# Overview of Unsupervised Learning &

Generative Adversarial Networks

Lecture 11

Slides from: Emily Denton, Ian Goodfellow, Soumith Chintala

# Recall "Self supervised" learning

- Unsupervised learning but...
- Find supervision signal y within the input data
- This signal is then used as a target in *discriminative model*:

$$y: \mathcal{X} \to \mathcal{Y}$$
  
 $x \mapsto y(x)$ 

 Allows the use of <u>standard supervised learning losses and</u> <u>architectures</u>

$$\min_{\theta} \frac{1}{n} \sum_{i=1}^{n} \ell(f_{\theta}(x_i), y(x_i))$$

- Pre-training of representation for subsequent task
- Typically involves some insight into domain to pick y

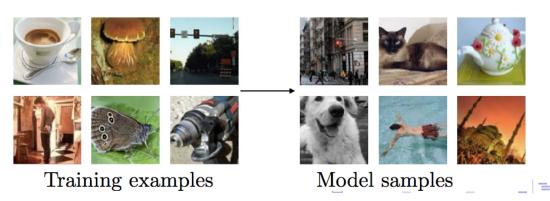
# Density Modeling

- Have access to  $x \sim p_{data}(x)$  through training set
- Want to learn a model  $x \sim p_{model}(x)$
- Want  $p_{model}$  to be similar to  $p_{data}$ :

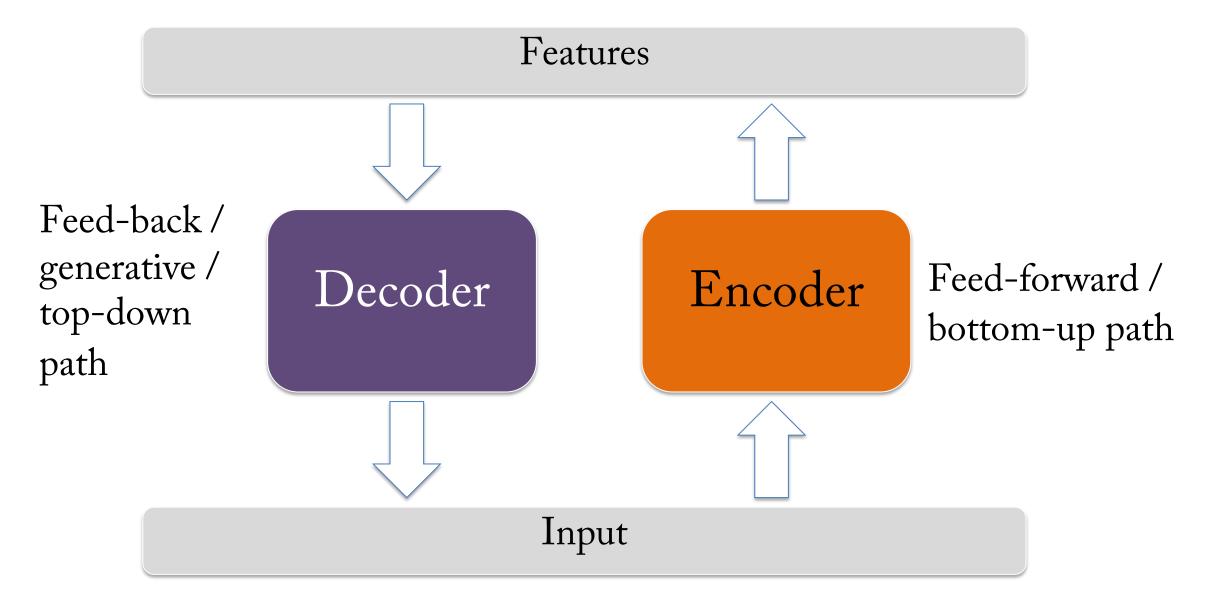
Samples from true data distribution have high likelihood under  $p_{model}$ 



Samples drawn from  $p_{model}$  reflect structure of  $p_{data}$ 



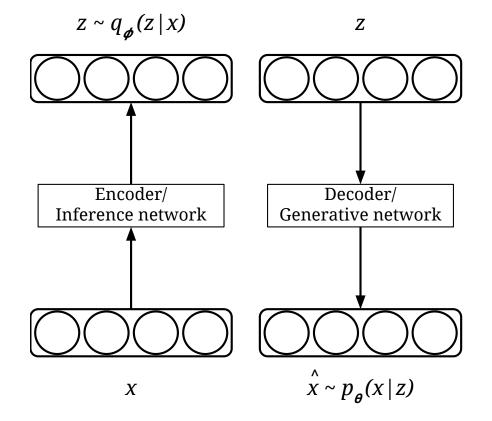
# Auto-Encoder



- Encoder/Decoder will be deep network
- Slightly different architectures for decoder (needs to output image)
- Architecture depends on application

#### Variational autoencoder

- Encoder network maps from image space to latent space
  - Outputs parameters of  $q_{\phi}(z|x)$
- Decoder maps from latent space back into image space
  - Outputs parameters of  $p_{\theta}(x|z)$



Kingma & Welling (2013)]

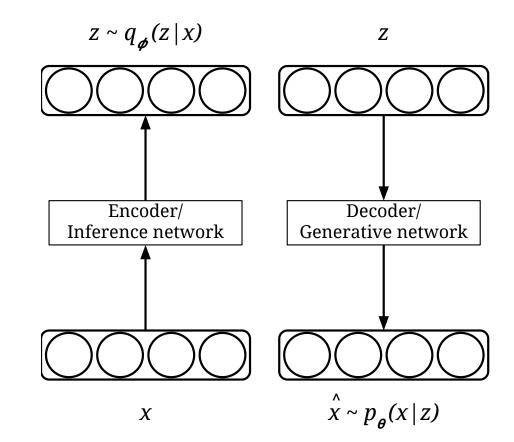
#### Example

• Encoder network outputs mean and variance of Normal distribution

• 
$$q_{\phi}(z|x) = \mathcal{N}(\mu_{\phi}(x), \sigma_{\phi}(x))$$

• Decoder network outputs mean (and optionally variance) of Normal distribution

• 
$$p_{\theta}(x|z) = \mathcal{N}(\mu_{\theta}(z), \mathbf{I})$$



Kingma & Welling (2013)]



#### Bounding the marginal likelihood

Recall Jenson's inequality: When f is concave,  $f(\mathbb{E}[x]) \geq \mathbb{E}[f(x)]$ 

$$\begin{split} \log p(x) &= \log \int_z p(x,z) \\ &= \log \int_z q(z) \frac{p(x,z)}{q(z)} \\ &\geq \int_z q(z) \log \frac{p(x,z)}{q(z)} = L(x;\theta,\phi) \quad \text{(by Jensons inequality)} \\ &\qquad \qquad \text{Evidence Lower} \\ &\qquad \qquad \text{BOund (ELBO)} \end{split}$$

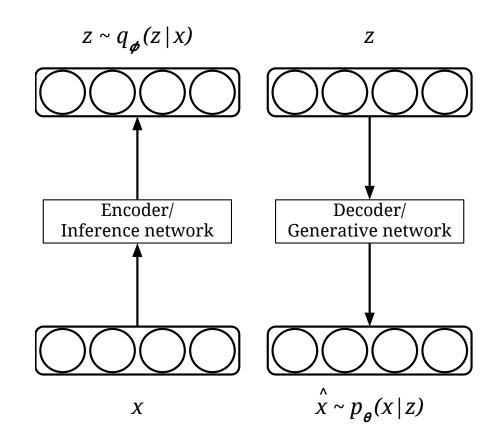
#### Variational autoencoder

• Rearranging the ELBO:

$$\begin{split} L(x;\theta,\phi) &= \int_z q(z|x) \log \frac{p(x,z)}{q(z|x)} \\ &= \int_z q(z|x) \log \frac{p(x|z)p(z)}{q(z|x)} \\ &= \int_z q(z|x) \log p(x|z) + \int_z q(z|x) \log \frac{p(z)}{q(z|x)} \\ &= \mathbb{E}_{q(z|x)} \log p(x|z) - \mathbb{E}_{q(z|x)} \log \frac{q(z|x)}{p(z)} \\ &= \underbrace{\mathbb{E}_{q(z|x)} \log p(x|z)}_{\text{Reconstruction term}} - \underbrace{D_{KL}(q(z|x)||p(z))}_{\text{Prior term}} \end{split}$$

#### Variational autoencoder

- Inference network outputs parameters of  $q_{\phi}(z|x)$
- Generative network outputs parameters of  $p_{\theta}(x|z)$
- Optimize  $\theta$  and  $\phi$  jointly by maximizing ELBO:



$$L(x; \theta, \phi) = \underbrace{\mathbb{E}_{q(z|x)} \log p(x|z)}_{\text{Reconstruction term}} - \underbrace{D_{KL}(q(z|x)||p(z))}_{\text{Prior term}}$$

### Stochastic gradient variation bayes (SGVB) estimator

• Reparameterization trick : re-parameterize  $z \sim q_{\phi}(z|x)$  as

$$z = g_{\phi}(x, \epsilon)$$
 with  $\epsilon \sim p(\epsilon)$ 

• For example, with a Gaussian can write  $z \sim \mathcal{N}(\mu, \sigma^2)$  as

$$z = \mu + \epsilon \sigma^2 \text{ with } \epsilon \sim \mathcal{N}(0, 1)$$

Kingma & Welling (2013); Rezende et al. (2014)]

#### Stochastic gradient variation bayes (SGVB) estimator

$$L(x; \theta, \phi) = \underbrace{\mathbb{E}_{q(z|x)} \log p(x|z)}_{\text{Reconstruction term}} - \underbrace{D_{KL}(q(z|x)||p(z))}_{\text{Prior term}}$$

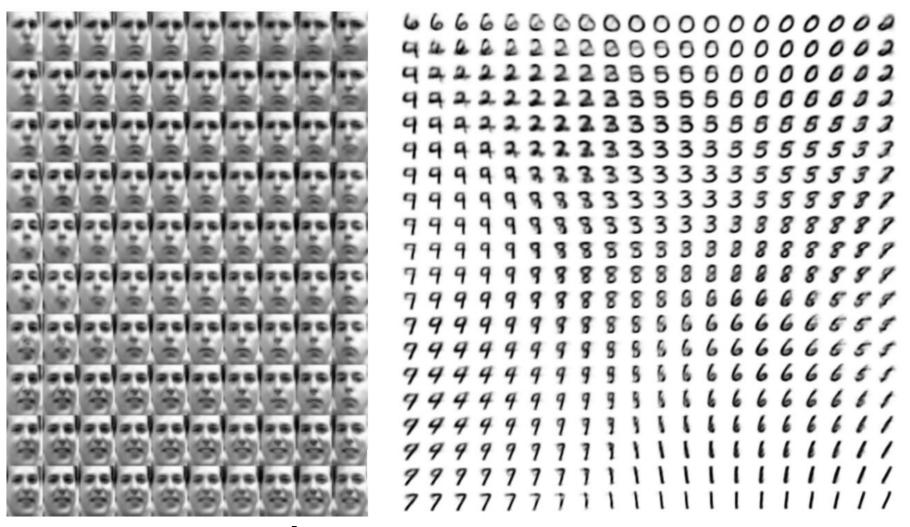
• Using reparameterization trick we form Monte Carlo estimate of reconstruction term:

$$\mathbb{E}_{q_{\phi}(z|x)} \log p_{\theta}(x|z) = \mathbb{E}_{p(\epsilon)} \log p_{\theta}(x|g_{\phi}(x,\epsilon))$$

$$\simeq \frac{1}{L} \sum_{i=1}^{L} \log p_{\theta}(x|g_{\phi}(x,\epsilon)) \quad \text{where } \epsilon \sim p(\epsilon)$$

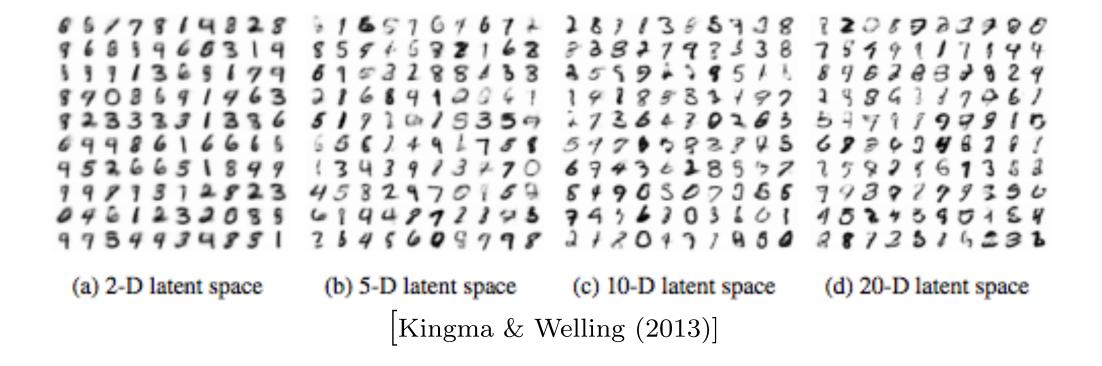
• KL divergence term can often be computed analytically (eg. Gaussian)

#### VAE learned manifold



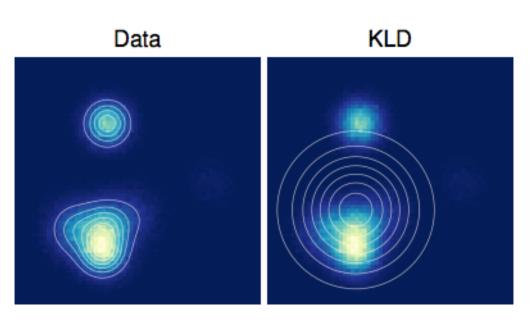
[Kingma & Welling (2013)]

#### VAE samples



#### VAE tradeoffs

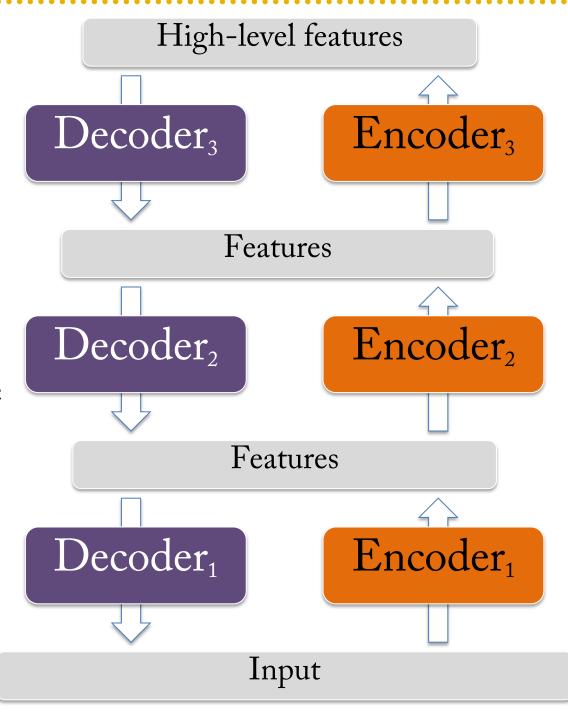
- Pros:
  - Theoretically pleasing
  - Optimizes bound on likelihood
  - Easy to implement
- Cons:
  - Samples tend to be blurry
    - Maximum likelihood minimizes  $D_{KL}(p_{data}||p_{model})$



# Stacked Auto-Encoders

- Ladder Networks [Rasmus et al. 2015]
  - Reconstruction constraint at each layer
  - Trained end-to-end
- Can be trained layer-wise
  - Stacked RBMs

[Hinton & Salakhutdinov 2006]



# Many Other Approaches

- VAE Variants
  - VQ-VAE
  - Beta-VAE
  - Etc.
- Other variants of Autoencoder
  - Restricted / Deep Boltzmann Machines
  - Denoising autoencoders
  - Predictive sparse decomposition
- Decoder-only
  - Sparse coding & hierarchical variants

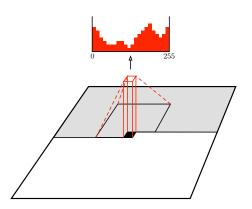
### Autoregressive models

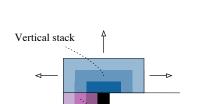
- Tractably model a joint distribution of the pixels in the image
- Learn to predict the next pixel given all the previously generated pixels
- Joint distribution of all pixels just product of conditionals:

$$p(\mathbf{x}) = \prod_{i=1}^{n^2} p(x_i|x_1, ..., x_{i-1})$$

[van den Oord et al., arXiv 1606.05328, 2016]

- Conditional generative -----
- Generate each pixel, in raster-scan order
- Just predict distribution over a sir
- See also Video Pixel Networks [Kalchbrenne
- NADE [Larochelle & Murray 2011] & RIDF





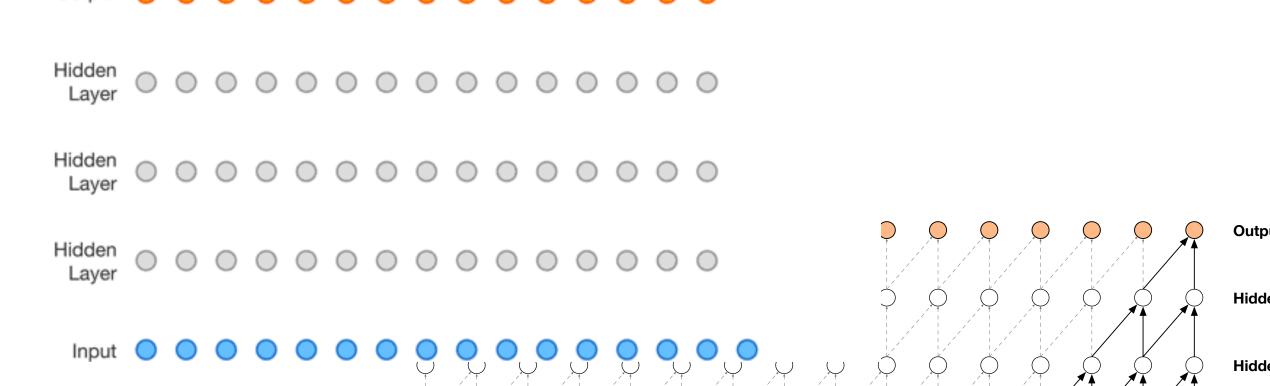
 $p(\mathbf{x}) = \prod_{i=1}^{n^2} p(x_i|x_1, ..., x_{i-1}).$ 



African elephant

Coral Reef

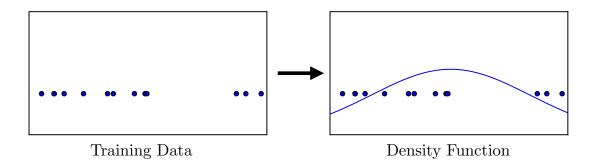
[van den Oord et al., arXiv 1609.03499, 2016]



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- [Generative Adversarial Nets, Ian J. Goodfellow, Jean Pouget-Abadie, Mehdi Mirza, Bing Xu, David Warde-Farley, Sherjil Ozair, Aaron Courville, Yoshua Bengio, NIPS 2014]
- Focus on sample generation

Generative Modeling: Density
Estimation



Generative Modeling: Sample Generation



(CelebA)

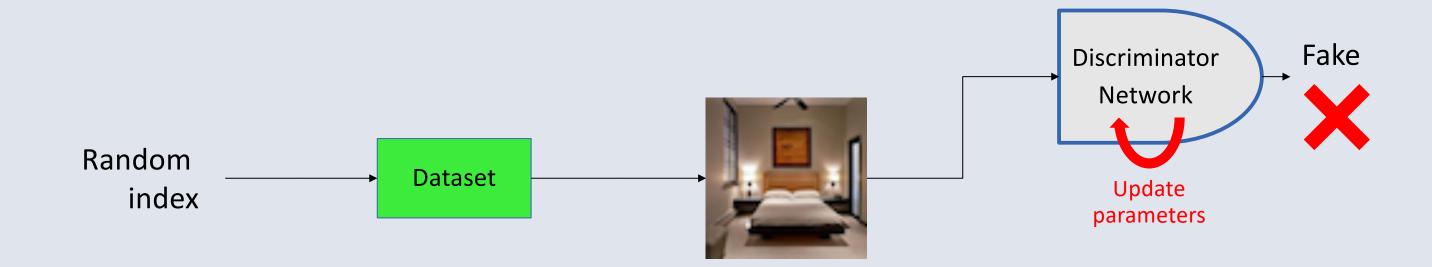
(Goodfellow 2018)

(Karras et al, 2017)

- Initial application to still images
- Way to train generative model to match **distribution** of data
- Discriminator network predicts if input image is from data (real) or model (fake)



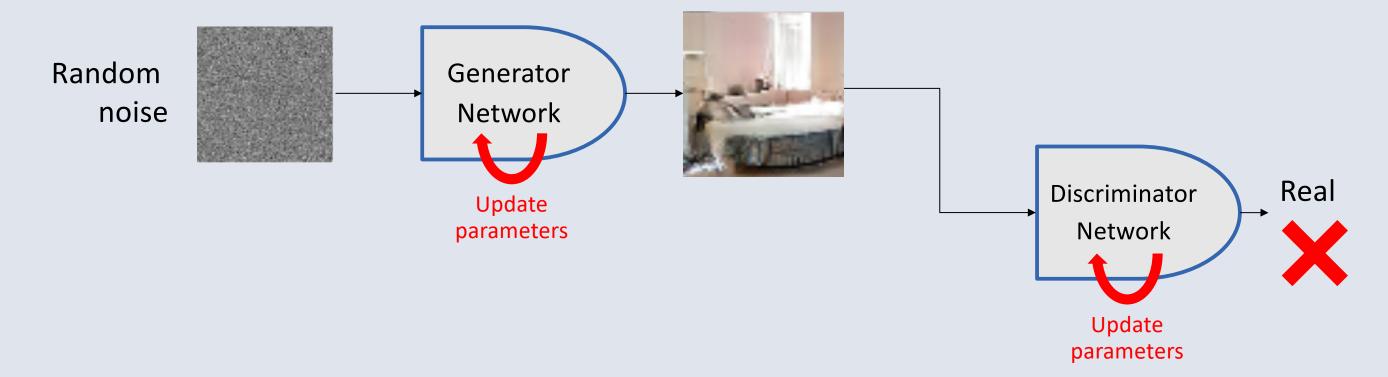
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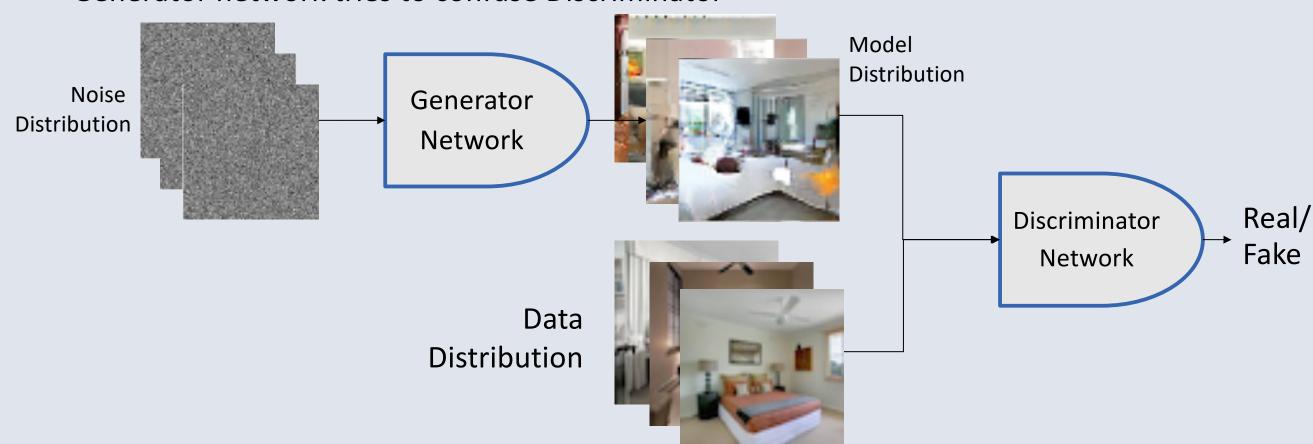
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- Generator network tries to confuse Discriminator

