

# ON CERTIFIED ISOTOPIC APPROXIMATION OF SPACE CURVES

by

Caglar Dogan

A THESIS SUBMITTED IN PARTIAL FULFILLMENT

OF THE REQUIREMENTS FOR THE DEGREE OF

MASTER OF SCIENCE

COURANT INSTITUTE OF MATHEMATICAL SCIENCES

NEW YORK UNIVERSITY

MAY, 2023

---

Professor Chee Yap

© CAGLAR DOGAN

ALL RIGHTS RESERVED, 2023

## ACKNOWLEDGEMENTS

This thesis would not be possible without the guidance of my thesis advisor, Professor Chee Yap. I am thankful to Professor Chee Yap for introducing me to the field of exact computational geometry under his mentorship, as well as for his continued support and critique through this research project.

I also want to thank Professor Gizem Kayar, who agreed to be my secondary reader for this thesis and has offered me valuable guidance throughout the process.

Moreover, I want to further acknowledge the direct efforts of Martin Suderland in bringing many of the ideas discussed here to reality. He has been an amazing guide and discussion partner throughout this research endeavor.

Lastly, I would like to thank all the current and past members of the NYU Exact Geometric Computation (EGC) Group. The theory and implementation developed by this group have served as a crucial resource for the research presented here.

# ABSTRACT

The approximation of implicitly defined curves or surfaces is a problem of interest for many fields. As a result, this problem has been explored using algebraic, geometric, and numerical methods. Amongst these, a numerical method called Marching Cubes Algorithm ([4]) has been the primary choice in implementations because of its efficiency and implementability, even though a guarantee for topological correctness was not generally present.

Research in this area has largely focused on approximations of  $n - 1$  dimensional manifolds in  $n$  dimensional Euclidean space. These are called co-dimension 1 manifolds, defined as the zero sets of single equations in  $n$  variables. Plantinga and Vegter (2004) [8] derived the first algorithms with guaranteed topological correctness using interval arithmetic and adaptive subdivision for  $n = 2, 3$ . Faster variants of such algorithms were described by Yap et al. (2009, 2014) [10] [11]. Galehouse (2008) [9] succeeded in producing such algorithms for all  $n$ .

This thesis addresses the problem of computing isotopic approximations of co-dimension 2 manifolds, i.e.,  $n - 2$  dimensional manifolds in  $n$  dimensional Euclidean space. Such manifolds are the intersection of the zero sets of two equations in  $n$  variables. The first interesting case is  $n = 3$ , i.e., the problem of computing an isotopic approximation of a space curve in 3D. We work on devising new algorithms by extending the previous interval techniques in co-dimension 1. Moreover, we implement and visualize such algorithms in order to verify their practical efficiency.

# CONTENTS

<b>Acknowledgements</b>	<b>iii</b>
<b>Abstract</b>	<b>iv</b>
<b>List of Figures</b>	<b>viii</b>
<b>List of Tables</b>	<b>ix</b>
<b>1 Introduction</b>	<b>1</b>
1.1 Problem Statement . . . . .	1
1.1.1 Assumptions . . . . .	1
1.2 Relevant Background . . . . .	2
1.2.1 Implicit Manifolds and Curves . . . . .	2
1.2.2 Isotopy . . . . .	2
1.2.3 Interval Arithmetic . . . . .	3
1.2.4 Boxes and Box Functions: . . . . .	5
1.3 Related Work . . . . .	6
1.3.1 The Plantinga-Vegter (PV) Algorithm . . . . .	6
1.3.2 Miranda Algorithm . . . . .	7
<b>2 Preliminaries and Theory</b>	<b>10</b>

2.1	Box Predicates . . . . .	10
2.2	Jacobian Condition in Planar Curve Intersection . . . . .	12
2.3	Geometric Consequence of the Jacobian Condition . . . . .	14
2.4	The Miranda Conditions . . . . .	17
2.5	A Miranda-Type Theorem for Space Curves . . . . .	18
2.6	Preconditioning . . . . .	22
<b>3</b>	<b>Algorithm Design</b>	<b>25</b>
3.1	Curve-Isolating Subdivision Algorithm . . . . .	25
3.2	Reconstruction Algorithm . . . . .	29
<b>4</b>	<b>Implementation and Experiments</b>	<b>32</b>
4.1	Implementation . . . . .	32
4.2	Experiments and Results . . . . .	34
4.2.1	Experiment 1 . . . . .	35
4.2.2	Experiment 2 . . . . .	38
4.2.3	Experiment 3 . . . . .	41
4.2.4	Experiment 4 . . . . .	44
4.2.5	Experiment 5 . . . . .	47
<b>5</b>	<b>Conclusion</b>	<b>51</b>
<b>A</b>	<b>Appendix</b>	<b>52</b>
A.1	Main code for the implemented algorithms . . . . .	52
A.2	Code for the cycle finding algorithm used in reconstruction . . . . .	59
A.3	Code for the path finding algorithm used in reconstruction . . . . .	60
A.4	Code for the implemented tests . . . . .	61
A.5	Code defining intervals and interval arithmetic . . . . .	68

A.6	Code defining generic boxes and functions on boxes . . . . .	80
-----	--	----

# LIST OF FIGURES

1.1	The Miranda algorithm as presented in [13]	8
1.2	The MK test, as presented in [13]	9
4.1	The output for experiment 1 seen in 3D	36
4.2	The output for experiment 1 viewed from the front, the side, and top	37
4.3	The output for experiment 2 seen in 3D	39
4.4	The output for experiment 2 viewed from the front, the side, and top	40
4.5	The output for experiment 3 seen in 3D	42
4.6	The output for experiment 3 viewed from the front, the side, and top	43
4.7	The output for experiment 4 seen in 3D	45
4.8	The output for experiment 4 viewed from the front, the side, and top	46
4.9	The output for experiment 5 seen in 3D, from two different angles	49
4.10	The output for experiment 5 viewed from the front, the side, and top	50

## LIST OF TABLES

4.1	Experiment 1 - Phase 1 . . . . .	35
4.2	Experiment 1 - Phase 2 . . . . .	36
4.3	Experiment 2 - Phase 1 . . . . .	38
4.4	Experiment 2 - Phase 2 . . . . .	39
4.5	Experiment 3 - Phase 1 . . . . .	41
4.6	Experiment 3 - Phase 2 . . . . .	42
4.7	Experiment 4 - Phase 1 . . . . .	44
4.8	Experiment 4 - Phase 2 . . . . .	45
4.9	Experiment 5 - Phase 1 . . . . .	48
4.10	Experiment 5 - Phase 2 . . . . .	49

# 1 | INTRODUCTION

## 1.1 PROBLEM STATEMENT

We present work on the problem of constructing an ambient isotopic estimation  $S'$  to a space curve  $S$  defined by two implicit surfaces given by  $f_1 = 0$  and  $f_2 = 0$  in a bounding box  $B_0 \subseteq \mathbb{R}^3$  where functions  $f_1, f_2 : \mathbb{R}^3 \rightarrow \mathbb{R}$  are smooth and non-singular in  $B_0$ . We use the notation  $\mathbf{f} = (f_1, f_2)$  - for which the traced curve can be defined as the set of points given by  $S = \mathbf{f}^{-1}(\mathbf{0}) = \{\mathbf{p} \in \mathbb{R}^3, \mathbf{f}(\mathbf{p}) = \mathbf{0}\} = f_1^{-1}(0) \cap f_2^{-1}(0)$ .

An important result of non-singularity in  $B_0$  is the truth of the following statement:

$$\forall \mathbf{p} \in B_0. \quad (f_1(\mathbf{p}) = 0 \implies \nabla f_1(\mathbf{p}) \neq 0) \wedge (f_2(\mathbf{p}) = 0 \implies \nabla f_2(\mathbf{p}) \neq 0)$$

This result, alongside the smoothness condition, plays a central role in our arguments for the guaranteed halting of our algorithm steps, as it is presented in part 3 of this report.

### 1.1.1 ASSUMPTIONS

1. We assume that  $S = \mathbf{f}^{-1}(\mathbf{0})$  is a 1-dimensional curve.
2. We assume that box functions (point convergent interval functions) for  $f_1, f_2, \nabla f_1, \nabla f_2$  exist and are denoted by  $\square f_1, \square f_2, \square \nabla f_1, \square \nabla f_2$ .

3. We assume that the surfaces given by  $f_1 = 0$  and  $f_2 = 0$  never intersect tangentially in  $B_0$ .

$$(\forall \mathbf{p} \in B_0, \forall c \in R. f_1(\mathbf{p}) = f_2(\mathbf{p}) = 0 \implies \nabla f_1(\mathbf{p}) \neq c \nabla f_2(\mathbf{p}))$$

4. We assume that the traced curve and the implicit surfaces given by  $f_1 = 0$  and  $f_2 = 0$  intersect the bounding box  $B_0$  transversally or not at all.

The softening or removal of our 4th assumption can presumably be achieved by adequately processing the boundary of  $B_0$  in future research. Steps to ensure the prevention of problems of similar origin in the tracing of curves in 2D space have been previously presented by Lin and Yap ([10]).

## 1.2 RELEVANT BACKGROUND

Our work on this problem builds upon concepts from differential geometry and interval arithmetic. The following sections (1.2.1-1.2.4) can be seen for the explanation of core constructs and principles utilized by our approach.

### 1.2.1 IMPLICIT MANIFOLDS AND CURVES

For arbitrary  $m, n \in \mathbb{Z}^+$ , an implicit manifold is a manifold defined as the set of points  $\mathbf{p} \in \mathbb{R}^m$  satisfying the system of equations  $f(\mathbf{p}) = (f_1(\mathbf{p}), f_2(\mathbf{p}), \dots, f_n(\mathbf{p})) = 0^n = \mathbf{0}$  where  $f_1, f_2, \dots, f_n$  each map  $\mathbb{R}^m$  to  $\mathbb{R}$ . An implicit curve is an implicit manifold with topological dimension 1.

### 1.2.2 ISOTOPY

Two manifolds  $S, S' \subseteq \mathbb{R}^3$  are **ambient isotopic** if there exists a continuous map

$$\gamma : [0, 1] \times \mathbb{R}^3 \rightarrow \mathbb{R}^3$$

such that:

1. For each  $t \in [0, 1]$ , the map  $\gamma_t : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  given by  $\gamma_t(p) = \gamma(t, p)$  is a homeomorphism;
2.  $\gamma_0$  is the identity function;
3.  $\gamma_1(S) = S'$ .

Such a map  $\gamma$  is also called an **ambient isotopy** from  $S$  to  $S'$ . It is an  $\varepsilon$ -**ambient isotopy** if, in addition, we have  $\|\gamma_0(p) - \gamma_1(p)\| \leq \varepsilon$  for all  $p \in S$ . Note that  $\varepsilon$ -ambient isotopy of  $S$  and  $S'$  implies that the Hausdorff distance between  $S$  and  $S'$  is  $\leq \varepsilon$ .

If the continuous map

$$\gamma : [0, 1] \times S \rightarrow \mathbb{R}^3$$

satisfies (i)-(iii), then we say that  $\gamma$  is an **isotopy** from  $S$  to  $S'$ ; we also say  $S$  is **isotopic** to  $S'$ . Clearly, ambient isotopy implies isotopy, which in turn implies homeomorphism. The difference between ambient isotopy and (plain) isotopy is that the former requires a simultaneous transformation of the complementary space  $\mathbb{R}^3 \setminus S$ . But Hirsch [[2]] shows that, conversely, an isotopy can be extended to an ambient isotopy in case  $S$  is a smooth manifold.

### 1.2.3 INTERVAL ARITHMETIC

Interval arithmetic is a tool to derive information about the range of functions over their domain. For this, operations are carried out on intervals rather than single values to achieve bounds on the global maximum and minimum of the function over the evaluated domain.

More formally, interval arithmetic and its methods are described by Ratschek and Ronke [3] as follows:

Let  $X \subseteq \mathbb{R}$  be an arbitrary compact interval (i.e.  $X = [a, b]$  such that  $a, b \in R$  and  $a \leq b$ ) and let  $f : X \rightarrow \mathbb{R}$  be a **continuous** function. Let us also denote the range of  $f$  on its domain as  $\bar{f}(X) = \{f(x) | x \in X\}$ .

Then, one can define inner ( $\Psi(X)$ ) and outer ( $F(X)$ ) estimations of  $\bar{f}(X)$  as estimations satisfying the following criterion:

$$\Psi(X) \subseteq \bar{f}(X) \subseteq F(X)$$

We are particularly interested in functions outputting outer estimations ( $F(X)$ ), which are known to always exist for continuous  $f$  as denoted by Moore (1966) [1]. These functions are often referred to as inclusion functions ([3]) and will be referred to as such here.

These inclusion functions are also often directly computable, specifically for rational functions. An outer bound  $F(X)$  for  $\bar{f}(X)$  (for a continuous rational function  $f : X \rightarrow \mathbb{R}, X \in I$  where  $I$  is the set of compact intervals in  $R$ ) can be directly calculated by replacing the variables in an arithmetic expression of  $f$  with the domains of the respective variables and using interval arithmetic operations defined as follows ([3]):

$$[a, b] + [c, d] = [a + c, b + d]$$

$$[a, b] - [c, d] = [a - d, b - c]$$

$$[a, b][c, d] = [\min(ac, ad, bc, bd), \max(ac, ad, bc, bd)]$$

$$[a, b]/[c, d] = [a, b][1/b, 1/c] \text{ if } 0 \notin [c, d]$$

This provides a direct way to evaluate functions over intervals in algorithms. However, the exact defining arithmetic expression used in this evaluation affects the tightness and convergence

of the calculated outer bound ( $F(X)$ ), and thus, a good representation should be utilized for the efficient use of interval arithmetic. The standard-centered form and Krawczyk's form are two commonly used expression forms with particularly good convergence rates. The formulations of these forms, as well as the further properties of interval arithmetic operations, can be seen in the work of Ratschek and Ronke [3] but are not explicitly discussed here.

#### 1.2.4 BOXES AND BOX FUNCTIONS:

Building upon interval arithmetic but abstracting away the specific evaluated arithmetic expressions of interval functions, the work discussed in this thesis research builds upon the properties of two constructs: **boxes** and **box functions**

**Boxes:** An  $n$ -dimensional box  $B$  is defined as a Cartesian product of  $n$  compact intervals denoted as  $B = I_1 \times I_2 \times \dots \times I_n$ . One important point of interest for such a box is the mid-point of the box denoted by  $m(B)$ , which has the coordinates  $(m(I_1), m(I_2), \dots, m(I_n))$ .

The evaluation of a function  $f$  with  $n$  interval arguments on such a box is then defined with the following equality:

$$f(B) = f(I_1, \dots, I_n)$$

**Box Functions:** A inclusion function  $\square f$  is called a box function for  $f$  if, in addition to being an inclusion function, it is a point convergent, i.e., for any strictly decreasing sequence  $B_0 \subset B_1 \subset \dots$  of boxes that converges to a point  $p$ , we have  $\square f(B_i) \rightarrow f(p)$  as  $i \rightarrow \infty$ . ([10])

These are the main mathematical constructs of interest in our current research and allow us to discuss methods utilizing arbitrary implementations of box functions.

## 1.3 RELATED WORK

Our work builds upon previous research on the certified isotopic approximations of co-dimension 1 manifolds and root isolation algorithms. Amongst the algorithms produced by previous studies, the following algorithms serve as the main foundations of our approach:

### 1.3.1 THE PLANTINGA-VEGTER (PV) ALGORITHM ([8])

Let  $F : \mathbb{R}^2 \mapsto \mathbb{R}$  be an implicit function, and  $B \subseteq \mathbb{R}^2$  be a square bounding box. Also, let  $\square g$  denote a convergent inclusion function for  $g$  for all functions  $g$ . The 2D PV algorithm defines a procedure that generates a guaranteed topologically correct piecewise linear estimation for  $S = F^{-1}(0)$  where  $S$  is a regular curve (0 is a regular value of  $F$  as the gradient  $\nabla F$  is non-zero at every point of the curve.).

This procedure defined by the algorithm starts by initializing a quadtree  $T$  on this box (initially with only one node), and subdivides the boxes until either a predicate ensuring the discarding of the box ( $0 \notin F(C)$ ) or a stopping condition ( $\langle \square \nabla F(C), \square \nabla F(C) \rangle > 0$ ) is satisfied where  $C$  is the two-dimensional interval defining the box. This result is then refined to make the subdivision quadtree balanced (ensuring that boxes that are adjacent are the same size or have a 1/2 ratio in between).

The termination of this process is certain under the assumptions on the inputs (as proven by Long Lin & Chee Yap in [10]). Furthermore, the inner product constraint puts an upper limit of  $\pi/2$  radians for the angles between the gradients of  $F$  in any terminal box and furthermore implies the local parameterizability of the implicit curve in either the x or y direction.

This local parameterizability implies that  $S$  intersects at most two edges of each cell  $C$  and

that there cannot be any self-intersections. With this, within any such cell  $C$ , if there are two intersection points along the edges, the part of the curve inside  $C$  can be seen to be isotopic to a line segment. And thus, an approximation of the implicit curve  $S$  can be constructed by linear lines between the centers of box edges with different signs that will be isotopic to  $S$ , showing the correctness of the algorithm with the given restrictions. Moreover, even if any given box has more than two intersection points along the edges, it can be shown that the produced result is still globally isotopic to the original curve even though it might not be isotopic to the approximation in each box.

This method is particularly powerful, as it forgoes local isotopy (at each subdivided box) to be able to produce a globally isotopic approximation while being guaranteed to halt.

The 3D PV algorithm builds upon this base, providing a mesh construction method in 3D to give certified isotopic approximations of surfaces in 3D.

### 1.3.2 MIRANDA ALGORITHM ([13])

Given an initial bounding box  $B_0$ , the Miranda algorithm provides guaranteed isolation of simple zeros of a function  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  for some  $n \in \mathbb{Z}^+$  in  $B_0$ . For this, an existence test  $EC$  (proving the existence of at least one root in a box) and a Jacobian test  $JC$  (proving the existence of at most one root in a box) are utilized alongside an exclusion predicate defined as  $C_0(B) = \mathbf{0} \notin f(B)$  with the following algorithm:

**SIMPLE ISOLATE( $f, B_0$ )**

Output: sequence of isolating boxes for roots in  $B_0$

$Q \leftarrow \{B_0\}$

While  $Q \neq \emptyset$

$B \leftarrow Q.pop()$

If  $C_0(B)$  continue;  $\triangleleft$  *discard B and repeat loop*

If  $EC(B) \wedge JC(B)$   $\triangleleft$  *B has a unique root*

    output  $B$  and continue;

If  $w(N(B) \cap B) < w(B)$   $\triangleleft$  *if contraction succeeds*

$Q.push(B)$

else

$Q.push(subdivide(B))$

**Figure 1.1:** The Miranda algorithm as presented in [13]

This algorithm is guaranteed to produce correct outputs and can be shown to always halt for the proposed EC and JC tests.

The proposed EC test (the MK Test) is of particular interest to us, as it is a predicate proving the existence of a root of  $f$  when it holds. This test utilizes a preconditioning phase (in which the range of  $f$  is multiplied by the inverse Jacobian matrix of  $f$  evaluated at the midpoint of  $B$ ). Then, the value of  $J_f(m(B))^{-1}f_i$  is evaluated at the opposite ends of the evaluation box in the  $i$ 'th dimension. If all such evaluations show that  $f_i$  is negative towards the negative direction in the  $i$ 'th axis and positive on the opposite side, the test is said to succeed as this proves the existence of at least one root in the box (as an extension of the Poincare-Miranda Theorem, as explained in [13]).

This test is formally presented as follows in the [13] paper:

### ABSTRACT MK TEST

**Input:**  $f$  and box  $B$

**Output:** true iff  $\text{MK}_f(B)$  succeeds

1.  $C \leftarrow J_f(\mathbf{m}(B))$ , Jacobian matrix at  $\mathbf{m}(B)$

If  $C^{-1}$  does not exist, return false.

2. Construct a “preconditioned version”  $\mathbf{g}$ :

$$\mathbf{g} \leftarrow C^{-1} \mathbf{f} = (g_1(\mathbf{x}), \dots, g_n(\mathbf{x}))$$

3. Apply the Simple Miranda Test to  $2B$  for  $\mathbf{g}$ :

For  $i \leftarrow 1, \dots, n$ :

If  $g_i(2B_i^+) \leq 0$  or  $g_i(2B_i^-) \geq 0$ , (\*)

return false

4. Return true.

**Figure 1.2:** The MK test, as presented in [13]

A numerical version of this abstract test proven to work under the constraints of finite-precision arithmetic is also provided here, which serves as a foundational tool utilized by our proposed approach.

## 2 | PRELIMINARIES AND THEORY

### 2.1 BOX PREDICATES

We introduce several predicates (also called “conditions”) on boxes  $B \subseteq \mathbb{R}^3$ :

- (Exclusion Condition  $C_0$ )

The condition  $C_0(B) = C_0^f(B)$  holds iff  $C_0^{f_1}(B) \vee C_0^{f_2}(B)$  where

$$C_0^{f_j}(B) \equiv \left[ 0 \notin f_j(B) \right] \quad (j = 1, 2). \quad (2.1)$$

If  $C_0^f(B)$  holds, then the curve  $f^{-1}(\mathbf{0})$  does not intersect  $B$  (so  $B$  can be excluded in our search).

