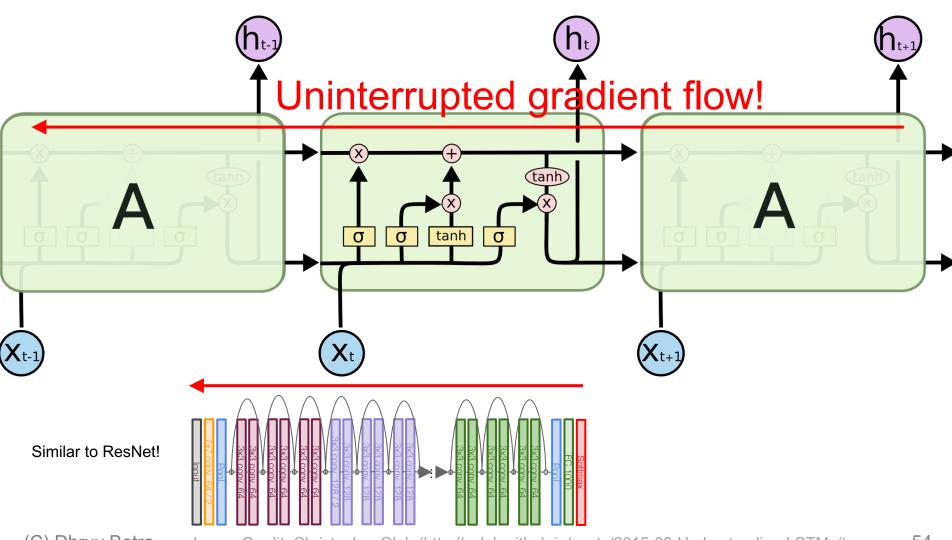
Backpropagation from c_t to c_{t-1} only elementwise multiplication by f, no matrix multiply by W

52

LSTMs Intuition: Additive Updates

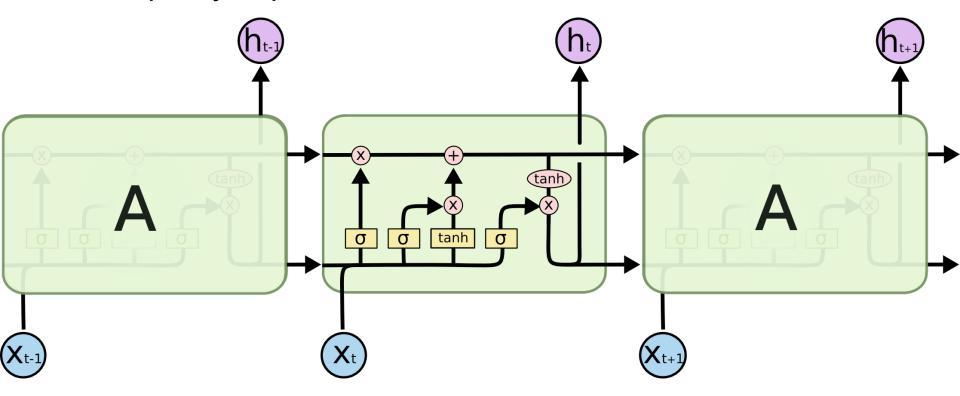


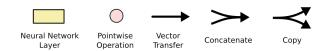
LSTMs Intuition: Additive Updates

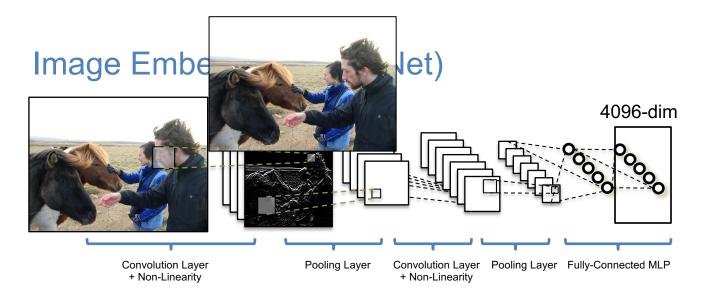


LSTMs

A pretty sophisticated cell

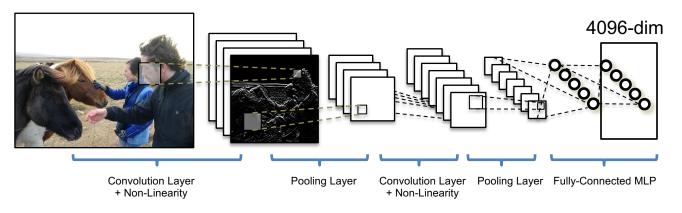




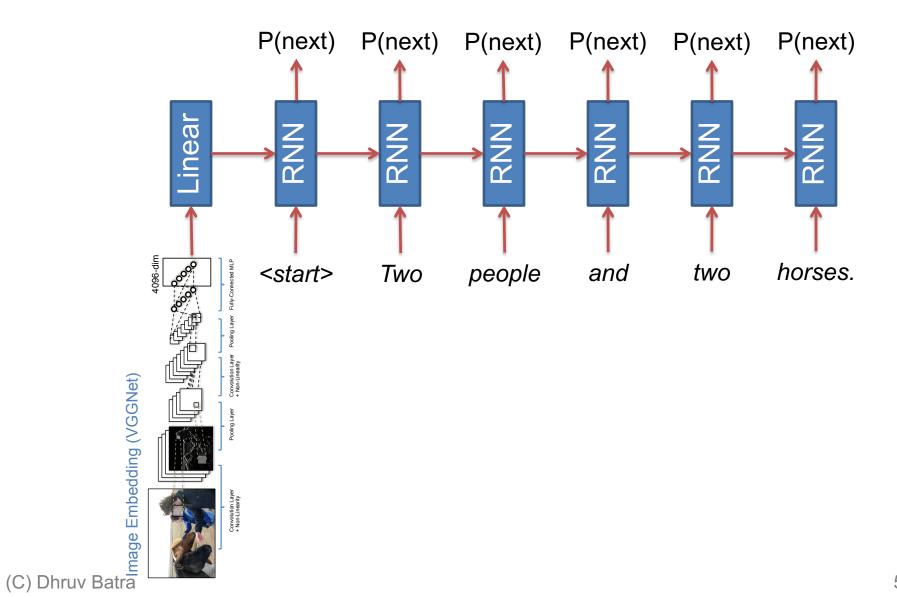


(C) Dhruv Batra 56

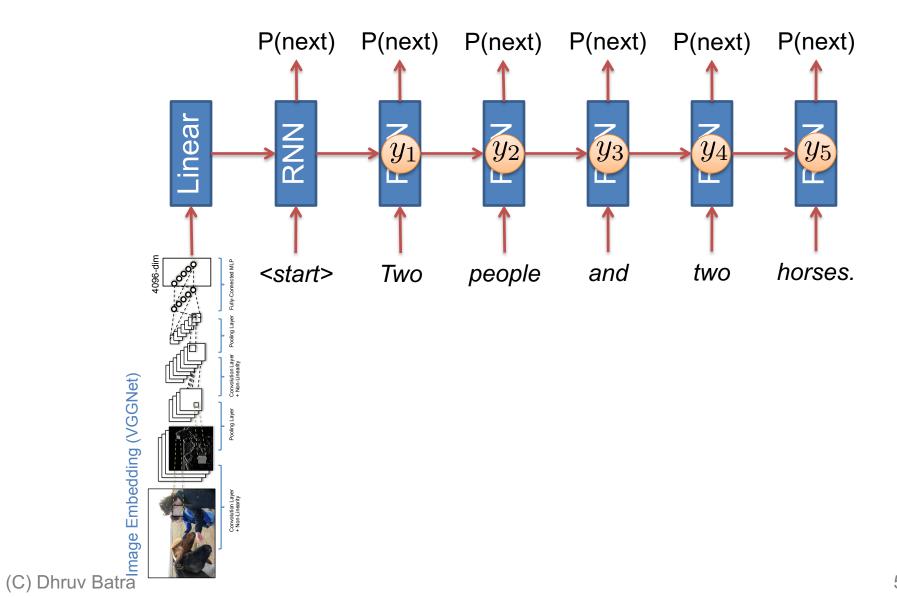
Image Embedding (VGGNet)