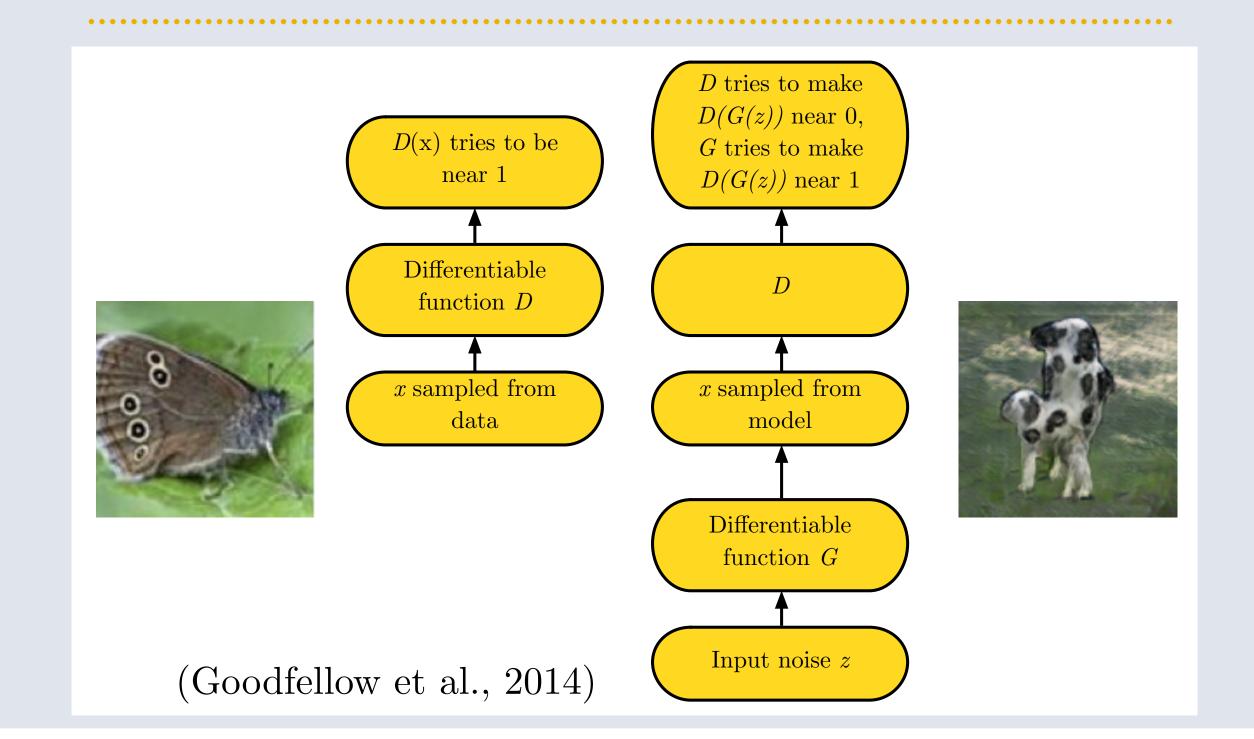


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[Generative Adversarial Nets, Ian J. Goodfellow, Jean Pouget-Abadie, Mehdi Mirza, Bing Xu, David Warde-Farley, Sherjil Ozair, Aaron Courville, Yoshua Bengio, NIPS 2014]

Minimax value function:

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})}[\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})}[\log(1 - D(G(\boldsymbol{z})))]$$

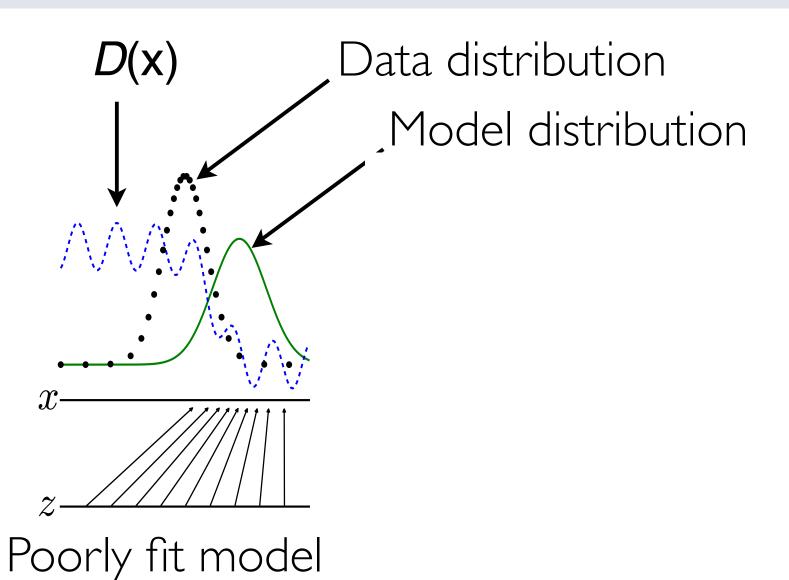
Discriminator pushes up

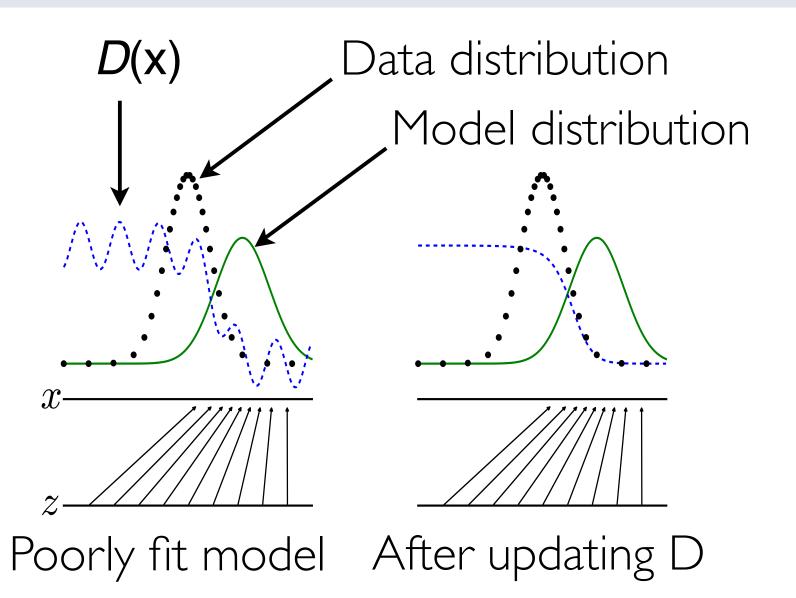
Generator pushes down

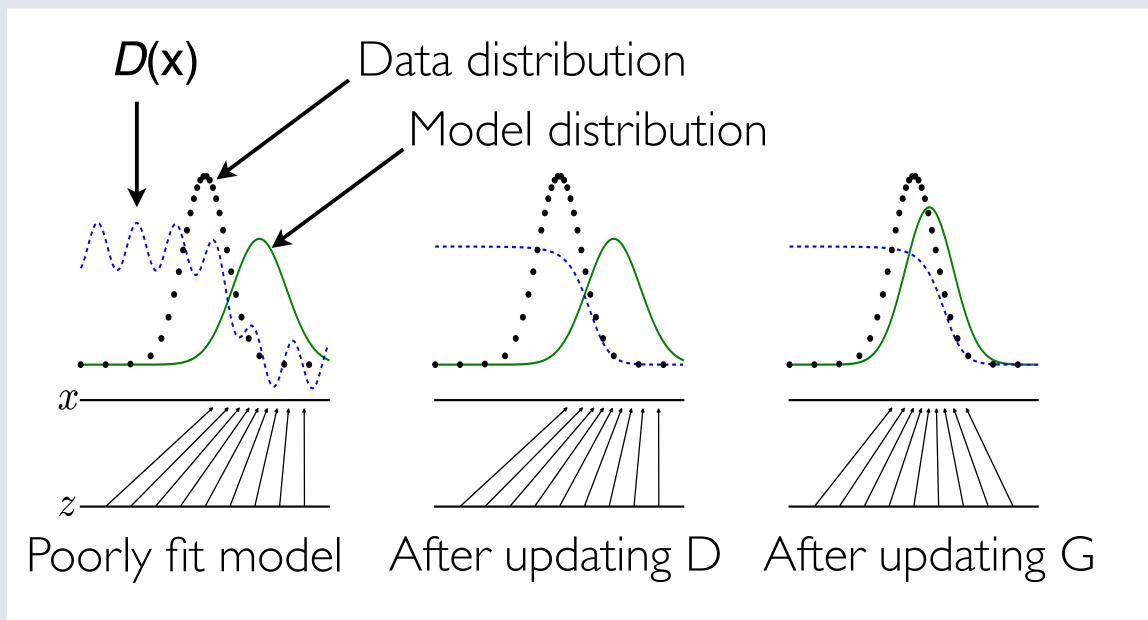
Discriminator's ability to recognize data as being real

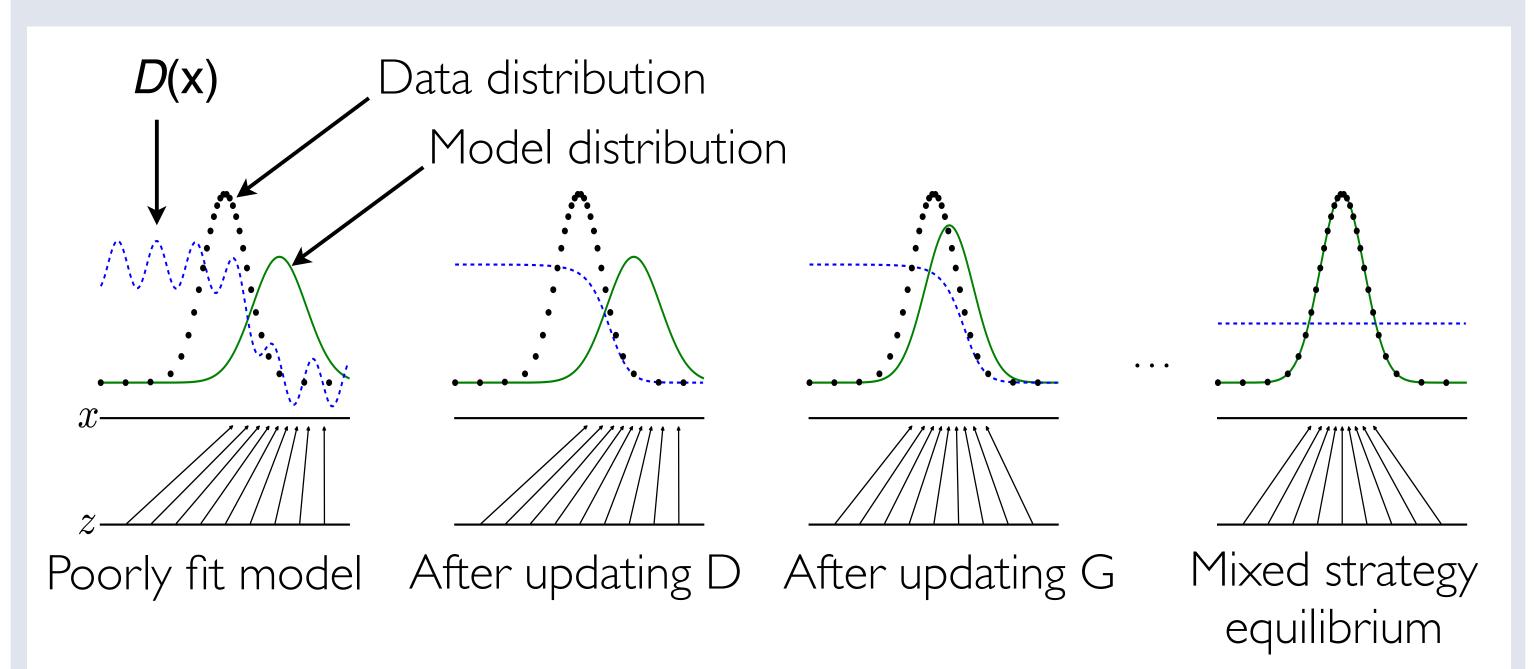
Discriminator's ability to recognize generator samples as being

[Slide: Ian Goodel C., Deep Learning workshop, ICML 2015]

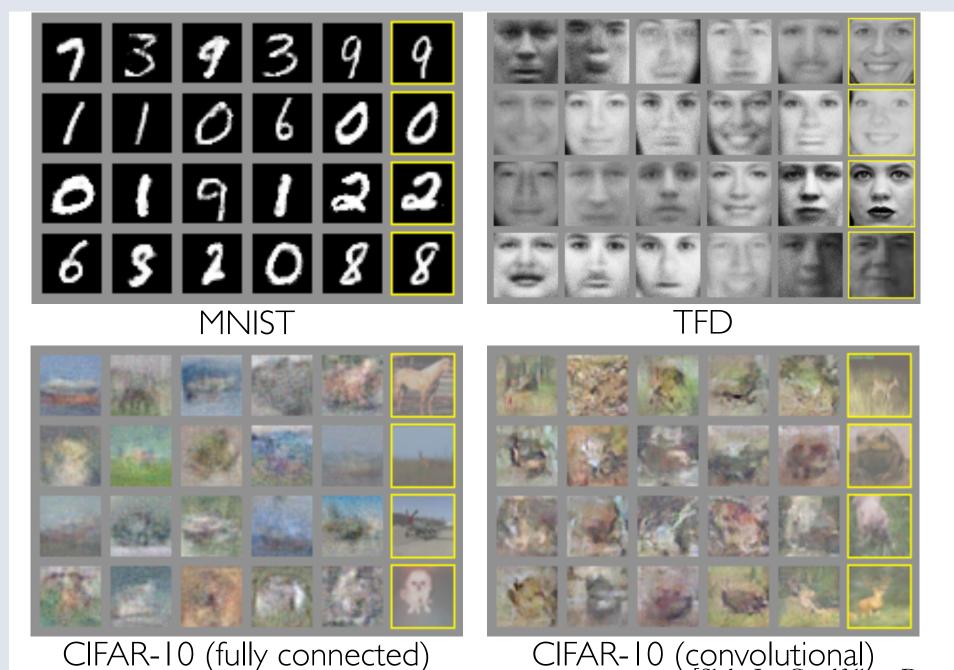








## Adversarial Network Samples



CIFAR-10 (convolutional)
[Slide: Ian Goodfellow, Deep Learning workshop, ICML 2015]

### **DCGAN**

First to generate plausible results at 64x64.

 Improved architectures for generator/discriminator

Most GAN architectures used now are

similar

 Lots of tricks to get GANs to train well Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks

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**Soumith Chintala** 

Facebook AI Research New York, NY soumith@fb.com **ICLR 2016** 

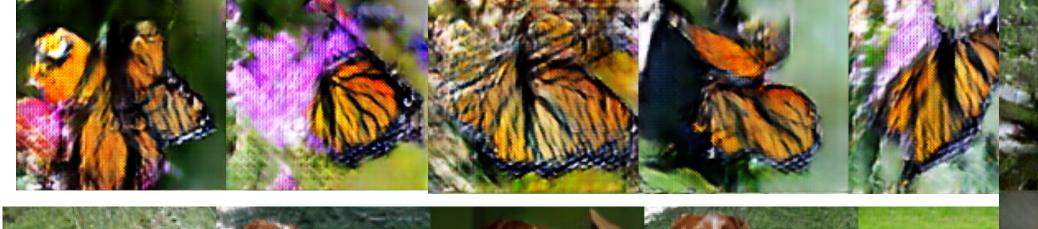


# 3.5 Years of Progress on Faces



# < 2 Years of Progress on ImageNet

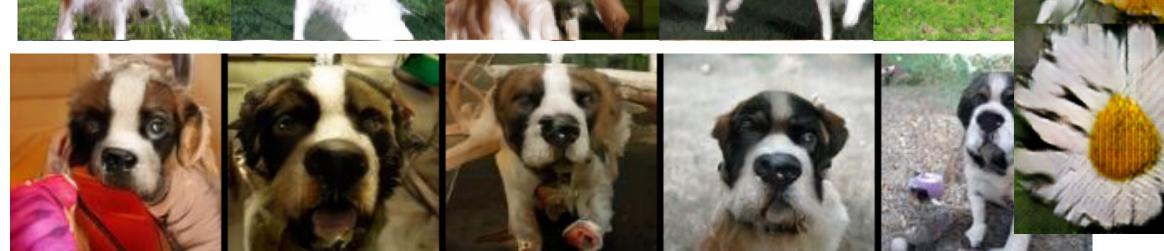
Odena et al 2016



Miyato et al 2017



Zhang et al 2018



#### Evaluation of GANs

- Short answer: hard; look at quality of samples
- Computing log-likelihood not directly possible

LLH is problematic.

See [A note on the evaluation of generative models, Lucas Theis, Aäron van den Oord, Matthias Bethge, ICLR 2016]

- Inception score
- User-study: can humans tell fake from real?

# Inception Score Proposed in 2016

#### **Improved Techniques for Training GANs**

Tim Salimans Ian Goodfellow

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Abstract

#### Inception Score

Send generated image through Inception model (trained on Imagenet)

generated image to get the conditional label distribution p(y|x). Images that contain meaningful objects should have a conditional label distribution p(y|x) with low entropy. Moreover, we expect the model to generate varied images, so the marginal  $\int p(y|x=G(z))dz$  should have high entropy. Combining these two requirements, the metric that we propose is:  $\exp(\mathbb{E}_x \text{KL}(p(y|x)||p(y)))$ , where

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#### How to Train a GAN

Emily Denton, Martin Arjovsky, Michael Mathieu

New York University

Ian Goodfellow

Google

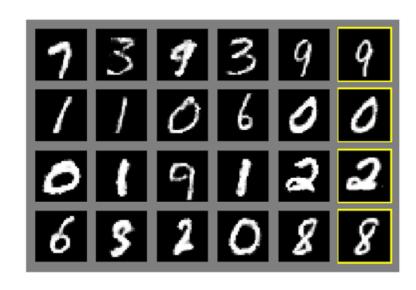
#### **Soumith Chintala**

Facebook Al Research



## The stability of GANs





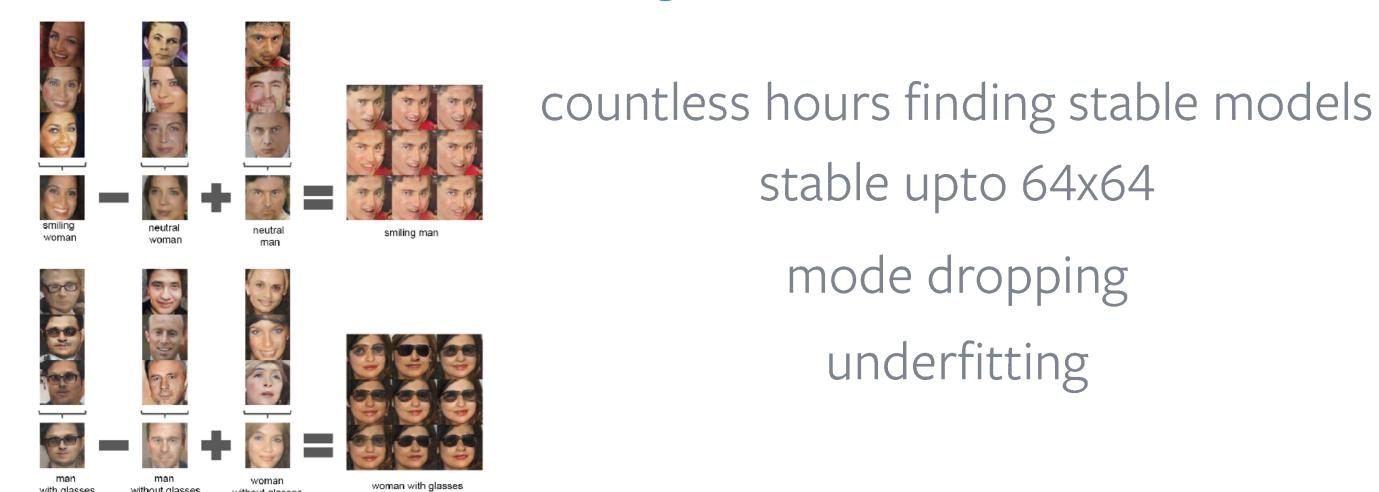


Goodfellow et. al. "Generative Adversarial Networks"



model architecture generator visual inspection countless failed stability hacks

Denton et. al. "Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks"



Radford et. al. "Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks"



more heuristics more stability

Salimans et. al. "Improved Techniques for Training GANs"

#### gradient norm regularization

Least-Squares Boundary Equilibrium

Gulrajani et. al. "Improved Training of Wasserstein GANs"

Xudong et al. "Least squares generative adversarial networks."

Berthelot et. al. "Began: Boundary equilibrium generative adversarial networks."

https://github.com/khanrc/tf.gans-comparison by Junbum Cha

#### **GANs** comparison without cherry-picking

Implementations of some theoretical generative adversarial nets: DCGAN, EBGAN, LSGAN, WGAN, WGAN-GP, BEGAN, DRAGAN and CoulombGAN.

I implemented the structure of model equal to the structure in paper and compared it on the CelebA dataset and LSUN dataset without cherry-picking.

