# Randomness and Cryptography



Yevgeniy Dodis New York University

# Popular Children's Game

- Each child chooses scissors, paper or rock
  - Rock beats Scissors
  - Scissors beat Paper
  - Paper beats Rock
- What do we play?
  - For any strategy there is a better response !
- Solution: play AT RANDOM
  - No matter what the opponent does, break even on average !
- Randomization essential here

# Randomness

- Crucial in many areas:
  - Approximation algorithms
  - Distributed computing
  - Property testing
  - Counting problems
  - Symmetry breaking
  - Reducing Complexity (sampling, embedding)
  - Game theory
  - Cryptography

# The Big Picture

- 1. Reasons for Randomness in Crypto
- 2. Imperfect Sources of Randomness
- 3. Cryptography from entropy alone?
  - Does crypto requires extraction?
- 4. Randomness Extraction
  - Variants: fuzzy, local, interactive,...
- 5. Leakage-Resilient Cryptography

#### 6. Pseudorandomness

# The Big Picture

- 1. Reasons for Randomness in Crypto
- 2. Imperfect Sources of Randomness
- 3. Cryptography from entropy alone?
  - Does crypto requires extraction?
- 4. Randomness Extraction
  - Variants: fuzzy, local, interactive,...
- 5. Leakage-Resilient Cryptography

#### 6. Pseudorandomness

#### Randomness in Crypto

- Unlike many other examples, randomness essential for security!
- Secret keys have to be random
  - If not, everything is easy
- Security against "replay" attacks (e.g., challenge-response, encryption, ...)
- Privacy and Anonymity
  - Many examples (stay tuned)
- Unpredictability (e.g., of challenges, fingerprints, ...)

# Key generation 1

- Toy example: Ceasar cipher
- Enc(letter) = letter + 3 mod 26
  - Enc(RANDOM) = UDQGRP
  - Can't rely on secrecy of algorithms, only of secret keys (Keirkhoff's principle)!
- Example 2: shift cipher
- Enc<sub>s</sub>(x) = x+s mod 26
  - Key is too short, only 26 keys !
  - Keys must have enough entropy to defeat brute force attacks !

# Key generation 2

- Example 3: permutation cipher
- $Enc_{\pi}(letter) = \pi(letter)$ , where  $\pi$  is random permutation
  - $Enc_{\pi}(NOT GOOD) = RZP BZZQ$
  - # keys = 26! = 2<sup>95</sup> (large enough)
  - Not good, see that same letter "Z" appeared three times (frequency analysis kills it)
  - Entropy alone is not enough ! (more later)
  - Need to have precise goal and argue that your system meets it

# Key generation 3

- Example 3: one-time pad
- <u>Goal</u>: encrypt n-bit message once and have ciphertext reveal "no information" about the plaintext (e.g., H(M) = H(M|C), for any distribution on M)
  - Even the goal is probabilistic in nature !
- $Enc_{K}(m) = m \oplus K$ ,  $Dec_{K}(c) = c \oplus K$ 
  - Satisfies defn, provided K is truly random (aside: |K|=|M|, bad but best possible ☺)
  - How crucial is this assumption?

#### Randomness of keys?

• If Eve knows some info about  $K \Rightarrow$  translates to the same info about M!

- E.g.,  $M_1 = C_1 \oplus K_1$ 

- In general, partial info reduces brute force search and most cryptanalysis techniques !
- <u>Important</u>: assume can generate keys according to the "distribution we need" (which is typically uniformly random in the symmetric key setting)
  - Revisit this later, but assume for now !

## Randomness of keys?

- What about "practical" ciphers (DES, AES, RC2, ...)?
  - Often believe "any key is good"
- Dangerous
  - Ex: 0<sup>56</sup>, 0<sup>28</sup> 1<sup>28</sup>, 1<sup>56</sup>, 1<sup>28</sup> 0<sup>28</sup> weak for DES
  - Not the design criteria of creators
  - Meaningless formally: any "specific" key is weak since "know" the secret key
  - Need random experiment to even make sense of security !

#### Randomness of keys?

- <u>Heuristics</u>: if K has enough entropy, practical systems based on DES, AES, ... are secure.
  - No formal justification !
  - In fact, I will later give strong evidence that this is very suspect ! [DOPS04]
- <u>Punchline</u>: current symmetric key systems crucially rely on the randomness of their secret keys !