(C) Dhruv Batra 57

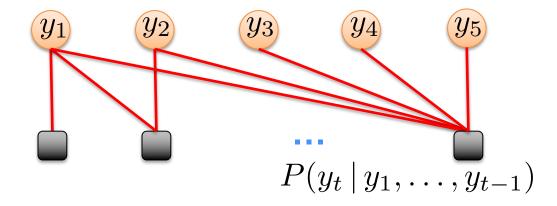


58



59

Sequence Model Factor Graph



(C) Dhruv Batra 60

Beam Search Demo

http://dbs.cloudcv.org/captioning

(C) Dhruv Batra

Image Captioning

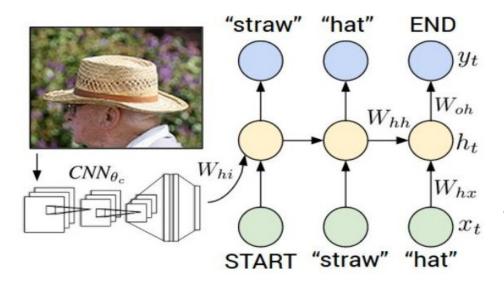
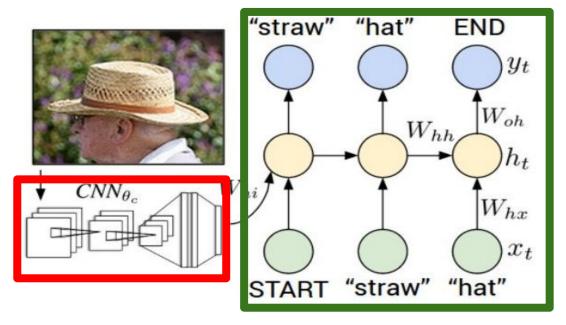


Figure from Karpathy et a, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015; figure copyright IEEE, 2015. Reproduced for educational purposes

- Many recent works on this:
- Baidu/UCLA: Explain Images with Multimodal Recurrent Neural Networks
- Toronto: Unifying Visual-Semantic Embeddings with Multimodal Neural Language Models
- Berkeley: Long-term Recurrent Convolutional Networks for Visual Recognition and Description
- Google: Show and Tell: A Neural Image Caption Generator
- Stanford: Deep Visual-Semantic Alignments for Generating Image Description
- UML/UT: Translating Videos to Natural Language Using Deep Recurrent Neural Networks
- Microsoft/CMU: Learning a Recurrent Visual Representation for Image Caption Generation
- Microsoft: From Captions to Visual Concepts and Back