#### Comparison to Classification ConvNets

- Throw things at the wall and see what sticks
- Intuition is poorer
- Theoretical work is somewhat improving but still far away
- Objective validation metrics are not there yet

#### #1: Normalize the inputs

- •normalize the images between -1 and 1
- Tanh as the last layer of the generator output
  - or some kind of bounds normalization

#### #2: Modified loss function (classic GAN)

- •In papers people write min (log 1-D), but in practice folks practically use max log D
  - because the first formulation has vanishing gradients early on
  - Goodfellow et. al (2014)
- •In practice:
  - -Flip labels when training generator: real = fake, fake = real

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- •In papers people write min (log 1-D), but in practice folks practically use max log D
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  - Goodfellow et. al (20140T OF NEW LOSS FORMULATIONS
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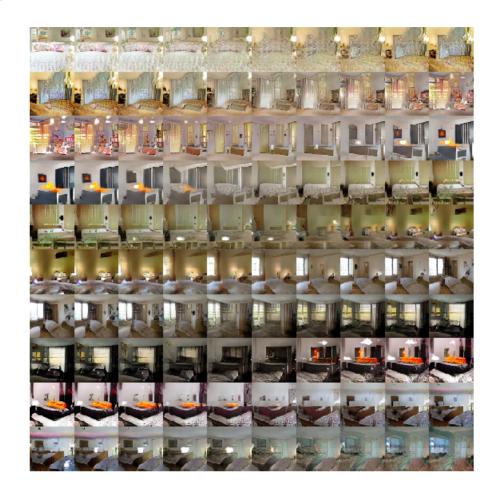
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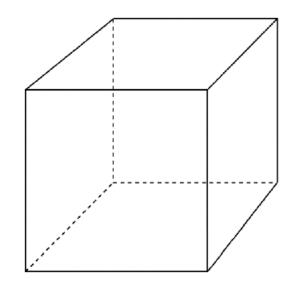
https://github.com/hwalsuklee/tensorflow-generative-model-collections https://github.com/znxlwm/pytorch-generative-model-collections

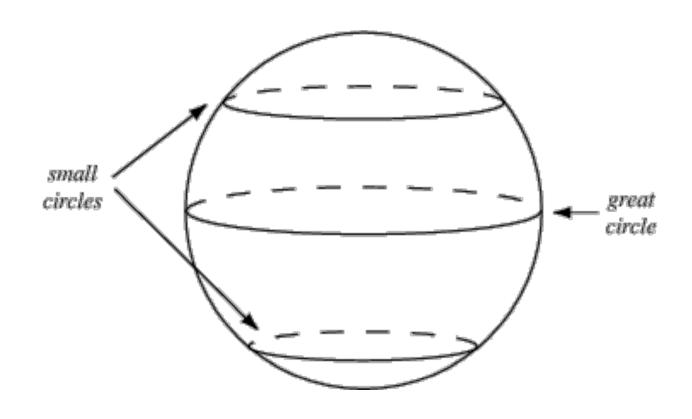
Name	Paper Link	Value Function
GAN	Arxiv	$\begin{split} L_D^{GAN} &= E\big[\log\big(D(x)\big)\big] + E\big[\log\big(1 - D(G(z))\big)\big] \\ L_G^{GAN} &= E\big[\log\big(D(G(z))\big)\big] \end{split}$
LSGAN	Arxiv	$\begin{split} L_D^{LSGAN} &= E[(D(x)-1)^2] + E[D(G(z))^2] \\ L_G^{LSGAN} &= E[(D(G(z))-1)^2] \end{split}$
WGAN	Arxiv	$\begin{split} L_D^{WGAN} &= E[D(x)] - E[D(G(z))] \\ L_G^{WGAN} &= E[D(G(z))] \\ W_D &\leftarrow clip\_by\_value(W_D, -0.01, 0.01) \end{split}$
WGAN-GP	Arxiv	$\begin{split} L_D^{WGAN\_GP} &= L_D^{WGAN} + \lambda E[( \nabla D(\alpha x - (1 - \alpha G(z)))  - 1)^2] \\ L_G^{WGAN\_GP} &= L_G^{WGAN} \end{split}$
DRAGAN	Arxiv	$\begin{split} L_D^{DRAGAN} &= L_D^{GAN} + \lambda E[\left( \nabla D(\alpha x - (1 - \alpha x_p))  - 1\right)^2] \\ L_G^{DRAGAN} &= L_G^{GAN} \end{split}$
CGAN	Arxiv	$\begin{split} L_D^{CGAN} &= E\big[\log\big(D(x,c)\big)\big] + E\big[\log\big(1-D(G(z),c)\big)\big] \\ L_G^{CGAN} &= E\big[\log\big(D(G(z),c)\big)\big] \end{split}$
infoGAN	Arxiv	$\begin{split} L_{D,Q}^{InfoGAN} &= L_{D}^{GAN} - \lambda L_{I}(c,c') \\ L_{G}^{InfoGAN} &= L_{G}^{GAN} - \lambda L_{I}(c,c') \end{split}$
ACGAN	Arxiv	$\begin{split} L_{D,Q}^{ACGAN} &= L_D^{GAN} + E[P(class = c x)] + E[P(class = c G(z))] \\ L_G^{ACGAN} &= L_G^{GAN} + E[P(class = c G(z))] \end{split}$
EBGAN	Arxiv	$\begin{split} L_D^{EBGAN} &= D_{AE}(x) + \max(0, m - D_{AE}(G(z))) \\ L_G^{EBGAN} &= D_{AE}(G(z)) + \lambda \cdot PT \end{split}$
BEGAN	Arxiv	$\begin{split} L_D^{BEGAN} &= D_{AE}(x) - k_t D_{AE}(G(z)) \\ L_G^{BEGAN} &= D_{AE}(G(z)) \\ k_{t+1} &= k_t + \lambda (\gamma D_{AE}(x) - D_{AE}(G(z))) \end{split}$

## #3: Use spherical z

- •interpolation via great circle
- •Tom White "Sampling Generative Networks"
- https://arxiv.org/abs/1609.04468

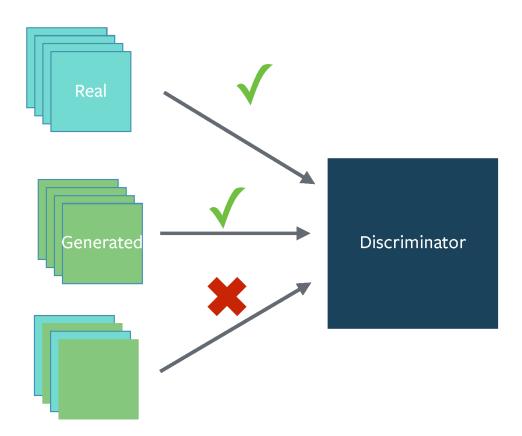






#### #4: BatchNorm

- different batches for real and fake
- •when batchnorm is not an option use instance norm



#### #5: Avoid Sparse Gradients: ReLU, MaxPool

- the stability of the GAN game suffers
- LeakyReLU (both G and D)
- •Downsampling: Average Pooling, Conv2d + stride
- •Upsampling: PixelShuffle, ConvTranspose2d + stride
  - PixelShuffle: https://arxiv.org/abs/1609.05158

### #6: Soft and Noisy Labels

- Label Smoothing
- •making the labels the noisy a bit for the discriminator, sometimes
  - -Salimans et. al. 2016

#### #7: Architectures: DCGANs / Hybrids

- DCGAN when you can
- •if you cant use DCGANs and no model is stable,
- •use a hybrid model: KL + GAN or VAE + GAN
- •ResNets from WGAN-gp also work pretty well (but are very slow)
  - https://github.com/igul222/improved\_wgan\_training
- •Width matters more than Depth

#### #8: Stability tricks from RL

- Experience replay
- Things that work for deep deterministic policy gradients
- See Pfau & Vinyals (2016)

### #9: Optimizer: ADAM

- optim.Adam rules!
  - See Radford et. al. 2015
- •[MMathieu] Use SGD for discriminator and ADAM for generator

#### #10: Use Gradient Penalty

- •Regularize the norm of the gradients
  - multiple theories on why this is useful (WGAN-GP, DRAGAN, Stabilizing GANs by Regularization etc.)

## #11: Dont balance via loss statistics (classic GAN)

•Dont try to find a (number of G / number of D) schedule to uncollapse training

- •while lossD > X:
- train D
- •while lossG > X:
- train G

#### #12: If you have labels, use them

•if you have labels available, training the discriminator to also classify the samples: auxillary GANs

#### #13: Add noise to inputs, decay over time

- •Add some artificial noise to inputs to D (Arjovsky et. al., Huszar, 2016)
  - -http://www.inference.vc/instance-noise-a-trick-for-stabilising-gan-training/
  - -https://openreview.net/forum?id=Hk4\_qw5xe
- •adding gaussian noise to every layer of generator (Zhao et. al. EBGAN)
- Improved GANs: OpenAI code also has it (commented out)

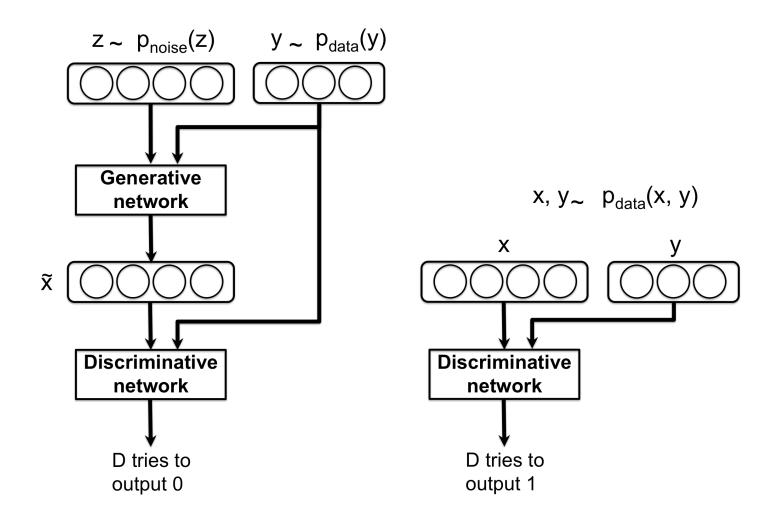
#### #14: Train discriminator more

- especially when you have noise
- •hard to find a schedule of number of D iterations vs G iterations
- WGAN/WGAN-gp papers suggest 5x D iterations per G iteration

## Conditional GANs

#### Conditional generative adversarial networks (CGAN)

- Condition generation on additional info **y** (e.g. class label, another image)
- D has to determine if samples are realistic given y



[Mirza and Osindero (2014); Gauthier (2014)]



#### #16: Discrete Variables

- Use an Embedding layer
- Add as additional channels to images
- •Keep embedding dimensionality low and upsample to match image channel size

#### Conclusion

- Model stability is improving
- Theory is improving
- Hacks are a stop-gap

## PROGRESSIVE GROWING OF GANS FOR IMPROVED QUALITY, STABILITY, AND VARIATION

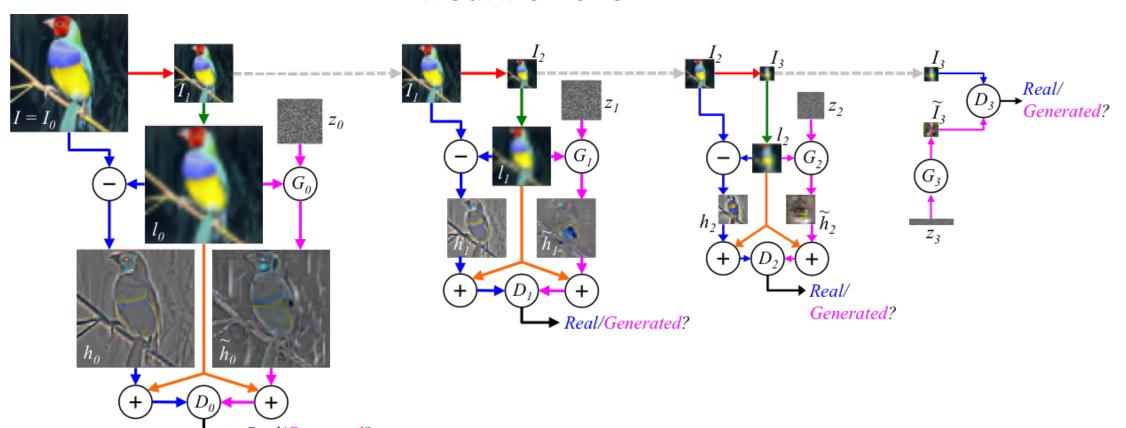
Tero Karras Timo Aila Samuli Laine NVIDIA NVIDIA NVIDIA NVIDIA NVIDIA and Aalto University {tkarras, taila, slaine, jlehtinen}@nvidia.com

ICLR 2018

#### Prior Work

## Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks

Emily Denton<sup>1\*</sup>, Soumith Chintala<sup>2\*</sup>, Arthur Szlam<sup>2</sup>, Rob Fergus<sup>2</sup>
NeurIPS 2015



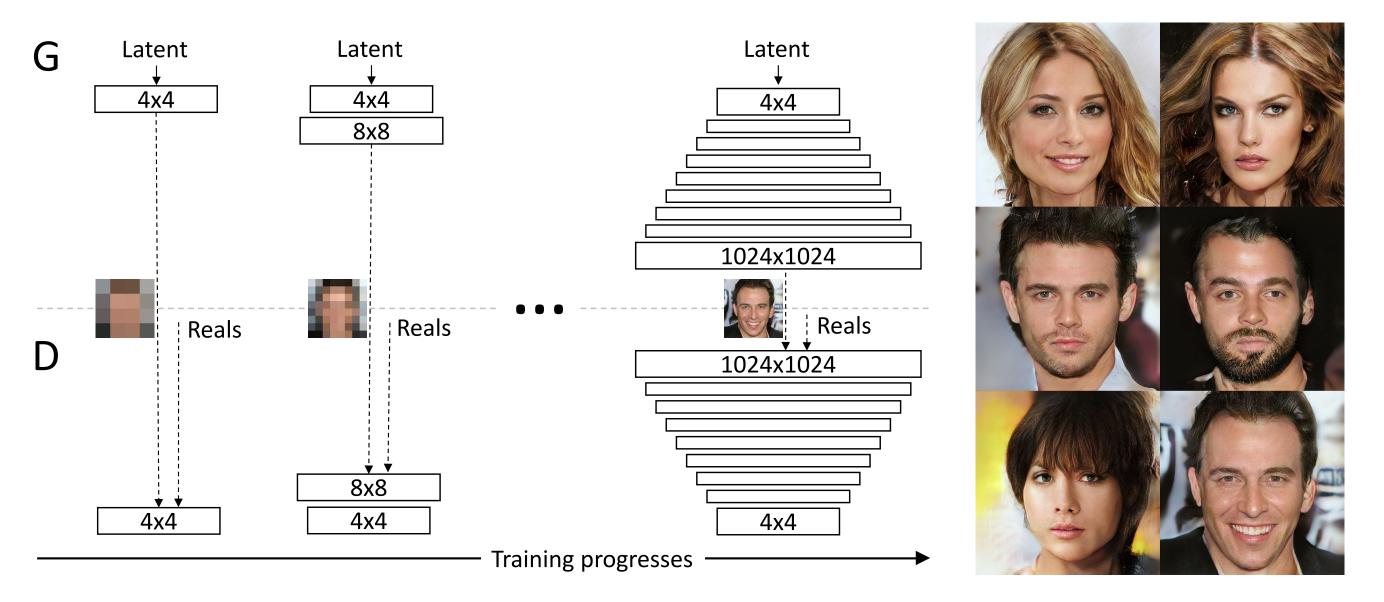
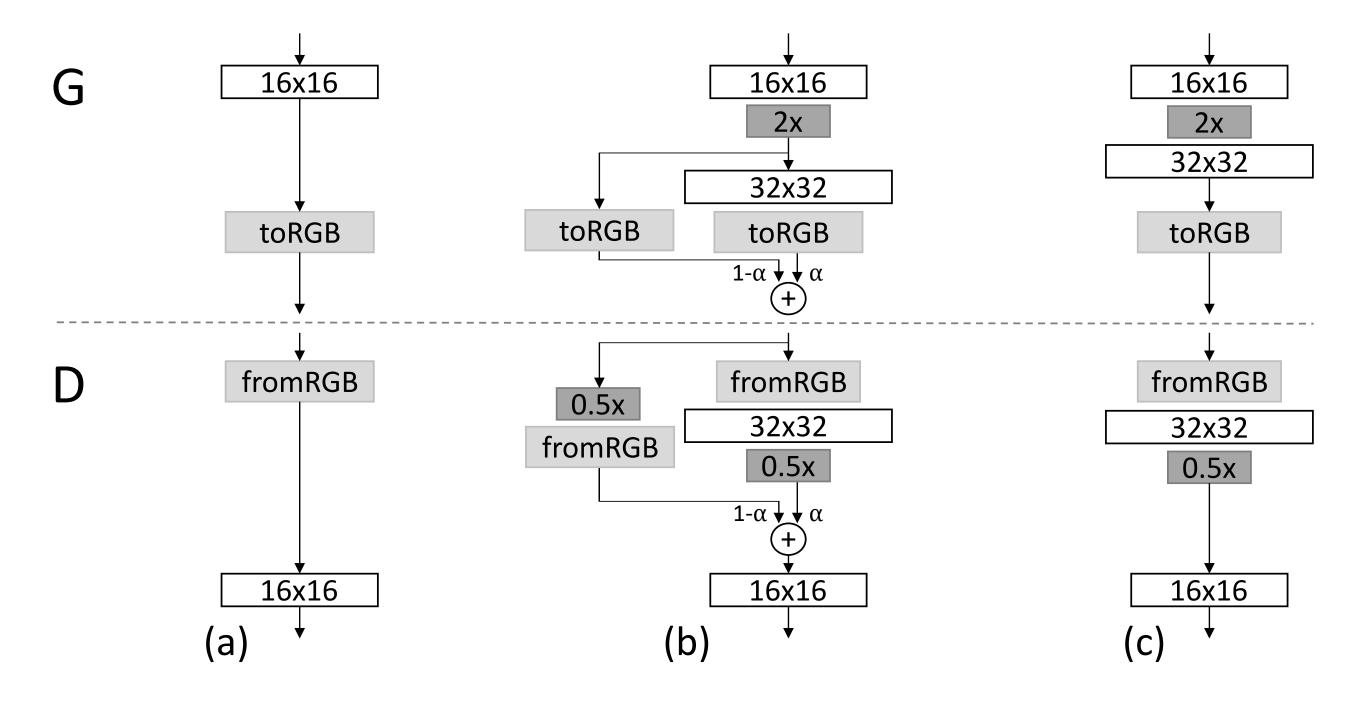


Figure 1: Our training starts with both the generator (G) and discriminator (D) having a low spatial resolution of  $4\times4$  pixels. As the training advances, we incrementally add layers to G and D, thus increasing the spatial resolution of the generated images. All existing layers remain trainable throughout the process. Here  $N\times N$  refers to convolutional layers operating on  $N\times N$  spatial resolution. This allows stable synthesis in high resolutions and also speeds up training considerably. One the right we show six example images generated using progressive growing at  $1024\times1024$ .

### Smooth blending with scale increase

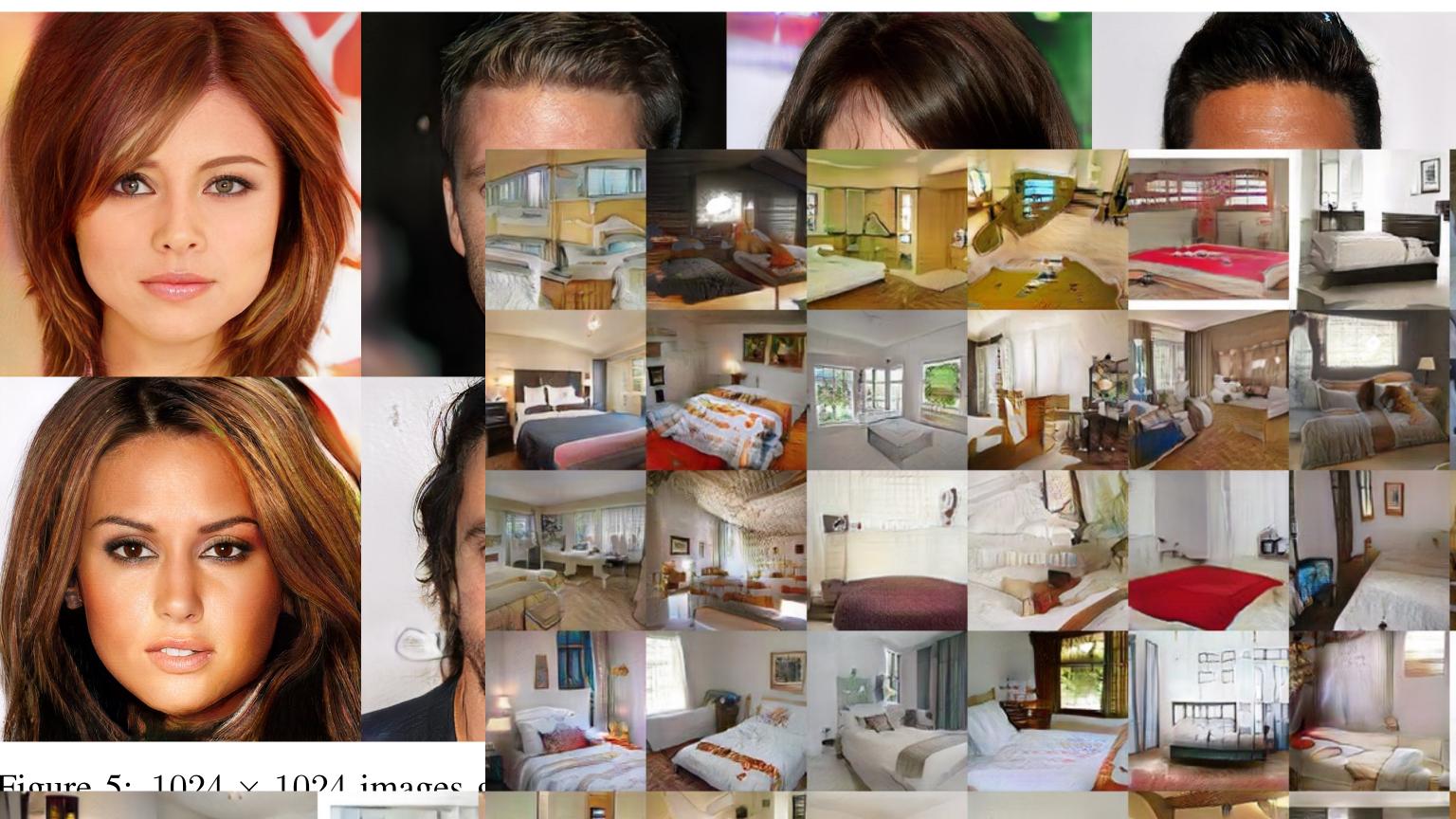


	CELEBA					LSUN BEDROOM						
Training configuration	Sliced Wasserstein distance $\times 10^3$ M			MS-SSIM	Sliced Wasserstein distance $\times 10^3$			MS-SSIM				
	128	64	32	16	Avg		128	64	32	16	Avg	
(a) Gulrajani et al. (2017)	12.99	7.79	7.62	8.73	9.28	0.2854	11.97	10.51	8.03	14.48	11.25	0.0587
(b) + Progressive growing	4.62	2.64	3.78	6.06	4.28	0.2838	7.09	6.27	7.40	9.64	7.60	0.0615
(c) + Small minibatch	75.42	41.33	41.62	26.57	46.23	0.4065	72.73	40.16	42.75	42.46	49.52	0.1061
(d) + Revised training parameters	9.20	6.53	4.71	11.84	8.07	0.3027	7.39	5.51	3.65	9.63	6.54	0.0662
(e*) + Minibatch discrimination	10.76	6.28	6.04	16.29	9.84	0.3057	10.29	6.22	5.32	11.88	8.43	0.0648
(e) Minibatch stddev	13.94	5.67	2.82	5.71	7.04	0.2950	7.77	5.23	3.27	9.64	6.48	0.0671
(f) + Equalized learning rate	4.42	3.28	2.32	7.52	4.39	0.2902	3.61	3.32	2.71	6.44	4.02	0.0668
(g) + Pixelwise normalization	4.06	3.04	2.02	5.13	3.56	0.2845	3.89	3.05	3.24	<b>5.87</b>	4.01	0.0640
(h) Converged	2.42	2.17	2.24	4.99	2.96	0.2828	3.47	2.60	2.30	4.87	3.31	0.0636

Table 1: Sliced Wasserstein distance (SWD) between the generated and training images (Section 5) and multi-scale structural similarity (MS-SSIM) among the generated images for several training setups at  $128 \times 128$ . For SWD, each column represents one level of the Laplacian pyramid, and the last one gives an average of the four distances.



