

Lecture 11: Key Derivation without entropy loss

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In reality, perfect source of randomness is hard to find. So, for real life applications, an imperfect source X of min-entropy k is converted into usable m -bit cryptographic key for some underlying application P . If P has security δ (against some class of attacks) with uniform random m -bit key, our goal is to design a key derivation function (KDF) h that allows us to use $R = h(x)$ as the key for P and results in comparable $\delta' \approx \delta$. This lower bound is known to be tight in general. In today's class we explore new areas to design KDFs with less waste for important special classes of sources of X and applications P .

1 Last Class

Before delving into technical details, let us refresh our memory with some important definitions and few important results we proved in last lecture.

DEFINITION 1 (\mathbf{H}_2 Condenser) We say that an efficient function $\text{Cond} : \{0, 1\}^n \times \{0, 1\}^v \rightarrow \{0, 1\}^m$ is a $(\frac{k}{n} \rightarrow \frac{m-d}{m})_2$ -condenser if for $\mathbf{H}_2(X) \geq k$ and uniformly random S we have $\mathbf{H}_2(\text{Cond}(X; S)|S) \geq m - d$.

Theorem 1 If an application P is (T, ε) -secure and (T, σ) -square secure (in the ideal model) and Cond is $(\frac{k}{n} \rightarrow \frac{m-d}{m})_2$ -condenser, then using $R = \text{Cond}(X; S)$ as a key makes P (T, ε') -secure in the $(k, n)_2$ -real model, where

$$\varepsilon' \leq \varepsilon + \sqrt{\sigma \cdot (2^d - 1)}.$$

Lemma 1 Universal hash function $h_s : \{0, 1\}^n \rightarrow \{0, 1\}^m$ is $(\frac{k}{n} \rightarrow \frac{m-d}{m})_2$ -condenser where,

$$2^d - 1 = 2^{m-k}.$$

Corollary 2 If key derivation function (KDF) is universal hash function, then

$$\varepsilon' \leq \varepsilon + \sqrt{\sigma \cdot 2^{m-k}}$$

Remark 1 For square friendly applications, $\sigma \approx \varepsilon$, thus,

$$\varepsilon' \approx \sqrt{\varepsilon \cdot 2^{m-k}}.$$

Remark 2 For square friendly applications $\sigma \approx \varepsilon$. So, with entropy loss of $\log \frac{1}{\varepsilon}$ ($k = m + \log \frac{1}{\varepsilon}$), we get,

$$\varepsilon' \approx 2 \cdot \varepsilon.$$

With no entropy loss ($k = m$), $\varepsilon' \approx \sqrt{\varepsilon}$.

2 Key derivation without entropy waste

2.1 Heuristic bound

In practice, one would typically use so called cryptographic hash function h , such as SHA or MD5, for key derivation. The reason behind this is the common belief that cryptographic hash functions achieve excellent security $\delta' \approx \delta$, when $k \approx m$. This can be easily justified in the random oracle model; assuming the KDF h is a random oracle which can be evaluated on at most q points (where, q is the upper bound of the attacker's running time), one can upper bound $\delta' \leq \delta + q/2^k$, where $q/2^k$ is the probability the attacker evaluates $h(X)$, where X is a source. In turn, in time q the attacker can also test about q out of 2^m possible m -bit keys, and hence achieve advantage $q/2^m$. This means that the ideal security δ of P cannot be lower than $q/2^m$ for most applications P . Thus, $q \leq \delta \cdot 2^m$. Plugging this bound on q in the bound of $\delta' \leq \delta + q/2^k$ above, we get that using a random oracle (RO) as a KDF achieves "real security",

$$\delta' \leq \delta_{RO} \stackrel{def}{=} \delta + \delta \cdot 2^{m-k} \quad (1)$$

In particular, $\delta' < 2\delta$ even when $k = m$. For example, to derive a 128-bit key for a CBC-MAC with security $\delta \approx \delta' \approx 2^{-64}$, one needs $k \approx 128$ bits of min-entropy.