#### What about Public Keys?

- <u>Example</u>: ElGamal encryption.
- All we need to know is that need common prime p where discrete log (from p, g and y = g<sup>x</sup> mod p compute x) is "hard"
- "Great" choice: use p of the form  $2^k + 1$ 
  - Recall, order of multiplicative group (p-1)
     (z<sup>p-1</sup> = 1 for all z by Fermat's little theorem)
  - Very fast operations !
- Insecure: discrete log is easy !

#### Attack

- Easy to see:  $x_k$  is even iff  $y^{2^{k-1}} \mod p = 1$
- More generally x ends with j zeros iff  $y^{2^{k-j}} \mod p = 1$

- Set 
$$x_{k-j} = 0$$
 iff  $y^{2^{k-j-1}} \mod p = 1$ 

- If  $x_{k-j} = 1$ , change  $y := y/g \mod p$
- Output  $x_1...x_k$

## Key Generation

- <u>Moral</u>: every crypto system (PK or SK) has a well defined hardness assumption (or security proof) involving, among other things, generation of public/secret keys
  - Security might crucially rely on key generation performed exactly as specified by the assumption
  - Most often need uniform random data
- <u>Discrete Log</u>: if p is random k-bit prime, g random generator of Z<sub>p</sub> and x - random exponent in {1...p-1}, then hard to compute x given (p, g, y = g<sup>x</sup> mod p)

#### Lessons

- Crypto depends on randomness of keys
  - Even security goal are probabilistic
- Need high-entropy, but not enough
- Most current systems need uniformly random bits (or something derived from them)
- Security might break if the key distribution is not what you expect (more later)
- <u>Key assumption</u>: assume have a source of truly random bits – will revisit later
  - separates use of randomness from generation
- What can we do with it???

#### **Reasons for Randomness**

Key Generation ✓

#### **Reasons for Randomness**

- Key Generation
- Privacy: masking, blinding, hiding, rerandomizing

## Ex. 1: Adding 2 Numbers

- Alice has a, Bob has b
- Chris needs to compute  $S = a \oplus b$
- Alice does not want Chris or Bob to learn a
- Bob does not want Chris or Alice to learn b
- Alice: pick random r and send it to Bob
- Alice: Compute  $a' = a \oplus r$  and send it to Chris
- Bob: Compute b' = b 

   r and send it to Chris
- Chris: compute a'  $\oplus$  b' = a  $\oplus$  b  $\oplus$  (r  $\oplus$  r) = a  $\oplus$  b
  - Does not give Chris any info about a, b beside sum
- Alice and Bob also only know random r

#### Ex. 2: Blind RSA Signature

- Recall RSA: n = pq, where p,q primes
- $\phi(n) = (p-1)(q-1)$ . For any z,  $z^{\phi(n)} = 1 \mod n$
- Pick random e and let  $d = e^{-1} \mod \varphi(n)$
- PK = (n,e). SK = d.
- $Sig_{SK}(m) = H(m)^d \mod n$ ,
  - here H is "good" hash function (not important)
- Ver<sub>PK</sub>( $\sigma$ ,m): Check  $\sigma^e$  = H(m) mod n

- Indeed,  $\sigma^e = H(m)^{ed} = H(m)^1 = H(m) \mod n$ 

 Assume Bob knows d, Alice knows m, and wants to compute Sig(m) without telling m to Bob?

- "blind" signature, useful for e-cahs, etc. (stay tuned) Yevgeniy Dodis, New York University. Tutorial on Randomness.

#### Ex. 2: Blind RSA Signature

- Alice (knows n,e,m, not d):
  - Pick random r and compute  $A = r^e H(m) \mod n$
  - Send A to Bob for signing
  - Note, A is random and independent from H(m)
- Bob (knows  $d, \tau$  but not r, m):
  - Compute  $\tau = A^d \mod n$  and send  $\tau$  to Alice
  - Note,  $\tau = A^d = r^{ed} H(m)^d = r H(m)^d = r\sigma \mod n$
- Alice:
  - Compute  $\sigma = \tau r^{-1} = H(m)^d \mod n$

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

#### Randomness for Privacy

- We will see many other examples: encryption, commitment, zero-knowledge,...
- Perhaps most important use in crypto
- Strongly requires uniform randomness
  - i.e., non-uniform pads, masks, etc. leak partial information
- We will see later that it is very hard (impossible?) to securely realize such applications without perfect randomness

