Differential Privacy with Imperfect Randomness

Yevgeniy Dodis Adriana López-Alt

Ilya Mironov Salil Vadhan

Randomness in Cryptography



• Cryptographic algorithms require randomness.

- Secret keys must have entropy
- Many primitives must be randomized (Enc, Com, ZK, etc.)
- Common to assume perfect randomness is available

• But real-world randomness is imperfect.

Randomness in Cryptography



• Cryptographic algorithms require randomness.

- Secret keys must have entropy
- Many primitives must be randomized (Enc, Com, ZK, etc.)
- Common to assume perfect randomness is available
- But real-world randomness is imperfect.

• $\in \subset \subseteq \cap \cup \supset \supseteq \emptyset \pm \epsilon \gamma$

 Main Question: Can we base cryptography on (realistic) imperfect randomness?

Imperfect Sources



- **oImperfect source S**: family of distributions **R**
 - satisfying some property (i.e., entropy)
- "Tolerate" imperfect source: have <u>one</u> scheme correctly working for <u>any</u> R in the source S

Main Question: (restated) Which imperfect sources are enough for cryptography?

Extractable Sources



- Sources permitting (deterministic) extraction of nearly perfect randomness [vNeu, Eli, ...]
- **Example: von Neumann's extractor**
 - Independent coins, all with (unknown) bias p.
 - Obtain uniform distribution by:
 - \circ HT \rightarrow 0
 - $\circ TH \rightarrow 1$
- Suffice for (almost) anything possible with perfect randomness
- Bad news: many sources are non-extractable ☺