Main questions Can one find reasonable application scenarios where one can design a provably-secure KDF achieving "real security" $\delta' \approx \delta$ when $k \approx m$ (matching the heuristic bound in Equation (1))? More generally, for a given (class of) applications P ,

(A) What is the best (provably) achievable security δ' when $k = m$?

(B) What is the smallest (provable) entropy threshold k to achieve security $\delta' = O(\delta)$?

2.2 Using Leftover Hash Lemma (LHL)

In theory, the cleanest way to design a general KDF is by using famous Leftover Hash Lemma (LHL) [4], which achieves security $\varepsilon = \sqrt{2^{m-k}}$. This gives the following very general bound on δ' for all applications P ,

$$\delta' \leq \delta_{ALL} \stackrel{def}{=} \delta + \sqrt{2^{m-k}} \quad (2)$$

As we can see, this provable (and very general) bound is much worse than the heuristic bound in Equation (1). In particular, we get no meaningful security when $k = m$ (giving no answer to Question (A)), and must assume $k \geq m + 2 \log(1/\delta)$ to ensure that $\delta' = O(\delta)$ for Question (B). For example, to derive a 128-bit key for a CBC-MAC with security $\delta \approx \delta' \approx 2^{-64}$, one needs $k \approx 256$ bits of min-entropy.

2.3 Using square friendly(SF) applications

The idea here is that for SF applications one can argue that the derived key $R = h_s(X)$ is still "good enough" for P despite not being statistically close to U_m (given s). Intuitively, while any traditional application P demands that the expectation (over the uniform distribution $r \leftarrow U_m$) of the attacker's advantage $f(r)$ on key r is at most δ , square-friendly applications additionally require that the expected value of $f^2(r)$ is also bounded by δ . Additionally, for all such square-friendly applications P , it was shown that universal (and thus also the

stronger pairwise independent) hash functions $\{h_s\}$ yield the following improved bound on the security δ' of the derived key $R = h_s(X)$,

$$\delta' \leq \delta_{SQF} \stackrel{def}{=} \delta + \sqrt{\delta \cdot 2^{m-k}} \quad (3)$$

This provable and still relatively general bound lies somewhere in between the idealized bound Equation (1) and the fully generic bound Equation (2): in particular, Equation (3) achieves security $\delta' \approx \delta$ when $k = m$ (giving partial answer to Question (A)), or, alternatively, we get full security $\delta' = O(\delta)$ provided $k \geq m + \log(1/\delta)$ (giving a partial answer to Question (B)). For example, to derive a 128-bit key for a CBC-MAC having ideal security $\delta = 2^{-64}$, we can either settle for much lower security $\delta' \approx 2^{-32}$ with $k = 128$, or get full security $\delta' \approx 2^{-64}$ with $k = 192$. However, both bounds are still far from the expected bound $\delta' \approx 2^{-64}$ with $k = 128$, raising the question if further improvements are possible. But, unfortunately this bound is tight, for SF applications. Consider the following counter example,

2.3.1 Counter example P

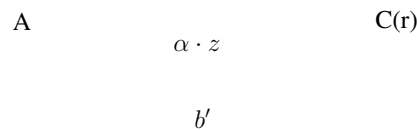


Figure 1: Counter example to show that Equation (3) is tight

Let us consider an SF application P as follows,

- The challenger has a random source r and is represented by $C(r)$ and the attacker is called A
- The challenger ($C(r)$) flips a coin $\alpha \in \{0, 1\}$, s.t. $Pr(\alpha = 1) = \sqrt{\delta}$
- Challenger flips $b \in \{0, 1\}$.
- The challenger generates z to send to the attacker. z can have two values as follows,
 - If $b = 0$, $z = r$.
 - Otherwise, $z = U$ (fresh uniformly random variable)
- The challenger sends $\alpha \cdot z$.
- The attacker in return sends back b' . The attacker wins iff $b = b'$.

Note that, for the above application ideal security is 0.