#### **Reasons for Randomness**

- Key Generation
- Privacy: masking, blinding, hiding, rerandomizing
- Unpredictability (random challenges)

## Unpredictability

- Do not want the attacker to guess some information before it becomes available
- <u>Example</u>: identification
- Alice wants to prove she is "Alice" to Bob
- Naturally, Bob should "challenge" Alice with stuff only Alice should know
- If predictable challenges
  - Eve might know what Bob expects
  - Perhaps Eve convinces Alice to identify herself before she would do it to Bob. Then can simply forward Alice's answer later.

# Doing It With Encryption

- Alice has (SK, PK) for an encryption scheme
- <u>Bob</u>: chooses random R, lets c = Enc<sub>PK</sub>(R) and challenges Alice with c
- <u>Alice</u>: computes R'=Dec<sub>sk</sub>(c) and sends R' to Bob
- <u>Bob</u>: accepts if R = R'
- Intuition: only Alice can decrypt
- Clearly, insecure if Eve can predict R
  - just send R to Bob ignoring c !
- Conversely, can show "secure" if (1) Enc is "strong enough" and (2) R is unpredictable:

- for any  $R_1...R_k$ ,  $Pr_R(\exists i R = R_i) = tiny$ Yevgeniy Dodis, New York University. Tutorial on Randomness.

#### Doing It With Signatures

- Alice has (SK,PK) for a signature scheme.
- <u>Bob</u>: send random R to Alice
- <u>Alice</u>: returns Sig<sub>SK</sub>(R)
- <u>Bob</u>: accepts if correct  $Ver_{PK}(\sigma, R)$  = true
- Intuition: only Alice can sign
- Assume Eve convinces Alice to identify herself before she would do it to Bob
  - If R is predicatable, Eve can send same R to Alice and learn Sig(R) !
- Conversely, unpredictability (+ good signature) are enough for security

## More on Unpredictability

- Does not require true randomness!
   High entropy is necessary and sufficient !
- As we will see, this makes this use of randomness more realistic than requiring perfect randomness
- Look-ahead questions:
  - can we get perfect randomness from high entropy one? (mixed answer, mainly NO)
  - What about computational unpredictability, where it is only "hard to predict"?

#### Reasons for Randomness

- Key Generation
- Privacy: masking, blinding, hiding, rerandomizing
- Unpredictability (random challenges)
- Freshness (non-repeatability, nonces)

#### Freshness

- Sometimes need a value which is guaranteed not to happen before
  - Do not care about unpredictability
  - Just do not want to reuse an old one
- Solution: keep a counter and use 1,2,...
  - Problem: requires state (predictability OK !)
- Stateless solution? Yes, pick at random
  - If pick from {0,1}<sup>K</sup> at most q times, then
     Pr[repeat somevalue] < q<sup>2</sup> 2<sup>-K</sup> (birthday bound)
  - High Entropy also suffices ! (~  $q^2 2^{-H(X)}$ )

#### Nonces and Their Applications

- Nonce = a value that "never" repeats
- Why do we care?
  - 1. Freshness "in time" (e.g., key exchange)
  - 2. Freshness of input to a block cipher or a pseudorandom function (describe later)
- Applications: key establishment (1, now), symmetric encryption, authentication (2, later), ...

# Example: Key Establishment

- Say, Alice and Bob know their public keys and want to establish a session key
- Simple solution: A picks K at random and sends  $c = E_B(K, "Alice"), \sigma = Sig_A(c, "Bob")$
- <u>Problem</u>: after K is gone, Eve might learn it and reuse (c,  $\sigma$ ), establishing a fake key with Bob
  - Replay attack !
- Solution: use a nonce R
  - B sends (R, Sig<sub>B</sub>(R))
  - A replies with  $c = E_B(K, "Alice"), \sigma = Sig_A(c, R, "Bob")$
  - Ensures Eve can't use old R with Bob
  - Privacy of R not important, as long as B doesn't reuse

#### Reasons for Randomness

- Key Generation
- Privacy: masking, blinding, hiding, rerandomizing
- Unpredictability (random challenges)
- Freshness (non-repeatability, nonces)
- Noise (confusing attacker)
  - Add noise to data to maintain "global features", but hide individual information
  - Mainly used for "database sanitization"
  - Recent research area (differential privacy)

#### Reasons for Randomness

- Key Generation
- Privacy: masking, blinding, hiding, rerandomizing
- Unpredictability (random challenges)
- Freshness (non-repeatability, nonces)
- Noise (confusing attacker)
- Efficiency ! (e.g., primality testing)
  - Could be that randomness is not inherently needed, but can speed things up!

