

# Programming Paradigms for Concurrency

## Lecture 10 – The Actor Paradigm

Based on a course on  
Principles of Reactive Programming  
by Martin Odersky, Erik Meijer, Roland Kuhn

Modified by  
Thomas Wies  
New York University



# Message Passing Concurrency

- no shared memory (in its pure form)
  - + some classes of concurrency errors avoided by design
  - + natural programming model for distributed architectures
  - sometimes less efficient on shared-memory architectures: data must be copied before sending
- all synchronization between processes is explicit
  - + reasoning about program behavior is simplified
  - “it’s harder to parallelize a sequential program using MP”
- higher level of abstraction
  - + decouple computation tasks from physical threads
    - > event-driven programming

# Message Passing Paradigms

Two important categories of MP paradigms:

1. Actor or agent-based paradigms
  - **unique receivers**: messages are sent directly from one process to another
2. Channel-based paradigms
  - **multiple receivers**: messages are sent to channels that are shared between processes

We will focus on the actor paradigm.

# The Actor Paradigm

Actors are the object-oriented approach to  
concurrency

“everything is an actor”

actor = object + logical thread

# A Brief History of Actors

- Hewitt, Bishop, Steiger 1973: actor model
- Agha 1986: actor languages and semantics
- Armstrong et al. 1990s: Erlang language
- Haller, Odersky 2006: Scala actors
- Boner 2009: Akka actors

# The Akka Actor Trait

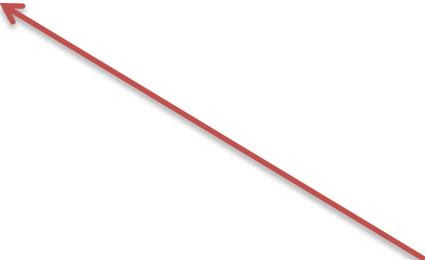
```
type Receive = PartialFunction[Any,Unit]

trait Actor {
  def receive: Receive
  ...
}
```

The Actor type describes the behavior of an actor, i.e., how it reacts to received messages.

# A Simple Actor

```
class Counter extends Actor {  
  var count = 0  
  def receive = {  
    case "incr" => count += 1  
  }  
}
```



Use pattern matching to  
dispatch incoming messages

# Sending Messages

```
class Counter extends Actor {  
  var count = 0  
  def receive = {  
    case "incr" => count += 1  
    case ("get", customer: ActorRef) =>  
      customer ! count  
  }  
}
```

# Senders are Implicit

```
trait Actor {  
  implicit val self: ActorRef  
  def sender: ActorRef  
  ...  
}
```

```
abstract class ActorRef {  
  def !(msg: Any)(implicit sender: ActorRef = Actor.noSender):  
    Unit  
  def tell(msg: Any, sender: ActorRef) = this.!(msg)(sender)  
  ...  
}
```

# Using sender

```
class Counter extends Actor {  
  var count = 0  
  def receive = {  
    case "incr" => count += 1  
    case "get" => sender ! count  
  }  
}
```

# Changing an Actor's Behavior

```
class ActorContext {  
  def become(behavior: Receive, discardOld: Boolean = true): Unit  
  def unbecome(): Unit  
  ...  
}
```

```
trait Actor {  
  implicit val context: ActorContext  
  ...  
}
```

# Changing an Actor's Behavior

```
class Counter extends Actor {  
  def counter(n: Int) = {  
    case "incr" => context.become(counter(n + 1))  
    case "get"  => sender ! n  
  }  
  def receive = counter(0)  
}
```

# Important Lessons to Remember

- Prefer context . become for different behaviors, with data local to each behavior

# Creating and Stopping Actors

```
class ActorContext {  
  def actorOf(p: Props, name: String): ActorRef  
  def stop(a: ActorRef): Unit  
  ...  
}
```

```
trait Actor {  
  val self: ActorRef  
  ...  
}
```

Actors are created by other actors.

Typically, `stop` is called with `self` as argument.