- (Inclusion Condition  $C_1$ )

We write  $D_i f$  for the function  $\frac{\partial f}{\partial x_i}$  ( $i = 1, 2, 3$ ). The condition  $C_1(B) = C_1^f(B)$  holds iff  $C_1^{f_1}(B) \wedge C_1^{f_2}(B)$  where

$$C_1^{f_j}(B) \equiv \left[ 0 \notin \sum_{i=1}^3 (D_i f_j(B))^2 \right] \quad (2.2)$$

Note that the expression of the right is the natural set extension of the usual arithmetic operations, with  $S^2 := \{st : s, t \in S\}$  (not  $S^2 = \{s^2 : s \in S\}$ ). Condition  $C_1(B)$  implies that the angle between the gradients at any two points in  $B$  is at most 90 degrees; this implies that  $B$  does not contain any closed surface of  $f_1^{-1}(0)$  or  $f_2^{-1}(0)$ . However  $B$  may still

contain a closed loop of  $f_1^{-1}(0) \cap f_2^{-1}(0) = \mathbf{f}^{-1}(\mathbf{0})$ . The next condition will address this.

- (Jacobian Conditions  $JC_i$ )

First let

$$D\mathbf{f}(\mathbf{x}) := \begin{bmatrix} (f_1)_x(\mathbf{x}) & (f_1)_y(\mathbf{x}) & (f_1)_z(\mathbf{x}) \\ (f_2)_x(\mathbf{x}) & (f_2)_y(\mathbf{x}) & (f_2)_z(\mathbf{x}) \end{bmatrix} \quad (2.3)$$

denote a  $2 \times 3$  matrix where  $(f_1)_i = \frac{\partial f_1}{\partial x_i}$  and similarly for  $(f_2)_i$  ( $i = x, y, z$ ). The Jacobian condition at a point  $\mathbf{p} \subseteq \mathbb{R}^3$  is when the matrix  $D\mathbf{f}$  is full-rank<sup>1</sup> when evaluated at  $\mathbf{x} := \mathbf{p}$ .

Next, we define the corresponding condition for a box  $B$ . Let  $\Delta_i \mathbf{f} : \mathbb{R}^3 \rightarrow \mathbb{R}$  ( $i = 1, 2, 3$ ) denote the determinant of the  $2 \times 2$  matrix obtained by deleting the  $i$ th column of  $D\mathbf{f}(\mathbf{x})$ , multiplied by  $(-1)^{i+1}$ . Thus

$$\Delta_1 \mathbf{f} := \det \begin{bmatrix} (f_1)_y(\mathbf{x}) & (f_1)_z(\mathbf{x}) \\ (f_2)_y(\mathbf{x}) & (f_2)_z(\mathbf{x}) \end{bmatrix}, \quad \Delta_2 \mathbf{f} := -\det \begin{bmatrix} (f_1)_x(\mathbf{x}) & (f_1)_z(\mathbf{x}) \\ (f_2)_x(\mathbf{x}) & (f_2)_z(\mathbf{x}) \end{bmatrix}, \quad \Delta_3 \mathbf{f} := \det \begin{bmatrix} (f_1)_x(\mathbf{x}) & (f_1)_y(\mathbf{x}) \\ (f_2)_x(\mathbf{x}) & (f_2)_y(\mathbf{x}) \end{bmatrix}. \quad (2.4)$$

The **Jacobian condition** at  $B$  is defined by

$$JC^{\mathbf{f}}(B) \equiv \left[ \bigvee_{i=1}^3 JC_i^{\mathbf{f}}(B) \right] \quad (2.5)$$

where

$$JC_i^{\mathbf{f}}(B) \equiv \left[ 0 \notin \Delta_i \mathbf{f}(B) \right] \quad (i = 1, 2, 3). \quad (2.6)$$

Thus  $JC^{\mathbf{f}}(B)$  implies that  $D\mathbf{f}(\mathbf{p})$  is full-rank for each  $\mathbf{p} \in B$ . But the main geometric conclusion is seen in Lemma 2.3 in the subsequent sections.

---

<sup>1</sup>For algebraic curves, a birational correspondence between an irreducible algebraic space curve  $C$  and an irreducible plane curve  $\mathcal{P}$  with the same genus as  $C$  depends on a similar full-rank condition (see [5]).

## 2.2 JACOBIAN CONDITION IN PLANAR CURVE INTERSECTION

Temporarily, let us define a pair of planar curves  $\mathbf{f} = (f, g) : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ . We prove the following lemma (to be used in subsequent sections):

**Lemma 2.1** (2D Jacobian Condition). *Let  $B \subseteq \mathbb{R}^2$  and  $J\mathbf{C}_3^{\mathbf{f}}(B)$  holds, i.e.,*

$$0 \notin J_{\mathbf{f}}(B) := \det \begin{bmatrix} D_x f(B) & D_y f(B) \\ D_x g(B) & D_y g(B) \end{bmatrix}. \quad (2.7)$$

*Then  $|Zero(f, g) \cap B| \leq 1$ .*

*Proof.* Let  $\mathbf{a}, \mathbf{b} \in B$  be two distinct zeros of  $\mathbf{f}$ . Define  $L : \mathbb{R} \rightarrow \mathbb{R}^2$  where  $L(t) = \mathbf{a} + t(\mathbf{b} - \mathbf{a})$ . Consider the function  $F(t) := f(L(t))$ : by an application of the Chain Rule, we have

$$F'(t) = \frac{dF}{dt} = \nabla f(L(t)) * (\mathbf{b} - \mathbf{a}) \quad (2.8)$$

where  $*$  denotes dot product. From  $F(0) = f(L(0)) = f(\mathbf{a}) = 0$  and  $F(1) = f(L(1)) = f(\mathbf{b}) = 0$ , the Mean Value Theorem (MVT) for  $F(t)$  implies that there exists  $\xi \in [0, 1]$  such that

$$0 = F(0) - F(1) = F'(\xi) = \nabla f(L(\xi)) * (\mathbf{b} - \mathbf{a}). \quad (2.9)$$

Similarly, if we define  $G(t) := g(L(t))$ , there exists  $v \in [0, 1]$  such that

$$0 = G(0) - G(1) = G'(\xi) = \nabla g(L(\xi)) * (\mathbf{b} - \mathbf{a}). \quad (2.10)$$

But 2.9 implies that  $\nabla f(L(\xi))$  is perpendicular to  $\mathbf{b} - \mathbf{a}$ . Similarly,  $\nabla g(L(v))$  is perpendicular to

$\mathbf{b} - \mathbf{a}$ . Thus  $\nabla f(L(\xi))$  and  $\nabla g(L(v))$  are parallel, i.e.,

$$0 = \det \begin{bmatrix} D_x f(L(\xi)) & D_y f(L(\xi)) \\ D_x g(L(v)) & D_y g(L(v)) \end{bmatrix}.$$

Since  $L(\xi), L(v) \in B$ , this implies

$$0 \in \det \begin{bmatrix} D_x f(B) & D_y f(B) \\ D_x g(B) & D_y g(B) \end{bmatrix},$$

which contradicts 2.7.  $\square$

## 2.3 GEOMETRIC CONSEQUENCE OF THE JACOBIAN CONDITION

The Jacobian condition on a box  $B \subseteq \mathbb{R}^3$  has geometric consequences that can be derived from the Inverse Function Theorem (IFT) of mathematical analysis [7]. Here is a version from [12] which is suitable for our needs:

Suppose we have a system of  $m$  functions in  $m + n$  variables,

$$\mathbf{f} = (f_1, \dots, f_m) : \Omega \subseteq \mathbb{R}^m \times \mathbb{R}^n \rightarrow \mathbb{R}^m \quad (2.11)$$

where  $\Omega$  is an open set of  $\mathbb{R}^m \times \mathbb{R}^n$  and each  $f_i = f_i(\mathbf{x}; \mathbf{y})$  is a function in the real variables  $\mathbf{x} = (x_1, \dots, x_m)$  and  $\mathbf{y} = (y_1, \dots, y_n)$ . Assume  $\mathbf{f}$  is  $C^1$ , for which we can define:

$$\frac{\partial \mathbf{f}}{\partial \mathbf{x}} = \left( \frac{\partial f_i}{\partial x_j} \right)_{i=1, j=1}^{m, m} = \begin{bmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_m} \\ \vdots & \dots & \vdots \\ \frac{\partial f_m}{\partial x_1} & \dots & \frac{\partial f_m}{\partial x_m} \end{bmatrix}$$

$$\frac{\partial \mathbf{f}}{\partial \mathbf{y}} = \left( \frac{\partial f_i}{\partial y_k} \right)_{i=1, k=1}^{m, n} = \begin{bmatrix} \frac{\partial f_1}{\partial y_1} & \dots & \frac{\partial f_1}{\partial y_n} \\ \vdots & \dots & \vdots \\ \frac{\partial f_m}{\partial y_1} & \dots & \frac{\partial f_m}{\partial y_n} \end{bmatrix}$$

**Theorem 2.2** (The Implicit Function Theorem).

Given  $\mathbf{f}$  as in 2.11, let  $(\mathbf{a}; \mathbf{b}) \in \Omega \subseteq \mathbb{R}^m \times \mathbb{R}^n$  satisfy

$$\mathbf{f}(\mathbf{a}; \mathbf{b}) = \mathbf{0} \text{ and } \frac{\partial \mathbf{f}}{\partial \mathbf{x}}(\mathbf{a}; \mathbf{b}) \text{ is invertible.}$$

Then there exists an open set  $X \subseteq \mathbb{R}^m$  containing  $\mathbf{a}$ , an open set  $Y \subseteq \mathbb{R}^n$  containing  $\mathbf{b}$ , and an (implicit) function  $\mathbf{g} : Y \rightarrow X$  such that:

- For each  $\mathbf{y}^* \in Y$ , there is a unique  $\mathbf{x}^* \in X$  such that  $\mathbf{f}(\mathbf{x}^*, \mathbf{y}^*) = \mathbf{0}$ . In fact,  $\mathbf{x}^* = \mathbf{g}(\mathbf{y}^*)$ . It follows that  $\mathbf{g}(\mathbf{b}) = \mathbf{a}$ .
- The function  $\mathbf{g} : Y \rightarrow X$  is  $C^1$  with Jacobian matrix  $J\mathbf{g}$  at any  $\mathbf{y}^* \in Y$  given by

$$J\mathbf{g}(\mathbf{y}^*) = - \left[ \frac{\partial \mathbf{f}}{\partial \mathbf{x}}(\mathbf{g}(\mathbf{y}^*), \mathbf{y}^*) \right]_{m \times m}^{-1} \cdot \left[ \frac{\partial \mathbf{f}}{\partial \mathbf{y}}(\mathbf{g}(\mathbf{y}^*), \mathbf{y}^*) \right]_{m \times n}$$

See [12, Theorem 2] for a proof.

An immediate consequence of the IFT theorem is this:

**Lemma 2.3** (Implicit Function under Jacobian Condition).

Let the Jacobian condition  $JC_3^f(B)$  hold. If  $B$  contains a point  $\mathbf{p} = (p_1, p_2, p_3)$  of the curve  $\mathbf{f}^{-1}(\mathbf{0})$  then there exists an implicit  $C^1$  function

$$\mathbf{g} : J_p \rightarrow \mathbb{R}^2 \quad (2.12)$$

where  $J_p$  is an open interval containing  $p_3$  satisfying

$$(i) \quad \mathbf{g}(p_3) = (p_1, p_2).$$

$$(ii) \quad \text{For all } z \in J_p,$$

$$\mathbf{f}(\mathbf{g}(z), z) = \mathbf{0}.$$

*Proof.* The condition  $JC_3^f(B)$  implies that  $\Delta_3 \mathbf{f} = \det \begin{bmatrix} (f_1)_x(\mathbf{x}) & (f_1)_y(\mathbf{x}) \\ (f_2)_x(\mathbf{x}) & (f_2)_y(\mathbf{x}) \end{bmatrix}$  is non-zero at  $\mathbf{x} = \mathbf{p}$  (see 2.4). To apply Theorem 2.2 (IFT Theorem), let  $m = 1, n = 2, \mathbf{f} = (f_1, f_2), \mathbf{x} = (x, y)$  and  $\mathbf{y} = (z)$ . If  $(\mathbf{a}; \mathbf{b}) = (p_1, p_2; p_3) = \mathbf{p}$ , then the hypothesis of IFT Theorem is satisfied, namely

$$\mathbf{f}(\mathbf{a}; \mathbf{b}) = \mathbf{0}, \quad \text{and} \quad \frac{\partial \mathbf{f}}{\partial \mathbf{x}}(\mathbf{p}) = \Delta_3 \mathbf{f}(\mathbf{p}) \neq 0.$$

We conclude that there exists an implicit function  $g : X \rightarrow Y$  where  $X \subseteq \mathbb{R}^2$  is an open set containing  $(p_1, p_2)$ ,  $Y \subseteq \mathbb{R}$  is an open interval containing  $p_3$ , and for all  $y \in Y$ ,  $f(g(y); y) = \mathbf{0}$ . The theorem follows if we rename  $Y$  to be  $J_p$ , and view the range of  $g$  to be  $\mathbb{R}^2$ .  $\square$

In other words, this lemma tells us that the set

$$\{(g(z), z) : z \in J_p\}$$

(viewed as the graph of the function  $g$ ) is a parameterization of the curve  $f^{-1}(\mathbf{0})$  in some neighborhood of  $p$ . Next, if  $g_i : J_i \rightarrow Y_i$  ( $i \in I$ ) is a collection of such graphs with the property that  $J_* := \cup_{i \in I} J_i$  is a connected interval. Then we can define a unique function  $g_* : J^* \rightarrow \mathbb{R}^2$  where  $g_*(p) = g_i(p)$  for all  $p \in J_*$ .

**Lemma 2.4** (No Loop under the Jacobian Condition).

*If  $JC^f(B)$  holds, then  $B$  does not contain a closed curve (i.e., loop) off  $f^{-1}(\mathbf{0})$ .*

*Proof.* Consider a box  $B$  such that  $JC^f(B)$  holds. Without loss of generality, assume that  $JC_3^f(B)$  holds. Then, Suppose  $B$  contains a loop  $C \subseteq f^{-1}(\mathbf{0})$ . Pick a point  $p = (p_1, p_2, p_3) \in C$  where  $p_3$  is maximum. Note that such a point exists since  $C$  is contained in  $B$ . By Lemma 2.3, and  $JC_3^f(B)$ , there must be an open interval containing  $p_3$  in which  $C$  is parameterizable by the third coordinate axis. This contradicts  $p_3$  being the maximum of the third coordinates amongst the points in  $C$  when  $C$  is non-singular.  $\square$

## 2.4 THE MIRANDA CONDITIONS

So far, we have given three conditions:  $C_0(B), C_1(B), JC(B)$ . They all amount to the exclusion of  $\mathbf{0}$  from various algebraic expressions evaluated on the box  $B$ . The next one is slightly different, and may be called “Miranda conditions”.

We temporarily consider the general setting of an  $n$ -dimensional axes-parallel box  $B \subseteq \mathbb{R}^n$ : let  $B_i^-$  and  $B_i^+$  (for  $i = 1, \dots, n$ ) denote the pair of opposite facets<sup>2</sup> that are normal to the  $i$ th axis. Moreover, if  $\Pi_i(\mathbf{x}) = x_i$  denote the projection to the  $i$ th coordinate, then assume that  $x_i^- < x_i^+$  where  $\Pi_i(B_i^-) = \{x_i^-\}$  and  $\Pi_i(B_i^+) = \{x_i^+\}$ . If  $\mathbf{f} = (f_1, \dots, f_n) : \mathbb{R}^n \rightarrow \mathbb{R}^n$ , the following box predicate

$$MT^{\mathbf{f}}(B) \equiv \left[ \bigwedge_{i=1}^n (f_i(B_i^-) < 0 < f_i(B_i^+)) \right] \quad (2.13)$$

was called the “simple Miranda test” in [13]. The Miranda Theorem (1940) says that if  $MT^{\mathbf{f}}(B)$  holds, then  $B \cap \mathbf{f}^{-1}(\mathbf{0})$  is non-empty (e.g., [6]). But in our co-dimension one setting, the number of functions is not  $n$ , but  $n - 1$ . If  $\mathbf{f} = (f_1, \dots, f_{n-1})$ , then we define the **Miranda condition**  $MK(B) = MK^{\mathbf{f}}(B)$  as

$$MK^{\mathbf{f}}(B) \equiv \left[ \bigvee_{i=1}^n MK_i^{\mathbf{f}}(B) \right]$$

where  $MK_i(B) = MK_i^{\mathbf{f}}(B)$  is given by

$$MK_i^{\mathbf{f}}(B) \equiv \left[ \bigwedge_{j=1}^{i-1} (f_j(B_j^-) < 0 < f_j(B_j^+)) \right] \wedge \left[ \bigwedge_{j=i+1}^n (f_{j-1}(B_j^-) < 0 < f_{j-1}(B_j^+)) \right]. \quad (2.14)$$

Note that  $MK_i(B)$  places no restrictions on the pair of faces  $B_i^-$  and  $B_i^+$ .

---

<sup>2</sup>I.e.,  $(n - 1)$ -dimensional faces of  $B$ .

## 2.5 A MIRANDA-TYPE THEOREM FOR SPACE CURVES

For  $n = 3$ , and  $\mathbf{f} = (f_1, f_2)$ ,  $MK_3^{\mathbf{f}}(B)$  is simply

$$MK_3(B) = (f_1(B_1^-) < 0 < f_1(B_1^+)) \wedge (f_2(B_2^-) < 0 < f_2(B_2^+))$$

Now, we present the following theorem:

**Theorem 2.5** (Miranda Theorem for Curves).

Let  $B \subseteq \mathbb{R}^3$  and the following conditions hold:

$$C_1^{\mathbf{f}}(B) \wedge JC_3^{\mathbf{f}}(B) \wedge MK_3^{\mathbf{f}}(B). \quad (2.15)$$

Then  $\text{Zero}(f, g) \cap B$  is comprised of a single curve component with endpoints in  $B_3^-$  and  $B_3^+$ , respectively.

If the given theorem is true, the local curves in a set of boxes  $B$  in an initial box  $B_0$  satisfying  $C_1^{\mathbf{f}}(B) \wedge JC_3^{\mathbf{f}}(B) \wedge MK_3^{\mathbf{f}}(B)$  can be "stitched together" to curve components in  $B_0$ , allowing for an approximation of the traced curve components.

Now, let us consider the following lemma:

**Lemma 2.6** (Existence of a curve). *Assume that a box  $B$  satisfies  $JC_3(B) \wedge MK_3(B)$ , then*

*there exists a curve  $H : I \rightarrow \mathbb{R}^3$  within  $\mathbf{f}^{-1}(\mathbf{0})$ , which connects the faces  $B_z^-$  and  $B_z^+$ .*

*Proof.* Because of the standard 2-dimensional Miranda theorem, we know that there exists a point  $\mathbf{p} \in B_z^+$  such that  $\mathbf{f}(\mathbf{p}) = \mathbf{0}$ . By Lemma 2.2, there exists an open interval  $J_{\mathbf{p}}$  containing  $p_3$ , and a differentiable function

$$\mathbf{h}_{\mathbf{p}} : J_{\mathbf{p}} \rightarrow \mathbb{R}^2 \quad (2.16)$$

such that  $\mathbf{h}_p(p_3) = (p_1, p_2)$  and, for all  $z \in J_p$ ,

$$\mathbf{f}(\mathbf{h}_p(z), z) = \mathbf{0}.$$

Among all open intervals  $J_p$  with that property, let  $J = (a, b)$  be one, which minimizes  $a$ . Note that the curve  $H : J \rightarrow \mathbb{R}^3, z \mapsto \mathbf{f}(\mathbf{h}_p(z), z)$  cannot intersect any of  $B$ 's faces except for  $B_z^-$  and  $B_z^+$  since  $MK_3(B)$  is satisfied. If  $a < \Pi_3(B_z^-)$  then the curve  $H$  connects the opposite faces  $B_z^-$  and  $B_z^+$  as desired. We will now show that  $a \geq \Pi_3(B_z^-)$  leads to a contradiction. We again use the Lemma 2.2 for  $\mathbf{q} = (\mathbf{h}(a), a)$  to derive an open interval  $J_q$  together with a differentiable function  $\mathbf{h}_q$  similar as before. But this is a contradiction to the maximality of interval  $J$  because also the longer interval  $J \cup J_q$  could have been chosen together with the function  $\mathbf{h}$ :

$$\mathbf{h} : J \cup J_q, z \mapsto \begin{cases} \mathbf{h}_p(z) & \text{if } z > a \\ \mathbf{h}_q(z) & \text{otherwise} \end{cases} \quad (2.17)$$

Note that also  $\mathbf{h}$  is differentiable because both  $\mathbf{h}_p$  and  $\mathbf{h}_q$  are so and the domains (z-components) and images overlap.  $\square$

Building upon this, we now present a proof of the Miranda Theorem for Curves (Theorem 2.5):

*Proof.* Without loss of generality, consider a box  $B = [-1, 1]^3$  satisfying  $C_1^f(B) \wedge JC_3^f(B) \wedge MK_3^f(B)$ .