Non-Extractable Sources

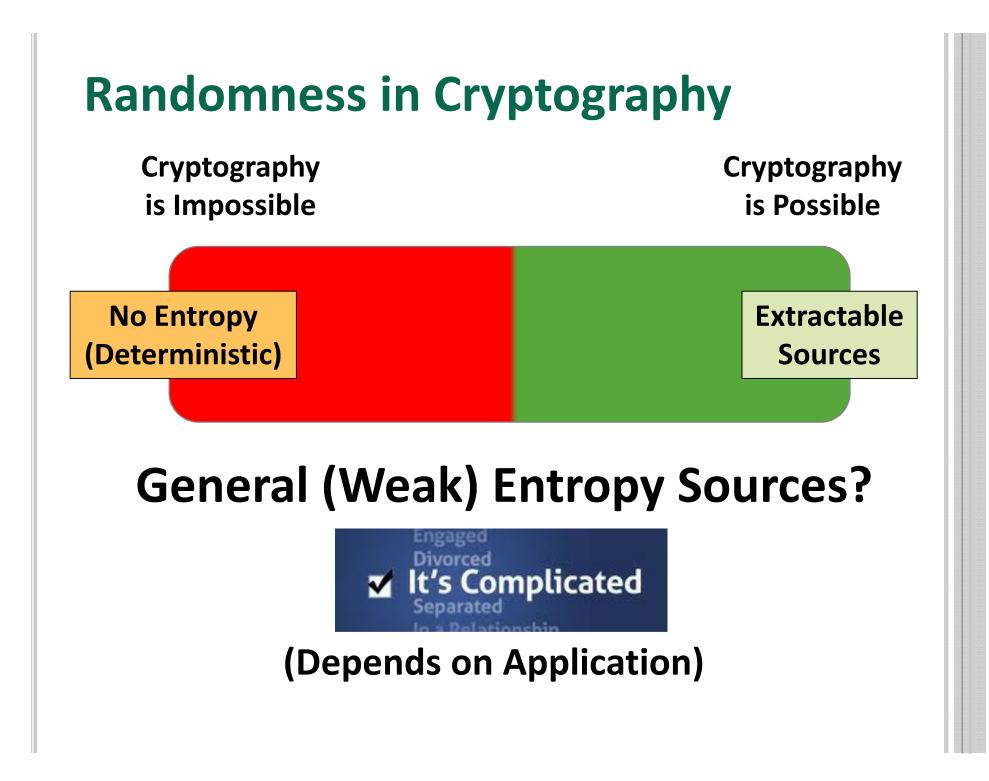


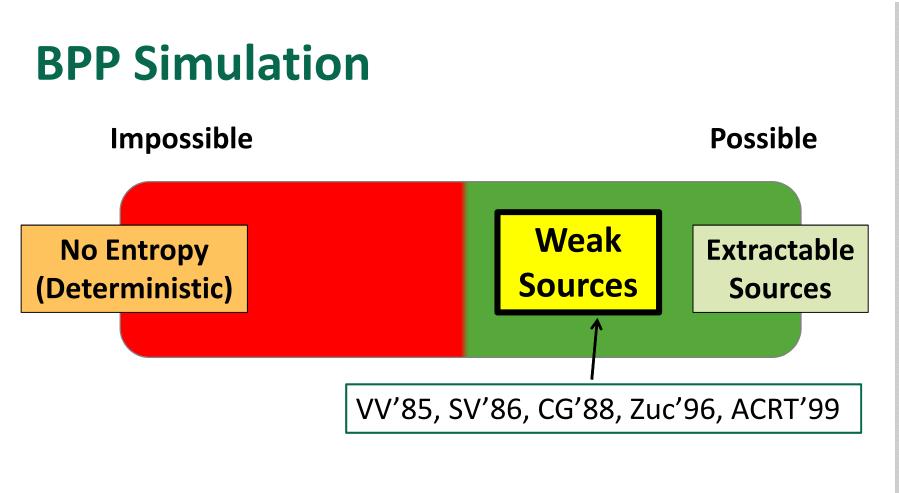
oObvious: sources with no "entropy"

- Clearly, cannot do crypto as well
- What about "entropy" (weak) sources?
 - Generally non-extractable [SV85,CG89] ⁽³⁾
 - Simplest example: γ-Santha-Vazirani sources SV(γ)
 oProduces bits b₁, b₂, ..., each having bias at most γ (possibly dependent on prior bits).

$$\frac{1}{2} \cdot (1 - \gamma) \le \Pr[b_i = 0 \mid b_1 b_2 \dots b_{i-1}] \le \frac{1}{2} \cdot (1 + \gamma)$$

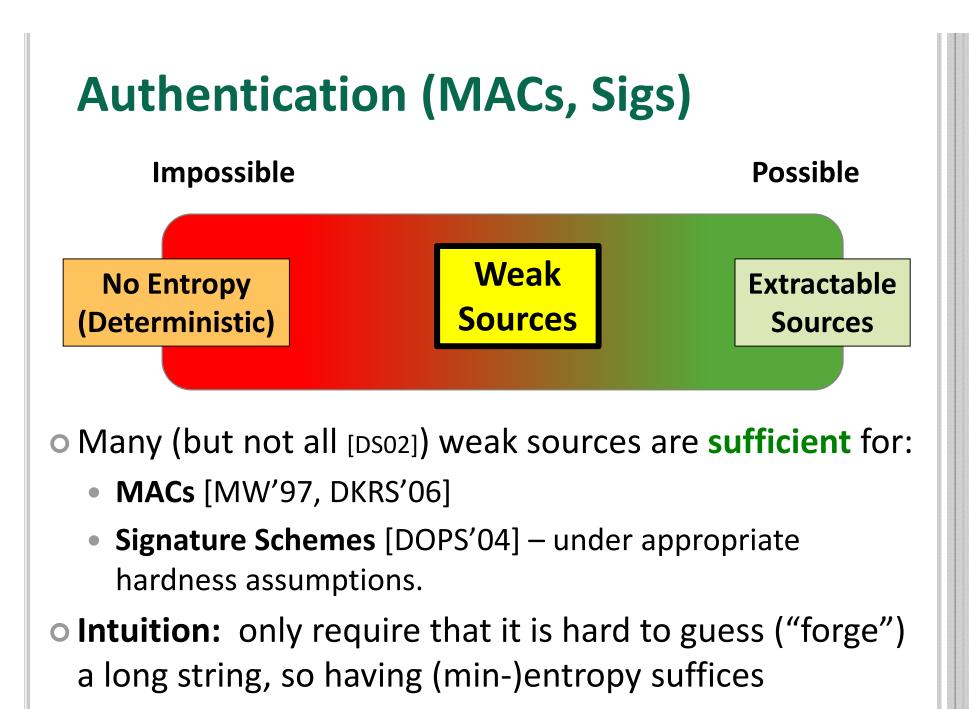
•<u>Non-extractable</u>: for any f: $\{0,1\}^n \rightarrow \{0,1\}$, there exists a SV(γ) source s.t. f(SV(γ)) has bias at least γ .