# A Simple Actor Application

```
class Main extends Actor {  
  val counter = context.actorOf(Props[Counter], "counter")  
  
  counter ! "incr"  
  counter ! "incr"  
  counter ! "incr"  
  counter ! "get"  
  
  def receive = {  
    case count: Int =>  
      println(s"count was $count")  
      context.stop(self)  
  }  
}
```

# Internal Computation of Actors

- actors can
  - react to incoming messages
  - dynamically create other actors
  - send messages to other actors
  - dynamically change behavior

# Evaluation Order of Actor Computations

- Actor-internal computation is single-threaded
  - messages are received sequentially
  - behavior change is effective before next message is processed
  - processing one message is an atomic operation
- Sending a message is similar to calling a synchronized method, except that it is non-blocking

# Actors Encapsulate State

- no direct access to an actor's internal state
- state is accessed indirectly through message passing
- message passing is
  - asynchronous
  - buffered (FIFO)
  - over unique-receiver channels (mailboxes)
  - restricted to “known” actor references
    - `self`
    - `actors this created`
    - `references this received in messages`

# The Bank Account (revisited)

```
object BankAccount {  
  case class Deposit(amount: BigInt) {  
    require(amount > 0)  
  }  
  case class Withdraw(amount: BigInt) {  
    require(amount > 0)  
  }  
  case object Done  
  case object Failed  
}
```

Good practice:

- use case classes as messages
- declare message types in actor's companion object

# The Bank Account (revisited)

```
class BankAccount extends Actor {
  import BankAccount._

  var balance = BigInt(0)

  def receive = {
    case Deposit(amount) =>
      balance += amount; sender ! Done
    case Withdraw(amount) if amount <= balance =>
      balance -= amount; sender ! Done
    case _ => sender ! Failed
  }
}
```

# Wire Transfer

```
object WireTransfer {  
  case class Transfer(from: ActorRef,  
                      to: ActorRef, amount: BigInt)  
  case object Done  
  case object Failed  
}
```

# Wire Transfer

```
class WireTransfer extends Actor {  
  import WireTransfer._  
  
  def receive = {  
    case Transfer(from, to, amount) =>  
      from ! BankAccount.Withdraw(amount)  
      context.become(awaitWithdraw(to, amount, sender))  
  }  
  
  def awaitWithdraw ...  
}
```

# Wire Transfer

```
class WireTransfer extends Actor {  
  ...  
  
  def awaitWithdraw(to: ActorRef, amount: BigInt,  
                   client: ActorRef): Receive = {  
    case BankAccount.Done =>  
      to ! BankAccount.Deposit(amount)  
      context.become(awaitDeposit(client))  
    case BankAccount.Failed =>  
      client ! Failed  
      context.stop(self)  
  }  
  
  def awaitDeposit ...  
}
```