For such a box  $B$ , the faces  $B_z^+$  and  $B_z^-$  lie in the planes  $z = 1$  and  $z = -1$ .

By the standard Miranda theorem applied to pair of functions  $(f(x, y, 1), g(x, y, 1))$ , we can see that there is a point  $\mathbf{p}_1 = (p_1, p_2, 1) \in B_z^+$  such that  $\mathbf{f}(\mathbf{p}_1) = \mathbf{0}$ . By applying the quantitative IFT, we can construct the graph of a function  $g_1 : [1-\mu, 1+\mu] \rightarrow \mathbb{R}^2$  such that  $\{(g_1(z), z) : z \in [1-\mu, 1+\mu]\}$  is a parameterization of  $Zero(\mathbf{f})$  around  $\mathbf{p}_1$ . Then, we can choose a point  $\mathbf{p}_2 = (g_1(1-\mu), 1-\mu) \in B$

on the curve. This point is in  $B$  and thus we can apply the theorem again to get another function

$$g_2 : [1 - \mu, 1 + \mu] \rightarrow \mathbb{R}^2$$

whose graph is a parameterization of  $\text{Zero}(\mathbf{f})$  around the point  $\mathbf{p}_2$ . Continuing in this way, we can construct a curve through the points  $\mathbf{p}_1, \mathbf{p}_2, \dots, \mathbf{p}_k$  (for some  $k \geq 1$ ) until the curve reaches the face  $B_z^-$ .

Now, let  $\Gamma$  be such a curve.

As  $MK_3(B)$  holds in  $B$ , it can trivially be seen that the curve  $\Gamma$  cannot be intersecting the pair of facets  $B_1^\pm$  or the pair of faces  $B_2^\pm$ . ( $\forall i \in \{1, 2\}. f_i(B_1^-) < 0 < f_i(B_1^+) \implies 0 \notin f_i(B_1^-) \wedge 0 \notin f_i(B_1^+)$ )

This implies:

$$\forall p = (p_1, p_2, p_3) \in \Gamma. p_1, p_2, p_3 \in [-1, 1] \quad (2.18)$$

Moreover, as the curve  $\Gamma$  connects the faces  $B_z^+$  and  $B_z^-$ , we have:

$$\forall z \in [-1, 1]. \exists x, y \in [-1, 1]. (x, y, z) \in \Gamma \quad (2.19)$$

We now claim that  $\text{Zero}(\mathbf{f}) \cap B$  is contained in  $\Gamma$ , which proves our theorem. Suppose, for the sake of contradiction, that there is some point  $\mathbf{p}' = (x', y', z')' \in (\text{Zero}(\mathbf{f}) \cap B) \setminus \Gamma$ .

For such a  $\mathbf{p}' = (x', y', z')$ , we have  $x', y', z' \in [-1, 1]$  by (2.18)

However, for the same  $z'$ , we must also have:  $\exists x, y \in R. (x, y, z') \in \Gamma$  by (2.19).  
 $((x, y) \neq (x', y'))$  is known as  $\mathbf{p}' \notin \Gamma$

This implies that, for the box  $B' = [[-1, 1], [-1, 1], z']]$  we must have:

$$|Zero(f, g) \cap B'| \geq 2$$

As we have  $\{(x, y, z), (x, y, z')\} \subseteq Zero(f, g) \cap B'$  and  $(x, y) \neq (x', y')$

Moreover, as  $B' \subseteq B$ , we have  $JC_3^f(B')$  (as a result of  $JC_3^f(B)$ ).

However, by Lemma 2.1, we know  $JC_3^f(B') \implies |Zero(f, g) \cap B'| \leq 1$

Thus, we have  $JC_3^f(B') \wedge |Zero(f, g) \cap B'| \geq 2 \wedge (JC_3^f(B') \implies |Zero(f, g) \cap B'| \leq 1)$ , which is a contradiction.

Hence, our assumption that there exists  $p' = (x', y', z')' \in (Zero(f) \cap B) \setminus \Gamma$  must be false, proving our claim that  $Zero(f) \cap B$  is contained in  $\Gamma$ .

This completes the proof of the Miranda Theorem for Curves. □

## 2.6 PRECONDITIONING

Unfortunately, the Miranda conditions discussed in the preceding sections are not guaranteed to hold as we consider smaller and smaller boxes around an arbitrary non-singular space curve. As discussed in [13], a preconditioning operation is needed to provide such guarantees.

To describe an adequate preconditioning operation, let us denote by  $e_i$  for  $i \in \{1, 2, 3\}$  the unit vectors  $(1, 0, 0)^T$ ,  $(0, 1, 0)^T$ , and  $(0, 0, 1)^T$  respectively. Moreover, let us denote by  $\delta_{ij}$  the Kronecker delta, i.e.  $\delta_{ij} = 1$  if and only if  $i = j$ . Otherwise  $\delta_{ij} = 0$ . We use  $\partial_i$  for the partial derivative along the  $i$ -th coordinate direction. Denote for a matrix  $M \in \mathbb{R}^{m \times n}$  by  $M_{ij}$  the  $i$ -th row and  $j$ -th column entry of  $M$ .

Then, the standard preconditioning of the MK uses the following transformation:

$$\forall p \in \mathbb{R}^3 : \begin{pmatrix} \tilde{f}_1 \\ \tilde{f}_2 \\ \tilde{f}_3 \end{pmatrix}_{(p)} = \begin{pmatrix} \nabla f_1^T \\ \nabla f_2^T \\ \nabla f_3^T \end{pmatrix}_{(m_B)}^{-1} \cdot \begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix}_{(p)} \quad (2.20)$$

**Lemma 2.7** (Derivatives for the standard preconditioning). *The standard preconditioning aligns the function space of  $\tilde{f}_1$ ,  $\tilde{f}_2$  and  $\tilde{f}_3$  such that*

$$\forall i \in \{1, 2, 3\} : \quad \nabla \tilde{f}_i = e_i \quad (2.21)$$

Moreover every root of  $\tilde{\mathbf{f}}$  is also a root of  $\mathbf{f}$ .

*Proof.* The second equation holds to linearity of derivatives:

$$\begin{aligned}
\partial_i \tilde{f}_j(m) &= e_j^T \begin{pmatrix} \partial_i \tilde{f}_1 \\ \partial_i \tilde{f}_2 \\ \partial_i \tilde{f}_3 \end{pmatrix}_{(p)} \\
&= e_j^T \underbrace{\begin{pmatrix} \nabla f_1^T \\ \nabla f_2^T \\ \nabla f_3^T \end{pmatrix}_{(m_B)}^{-1}}_{e_i} \cdot \begin{pmatrix} \partial_i f_1 \\ \partial_i f_2 \\ \partial_i f_3 \end{pmatrix}_{(p)} \\
&= \delta_{ij}
\end{aligned}$$

Therefore,  $\nabla \tilde{f}_i = e_i$  as claimed.

The transformation matrix is invertible and therefore  $\forall p \in \mathbb{R}^3$ :

$$\begin{pmatrix} \tilde{f}_1 \\ \tilde{f}_2 \\ \tilde{f}_3 \end{pmatrix}_{(p)} = \underbrace{\begin{pmatrix} \nabla f_1^T \\ \nabla f_2^T \\ \nabla f_3^T \end{pmatrix}_{(m_B)}^{-1}}_{e_i} \cdot \begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix}_{(p)} = 0 \Leftrightarrow \begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix}_{(p)} = 0$$

I.e. a root of  $\tilde{\mathbf{f}}$  is also a root of  $\mathbf{f}$ . □

It has been proven that this preconditioning ensures the halting of the *MK* test in a small enough box around roots if  $\mathbf{f}$  in [13]. Thus, this preconditioning can be used before the *MK* test in a subdivision algorithm searching for the roots of  $\mathbf{f}$  (where boxes far from the roots are eventually discarded by  $C_0^f$ ) to guarantee eventual halting of the search.

Unfortunately the curve  $\tilde{f}_1^{-1}(0) \cap \tilde{f}_2^{-1}(0)$  in transformed space does not directly tell us anything about the curve  $f_1^{-1}(0) \cap f_2^{-1}(0)$  in primal space. As a result, preconditioned Miranda tests

cannot be directly combined with the Miranda Theorem for Curves (Theorem 2.5) for an isotopic approximation of the traced curve.

On the other hand, the information regarding the roots of  $f$  (given by information regarding the roots of  $\tilde{f}$ ) is valuable, as it can be seen by its use in our algorithm in the subsequent chapters.

## 3 | ALGORITHM DESIGN

Here, we present two algorithms which, combined, allow us to approximate space curves. These algorithms build upon the tests and theoretical results provided in Chapter 2.

### 3.1 CURVE-ISOLATING SUBDIVISION ALGORITHM

Let us first define a subdivision of a box  $B_0$  as a set  $\bar{\mathbf{B}}$  of disjoint boxes for which  $\bigcup_{B \in \bar{\mathbf{B}}} B = B_0$  holds. Let us consider the elements of such a  $\bar{\mathbf{B}}$  connected if and only if the boxes share a face.

Let us also define the concept of an octree subdivision of a box  $B_0$  as a subdivision of  $B_0$  achievable by starting with the set  $\bar{\mathbf{B}} = \{B_0\}$  and splitting an arbitrary element of  $\bar{\mathbf{B}}$  to eight boxes sharing the same ratios of dimensions as the original box iteratively for an arbitrary number of iterations.

Moreover, for an arbitrary box  $B \subseteq \mathbb{R}^3$ , let  $Faces(B)$  be the set of all 2D faces of  $B$ .

Now, for arbitrary box or box face  $B$  and real number  $c \geq 1$ , define  $B.scale(c)$  as the box/box face sharing the same mid-point and proportions as  $B$  but having all interval widths multiplied by  $c$ .

For  $\mathbf{f} = (f_1, f_2)$  implicitly defining a space curve and satisfying the presented assumptions on an initial bounded box  $B_0 \subseteq \square\mathbb{R}^3$ :

For all  $face \in Faces(B)$  for some  $B \subseteq \square\mathbb{R}^3$ , consider the standard preconditioning defined as follows:

$$\tilde{\mathbf{f}} = \begin{pmatrix} \tilde{f}_1 \\ \tilde{f}_2 \end{pmatrix}_{(p)} = \begin{pmatrix} \nabla f_1^T \\ \nabla f_2^T \end{pmatrix}_{(m_{face})}^{-1} \cdot \begin{pmatrix} f_1 \\ f_2 \end{pmatrix}_{(p)}$$

Then, consider the preconditioned test:  $F\_PMK_c^{\mathbf{f}}(face) \equiv MT^{\tilde{\mathbf{f}}}(face.scale(c))$

(Where  $MT$  is the simple Miranda test defined as in (2.13))

Such a test can be equivalently written as:  $F\_PMK_c^{\mathbf{f}}(face) \equiv$

$$\left[ (\tilde{f}_1((face_1^-).scale(c)) < 0 < \tilde{f}_1((face_1^+).scale(c))) \wedge (\tilde{f}_2((face_2^-).scale(c)) < 0 < \tilde{f}_2((face_2^+).scale(c))) \right] \quad (3.1)$$

Then, define preconditioned MK test for boxes defined as follows:

$$PMK_c^{\mathbf{f}}(B) \equiv \left[ \bigvee_{face \in faces(B)} F\_PMK_c^{\mathbf{f}}(face.scale(c)) \right] \quad (3.2)$$

Using the test  $PMK_c^f(B)$ , alongside  $C_0$ ,  $C_1$ , and  $JC$  defined in previous sections, we can define the following algorithm:

---

**Algorithm 1** *Subdivide<sup>f</sup>( $B_0, c, \epsilon$ )*

**Input:**  $B_0 \subseteq \mathbb{R}^3$ ,  $c \geq 1$ ,  $\epsilon \in \mathbb{R}^+ \cup \{+\infty\}$

**Output:** A subset  $\mathbf{B}$  of an octree subdivision of  $B_0$

---

**Ensure:**  $\forall B \in \mathbf{B}. (\neg C_0^f(B)) \wedge (size(B) \leq \epsilon) \wedge C_1^{f_1}(B) \wedge C_1^{f_2}(B) \wedge JC^f(B.scale(4)) \wedge PMK_c^f(B)$

$\mathbf{B} \leftarrow \emptyset$

$Q \leftarrow \{B_0\}$

**while**  $Q \neq \emptyset$  **do**

$B \leftarrow Q.pop()$

**if**  $\neg C_0^f(B)$  **then** ▷ If  $B$  not excluded

**if**  $(size(B) \leq \epsilon) \wedge C_1^{f_1}(B) \wedge C_1^{f_2}(B) \wedge JC^f(B.scale(4)) \wedge PMK_c^f(B)$  **then**

$\mathbf{B}.push(B)$  ▷ Subdivision halted for box  $B$

**else**

$Q.push(B.split())$  ▷ Further subdivision required

**end if**

**end if**

**end while**

---

Where Boolean expressions are evaluated using short-circuit evaluation. This ensures that each test in  $(size(B) \leq \epsilon) \wedge C_1^{f_1}(B) \wedge C_1^{f_2}(B) \wedge JC^f(B.scale(4)) \wedge PMK_c^f(B)$  is only carried out if the preceding tests are true.

Note that as all  $B \in \mathbf{B}$  satisfies  $PMK_c^f(B)$ , we can see that there exists  $face \in Faces(B.scale(c))$  such that the 2D MK test on  $face.scale(c)$  succeeds.

By the Miranda theorem ([6]), this implies:

$$\forall B \in \mathbf{B}. \exists face \in Faces(B.scale(c)). \exists \mathbf{p} \in face.scale(c). \mathbf{f}(\mathbf{p}) = 0$$

which means that there is a point  $\mathbf{p}$  close to the volume of box  $B$  which lies on the traced curve  $S = B \cap \mathbf{f}^{-1}(\mathbf{0})$ . This closeness is determined by  $c$  passed to the algorithm.

We also know that for the traced curve cannot be passing through any volume not covered by a  $B \in \mathbf{B}$  as all boxes in such volumes must have been discarded by the  $C_0$  (exclusion) predicate.

Moreover, as  $B.scale(4)$  for all boxes  $B \in \mathbf{B}$ , we know by Lemma 2.4 that there are no closed loops of the traced curve in  $B.scale(4)$  for all  $B \in \mathbf{B}$ .

Unfortunately, however, we have no proof of further properties regarding the traced curve's behavior in the outputted set of boxes  $\mathbf{B}$  as the results of the Miranda Theorem for Curves (Theorem 2.5) do not directly imply any guarantees when a preconditioning step is used. (As explained in Section 2.6)

However, experimentally,  $Subdivide^f(B_0, c, \epsilon)$  has been observed to output a set of boxes  $\mathbf{B}$  whose connected components  $K_1, \dots, K_m$  each cover exactly one of the connected components of the traced curve in  $B_0$ . (i.e. connected components of  $\mathbf{B}$  isolate the connected components of the traced curve in  $B_0$ )

## 3.2 RECONSTRUCTION ALGORITHM

Let  $Q_{\text{curve}}$  be a set of boxes, outputted by  $\text{Subdivide}^f(B_0, c, \epsilon)$  for some  $B_0 \subseteq \mathbb{R}^3$ ,  $c > 1$ ,  $\epsilon > 0$ .

Then, we present the following algorithm to find approximations for the connected components of the traced curve in  $B_0$ :

---

**Algorithm 2** *Reconstruct( $Q_{\text{curve}}$ )*

**Input:**  $Q_{\text{curve}} \subseteq \square \mathbb{R}^3$

**Output:** An  $\epsilon$ -approximation of the curve(s) in  $f = 0$

---

- 1: Create a directed graph  $G$  that contains:
  - 2: A vertex for each box in  $Q_{\text{curve}}$
  - 3: A directed edge from  $B_1$  to  $B_2 \Leftrightarrow$  both boxes share a piece of an edge and the direction of the edge conforms with  $JC(B_1)$  and  $JC(B_2)$
  - 4: Split the graph  $G$  into simple connected components  $K_1, K_2, \dots$
  - 5:
  - 6: **for** each component  $K_i$  **do**
  - 7:     **if**  $\exists$  directed cycle in  $K_i$  with  $length > 2$  **then**
  - 8:         Output  $short(est)$  directed cycle in  $K_i$  with  $length > 2$
  - 9:     **else**
  - 10:         Output a path realizing the graph diameter of the undirected version of  $K_i$
  - 11:     **end if**
  - 12: **end for**
-

where the graph diameter for the undirected version  $K_i$  is determined with the following algorithm:

---

**Algorithm 3** *Find\_Diameter( $G$ )*

**Input:** undirected graph  $G = (V, E)$

**Output:** A path  $v, \dots, w$  realizing the diameter of  $G$

---

- 1: Let  $u \in V$
  - 2: Use BFS to find a vertex  $v$  with maximum distance from  $u$
  - 3: Use BFS to find a vertex  $w$  with maximum distance from  $v$
  - 4: Return a shortest path from  $v$  to  $w$
- 

In the *Reconstruct* algorithm, A directed edge from  $B_1$  to  $B_2$  is considered to conform with  $JC(B_1)$  and  $JC(B_2)$  if and only if the vector pointing from the center of  $B_1$  to the center of  $B_2$  does not contradict the parameterization implied by the  $JC(B_1)$  and  $JC(B_2)$  conditions.

With this, the *Reconstruct* algorithm works by constructing directed graphs for each connected component  $K_i$  of the input set  $Q_{\text{curve}}$  where the direction of each edge represents a potential way the traced curve passes between the boxes in the connected component.

If such a graph for a connected component includes cycles with length greater than two, the *Reconstruct* algorithm returns the shortest of such cycles. While we currently do not possess theoretical guarantees for such an approximation, we have experimentally observed that such cycles have only been detected in the created graphs when the traced curve piece was a closed loop inside the related connected component (for which the smallest of such cycles presented a simple approximation).

If the graph for a connected component does not include any cycles with length greater than two, then we know that the traced curve must not have a cycle of length greater than

two in the connected component as the predicates used are conservative (and thus only ever over-approximate the possibilities for the real behavior of the curve). For such cases, the approximation of the traced curve piece by a path realizing the graph diameter has been experimentally a good approximation.

Here, it must be noted that the limit of two for cycle length is necessary as cycles of length two occur sporadically in the created graphs due to the way directed edges are created. While this has not caused the erroneous approximation of any traced curve components in our experiments, further work is needed in either providing guarantees or improving this method.

# 4 | IMPLEMENTATION AND EXPERIMENTS

## 4.1 IMPLEMENTATION

The algorithms described in Chapter 3 have been implemented in Matlab alongside the needed data structures, predicates, and interval arithmetic.

In this process, the *Subdivide* algorithm has been implemented with the *parfor* function of Matlab for parallelizing the subdivision of boxes at each depth as follows:

```
1 ...
2
3 %Depth limit for phase 1
4 depthlimit = 8;
5 %Depth limit for phase 2
6 numiterMKlimit = 6;
7
8 ...
9
10 %% Subdivision Phase 1
11 Q = B0; %Input of the first phase of subdivision
12 QJac = []; %Output of first phase of subdivision
13
14 % Depth for phase 1
15 depth = 0;
16
17 % Create a subdivision of boxes, which all satisfy the predicates untill C1 tests
18 % and the Jacobian tests hold (where boxes satisfying C0 get excluded at each level)
19 while ~isempty(Q) && depth <= depthlimit
20     Q_next = cell(length(Q),1);
21     QJac_add = cell(length(Q),1);
22
23     disp(['Phase 1: depth = ', num2str(depth), ' | length(Q) = ', num2str(length(Q))]);
24
25     %Parallel Subdivision
26     parfor i = 1:length(Q)
27         B_par = Q(i);
28         if ~local_predicate.C0(B_par,f,1) && ~local_predicate.C0(B_par,g,2)
```

```

29     if B_par.radius<MAXEPS && local_predicate.C1(B_par,df,3) &&
30         local_predicate.C1(B_par,dg,4) && ... %&&
31         local_predicate.C1cross(B_par,df,dg,5)
32             local_predicate.Jacobian(B_par,df,dg,5)
33                 QJac_add{i} = B_par;
34             else
35                 children = B_par.split;
36                 Q_next{i} = children;
37             end
38         end
39     accepted = [QJac_add{:}];
40
41     disp(['# accepted boxes = ', num2str(length(accepted))]);
42
43 %Collection of results
44 Q = [Q_next{:}];
45 QJac = [QJac, QJac_add{:}];
46
47     depth = depth+1;
48 end
49
50 if ~isempty(Q) && depth == depthlimit + 1
51     disp("Phase 1 stopped due to depth limit");
52 end
53
54 disp(['Time for Phase 1: ',num2str(toc(tStart)), 's']);
55
56 disp("Phase 1 finalized.");
57 disp("Proceeding to phase 2...");
58
59 tPhase2 = tic;
60
61 %% Subdivision Phase 2
62 QMK = QJac; %Input of the second phase of subdivision
63 Qcurve = []; %Output of second phase of subdivision
64 %%
65 %Depth for phase 2
66 numiterMK = 1;
67
68 while ~isempty(QMK) && numiterMK <= numiterMKlimit
69     %Iteration cell arrays
70     QMK_next = cell(length(QMK),1);
71     Qcurve_add = cell(length(QMK),1);
72
73     disp(['Phase 2: numiterMK = ', num2str(numiterMK), ' | length(QMK) = ',
74         num2str(length(QMK))]);
75
76     %Parallel Subdivision
77     parfor i = 1:length(QMK)
78         B_par = QMK(i);
79         if ~local_predicate.C0(B_par,f,1) && ~local_predicate.C0(B_par,g,2)
80             if local_predicate.Jacobian(B_par,df,dg,5) &&
81                 local_predicate.MK_face(B_par,f,df,g,dg,7)
82                 %local_predicate.MK_face(B_par,f,df,g,dg,7)
83                 if any(B_par.testresults{7})
84                     Qcurve_add{i} = B_par;
85                 end %Else: MK test has succeeded not in finding a root, but in excluding all in
86                     internal call to C0_faces
87             else

```

```

84         children = B_par.split;
85         QMK_next{i} = children;
86     end
87 end
88
89 accepted = [Qcurve_add{:}];
90
91 disp(['# accepted boxes = ', num2str(length(accepted))]);
92
93 %Collection of results
94 QMK = [QMK_next{:}];
95 Qcurve = [Qcurve, Qcurve_add{:}];
96
97 numiterMK = numiterMK+1;
98
99 end

```

As it can be seen, the subdivide algorithm has been split into two steps here to better understand the depth of subdivision required for all boxes to satisfy the *JC* condition and the additional depth of subdivision required to satisfy the *MK* test.