Figure 3: (a) - (g) CELEBA examples corresponding to rows in Table 1. These are intentionally non-converged. (h) Our converged result. Notice that some images show aliasing and some are not sharp - this is a flaw of the dataset, which the model learns to replicate faithfully.









Mao et al. (2016b)  $(128 \times 128)$ 

Gulrajani et al. (2017) (128  $\times$  128)

Our  $(256 \times 256)$ 



### Nearest-Neighbor Sanity Check



### A Style-Based Generator Architecture for Generative Adversarial Networks

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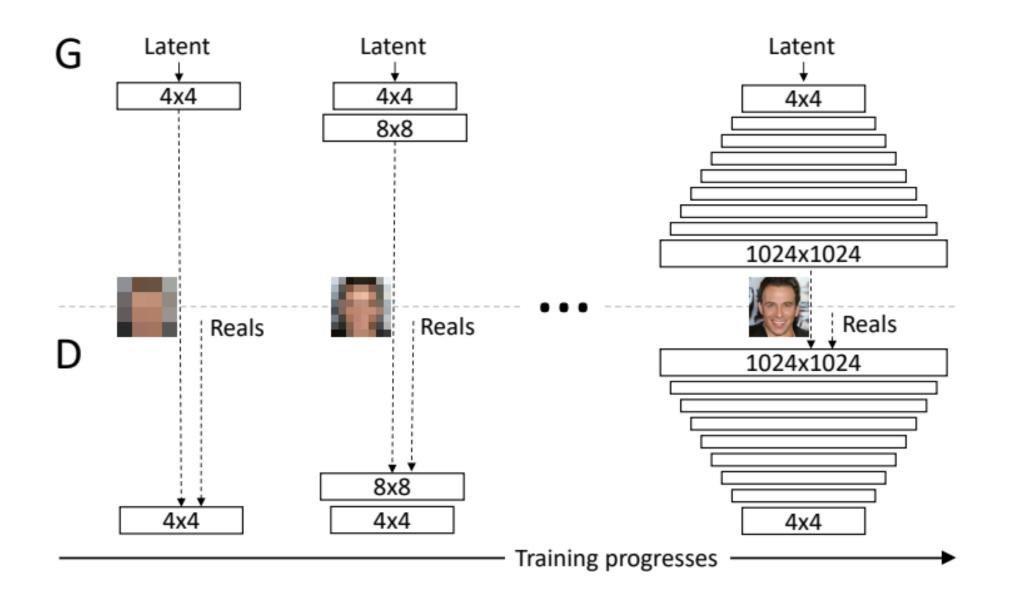
slaine@nvidia.com

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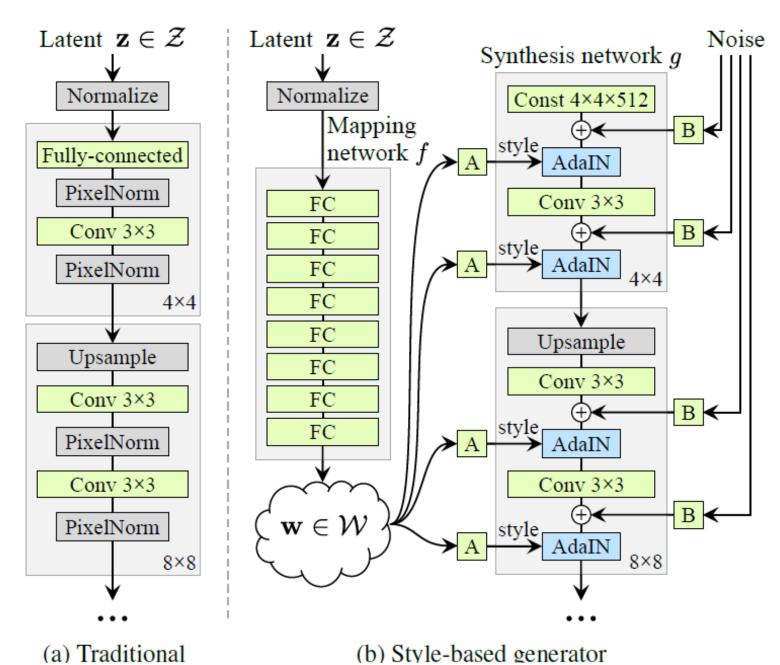
taila@nvidia.com

https://arxiv.org/pdf/1812.04948.pdf CVPR 2019

### Baseline Progressive GAN



### Style-based generator

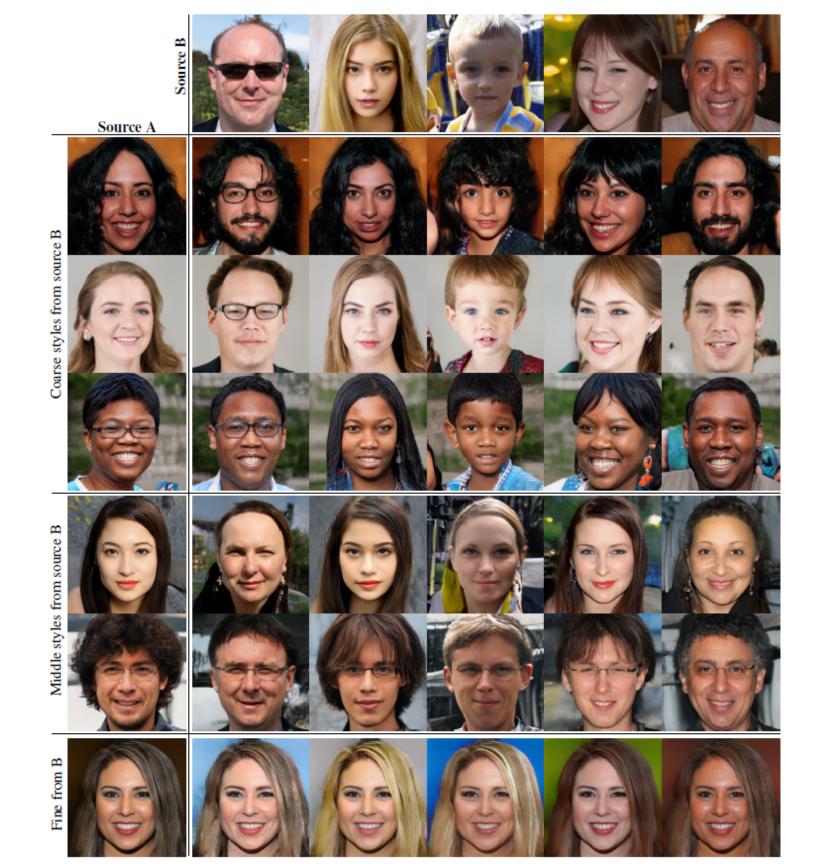


AdalN: adaptive instance normalization

$$AdaIN(\mathbf{x}_i, \mathbf{y}) = \mathbf{y}_{s,i} \frac{\mathbf{x}_i - \mu(\mathbf{x}_i)}{\sigma(\mathbf{x}_i)} + \mathbf{y}_{b,i},$$

A: a learned affine transform

B: learned per-channel scaling factors



#### Stochastic variation

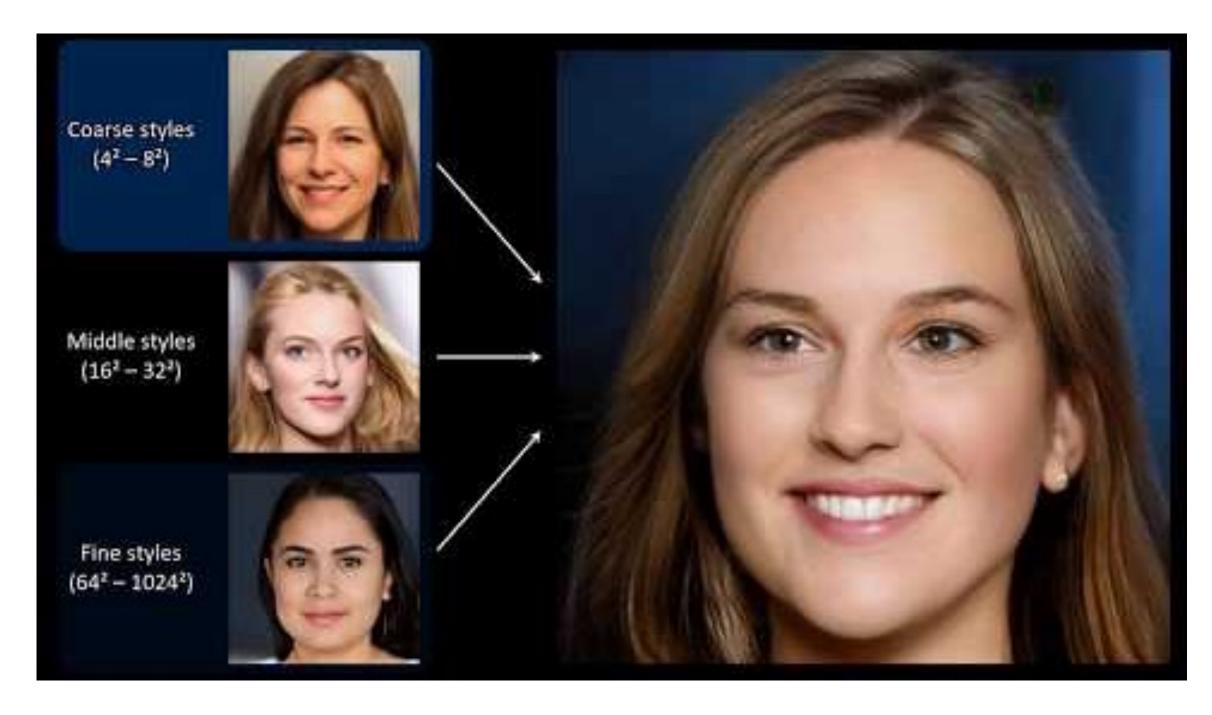


(a) Generated image (b) Stochastic variation (c) Standard deviation



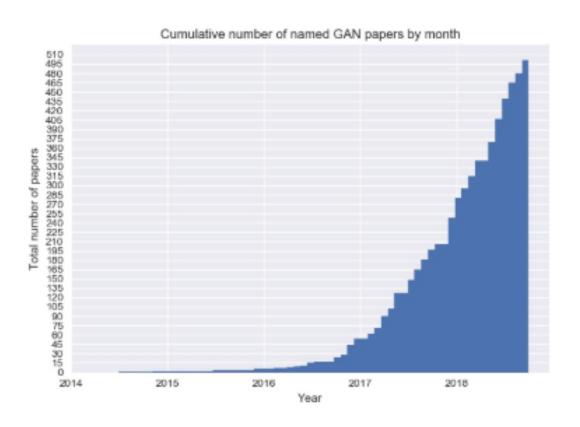
Figure 5. Effect of noise inputs at different layers of our generator. (a) Noise is applied to all layers. (b) No noise. (c) Noise in fine layers only  $(64^2-1024^2)$ . (d) Noise in coarse layers only  $(4^2-32^2)$ . We can see that the artificial omission of noise leads to featureless "painterly" look. Coarse noise causes large-scale curling of hair and appearance of larger background features, while the fine noise brings out the finer curls of hair, finer background detail, and skin pores.

### StyleGAN



### The GAN Zoo

#### https://github.com/hindupuravinash/the-gan-zoo



- 3D-ED-GAN Shape Inpainting using 3D Generative Adversarial Network and Recurrent Convolutional Networks
- 3D-GAN Learning a Probabilistic Latent Space of Object Shapes via 3D Generative-Adversarial Modeling (github)
- 3D-IWGAN Improved Adversarial Systems for 3D Object Generation and Reconstruction (github)
- · 3D-PhysNet 3D-PhysNet: Learning the Intuitive Physics of Non-Rigid Object Deformations
- · 3D-RecGAN 3D Object Reconstruction from a Single Depth View with Adversarial Learning (github)
- ABC-GAN ABC-GAN: Adaptive Blur and Control for improved training stability of Generative Adversarial Networks (github)
- ABC-GAN GANs for LIFE: Generative Adversarial Networks for Likelihood Free Inference
- AC-GAN Conditional Image Synthesis With Auxiliary Classifier GANs
- acGAN Face Aging With Conditional Generative Adversarial Networks
- ACGAN Coverless Information Hiding Based on Generative adversarial networks
- acGAN On-line Adaptative Curriculum Learning for GANs
- ACtuAL ACtuAL: Actor-Critic Under Adversarial Learning
- · AdaGAN AdaGAN: Boosting Generative Models
- Adaptive GAN Customizing an Adversarial Example Generator with Class-Conditional GANs
- AdvEntuRe AdvEntuRe: Adversarial Training for Textual Entailment with Knowledge-Guided Examples
- · AdvGAN Generating adversarial examples with adversarial networks
- · AE-GAN AE-GAN: adversarial eliminating with GAN
- AE-OT Latent Space Optimal Transport for Generative Models
- AEGAN Learning Inverse Mapping by Autoencoder based Generative Adversarial Nets
- AF-DCGAN AF-DCGAN: Amplitude Feature Deep Convolutional GAN for Fingerprint Construction in Indoor Localization System
- AffGAN Amortised MAP Inference for Image Super-resolution
- AIM Generating Informative and Diverse Conversational Responses via Adversarial Information Maximization
- · AL-CGAN Learning to Generate Images of Outdoor Scenes from Attributes and Semantic Layouts
- ALI Adversarially Learned Inference (github)

### Unpaired Image-to-Image Translation with CycleGAN

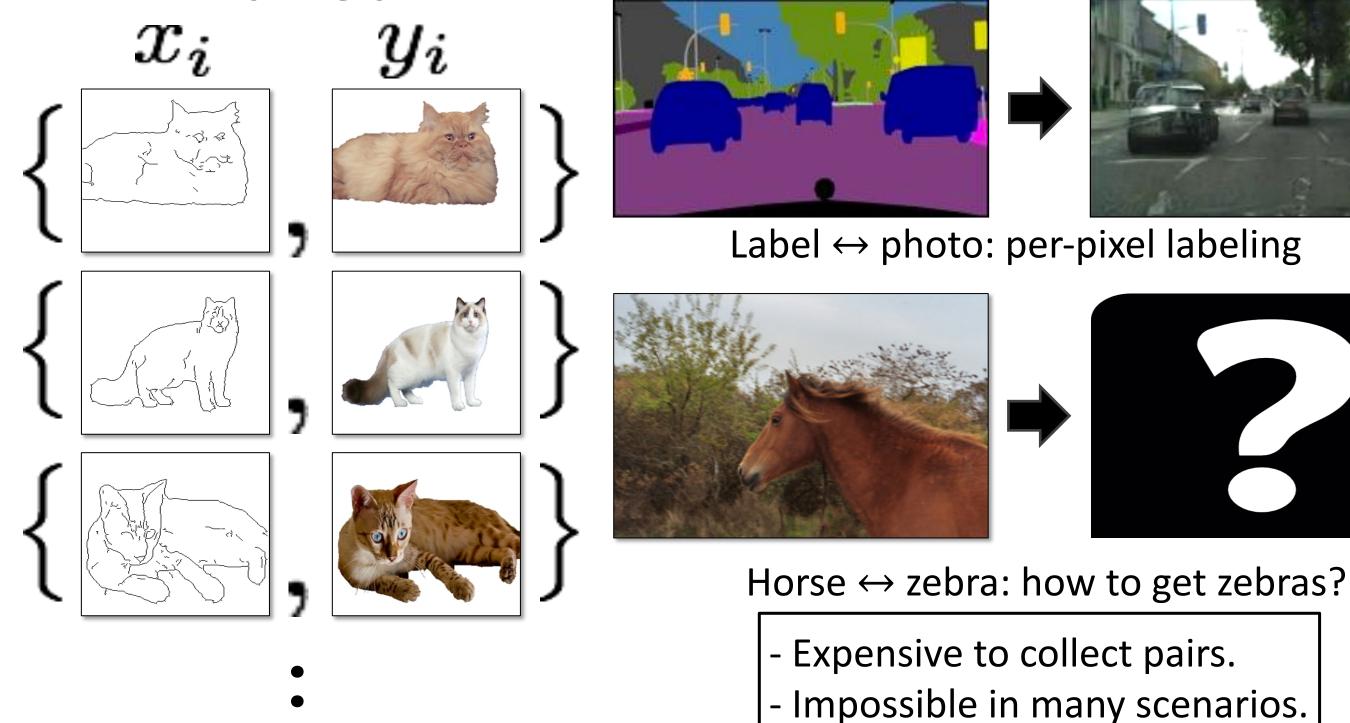
Jun-Yan Zhu and Taesung Park Joint work with Phillip Isola and Alexei A. Efros