Recurrent Neural Network



Convolutional Neural Network



test image

image

conv-64

conv-64

maxpool

conv-128

conv-128

maxpool

conv-256

conv-256

maxpool

conv-512

conv-512

maxpool

conv-512

conv-512

maxpool

FC-4096

FC-4096

FC-1000

softmax



test image

image

conv-64

conv-64

maxpool

conv-128

conv-128

maxpool

conv-256

conv-256

maxpool

conv-512

conv-512

maxpool

conv-512

conv-512

maxpool

FC- 496

FC-4096

FC-1000

softmax

test image



image

conv-64

conv-64

maxpool

conv-128

conv-128

maxpool

conv-256

conv-256

maxpool

conv-512

conv-512

maxpool

conv-512

conv-512

maxpool



test image

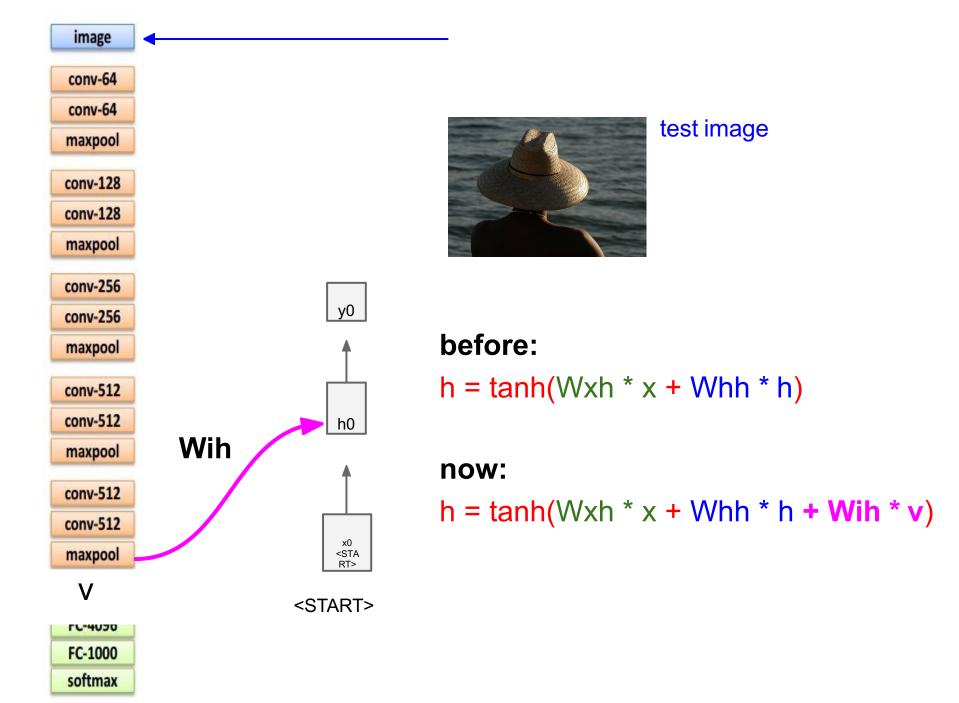
x0 <STA RT>

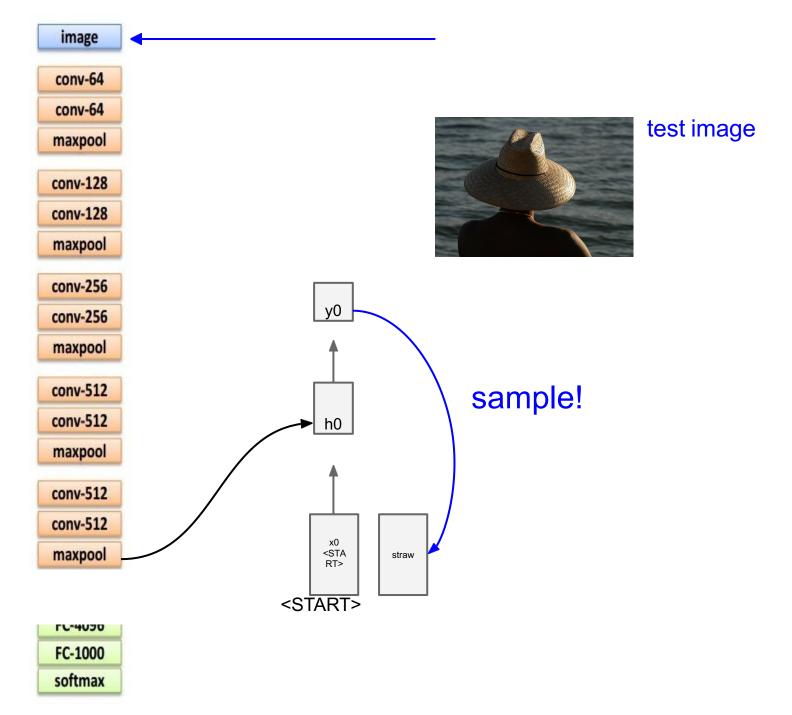
<START>

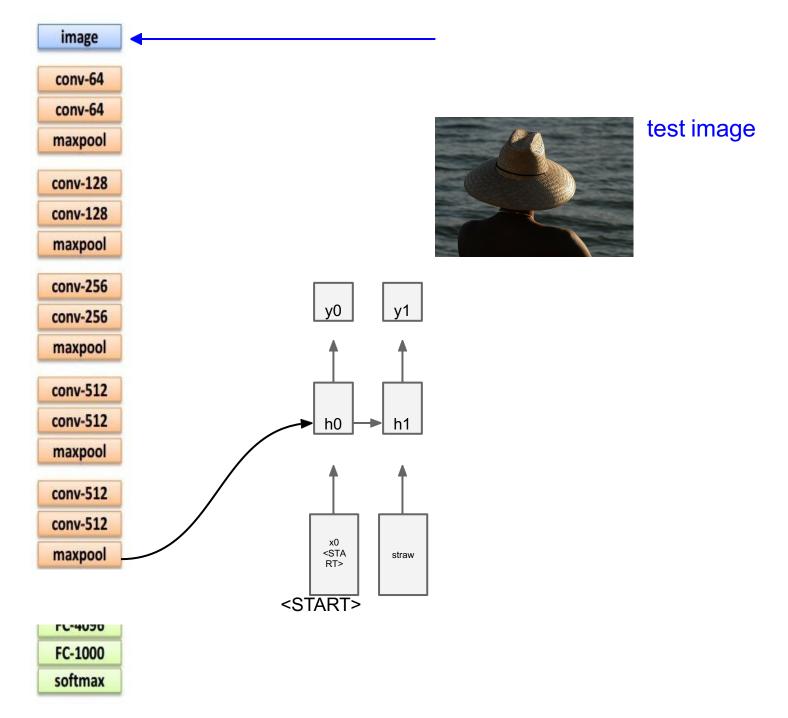
FC-4030

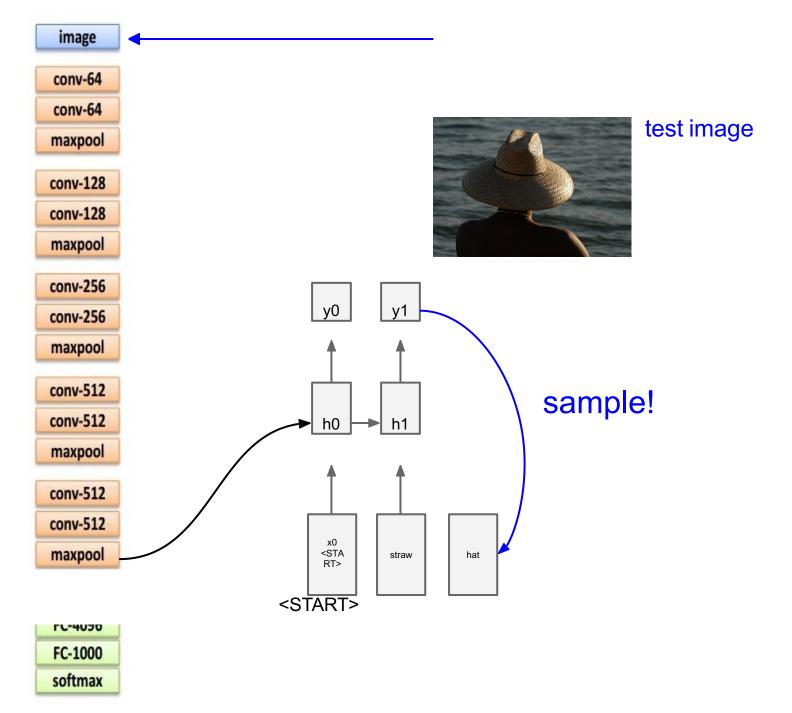
FC-1000

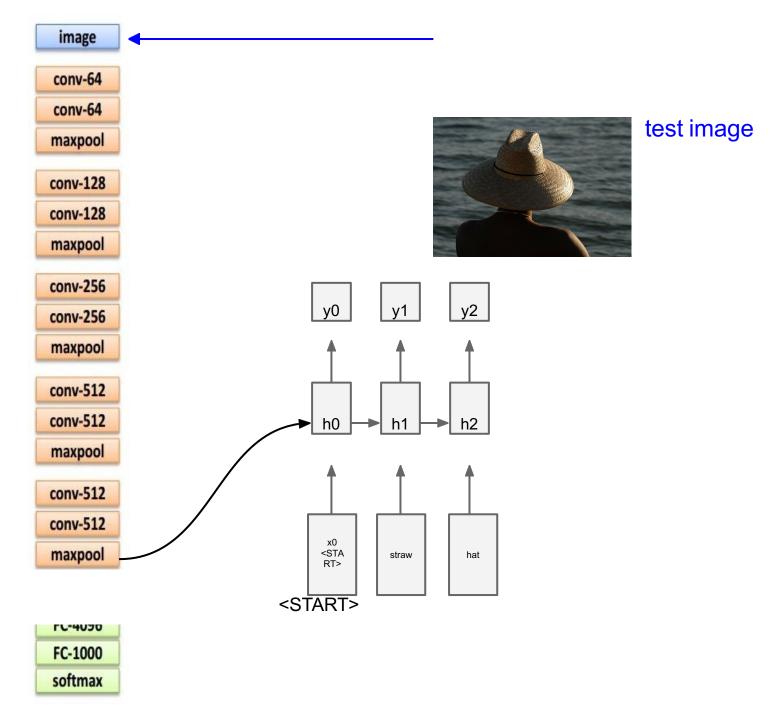
softmax











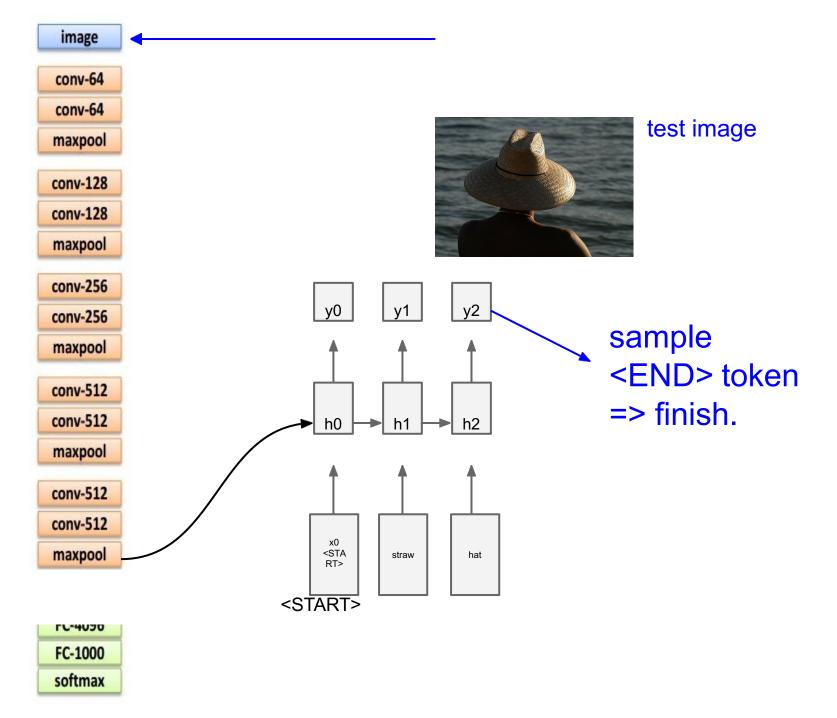


Image Captioning: Example Results

Captions generated using neuraltalk2
All images are CC0 Public domain:
cat suitcase, cat tree, dog, bear,
surfers, tennis, giraffe, motorcycle



A cat sitting on a suitcase on the floor



A cat is sitting on a tree branch



A dog is running in the grass with a frisbee



A white teddy bear sitting in the grass



Two people walking on the beach with surfboards



A tennis player in action on the court



Two giraffes standing in a grassy field



A man riding a dirt bike on a dirt track

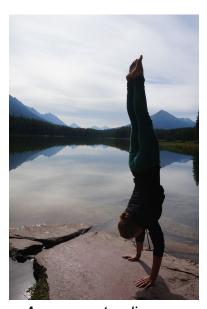
Image Captioning: Failure Cases



A woman is holding a cat in her hand



A person holding a computer mouse on a desk



A woman standing on a beach holding a surfboard

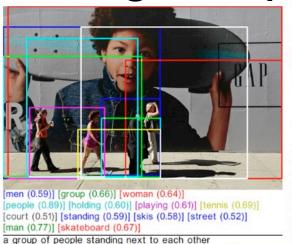


bas th

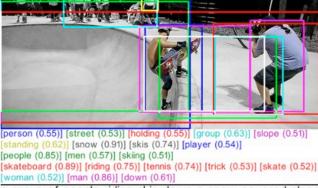
A bird is perched on a tree branch

A man in a baseball uniform throwing a ball

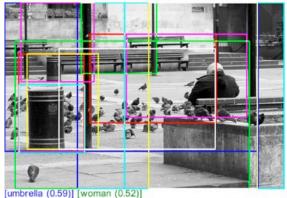
More Image Captioning Examples



people stand outside a large ad for gap featuring a young boy



a group of people riding skis down a snow covered slope a guy on a skate board on the side of a ramp



[umbrella (0.59)] [woman (0.52)]

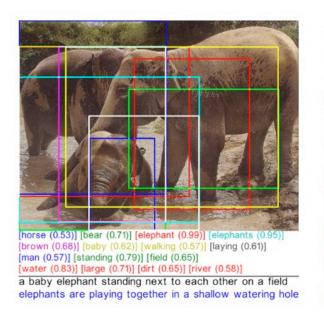
[fire (0.96)] [hydrant (0.96)] [street (0.79)] [old (0.50)]

[bench (0.81)] [building (0.75)] [standing (0.57)] [baseball (0.55)]

[white (0.82)] [sitting (0.65)] [people (0.79)] [photo (0.53)]

[black (0.84)] [kitchen (0.54)] [man (0.72)] [water (0.56)]

a black and white photo of a fire hydrant
a courtyard full of poles pigeons and garbage cans also has benches on
either side of it one of which shows the back of a large person facin
g in the direction of the pigeons



How to Evaluate different captions?



- A woman in a green shirt is getting food ready with a child, while sitting on rocks.
- A mother and child having a picnic on a big rock with blue utensils.