Then, the output of this subdivision step *Qcurve* is used to create the required graph structures, and is used to find approximations for the traced curve pieces. (Appendix A.1 can be seen for the whole main code including these steps for Algorithm 2.)

The code presented in Appendix A can be seen for further details regarding these steps, as well as the implementations of the related functions and the main data structures.

## 4.2 EXPERIMENTS AND RESULTS

In the following pages, the results for the experimental approximations of several space curves (each implicitly defined by two functions) can be seen.

When discussing the result, the first subdivision loop will be referred as Phase 1 and the second subdivision loop will be referred as Phase 2.

#### 4.2.1 EXPERIMENT 1

Approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = x^2 + y^2 - z$$

$$f_2(x, y, z) = x^2 + y^2 + z^2 - 1$$

where inputs for  $Subdivide^{\mathbf{f}}(B_0, c, \epsilon)$  are as follows

$$B_0 = [[-1, 1], [-1, 1], [-1, 1]]$$

$$c = 1.3$$

$$\epsilon = \infty$$

presents the following behavior:

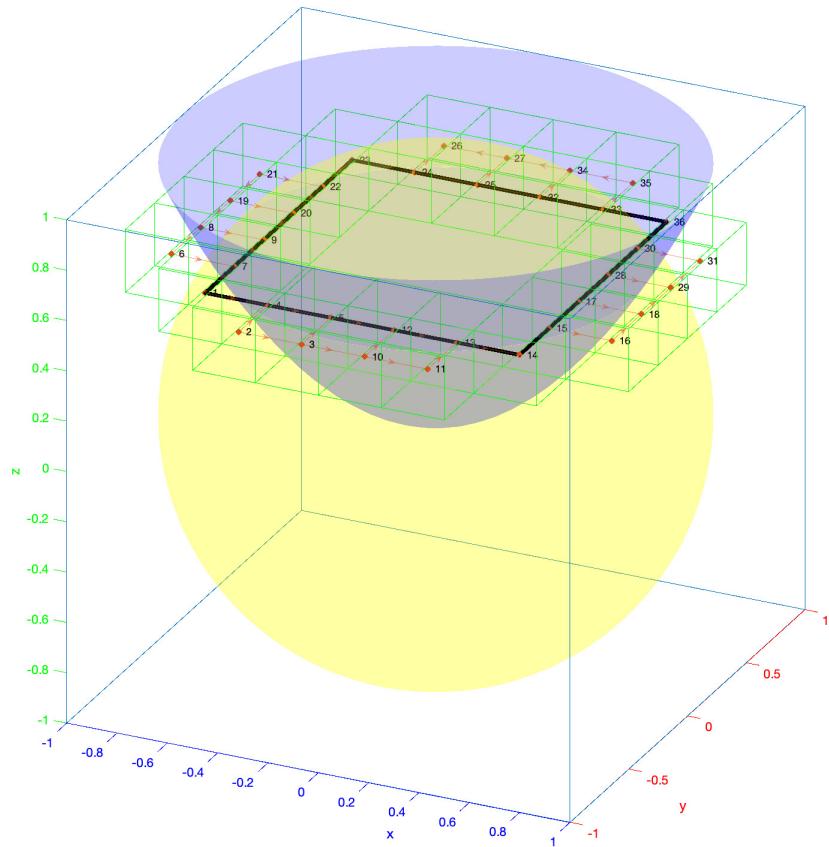
**Table 4.1:** Experiment 1 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	64	0
3	224	44
4	128	4
Time: 0.84525s		

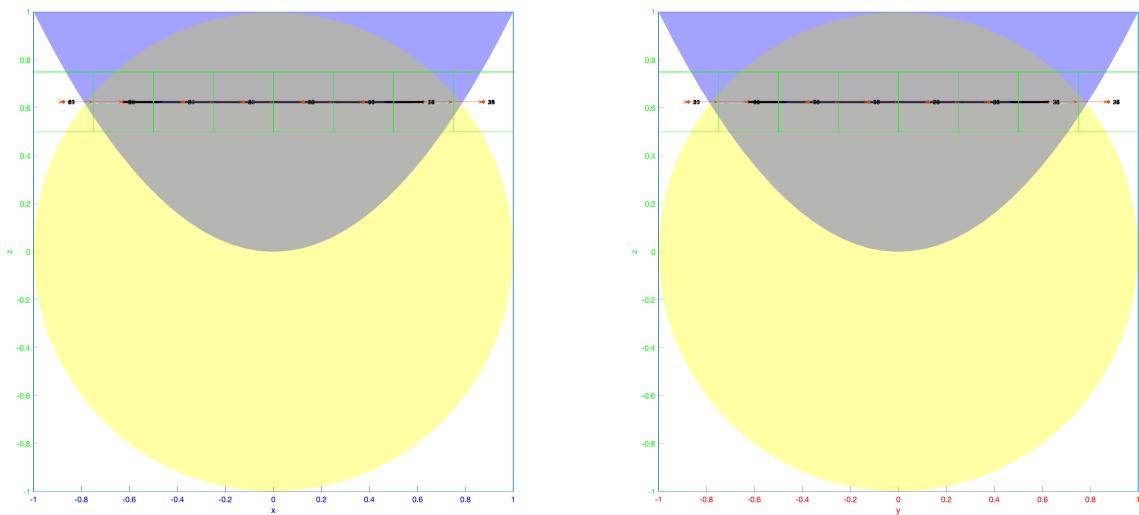
**Table 4.2:** Experiment 1 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	48	36
1	96	0
Time: 1.1794s		

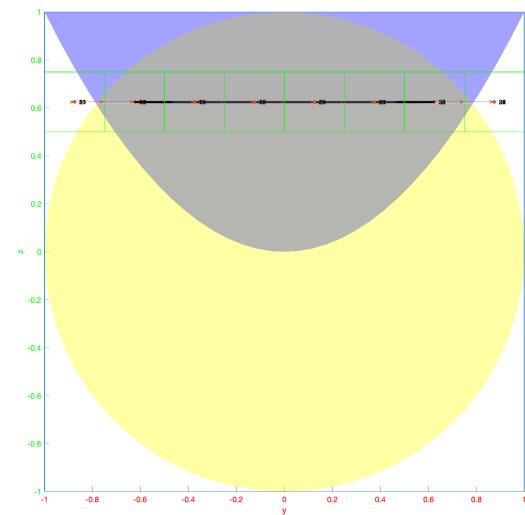
The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:



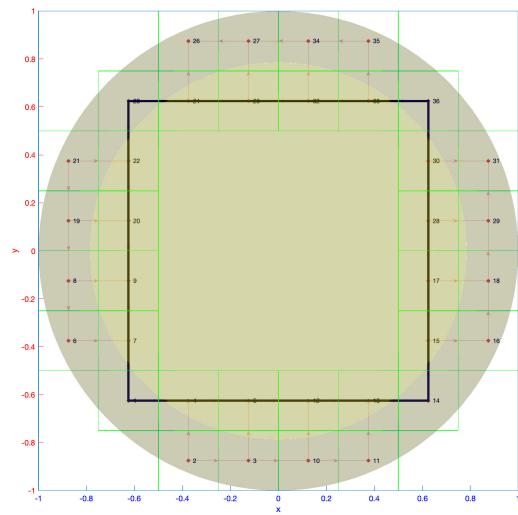
**Figure 4.1:** The output for experiment 1 seen in 3D



(a) Front view



(b) Side view



(c) Top view

**Figure 4.2:** The output for experiment 1 viewed from the front, the side, and top

#### 4.2.2 EXPERIMENT 2

Approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = x^2 + y^2 - z$$

$$f_2(x, y, z) = x^2 + y^2 + z^2 - 1$$

where inputs for  $Subdivide^{\mathbf{f}}(B_0, c, \epsilon)$  are as follows:

$$B_0 = [[-1, 1], [-1, 1], [-1, 1]]$$

$$c = 1.3$$

$$\epsilon = 0.05$$

presents the following behavior and results:

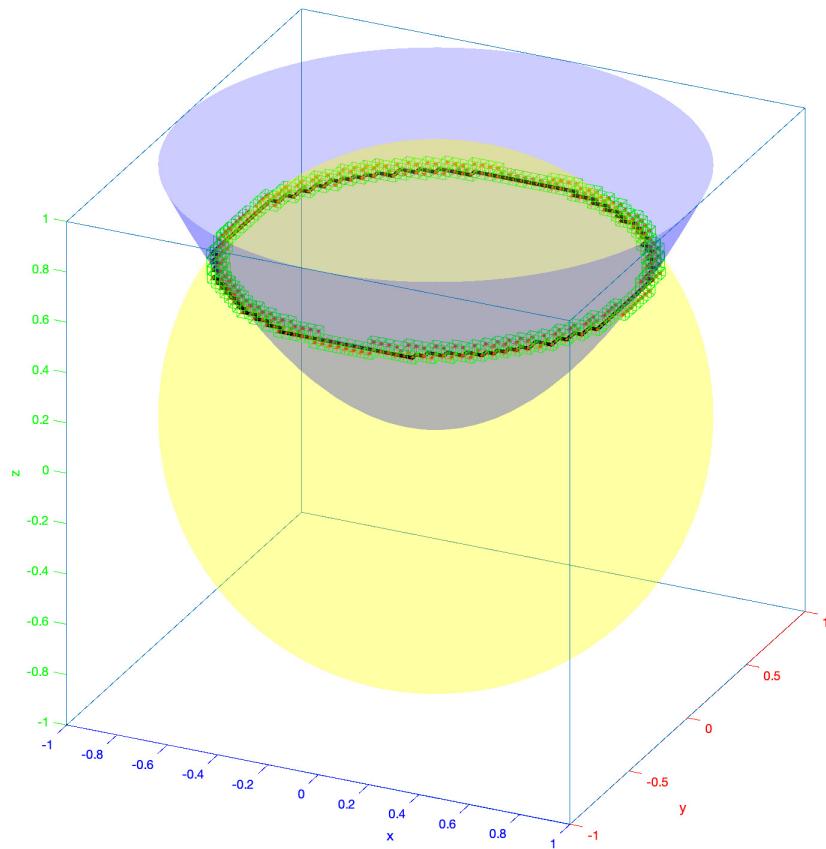
**Table 4.3:** Experiment 2 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	64	0
3	224	0
4	480	0
5	928	0
6	1664	424
Time: 3.5449s		

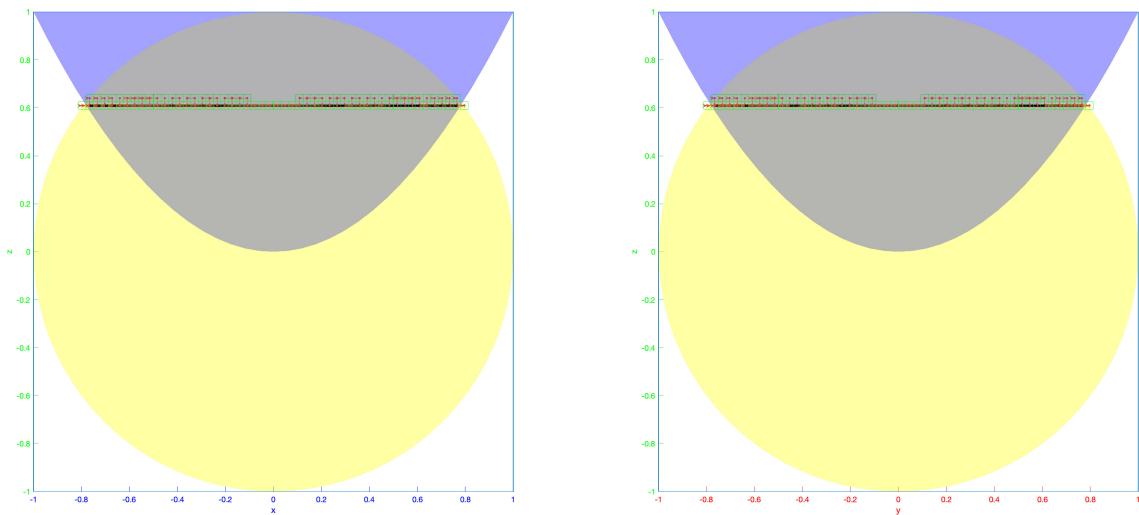
**Table 4.4:** Experiment 2 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	424	408
1	128	0
Time: 13.8679s		

The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:

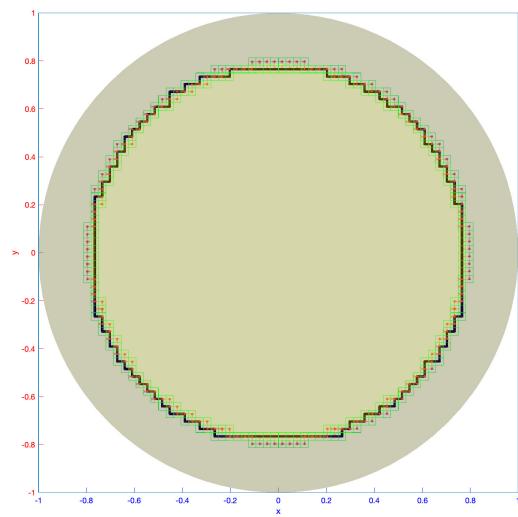


**Figure 4.3:** The output for experiment 2 seen in 3D



(a) Front view

(b) Side view



(c) Top view

**Figure 4.4:** The output for experiment 2 viewed from the front, the side, and top

### 4.2.3 EXPERIMENT 3

Approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = x^4 + 2x^2y^2 + y^4 - 2(x^2 + y^2) + 1 - z$$

$$f_2(x, y, z) = 0.5 - z$$

where inputs for  $Subdivide^{\mathbf{f}}(B_0, c, \epsilon)$  are as follows:

$$B_0 = [[[-1.2, 1.2], [-1.2, 1.2], [-1.2, 1.2]]]$$

$$c = 1.3$$

$$\epsilon = \infty$$

presents the following behavior and results:

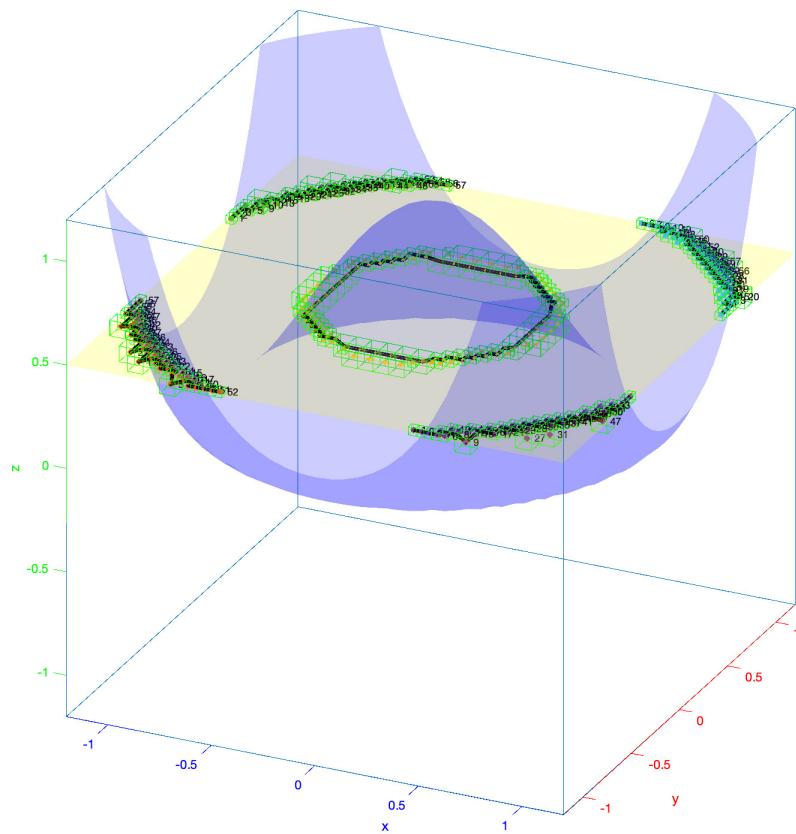
**Table 4.5:** Experiment 3 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	32	0
3	128	0
4	480	0
5	1920	108
6	3456	660
7	96	0
Time: 10.9647s		

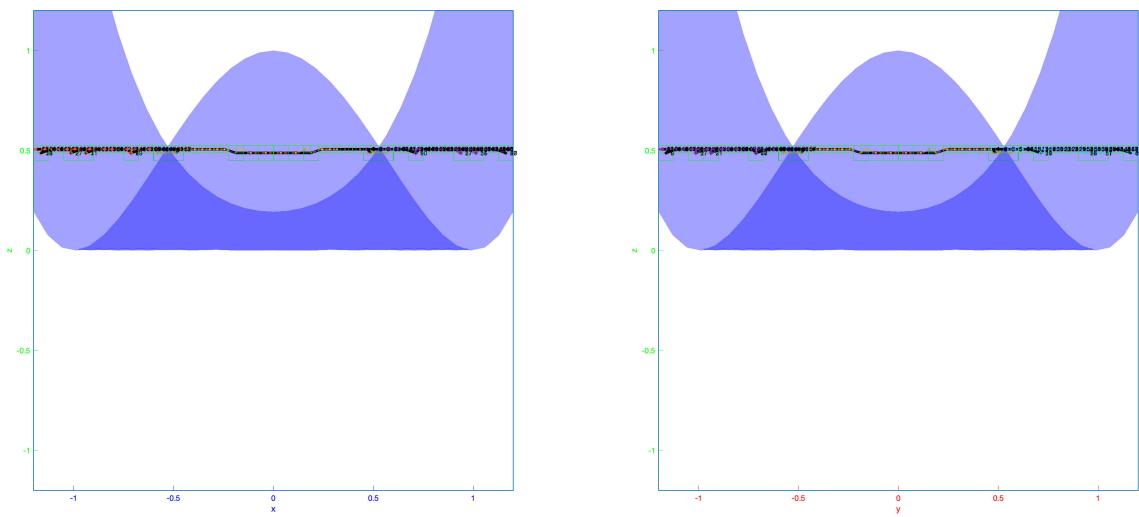
**Table 4.6:** Experiment 3 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	768	364
1	1824	0
2	736	0
Time: 31.1397s		

The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:

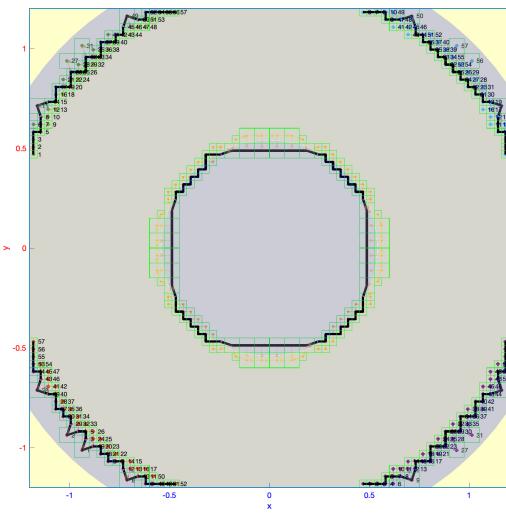


**Figure 4.5:** The output for experiment 3 seen in 3D



**(a)** Front view

**(b)** Side view



**(c)** Top view

**Figure 4.6:** The output for experiment 3 viewed from the front, the side, and top

#### 4.2.4 EXPERIMENT 4

Approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = x^2 + y^2 - z^2 - 2$$

$$f_2(x, y, z) = x^2 - y^2 + z^2 - 1$$

where inputs for  $Subdivide^{\mathbf{f}}(B_0, c, \epsilon)$  are as follows:

$$B_0 = [[-3, 3], [-3, 3], [-3, 3]]$$

$$c = 1.3$$

$$\epsilon = \infty$$

presents the following behavior and results:

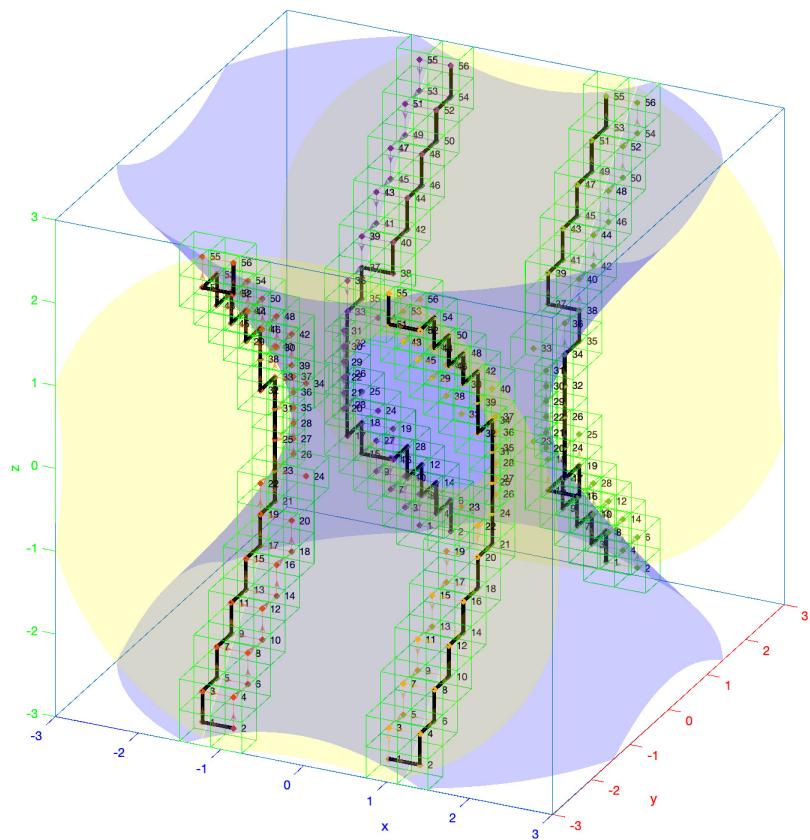
**Table 4.7:** Experiment 4 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	64	0
3	384	16
4	896	200
5	384	40
Time: 3.1143s		

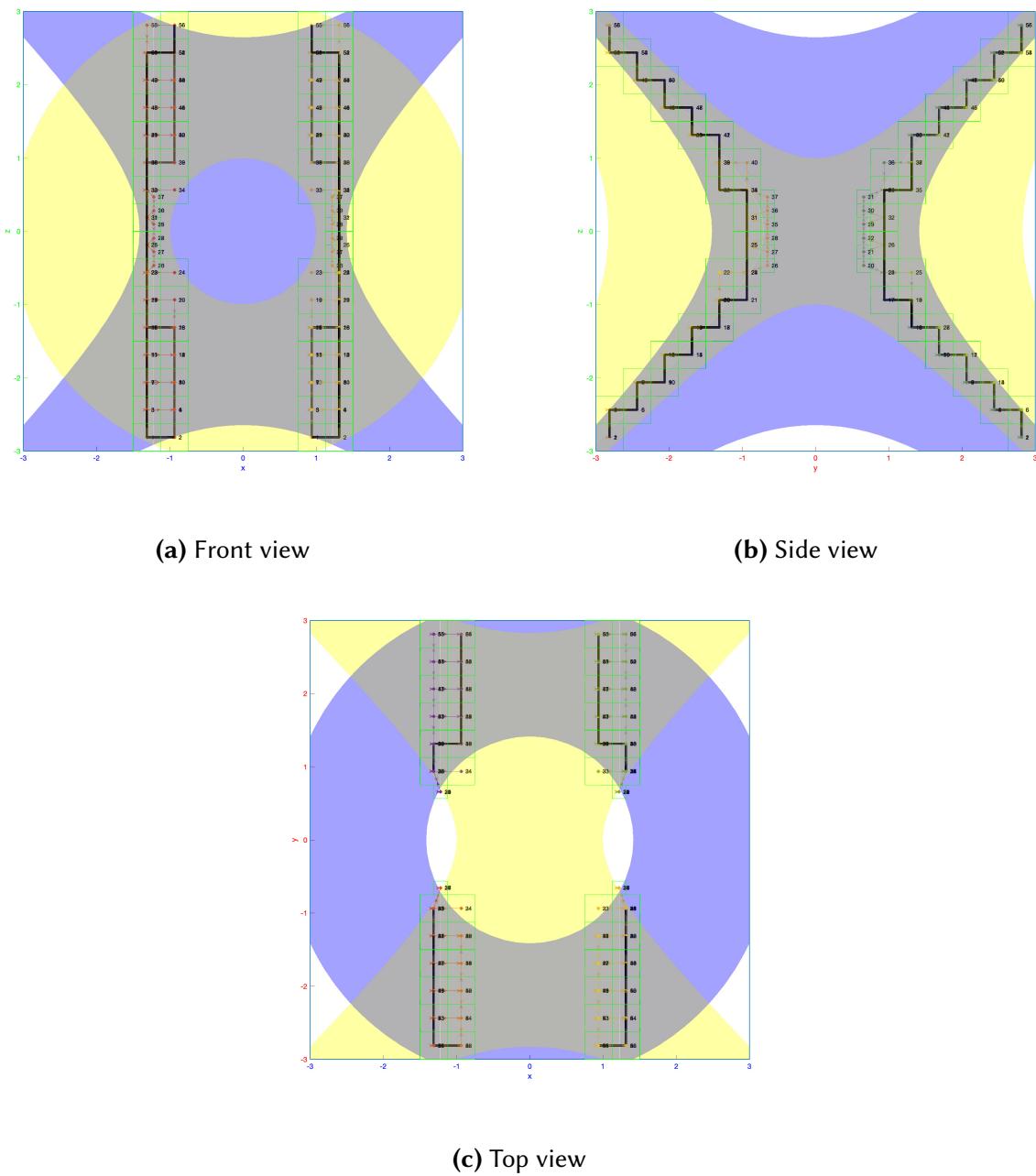
**Table 4.8:** Experiment 4 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	256	224
1	256	0
2	256	0
Time: 23.6716s		

The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:



**Figure 4.7:** The output for experiment 4 seen in 3D



**Figure 4.8:** The output for experiment 4 viewed from the front, the side, and top

#### 4.2.5 EXPERIMENT 5

For this experiment, we look at the approximation of an implicit space curve defined by  $\mathbf{f} = (f_1, f_2)$  given by:

$$f_1(x, y, z) = \|\langle qp1, p1 - [x; y; z] \rangle\| / \|qp1\| - \|\langle qp2, p2 - [x; y; z] \rangle\| / \|qp2\|$$

$$f_2(x, y, z) = \|\langle qp1, p1 - [x; y; z] \rangle\| / \|qp1\| - \|\langle qp3, p3 - [x; y; z] \rangle\| / \|qp3\|$$

where  $p1, p2, p3$  represent coordinates of three points and  $qp1, qp2, qp3$  represent 3D vectors, given as follows:

$$p1 = [0; 0; 4]$$

$$p2 = [0; 0; -4]$$

$$p3 = [1; 1; 0]$$

$$qp1 = [4; 3; 0]$$

$$qp2 = [4; -3; 0]$$

$$qp3 = [4; 1; 4]$$

With this, the given  $f_1$  function implicitly defines the surface of equal distance to the line defined by point  $p1$  and vector  $qp1$  and the line defined by point  $p2$  and vector  $qp2$ . Similarly,  $f_2$  implicitly defines the surface of equal distance to the line defined by point  $p1$  and vector  $qp1$  and the line defined by point  $p3$  and vector  $qp3$ .

Thus, the 1D manifold implicitly defined by  $f_1$  and  $f_2$  is the Voronoi diagram of the lines defined by the given points and the corresponding vectors.

For the experiment, inputs for  $\text{Subdivide}^f(B_0, c, \epsilon)$  are given as follows:

$$B_0 = [[[-10, 10], [-10, 10], [-10, 10]]]$$

$$c = 1.3$$

$$\epsilon = \infty$$

and we observe the following behavior and results:

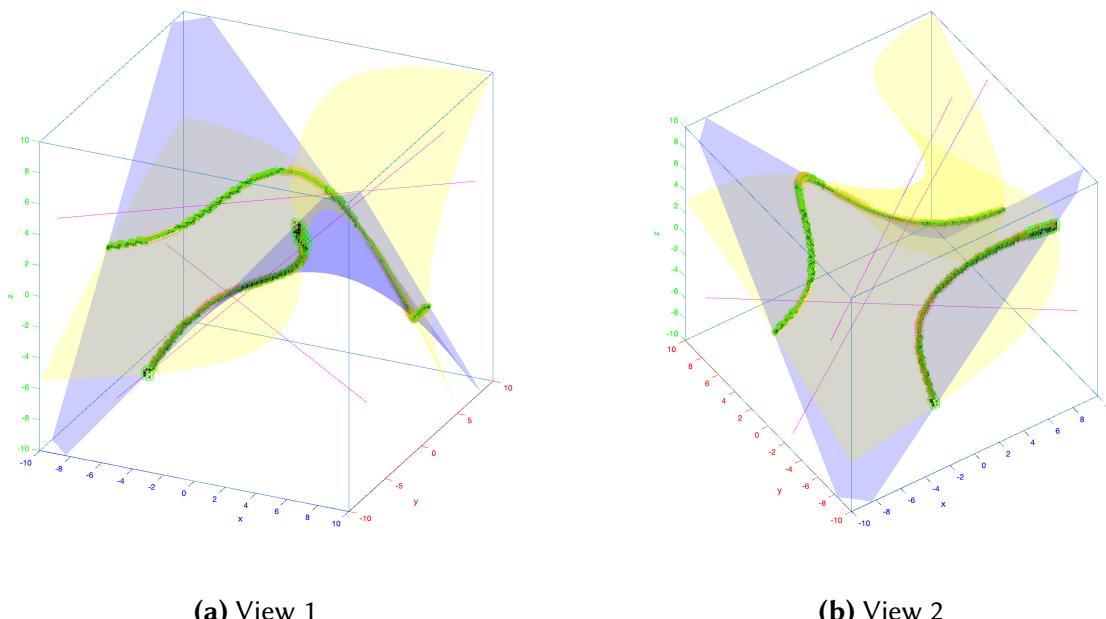
**Table 4.9:** Experiment 5 - Phase 1

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	1	0
1	8	0
2	64	0
3	368	16
4	1368	200
5	4504	40
6	10424	186
7	20376	2945
8	19056	4949
Time: 305.3464s		

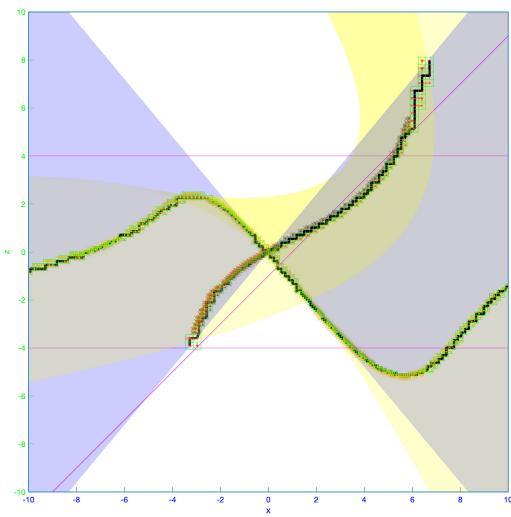
**Table 4.10:** Experiment 5 - Phase 2

Depth	Number of Evaluated Boxes	Number of Accepted Boxes
0	8080	1551
1	44312	31
2	59488	0
3	45816	0
4	11504	0
5	296	0
Time: 4451.3464s		

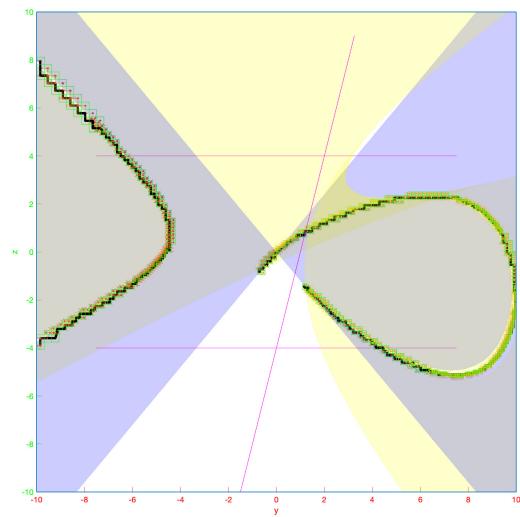
The outputted curve approximation can then be seen drawn on top of the boxes used in the approximation in the following figures:



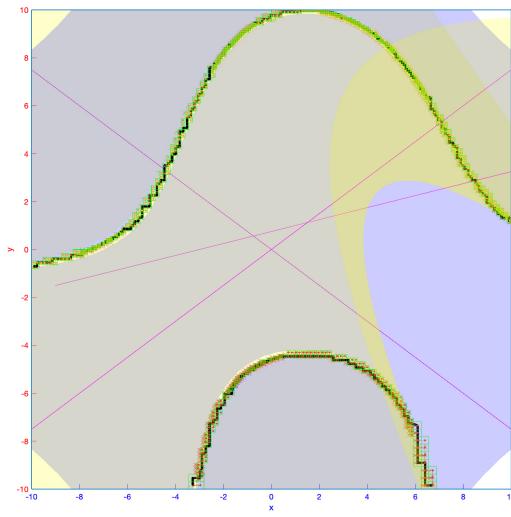
**Figure 4.9:** The output for experiment 5 seen in 3D, from two different angles



(a) Front view



(b) Side view



(c) Top view

**Figure 4.10:** The output for experiment 5 viewed from the front, the side, and top

## 5 | CONCLUSION

The isotopic approximation of implicitly-defined co-dimension 2 manifolds in  $n$  dimensional Euclidean space is an open problem in computational geometry. Building upon previous research on the approximation of co-dimension 1 manifolds, we have approached this problem using interval arithmetic and adaptive subdivision.

We have discussed predicates over interval boxes informative of the behavior of implicit space curves and presented proofs for guarantees provided by the combinations of such predicates.

We further discussed a preconditioning operation, which is currently used to guarantee the eventual detection of non-singular roots with the MK test for boxes containing the roots ([13]) at the cost of the apparent loss of some guarantees provided by our predicates.

Building upon this theory, we designed and implemented a new algorithm for the approximation of space curves and demonstrated the experimental results observed with this algorithm.

While the presented algorithm has not been shown to carry all the theoretical guarantees required for a guarantee for providing isotopic approximations, it has been demonstrated to provide accurate experimental results for the experiments studied in this thesis.

# A | APPENDIX

## A.1 MAIN CODE FOR THE IMPLEMENTED ALGORITHMS

```
1 %Main code for parallelized subdivision
2
3 %% Initialization
4 tic;
5 syms f_syms g_syms d_syms x y z
6 assume(x, 'real');
7 assume(y, 'real');
8 assume(z, 'real');
9
10 %% Example 1
11 % f_syms(x,y,z) = x.^2+y.^2-z;
12 % g_syms(x,y,z) = x.^2+y.^2+z.^2-1;
13 % B0 = freecurvebox([-ones(3,1),ones(3,1)]);
14 % MAXEPS = inf;
15
16 %% Example 2 - Same as Example 1, but with a limit imposed on box sizes by MAXEPS
17 % f_syms(x,y,z) = x.^2+y.^2-z;
18 % g_syms(x,y,z) = x.^2+y.^2+z.^2-1;
19 % B0 = freecurvebox([-ones(3,1),ones(3,1)]);
20 % MAXEPS = 0.05;
21
22 %% Example 3
23 % f_syms(x,y,z) = x.^4+2*x.^2*y.^2+y.^4 - 2*(x.^2+y.^2) +1-z;
24 % g_syms(x,y,z) = 0.5-z;
25 % B0 = freecurvebox([zeros(3,1),ones(3,1)]);
26 % B0 = freecurvebox([-ones(3,1),ones(3,1)]).scale(1.2);
27 % B0 = freecurvebox([-1,-0.8;-1,-0.8;0.4,0.6]);
28 % B0 = freecurvebox([-ones(2,1),ones(2,1);[0.1,2.1]]);
29 % MAXEPS = inf;
30
31 %% Example 4
32 % f_syms(x,y,z) = x.^2+y.^2-z.^2 - 2;
33 % g_syms(x,y,z) = x.^2-y.^2+z.^2 - 1;
34 % B0 = freecurvebox([zeros(3,1),ones(3,1)]);
35 % B0 = freecurvebox([-ones(3,1),ones(3,1)]).scale(3);
36 % B0 = freecurvebox([-1,-0.8;-1,-0.8;0.4,0.6]);
37 % B0 = freecurvebox([-ones(2,1),ones(2,1);[0.1,2.1]]);
38 % MAXEPS = inf;
39
```

```

40 %Example 5: trisector
41 % https://mathworld.wolfram.com/Point-LineDistance3-Dimensional.html
42 %implement the sqrt function for intervals
43 p = [0, 0,1;...
44      0, 0,1;...
45      4,-4,0];
46 direction = [4, 4,4;...
47      3,-3,1;...
48      0, 0,4];
49 q = p+direction;
50 % d_syms(p,q,x,y,z) = norm(cross(q-p,p-[x;y;z]))/norm(q-p);
51 % f_syms(x,y,z) = norm(cross(q(:,1)-p(:,1),p(:,1)-[x;y;z]))/norm(q(:,1)-p(:,1))-norm(cross(q...
52 %      (:,2)-p(:,2),p(:,2)-[x;y;z]))/norm(q(:,2)-p(:,2));
53 % g_syms(x,y,z) = norm(cross(q(:,1)-p(:,1),p(:,1)-[x;y;z]))/norm(q(:,1)-p(:,1))-norm(cross(q...
54 %      (:,3)-p(:,3),p(:,3)-[x;y;z]))/norm(q(:,3)-p(:,3));
55 qp1 = q(:,1)-p(:,1);
56 p1 = p(:,1);
57 qp2 = q(:,2)-p(:,2);
58 p2 = p(:,2);
59 qp3 = q(:,3)-p(:,3);
60 p3 = p(:,3);
61 f_syms(x,y,z) = norm(cross(qp1,p1-[x;y;z]))/norm(qp1)-norm(cross(qp2,p2-[x;y;z]))/norm(qp2);
62 g_syms(x,y,z) = norm(cross(qp1,p1-[x;y;z]))/norm(qp1)-norm(cross(qp3,p3-[x;y;z]))/norm(qp3);
63 B0 = freecurvebox( 10*[-ones(3,1),ones(3,1)]);
64 MAXEPS = inf;
65
66 #####
67 %000000000000000000000000000000000000000000000000000000000000000000000000000000000000000000000000000000000000
68 #####
69 #####
70
71 df_syms = gradient(f_syms,[x,y,z]);
72 dg_syms = gradient(g_syms,[x,y,z]);
73 f_mat = matlabFunction(f_syms);
74 g_mat = matlabFunction(g_syms);
75 df_mat = matlabFunction(df_syms);
76 dg_mat = matlabFunction(dg_syms);
77 [f,g,df,dg] = funmanipulation.boxfunction(f_mat,g_mat,df_mat,dg_mat);
78
79 [fig,ax] = createfigure(B0);
80 plotspace = reshape(B0.scale(4).boxdimensions',1,[]);
81 alphavalue = 0.2;
82 hf = fimplicit3(ax,f,plotspace,'EdgeColor','none','FaceAlpha',alphavalue,'FaceColor','b');
83 hg = fimplicit3(ax,g,plotspace,'EdgeColor','none','FaceAlpha',alphavalue,'FaceColor','y');
84 B0.plotbox(ax);
85 drawnow;
86
87 %% for trisector example
88 M = cat(3,p+100*(q-p),q+100*(p-q));
89 hline1 = plot3(ax,squeeze(M(1,:,:))',squeeze(M(2,:,:))',squeeze(M(3,:,:))', 'm');
90 %% end trisector part
91
92 filename = which('parallel_local_tracecurve');
93 [filepath,name,ext] = fileparts(filename);
94
95 %% Settings
96 %Rules for inherited test results