Claim 1 *If σ is the square security of the above mentioned application P , then $\sigma \leq \delta$.*

Proof: It is to be noted that, if $\alpha = 0$, challenger sends 0 to the attacker. So, if attacker receives 0, it best for the attacker to simply output a random guess $b' \leftarrow \{0, 1\}$. If it receives some $r \in \{0, 1\}^m$, then it outputs 1 if $Pr_X(h_s(X) = r) \geq 2^{-m}$ and 0, otherwise. So, the attacker can win the game iff $\alpha = 1$. Thus, for all r and A ,

$$\begin{aligned} f(r) &\leq \sqrt{\delta}, \text{ where } f(r) \text{ is advantage of } A \\ \Rightarrow f^2(r) &\leq \delta \end{aligned}$$

□

Note 1 *SRT bound [1] implies that using a universal hash function $\{h_s : \{0, 1\}^n \rightarrow \{0, 1\}^m\}$ as a key derivation function (KDF), there exists an efficiently samplable (polynomial in n) distribution X , and a (generally inefficient) distinguisher D , s.t. $\Delta_D((S, h_s(X)), (S, U)) \geq \sqrt{2^{k-m}}$*

Thus, for above mentioned P ,

$$\delta' \leq \delta + \sqrt{\delta \cdot 2^{m-k}}$$

DEFINITION 2 (\mathbf{H}_∞ -condenser) A function $Cond : \{0, 1\}^n \times \{0, 1\}^v \rightarrow \{0, 1\}^m$ is $(\frac{k}{n} \xrightarrow{\varepsilon} \frac{m-d}{m})_\infty$ -condenser ((k, d, ε) -condenser) if for all (n, k) -source X , and a uniformly random and independent seed $S \leftarrow \{0, 1\}^v$, the joint distribution $(S, Cond(X, S)) \stackrel{\varepsilon}{\approx} (S, Y)$ such that $\mathbf{H}_\infty(Y|S) \geq m - d$, where Y is a random variable. \diamond

Note 2 $d = 0$, generalizes extractor.

We can think of our (k, d, ε) -condenser as a way to hash 2^k items (out of a universe of size 2^n) into 2^m bins, so that the load (number of items per bin) is not too much larger than the expected 2^{k-m} for “most” of the bins. More concretely, it boils down to analyzing a version of average-load: if we choose a random item (and a random hash function from the family) then the probability that the item lands in a bin with more than $2^d \cdot 2^{k-m}$ items should be at most ε .

2.4 Using unpredictability applications

DEFINITION 3 (Unpredictability extractor) We say that a function $D : \{0, 1\}^m \times \{0, 1\}^d \rightarrow \{0, 1\}$ is a δ -distinguisher if $Pr[D(U_m) = 1] \leq \delta$, where U_m is uniform random over $\{0, 1\}^m$. A function $UExt : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ is (k, δ, ε) -unpredictability extractor if for any (n, k) -source X and any δ -distinguisher D , we have $Pr[D(UExt(X; S), S) = 1] \leq \varepsilon$ where S is uniform over $\{0, 1\}^d$. \diamond

DEFINITION 4 (Condenser) A function $Cond : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ is a (k, l, ε) -condenser if for all (n, k) -sources X , and a uniformly random and independent seed S over $\{0, 1\}^d$, the joint distribution $(S, Cond(X; S))$ is ε -statistically-close to some joint distribution (S, Y) such that, for all $S \in \{0, 1\}^d$, $\mathbf{H}_\infty(Y|S = s) \geq m - l$. \diamond

Lemma 3 (*Condenser \Rightarrow UExt*). Any (k, l, ε) -condenser is a $(k, \delta, \varepsilon^*)$ -UExt where $\varepsilon^* = \varepsilon + 2^l \cdot \delta$