- 3. A woman serving food for a little boy outside on a large rock .
- 4. A woman and a baby eating (having a picnic).
- 5. A mother and child picnic on some rocks.

P. Young et al., From image descriptions to visual denotations: New similarity metrics for semantic inference over event descriptions, TACL 2014

BLEU (BiLingual Evaluation Understudy)

(Papineni et al., 2002)

- "The closer a machine translation is to a professional human translation, the better it is."
- Analyzes co-occurrences of n-grams between candidate and reference sentences
 - O Modified (clipped) *n*-gram precision
 - O Brevity penalty to penalize short candidate sentences
- Has been shown in MT literature to be an insufficient metric (Callison-Burch et al., 2006)
 - O Many large variations of a generated sentence can score identically
 - O Higher BLEU score is not necessarily indicative of higher human-judged quality

Candidate: the the the the the the.

Reference 1: The cat is on the mat.

Reference 2: There is a cat on the mat.

Modified Unigram Precision = 2/7.



Reference captions:

- Latino man holding sign on the sidewalk outside promoting Quiznos-Subs .
- 2. A man is holding an advertisement for Quiznos Subs.
- 3. A man is holding a Quiznos sign next to a street.
- 4. A man is holding a Quiznos Sub sign.

Candidate caption:

Quiznos worker wearing sign.

BLEU-4 = 0.106

P. Young et al., From image descriptions to visual denotations: New similarity metrics for semantic inference over event descriptions, TACL 2014

METEOR

(Banerjee & Lavie, 2005)

More flexible MT metric that calculates sentence-level similarity scores as a harmonic mean of unigram precision & recall, based on:

- Exact token matching
- Stemmed tokens
- WordNet synonyms
- Paraphrases

SYSTEM Jim went home SYSTEM Joe goes home REFERENCE

SYSTEM Jim walks home REFERENCE Joe goes home

Examples from <u>Statistical Machine Translation slides</u>
Banerjee & Lavie, <u>METEOR: An Automatic Metric for MT Evaluation with Improved Correlation with Human Judgments</u>, ACL 2005

CIDEr: Consensus-based Image Description Evaluation

(Vedantam et al., 2015)

- _ "Does a caption describe an image as most people tend to describe it?"
- Automatically evaluate for image I_i how well a candidate sentence c_i matches the **consensus** of a set of image descriptions $S_i = \{s_{ij}, ..., s_{im}\}$
- Intuitively, a measure of consensus should:
 - O Encode how often *n*-grams in the candidate sentence are present in the reference sentences
 - O *n*-grams not present in the reference sentences should not be in the candidate sentence
 - O *n*-grams that commonly occur across all images in the dataset should be given lower weight, since they are likely to be less informative

In practice: perform a **Term Frequency Inverse Document Frequency (TF-IDF)** (Robertson, 2004) weighting for each *n*-gram