```

```

98 B0.testresults = cell(1,8);
    ↪ %1:C0(f),2:C0(g),3:C1(f),4:C1(g),5:Jacobian,6:MK,7:MK_face,8:C0_face
99 B0.inherittestindices = 1:4;
100
101 %Depth limit for phase 1
102 depthlimit = 8;
103 %Depth limit for phase 2
104 numiterMKlimit = 6;
105
106 tStart = tic;
107
108 %% Subdivision Phase 1
109 Q = B0; %Input of the first phase of subdivision
110 QJac = []; %Output of first phase of subdivision
111
112 % Depth for phase 1
113 depth = 0;
114
115 % Create a subdivision of boxes, which all satisfy the predicates untill C1 tests
116 % and the Jacobian tests hold (where boxes satisfying C0 get excluded at each level)
117 while ~isempty(Q) && depth <= depthlimit
118     Q_next = cell(length(Q),1);
119     QJac_add = cell(length(Q),1);
120
121     disp(['Phase 1: depth = ', num2str(depth), ' | length(Q) = ', num2str(length(Q))]);
122
123     %Parallel Subdivision
124     parfor i = 1:length(Q)
125         B_par = Q(i);
126         if ~local_predicate.C0(B_par,f,1) && ~local_predicate.C0(B_par,g,2)
127             if B_par.radius<MAXEPS && local_predicate.C1(B_par,df,3) &&
128                 local_predicate.C1(B_par,dg,4) && ... %&&
129                 local_predicate.C1cross(B_par,df,dg,5)
130                 local_predicate.Jacobian(B_par,df,dg,5)
131                 QJac_add{i} = B_par;
132             else
133                 children = B_par.split;
134                 Q_next{i} = children;
135             end
136         end
137     end
138
139     accepted = [QJac_add{:}];
140
141     disp(['# accepted boxes = ', num2str(length(accepted))]);
142
143     %Collection of results
144     Q = [Q_next{:}];
145     QJac = [QJac, QJac_add{:}];
146
147     depth = depth+1;
148 end
149
150 if ~isempty(Q) && depth == depthlimit + 1
151     disp("Phase 1 stopped due to depth limit");
152 end
153
154 disp(['Time for Phase 1: ',num2str(toc(tStart)), 's']);
155
156 disp("Phase 1 finalized.");
157 disp("Proceeding to phase 2...");
```

```

156 tPhase2 = tic;
158
159 %% Subdivision Phase 2
160 QMK = QJac; %Input of the second phase of subdivision
161 Qcurve = []; %Output of second phase of subdivision
162 %%
163 %Depth for phase 2
164 numiterMK = 1;
165
166 while ~isempty(QMK) && numiterMK <= numiterMKlimit
167     %Iteration cell arrays
168     QMK_next = cell(length(QMK),1);
169     Qcurve_add = cell(length(QMK),1);
170
171     disp(['Phase 2: numiterMK = ', num2str(numiterMK), ' | length(QMK) = ',
172           num2str(length(QMK))]);
173
174     %Parallel Subdivision
175     parfor i = 1:length(QMK)
176         B_par = QMK(i);
177         if ~local_predicate.C0(B_par,f,1) && ~local_predicate.C0(B_par,g,2)
178             if local_predicate.Jacobian(B_par,df,dg,5) &&
179                 local_predicate.MK_face(B_par,f,df,g,dg,7)
180                 %local_predicate.MK_face(B_par,f,df,g,dg,7)
181                 if any(B_par.testresults{7})
182                     Qcurve_add{i} = B_par;
183                 end %Else: MK test has succeeded not in finding a root, but in excluding all in
184                 % internal call to C0_faces
185             else
186                 children = B_par.split;
187                 QMK_next{i} = children;
188             end
189         end
190     end
191
192     accepted = [Qcurve_add{:}];
193
194     disp(['# accepted boxes = ', num2str(length(accepted))]);
195
196     %Collection of results
197     QMK = [QMK_next{:}];
198     Qcurve = [Qcurve, Qcurve_add{:}];
199
200     numiterMK = numiterMK+1;
201
202 if ~isempty(QMK) && numiterMK == numiterMKlimit + 1
203     disp("Phase 2 stopped due to depth limit");
204 end
205
206 disp(['Time for Phase 2: ',num2str(toc(tPhase2)), 's']);
207 disp("Phase 2 finalized.");
208
209 %% Outputs
210 disp(['Total time for subvision: ',num2str(toc(tStart)), 's']);
211
212 disp(['Number of boxes in Q: ',num2str(length(Q))]);
213 %boxes which were not classified and fail the Jacobian

```

```

213 for i = 1:length(Q)
214     Q(i).plotbox(ax,'r');
215 end
216
217 disp(['Number of boxes in QMK: ',num2str(length(QMK))]);
218
219 %boxes which were not classified but pass the Jacobian
220 for i = 1:length(QMK)
221     QMK(i).plotbox(ax,'y');
222 end
223
224 disp(['Number of accepted boxes: ',num2str(length(Qcurve))]);
225
226 %boxes which pass the MK test and satisfy all the C1/Jacobian requirements
227 for i = 1:length(Qcurve)
228     Qcurve(i).plotbox(ax,'g');
229 end
230
231 %% ##### #####
232
233
234
235
236
237 %% Curve Construction
238 tic;
239
240 leavessub = leaves(B0);
241 n = length(leavessub);
242 nodesnearcurvelogical = false(n,1);
243 centers = zeros(3,n);
244
245 parfor i = 1:n
246     B = leavessub(i);
247     leavessub(i).boxid = i;
248     centers(:,i) = leavessub(i).center;
249     if any(B.testresults{7}) %all boxes in the final subdivision which pass the MK test also
250         → pass Jacobian
251         nodesnearcurvelogical(i) = true;
252     end
253 end
254 nodes = 1:n;
255 nodesnearcurve = nodes(nodesnearcurvelogical); %list of nodes according to initial IDs
256
257 Afull = logical(sparse(n,n));
258
259 for i = 1:n %Cannor directly use parfor here
260     neighbors = leavessub(i).neighbors;
261     for j = 1:length(neighbors) %probably not worth doing with parfor
262         Afull(i,neighbors(j).boxid) = true;
263     end
264 end
265 Afull = Afull | Afull';
266 Gfull = graph(Afull);
267
268 Gnearcurve = subgraph(Gfull,nodesnearcurve);
269
270 bins = concomp(Gnearcurve);
271 numcomps = numel(unique(bins));
272 curvepieces = cell(1,numcomps);

```

```

273 disp(["Numcomps: ", num2str(numcomps)])
274
275
276 for comp = 1:numcomps
277     nodescomponentlogical = bins == comp;
278     nodescomponent = nodesnearcurve(nodescomponentlogical);
279     Gcomponent = subgraph(Gnearcurve,nodescomponentlogical);
280
281     ndirected = length(nodescomponent);
282
283     disp(["Comp ", num2str(comp), ":" ])
284     disp(["Component size:", ndirected])
285
286     if ndirected == 1
287         disp("Skipped comp of size 1.")
288         disp("-----ooooo-----")
289         continue
290     end
291
292     Adirected = logical(sparse(ndirected,ndirected));
293     edges = table2array(Gcomponent.Edges);
294     for i = 1:size(edges,1)
295         edge = edges(i,:);
296         B1 = leavessub(nodescomponent(edge(1)));
297         B2 = leavessub(nodescomponent(edge(2)));
298         center1 = B1.center;
299         center2 = B2.center;
300         direction = (B1.radius+B2.radius)/sqrt(3) - (center2-center1) < 10*eps;
301         direction = direction*(-1)^(center1(direction)<center2(direction));%B1 has smaller
302         ↪ coordinates than B2 in direction
303         if all((direction')*B1.testresults{5} >= 0) && all((direction')*B2.testresults{5} >=0)
304             Adirected(edge(1),edge(2)) = true;
305         elseif all((direction')*B1.testresults{5} <= 0) && all((direction')*B2.testresults{5}
306         ↪ <=0)
307             Adirected(edge(2),edge(1)) = true;
308         end
309     end
310     Gdirected = digraph(Adirected);
311     hGdirected = plot(ax,Gdirected, 'XData',centers(1,nodescomponent), 'YData',centers(2,nodesc_]
312     ↪ omponent), 'ZData',centers(3,nodescomponent));
313     hGdirected.ArrowSize = 5; %7.5;
314     hGdirected.LineWidth = 0.5; %0.75;
315
316     %%Find cycle or path
317     %Use BFS to create a tree with shortest paths
318     %Then run DFS to check for paths that have all boxes nearby (neighbors of neighbors)
319     %Repeat for all neighbors of the starting vertex and select the shortest path
320     [path,foundcycle] = findcycle(Gdirected);
321     if ~foundcycle
322         disp("Cycle not found!")
323         path = findpath(Gdirected);
324     else
325         disp("Cycle found.")
326     end
327     disp(["Path length:", length(path)])
328     disp("-----ooooo-----")
329     curvepieces{comp} = path;
330
331     %Plot curve
332     Coordinates = zeros(3,length(path));
333     for i = 1:length(path)

```

```
331     Coordinates(:,i) = leavessub(nodescomponent(path(i))).center;
332 end
333 plot3(ax,Coordinates(1,:),Coordinates(2,:),Coordinates(3,:),'-k','LineWidth',3);
334 end
335 disp(['time for graph algorithm: ',num2str(toc), 's']);
```

## A.2 CODE FOR THE CYCLE FINDING ALGORITHM USED IN RECONSTRUCTION

```

1  function [cycle,foundcycle] = findcycle(G)
2  if nargin < 1
3      s = [1 1 2 3 3 4 4 6 6 7 8 7 5];
4      t = [2 3 4 4 5 5 6 1 8 1 3 2 8];
5      G = digraph(s,t);
6      plot(G);
7      hold on;
8  end
9 %We use node 1 or any of its neighbors as start vertex
10
11 edges = table2array(G.Edges);
12 n = size(G.Nodes,1);
13 neighbors = (edges(:,1) == 1) | (edges(:,2) == 1); %any(edges == 1,2);
14 neighbors = unique(edges(neighbors,:));
15 foundcycle = false;
16 cycle = [];
17 i = 1;
18 while ~foundcycle && i <= length(neighbors)
19     s = neighbors(i);
20     [~,D] = shortestpathtree(G, 'all', s);
21     maxdist = max(D(~isinf(D)));
22
23     farthestnodesbool = D >= maxdist-2 & ~isinf(D) & D>1;
24     farthestnodes = 1:n;
25     farthestnodes = farthestnodes(farthestnodesbool);
26     j = 1;
27     while maxdist < inf && ~foundcycle && j <= length(farthestnodes)
28         if findedge(G,farthestnodes(j),s) || fidedge(G,s,farthestnodes(j))
29             foundcycle = true;
30             t = farthestnodes(j);
31             TR = shortestpathtree(G,t,s, 'OutputForm', 'cell');
32             cycle = [TR{1},t];
33         end
34         j = j+1;
35     end
36     i = i+1;
37 end
38 end

```

### A.3 CODE FOR THE PATH FINDING ALGORITHM USED IN RECONSTRUCTION

```
1 function path = findpath(G)
2 if nargin < 1
3     s = [1 3 3 4 6 6 7 8 7 5];
4     t = [2 4 5 5 1 8 1 3 2 8];
5     G = digraph(s,t);
6     plot(G);
7     hold on;
8 end
9 edges = table2array(G.Edges);
10 Gundirected = graph(edges(:,1),edges(:,2));
11 % H = flipedge(G);
12 v = 1;
13 [~,D] = shortestpathtree(Gundirected, 'all',v);
14 s = find(D==max(D),1);
15 [~,D] = shortestpathtree(Gundirected, 'all',s);
16 t = find(D==max(D),1);
17 TR = shortestpathtree(Gundirected,s,t,'OutputForm','cell');
18 path = TR{1};
19
20 %Warning: this is not 100% correct, we would also need to additionally check that the first
21 % and last boxes are on the domain boundary
21 end
```

## A.4 CODE FOR THE IMPLEMENTED TESTS

```

1  classdef local_predicate < handle
2
3      %Assuming the following indexing for inherited test results:
4      %1:C0(f),2:C0(g),3:C1(f),4:C1(g),5:JC,6:MK,7:MK_face,8:C0_face
5
6      properties (Constant)
7          JC_scale = 4;
8          MK_scale = 2;
9          %For the test used on 6 sides:
10         MK_box_scale = 1.3; %Scales each box before determining the faces, must be above 1 for
11             % current implementation
12         MK_face_scale = 1.3; %Scales each face of the box, must be above 1 for current
13             % implementation
14         MK_jac_ind = 6;
15         C0_depth = 0; %0 means just evaluate on the main box, and gives the best performance by
16             % far (for C0_depth)
17         C0_face_depth = 0; %0 means just evaluate on the main box, and seems to give the best
18             % performance (for C0_face_depth)
19     end
20
21     methods
22         function this = local_predicate()
23             local_tracecurve;
24         end
25     end
26     methods(Static)
27         % ##### C0 #####
28         function bool = C0(B,f,ind)
29             if nargin > 2
30                 if isempty(B.testresults{ind})
31                     bool = local_predicate.C0core(B,f);
32                     B.testresults{ind} = bool;
33                 else
34                     bool = B.testresults{ind};
35                 end
36             else
37                 bool = local_predicate.C0core(B,f);
38             end
39         end
40         function bool = C0core(B,f)
41             %Returns true if the surface f=0 surely does not pass through B
42             %bool = 0 \notin f(B)
43             bool = funexcludes0(f,B,local_predicate.C0_depth);
44             %~interval.zeros(1).subset(f(B));
45         end
46         % ##### C1 #####
47         function bool = C1(B,df,ind)
48             if nargin > 2
49                 if isempty(B.testresults{ind})
50                     bool = local_predicate.C1core(B,df);
51                     B.testresults{ind} = bool;
52                 end
53             end
54         end
55     end
56 
```

```

51
52         else
53             bool = B.testresults{ind};
54         end
55     else
56         bool = local_predicate.C1core(B,df);
57     end
58 end
59 function bool = C1core(B,df)
60     %C1(B,df, IND)
61     %Returns true if the surface f=0 satisfies the C1 condition
62     %bool = 0 \notin [df(B) , df(B) >
63     Bint = B.interval;
64     bool = ~interval.zeros(3,1).subset(df(Bint)'*df(Bint));
65 end
66 function bool = C1cross(B,df,dg,ind)
67     if nargin > 2
68         if isempty(B.testresults{ind})
69             bool = local_predicate.C1crosscore(B,df,dg);
70             B.testresults{ind} = bool;
71         else
72             bool = B.testresults{ind};
73         end
74     else
75         bool = local_predicate.C1crosscore(B,df);
76     end
77 end
78 function bool = C1crosscore(B,df,dg)
79     %C1cross(B,df,dg,ind)
80     %Returns true if the curve defined by f=0 and g=0 satisfies the C1 condition
81     %bool = 0 \notin [df(B) * dg(B) , df(B) * dg(B) >
82     Bint = B.interval;
83     cross_fg = cross(df(Bint),dg(Bint));
84     bool = ~interval.zeros(3,1).subset(cross_fg'*cross_fg);
85 end
86 % ##### JC #####
87
88
89 % ##### JC #####
90 function [bool,v] = Jacobian(B,df,dg,ind)
91     if nargin > 3
92         if isempty(B.testresults{ind})
93             [bool,v] = local_predicate.Jacobiancore(B,df,dg);
94             B.testresults{ind} = v;
95         else
96             v = B.testresults{ind};
97             bool = any(v);
98         end
99     else
100        [bool,v] = local_predicate.Jacobiancore(B,df,dg);
101    end
102    B.passesJacobian = B.passesJacobian || bool; %for function markcurve
103 end
104 function [bool,v] = Jacobiancore(B,df,dg)
105     B = B.scale(local_predicate.JC_scale);
106     v = zeros(3,1);
107     dB = [df(B),dg(B)];
108     for i = 1:3
109         testinterval = -(-1)^i*det(dB(setdiff([1,2,3],i),:));
110         if 0 < testinterval
111             v(i) = 1;

```

```

112     elseif testinterval < 0
113         v(i) = -1;
114     else
115         v(i) = 0; %Jacobian did not succeed
116     end
117 end
118 bool = any(v);
119
120 % ##### MK #####
121
122 function bool = MK(B,f,df,g,dg,ind,ax)
123     if nargin > 5
124         if isempty(B.testresults{ind})
125             if nargin > 6
126                 bool = local_predicate.MKcore(B,f,df,g,dg,ax);
127             else
128                 bool = local_predicate.MKcore(B,f,df,g,dg);
129             end
130             B.testresults{ind} = bool;
131         else
132             bool = B.testresults{ind};
133         end
134     else
135         bool = local_predicate.MKcore(B,f,df,g,dg);
136     end
137 end
138
139 function bool = MKcore(B,f,df,g,dg,ax)
140     %Returns true if there are two pairs of opposite faces of B
141     %with f having opposite sign on one pair, and g on the other.
142     %Includes preconditioning around the box center
143     B = B.scale(local_predicate.MK_scale);
144     m = B.center;
145     dfm = df(m); dgm = dg(m);

146     Jm = [dfm,dgm,cross(dfm,dgm)]';
147     invJm = Jm^(-1);
148     h = @(varargin) cross(dfm,dgm)'*(funmanipulation.convert2vec(varargin{:})-m);
149     % varargin can be x,y,z components or box or interval vector
150     fprime = @(varargin) invJm(1,:)*[f(varargin{:});g(varargin{:});h(varargin{:})];
151     gprime = @(varargin) invJm(2,:)*[f(varargin{:});g(varargin{:});h(varargin{:})];
152     hprime = @(varargin) invJm(3,:)*[f(varargin{:});g(varargin{:});h(varargin{:})];

153
154     faces = B.facets;
155     if ~funsmaller0(fprime,faces(1,1)) || ~funsmaller0(@(B) -fprime(B),faces(1,2)) ||
156     ...
157     ~funsmaller0(gprime,faces(2,1)) || ~funsmaller0(@(B) -gprime(B),faces(2,2)) ||
158     ...
159     ~funsmaller0(hprime,faces(3,1)) || ~funsmaller0(@(B) -hprime(B),faces(3,2))
160     bool = false;
161 else
162     bool = true;
163 end

164
165 if nargin>5
166     Bint = B.interval;
167     plothandle = B.plotbox(ax,'b');
168     if ~exist('axorig','var') || isempty(axorig) || ~isgraphics(axorig) %#ok<NODEF>
169         subplot(1,3,1,ax);

```

```

170 axorig = subplot(1,3,2);
171 r = groot;
172 Monitors = r.MonitorPositions;
173 [~,M] = max(Monitors(:,3));
174 fig = ax.Parent;
175 sizeX = Monitors(M,3);
176 originalPos = fig.Position;
177 newPos = originalPos;
178
179 set(fig, 'units', 'pixels', 'position', newPos);
180 prepareaxes(axorig);
181 end
182 if ~exist('axtrans','var') || isempty(axtrans) || ~isgraphics(axtrans)
183 %#ok<NODEF>
184 axtrans = subplot(1,3,3);
185 prepareaxes(axtrans);
186 end
187
188 plothoriginal = local_predicate.plotfunctions(axorig,f,g,h,Bint);
189 plothtransformed =
190 %>>> local_predicate.plotfunctions(axtrans,fprime,gprime,hprime,Bint);
191 ploth = [plothoriginal,plothtransformed];
192 end
193
194 if nargin>5
195 if bool
196 titletext = 'success';
197 else
198 titletext = [
199 '$ f^{\sim}(B_x^-) $ = '
200 %>>> num2str(fprime(faces(1,1)).bounds), ']', newline, ...
201 '$ -f^{\sim}(B_x^+) $ = '
202 %>>> num2str(uminus(fprime(faces(1,2))).bounds), ']', newline, ...
203 '$ g^{\sim}(B_y^-) $ = '
204 %>>> num2str(gprime(faces(2,1)).bounds), ']', newline, ...
205 '$ -g^{\sim}(B_y^+) $ = '
206 %>>> num2str(uminus(gprime(faces(2,2))).bounds), ']', newline, ...
207 '$ h^{\sim}(B_y^-) $ = '
208 %>>> num2str(hprime(faces(3,1)).bounds), ']', newline, ...
209 '$ -h^{\sim}(B_y^+) $ = '
210 %>>> num2str(uminus(hprime(faces(3,2))).bounds), ']', newline];
211 end
212 title(axtrans,titletext,'interpreter','latex','FontSize',16);
213
214 for i = 1:length(ploth)
215 delete(ploth{i});
216 end
217 delete(plothandle);
218 end
219 end
220 % #####
221
222 % ##### Plot #####
223 function ploth = plotfunctions(ax,fun1,fun2,fun3,B)
224 %plot the functions within the box for testing reasons
225 if nargin == 5
226 plotinterval = [B(1).bounds,B(2).bounds,B(3).bounds];
227 axis(ax,plotinterval);
228 else
229 plotinterval = axis(ax);
230 end

