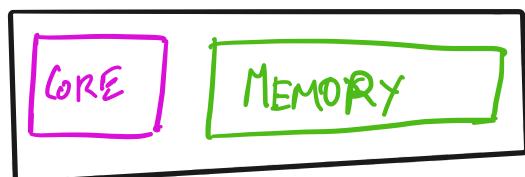


## CS202 LECTURE 5

- DONE WITH PROCESSES FOR NOW

- TODAY: THREADS + CONCURRENCY

- THREADS



↓  
thread-create

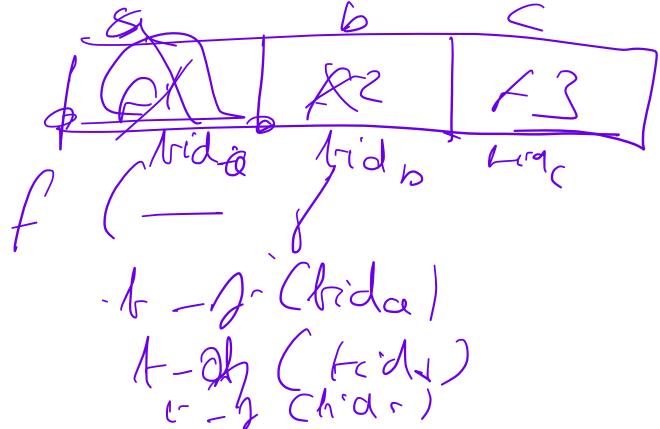


thread-create →

↑ RSP  
↑ RBP

```
void a() {
    int i=0;
    for(i<4; i++) {
        if(i%2==0)
            thread-create((void(*)(void*))f, void* arg);
        else
            thread-exit();
    }
}

int main() {
    thread-create(&a, NULL);
    thread-join(tid);
    printf("Done");
}
```



More precisely

- Each thread gets its own set of registers

- All threads in a process

share the same memory.

- thread-create →
- ① Allocate a stack
  - ② Init stack
  - ③ Create a new process

thread-create (void(\*fn)(void\*), void\* arg);

```
void a(void*){
```

```
printf("NT")
```

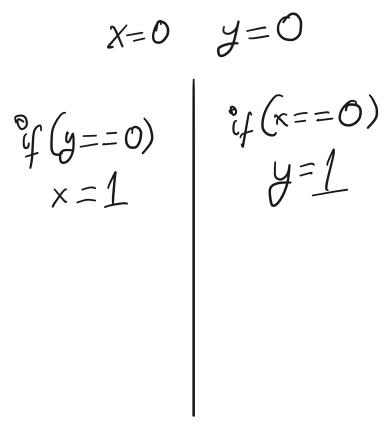
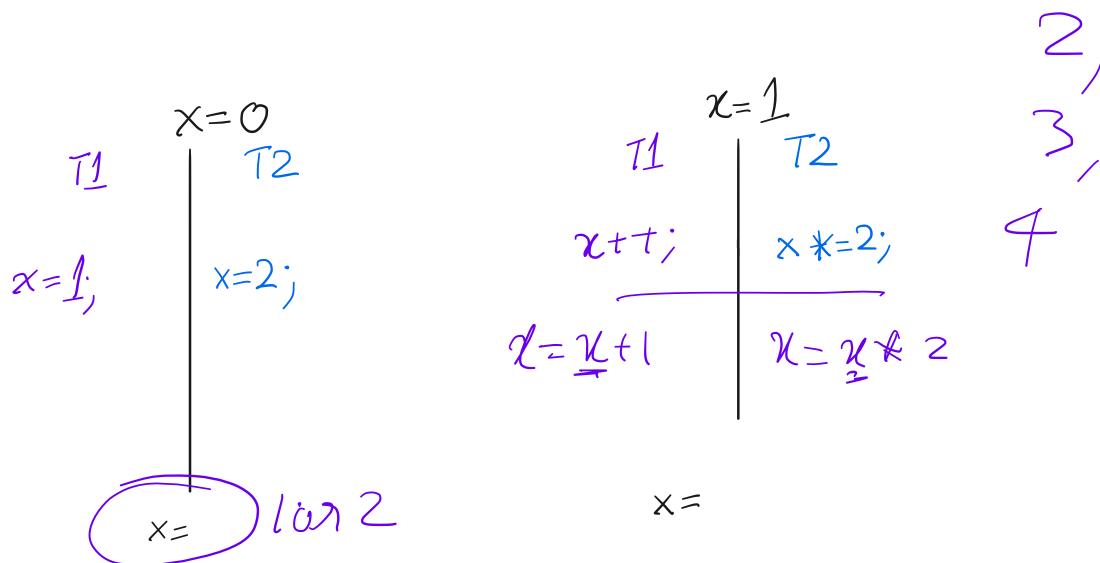
```
thread-create(&a, NULL),
```

pthreads:

thread-exit()