Vedantam et al., CIDEr: Consensus-based Image Description Evaluation, CVPR 2015

\$	CIDEr-D 🔻	Meteor	ROUGE-L	BLEU-1 🌲	BLEU-2	BLEU-3 🌲	BLEU-4 🌲	date 🔷
Watson Multimodal ^[46]	1.123	0.268	0.559	0.773	0.609	0.461	0.344	2016-11-16
MSM@MSRA ^[29]	1.049	0.266	0.552	0.751	0.588	0.449	0.343	2016-10-25
G-RMI(PG-SPIDEr-TAG) ^[17]	1.042	0.255	0.551	0.751	0.591	0.445	0.331	2016-11-11
MetaMind/VT_GT ^[25]	1.042	0.264	0.55	0.748	0.584	0.444	0.336	2016-12-01
ATT-IMG (MSM@MSRA) ^[5]	1.023	0.262	0.551	0.752	0.59	0.449	0.34	2016-06-13
G-RMI (PG-BCMR)[16]	1.013	0.257	0.55	0.754	0.591	0.445	0.332	2016-10-30
DONOT_FAIL_AGAIN ^[13]	1.01	0.262	0.542	0.734	0.564	0.425	0.32	2016-11-22
DLTC@MSR ^[12]	1.003	0.257	0.543	0.74	0.575	0.436	0.331	2016-09-04
Postech_CV ^[38]	0.987	0.255	0.539	0.743	0.575	0.431	0.321	2016-06-13
feng ^[15]	0.986	0.255	0.54	0.743	0.578	0.434	0.323	2016-11-06
Human ^[21]	0.854	0.252	0.484	0.663	0.469	0.321	0.217	2015-03-23

According to CIDEr, humans are in 38th place!!



MSCOCO challenge leaderboard

Project Abstracts due tomorrow!

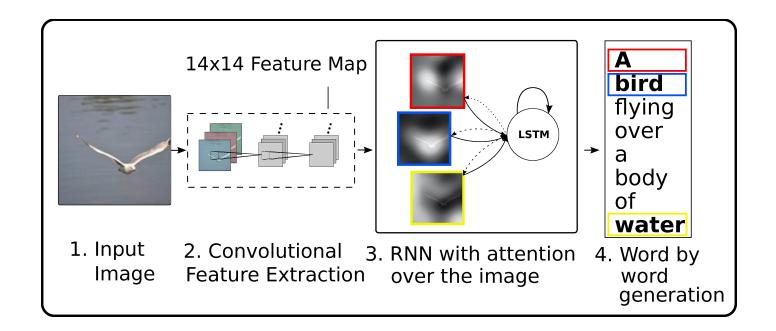
- Just need a paragraph about what you are doing.
- Email to me.
- List other people on team.
- One email per team.

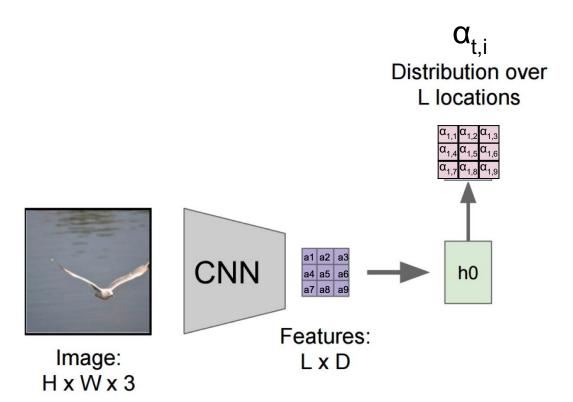
Show, Attend and Tell

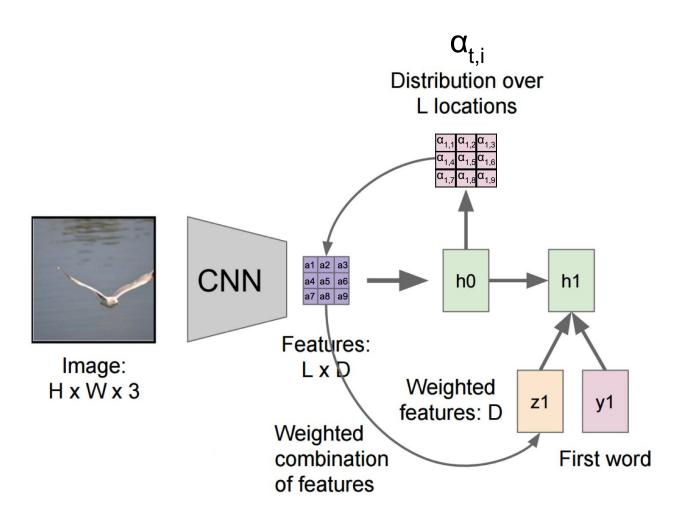
(Xu et al., 2015)

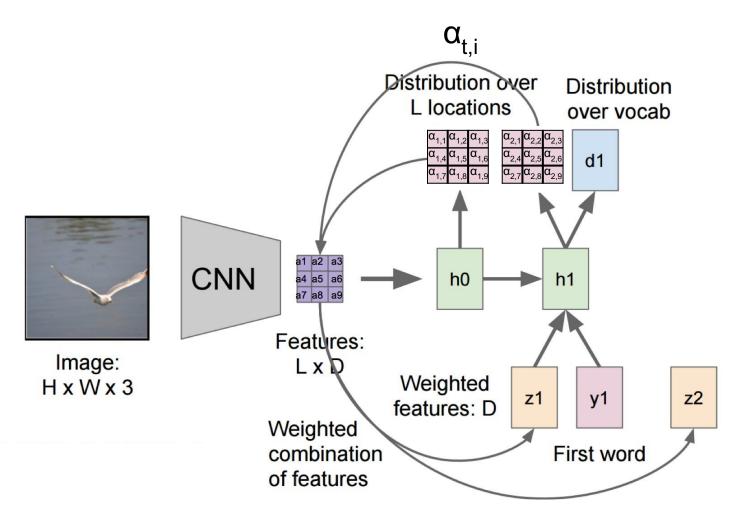
Instead of learning word detectors over image regions, consider learning an **attention model** instead

- What is visual attention?
- How to augment Show and Tell with visual attention
- Soft vs. hard attention

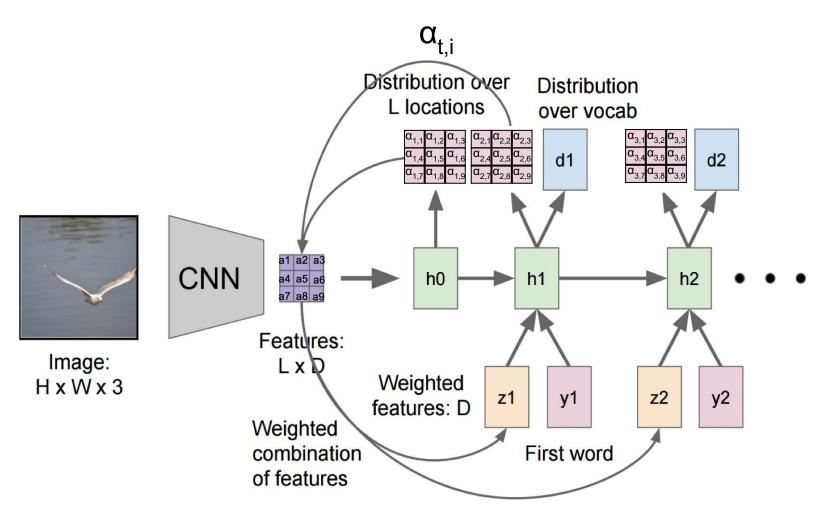








Slides from <u>Stanford 231n</u>
Xu et al., <u>Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention</u>, ICML 2015