```

```

223 %Use the function arrayfun for the next 3 lines possibly
224 fun1_element = @(x,y,z) elementwisefunction(fun1,x,y,z);
225 fun2_element = @(x,y,z) elementwisefunction(fun2,x,y,z);
226 fun3_element = @(x,y,z) elementwisefunction(fun3,x,y,z);
227 ploth = cell(1,3);
228 ploth{1} = fimplicit3(ax,fun1_element,plotinterval,'b');
229 ploth{2} = fimplicit3(ax,fun2_element,plotinterval,'r');
230 ploth{3} = fimplicit3(ax,fun3_element,plotinterval,'g');
231 alpha(ploth{1},0.5);
232 alpha(ploth{2},0.5);
233 alpha(ploth{3},0.5);
234
235 end
236 function ploth = plotfunctions2(ax,fun1,fun2,B)
237 %plot the functions within the box for testing reasons
238 if nargin == 5
239     plotinterval = [B(1).bounds,B(2).bounds,B(3).bounds];
240     axis(ax,plotinterval);
241 else
242     plotinterval = axis(ax);
243 end
244 %Use the function arrayfun for the next 3 lines possibly
245 fun1_element = @(x,y,z) elementwisefunction(fun1,x,y,z);
246 fun2_element = @(x,y,z) elementwisefunction(fun2,x,y,z);
247 ploth = cell(1,2);
248 ploth{1} = fimplicit3(ax,fun1_element,plotinterval,'b');
249 ploth{2} = fimplicit3(ax,fun2_element,plotinterval,'r');
250 alpha(ploth{1},0.5);
251 alpha(ploth{2},0.5);
252
253 % ##### MK_face #####
254 function [bool,v] = MK_face(B,f,df,g,dg,ind,ax)
255 if nargin > 5
256     if isempty(B.testresults{ind})
257         if nargin > 6
258             [bool,v] = local_predicate.MK_face_core(B,f,df,g,dg,ax);
259         else
260             [bool,v] = local_predicate.MK_face_core(B,f,df,g,dg);
261         end
262         B.testresults{ind} = v;
263     else
264         v = B.testresults{ind};
265         bool = any(v);
266     end
267 else
268     [bool,v] = local_predicate.MK_face_core(B,f,df,g,dg);
269 end
270
271 end
272 function [bool,v] = MK_face_core(B,f,df,g,dg)
273 %Matrix showing which surfaces intersect the curve (populated below):
274 res = zeros(3,2);
275 %(Does not consider intersections in non-parameterizable direction's faces)
276
277 spandir = zeros(3,1);
278
279 %C0 on the faces of the initial box
280 [C0_face_small, ~] = local_predicate.C0_face_core(B.scale(1),f,g);
281 if C0_face_small %MK test terminates true but no faces are found, will not be
282     → considered during reconstruction later
283         bool = true;

```

```

283     v = reshape(res.',1,[]);
284     return
285 end
286
287 Bscaled = B.scale(local_predicate.MK_box_scale);
288
289 %C0 on the faces of the scaled box
290 [C0_face, exclusions] = local_predicate.C0_face_core(Bscaled,f,g);
291 if C0_face %MK test terminates true but no faces are found, will not be considered
292    → during reconstruction later
293    bool = true;
294    v = reshape(res.',1,[]);
295    return
296 end
297
298 [jacbool,jac] = local_predicate.Jacobian(B,df,dg, local_predicate.MK_jac_ind);
299 if ~jacbool %Jacobian test fails, need to split
300    bool = false;
301    v = reshape(res.',1,[]);
302    return
303 end
304
305 faces = Bscaled.facets;
306
307 for i = 1:3
308    if jac(i) ~= 0 %Parameterizable in this direction
309        for j = 1:2
310            if exclusions(2*(i-1)+j) ~= 1 %do not bother if face would be excluded
311                face = faces(i,j);
312                m = face.center;
313                dfm = df(m); dgm = dg(m);

314                %Remove the i'th dimension from dfm/dfg to get 2d versions
315                dfm(:, :) = [];
316                dgm(:, :) = [];

317                Jm = [dfm,dgm]';
318                invJm = Jm^(-1);

319                fprime = @(varargin) invJm(1,:) * [f(varargin{:});g(varargin{:})];
320                gprime = @(varargin) invJm(2,:) * [f(varargin{:});g(varargin{:})];

321                edges = face.scale(local_predicate.MK_face_scale).facets;

322                %facebool
323                if ~funsmaller0(fprime,edges(1,1)) || ~funsmaller0(@(B)
324                   → -fprime(B),edges(1,2)) || ...
325                   ~funsmaller0(gprime,edges(2,1)) || ~funsmaller0(@(B)
326                   → -gprime(B),edges(2,2))
327                    facebool = false;
328                else
329                    facebool = true;
330                end
331
332                res(i,j) = facebool;
333            end
334        end
335    end
336    dirbool = res(i,1) && res(i,2);
337    spandir(i) = dirbool;
338
339 end
340

```

```

341      %Flatten result for output
342      v = reshape(res.',1,[]);
343      bool = any(v);
344
345  end
346 ######
348
349  ##### C0_face #####
350  function [bool,v] = C0_face(B,f,g,ind) %Returns true iff all surfaces can be excluded
351      if nargin > 3
352          if isempty(B.testresults{ind})
353              [bool,v] = local_predicate.C0_face_core(B,f,g);
354              B.testresults{ind} = v;
355          else
356              v = B.testresults{ind};
357              bool = all(v);
358          end
359      else
360          [bool,v] = local_predicate.C0_face_core(B,f,g);
361      end
362  end
363  function [bool,v] = C0_face_core(B,f,g)
364      %Matrix showing which surfaces can be excluded
365      res = zeros(3,2);
366
367      faces = B.facets;
368
369      for i = 1:3
370          for j = 1:2
371              face = faces(i,j);
372
373              res(i,j) = funexcludes0(f,face,local_predicate.C0_face_depth) ||
374                  funexcludes0(g,face,local_predicate.C0_face_depth); %Can replace by
375                  % calls to C0_core
376          end
377      end
378
379      %Flatten result for output
380      v = reshape(res.',1,[]);
381      bool = all(v);
382
383  end
384 end

```

## A.5 CODE DEFINING INTERVALS AND INTERVAL ARITHMETIC

```
1 classdef interval < handle & matlab.mixin.Copyable
2     %Interval arithmetic operations
3     %functions
4
5         %Overloading operartors for the interval class
6         %a+b
7         %a-b
8         %-a
9         %+a
10        %a.*b
11        %a*b
12        %a./b
13        %a.\b
14        %a/b
15        %a\b
16        %a.^b
17        %a^b
18        %a < b
19        %a > b
20        %a <=b
21        %a >= b
22        %a ~= b
23        %a == b
24            %%%Not included
25            %%% a&b
26            %%% a|b
27            %%% ~a
28            %%% a:d:b
29            %%% a:b
30        %a'
31        %a.'
32            %%% [a,b]
33            %%% [a;b]
34            %%% a(s1,s2,...,sn)
35            %%% a(s1,s2,...,sn) = b
36            %%% b(a)
37        %sqrt
38        %nthroot
39        %abs
40        %sign
41        %norm %L_2 norm of interval vectors
42        %norm_p %L_p norm
43        %disp
44        %int2str
45        %extractbounds: used when working with matrices of intervals
46        %sum
47        %min
48        %max
49        %cross(a,b): computes the cross product of two interval vectors of size 3
50        %det: computes the determinant of a 2x2 interval matrix
51        %a.cap(b):      computes the intersection of intervals a and b
52        %a.cup(b):      computes the union of intervals a and b
53
54        %a \subset b:   a.subset(b)
55        %a \superset b: a.superset(b)
```

```

56     %x \in a:      a.element(x)
57
58     %scale(factor): if [a,b] is bounded then this doubles the length of the interval, while
59     %                   ↪ keeping the midpoint
60     %                   if [a,b] is unbounded, then this halves the length of the uncovered
61     %                   ↪ number line
62
63     %linspace(n): creates a mesh with each interval getting uniformly split into n values
64
65     properties
66         bounds %[a,b]
67             %if a<=b: I = [a,b]
68             %if b<a: I = [-inf,a] \cup [b,inf]
69             %The empty interval is represented by [inf,-inf]
70             %Imagine the ends of the number line being connected at infinity
71     end
72
73     methods
74         function this = interval(lowerbounds,upperbounds)
75             %Accepts two matrices of same size as bounds to create a matrix of intervals
76             if nargin > 0
77                 if nargin == 1
78                     upperbounds = lowerbounds;
79                 end
80                 v = size(lowerbounds);
81                 if all(size(lowerbounds) == size(upperbounds))
82                     numv = prod(v);
83                     if numv > 1
84                         for ind = numv:-1:1
85                             this(ind) = interval(lowerbounds(ind),upperbounds(ind));
86                         end
87                         if length(v) == 1
88                             v = [v,1];
89                         end
90                         this = reshape(this,v);
91                     else
92                         this.bounds = [lowerbounds,upperbounds];
93                     end
94                 else
95                     warning('wrong interval definition');
96                 end
97             end
98             end
99             %Overloading operators with MATLAB:
100             %https://ch.mathworks.com/help/matlab/matlab\_oop/implementing-operators-for-your-class.html
101             %↓ s.html
102             function result = plus(a,b)
103                 %a+b
104                 fct = @interval.plus_element;
105                 result = interval.elementwiseoperator(fct,a,b);
106             end
107             function result = minus(a,b)
108                 %a-b
109                 result = a+(-b);
110             end
111             function result = uminus(a)
112                 %-a
113                 fct = @interval.uminus_element;
114                 result = interval.elementwiseoperator(fct,a);
115             end
116             function result = uplus(a)
117                 %+a

```

```

114     result = a;
115 end
116 function result = times(a,b)
117     %a.*b
118     fct = @interval.times_element;
119     result = interval.elementwiseoperator(fct,a,b);
120 end
121 function result = mtimes(a,b)
122     %a*b
123     [i,j] = size(a);
124     [j2,k] = size(b);
125     result = interval(zeros([i,k]));
126     if j == j2
127         if ~isa(a, 'interval')
128             a = interval(a);
129         end
130         if ~isa(b, 'interval')
131             b = interval(b);
132         end
133         for iind = 1:i
134             for kind = 1:k
135                 currentsum = interval(0,0);
136                 for jind = 1:j
137                     currentsum = currentsum+interval.times_element(a(iind,jind).bounds |
138                                         ,b(jind,kind).bounds);
139                 end
140                 result(iind,kind) = currentsum;
141             end
142         end
143     else
144         error('The matrix dimensions are not matching');
145     end
146 end
147 function result = rdivide(a,b)
148     %a./b
149     if isa(b, 'interval')
150         result = a.*inverse(b);
151     else
152         result = a.*(1/b);
153     end
154 end
155 function result = inverse(a)
156     %1./a
157     fct = @interval.inverse_element;
158     result = interval.elementwiseoperator(fct,a);
159 end
160 %a.\b
161 %a/b
162 %a\b
163 function result = power(a,b)
164     %a.^b
165     fct = @interval.power_element;
166     result = interval.elementwiseoperator(fct,a,b);
167 end
168 %a^b
169 function result = lt(a,b)
170     %a < b
171     fct = @interval.lt_element;
172     result = interval.elementwiseoperator(fct,a,b);
173 end
174 function result = gt(a,b)

```

```

174      %a > b
175      result = b<a;
176  end
177  function result = le(a,b)
178      %a <=b
179      fct = @interval.le_element;
180      result = interval.elementwiseoperator(fct,a,b);
181  end
182  function result = ge(a,b)
183      %a >= b
184      result = b <= a;
185  end
186  function result = ne(a,b)
187      %a ~= b
188      result = ~(a==b);
189  end
190  function result = eq(a,b)
191      %a == b
192      fct = @interval.eq_element;
193      result = interval.elementwiseoperator(fct,a,b);
194  end
195
196  function result = inverse_elementwise(this)
197      fct = @interval.inverse_element;
198      result = interval.elementwiseoperator(fct,this);
199  end
200
201  function result = sqrt(a)
202      %sqrt(a)
203      fct = @interval.power_element;
204      result = interval.elementwiseoperator(fct,a,1/2);
205  end
206  function result = nthroot(a,n)
207      %nthroot(a,n)
208      fct = @interval.power_element;
209      result = interval.elementwiseoperator(fct,a,n^(-1));
210  end
211
212  function result = abs(a)
213      %abs(a)
214      fct = @interval.abs_element;
215      result = interval.elementwiseoperator(fct,a);
216  end
217
218  function result = norm(a)
219      %norm(a)
220      result = norm_p(a,2);
221  end
222  function result = norm_p(a,p)
223      %nthroot(a,b)
224      if length(size(a)) == 2 && length(size(p)) == 2 && all(size(p) == [1,1])
225          sizes = size(a);
226          if sizes(1) == 1 %row vector
227              result = sum(a.^p,2)^(1/p);
228          elseif sizes(2) == 1 %column vector
229              result = sum(a.^p,1)^(1/p);
230          else %matrix
231              error('matrix norm not yet implemented')
232          end
233      elseif length(size(a)) ~= 2
234          error('invalid input a for norm_p(a,p)')

```

```

235     else
236         error('norm_p(a,b) function requires a single scalar p')
237     end
238 end
239
240 function disp(this)
241     disp('Interval');
242     dispmat = interval.int2mat(this);
243     disp(dispmat);
244 end
245
246 function str = int2str(this)
247     str = mat2str(interval.int2mat(this));
248 end
249
250 function result = summinmax(this,directions,to_be_evaluated)
251     if nargin<2
252         directions = 1;
253     end
254     s = size(this);
255     d = length(s);
256     snew = s;
257     snew(directions) = ones(1,length(directions));
258     result = interval(zeros(snew));
259     specifieddirections = false(1,d);
260     specifieddirections(directions) = true(1,length(directions));
261
262     vLim = s;
263     vLim1 = s;
264     vLim1(directions) = [];
265
266     v1 = ones(1, length(vLim1));
267     ready = false;
268     while ~ready
269         Index1 = arrayindexing.sub2indV(vLim1, v1);
270
271         vLim2 = s(directions);
272         v2 = ones(1, length(vLim2));
273         ready = false;
274         while ~ready
275             v = zeros(1,d);
276             v(~specifieddirections) = v1;
277             v(specifieddirections) = v2;
278             Index = arrayindexing.sub2indV(vLim, v);
279             eval(to_be_evaluated);
280             % Update the index vector:
281             [v2,ready] = arrayindexing.updateindexvec(v2,vLim2);
282         end
283         % Update the index vector:
284         [v1,ready] = arrayindexing.updateindexvec(v1,vLim1);
285     end
286 end
287 function result = sum(this,directions)
288     to_be_evaluated = 'result(Index1) = result(Index1)+this(Index);';
289     result = summinmax(this,directions,to_be_evaluated);
290 end
291 function result = min(this,varargin)
292     to_be_evaluated = 'result(Index1) = result(Index1)+this(Index);';
293     result = summinmax(this,directions,to_be_evaluated);
294 end
295 %max

```

```

296 %         function result = max(this,varargin)
297 %     end
298
299     function result = cross(a,b)
300         %cross(a,b)
301         if numel(a) == 3 && numel(b) == 3 %length(size(a)) == 2 && length(size(b)) == 2 &&
302             ~ all(size(a) == [1,3]) && all(size(b) == [1,3])
303             result = [(a(2)*b(3)) - (a(3)*b(2)), (a(3)*b(1)) - (a(1)*b(3)), (a(1)*b(2)) -
304             ~ (a(2)*b(1))];
305         else
306             error('cross function is only implemented for two interval vectors of size 3')
307         end
308     end
309
310     %det
311     function result = det(this)
312         if length(size(this)) == 2 && all(size(this) == [2,2])
313             result = this(1)*this(4)-this(2)*this(3);
314         else
315             error('det function has to be implemented for non-2x2 matrices')
316         end
317     end
318     %cap
319     %cup
320     function result = cup(this,b)
321         fct = @interval.cup_element;
322         result = interval.elementwiseoperator(fct,this,b);
323     end
324
325     function result = sign(this)
326         fct = @interval.sign_element;
327         result = interval.elementwiseoperator(fct,this);
328     end
329
330     function result = extractbounds(this)
331         s = size(this);
332         n = prod(s);
333         result = zeros(n,2);
334         for i = 1:n
335             result(i,:) = this(i).bounds;
336         end
337         if s(end) == 1
338             s(end) = [];
339         end
340         result = reshape(result,[s,2]);
341     end
342
343     function result = subset(a,b)
344         fct = @interval.subset_element;
345         result = all(interval.elementwiseoperator(fct,a,b));
346     end
347     function result = superset(this,bi)
348         %this superset of b
349         result = bi.subset(this);
350     end
351
352     function result = scale(this,factor)
353         result = interval(zeros(size(this)));
354         s = 1+(factor-1)/2;
355         for i=1:numel(this)
356             result(i) = interval(s*this(i).bounds(1)+(1-s)*this(i).bounds(2),(1-s)*this(i)-
357             ~ ).bounds(1)+s*this(i).bounds(2));

```

```

355     end
356 end
357 function result = linspace(this,n)
358     result = zeros([numel(this),n]);
359     for i=1:numel(this)
360         result(i,:) = linspace(this(i).bounds(1),this(i).bounds(2),n);
361     end
362     s = size(this);
363     if length(s) == 2 && s(2) == 1
364         s(2) = [];
365     end
366     result = reshape(result,[s,n]);
367 end
368
369 %%%%%%%% functions which need revision
370 %
371 %
372 %
373 %
374 %
375 %
376 %
377 %
378 %
379 %
380 %
381 %
382 %
383 %
384 %
385 methods(Static)
386 function result = elementwiseoperator(fct,a,b)
387     %Computes elementwise: fct(a,b) or fct(a) if b is not defined
388     %Possible outputtypes are: 'interval' or 'logical'
389     %allows for arrays a and b to have only 1 element while the other doesn't, similar
390     %→ to 1+[2,3] = [3,4]
391
392     s = size(a);
393     if isa(a,'double')
394         a = interval(a);
395     end
396     if nargin >= 3
397         if numel(a) == 1
398             s = size(b);
399         end
400         if isa(b,'double')
401             b = interval(b);
402         end
403     end
404     snum = prod(s); %#ok<NASGU>
405     for ind = prod(s):-1:1
406         if nargin <= 2
407             input = {a(ind).bounds};
408         else
409             if numel(a) == 1
410                 input = {a.bounds,b(ind).bounds};
411             elseif numel(b) == 1
412                 input = {a(ind).bounds,b.bounds};
413             else
414                 input = {a(ind).bounds,b(ind).bounds};
415             end

```

```

415     end
416     result(ind) = fct(input{:});
417   end
418   result = reshape(result,s);
419 end
420
421 function result = plus_element(a,b)
422   %a+b
423   if (a(2)<a(1) && b(2)<b(1)) || (a(1)+b(1) <= a(2)+b(2) && (a(2)<a(1) || b(2) <
424   ↪ b(1)))
425     bounds = [-inf,inf];
426   else
427     bounds = a+b;
428   end
429   result = interval(bounds(1),bounds(2));
430 end
431 function result = uminus_element(a)
432   %-a
433   result = interval(-a(2),-a(1));
434 end
435 function result = times_element(a,b)
436   %a*b
437   values = a'*b;
438   if a(1) <= a(2) && b(1) <= b(2)
439     bounds = [min(values(:)),max(values(:))];
440   else
441     %make a contain infinity
442     if a(1) <= a(2)
443       c = a;
444       a = b;
445       b = c;
446     end
447     if interval.subset_element([0,0],b) || (b(2) < b(1) &&
448     ↪ interval.subset_element([0,0],a)) %0 in a or b
449       bounds = [-inf,inf];
450     elseif b(2) < b(1) && ~interval.subset_element([0,0],a) &&
451     ↪ ~interval.subset_element([0,0],b) %0 not in a or b
452       bounds = [min(values(values>0)),max(values(values<0))];
453     else
454       if interval.subset_element([0,0],b)
455         c = a;
456         a = b;
457         b = c;
458       end
459       if ~interval.subset_element([0,0],a)
460         if 0 < b(1) %b positive
461           bounds = [a(1)*b(1),a(2)*b(1)];
462         else %b negative
463           bounds = [a(2)*b(2),a(1)*b(2)];
464         end
465       else
466         if 0<a(2) %0 < complement(a)
467           if 0 < b(1) %b positive
468             bounds = [a(1)*b(1),a(2)*b(2)];
469             if bounds(2)>bounds(1)
470               bounds = [-inf,inf];
471             end
472           else %b negative
473             bounds = [a(2)*b(1),a(1)*b(2)];
474             if bounds(2)>bounds(1)
475               bounds = [-inf,inf];

```

```

473           end
474       end
475   else %complement(a) < 0
476     if 0 < b(1) %b positive
477       bounds = [a(1)*b(2),a(2)*b(1)];
478       if bounds(2)>bounds(1)
479         bounds = [-inf,inf];
480       end
481     else %b negative
482       bounds = [a(1)*b(1),a(2)*b(2)];
483       if bounds(2)>bounds(1)
484         bounds = [-inf,inf];
485       end
486     end
487   end
488 end
489 result = interval(bounds(1),bounds(2));
490
491
492
493
494
495
496
497
498 function result = inverse_element(a)
499   %Computes the inverse interval a^-1
500   result = interval(1/a(2),1/a(1));
501 end
502
503 function result = abs_element(a)
504   %|a|
505   if a(1) <= a(2) %[a(1), a(2)]
506     if a(1) >= 0
507       result = interval(a(1), a(2));
508     elseif a(2) <= 0
509       result = interval(-a(2), -a(1));
510     else %a(1) < 0 < a(2)
511       result = interval(0, max(-a(1),a(2)));
512     end
513   else %[-inf, a(2)] \cup [a(1), inf]
514     if a(2) >= 0 || a(1) <= 0
515       result = interval(0, inf);
516     else
517       result = interval(min(abs(a(1)), abs(a(2))), inf);
518     end
519   end
520 end
521
522 function result = power_element(a,b)
523   %Computes a^b assuming b is a real number
524   %and a is non-negative when b is not an integer
525   if a(2) >= a(1)
526     if b(1) == b(2) %b can be treated as a single real number
527       b = b(1);
528       if rem(b(1),1) == 0 %b is an integer
529         if b >= 0
530           if rem(b,2) == 0 && a(1) <= 0 && 0 <= a(2)
531             result = interval(0,max(a(1)^b,a(2)^b));
532           else
533             res1 = a(1)^b;