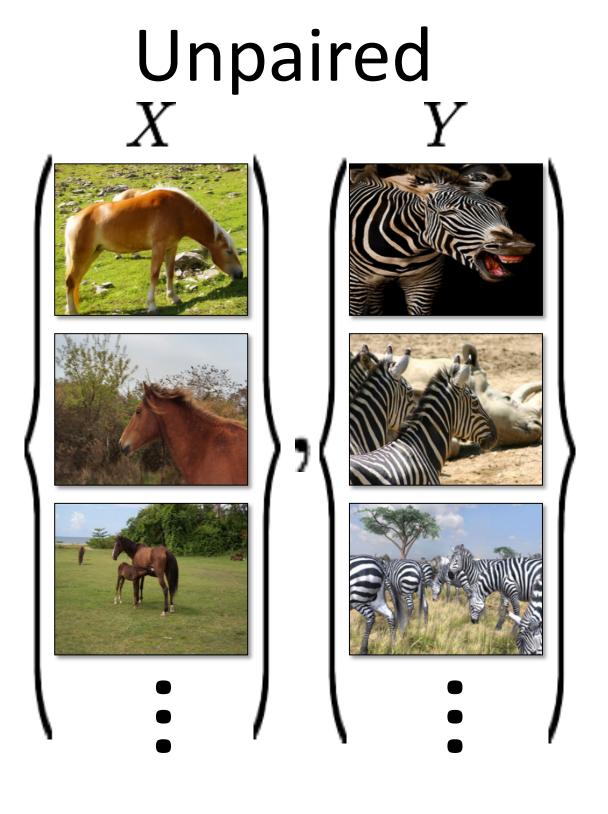


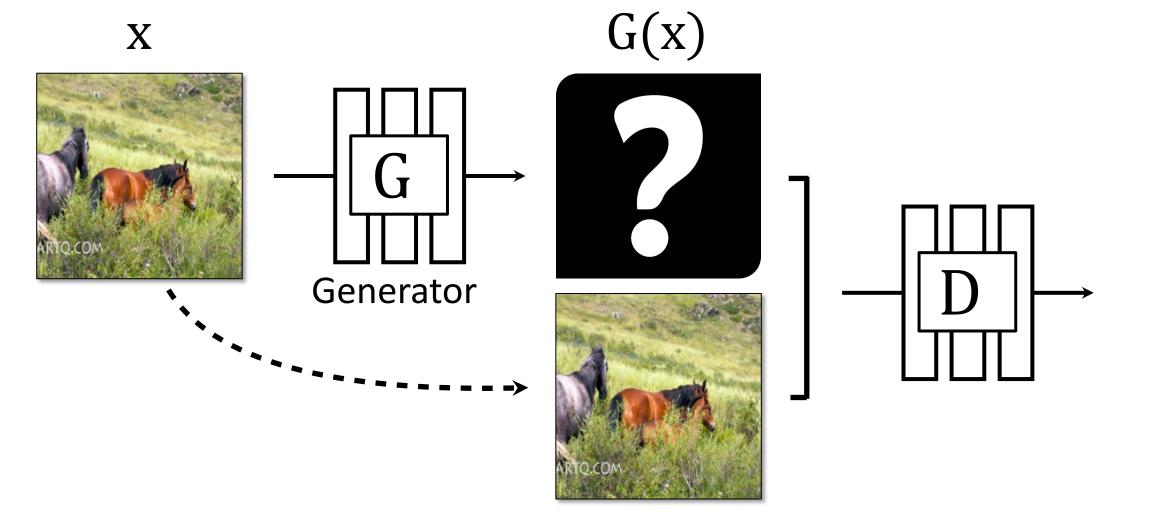
# Paired $x_i$

### Paired

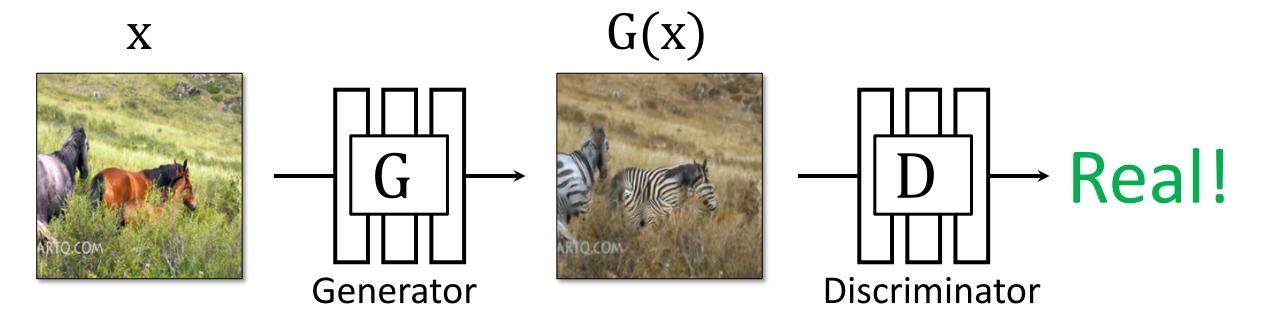


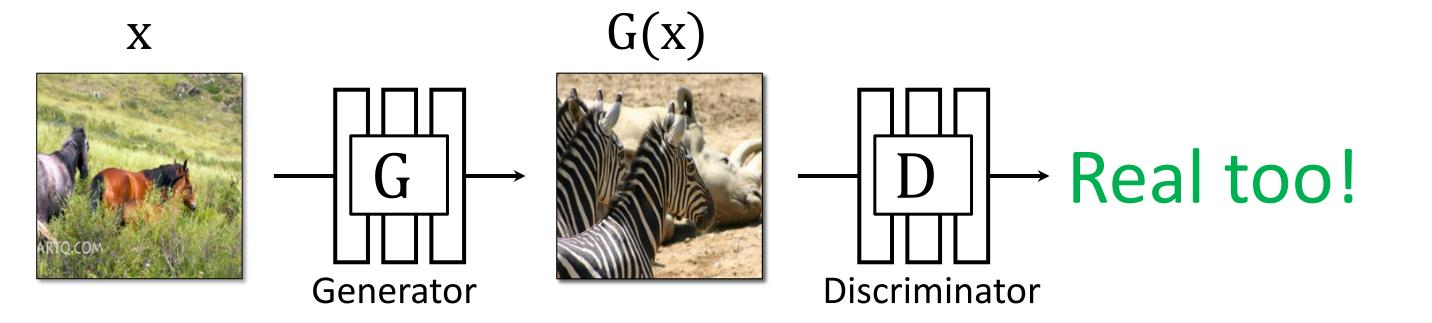
## Paired $x_i$ $y_i$



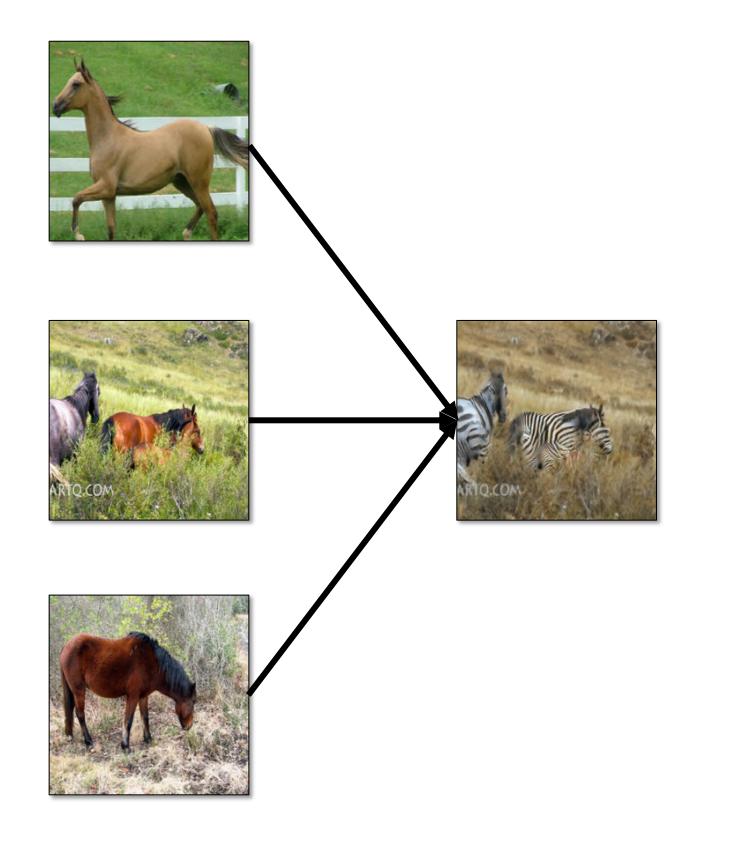


### No input-output pairs!



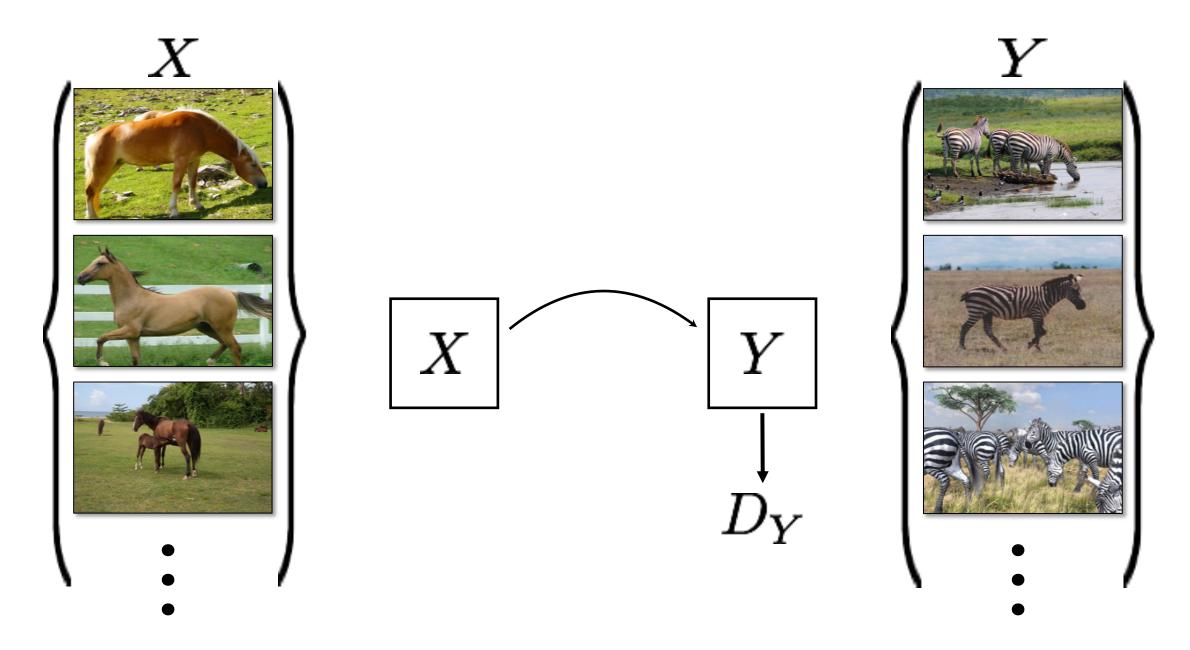


### GANs do **not** force output to correspond to input



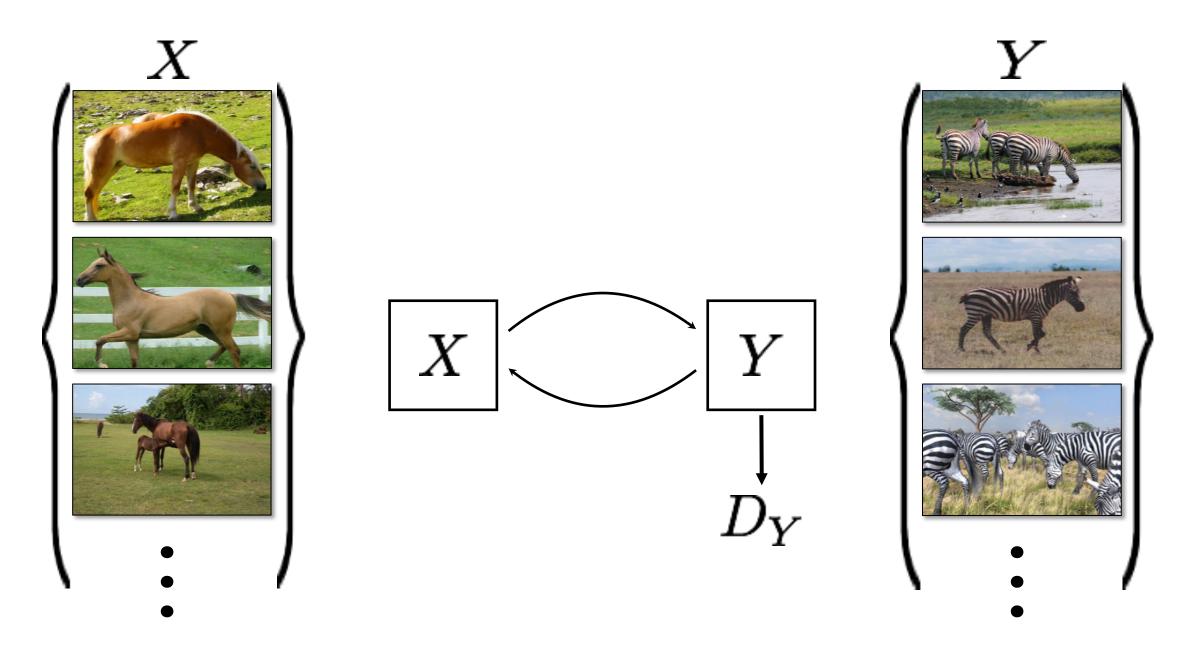
mode collapse!

### Cycle-Consistent Adversarial Networks



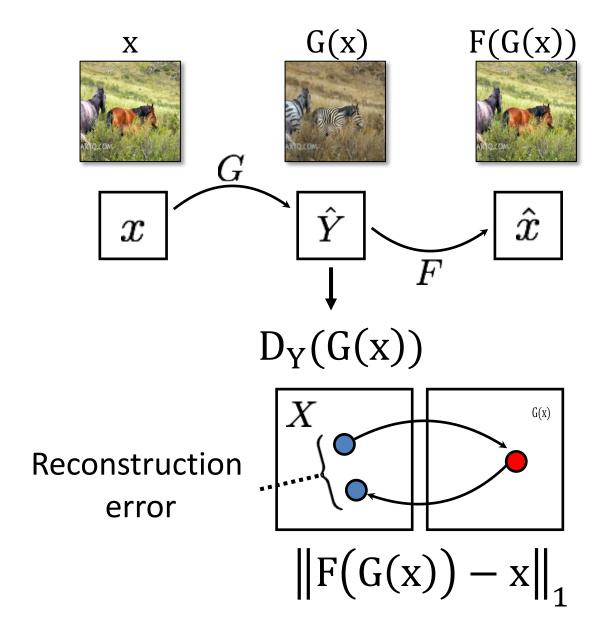
[Zhu\*, Park\*, Isola, and Efros, ICCV 2017]

### Cycle-Consistent Adversarial Networks



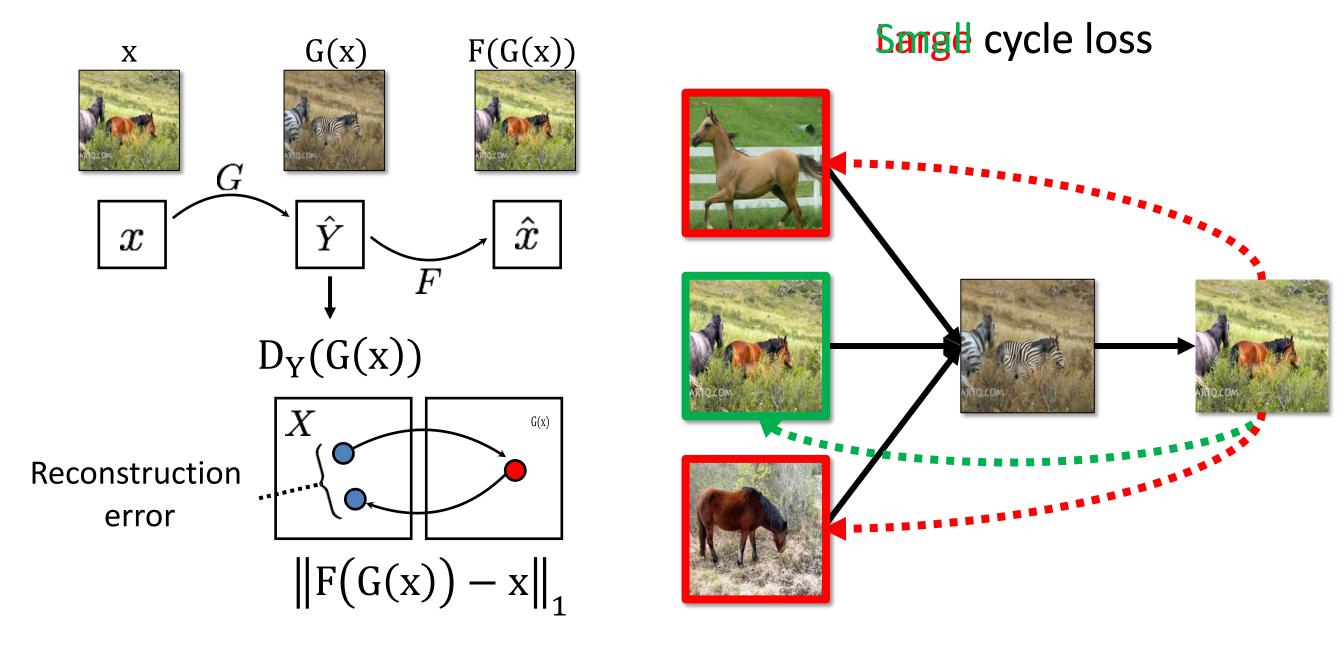
[Zhu\*, Park\*, Isola, and Efros, ICCV 2017]

### Cycle-Consistent Adversarial Networks



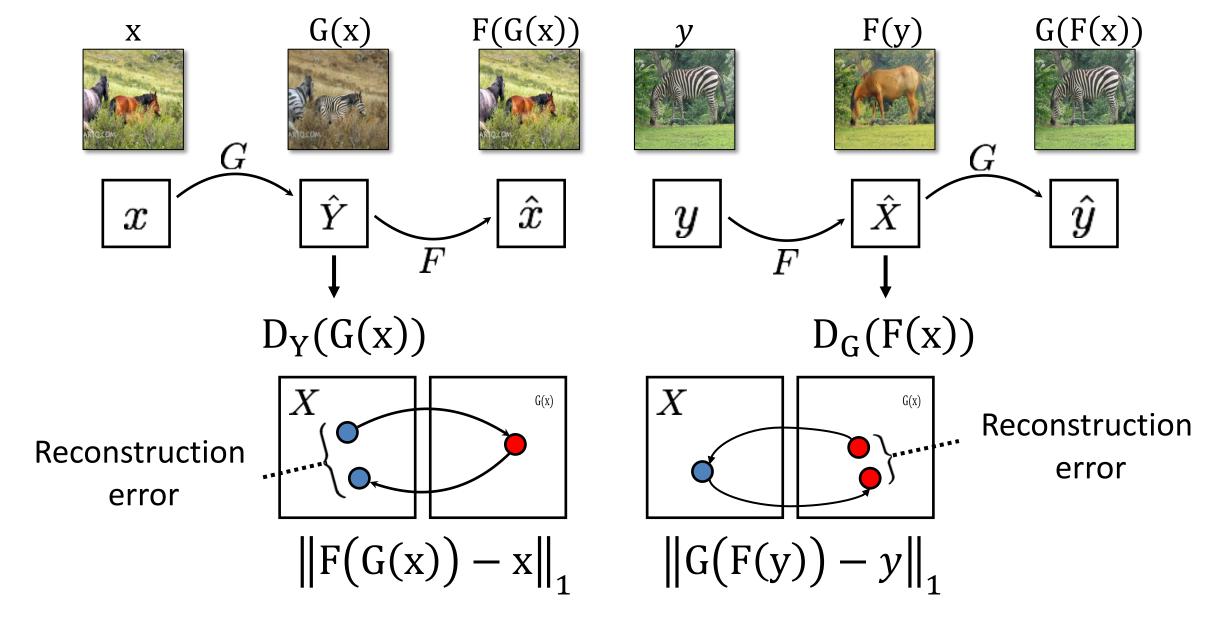
[Zhu\*, Park\*, Isola, and Efros, ICCV 2017]

### Cycle Consistency Loss



[Zhu\*, Park\*, Isola, and Efros, ICCV 2017]

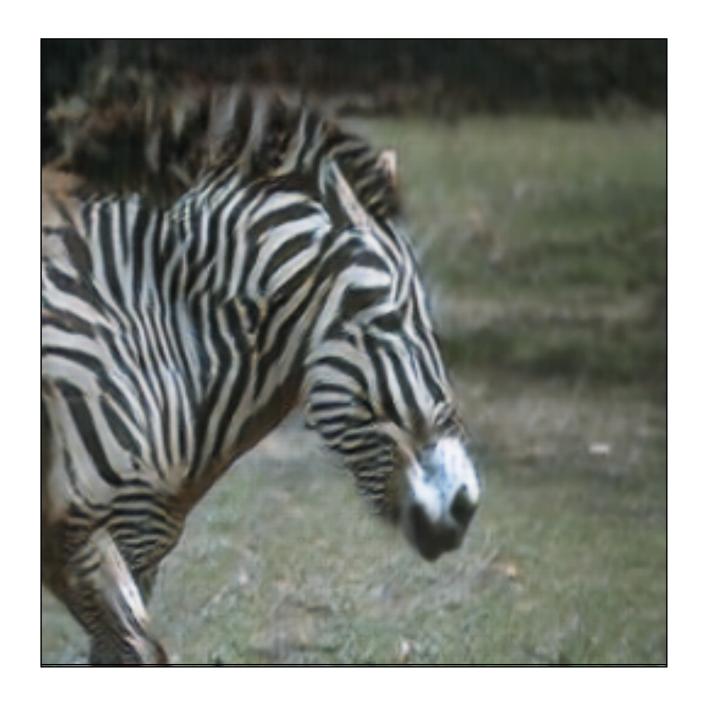
### Cycle Consistency Loss



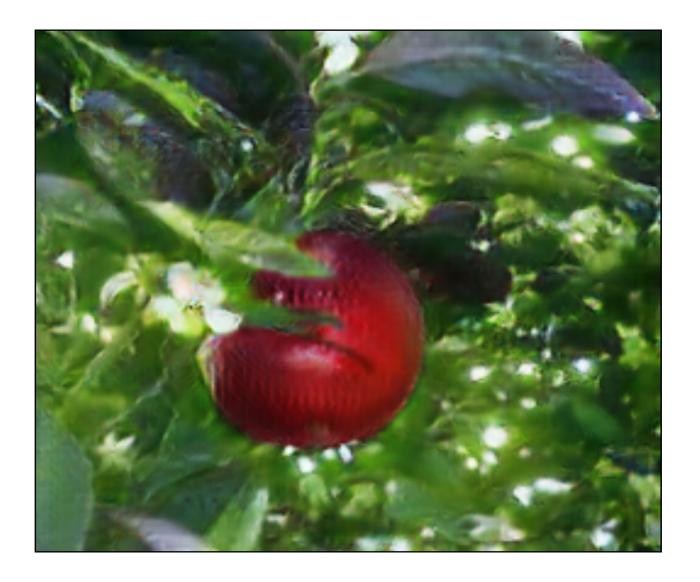
See similar formulations [Yi et al. 2017], [Kim et al. 2017] [Zhu\*, Park\*, Isola, and Efros, ICCV 2017]

### Results









### Collection Style Transfer



Photograph @ Alexei Efros



Monet



Cezanne



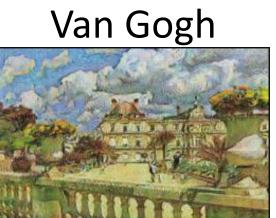
Van Gogh



Ukiyo-e

Input



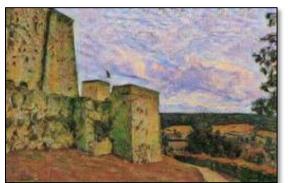








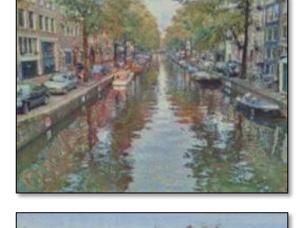












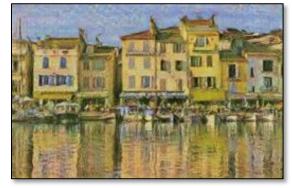


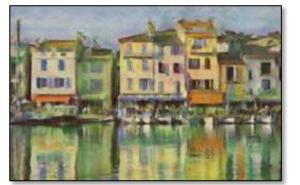














### Monet's paintings → photos



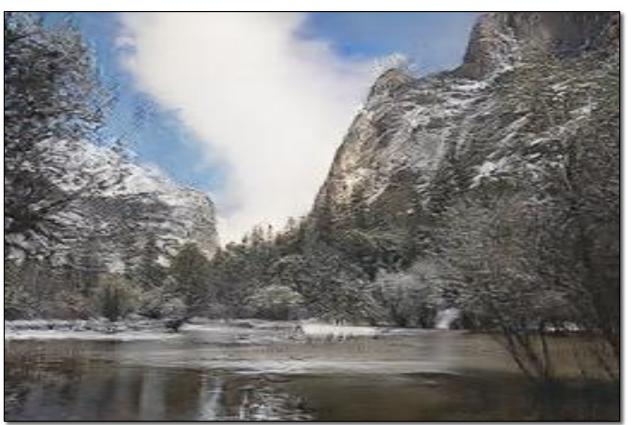


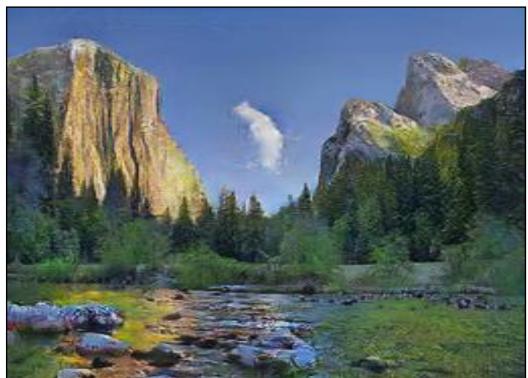
### Monet's paintings → photos













	$\mathbf{Map} \to \mathbf{Photo}$	$\textbf{Photo} \rightarrow \textbf{Map}$		
Loss	% Turkers labeled <i>real</i>	% Turkers labeled real		
CoGAN [30]	$0.6\%\pm0.5\%$	$0.9\% \pm 0.5\%$		
BiGAN/ALI [8, 6]	$2.1\% \pm 1.0\%$	$1.9\%\pm0.9\%$		
SimGAN [45]	$0.7\% \pm 0.5\%$	$2.6\% \pm 1.1\%$		
Feature loss + GAN	$1.2\% \pm 0.6\%$	$0.3\% \pm 0.2\%$		
CycleGAN (ours)	$\textbf{26.8\%} \pm \textbf{2.8\%}$	$\textbf{23.2\%}\pm\textbf{3.4\%}$		

AMT 'real vs fake' test on maps ↔ aerial

Loss	Per-pixel acc.	Per-class acc.	Class IOU
CoGAN [30]	0.40	0.10	0.06
BiGAN/ALI [8, 6]	0.19	0.06	0.02
SimGAN [45]	0.20	0.10	0.04
Feature loss + GAN	0.06	0.04	0.01
CycleGAN (ours)	0.52	0.17	0.11

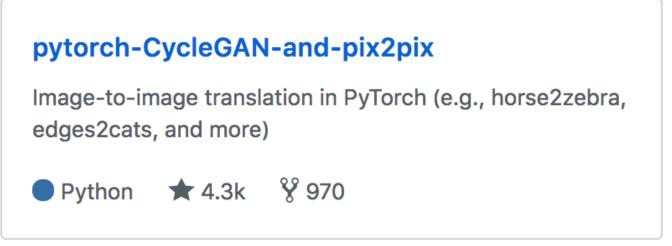
FCN scores on cityscapes labels→ photos

Loss	Per-pixel acc.	Per-class acc.	Class IOU
CoGAN [30]	0.45	0.11	0.08
BiGAN/ALI [8, 6]	0.41	0.13	0.07
SimGAN [45]	0.47	0.11	0.07
Feature loss + GAN	0.50	0.10	0.06
CycleGAN (ours)	0.58	0.22	0.16

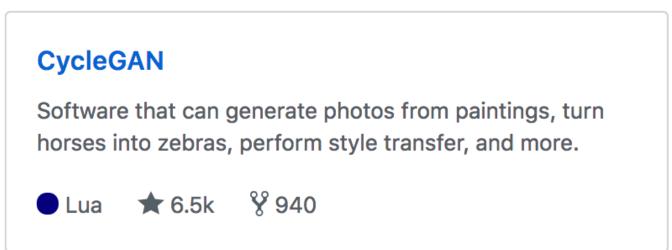
Classification performance of photo→labels

### CycleGAN implementations





### Torch



- 20+ implementations by researchers/developers:
- Tensorflow, Chainer, mxnet, Lasagne, Keras...