## CONTRAST WITH PROCESSES

- Two threads can **concurrently**



## CONCURRENCY

- EACH THREAD HAS ITS OWN COPY OF REGISTERS

↪ ISOLATED: ONE THREAD CANNOT MANIPULATE  
ANOTHER'S REGISTERS

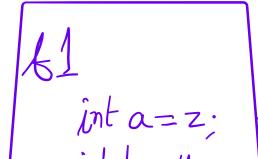
- ALL THREADS IN A PROCESS HAVE ACCESS TO THE SAME MEMORY
  - ↪ MULTIPLE CHANGES TO MEMORY AT A TIME
  - Many things happening at once
  - ↪ GOAL: FIND Tools To BUILD CORRECT CONCURRENT PROGRAMS

Why?

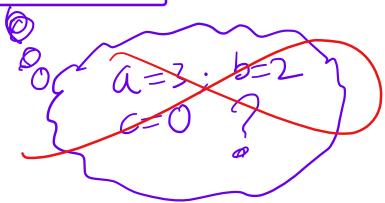
## SOME ASSUMPTIONS

- THREAD STACKS ISOLATED

- CONSISTENCY MODEL



$int b = y;$   
 $int c = x;$



Unless otherwise specified we will adopt

### Sequential Consistency

T0	T1	T2	T3
$x=5$	$a=1$	$x \leftarrow 5$	$x$
$y=6$	$b=2$	$a \leftarrow 1$	$a$
		$b \leftarrow 2$	$b$
		$y \leftarrow 6$	$y$

- All threads agree on the order of operations  
(GLOBAL ORDER)
- The order of operations performed by a thread is preserved

### Critical Sections

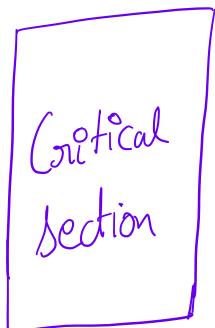
Things that should appear to occur atomically

Some concerns

Mutual exclusion

- Progress
- Bounded waiting

## Implementing Critical Sections



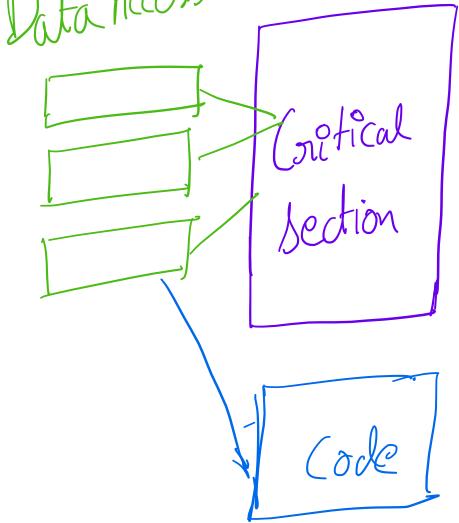
- Single processor: Prevent anything else from running

How?

## Multiple Processors

- Trickier
- Mutexes

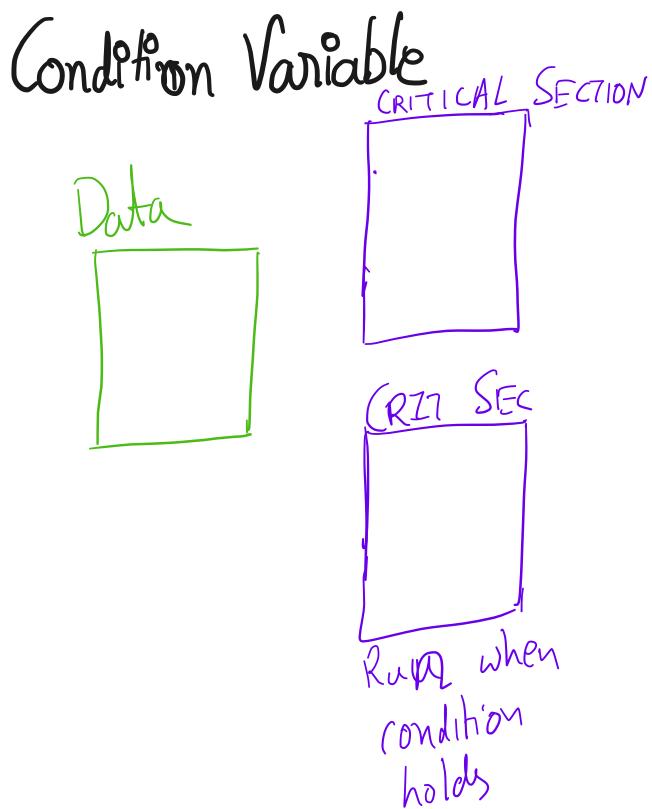
D1 Acquired



↳ Mutual Exclusion

lock

unlock



cond-init (cond\*)