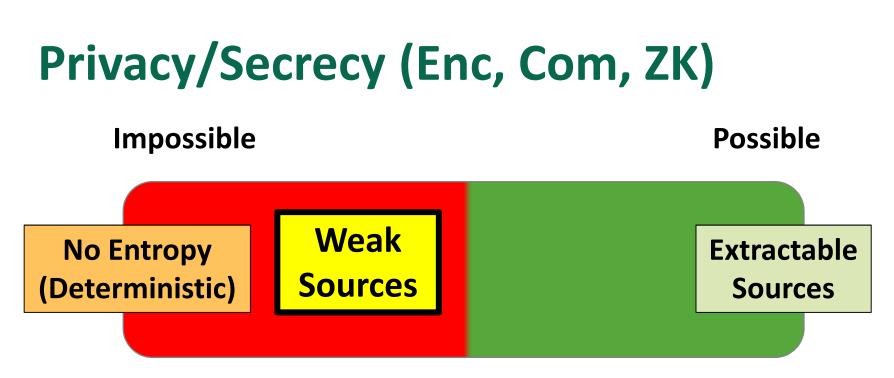




Same good news for Crypto?

- Authentication (MACs, Sig)
- Privacy/Secrecy (Enc, Com, ZK)





o SV(γ) <u>not</u> sufficient for:

- Unconditionally-secure encryption (MP'90)
- Computationally-secure encryption (DOPS'04)
- Commitment, Zero-Knowledge, Secret-Sharing (DOPS'04)
- <u>BD'07</u>: If can generate k-bit SK from source R, can extract k almost uniform bits from R.
 - Traditional privacy <u>requires</u> an extractable source.

Privacy/Secrecy (Enc, Com, ZK)

DOPS'04 Main Lemma: Let X be a "weak source". If $f(X) \approx_c g(X)$, then $Pr_{x \leftarrow U}[f(x) \neq g(x)] = negl(k)$

- o Reason: We require adversary to have a negligible advantage in distinguishing (e.g. Enc(0) ≈_c Enc(1)) -
- Can privacy/secrecy be based on weak (e.g., SV) sources if we (naturally) relax the security definition?
 - E.g. consider **Differential Privacy**

Differential Privacy (Dwork'06, DMNS'06)

D₁ **D**₂ differ

in **1** entry.

• Database D: Array of rows.

• Queries $f(D) \rightarrow Z$

 Low sensitivity queries – answer does not ange by much on neighboring databases.

A mechanism M is ε -differentially private for F w.r.t. source S if for all queries $f \in F$, all neighboring databases $D_1 D_2$, all distributions $R \in S$, and all possible outcomes z:

$$\frac{\Pr_{r \leftarrow R}[M(D_1, f; r) = z]}{\Pr_{r \leftarrow R}[M(D_2, f; r) = z]} \le 1 + \varepsilon$$

Differential Privacy (Dwork'06, DMNS'06)

ο Notice, ε <u>cannot</u> be negligible

- Implies output of mechanism is negligibly close on <u>any</u> two different databases – not useful.
- Hope to overcome impossibility result of DOPS'04.

A mechanism M is ε -differentially private for F w.r.t. source S if for all queries $f \in F$, all neighboring databases $D_1 D_2$, all distributions $R \in S$, and all possible outcomes z:

$$\frac{\Pr_{r \leftarrow R}[M(D_1, f; r) = z]}{\Pr_{r \leftarrow R}[M(D_2, f; r) = z]} \le 1 + \varepsilon$$

Utility

A mechanism M has ρ -utility for F w.r.t. S if for all databases D, all queries $f \in F$, all distributions $R \in S$:

$$E_{r \leftarrow R}\left[\left|f(D) - M(D, f; r)\right|\right] \le \rho$$

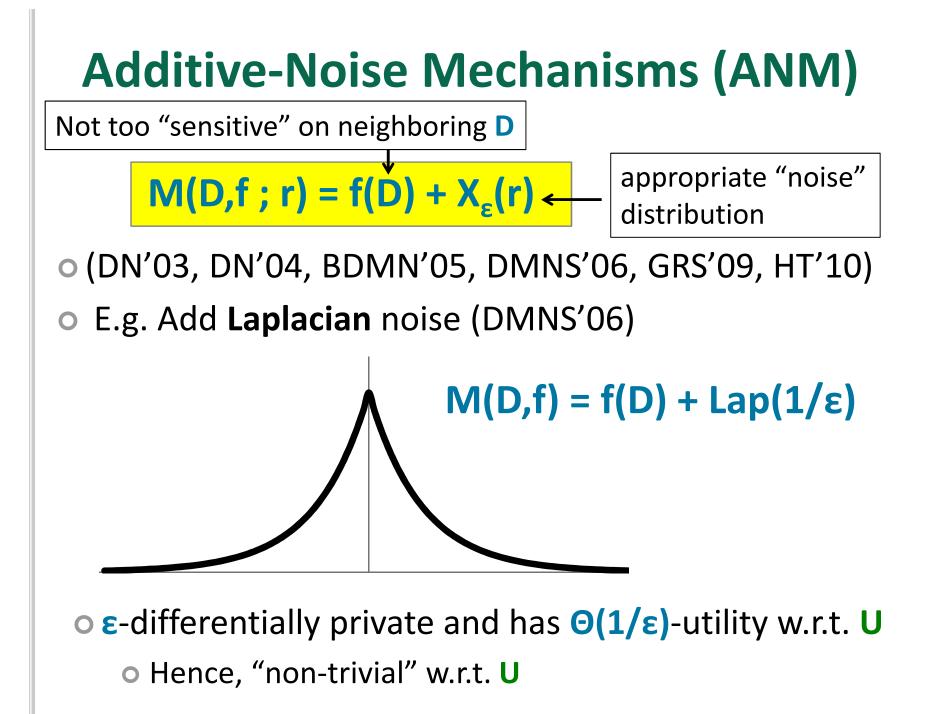
A mechanism M is ε -differentially private for F w.r.t. source S if for all queries $f \in F$, all neighboring databases $D_1 D_2$, all distributions $R \in S$, and all possible outcomes z:

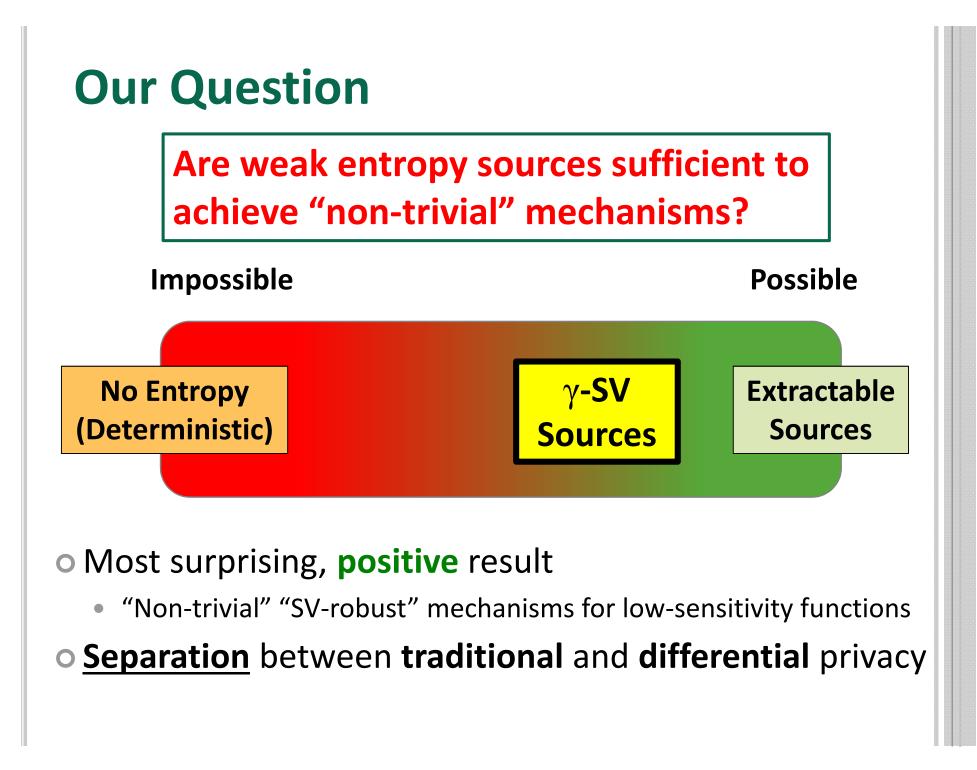
$$\frac{\Pr_{r \leftarrow R}[M(D_1, f; r) = z]}{\Pr_{r \leftarrow R}[M(D_2, f; r) = z]} \le 1 + \varepsilon$$

Accurate and Private Mechanisms

Can we achieve a good <u>tradeoff</u> between privacy and utility?

"non-trivial" **F** admits accurate and private mechanisms w.r.t. **S** if for all $\varepsilon > 0$ there is M_{ε} that is ε -DP and has $g(\varepsilon)$ utility w.r.t **S**, for some g(.)





First Attempt

Hope: Any class of "non-trivial" mechanisms w.r.t. **U** is also "non-trivial" w.r.t. $SV(\gamma)$.