Slides from <u>Stanford 231n</u>
Xu et al., <u>Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention</u>, ICML 2015

Soft Attention

 z_{t} is calculated by taking the weighted sum of all feature vectors a

$$z_t = \sum_{i=1}^{L} \alpha_t[i] \cdot a_i$$

- Differentiable
- Deterministic: α_i 's assign relative importance to give to location i in blending the α_i 's together
- Learned using standard backpropagation

Soft Attention: Examples





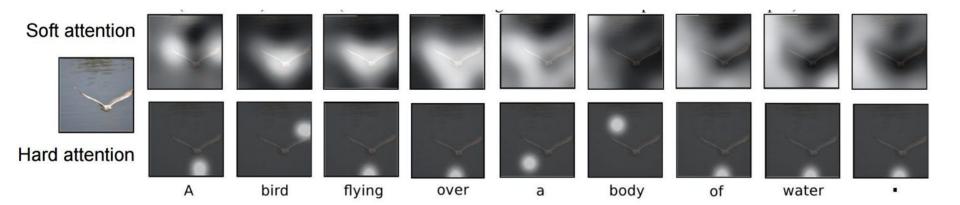
Hard Attention

At time step t, the index into the feature vectors is sampled from the current location distribution vector α_t

$$k = sample(\alpha_t)$$
$$z_t = a_k$$

- Stochastic: α_i 's assign probability that location i is the right place to focus for producing the next word
- Focuses on one image region at a time
- Non-differentiable due to sampling
 - Set up as reinforcement learning problem:
 - Action = which area to attend to next
 - Reward = log-likelihood of caption wrt to target sentence

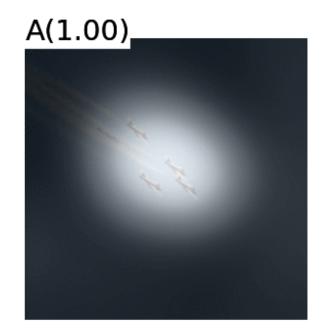
Soft vs. Hard Attention



Examples







Engaging Image Captioning Via Personality

Kurt Shuster, Samuel Humeau, Hexiang Hu, Antoine Bordes, Jason Weston

Standard (COCO) Image Captioning Models



Man in black shirt is playing guitar.

Standard (COCO) Image Captioning Models



Man in black shirt is playing guitar.



A plate with a sandwich and salad on it.

Good for: testing if model understands image content

Bad for: engaging human reader

Standard (COCO) Image Captioning Models



Man in black shirt is playing guitar.



A plate with a sandwich and salad on it.

Good for: testing if model understands image content

Bad for: engaging human reader

Want to be good at both of these!!!

What makes an utterance engaging? One answer: personality, emotion & style traits

(not always just neutral, factual tone)

Existing Work

Neutral, factual captions:

- COCO (Chen et al., 2015) and Flickr30k (Young et al., 2014)
- Many models developed for them (see above).

Funny captions:

- wordplay (puns) (Chandrasekaran et al., 2017)
- or training on data from humour websites (Yoshida et al., 2018).

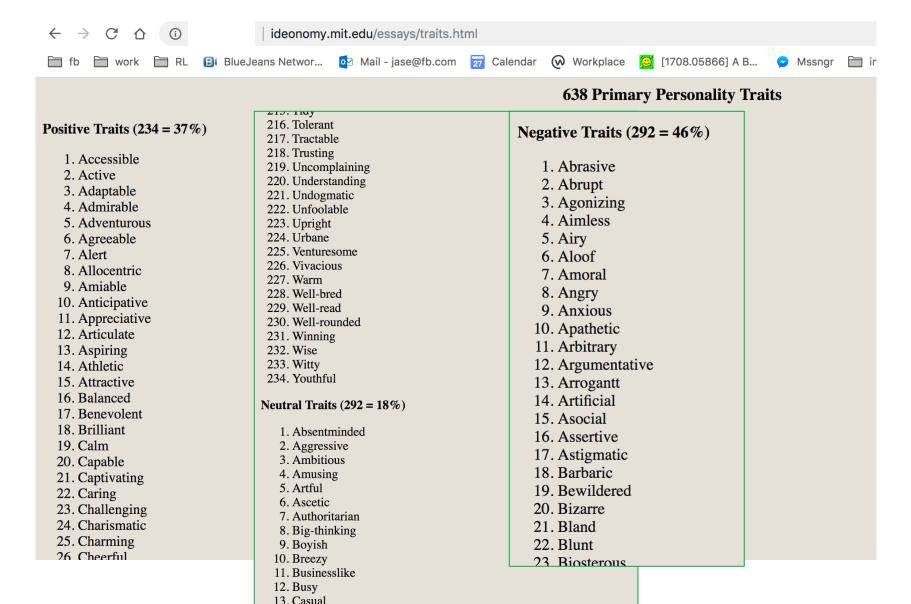
Using user features:

- location and age (Denton et al., 2015)
- or knowledge of the reader's active vocabulary (Park et al., 2017).

Style transfer:

- unsupervised (Mathews et al., 2018).
- Small datasets, e.g. Senticap (800 examples), (Mathews et al., 2016)
- romantic and humorous only FlickrStyle10K, 10k examples Gan et al. (2017)

MIT Personality List - 638 Traits



Step 1: build a dataset



Your personality: Sarcastic

Your comment:

Can this island get any smaller?

- Selected 215 personality traits
- Images from YFFC100M
- Collect captions via annotators

Examples from the dataset



Sarcastic Yes please sit by me



Mellow Look at that smooth easy catch of the ball. like ballet.



I wish I could just run down this shore!



Contradictory Love what you did with the place!



Contemptible I can't believe no one has been taking care of this plant. Terrible



Energetic About to play the best tune you've ever heard in your life. Get ready!

Examples from the dataset



Kind they left me a parking spot



Crazy
I drove down this road backwards at 90 miles per hour three times



Spirited
That is one motor cycle enthusiast!!!



Morbid
I hope this car doesn't get into a wreck.

Get ready:



Creative
Falck alarm, everyone. Just a
Falck alarm.



Questioning
Why do people think its cool to smoke cigarettes?

Step 1: Collect a large supervised dataset

Table 1: Personality-Captions dataset statistics.

Split	train	valid	test
Number of Examples	186,858	5,000	10,000
Number of Personality Types	215	215	215
Vocabulary Size	35559	5557	8137
Average Tokens per Caption	11.6	11.2	11.4

Step 2: Build strong models

We make use of state-of-the-art in vision and language domains to build our models:

Image Encoder:

- ResNeXt (Xie et al., 2016) trained on 3.5 billion Instagram pictures following Mahajan et al. (2018), which we call ResNeXt-IG-3.5B.
- Shown to work very well on ImageNet classification (but not captioning).