Proof: Let $Cond : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ be a (k, l, ε) -condenser and let X be an (n, k) -source. Let S be uniform over $\{0, 1\}^d$, so that, by definition, there is a joint distribution (S, Y) which has statistical distance at most ε from $(S, Cond(X; S))$ such that $\mathbf{H}_\infty(Y|S = s) \geq m - l$ for all $s \in \{0, 1\}^d$. Therefore, for any δ -distinguisher D , we have,

$$\begin{aligned}
Pr[D(Cond(X; S), S) = 1] &\leq \varepsilon + Pr[D(Y, S) = 1] \\
&= \varepsilon + \sum_{y,s} Pr[S = s] \cdot Pr[Y = y|S = s] \cdot Pr[D(y, s) = 1] \\
&\leq \varepsilon + \sum_{y,s} 2^{-d} 2^{\mathbf{H}_\infty(Y|S=s)} \cdot Pr[D(y, s) = 1] \\
&\leq \varepsilon + 2^l \cdot \sum_{y,s} 2^{-(m+d)} \cdot Pr[D(y, s) = 1] \\
&\leq \varepsilon + 2^l \cdot \delta
\end{aligned}$$

□

DEFINITION 5 (Balanced Hashing). Let $h = \{h_s : \{0, 1\}^n \rightarrow \{0, 1\}^m\}_{s \in \{0, 1\}^d}$ be a hash function family. For $\mathcal{X} \subseteq \{0, 1\}^n$, $s \in \{0, 1\}^d$, $x \in \mathcal{X}$ we define $Load_{\mathcal{X}}(x, s) = |\{x' \in \mathcal{X} : h_s(x') = h_s(x)\}|$. We say that the family h is (k, t, ε) -balanced if for all $\mathcal{X} \subseteq \{0, 1\}^n$ of size $|\mathcal{X}| = 2^k$, we have,

$$Pr[Load_{\mathcal{X}}(X, s) > t \cdot 2^{k-m}] \leq \varepsilon,$$

where S, X are uniformly random and independent over $\{0, 1\}^d, \mathcal{X}$, respectively. ◇

Lemma 4 (Balanced \Rightarrow Condenser). Let $\mathcal{H} = \{h_s : \{0, 1\}^n \rightarrow \{0, 1\}^m\}_{s \in \{0, 1\}^d}$ be a (k, t, ε) -balanced hash function family. Then the function $Cond : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ defined by $Cond(x; s) = h_s(x)$ is a (k, l, ε) -condenser for $l = \log(t)$.

Proof: Without loss of generality, we can restrict ourselves to showing that $Cond$ satisfies the condenser definition for every flat source X which is uniformly random over some subset $\mathcal{X} \subseteq \{0, 1\}^n$, $|\mathcal{X}| = 2^k$. Let us take such a source X over the set \mathcal{X} , and define a modified hash family $\tilde{h} = \{\tilde{h}_s : \mathcal{X} \rightarrow \{0, 1\}^m\}_{s \in \{0, 1\}^d}$, which depends on \mathcal{X} and essentially “rebalances” h on the set \mathcal{X} . In particular, for every pair (s, x) such that $Load_{\mathcal{X}}^h(x, s) \leq t \cdot 2^{k-m}$, we set $\tilde{h}_s(x) = h_s(x)$, and for all other pairs (s, x) we define $\tilde{h}_s(x)$ in such a way that $Load_{\mathcal{X}}^{\tilde{h}} \leq t \cdot 2^{k-m}$ (the super-script is used to denote the hash function with respect to which we are computing the load). It is easy to see that this “re-balancing” is always possible. We use the re-balanced hash function \tilde{h} to define a joint distribution (S, Y) by choosing S uniformly at random over $\{0, 1\}^d$, choosing X uniformly/independently over \mathcal{X} and setting $Y = \tilde{h}_S(X)$. It is easy to check that the statistical distance between $(S, Cond(X; S))$ and (S, Y) is at most $Pr[h_S(X) \neq \tilde{h}_S(X)] \leq Pr[Load_{\mathcal{X}}^h(X, S) > t \cdot 2^{k-m}] \leq \varepsilon$. Furthermore, for

every $s \in \{0, 1\}^d$, we have,

$$\begin{aligned}
\mathbf{H}_\infty(Y|S = s) &= -\log(\max_y \Pr[Y = y|S = s]) \\
&= -\log(\max_y \Pr[X \in \tilde{h}_s^{-1}(y)]) \\
&\geq -\log(t \cdot 2^{k-m}/2^k) \\
&= m - \log t
\end{aligned}$$