Too optimistic:

- See paper for a "dramatic" (but artificial) example.
- O Natural example: additive-noise, M(D,f; R) = f(D) + X(R)
 - Can show if any ANM M is ε-DP then X'(R) = X(R) mod 2 is a ε-biased one-bit extractor for R.
 - SV(γ) is "non-extractable" i.e. cannot extract ε-biased bit for ε < γ
 - Thus, <u>no ANMs</u> can be "non-trivial" w.r.t. SV(γ)

Second Attempt

Hope: Any class of "non-trivial" mechanisms w.r.t. **U** is also "non-trivial" w.r.t. **SV**(γ) *if we first run an* "*extractor" on the randomness.*

Also doesn't work:

• Applying Ext to ANM is still ANM

• M'(D,f ; R) = f(D) + X(Ext(R))

• ANMs are <u>not</u> "SV-robust".

Conclusion:

• Need a **<u>non-</u>**additive-noise mechanism.

A General Lower Bound

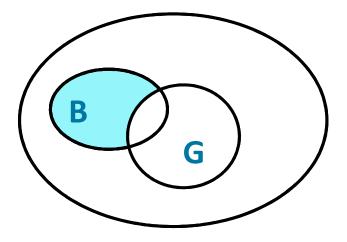
First, a useful Lemma:

• Sets G, B \sqsubset {0,1}ⁿ s.t. |G| ≥ |B| > 0

• Define $\sigma = \frac{|B \setminus G|}{|B|}$

• There exists distribution $SV(\gamma)$ s.t.

$$\frac{\Pr_{r \leftarrow SV(\gamma)}[r \in G]}{\Pr_{r \leftarrow SV(\gamma)}[r \in B]} \ge (1 + \gamma \sigma)$$



A General Lower Bound

 Fix neighboring databases D₁, D₂, query f and outcome z
 Define S_b = {r | M(D_b, f;r) = z} (i.e., set of coins that make M output z on D_b)

$$\frac{\Pr_{r \leftarrow SV(\gamma)}[M(D_1, f; r) = z]}{\Pr_{r \leftarrow SV(\gamma)}[M(D_2, f; r) = z]} = \frac{\Pr_{r \leftarrow SV(\gamma)}[r \in S_1]}{\Pr_{r \leftarrow SV(\gamma)}[r \in S_2]} \ge (1 + \gamma \sigma)$$

$$\sigma = \frac{|S_2 \setminus S_1|}{|S_2|}$$

Conclusion:

 \circ ε-DP w.r.t. SV(γ) <u>requires</u> σ ≤ ε/γ = O(ε)

 \circ **S**₁ **П S**₂ must be "big" – a **1** – ε fraction of **S**₁.

Consistent Sampling (Man'94, Hol'07, MMP+'10)

A mechanism M has ε -consistent sampling if for all queries $\mathbf{f} \in \mathbf{F}$, all neighboring databases $D_1 D_2$, and all possible outcomes Z: $|S_1 \setminus S_2| \le \varepsilon$

Lemma: If M is ε -consistent, then M is ε -DP w.r.t. U

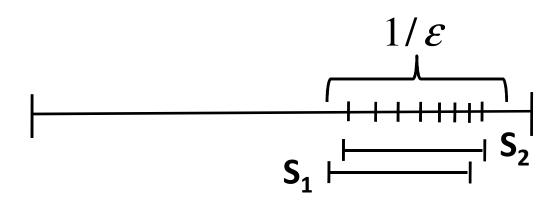
Proof:
$$\frac{\Pr_{r \leftarrow U_n}[M(D_1, f; r) = z]}{\Pr_{r \leftarrow U_n}[M(D_2, f; r) = z]} = \frac{\Pr_{r \leftarrow U_n}[r \in S_1]}{\Pr_{r \leftarrow U_n}[r \in S_2]}$$
$$= \frac{|S_1|}{|S_2|} = \frac{|S_1 \cap S_2|}{|S_2|} + \frac{|S_1 \setminus S_2|}{|S_2|} \le 1 + \mathcal{E}$$

A New Mechanism

$$M(D,f) = [f(D) + Lap(1/\epsilon)]_{1/\epsilon}$$

• Round outcome to nearest multiple of $1/\epsilon$

- Utility is conserved (asymptotically): still Θ(1/ε)-utility
- Guarantees S₁, S₂ will intersect on a large fraction of coins, as required for ε-consistent sampling.



A New Mechanism $M(D,f) = [f(D) + Lap(1/\epsilon)]_{1/\epsilon}$



- ο Satisfies ε-consistent sampling.
- Overcomes our lower bound.

Can we implement it in a "SV-robust" manner?

- Yes! But non-trivial (no pun intended \odot)
 - Not every implementation is "SV-robust"
 - ε-consistent sampling is necessary but not sufficient
- **ο** Define **ε-SV-consistent sampling**
 - Natural definition, does not reference SV(γ)
 - Sufficient for "SV robustness"
 - Use arithmetic coding to ensure SV-consistency
 - Need to be careful with finite precision