# Wire Transfer

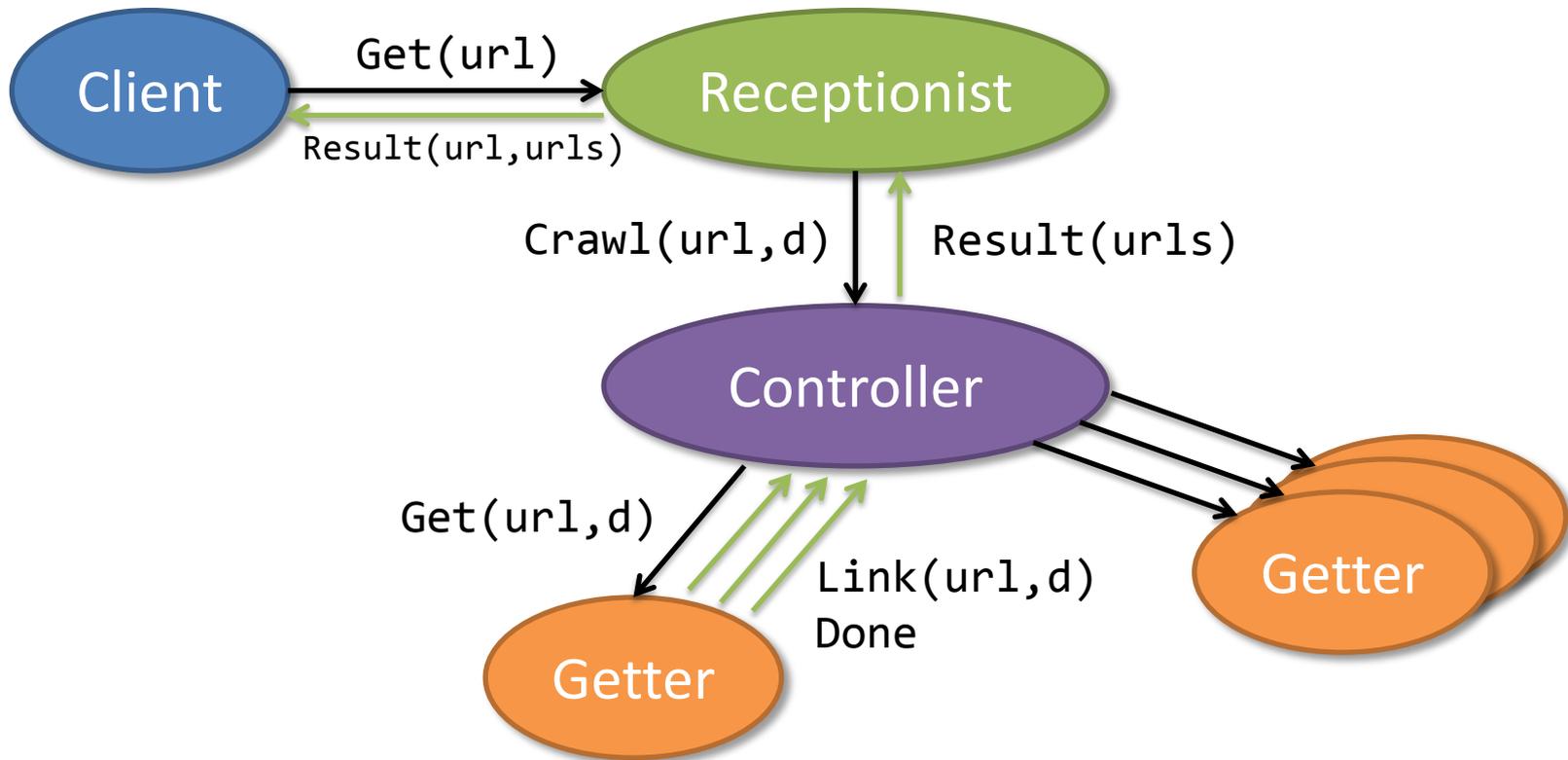
```
class WireTransfer extends Actor {  
  ...  
  
  def awaitDeposit(client: ActorRef): Receive = {  
    case BankAccount.Done =>  
      client ! Done  
      context.stop(self)  
  }  
}
```

# A Simple Web Crawler

**Goal:** write a simple web crawler that

- makes an HTTP request for a given URL
- parses the returned HTTP body to collect all links to other URLs
- recursively follows those links up to a given depth
- all links encountered should be returned.