# Disentangling Content and Pose with an Adversarial loss

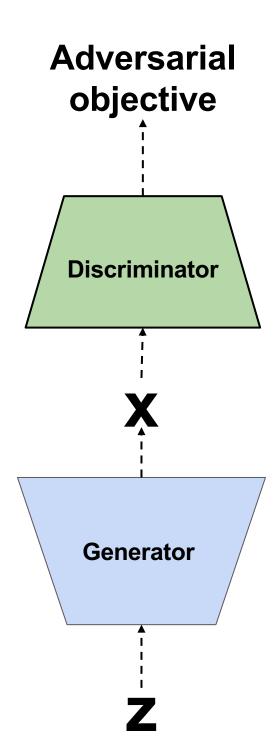
**Emily Denton** 

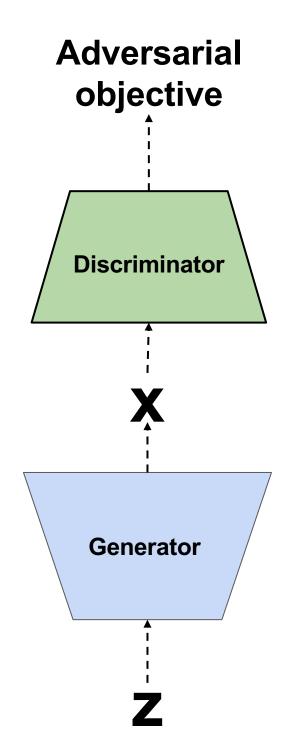
**CVPR GAN Tutorial** June 2018

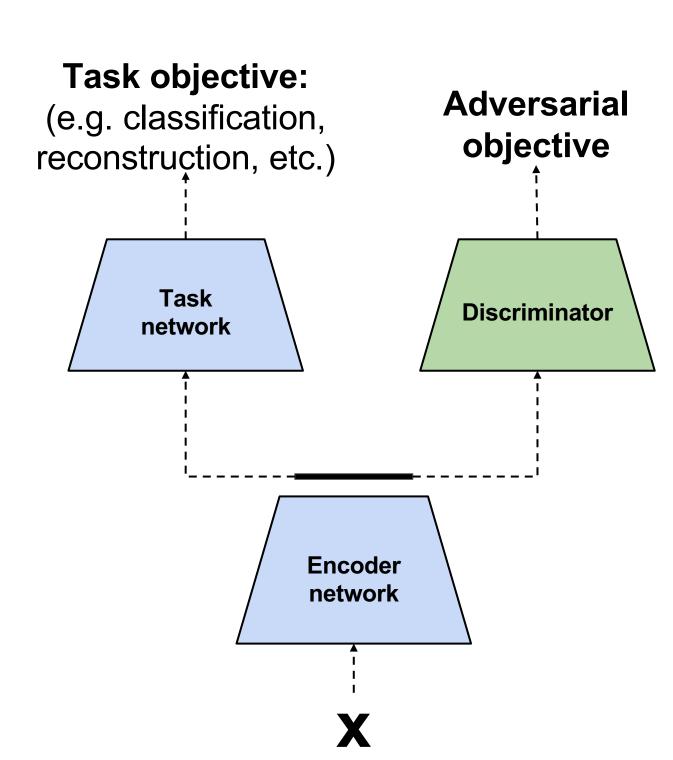




### **Generative adversarial network framework:**

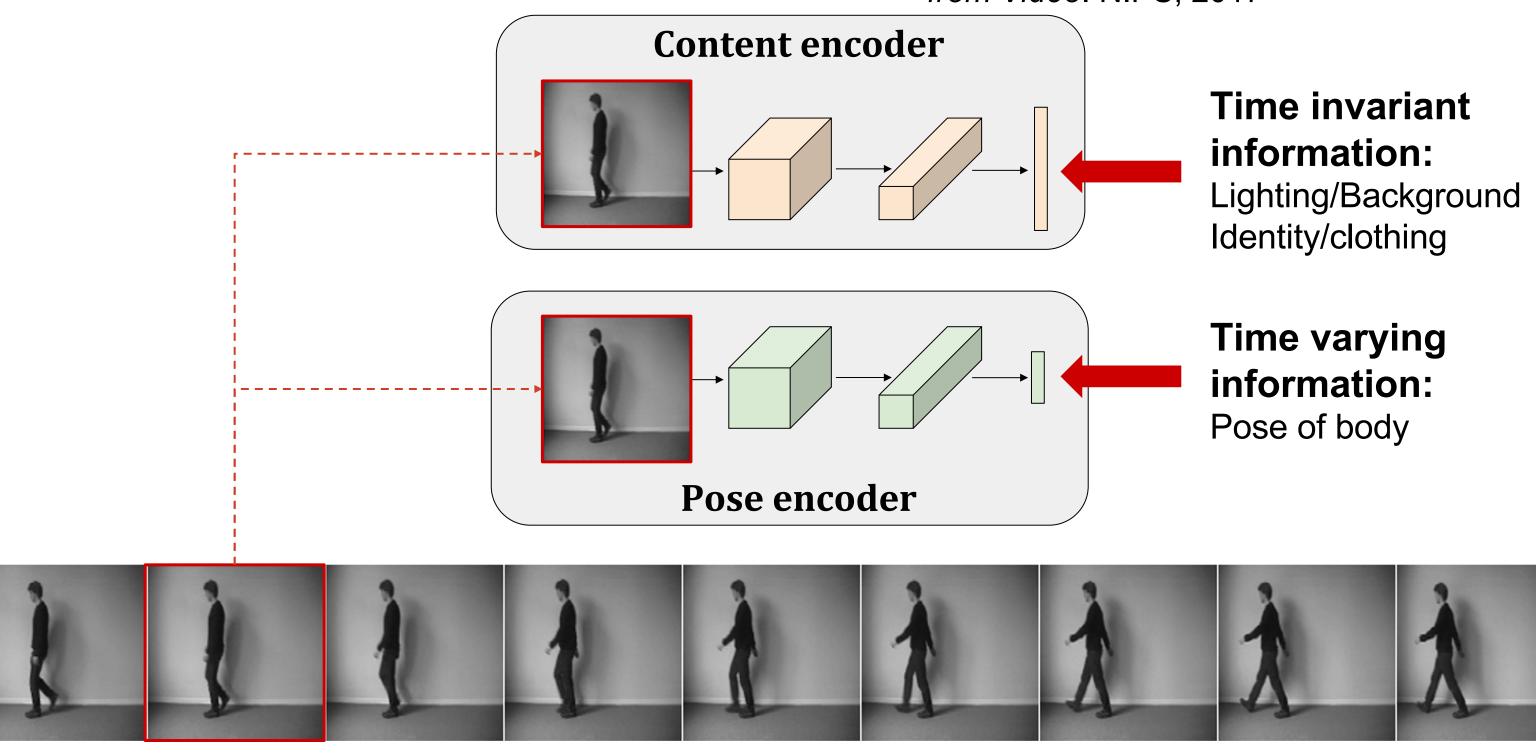


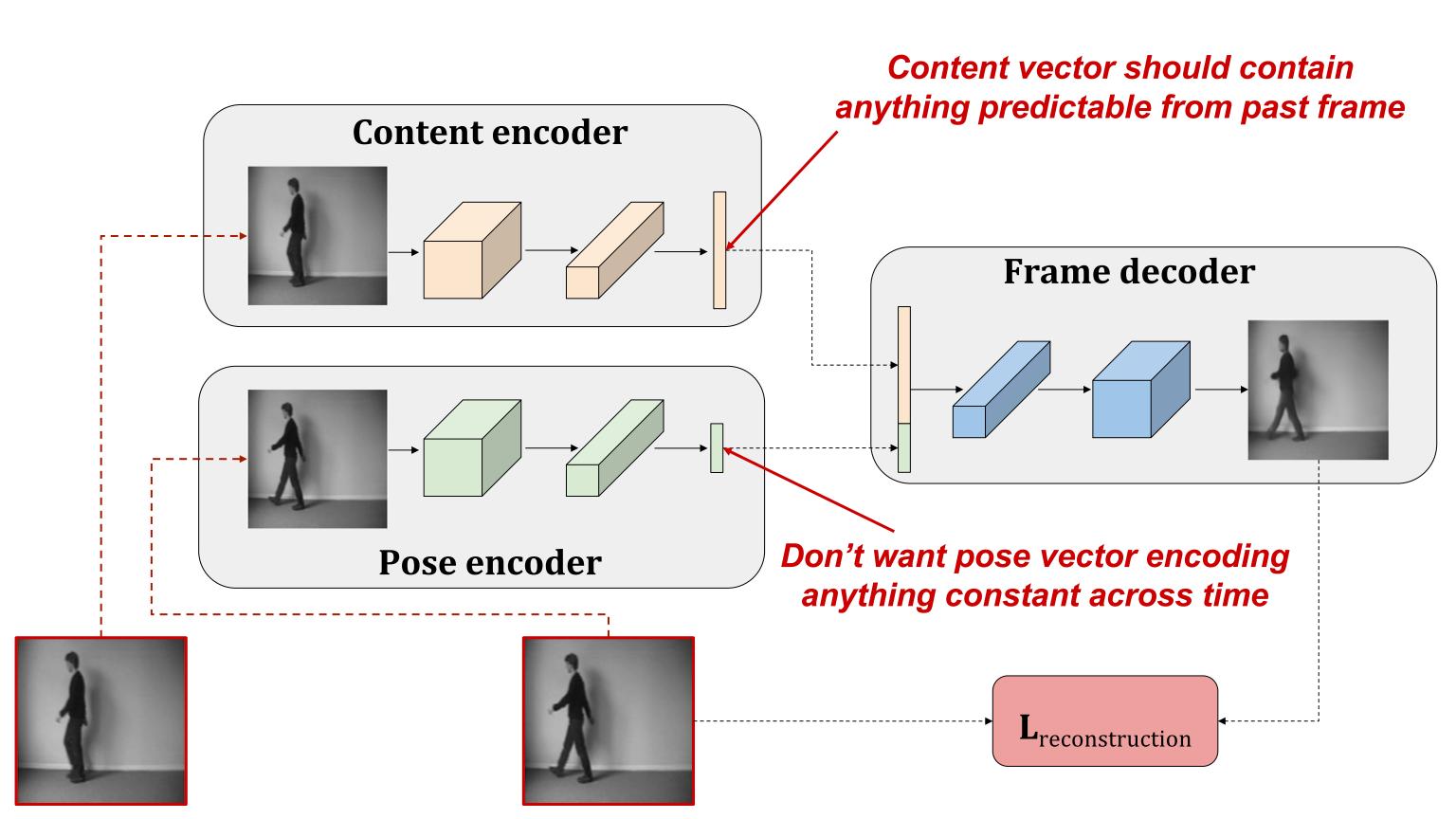


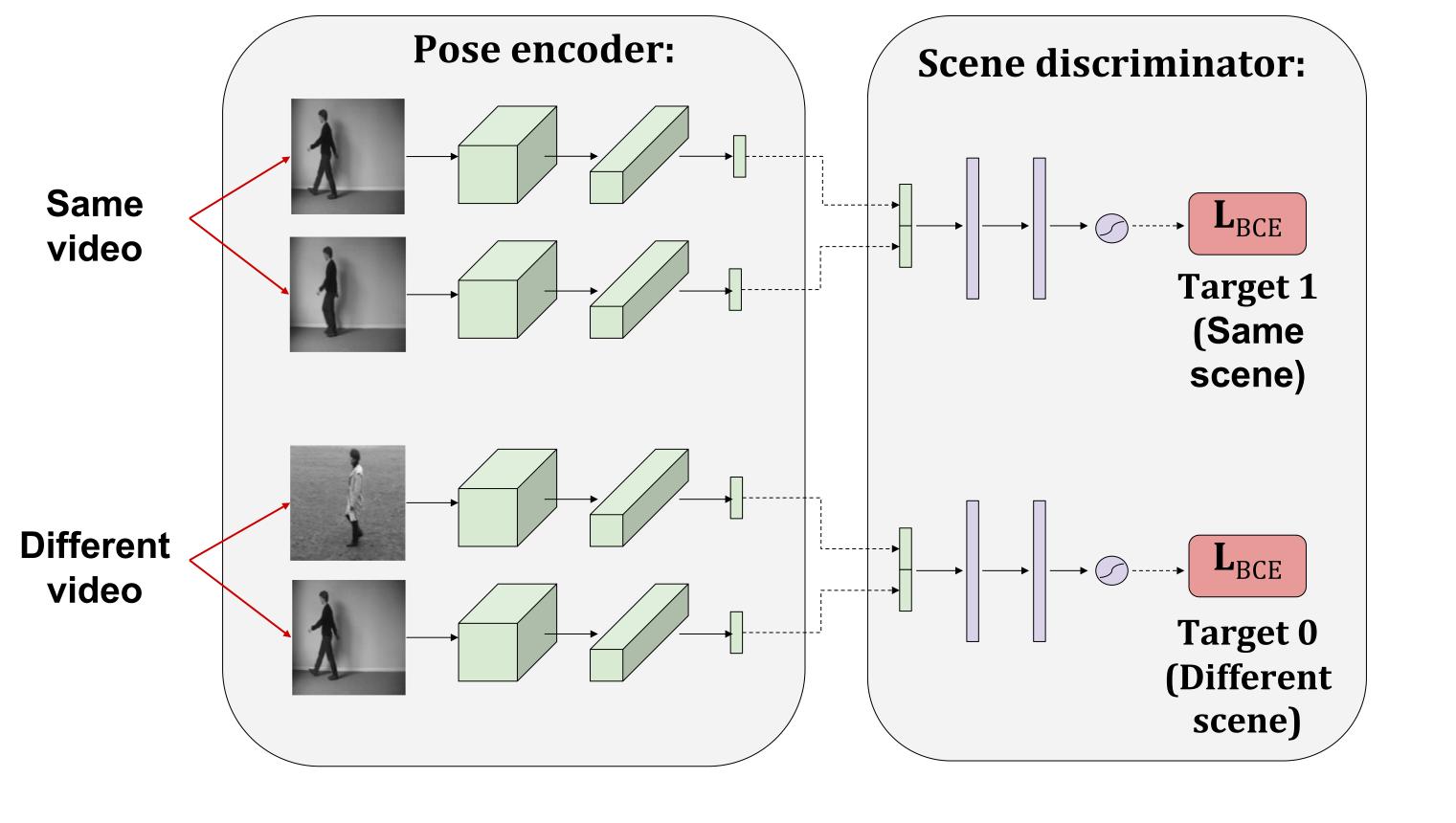


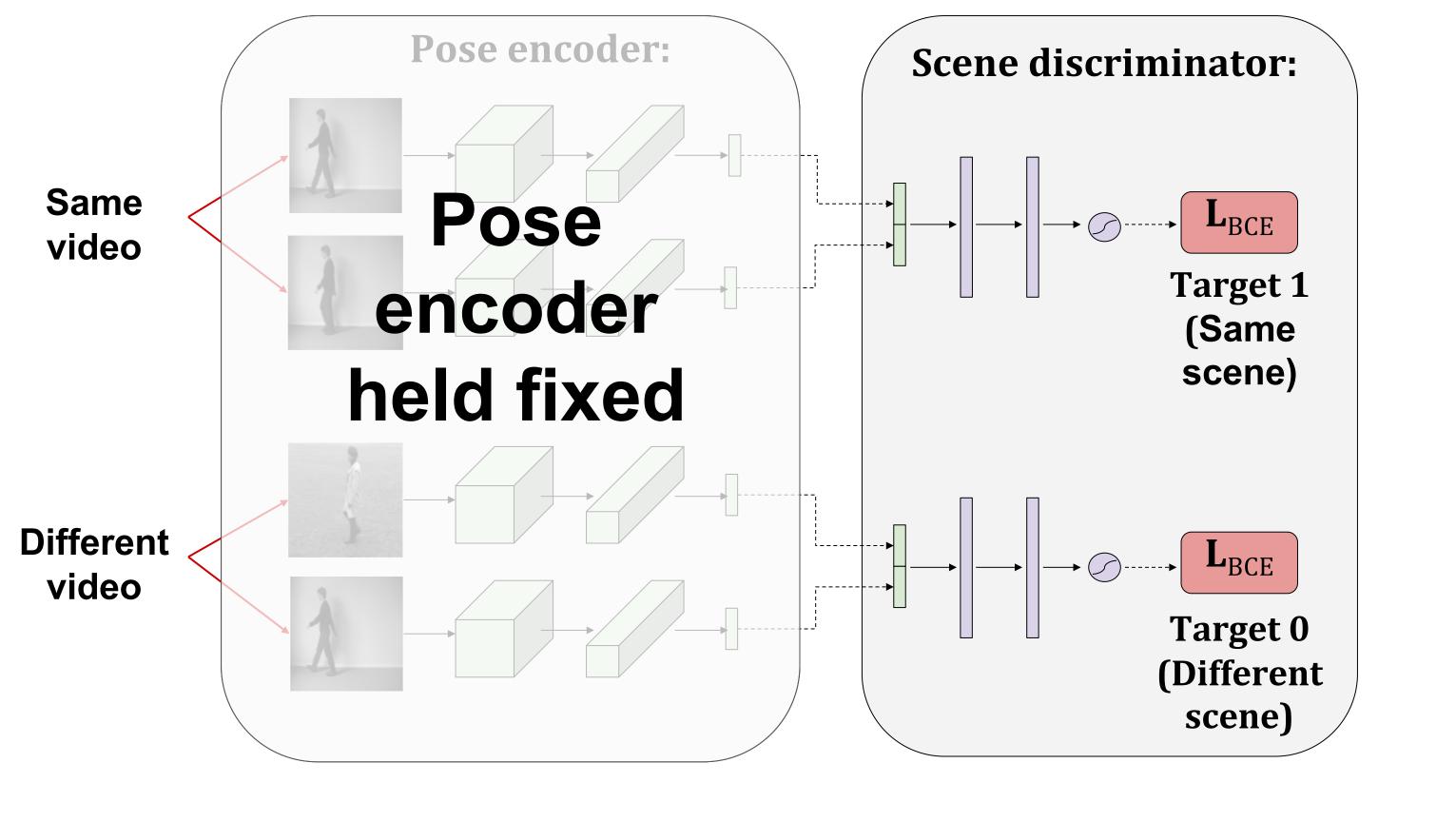
## DrNet: two seperate encoders Learning of Disentangled Representations

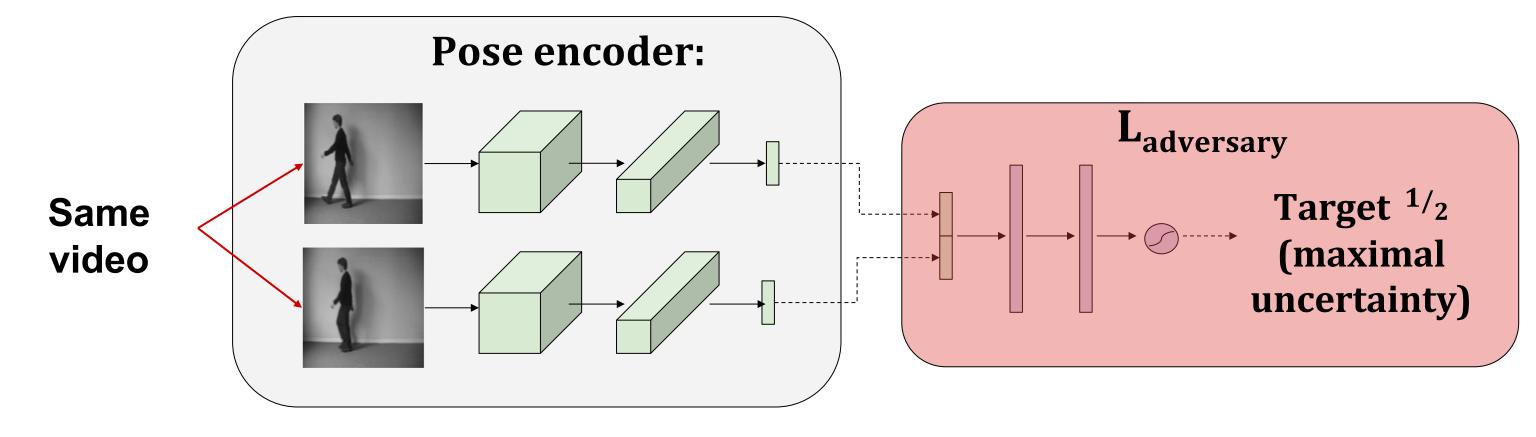
Denton and Birodkar. *Unsupervised* from Video. NIPS, 2017