# Primality testing

- Want to know if p is prime?
  - Only recently know how to do "moderately efficiently" (K<sup>6</sup> best??) & deterministically
- Still, much faster to do probabilistically!
- Recall, if p-prime,  $z^{p-1} = 1 \mod p$
- Which z to test?
  - all z: exponential time 😕
  - z = 2: not bad, but many counter-examples
  - random z: "almost" works, minor fix needed
  - get famous Miller-Rabin test

#### Batch Verification of RSA

- Assume need to verify many (†) RSA sigs  $(m_i, \sigma_i)$ , where  $\sigma_i^e = H(m_i) \mod n$ 
  - Naive solution: t exponentiations
- Idea: for any subset I of {1...t}, let
  - $M_{I} = \prod_{i \in I} H(m_{i}) \mod n, \sigma_{I} = \prod_{i \in I} \sigma_{i} \mod n$
  - Then  $\sigma_{\mathbf{I}}^{e} = \mathbf{M}_{\mathbf{I}} \mod \mathbf{n}$ , for any  $\mathbf{I}$
- Pick random I and check above equation (1.5 exp)
  - If there exists a bad signature, detect w/pr  $\frac{1}{2}$  !
- Now repeat several (say 80) times:
  - for large t benefit outperforms the cost !

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

#### Reasons for Randomness

- Key Generation
- Privacy: masking, blinding, hiding, rerandomizing
- Unpredictability (random challenges)
- Freshness (non-repeatability, nonces)
- Noise (confusing attacker)
- Efficiency ! (e.g., primality testing)
- Probabilistically Checkable Proofs
  - Includes zero-knowledge proofs...
## Proofs

- Prover P wants to prove to Verifier V some statement S is true
- Witness of S: string w s.t. V can check S is true using w
  - Ex.: S = "Second bit of Dlog(y) is O", then w = Dlog(y). Test by seeing w<sub>2</sub>=0 and g<sup>w</sup> = y
- NP = class of problems where each true statement has a witness, and false statements do not have any witnesses
  - Note, witness might be hard to find, but always easy to check ! (big question: P ≠ NP?)

## Some Questions

- P can always convince V by sending w
- <u>Question 1</u> (orthogonal to us, but nice!):
   If P is unbounded, can we convince polytime V in problems outside of NP?
  - Yes, can do anything in polynomial space !
  - Randomness essential (else "stuck" with NP)
  - Unpredictability enough [DOPS04]
  - Won't give example (although fascinating!), since in practice no unbounded P

## Short Proof?

- <u>Questions 2</u>: if V is OK to be "fooled" with tiny probability, can we send significantly shorter string than w?
- Batch verifier for RSA: could be viewed as P proving "I know all t signatures" without sending all of them !
- Don't care about leaking witnesses (yet!), only efficiency

## Short Proof?

 Remarkable (theoretical result): any NP statement can be proven with "polylog" communication (under some assumptions)

- Again, randomness essential here !

- In fact, P can write a moderately long (poly(n)-bit) "special proof" w' s.t. V can check correctness of w' w.r.t. S using:
  - Using O(log n) random bits
  - Reading CONSTANT number of bits of w'
  - Having 99.9% assurance he was not fooled
- Celebrated result, but very impractical S

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

# Hiding the Witness?

- <u>Questions 3</u>: (most crypto related) Can P prove S to V s.t. (1) V is convinced; yet
   (2) V does not learn the witness w?
- Useful for a variety of different reasons
- Ex. 1: identification schemes
  - P proves knows SK corresponding to PK
  - Don't send SK as then V can impersonate P
  - Still leaked partial knowledge (e.g. some signatures V can't compute), just not enough to actively impersonate V

## Signature or Encryption?

- Sig certainly leaks signature of new values Sig(R), which V can't get
- Enc actually doesn't leak that much...
- V expects to get Dec(c) = R, just wants to get convinced P can produce it too !
- If V "knew" P was going to pass, could have simulate the entire proof !
  - Zero-knowledge proof, nothing is leaked !