# Basic Design

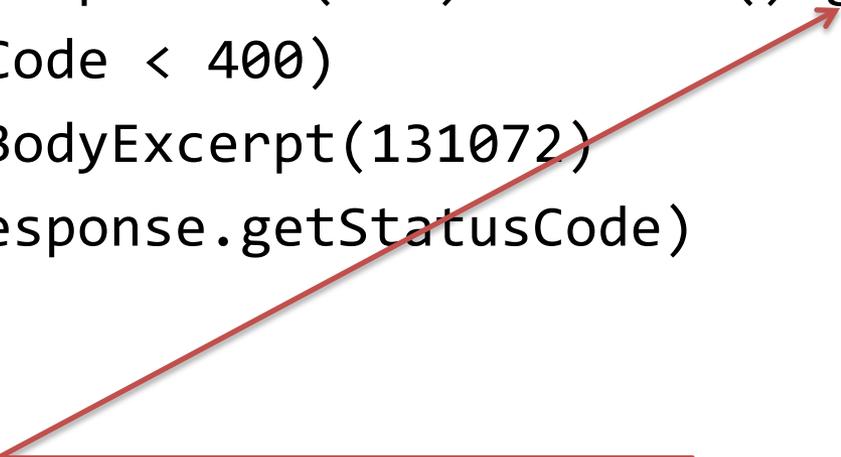


# Plan of Action

- write web client which asynchronously turns a URL into an HTTP body (based on `com.ning.http.client`)
- write a `Getter` actor for processing the body
- write a `Controller` which spawns `Getters` for all links encountered
- write a `Receptionist` managing one `Controller` per request.

# The Web Client

```
val client = new AsyncHttpClient
def get(url: String): String = {
  val response = client.prepareGet(url).execute().get
  if (response.getStatusCode < 400)
    response.getResponseBodyExcerpt(131072)
  else throw BadStatus(response.getStatusCode)
}
```



Blocks the caller until the web server has replied  
⇒ actor is deaf to other requests, e.g., cancellation  
⇒ priority inversion: current thread is blocked

# A short Digression to Monads

- Monads allow you to encapsulate side-effects such as
  - state mutation
  - IO
  - exceptions
  - latency
- We look at two of Scala's monads:
  - Try: encapsulates exceptions
  - Future: encapsulates exceptions and latency

# Implicit Exception Handling

```
def divide: Int =  
  val dividend =  
    Console.readLine("Enter an Int to divide:\n").toInt  
  val divisor =  
    Console.readLine("Enter an Int to divide by:\n").toInt  
  dividend/divisor
```

What can go wrong here?

# The Try Class

```
sealed abstract class Try[T] {  
  abstract def isSuccess: Boolean  
  abstract def isFailure: Boolean  
  abstract def get: T  
  abstract def flatMap[S](f: T => Try[S]): Try[S]  
  abstract def map[S](f: T => S): Try[S]  
  ...  
}  
case class Success[T](elem: T) extends Try[T]  
case class Failure[T](t: Throwable) extends Try[T]
```

# Try's Companion Object

```
object Try {  
  def apply[T](body: => T) {  
    try { Success(body) }  
    catch { t => Failed(t) }  
  }  
}
```

Now we can wrap the result of a computation in a Try value:

```
val dividend =  
  Try(Console.readLine("Enter an Int to divide:\n").toInt)
```

# Implicit Exception Handling

```
import scala.util.{Try, Success, Failure}

def divide: Int =
  val dividend =
    Try(Console.readLine("Enter an Int to divide:\n").toInt)
  val divisor =
    Try(Console.readLine("Enter an Int to divide by:\n").toInt)
  val result =
```

# Futures

A Future is an object holding a value which may become available at some point.

- This value is usually the result of some other computation.
- If the computation has completed with a value or with an exception, then the Future is **completed**.
- A Future can only be completed once.

Think of a Future as an asynchronous version of Try

# The Future Trait

```
trait Awaitable[T] {  
  abstract def ready(atMost: Duration): Unit  
  abstract def result(atMost: Duration): T  
}
```

```
trait Future[T] extends Awaitable[T] {  
  abstract def onComplete[U](f: (Try[T]) => U)  
    (implicit executor: ExecutionContext): Unit  
  abstract def flatMap[S](f: T => Future[S]): Future[S]  
  abstract def map[S](f: T => S): Future[S]  
  ...  
}
```

```
object Future {  
  def apply[T](body: => T)  
    (implicit executor: ExecutionContext): Future[T]  
}
```

# Using Futures

```
import scala.concurrent._
import ExecutionContext.Implicits.global
...
val usdQuote = Future { connection.getCurrentValue(USD) }
val eurQuote = Future { connection.getCurrentValue(EUR) }
val purchase = for {
  usd <- usdQuote
  eur <- eurQuote
  if isProfitable(usd, eur)
} yield connection.buy(amount, eur)

purchase onSuccess {
  case _ => println(s"Purchased EUR $amount")
}
```