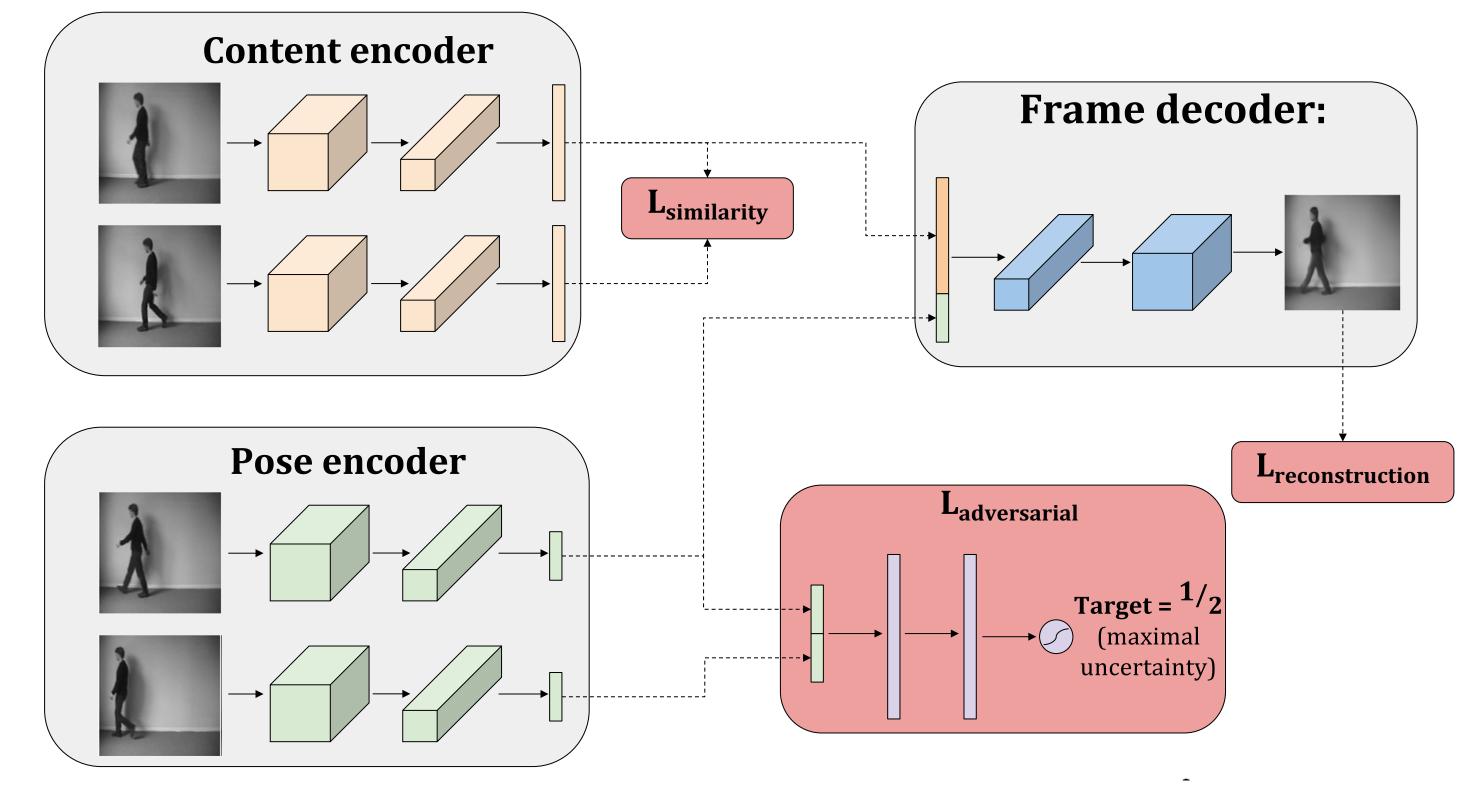






Train pose encoder to produce pose vectors that make the discriminator maximally uncertain about the content of the video

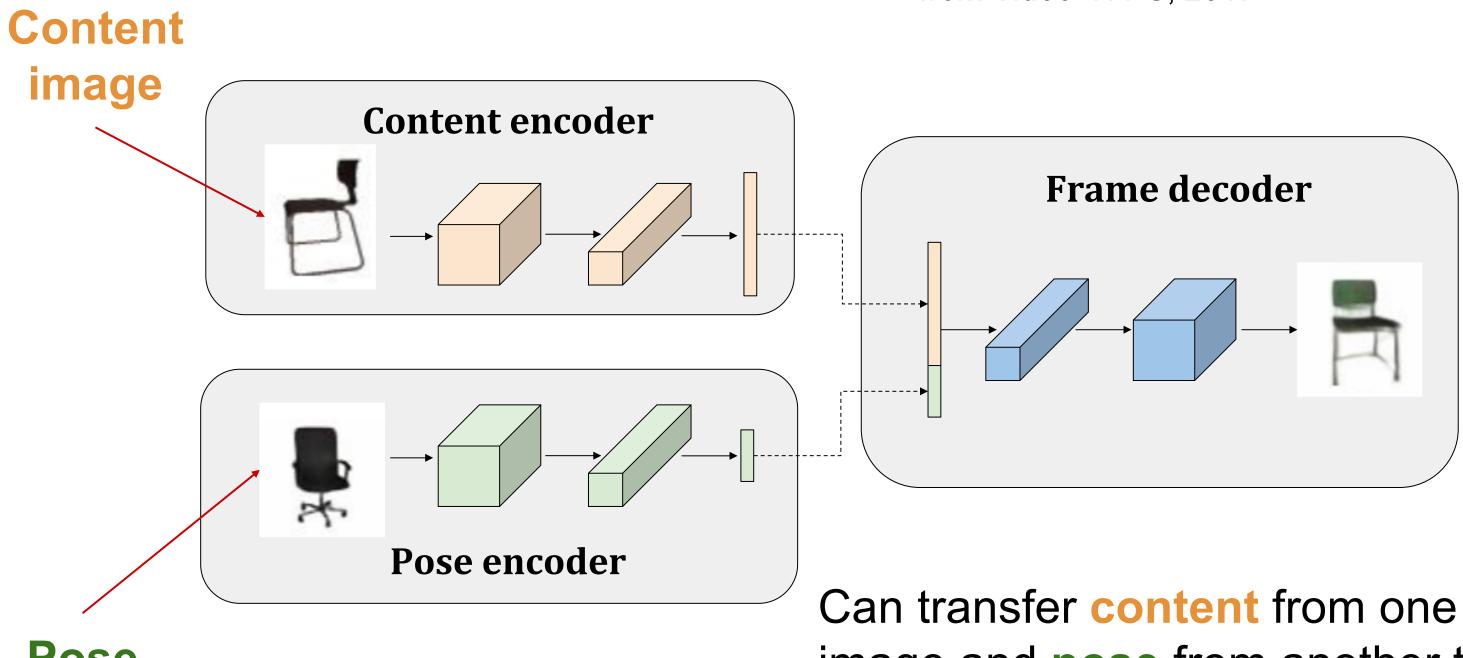
Scene discriminator held fixed, only used to compute gradients for pose encoder



 $\mathcal{L} = \mathcal{L}_{reconstruction}(E_c, E_p, D) + \alpha \mathcal{L}_{similarity}(E_c) + \beta \underline{(\mathcal{L}_{adversarial}(E_p) + \mathcal{L}_{adversarial}(C))}$ 

## Image synthesis by analogy

Denton and Birodkar. *Unsupervised Learning of Disentangled Representations from Video*. NIPS, 2017



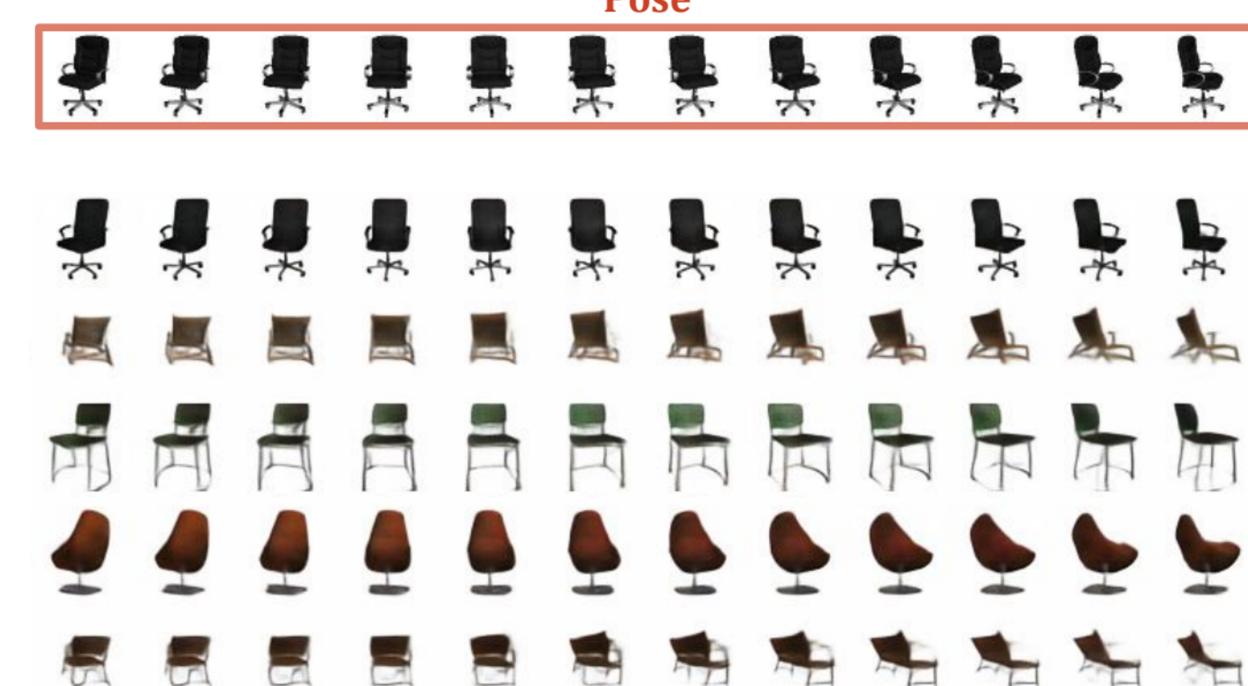
Pose image

Can transfer content from one image and pose from another to synthesize a new image

## Image synthesis by analogy

Denton and Birodkar. *Unsupervised Learning of Disentangled Representations from Video*. NIPS, 2017

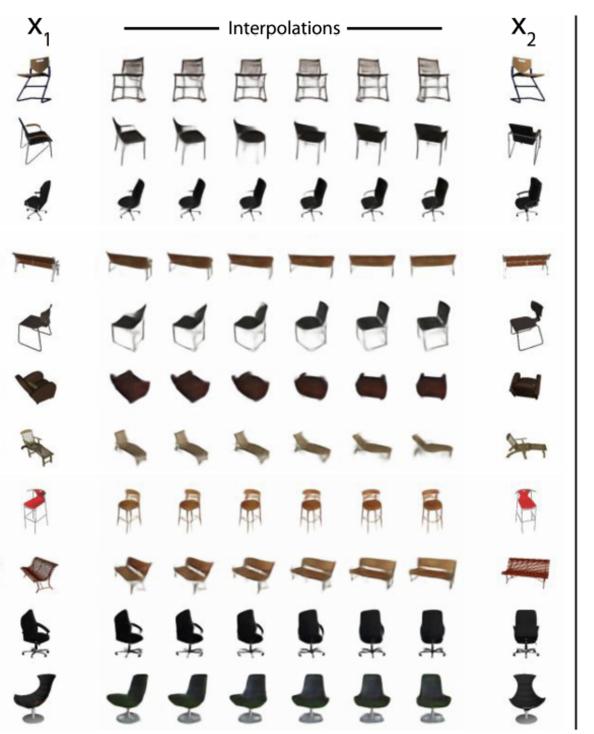
### **Pose**

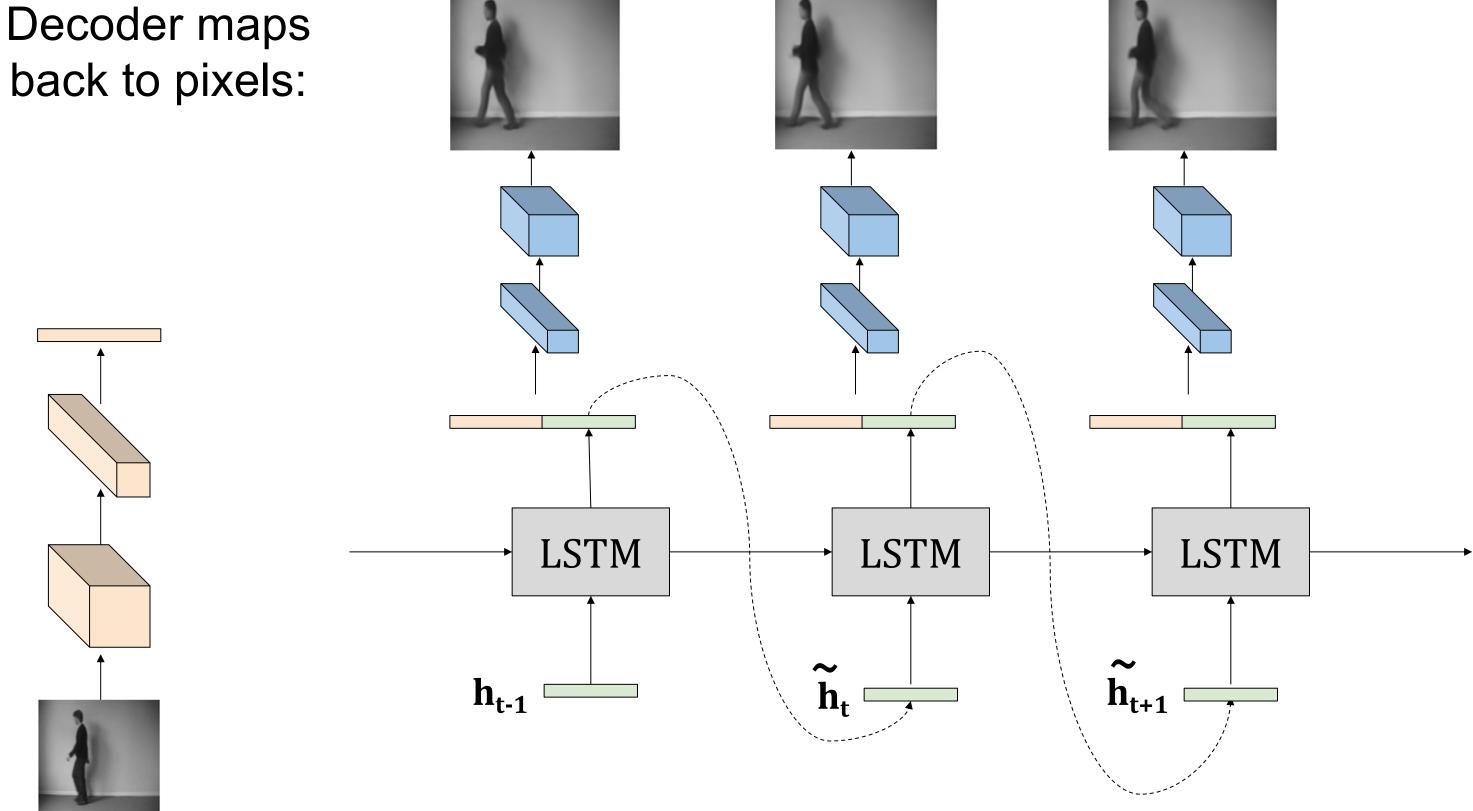


Content

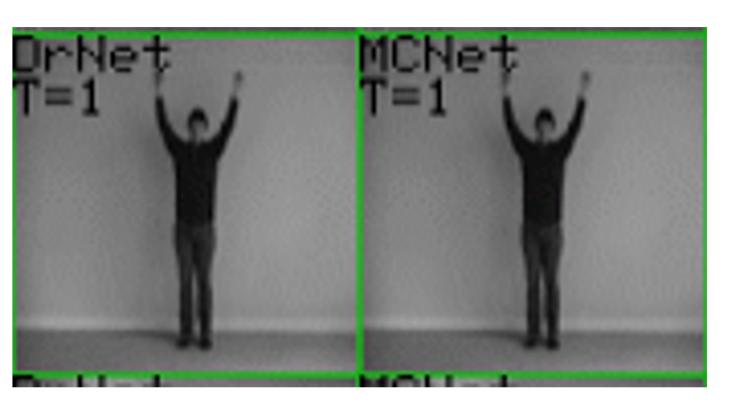
## Interpolation in pose space

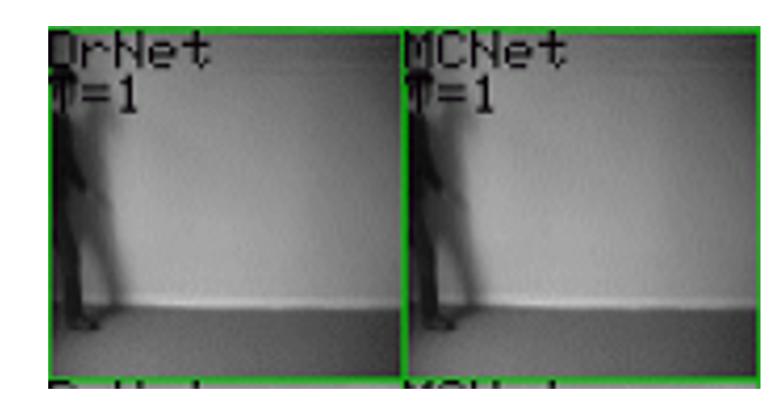
Denton and Birodkar. *Unsupervised Learning of Disentangled Representations from Video*. NIPS, 2017





## KTH long term video generation



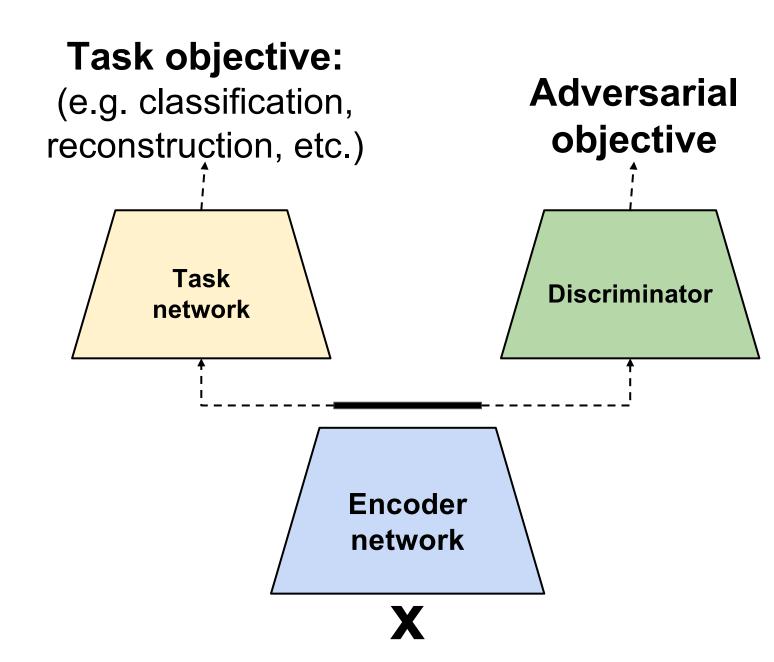


Denton and Birodkar. *Unsupervised Learning of Disentangled Representations from Video*. NIPS, 2017

## Part I: Disentangling content and pose with an adversarial loss

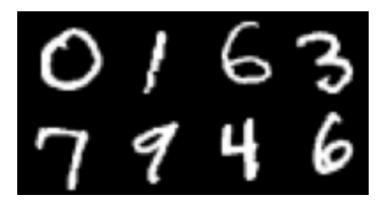
Denton and Birodkar. *Unsupervised Learning of Disentangled Representations from Video*. NIPS, 2017

Part II: Survey of adversarial losses in feature space



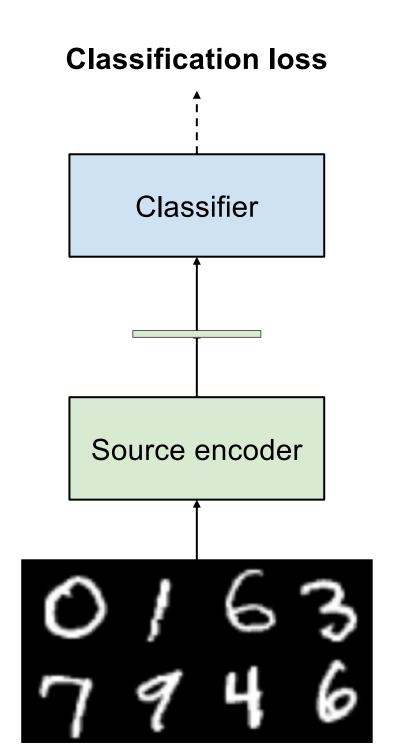
Labelled examples from **source domain**, few or no labels from **target domain** 