Thus, *Cond* is a $(k, l = \log t, \varepsilon)$ -condenser. \square

Lemma 5 (*UExt* \Rightarrow *balanced*). Let $UExt : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ be a (k, δ, ε) -*UExt* for some, $\varepsilon > \delta > 0$. Then the hash family $\mathcal{H} = \{h_s : \{0, 1\}^n \rightarrow \{0, 1\}^m\}_{s \in \{0, 1\}^d}$ defined by $h_s(x) = UExt(x; s)$ is $(k, \varepsilon/\delta, \varepsilon)$ -balanced.

Proof: Let, $t = \varepsilon/\delta$ and assume that \mathcal{H} is not (k, t, ε) -balanced. Then there exists some set $\mathcal{X} \subseteq \{0, 1\}^n$, $|\mathcal{X}| = 2^k$, s.t. $\varepsilon' = \Pr[Load_{\mathcal{X}}(X, S) > t \cdot 2^{k-m}] > \varepsilon$, where X is uniform over \mathcal{X} and S is uniform over $\{0, 1\}^d$. Let $\mathcal{X}_s \subseteq \mathcal{X}$ be defined by $\mathcal{X}_s = \{x \in \mathcal{X} : Load_{\mathcal{X}}(X, S) > t \cdot 2^{k-m}\}$ and let $\varepsilon_s \stackrel{def}{=} |\mathcal{X}_s|/2^k$. By definition $\varepsilon' = \sum_s 2^{-d} \varepsilon_s$. Define $\mathcal{Y}_s \subseteq \{0, 1\}^m$ via $\mathcal{Y}_s = h_s(\mathcal{X}_s)$. Now by definition, each $y \in \mathcal{Y}_s$ has atleast $t \cdot 2^{k-m}$ pre-images in \mathcal{X}_s , and therefore $\delta_s \stackrel{def}{=} |\mathcal{Y}_s|/2^m \leq |\mathcal{X}_s|/(t \cdot 2^{k-m} \cdot 2^m) \leq \varepsilon_s/t$ and $\delta = \sum_s 2^{-d} \cdot \delta_s \leq \varepsilon'/t$.

Define the distinguisher D via $D(y, s) = 1$ iff $y \in \mathcal{Y}_s$. The D is a δ -distinguisher for $\delta \leq \varepsilon'/t \leq \varepsilon/t$, but $\Pr[D(h_S(X), S) = 1] = \varepsilon' \geq \varepsilon$. Thus, *UExt* is not a $(k, \varepsilon/t, \varepsilon)$ -UExt. \square

Summary Taking Lemma 3, Lemma 4, and Lemma 5, together, we see that they are close to tight. In particular, for any $\varepsilon > \delta > 0$, we get,

$$\begin{aligned}
(k, \delta, \varepsilon) - UExt &\Rightarrow (k, \varepsilon/\delta, \varepsilon) - \text{balanced} \text{ [Using Lemma 5]} \\
&\Rightarrow (k, \log(\varepsilon/\delta), \varepsilon) - \text{Cond} \text{ [Using Lemma 4]} \\
&\Rightarrow (k, \delta, 2 \cdot \varepsilon) - UExt \text{ [Using Lemma 3]}
\end{aligned}$$

2.4.1 Constructing Unpredictability Extractors

Theorem 2 *There exists an efficient (k, δ, ε) -unpredictability extractor $UExt : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ for the following parameters,*

- When $k = m$ (no entropy loss), we get $\varepsilon = (1 + \log(1/\delta)) \cdot \delta$
- When $k \geq m + \log \log(1/\delta) + 4$, we get $\varepsilon = 3 \cdot \delta$
- In general, $\varepsilon = O(1 + 2^{m-k} \cdot \log(1/\delta)) \cdot \delta$

In all cases, the function $UExt$ is simply a $(\log(1/\delta) + O(1))$ -wise independent hash function and the seed length is $d = O(n \log(1/\delta))$

We prove Theorem 2 by constructing “good” balanced hash functions and using our connections between balanced hashing and unpredictability extractors.