cond-wait (Mutex<sup>0</sup><sub>m</sub>, cond\*)

cond-signal

cond-broadcast

Sep 18, 2023 18:02

## handout03.txt

Page 1/4

```

1 CS 202, Fall 2023
2 Handout 3
3
4 1. Example to illustrate interleavings: say that thread tid1 executes f()
5 and thread tid2 executes g(). (Here, we are using the term "thread"
6 abstractly. This example applies to any of the approaches that fall
7 under the word "thread".)
8
9     a. [this is pseudocode]
10
11     int x;
12
13     int main(int argc, char** argv) {
14
15         tid tid1 = thread_create(f, NULL);
16         tid tid2 = thread_create(g, NULL);
17
18         thread_join(tid1);
19         thread_join(tid2);
20
21         printf("%d\n", x);
22     }
23
24     void f()
25     {
26         x = 1;
27         thread_exit();
28     }
29
30     void g()
31     {
32         x = 2;
33         thread_exit();
34     }
35
36
37     What are possible values of x after tid1 has executed f() and tid2 has
38     executed g()? In other words, what are possible outputs of the
39     program above?
40
41
42     b. Same question as above, but f() and g() are now defined as
43     follows:
44
45     int y = 12;
46
47     f() { x = y + 1; }
48     g() { y = y * 2; }
49
50     What are the possible values of x?
51
52
53
54     c. Same question as above, but f() and g() are now defined as
55     follows:
56
57     int x = 0;
58     f() { x = x + 1; }
59     g() { x = x + 2; }
60
61     What are the possible values of x?
62
63

```

Sep 18, 2023 18:02

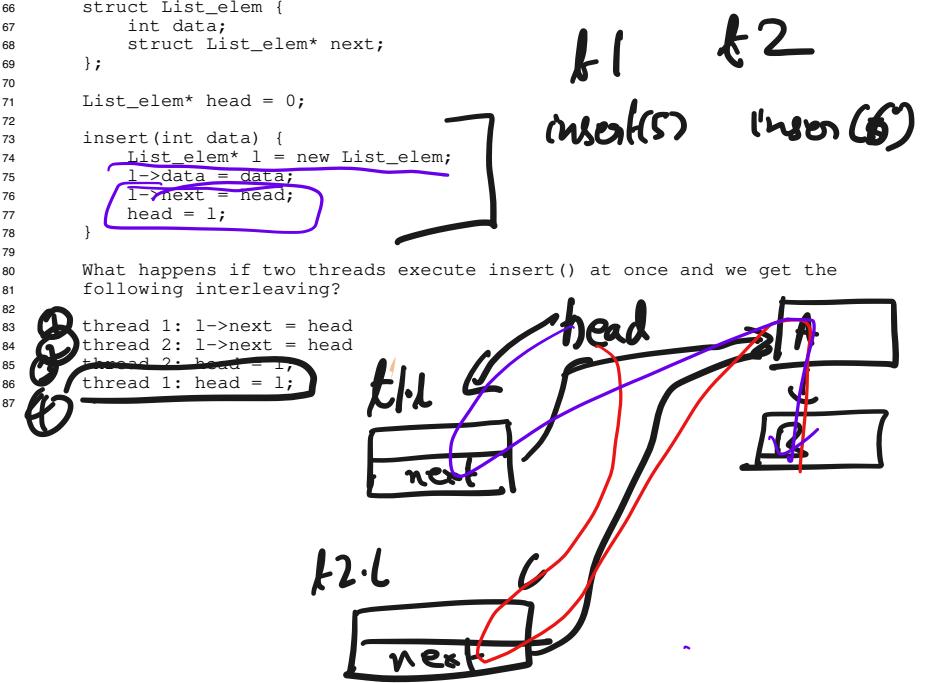
## handout03.txt

Page 2/4

```

64 2. Linked list example
65
66     struct List_elem {
67         int data;
68         struct List_elem* next;
69     };
70
71     List_elem* head = 0;
72
73     insert(int data) {
74         List_elem* l = new List_elem;
75         l->data = data;
76         l->next = head;
77         head = l;
78     }
79
80
81     What happens if two threads execute insert() at once and we get the
82     following interleaving?
83
84     thread 1: l->next = head
85     thread 2: l->next = head
86     thread 2: head = l
87     thread 1: head = l;