- Well... almost. What if V asks Dec("bad c")??

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

# Zero-Knowledge proofs

- Roughly: whatever V learned from talking to P (beyond the validity of assertion), V can "simulate" on its own!
- ZK Proofs: concentrate on statements which could be true or false (decision)

- Ex.:  $msb(dlog(y)_2)=0$ 

- ZK Proofs of Knowledge: prove that P knows something he claims, without leaking any info about it !
- Arguments: P is efficient using the witness w

# Big Result

- Under mild assumption (OWF exist), any NP statement has a ZK Proof and ZKPoK
  - Very important result
  - Generic proof is inefficient, but efficient solutions exist for many useful languages!
  - Generic proof + all protocols use randomness in a totally crucial way (e.g., for challenges, blinding and commitments !)

## Ex: ZKPoK of Discrete Log

- Common input y = $g^{x}$
- P proves knowledge of x
  - P to V: pick random  $r \in \{1..p-1\}$  and send "commitment" R =  $g^r$
  - V to P: send random  $c \in \{1..p-1\}$
  - P to V: send  $s = r + cx \mod (p-1)$
  - V: check that  $g^s = R y^c$
- Very useful in many-many apps!

## Security?

- Why PoK?
  - if P responds to c ≠ c' with same R, then from (s, s', c, c') can solve for x = (s-s')/(c-c')
  - So V is "really convinced" P knows x !
- Why (honest verifier) ZK?
  - V can "fake" conversation with P, for any c
  - Recall, only need (R,c,s) s.t.  $g^s = R y^c \mod p$
  - Pick random s and set  $R = g^s / y^c \mod p$
  - Easy to see same distribution on (R,c,s)
- Secure as "real" P commits to R before c

## Randomness in ZK Proofs?

- Essential for the verifier !
  - Otherwise P can predict all the responses and really amounts to normal "NP"-proof, which is not ZK
  - Is unpredictable randomness enough? (later)
- For many naturally occurring problems
   essential for the prover as well to
   achieve ZK (e.g. "public-coin proofs" like
   the DL example)

## Reasons for Randomness

- Key Generation
- Privacy: masking, blinding, hiding, rerandomizing
- Unpredictability (random challenges)
- Freshness (non-repeatability, nonces)
- Noise (confusing attacker)
- Efficiency ! (e.g., primality testing)
- Probabilistically Checkable Proofs
- "Pseudorandomness" & "Extraction" !!!

## Pseudorandomness

- R is pseudorandom (given Y) if hard to distinguish R from a truly uniform, random string (even given Y)
- <u>Information-theoretic</u>: R is random
- <u>Computational</u>: even though R is certainly not random, it "looks so" to a computationally bonded attacker
- Decisional Diffie-Hellman Assumption:
  - for random x,y,z have

 $< g, g^{\times}, g^{\gamma}, g^{\times \gamma} > \approx < g, g^{\times}, g^{\gamma}, g^{z} >$ 

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

## DDH and its Applications

- False in standard  $Z_P$  !
  - $lsb(g^{xy}) = 0 w/pr \frac{3}{4}, lsb(g^z) = 0 w/pr \frac{1}{2}$
  - Seems true in prime order subgroup of  $Z_{\mbox{\scriptsize P}}$
  - Despite the fact that g<sup>×y</sup> is uniquely determined by g, g<sup>×</sup>, g<sup>y</sup>
  - Seems important that x,y,z random
  - Much stronger assumption than DL (or CDH)!
- Many applications: DH key exchange, ElGamal Encryption, Cramer-Shoup encryption, algebraic "PRF" (see later),...

# DH Key Exchange from DDH

- Alice and Bob do not share anything.
   Want to get a key by public discussion,
   s.t. secure against eavesdropper Eve
- Alice:  $x \rightarrow$  random,  $A = g^{x}$ , send A to Bob
- Bob:  $y \rightarrow random$ , B =  $g^{y}$ , send B to Alice
- Alice: compute  $K = B^{\times} = g^{\times y}$
- Bob: compute  $K = A^y = g^{xy}$
- Eve: g<sup>×y</sup> looks like g<sup>z</sup> given g, g<sup>×</sup>, g<sup>y</sup>