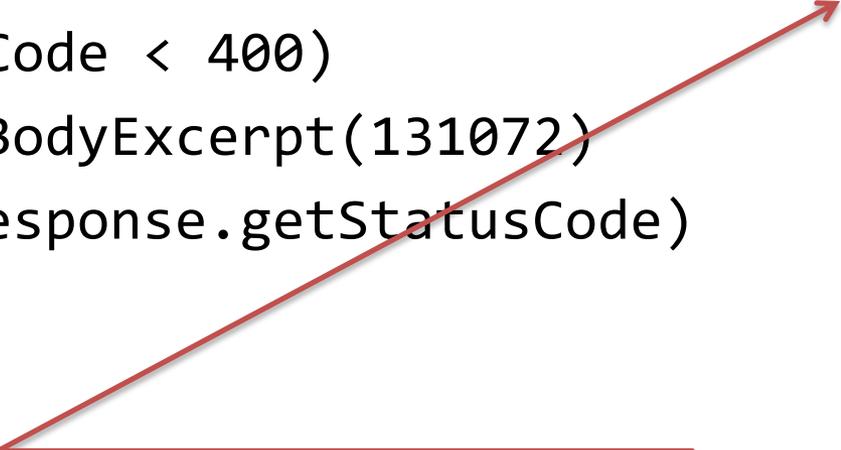
# Promises

```
import scala.concurrent.{Future, Promise}
import scala.concurrent.ExecutionContext.Implicits.global

val p = promise[T]
val producer = Future {
  val r = produceSomething()
  p.success(r)
  continueDoingSomethingUnrelated()
}
val f = p.future
val consumer = Future {
  startDoingSomething()
  f onSuccess {
    case r => doSomethingWithResult(r)
  }
}
```

# The Web Client

```
val client = new AsyncHttpClient
def get(url: String): String = {
  val response = client.prepareGet(url).execute().get
  if (response.getStatusCode < 400)
    response.getResponseBodyExcerpt(131072)
  else throw BadStatus(response.getStatusCode)
}
```



Blocks the caller until the web server has replied  
⇒ actor is deaf to other requests, e.g., cancellation  
⇒ priority inversion: current thread is blocked

# The Web Client

```
val client = new AsyncHttpClient
def get(url: String)(implicit exec: Executor):
  Future[String] = {
    val f = client.prepareGet(url).execute()
    val p = Promise[String]()
    f.addListener(new Runnable {
      def run = {
        val response = f.get
        if (response.getStatusCode < 400)
          p.success(response.getResponseBodyExcerpt(131072))
        else p.failure(BadStatus(response.getStatusCode))
      }
    }, exec)
    p.future
  }
```

# Important Lessons to Remember

- Prefer context . become for different behaviors, with data local to each behavior
- An actor application is non-blocking – event-driven from top to bottom

# Finding Links

```
val A_TAG = “(?i)<a ([^>]+)>.+?</a>”.r
val HREF_ATTR =
"""\s*(?i)href\s*=\s*(?:”([^\"]*)”|’([^\']*)*’|([^\s”>\s]+))”””.r

def findLinks(body: String): Iterator[String] = {
  for {
    anchor <- A_TAG.findAllMatchIn(body)
    HREF_ATTR(dquot, quot, bare) <- anchor.subgroups
  } yield
    if (dquot != null) dquot
    else if (quot != null) quot
    else bare
}
```

```
<html>
  <head> ... </head>
  <body>
    ...
    <a href=“http://cs.nyu.edu”></a>
    ...
  </body>
</html>
```

# The Getter Actor (1)

```
class Getter(url: String, depth: Int) extends Actor {  
  implicit val exec = context.dispatcher.  
    asInstanceOf[Executor with ExecutionContext]  
  
  val future = WebClient.get(url)  
  future onComplete {  
    case Success(body) => self ! body  
    case Failure(err) => self ! Status.Failure(err)  
  }  
  ...  
}
```