```



Sep 18, 2023 18:02

**handout03.txt**

Page 3/4

```

88 3. Producer/consumer example:
89
90  /*
91   "buffer" stores BUFFER_SIZE items
92   "count" is number of used slots. a variable that lives in memory
93   "out" is next empty buffer slot to fill (if any)
94   "in" is oldest filled slot to consume (if any)
95 */
96
97 void producer (void *ignored) {
98     for (;;) {
99         /* next line produces an item and puts it in nextProduced */
100        nextProduced = means_of_production();
101        while (count == BUFFER_SIZE)
102            ; // do nothing
103        buffer [in] = nextProduced;
104        in = (in + 1) % BUFFER_SIZE;
105        count++;
106    }
107 }
108
109 void consumer (void *ignored) {
110     for (;;) {
111         while (count == 0)
112             ; // do nothing
113         nextConsumed = buffer[out];
114         out = (out + 1) % BUFFER_SIZE;
115         count--;
116         /* next line abstractly consumes the item */
117         consume_item(nextConsumed);
118     }
119 }
120
121 /*
122 what count++ probably compiles to:
123 reg1 <-- count      # load
124 reg1 <-- reg1 + 1    # increment register
125 count <-- reg1      # store
126
127 what count-- could compile to:
128 reg2 <-- count      # load
129 reg2 <-- reg2 - 1    # decrement register
130 count <-- reg2      # store
131 */
132
133 What happens if we get the following interleaving?
134
135     reg1 <-- count
136     reg1 <-- reg1 + 1
137     reg2 <-- count
138     reg2 <-- reg2 - 1
139     count <-- reg1
140     count <-- reg2
141

```

Sep 18, 2023 18:02

**handout03.txt**

Page 4/4

```

142
143 4. Some other examples. What is the point of these?
144
145 [From S.V. Adve and K. Gharachorloo, IEEE Computer, December 1996,
146 66-76. http://rsim.cs.uiuc.edu/~sadve/Publications/computer96.pdf]
147
148 a. Can both "critical sections" run?
149
150     int flag1 = 0, flag2 = 0;
151
152     int main () {
153         tid id = thread_create (p1, NULL);
154         p2 (); thread_join (id);
155     }
156
157     void p1 (void *ignored) {
158         flag1 = 1;
159         if (!flag2) {
160             critical_section_1 ();
161         }
162     }
163
164     void p2 (void *ignored) {
165         flag2 = 1;
166         if (!flag1) {
167             critical_section_2 ();
168         }
169     }
170
171 b. Can use() be called with value 0, if p2 and p1 run concurrently?
172
173     int data = 0, ready = 0;
174
175     void p1 () {
176         data = 2000;
177         ready = 1;
178     }
179     int p2 () {
180         while (!ready) {}
181         use(data);
182     }
183
184 c. Can use() be called with value 0?
185
186     int a = 0, b = 0;
187
188     void p1 (void *ignored) { a = 1; }
189
190     void p2 (void *ignored) {
191         if (a == 1)
192             b = 1;
193     }
194
195     void p3 (void *ignored) {
196         if (b == 1)
197             use (a);
198     }

```

Sep 18, 2023 18:00

**handout04.txt**

Page 1/4

```

1 CS 202, Fall 2023
2 Handout 4
3
4 Handout 3 gave examples of race conditions. The following
5 panels demonstrate the use of concurrency primitives (mutexes, etc.). We are
6 using concurrency primitives to eliminate race conditions (see items 1
7 and 2a) and improve scheduling (see item 2b).
8
9 1. Protecting the linked list......
10
11     Mutex list_mutex;
12
13     insert(int data) {
14         List_elem* l = new List_elem;
15         l->data = data;
16
17         acquire(&list_mutex);
18
19         l->next = head;
20         head = l;
21
22         release(&list_mutex);
23     }
24