```

```

534         res2 = a(2)^b;
535         result = interval(min(res1,res2),max(res1,res2));
536     end
537     else % b < 0
538         if rem(b,2) == 0 && a(1) <= 0 && 0 <= a(2)
539             result = interval(min(a(1)^b,a(2)^b), inf);
540         elseif a(1) <= 0 && 0 <= a(2) %rem(b,2) == 1
541             result = interval(-inf, inf);
542         else %a does not include 0
543             res1 = a(1)^b;
544             res2 = a(2)^b;
545             result = interval(min(res1,res2),max(res1,res2));
546         end
547     end
548 elseif a >= 0 %b is not an integer, but a is non-negative
549     res1 = a(1)^b;
550     res2 = a(2)^b;
551     result = interval(min(res1,res2),max(res1,res2));
552 else
553     error("interval method a.^b not implemented for non-integer b and
554         ↪ negative a")
555 end
556 else
557     error("interval method a.^b not implemented for an interval b")
558 end
559 else %a(1) > a(2) (a = [-\inf,a(2)] \cup [a(1),\inf])
560     result = cup(interval(-inf,a(2)).^2, interval(a(1),inf).^2);
561 end
562
563 function result = lt_element(a,b)
564     %a < b
565     result = (a(1)<=a(2)) && (b(1)<=b(2)) && a(2)<b(1);
566 end
567 function result = le_element(a,b)
568     %a <= b
569     result = (a(1)<=a(2)) && (b(1)<=b(2)) && a(2)<=b(1);
570 end
571 function result = eq_element(a,b)
572     %a == b
573     result = all(abs(a - b) == 3*eps);
574 end
575
576 function result = cup_element(a,b)
577     if a(2) >= a(1) && b(2) >= b(1)
578         % [a(1), a(2)] \cup [b(1), b(2)]
579         result = interval(min(a(1),b(1)), max(a(2),b(2)));
580     elseif a(2) >= a(1) && b(2) < b(1)
581         % [a(1), a(2)] \cup ([-\inf, b(2)] \cup [b(1), inf])
582         if (a(1) <= b(2) && a(2) >= b(1)) || (a(1) >= b(2) && a(2) <= b(1))
583             result = interval(-inf, inf);
584         elseif a(1) <= b(2) && a(2) < b(1)
585             result = interval(b(1), a(2));
586         else % a(1) > b(2) && a(2) >= b(1)
587             result = interval(a(1), b(2));
588         end
589     elseif a(2) < a(1) && b(2) >= b(1)
590         % ([-\inf, a(2)] \cup [a(1), \inf]) \cup [b(1), b(2)]
591         %symmetric to the previous case
592         c = a;
593         a = b;

```

```

594     b = c;
595     if (a(1) <= b(2) && a(2) >= b(1)) || (a(1) >= b(2) && a(2) <= b(1))
596         result = interval(-inf, inf);
597     elseif a(1) <= b(2) && a(2) < b(1)
598         result = interval(b(1), a(2));
599     else % a(1) > b(2) && a(2) >= b(1)
600         result = interval(a(1), b(2));
601     end
602 else % a(2) < a(1) && b(2) < b(1)
603     % [-inf, a(2)] \cup [a(1), inf] \cup [-inf, b(2)] \cup [b(1), inf]
604     if max(a(2), b(2)) >= min(a(1), b(1))
605         result = interval(-inf, inf);
606     else
607         result = interval(min(a(1),b(1)), max(a(2), b(2)));
608     end
609 end
610 %to be checked
611
612 function result = sign_element(a)
613     result = interval(min(sign(a(1)), sign(a(2))), max(sign(a(1)), sign(a(2))));
614 end
615
616
617
618
619
620
621
622
623
624
625 function result = subset_element(a,b)
626     %a subset of b
627     if a(1)<=a(2) && b(1) <= b(2)
628         result = b(1) <= a(1) && a(2) <= b(2);
629     elseif a(1)<=a(2) && ~b(1) <= b(2)
630         result = a(2) <= b(1) || b(2) <= a(1);
631     elseif ~a(1)<=a(2) && b(1) <= b(2)
632         result = false;
633     elseif ~a(1)<=a(2) && ~b(1) <= b(2)
634         result = a(1)<=b(1) && b(2)<=a(2);
635     end
636 end
637
638
639
640
641
642 function Index = sub2indV(Vlim,X)
643     k = [1, cumprod(Vlim)];
644     Index = sum(k(1:length(X)) .* (X - 1)) + 1;
645 end
646 function v = ind2subV(Vlim, ind)
647     ind = ind-1;
648     v = zeros(1,0);
649     for i = 1:length(Vlim)
650         v(i) = 1+mod(ind,Vlim(i));
651         ind = (ind-v(i)+1)/Vlim(i);
652     end
653 end
654 function mat = int2mat(I)

```

```

655 %Gather the interval bounds in a matrix
656 sizeI = size(I);
657 if sizeI(end) == 1
658     sizeI(end) = [];
659 end
660 mat = zeros([sizeI,2]);
661 num = numel(I);
662 for i = 1:num
663     if ~isempty(I(i).bounds)
664         mat([i,i+num]) = I(i).bounds;
665     else
666         mat = ['empty ',num2str(sizeI),' array of intervals'];
667     end
668 end
669
670 function int = zeros(varargin)
671     sizes = cell2mat(varargin);
672     int = interval(zeros(sizes));
673 end
674 function int = ones(varargin)
675     sizes = cell2mat(varargin);
676     int = interval(ones(sizes));
677 end
678 function int = unit(varargin)
679     sizes = cell2mat(varargin);
680     if length(sizes) == 1
681         A = zeros([sizes,1]);
682         B = ones([sizes,1]);
683     else
684         A = zeros(sizes);
685         B = ones(sizes);
686     end
687     int = interval(A,B);
688 end
689
690
691 function test()
692     interval.test1;
693 end
694 function test1()
695     a = interval(0,1);
696     b = interval(3,4);
697     disp(a+b);
698     disp(a-b);
699     disp(a.*b);
700     disp(a./b);
701 end
702 end
703 end
704
705
706

```

## A.6 CODE DEFINING GENERIC BOXES AND FUNCTIONS ON BOXES

```

1 classdef box < handle & matlab.mixin.Copyable
2 %box class for subdivision algorithms
3
4 properties
5   boxdimensions %D*2 vector with min and max coordinate of
6   %the dimensions in each row[xmin,xmax; ymin,ymax,...]
7
8   length0 %D*1 logical vector keeping track of the directions of 0 length
9   %i.e. representing a lower dimensional box / face in D-dimensional space
10
11   depth = 0;
12 end
13 properties (NonCopyable)
14   parent %parent box
15   children %2*2*...*2 array containing 2^d many children boxes
16   %children(1,1,...,1) corresponds to the child with minimal
17   %coordinates in each direction
18   %d is the number of directions in which the box does not have 0 length
19
20   plotboxhandle %handle to the plotted box boundary
21 end
22
23 methods
24   function this = box(boxdimensions,length0)
25     %box(boxdimensions)
26   if nargin > 0
27     this.boxdimensions = boxdimensions;
28     if nargin > 1
29       this.length0 = length0;
30     else
31       this.length0 = boxdimensions(:,1) == boxdimensions(:,2);
32     end
33   end
34   function disp(this)
35     if length(this) == 1
36       disp(this.boxdimensions);
37     else
38       disp([num2str(size(this)), ' matrix of boxes']);
39     end
40   end
41   function boxes = split(this)
42     if ~isempty(this.children) || isempty(this.boxdimensions)
43       boxes = [];
44       warning("no box split performed");
45     return
46   end
47   D = size(this.boxdimensions,1);
48   d = D-sum(this.length0);
49
50   childrenarry(2^d) = copy(this);
51   if D == 1 || d == 1
52     childrenarry = reshape(childrenarry,[2*ones(1,d),1]);
53   else
54     childrenarry = reshape(childrenarry,2*ones(1,d));
55

```

```

56
57     end
58
59     vLim = 2*ones(1,d);
60     v      = ones(1, d);
61     ready = false;
62     while ~ready
63         Index = arrayindexing.sub2indV(vLim, v);
64         %If v(i) = 1, then the child will get the smaller coordinate in dimension i
65         vcomplete = ones(1,D);
66         vcomplete(~this.length0) = v;
67         childrenarry(Index).boxdimensions =
68             [this.boxdimensions(:,1),sum(this.boxdimensions,2)/2]+((vcomplete-1)'.*([
69             this.boxdimensions(:,2)-this.boxdimensions(:,1))/2)*[1,1];
70         childrenarry(Index).length0 = this.length0;
71         childrenarry(Index).depth = this.depth+1;
72         childrenarry(Index).parent = this;
73
74         % Update the index vector:
75         [v,ready] = arrayindexing.updateindexvec(v,vLim);
76     end
77
78     this.children = childrenarry;
79     this.plotboxhandle = [];
80     boxes = childrenarry(:)';
81 end
82
83 function c = center(this)
84     c = sum(this.boxdimensions,2)/2;
85 end
86 function r = radius(this)
87     r = norm(this.center-this.boxdimensions(:,1));
88 end
89 function C = corners(this)
90     D = size(this.boxdimensions,1);
91     d = D-sum(this.length0);
92     C = zeros(D,2^d);
93
94     for i = 1:2^d
95         v = arrayindexing.ind2subV(2*ones(1,d),i);
96         corner = zeros(D,1);
97         corner(this.length0) = this.boxdimensions(this.length0,1);
98         vind = 0;
99         for j = 1:D
100             if ~this.length0(j)
101                 vind = vind+1;
102                 corner(j) = (v(vind)==1)*this.boxdimensions(j,1)+(v(vind)==2)*this.box_
103                     dimensions(j,2);
104             end
105         end
106         C(:,i) = corner;
107     end
108 end
109 function f = facets(this)
110     D = size(this.boxdimensions,1);
111     d = D-sum(this.length0);
112     dind = d;
113     for i = D:-1:1
114         if ~this.length0(i)
115             for j = 2:-1:1
116                 B = copy(this);
117                 B.boxdimensions(i,3-j) = B.boxdimensions(i,j);

```

```

114     B.length0 = this.length0;
115     B.length0(i) = true;
116     f(dind,j) = B;
117   end
118   dind = dind-1;
119 end
120
121
122
123 function Bscaled = scale(this,factor)
124   classtype = class(this);
125   scaledboxdimensions =
126     interval(this.boxdimensions(:,1),this.boxdimensions(:,2)).scale(factor);
127   extractedbounds = scaledboxdimensions.extractbounds; %#ok<NASGU>
128   Bscaled = eval([classtype,'(scaledboxdimensions.extractbounds);']);
129   Bscaled.length0 = this.length0;
130 end
131
132 %Returns a box without the removed dimension
133 function Bnew = removedim(this,dim)
134   classtype = class(this);
135   dims = this.boxdimensions;
136   len0 = this.length0;
137   dims(dim,:) = [];
138   len0(dim,:) = [];
139   Bnew = eval([classtype,'(dims);']);
140   Bnew.length0 = len0;
141 end
142
143 %Returns a box with an inserted dimension at the specified location
144 %Inserts to the first/last position if the requested position is
145 %out of bounds
146 function Bnew = insertdim(this,dim,rowdims)
147   classtype = class(this);
148   dims = this.boxdimensions;
149   len0 = this.length0;
150   if dim < 1
151     dim = 1;
152   elseif dim > length(this.boxdimensions) + 1
153     dim = length(this.boxdimensions) + 1;
154   end
155   dims = [dims(1:dim-1,:); rowdims; dims(dim:end,:)];
156   len0 = [len0(1:dim-1,:); rowdims(1) == rowdims(2); len0(dim:end,:)];
157   Bnew = eval([classtype,'(dims);']);
158   Bnew.length0 = len0;
159 end
160
161 function Q = leaves(this)
162   if isempty(this.children)
163     Q = this;
164   else
165     Q = [];
166     for i = 1:numel(this.children)
167       Q = [Q,leaves(this.children(i))]; %#ok<*AGROW>
168     end
169   end
170 function int = interval(this)
171   int = interval(this.boxdimensions(:,1),this.boxdimensions(:,2));
172 end
173
```

```

174     function str = box2str(this)
175         str = int2str(this.interval);
176     end
177
178     function Bcap = cap(this,B)
179         %to be implemented
180         Bcap = this;
181     end
182     function Bcup = cup(this,B)
183         %to be implemented
184         Bcup = this;
185     end
186
187     function varargout = coord(this)
188         %Splits the box into its coordinate interval components
189         %For 3D boxes:
190         %[x,y,z] = B.splitcoordinates
191         D = size(this.boxdimensions,1);
192         varargout = cell(D,1);
193         intvec = this.interval;
194         output = mat2cell(intvec(:,ones(D,1))';
195         [varargout{:}] = deal(output{:});
196     end
197     function plotsubdivision(this,ax,varargin)
198         if isempty(this.children)
199             this.plotbox(ax,varargin{:});
200         else
201             for i = 1:numel(this.children)
202                 this.children(i).plotsubdivision(ax,varargin{:});
203             end
204         end
205     end
206     function h = plotbox(this,ax,varargin)
207         D = size(this.boxdimensions,1);
208         d = D-sum(this.length0);
209         switch d
210             case 1
211                 %1-dimensional box possibly in higher dimensions
212                 corners = this.corners;
213                 switch D
214                     case {1,2}
215                         h = scatter(corners(1,:),corners(2,:),varargin);
216                     case 3
217                         h = scatter3(corners(1,:),corners(2,:),corners(3,:),varargin);
218                 end
219             case 2
220                 %plot the box's edges
221                 corners = this.corners;
222                 corners = corners(:,[1,2,4,3,1]);
223                 switch D
224                     case 2
225                         h = plot(ax,corners(1,:),corners(2,:),varargin{:});
226                     case 3
227                         h = plot3(ax,corners(1,:),corners(2,:),corners(3,:),varargin{:});
228                 end
229             case 3
230                 %plot the box's edges
231                 corners = this.corners;
232                 %collect the vertex indices making up the edges
233                 eind = [1,2;...
234                             2,4;...

```

```

235      4,3;...
236      3,1;...
237      5,6;...
238      6,8;...
239      8,7;...
240      7,5;...
241      1,5;...
242      2,6;...
243      3,7;...
244      4,8];
245      XYZ = zeros(3,36);
246      for i = 1:12
247          XYZ(:,[3*i-2,3*i-1,3*i]) = [corners(:,eind(i,:)),[NaN;NaN;NaN]];
248      end
249      h = plot3(ax,XYZ(1,:),XYZ(2,:),XYZ(3,:),varargin{:});
250  end
251      this.plotboxhandle = h;
252
253  function zoom(this,ax)
254      plotinterval = this.boxdimensions;
255      plotinterval(this.length0,:) = this.boxdimensions(this.length0,:)+0.5*[-ones(sum(]
256      ↪ this.length0),1),ones(sum(this.length0),1)];
257      plotinterval = plotinterval';
258      plotinterval = reshape(plotinterval(:,1),[],1);
259      axis(ax,plotinterval);
260      %           r1 = boundingbox(1,2)-boundingbox(1,1);
261      %           r2 = boundingbox(2,2)-boundingbox(2,1);
262      %           pbaspect([r1, r2, max(r1,r2)])
263      daspect(ax,[1 1 1]);
264  end
265  %
266  %      function fillbox(this,axeshandle,color)
267  %          switch size(this.boxdimensions,1)
268  %              case 2
269  %                  X = [this.boxdimensions(1,1);this.boxdimensions(1,2);this.boxdimensions(]
270  %          ↪ 1,2);this.boxdimensions(1,1);this.boxdimensions(1,1)];
271  %                  Y = [this.boxdimensions(2,1);this.boxdimensions(2,1);this.boxdimensions(]
272  %          ↪ 2,2);this.boxdimensions(2,2);this.boxdimensions(2,1)];
273  %                  this.filling = fill(axeshandle,X,Y,color);
274  %          case 3
275  %      end
276  %  end
277
278  function delete(this)
279      if ~isempty(this.children)
280          while ~isempty(this.children)
281              this.children(1).delete;
282              this.children(1) = [];
283          end
284      end
285      if ~isempty(this.parent)
286          this.parent = [];
287      end
288  end
289
290  %automatically transform into an interval for interval computations
291  function result = plus(a,b)
292      %a+b
293      [a,b] = this.convert2interval(a,b);
294      result = a+b;
295  end

```

```

293     function result = minus(a,b)
294         %a-b
295         [a,b] = this.convert2interval(a,b);
296         result = a-b;
297     end
298     function result = uminus(a)
299         %-a
300         [a] = this.convert2interval(a);
301         result = -a;
302     end
303     function result = uplus(a)
304         %+a
305         [a] = this.convert2interval(a);
306         result = a;
307     end
308     function result = times(a,b)
309         %a.*b
310         [a,b] = this.convert2interval(a,b);
311         result = a.*b;
312     end
313     function result = mtimes(a,b)
314         %a*b
315         [a,b] = this.convert2interval(a,b);
316         result = a.*b;
317     end
318 %
319 %
320
321     end
322     methods(Static)
323         function varargout = convert2interval(varargin)
324             n = length(varargin);
325             varargout = cell(1,n);
326             for i = 1:n
327                 if isnumeric(varargin{1}) || isa(varargin{1}, "interval")
328                     varargout{i} = varargin{1};
329                 else
330                     varargout{i} = varargin{1}.interval;
331                 end
332             end
333         end
334         function [B,fig,ax] = test
335             B = box([-1,1;-1,1;0,0],[false,false,true]);
336             [fig,ax] = box.testsplits(B);
337         end
338         function [fig,ax] = testsplits(B)
339             [fig,ax] = createfigure(B);
340             B.split;
341             B.children(1).split;
342             B.children(1).children(2).split;
343             B.plotsubdivision(ax,'k');
344         end
345     end
346 end

```

## BIBLIOGRAPHY

- [1] R.E. Moore. *Interval Analysis*. Prentice-Hall series in automatic computation. Prentice-Hall, 1966. URL: <https://books.google.com.tr/books?id=csQ-AAAAIAAJ>.
- [2] Morris Hirsch. *Differential Topology*. New York: Springer-Verlag, 1976. ISBN: 0387901485.
- [3] Helmut Ratschek and Jon G. Rokne. “Computer Methods for the Range of Functions”. In: 1984.
- [4] William E. Lorensen and Harvey E. Cline. “Marching Cubes: A High Resolution 3D Surface Construction Algorithm”. In: *SIGGRAPH Comput. Graph.* 21.4 (Aug. 1987), pp. 163–169. ISSN: 0097-8930. doi: [10.1145/37402.37422](https://doi.org/10.1145/37402.37422). URL: <https://doi.org/10.1145/37402.37422>.
- [5] Shreeram Shankar Abhyankar and Chanderjit J Bajaj. “Automatic parameterization of rational curves and surfaces IV: algebraic space curves”. In: *ACM Transactions on Graphics (TOG)* 8.4 (1989), pp. 325–334.
- [6] Michael N Vrahatis. “A short proof and a generalization of Miranda’s existence theorem”. In: *Proceedings of the American Mathematical Society* 107.3 (1989), pp. 701–703.
- [7] Steven George Krantz and Harold R Parks. *The implicit function theorem: history, theory, and applications*. Springer Science & Business Media, 2002.

- [8] Simon Plantinga and Gert Vegter. “Isotopic Approximation of Implicit Curves and Surfaces”. In: *Proceedings of the 2004 Eurographics/ACM SIGGRAPH Symposium on Geometry Processing*. SGP ’04. Nice, France: Association for Computing Machinery, 2004, pp. 245–254. ISBN: 3905673134. DOI: [10.1145/1057432.1057465](https://doi.org/10.1145/1057432.1057465). URL: <https://doi.org/10.1145/1057432.1057465>.
- [9] Michael Burr et al. “Complete subdivision algorithms, II: isotopic meshing of singular algebraic curves.” In: Jan. 2008, pp. 87–94.
- [10] Long Lin and Chee Yap. “Adaptive Isotopic Approximation of Nonsingular Curves: the Parametrizability and Non-local Isotopy Approach”. In: *Proc. 25th ACM Symp. Computational Geometry*. University of Aarhus, Denmark, Jun 8-10, 2009. Invited for Special Conference Issue of Discrete and Combinatorial Geometry. June 2009, to appear.
- [11] Long Lin and Chee Yap. “Adaptive Isotopic Approximation of Nonsingular Curves and Surfaces”. In: (June 2014).
- [12] Oswaldo de Oliveira. “The Implicit and the Inverse Function Theorems: Easy Proofs”. In: *Real Analysis Exchange* 39.1 (2014), p. 207. DOI: [10.14321/realanalexch.39.1.0207](https://doi.org/10.14321/realanalexch.39.1.0207). URL: <https://doi.org/10.14321%2Frealanalexch.39.1.0207>.
- [13] Juan Xu and Chee Yap. “Effective Subdivision Algorithm for Isolating Zeros of Real Systems of Equations, with Complexity Analysis”. In: arXiv, 2019. DOI: [10.48550/ARXIV.1905.03505](https://doi.org/10.48550/ARXIV.1905.03505). URL: <https://arxiv.org/abs/1905.03505>.