# The Getter Actor (2)

```
class Getter(url: String, depth: Int) extends Actor {  
  implicit val exec = context.dispatcher.  
    asInstanceOf[Executor with ExecutionContext]  
  
  val future = WebClient.get(url)  
  future pipeTo(self)  
  ...  
}
```

# The Getter Actor (3)

```
class Getter(url: String, depth: Int) extends Actor {  
  implicit val exec = context.dispatcher.  
    asInstanceOf[Executor with ExecutionContext]  
  
  WebClient get url pipeTo self  
  ...  
}
```

# Important Lessons to Remember

- Prefer context . become for different behaviors, with data local to each behavior
- An actor application is non-blocking – event-driven from top to bottom
- Actors are run by a dispatcher – potentially shared – which can also run Futures

# The Getter Actor (4)

```
class Getter(url: String, depth: Int) extends Actor {  
  ...  
  def receive = {  
    case body: String =>  
      for (link <- findLinks(body))  
        context.parent ! Controller.Crawl(link, depth)  
      stop()  
    case _: Status.Failure => stop()  
  }  
  def stop() = {  
    context.parent ! Done  
    context.stop(self)  
  }  
}
```

# Actor Logging

- Logging includes IO which can block indefinitely
- Akka's logging delegates this task to dedicated actor
- supports system-wide levels of debug, info, warning, error
- set level, e.g., by using the setting `akka.loglevel=DEBUG`

```
class A extends Actor with ActorLogging {  
  def receive = {  
    case msg => log.debug("received message: {}", msg)  
  }  
}
```

# The Controller

```
class Controller extends Actor with ActorLogging {
  var cache = Set.empty[String]
  var children = Set.empty[ActorRef]
  def receive = {
    case Crawl(url, depth) =>
      log.debug("{} crawling {}", depth, url)
      if (!cache(url) && depth > 0)
        children += context.actorOf(
          Props(new Getter(url, depth - 1)))
      cache += url
    case Getter.Done =>
      children -= sender
      if (children.isEmpty) context.parent ! Result(cache)
  }
}
```

# Important Lessons to Remember

- Prefer context . become for different behaviors, with data local to each behavior
- An actor application is non-blocking – event-driven from top to bottom
- Actors are run by a dispatcher – potentially shared – which can also run Futures
- Prefer immutable data structures, since they can be shared between actors

# Handling Timeouts

```
import scala.concurrent.duration._

class Controller extends Actor with ActorLogging {
  context.setReceiveTimeout(10 seconds)
  ...
  def receive = {
    case Crawl(...) => ...
    case Getter.Done => ...
    case ReceiveTimeout => children foreach (_ ! Getter.Abort)
  }
}
```

The receive timeout is reset by every received message.

# Handling Abort in the Getter

```
class Getter(url: String, depth: Int) extends Actor {  
  ...  
  def receive = {  
    case body: String =>  
      for (link <- findLinks(body)) ...  
      stop()  
    case _: Status.Failure => stop()  
    case Abort => stop()  
  }  
  def stop() = {  
    context.parent ! Done  
    context.stop(self)  
  }  
}
```

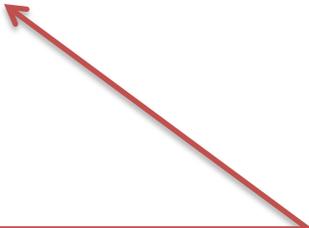
# The Scheduler

Akka includes a timer service optimized for high volume, short durations, and frequent cancellations of events.

```
trait Scheduler {  
  def scheduleOnce(delay: FiniteDuration, target: ActorRef, msg: Any)  
    (implicit ec: ExecutionContext): Cancellable  
  
  def scheduleOnce(delay: FiniteDuration)(block: => Unit)  
    (implicit ec: ExecutionContext): Cancellable  
  
  def scheduleOnce(delay: FiniteDuration, run: Runnable)  
    (implicit ec: ExecutionContext): Cancellable  
  
  // ... the same for repeating timers  
}
```

# Adding an Overall Timeout (1)

```
class Controller extends Actor with ActorLogging {  
  import context.dispatcher  
  var children = Set.empty[ActorRef]  
  context.system.scheduler.scheduleOnce(10 seconds) {  
    children foreach (_ ! Getter.Abort)  
  }  
  ...  
}
```



This is not thread-safe!