Text Encoder:

- Transformer (Vaswani et al., 2017) trained on 1.7 billion Reddit dialogue examples, following (Mazare´ et al., 2018).
- Shown to work very well for PersonaChat dialogue (but not captioning).

Models: we consider both generative and retrieval models.

- Generative: consider three widely used architectures:
 - ShowTell (Vinyals et al., 2015)
 - ShowAttTell (Xu et al., 2015)
 - UpDown (Anderson et al., 2018)

Use ResNeXt-IG-3.5B and add learnt personality features to each decoder step

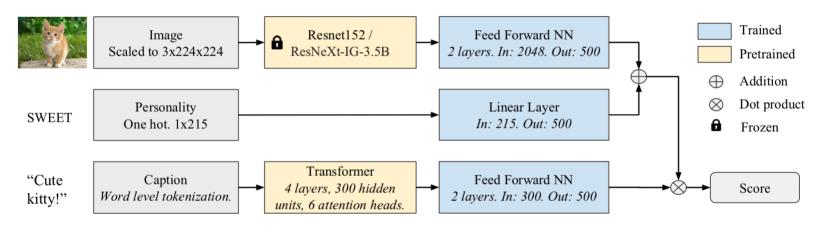
Models: we consider both generative and retrieval models.

- Generative: consider three recent best architectures:
 - ShowTell (Vinyals et al., 2015)
 - ShowAttTell (Xu et al., 2015)
 - UpDown (Anderson et al., 2018)

Use ResNeXt-IG-3.5B and add learnt personality features to each decoder step

Retrieval:

TransResNet



Our generative models are good at understanding image content.

Table 3: Generative model performance on COCO caption using the test split of (Karpathy & Fei-Fei, 2015)

Method	Image Encoder	BLEU1	BLEU4	ROUGE-L	CIDEr	SPICE
Adaptive (Lu et al., 2017)	ResNet	74.2	32.5	-	108.5	19.5
Att2in (Rennie et al., 2017)	ResNet	-	33.3	55.3	111.4	-
NBT (Lu et al., 2018)	ResNet	75.5	34.7	-	107.2	20.1
UPDOWN (Anderson et al., 2018)	ResNet FRCNN	79.8	36.3	56.9	120.1	21.4
SHOWTELL (Our)	ResNet152	75.2	31.5	54.2	103.9	18.4
SHOWATTTELL (Our)	ResNet152	76.5	32.4	55.1	109.7	19.2
UPDOWN (Our)	ResNet152	77.0	33.9	55.6	112.7	19.6
SHOWTELL (Our)	ResNeXt-IG-3.5B	78.2	35.0	56.6	119.9	20.8
SHOWATTTELL (Our)	ResNeXt-IG-3.5B	78.8	35.6	57.1	121.8	20.6
UPDOWN (Our)	ResNeXt-IG-3.5B	79.3	36.4	57.5	124.0	21.2

Our retrieval models are good at understanding image content.

Table 4: Retrieval model performance on Flickr30k and COCO caption using the splits of (Karpathy) & Fei-Fei, 2015). COCO caption performance is measured on the 1k image test split.

	Text Pre-		Flickr30)k	COCO		
Model	training	R@1	R@5	R@10	R@1	R@5	R@10
UVS (Kiros et al., 2014)	-	23.0	50.7	62.9	43.4	75.7	85.8
Embedding Net (Wang et al., 2018)	-	40.7	69.7	79.2	50.4	79.3	69.4
sm-LSTM (Huang et al., 2016)	-	42.5	71.9	81.5	53.2	83.1	91.5
VSE++ (ResNet, FT) (Faghri et al., 2017)	-	52.9	80.5	87.2	64.6	90.0	95.7
GXN (i2t+t2i) (Gu et al., 2017)	-	56.8	-	89.6	68.5	-	97.9
TransResNet model variants:							
Transformer, ResNet152	Full	10.3	27.3	38.8	21.7	45.6	58.9
Bag of words ResNeXt-IG-3.5B	None	50.0	81.1	90.0	51.6	85.3	93.4
Transformer ResNeXt-IG-3.5B	None	55.6	83.2	90.5	64.0	90.6	96.3
Bag of words ResNeXt-IG-3.5B	Word	58.6	87.2	92.9	54.7	87.1	94.5
Transformer ResNeXt-IG-3.5B	Word	68.4	90.6	95.3	67.3	91.7	96.5

Our generative models are good at using personality

Table 5: Generative model caption performance on the PERSONALITY-CAPTIONS test set.

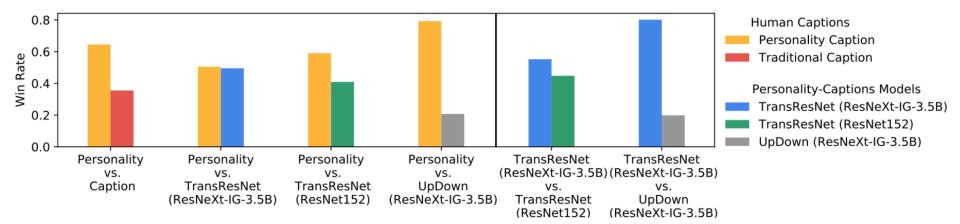
		Personality					
Method	Image Encoder	Encoder	BLEU1	BLEU4	ROUGE-L	CIDEr	SPICE
SHOWTELL	ResNet152	Yes	12.4	1.4	13.2	14.5	1.6
SHOWATTTELL	ResNet152	Yes	15.3	1.3	13.1	15.2	3.4
UPDOWN	ResNet152	Yes	15.4	1.4	14.6	16.9	4.9
SHOWTELL	ResNeXt-IG-3.5B	No	15.2	0.9	13.3	14.4	4.6
SHOWATTTELL	ResNeXt-IG-3.5B	No	13.8	0.9	13.1	17.6	5.4
UPDOWN	ResNeXt-IG-3.5B	No	14.3	1.0	13.5	18.0	7.0
SHOWTELL	ResNeXt-IG-3.5B	Yes	14.2	1.2	14.5	15.4	2.2
SHOWATTTELL	ResNeXt-IG-3.5B	Yes	15.0	1.4	14.6	18.8	5.9
UPDOWN	ResNeXt-IG-3.5B	Yes	15.6	1.6	15.0	22.0	7.3

Our retrieval models are good at using personality

Table 6: Results for TransResNet retrieval variants on the PERSONALITY-CAPTIONS test set.

Text Encoder	Pre-training	Image Encoder	Personality Encoder	R@1
Transformer	Full	ResNet152	No	16.6
Bag of Words	None	ResNet152	Yes	24.2
Transformer	None	ResNet152	Yes	26.8
Bag of Words	Word	ResNet152	Yes	28.5
Transformer	Full	ResNet152	Yes	34.4
Transformer	Full	ResNeXt-IG-3.5B	No	38.5
Bag of Words	None	ResNeXt-IG-3.5B	Yes	38.6
Transformer	None	ResNeXt-IG-3.5B	Yes	42.9
Bag of Words	Word	ResNeXt-IG-3.5B	Yes	45.7
Transformer	Full	ResNeXt-IG-3.5B	Yes	53.5

Human evaluation studies: our best model is close to matching human performance





Standard captioning output: A plate with a sandwich and salad on it. **Our model with different personality traits:**

Sweet That is a lovely sandwich.

Dramatic This sandwich looks so delicious! My goodness!