Lemma 6 Let $\mathcal{H} = \{h_s : \{0,1\}^n \rightarrow \{0,1\}^k\}$ be $(t+1)$ -wise independent. Then it is (k, t, ε) -balanced where $\varepsilon \leq (\frac{e}{t})^t$ and e is the base of the natural logarithm.

Proof: Fix any set $\mathcal{X} \subseteq \{0,1\}^n$ of size $|\mathcal{X}| = 2^k$. Let X be uniform over \mathcal{X} and S be uniform/independent over $\{0,1\}^d$. Then

$$\begin{aligned} Pr[Load_{\mathcal{X}}(X, S) > t] &\leq Pr[\exists \mathcal{C} \subseteq \mathcal{X}, |\mathcal{C}| = t, \forall x' \in \mathcal{C} : h_S(x') = h_S(X) \wedge x' \neq X] \\ &\leq \sum_{\mathcal{X} \subseteq \mathcal{X}, |\mathcal{C}|=t} Pr[\forall x' \in \mathcal{C} : h_S(x') = h_S(X) \wedge x' \neq X] \\ &\leq \binom{2^k}{t} 2^{-tk} \\ &\leq \left(\frac{e \cdot 2^k}{t}\right)^t \cdot 2^{-tk} \\ &\leq \left(\frac{e}{t}\right)^t \end{aligned}$$

□

Corollary 7 For any $0 < \varepsilon < 2^{-2e}$, any $\delta > 0$, a $(\log(1/\varepsilon) + 1)$ -wise independent hash family $\mathcal{H} = \{h_s : \{0,1\}^n \rightarrow \{0,1\}^k\}_{s \in \{0,1\}^d}$ is, $(k + \log(1/\varepsilon), \varepsilon)$ -balanced, $(k, \log \log(1/\varepsilon), \varepsilon)$ -condenser, $(k, \delta, \log(1/\varepsilon) \cdot \delta + \varepsilon)$ -UExt. Setting $\delta = \varepsilon$, we get a $(k, \delta, (1 + \log(1/\delta)) \cdot \delta)$ -UExt

Proof: Set $t = \log(1/\varepsilon)$ in Lemma 6 and notice that $(\frac{e}{t})^t \leq 2^{-t} \leq \varepsilon$ as long as $t \geq 2e$. □

This establishes part(1) of Theorem 2. Next we look at a more general case where k may be larger than m . This also covers the case $k = m$ but gets a somewhat weaker bound. It also requires a more complex tail bound for q -wise independent variables.

Lemma 8 Let $\mathcal{H} = \{h_s : \{0,1\}^n \rightarrow \{0,1\}^m\}_{s \in S}$ be $(q+1)$ -wise independent. Then, for any $\alpha > 0$, it is $(k, 1 + \alpha, \varepsilon)$ -balanced where $\varepsilon \leq 8 \cdot \left(\frac{q \cdot 2^{k-m} + q^2}{(\alpha \cdot 2^{k-m} - 1)^2}\right)^{q/2}$.

Proof: Let $\mathcal{X} \subseteq \{0,1\}^n$ be a set of size $|\mathcal{X}| = 2^k$, X be uniform over \mathcal{X} , and S be uniform/independent over $\{0,1\}^d$. Define the indicator random variables $C(x^*, x)$ to be 1 if $h_S(x) = h_S(x^*)$ and 0, otherwise. Then,

$$\begin{aligned} Pr[Load_{\mathcal{X}}(X, S) > (1 + \alpha) \cdot 2^{k-m}] &= \sum_{x^* \in \mathcal{X}} Pr[X = x^*] \cdot Pr[Load_{\mathcal{X}}(x^*, S) > (1 + \alpha) \cdot 2^{k-m}] \\ &= 2^{-k} \cdot \sum_{x^* \in \mathcal{X}} Pr \left[\sum_{x \in \mathcal{X} \setminus \{x^*\}} C(x^*, x) + 1 > (1 + \alpha) \cdot 2^{k-m} \right] \\ &\leq 8 \cdot \left(\frac{q \cdot 2^{k-m} + q^2}{(\alpha \cdot 2^{k-m} - 1)^2}\right)^{q/2} \end{aligned}$$