**Source domain** 



**Target domain** 

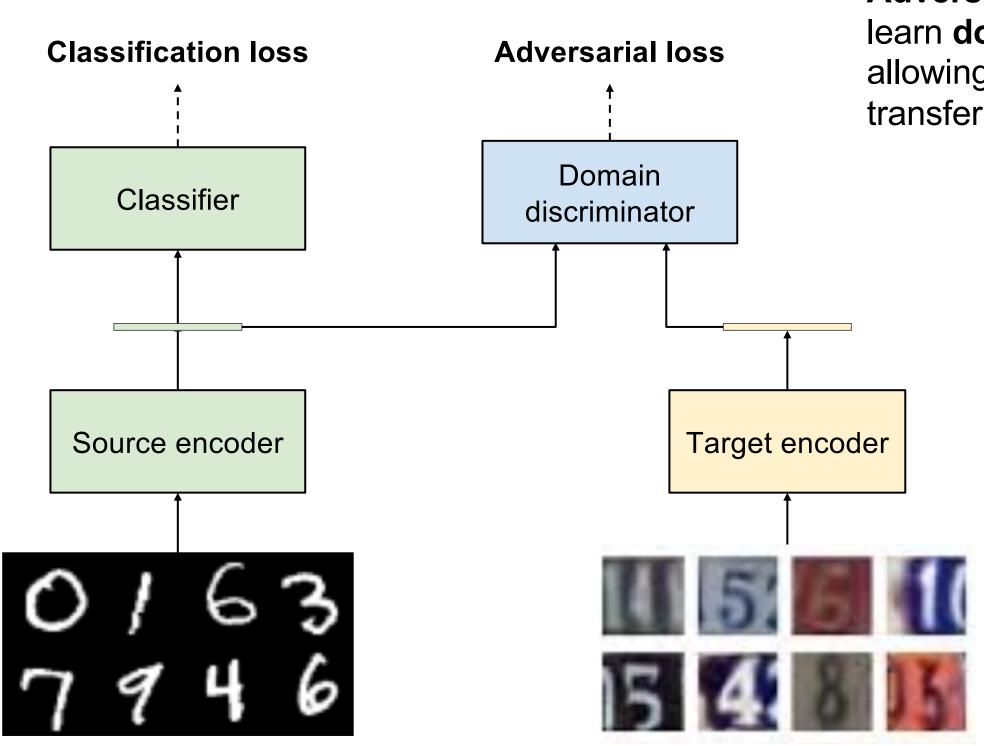




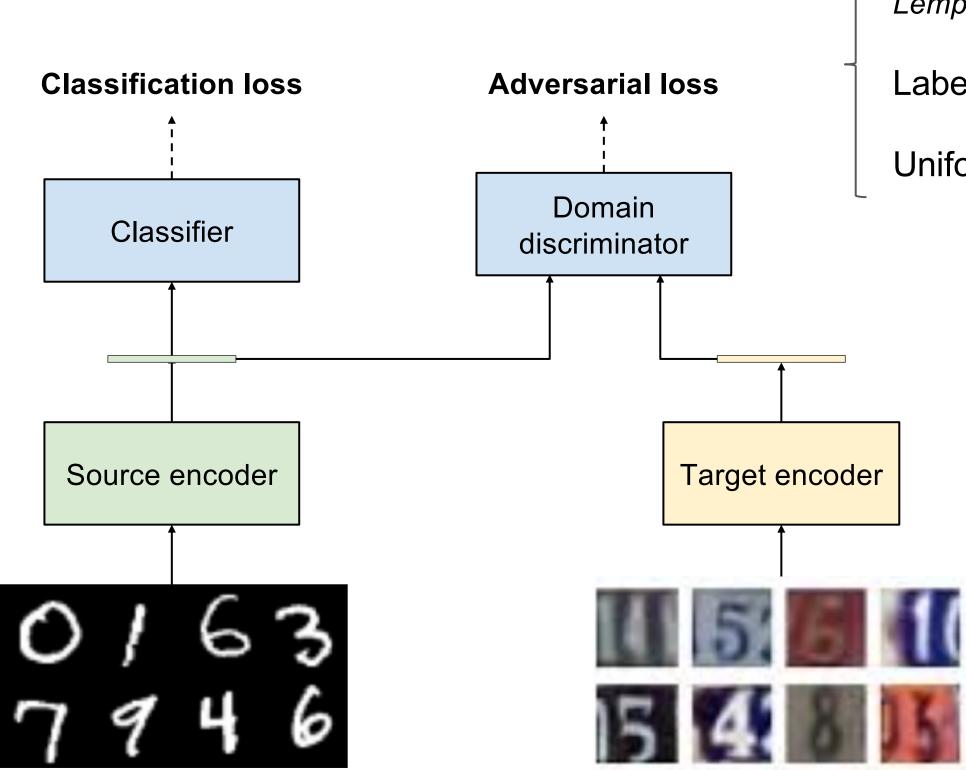
Labelled examples from **source domain**, few or no labels from **target domain** 

**Target domain** 





Adversarial loss can be used to learn domain invariant features, allowing source classifier to transfer to target domain



Gradient reversal [Ganin and Lempitsky, 2015]

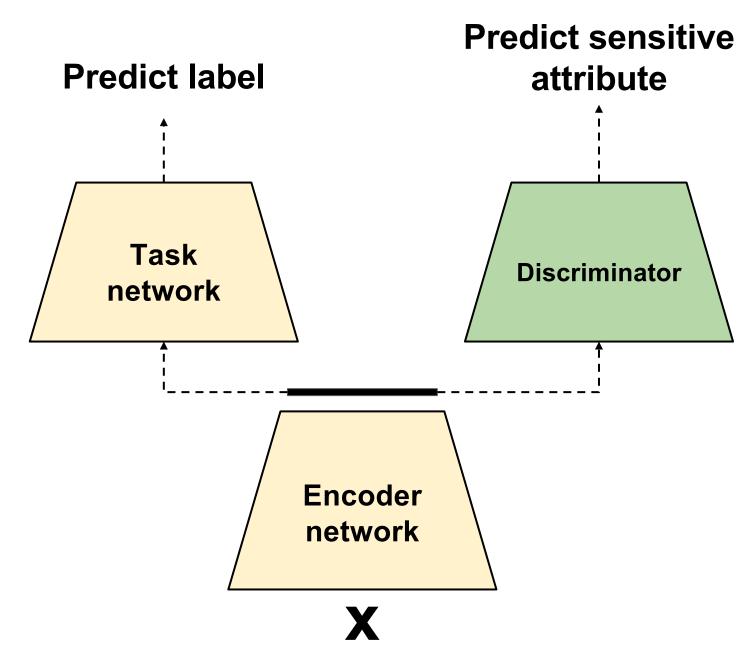
Label flip [Tzeng et al. 2017]

Uniform target [Tzeng et al. 2015]

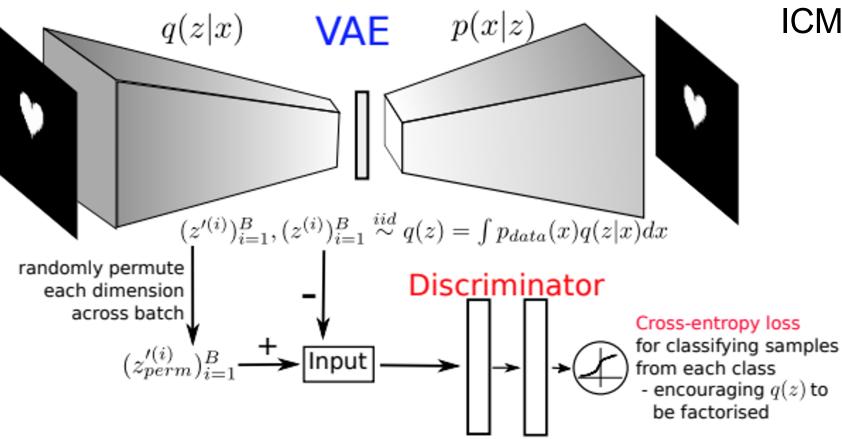
## Learning fair representations

- Closely related to problem of domain adaptation
  - source/transfer domain vs. demographic groups

- Different formulations of adversarial objectives achieve different notions of fairness
  - Edwards & Storkey, 2016
  - Beutel et al. 2017
  - Zhang et al. 2018
  - Madras et al. 2018



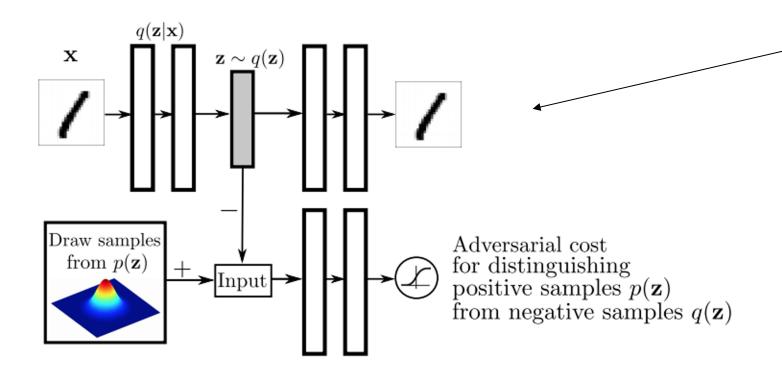
## Independent components



Kim and Mnih. Disentangling by Factorising. ICML, 2018

- Discriminate marginal distribution vs. product of marginals:  $q(z_1, ..., z_n)$  vs.  $\prod q(z_i)$
- Earlier work on discrete code setting by Schmidhuber (1992)

## Prior distributions of generative models



### **Adversarial autoencoders:**

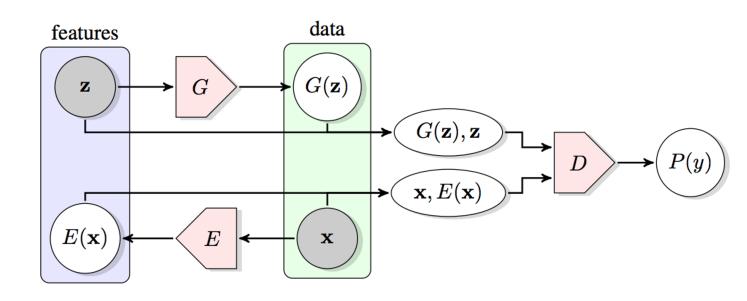
Match aggregate approx posterior q(z) [Makhzani et al. 2016]

### Adversarial variational bayes:

Match approx posterior q(z|x) [Mescheder et al. 2017]

### **Adversarial feature learning:**

GAN loss in image space and latent space [Dumoulin et al. 2017; Donahue et al. 2017]



#### References

Beutel et al. Data decisions and theoretical implications when adversarially learning fair representations. arXiv:1707.00075, 2017.

Denton and Birodkar. Unsupervised Learning of Disentangled Representations from Video. NIPS, 2017.

Donahue et al. Adversarial Feature Learning. ICLR, 2017.

Dumoulin et al. Adversarially Learned Inference. ICLR, 2017

Edwards & Storkey. Censoring Representations with an Adversary. ICLR, 2016.

Ganin and Lempitsky. Unsupervised domain adaptation by backpropagation. ICML, 2015.

Kim and Mnih. Disentangling by Factorising. ICML, 2018.

Madras et al. Learning Adversarially Fair and Transferable Representations. ICML, 2018.

Makhzani et al. Adversarial Autoencoders. ICLR Workshop, 2016.

Mescheder et al. Adversarial Variational Bayes: Unifying Variational Autoencoders and Generative Adversarial Networks. ICML, 2017.

Schmidhuber. Learning factorial codes by predictability minimization. Neural Computation, 1992.

Tzeng et al. Simultaneous deep transfer across domains and tasks. ICCV, 2015.

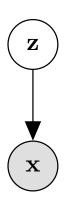
Tzeng et al. Adversarial discriminative domain adaptation. CVPR, 2017.

Villegas, et al. Decomposing motion and content for natural video sequence prediction. In ICLR, 2017.

Zhang et al. Mitigating Unwanted Biases with Adversarial Learning. AIES, 2018.

More detailed Varational Auto-encoder derivation

## Directed graphical models



• We assume data is generated by:

$$z \sim p(z)$$
  $x \sim p(x|z)$ 

- z is latent/hidden x is observed (image)
- Use  $\theta$  to denote parameters of the generative model

### Parameter estimation

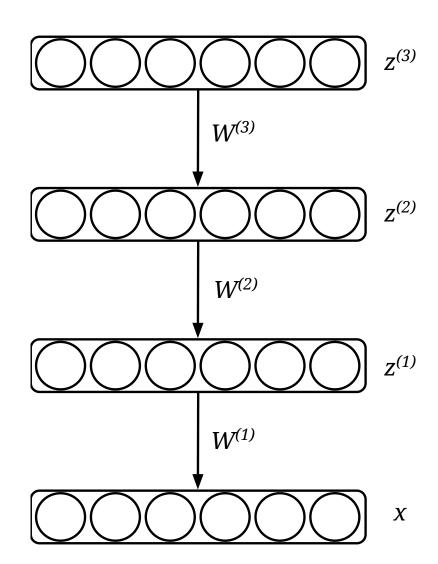
• Given dataset  $\{x_1, ..., x_n\}$ , maximize likelihood of data under model:

$$\max_{\theta} \sum_{i=1}^{n} \log p(x_i; \theta) = \max_{\theta} \sum_{i=1}^{n} \sum_{z} \log p(x_i, z; \theta)$$

- This quantity often intractable, difficult to optimize directly
- Can be optimized with iterative Expectation Maximization (EM) algorithm
  - Fix parameters and compute log likelihood wrt  $p(z|x;\theta^t)$
  - Fix z find parameters  $\theta^{(t+1)}$  to maximize log likelihood

### Parameter estimation

- Standard EM requires access to posterior p(z|x)
- For the deep neural net models we care about this is infeasible
- Solution: introduce variational approximation  $q(z; \phi)$  to p(z|x)
- Will give bound on log likelihood



### Bounding the marginal likelihood

Recall Jenson's inequality: When f is concave,  $f(\mathbb{E}[x]) \geq \mathbb{E}[f(x)]$ 

$$\begin{split} \log p(x) &= \log \int_z p(x,z) \\ &= \log \int_z q(z) \frac{p(x,z)}{q(z)} \\ &\geq \int_z q(z) \log \frac{p(x,z)}{q(z)} = L(x;\theta,\phi) \quad \text{(by Jensons inequality)} \\ &= \int_z q(z) \log p(x,z) - \int_z q(z) \log q(z) \\ &= \underbrace{\mathbb{E}_{q(z)}[\log p(x,z)]}_{\text{Expectation of joint distribution}} + \underbrace{\text{H}(q(z))}_{\text{Entropy}} \end{split}$$

Bound is tight when variational approximation matches true posterior:

$$\log p(x) - L(x; \theta, \phi) = \log p(x) - \int_{z} q(z) \log \frac{p(x, z)}{q(z)}$$
Evidence Lower
BOund (ELBO) = 
$$\int_{z} q(z) \log p(x) - \int_{z} q(z) \log \frac{p(x, z)}{q(z)}$$

$$= \int_{z} q(z) \log \frac{q(z)p(x)}{p(x, z)}$$

$$= \int_{z} q(z) \log \frac{q(z)}{p(z|x)}$$

$$= D_{KL}(q(z; \phi) || p(z|x))$$

## Learning directed graphical models

• Maximize bound on likelihood of data:

$$\max_{\theta} \sum_{i=1}^{N} \log p(x_i; \theta) \ge \max_{\theta, \phi_1, \dots, \phi_N} \sum_{i=1}^{N} L(x_i; \theta, \phi_i)$$

- Historically, used different  $\phi_i$  for every data point
  - But we'll move away from this soon..
- Can still use EM style algorithm to iteratively optimize
- For more info see Blei et al. (2003)

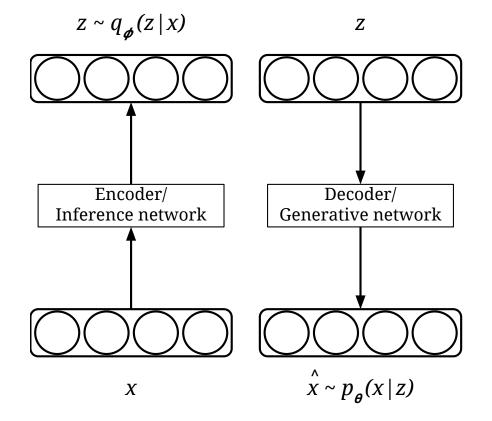
## New method of learning: approximate inference model

- Instead of having different variational parameters for each data point, fit a conditional parametric function
- The output of this function will be the parameters of the variational distribution q(z|x)
- Instead of q(z) we have  $q_{\phi}(z|x)$ Evidence Lower BOund (ELBO)
- ELBO becomes:

$$L(x; \theta, \phi) = \underbrace{\mathbb{E}_{q_{\phi}(z|x)}[\log p_{\theta}(x, z)]}_{\text{Expectation of joint distribution}} + \underbrace{H(q_{\phi}(z|x))}_{\text{Entropy}}$$

### Variational autoencoder

- Encoder network maps from image space to latent space
  - Outputs parameters of  $q_{\phi}(z|x)$
- Decoder maps from latent space back into image space
  - Outputs parameters of  $p_{\theta}(x|z)$



Kingma & Welling (2013)]

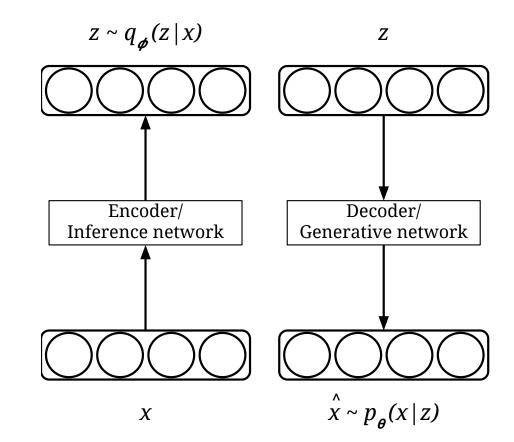
## Example

• Encoder network outputs mean and variance of Normal distribution

• 
$$q_{\phi}(z|x) = \mathcal{N}(\mu_{\phi}(x), \sigma_{\phi}(x))$$

• Decoder network outputs mean (and optionally variance) of Normal distribution

• 
$$p_{\theta}(x|z) = \mathcal{N}(\mu_{\theta}(z), \mathbf{I})$$



Kingma & Welling (2013)]



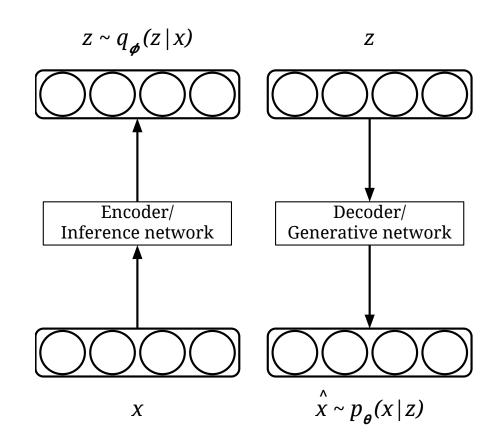
### Variational autoencoder

• Rearranging the ELBO:

$$\begin{split} L(x;\theta,\phi) &= \int_z q(z|x) \log \frac{p(x,z)}{q(z|x)} \\ &= \int_z q(z|x) \log \frac{p(x|z)p(z)}{q(z|x)} \\ &= \int_z q(z|x) \log p(x|z) + \int_z q(z|x) \log \frac{p(z)}{q(z|x)} \\ &= \mathbb{E}_{q(z|x)} \log p(x|z) - \mathbb{E}_{q(z|x)} \log \frac{q(z|x)}{p(z)} \\ &= \underbrace{\mathbb{E}_{q(z|x)} \log p(x|z)}_{\text{Reconstruction term}} - \underbrace{D_{KL}(q(z|x)||p(z))}_{\text{Prior term}} \end{split}$$

### Variational autoencoder

- Inference network outputs parameters of  $q_{\phi}(z|x)$
- Generative network outputs parameters of  $p_{\theta}(x|z)$
- Optimize  $\theta$  and  $\phi$  jointly by maximizing ELBO:



$$L(x; \theta, \phi) = \underbrace{\mathbb{E}_{q(z|x)} \log p(x|z)}_{\text{Reconstruction term}} - \underbrace{D_{KL}(q(z|x)||p(z))}_{\text{Prior term}}$$

## Stochastic gradient variation bayes (SGVB) estimator

• Reparameterization trick : re-parameterize  $z \sim q_{\phi}(z|x)$  as

$$z = g_{\phi}(x, \epsilon)$$
 with  $\epsilon \sim p(\epsilon)$ 

• For example, with a Gaussian can write  $z \sim \mathcal{N}(\mu, \sigma^2)$  as

$$z = \mu + \epsilon \sigma^2 \text{ with } \epsilon \sim \mathcal{N}(0, 1)$$

Kingma & Welling (2013); Rezende et al. (2014)]

## Stochastic gradient variation bayes (SGVB) estimator

$$L(x; \theta, \phi) = \underbrace{\mathbb{E}_{q(z|x)} \log p(x|z)}_{\text{Reconstruction term}} - \underbrace{D_{KL}(q(z|x)||p(z))}_{\text{Prior term}}$$

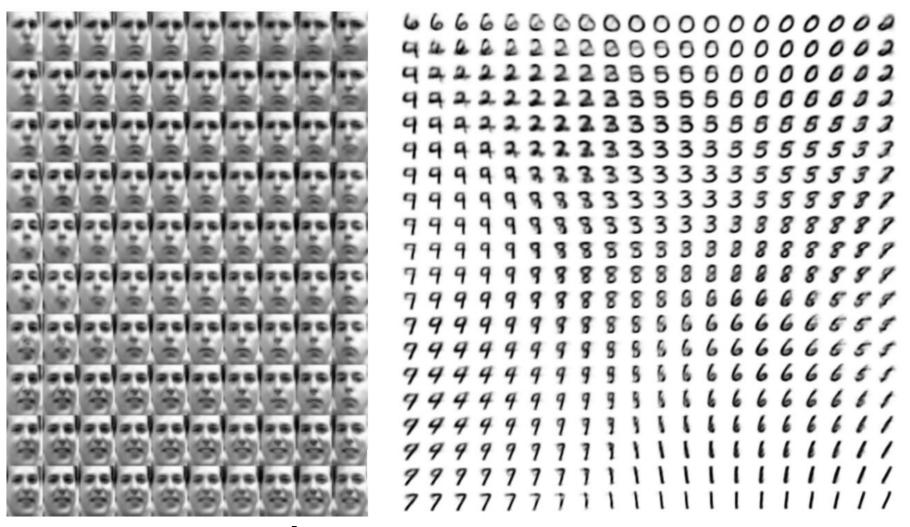
• Using reparameterization trick we form Monte Carlo estimate of reconstruction term:

$$\mathbb{E}_{q_{\phi}(z|x)} \log p_{\theta}(x|z) = \mathbb{E}_{p(\epsilon)} \log p_{\theta}(x|g_{\phi}(x,\epsilon))$$

$$\simeq \frac{1}{L} \sum_{i=1}^{L} \log p_{\theta}(x|g_{\phi}(x,\epsilon)) \quad \text{where } \epsilon \sim p(\epsilon)$$

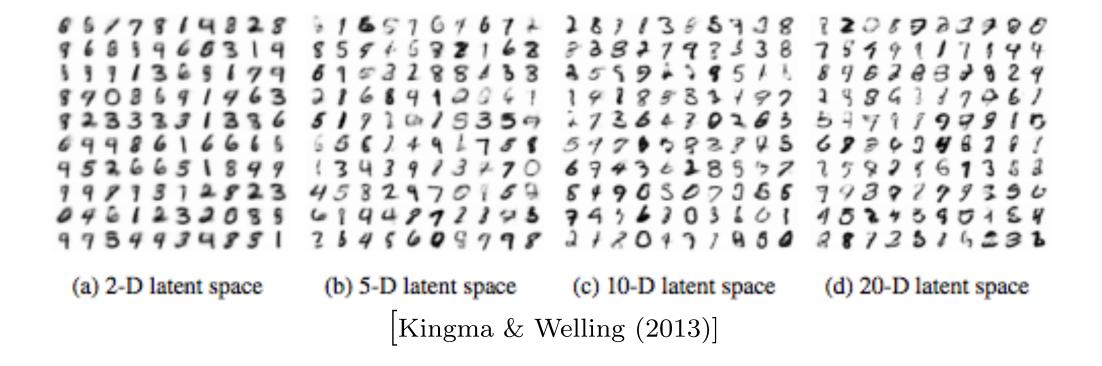
• KL divergence term can often be computed analytically (eg. Gaussian)

### VAE learned manifold



[Kingma & Welling (2013)]

## VAE samples



### VAE tradeoffs

- Pros:
  - Theoretically pleasing
  - Optimizes bound on likelihood
  - Easy to implement
- Cons:
  - Samples tend to be blurry
    - Maximum likelihood minimizes  $D_{KL}(p_{data}||p_{model})$