Anxious I'm afraid this might make me sick if I eat it.

Sympathetic I feel so bad for that carrot, about to be consumed.

Arrogant I make better food than this

Optimistic It will taste positively wonderful!

Money-minded I would totally pay \$100 for this plate.



Standard Captioning Model: man in black shirt is playing guitar

Our model with different personas:

Artful: He has the most perfect technique of any solo artist

Overimaginative: I'm thinking he could grab that guitar and bust out Stairway to Heaven right now. In doing so, he could summon all the long-gone rock greats.

Romantic: This guitarist is so cute I want to take him on a date!

Arrogant: He holds the guitar wrong. I would do a much better job if I was in the photo.

Absentminded: Okay guys. What do I do now? Is this a banjo?

Image	Personality	Generated comment
	Sweet Vague Cultured Paranoid Overimaginative	I love, love, love these chairs! I want the big one in my house! This chair is either covered in snow or the snow is covered in the chair. These chairs remind me of the Swedish interior design revolution of the 70's. What if someone fell off those chairs. Those chairs look like they could be in a doll house.
Na.	Arrogant Overimaginative Vague Optimistic Charming	I've seen better sunsets elsewhere. that sunset is so orange it could be a fruit It's the sunset. The sunset makes look forward to a happy tomorrow. The way the sun is hitting the water makes for a romantic evening.
	Sweet Skeptical Sympathetic Vague Wishful	What a cute puppy, reminds me of my friends. I don't think this dog will bite me. poor dog! It looks so hungry :c it's a dog I wish that I had a dog as cute as him.



Cultured I love a cultural celebration.

Skeptical I'm not sure if these are guys in costumes or time travelers.

Sweet I love that they are celebrating their traditions and culture.

Overimaginative They look like they could be dancers in a fantasy movie with dragons!

Sympathetic I feel sorry for him having to wear that



Romantic If I was an insect, I would definitely make this my mate.

Humble I am grateful that spiders eat these disgusting bugs.

Paranoid What is going on? Are these insects dangerous?

Creative I made something like this from colored toothpicks once

Money-minded how much are those? those looks expensive



Happy That is so cool! I I love street art!

Optimistic The future is bright for people who can dream in artistic ways.

Critical I do believe this taggers verbage is a tad junvenile

Charming What a charming wall.

Adventurous I think I could create art like that, I will go learn and take action.

	141016 6	Admples of our best inouer
Image	Personality	Generated comment
	Adventurous Vague Charming Optimistic Paranoid	This biking event looks like something that I would try! Those people are riding a bike. I bet a wonderful couple uses this bike to tour the countryside together. A hopeful cyclist trying to catch up to the pack What if all those bikes just tipped over!
	Adventurous Cultured Vague Dramatic Sympathetic	I am so ready for the conference. This conference is one of the most important ones in the country. The organization on that table is uncertain. OMG!! This ceremony is frightening! I feel bad for these people being so cramped in this room.
	Charming	Such old fashioned script, a true lost art. I could use these to write to my loved ones. Can you even read this through all the jpeg artifacts? I hope this paper doesnt tear, history will be destroyed.

Some of the most profound things ever written have been on linen.

Dramatic



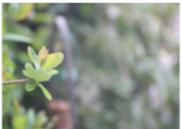
Happy It finally snowed, it makes me feel awesome

Wishful I wish there was enough for snow angels.

Boyish Can I go sledding now?

Romantic What a beautiful frost! Looks like the perfect place to fall in love!

Cultured The white of the snow provides a glistening contrast to the dead trees.



Wishful I wish I could have a life as easy as a plant. Money-minded This plant is probably worth a lot of money

Critical the leaf is ruining the picture

Humble This plant is a symbol of life in humble opinion. Just gorgeous!

Paranoid If you eat this leaf it definetly will not poison you. Or will it...



Romantic This valentine concert is for lovers.

Boyish It's always fun to get down and jam with the boys!

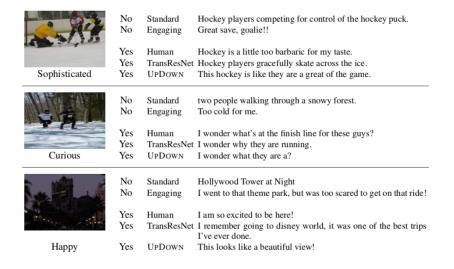
Creative musician performing a song of theirs

Sweet oh what lovely young musicians

Money-minded I wonder how much the musicians have in student loan debt.

Human Evaluation Examples

Image and Pers.	Use pers.	Captioning	Caption
	No	Standard	A city on the background, a lake on the front, during a sunset.
	No	Engaging	Talk about summer fun! Can I join? :)
THE RESERVE	Yes	Human	i feel moved by the sunset
A CONTRACTOR OF THE PARTY OF TH	Yes		•
Coninitad			The water at night is a beautiful sight. This is a beautiful sunset!
Spirited	Yes	UPDOWN	This is a beautiful sunset!
	N-	C+11	D 1 1 6
THOUSEN	No	Standard	Rose colored soft yarn.
	No	Engaging	I really want to untangle that yarn.
	Yes	Human	I cannot believe how yummy that looks.
	Yes		What is up with all the knitting on my feed
Ridiculous	Yes		
Ridiculous	res	UPDOWN	I would love to be a of that fruit!
	No	Ctll	A beautiful accordance built into the align
	No	Standard	A beautiful mesa town built into the cliffs.
The state of the s	No	Engaging	That is a strange cave
	Yes	Human	It must be very dangerous if children play there
and a second	Yes		
Maternal	Yes		I hope my kids don't climb on this.
Matemai	ies	UPDOWN	I hope this is a beautiful place.



Multi-Modal Open-Domain Dialogue

Kurt Shuster*, Eric Michael Smith*, Da Ju, Jason Weston

https://arxiv.org/pdf/2010.01082.pdf

 2.7-billion-parameter Transformer sequence-to-sequence model based on the <u>BlenderBot</u> open-domain chatbot, trained on the image tasks <u>COCO Captions</u> and <u>Image-Chat</u>, and using an image encoder from Faster R-CNN.



These flowers are so beautiful, I would love to have a garden like this one day.

Yeah, me too! What kinds of flowers would you plant?

I'm not sure, I'd have to do a lot of research to find out what would grow well in my area.

That's a good point - honestly, I'm not sure what would grow well here. Do you have a big backyard for gardening?

I live in the suburbs, so I don't have a huge yard, but I do have a small garden in my front yard.

Oh, that's great. Would you grow vegetables as well or just flowers?

Vegetables, herbs, flowers, fruits and nuts are all things I grow in my garden.

That would be nice! I'd love to have apple trees and then eat fresh apples every

I would grow all of the above. I love fresh fruit and veggies.

Do you like coconuts? People either love or hate them, I think.

I've never had a coconut. I've heard they're good for you though.



I would love to take this teddy bear for a spin on my motorcycle.

Ha, would the teddy bear ride in a basket in front of you or in a sidecar?

In front of me, of course. I don't want to get hit by a car.

Yes, good point - the sidecar would take up a lot of room

I think it would be fun to ride on the back of a motorbike with a stuffed animal in the basket.

Do you ride your motorbike often?

I used to ride a lot when I was younger, but I haven't been on one in a long time.