```

Sep 18, 2023 18:00

**handout04.txt**

Page 2/4

```

25 2. Producer/consumer revisited [also known as bounded buffer]
26
27 2a. Producer/consumer [bounded buffer] with mutexes
28
29     Mutex mutex;
30
31     void producer (void *ignored) {
32         for (;;) {
33             /* next line produces an item and puts it in nextProduced */
34             nextProduced = means_of_production();
35
36             acquire(&mutex);
37             while (count == BUFFER_SIZE) {
38                 release(&mutex);
39                 yield(); /* or schedule() */
40                 acquire(&mutex);
41             }
42
43             buffer [in] = nextProduced;
44             in = (in + 1) % BUFFER_SIZE;
45             count++;
46             release(&mutex);
47         }
48     }
49
50     void consumer (void *ignored) {
51         for (;;) {
52
53             acquire(&mutex);
54             while (count == 0) {
55                 release(&mutex);
56                 yield(); /* or schedule() */
57                 acquire(&mutex);
58             }
59
60             nextConsumed = buffer[out];
61             out = (out + 1) % BUFFER_SIZE;
62             count--;
63             release(&mutex);
64
65             /* next line abstractly consumes the item */
66             consume_item(nextConsumed);
67         }
68     }
69

```

Sep 18, 2023 18:00

**handout04.txt**

Page 3/4

```

70    2b. Producer/consumer [bounded buffer] with mutexes and condition variables
71
72        Mutex mutex;
73        Cond nonempty;
74        Cond nonfull;
75
76        void producer (void *ignored) {
77            for (;;) {
78                /* next line produces an item and puts it in nextProduced */
79                nextProduced = means_of_production();
80
81                acquire(&mutex);
82                while (count == BUFFER_SIZE)
83                    cond_wait(&nonfull, &mutex);
84
85                buffer [in] = nextProduced;
86                in = (in + 1) % BUFFER_SIZE;
87                count++;
88                cond_signal(&nonempty, &mutex);
89                release(&mutex);
90            }
91        }
92
93        void consumer (void *ignored) {
94            for (;;) {
95
96                acquire(&mutex);
97                while (count == 0)
98                    cond_wait(&nonempty, &mutex);
99
100               nextConsumed = buffer[out];
101               out = (out + 1) % BUFFER_SIZE;
102               count--;
103               cond_signal(&nonfull, &mutex);
104               release(&mutex);
105
106               /* next line abstractly consumes the item */
107               consume_item(nextConsumed);
108            }
109        }
110
111
112        Question: why does cond_wait need to both release the mutex and
113        sleep? Why not:
114
115        while (count == BUFFER_SIZE) {
116            release(&mutex);
117            cond_wait(&nonfull);
118            acquire(&mutex);
119        }
120
121

```

Sep 18, 2023 18:00

**handout04.txt**

Page 4/4

```

122    2c. Producer/consumer [bounded buffer] with semaphores
123
124        Semaphore mutex(1);           /* mutex initialized to 1 */
125        Semaphore empty(BUFFER_SIZE); /* start with BUFFER_SIZE empty slots */
126        Semaphore full(0);          /* 0 full slots */
127
128        void producer (void *ignored) {
129            for (;;) {
130                /* next line produces an item and puts it in nextProduced */
131                nextProduced = means_of_production();
132
133                /*
134                 * next line diminishes the count of empty slots and
135                 * waits if there are no empty slots
136                 */
137                sem_down(&empty);
138                sem_down(&mutex); /* get exclusive access */
139
140                buffer [in] = nextProduced;
141                in = (in + 1) % BUFFER_SIZE;
142
143                sem_up(&mutex);
144                sem_up(&full); /* we just increased the # of full slots */
145            }
146
147            void consumer (void *ignored) {
148                for (;;) {
149
150                    /*
151                     * next line diminishes the count of full slots and
152                     * waits if there are no full slots
153                     */
154                    sem_down(&full);
155                    sem_down(&mutex);
156
157                    nextConsumed = buffer[out];
158                    out = (out + 1) % BUFFER_SIZE;
159
160                    sem_up(&mutex);
161                    sem_up(&empty); /* one further empty slot */
162
163                    /* next line abstractly consumes the item */
164                    consume_item(nextConsumed);
165                }
166            }
167
168
169            Semaphores *can* (not always) lead to elegant solutions (notice
170            that the code above is fewer lines than 2b) but they are much
171            harder to use.
172
173            The fundamental issue is that semaphores make implicit (counts,
174            conditions, etc.) what is probably best left explicit. Moreover,
175            they *also* implement mutual exclusion.
176
177            For this reason, you should not use semaphores. This example is
178            here mainly for completeness and so you know what a semaphore
179            is. But do not code with them. Solutions that use semaphores in
180            this course will receive no credit.

```

`tid a = thread-create(f, NULL);`