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

## Pseudorandomness

- True randomness is expensive, hard to get, store, generate
- PR approach: start with small amount of true randomness & get more randomness which is equally good for applications !
   DDH: g, x, y ⇒ g, g<sup>x</sup>, g<sup>y</sup>, g<sup>xy</sup> (from 3k -> 4k)
- Does not eliminate true randomness
- Reduces its size at the expense of (strong?) computational assumptions

## Relation to Extractors

- More later, but extractors start with imperfect randomness, and try to extract nearly perfect one
  - Typically extract statistically random stuff (no computational assumptions)
  - Sometimes do not use any additional true randomness (but very limited use)
  - Sometimes use a "little" true randomness, but extract "much more" using the imperfect source "instead of" computational assumption

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

## Main PR Primitives

- PR Generator (PRG)
  - Length increasing function G (say  $k \rightarrow$  n) s.t.
  - $G(U_k) \approx U_n$ , where  $U_t$  uniform on t bits
  - DDH more or less gives (a slow) PRG
- PR Function (PRF) family
  - F = {f<sub>s</sub> |  $s \in \{0,1\}^k$ } indexed by "short" key s
  - For random s, f<sub>s</sub> ≈ truly random function (i.e., one with random output for every input)
    Say, f<sub>s</sub>:{0,1}<sup>k</sup> → {0,1}. Compress 2<sup>k</sup> → k bits !
- PR Permutation (PRP) family
  - P = { $(\pi_s, \pi_s^{-1}) \mid s \in \{0,1\}^k$ } each  $\pi_s$  invertible!
  - For random s,  $(\pi_s, \pi_s^{-1}) \approx \text{truly random } (g, g^{-1})$

# Applications of PRGs

- Beat Shannon bound on key length for one-time encryption:
  - $Enc_s(M) = M \oplus G(s)$ , here  $|M| \gg |s|$
- Stream Ciphers: "stateful" PRGs  $G(s_t) \rightarrow R_t, s_{t+1}$ 
  - Give stateful sequence of OTPs
- Hybrid public-key encryption:  $Enc_{PK}'(M) = \langle Enc_{PK}(s), m \oplus G(s) \rangle$ 
  - Reduces PKE of long messages to short

#### Applications of PRFs/PRPs • PRFs

- Much easier stateful cipher:  $f_s(1), \dots, f_s(t), \dots$
- Message authentication codes
- Modes of operations for encryption (e.g., OFB, CFB, counter, XOR)
- Repeated generation of same randomness!
- Huge number of other applications
- Essentially,  $f_s(nonce)$  is a new OTP !
- PRPs
  - PRP is a length-preserving PRF, so many of the above applications work here as well
  - Plus unique ones where inverse needed (CBC)

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

# Example: Encryption

- Idea 1 : use PRP,  $Enc_s(m) = \pi_s(m)$ 
  - Problem: Enc(m) always the same !
  - Cannot encrypt repeated values from small space ({sell,buy})
- Moral: repeated encryption of the same message should be different
  - Either update secret key (stateful 🐵)
  - Or must be probabilistic
  - Latter only option in the public key setting!

Yevgeniy Dodis, New York University.

Tutorial on Randomness.

# Example: Encryption

- In symmetric-key setting, nonce suffices
  - $Enc(m) = f_s(nonce) \oplus M$
  - many ways to extend to multiple blocks, get OFB, CFB, XOR, counter
- With PRPs, can also use CBC - Enc(m) =  $\pi_{\varsigma}$ (nonce  $\oplus$  M)
- CBC not secure with counter, need unpredictable nonce (like random !)
- <u>Punchline</u>: "convenient" encryption must use randomness <u>both</u> for keys and per every invocation !

## Relation to Unpredictability

- X is unpredictable (given Y) if hard to compute X (given Y)
  - Only makes sense in "probabilistic sense"
- Could be information-theoretic
  - Random challenge R (trivial)
  - Does not inherently require true randomness
  - High entropy necessary and sufficient
- Could be computational
  - Ex.: discrete log assumption
  - Given (p, g, g<sup>x</sup> mod p), hard to compute x, even though x is "mathematically unique"

## Aside: Comparison

- Although sampling unpredictable value (i.e., challenge) does not require true randomness, most computational unpredictability assumptions need it !
  - Ex: for discrete log, need to perfectly sample p,g,x
     to claim x is unpredictable
  - Can state for imperfect p,g,x, but dangerous
- In general, many differences between i.t. and computational unpredictability (stay tuned)