Where the last line follows from the tail inequality [3] with random variables $\{C(x^*, x)\}_{x \in \mathcal{X} \setminus \{x^*\}}$ which are q -wise independent and have expected value $\mu = \mathbb{E}[\sum_{x \in \mathcal{X} \setminus \{x^*\}} C(x^*, x)] = (2^k - 1) \cdot 2^{-m} \leq 2^{k-m}$, and by setting $A = (1 + \alpha) \cdot 2^{k-m} - 1 - \mu \geq \alpha \cdot 2^{k-m} - 1$; recall that $C(x^*, x^*)$ is always 1 and $C(x^*, x)$ for $x \neq x^*$ is 1 with probability 2^{-m} . □

Corollary 9 For any $0 < \varepsilon < 2^{-7}$, $k \geq m + \log \log(1/\varepsilon) + 4$, a $(\log(1/\varepsilon) + 4)$ -wise independent hash function family $\mathcal{H} = \{h_s : \{0, 1\}^n \rightarrow \{0, 1\}^m\}_{s \in \{0, 1\}^d}$ is, $(k, 2, \varepsilon)$ -balanced, $(k, 1, \varepsilon)$ -condenser, $(k, \delta, 2\delta + \varepsilon)$ -UExt for any $\delta > 0$. Setting $\delta = \varepsilon$, it is a $(k, \delta, 3\delta)$ -UExt.

Proof: Set $q = \log(1/\varepsilon) + 3$, $\alpha = 1$ and $2^{k-m} = 5q$. Then we apply Lemma 8,

$$8 \cdot \left(\frac{q \cdot 2^{k-m} + q^2}{(\alpha \cdot 2^{k-m} - 1)^2} \right)^{q/2} \leq 8 \cdot \left(\frac{6 \cdot q^2}{(5q - 1)^2} \right)^{q/2} \leq 8 \left(\frac{1}{4} \right)^{q/2} \leq 8(2^{-q}) \leq \varepsilon.$$

The second step assumes $q > 10$ meaning that $\varepsilon < 2^{-7}$. □

The above corollary establishes part (2) of Theorem 2. The next corollary gives us a general bound which establishes part (3) of the theorem. Asymptotically it implies both Corollary 7 and Corollary 9 but with worse constants.

Corollary 10 For any $\varepsilon > 0$ and $q = \log(1/\varepsilon) + 3$, a $(q+1)$ -wise independent hash function family $\mathcal{H} = \{h_s : \{0, 1\}^n \rightarrow \{0, 1\}^m\}_{s \in \{0, 1\}^d}$ is $(k, 1 + \alpha, \varepsilon)$ -balanced for

$$\alpha = 4 \cdot \sqrt{q \cdot 2^{m-k} + (q \cdot 2^{m-k})^2} = O(2^{m-k} \cdot \log(1/\varepsilon) + 1).$$

By setting $\delta = \varepsilon$, a $(\log(1/\delta) + 4)$ -wise independent hash function is a $(k, \delta, O(1 + 2^{m-k} \cdot \log 1/\delta) \cdot \delta)$ -UExt.

Proof: The first part follows from Lemma 8 by noting that,

$$8 \cdot \left(\frac{q \cdot 2^{k-m} + q^2}{(\alpha \cdot 2^{k-m} - 1)^2} \right)^{q/2} \leq 8 \cdot \left(\frac{6 \cdot q^2}{(5q - 1)^2} \right)^{q/2} \leq 8 \left(\frac{1}{4} \right)^{q/2} \leq \varepsilon.$$

For the 2^{nd} part, we can consider two cases. If $q \cdot 2^{m-k} \leq 1$, then $\alpha \leq 4\sqrt{2}$ and we are done. Else, $\alpha \leq 4\sqrt{2} \cdot (q \cdot 2^{m-k}) = 4\sqrt{2}(\log(1/\varepsilon) + 3) \cdot 2^{m-k}$. □

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