# Back to Unpredictability

- Backbone of (computational) crypto
- Most natural assumptions (factoring, discrete log, RSA) says something is unpredictable given other info
  - Would like to avoid assuming PR if we can !
- Especially useful (i.e., sufficient) for authentication applications
  - Secure signature: sig(m) is unpredictable even given sig(m<sub>1</sub>)...sig(m<sub>k</sub>) for any  $m_i \neq m$

## Relation to Privacy

- Theoretically OK to leak partial info, as long as "all of" X is still hard
  - Ex: lsb(x) easy from g<sup>x</sup> mod p, OK to leak signature of "old/unimportant" messages
- Compare to privacy apps, where cannot leak any partial info
- Question: is having unpredictability enough for achieving privacy (i.e., pseudorandomness)?
  - Depends on whether can sample uniform bits !

## Relation to Privacy

- Beautiful BIG result [Goldreich-Levin]:
  - Assume X is unpredictable to attacker
  - Assume r is truly random but known
  - Then X · r (mod 2) looks random to attacker:
     given r, hard to guess X · r w/pr. > 51% !
- Generically converts UP to PR
  - Huge theoretical result (still not optimal !)
- <u>Example</u>: Alice and Bob share UP value X and want to share a PR bit
  - Alice picks random r and sends it to Bob in "the clear". Both agree on  $b = X \cdot r \pmod{2}$

## Relation to Privacy

- Can view as a "computational extractor" !
- However, assumes true randomness r
- A lot of my work: what if cannot sample r?
  E.g., only have unpredictable r's...
- Is UP still enough? (my work: likely NO)
- To what extent can we base cryptography on imperfect randomness ??
- Exciting, rapidly developing area !
  - starting point for this course ...

## Reasons for Randomness

- Key Generation
- Privacy: masking, blinding, hiding, rerandomizing
- Unpredictability (random challenges)
- Freshness (non-repeatability, nonces)
- Noise (confusing attacker)
- Efficiency ! (e.g., primality testing)
- Probabilistically Checkable Proofs
- "Pseudo-randomness" & "Extraction" !!!

# Main Applications

- Encryption
- Message authentication, fingerprinting
- Secret sharing, AONTs
- Commitment Schemes
- Key Exchange
- Identification Schemes
- Zero-Knowledge Proofs
- Blinding, Anonymity, Privacy, ...
- "All together" (sample e-cash application)

## E-cash

Simple payment protocol:

- Sign a document transferring money from your account to another account
- This document goes to your bank
- The bank verifies that this is not a copy of a previous check
- The bank checks your balance
- The bank transfers the sum

Problems:

- Requires online access to the bank (to prevent reusage)
- Expensive.
- The transaction is traceable (namely, the bank knows about the transaction between you and Alice).

## First attempt

Withdrawal

- User gets bank signature on {I am a \$100 bill, #1234}
- Bank deducts \$100 from user's account

Payment

- User gives the signature to a merchant
- Merchant verifies the signature, and checks online with the bank to verify that this is the first time that it is used.

Problems:

 As before, online access to the bank, and lack of anonymity.

Advantage:

 The bank doesn't have to check online whether there is money in the user's account.

### Anonymous cash via blind signatures

- The bank signs the bill without seeing it (e.g. like signing on a carbon paper)
- Can use RSA Blind signatures did earlier!
- RSA signature: H(m)<sup>1/e</sup> mod n
- Blind RSA signature:
  - Alice: sends Bob ( $r^e$  H(m)) mod n, where r is a random
  - Bob: computes (r<sup>e</sup> H(m))<sup>1/e</sup> = r H(m)<sup>1/e</sup> mod n, and sends to Alice.
  - Alice divides by r and computes  $Sig(m) = H(m)^{1/e} \mod n$
- Problem: Alice can get Bob to sign anything, as Bob does not know what he is signing.

# Enabling the bank to verify the signed value

- Use "cut and choose" protocol
- Suppose Alice wants to sign a \$20 bill.
  - She prepares 100 different \$20 bills for blind signature, and sends them to the Bank (Bob).
  - The bank chooses 99 of them at random and asks Alice unblind them (divide by the corresponding r values).
  - It verifies that they are all \$20 bills.
  - The bank blindly signs the remaining bill and gives it to Alice.
- If Alice tries to cheat she is caught with probability 99/ 100.
- 100 can be replaced by any parameter k.
- We would have preferred an exponentially small cheating probability.

# Exponentially small cheating probability

- Define that a \$20 bill is valid if it is the e-th root of the multiplication of 50 values of the form H (x), (H is one-way) and the owner of the bill can present all 50 x values.
- The withdrawal protocol:
  - Alice sends to the Bank  $z_1$ ,  $z_2$ , ...,  $z_{100}$  (where  $z_i = r_i^e \cdot H(x_i)$ ).
  - Bank asks Alice to reveal random  $\frac{1}{2}$  of the values  $z_i = r_i^e \cdot H(x_i)$ .
  - Bank verifies them and extracts the e-th root of the multiplication of all the other 50 values.
- Payment: Alice sends the signed bill and reveals the 50 preimage values. The merchant sends them to the bank which verifies that they haven't been used before.
- Alice can only cheat if she guesses the 50 locations in which she will be asked to unblind the  $z_i$ 's, which happens with probability ~2<sup>-100</sup>.

# Online vs. offline digital cash

- We solved the anonymity problem, while verifying that Alice can only get signatures on bills of the right value
- The bills can still be duplicated
  - Merchants must check with the bank whenever they get a new bill, to verify that it wasn't used before.
- A new idea:
  - During the payment protocol the user is forced to encode a random identity string (RIS) into the bill
  - If the bill is used twice, the RIS reveals the user's identity and she loses her anonymity.
## Offline digital cash

Withdrawal protocol:

- Alice prepares 100 bills of the form
  - {I am a \$20 bill, #1234,  $y_1$ ,  $y_1'$ ,  $y_2$ ,  $y_2'$ , ...,  $y_k$ ,  $y_k'$ }
  - S.t. for all i,  $y_i = H(x_i)$ ,  $y'_i = H(x'_i)$ ,  $x_i \oplus x'_i = Alice's$  id, where H() is a "good" hash function and  $x_i$  random
- Alice blinds these bills and sends to the bank.
- The bank asks her to unblind 99 bills and show their x<sub>i</sub> and x<sub>i</sub>' values, and checks their validity. (Alternatively, as in the previous example, Alice can do a check which fails with only an exponential probability.)
- The bank signs the remaining blinded bill.

## Offline digital cash

Payment protocol:

• Alice gives a signed bill to the vendor

- {I am a \$20 bill, #1234,  $y_1$ ,  $y_1'$ ,  $y_2$ ,  $y_2'$ , ...,  $y_k$ ,  $y_k'$ }

- The vendor verifies the signature, and if valid sends to Alice a random bit string  $b = b_1 b_2 \dots b_k$  of length k.
- For all i, if b<sub>i</sub>=0 Alice returns x<sub>i</sub>, otherwise (b<sub>i</sub>=1) she returns x<sub>i</sub>'
- The vendor checks that  $y_i = H(x_i)$  or  $y'_i = H(x'_i)$ (depending on  $b_i$ ). If this check is successful it accepts the bill.
- Note that Alice's identity is kept secret!
- Also, the merchant does not need to contact the bank during the payment protocol.

Yevgeniy Dodis, New York University.

## Offline digital cash

- The merchant must deposit the bill in the bank. It cannot use the bill to pay someone else.
  - Because it can't answer challenges b\* different from the challenge b it sent to Alice.
- How can the bank detect double spenders?
  - Suppose two merchants M and M\* receive same bill
  - With very high probability, they send different queries b, b\*
  - Suppose  $b_i = 0$ ,  $b_i^* = 1$ . Then M receives  $x_i$  and M\* receives  $x_i'$ .
  - When they deposit the bills the bank receives both  $x_i$ and  $x_i'$ , and can compute  $x_i \oplus x_i' = Alice's$  id.

Yevgeniy Dodis, New York University.

## Usage of Randomness

Several very different uses!

- 1. To generate signing/verification key (SK and PK)
- 2. To blind RSA signatures (random r)
- 3. To perform cut-and-choose proofs (random 1/2 blindings to open)
- 4. To randomly open 1-of-2 values of  $x_i(b)$
- 5. To prevent double-spending (split randomly  $x_i \oplus x_i'$ )

Yevgeniy Dodis, New York University.