- code is run by the scheduler in a different thread
- potential race condition on `children`

# Adding an Overall Timeout (2)

```
class Controller extends Actor with ActorLogging {  
  import context.dispatcher  
  var children = Set.empty[ActorRef]  
  context.system.scheduler.scheduleOnce(10 seconds, self,  
    Timeout)  
  ...  
  def receive = {  
    ...  
    case Timeout => children foreach (_ ! Getter.Abort)  
  }  
}
```

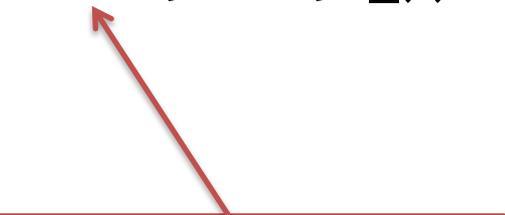
# How Actors and Futures Interact (1)

Future composition methods invite to closing over the actor's state:

```
class Cache extends Actor {
  var cache = Map.empty[String, String]
  def receive = {
    case Get(url) =>
      if (cache contains url) sender ! cache(url)
      else
        WebClient get url foreach { body =>
          cache += url -> body
          sender ! body
        }
  }
}
```

# How Actors and Futures Interact (2)

```
class Cache extends Actor {  
  var cache = Map.empty[String, String]  
  def receive = {  
    case Get(url) =>  
      if (cache contains url) sender ! cache(url)  
      else  
        WebClient get url map (Result(sender, url, _))  
          pipeTo self  
    case Result(client, url, body) =>  
      cache += url -> body  
      client ! body  
  }  
}
```



Still leaking state!

# How Actors and Futures Interact (3)

```
class Cache extends Actor {
  var cache = Map.empty[String, String]
  def receive = {
    case Get(url) =>
      if (cache contains url) sender ! cache(url)
      else
        val client = sender
        WebClient get url map (Result(client, url, _))
          pipeTo self
    case Result(client, url, body) =>
      cache += url -> body
      client ! body
  }
}
```

# Important Lessons to Remember

- Prefer `context.become` for different behaviors, with data local to each behavior
- An actor application is non-blocking – event-driven from top to bottom
- Actors are run by a dispatcher – potentially shared – which can also run Futures
- Prefer immutable data structures, since they can be shared
- Do not refer to actor state from code running asynchronously

# The Receptionist (1)

```
class Receptionist extends Actor {  
  def receive = waiting  
  
  def waiting: Receive = {  
    // upon Get(url) start a crawl and become running  
  }  
  
  def running(queue: Vector[Job]): Receive = {  
    // upon Get(url) append that to queue and keep running  
    // upon Controller.Result(links) ship that to client  
    // and run next job from queue (if any)  
  }  
}
```

# The Receptionist (2)

```
case class Job(client: ActorRef, url: String)
val DEPTH = 2
var reqNo = 0
def runNext(queue: Vector[Job]): Receive = {
  reqNo += 1
  if (queue.isEmpty) waiting
  else {
    val controller = context.actorOf(Props[Controller], s"c$reqNo")
    controller ! Controller.Crawl(queue.head.url, DEPTH)
    running(queue)
  }
}
```

# The Receptionist (3)

```
def enqueueJob(queue: Vector[Job]): Receive = {  
  if (queue.size > 3) {  
    sender ! Failed(job.url)  
    running(queue)  
  } else running(queue :+ job)  
}
```

# The Receptionist (4)

```
def waiting: Receive = {  
  case Get(url) =>  
    context.become(runNext(Vector(Job(sender, url))))  
}
```

```
def running(queue: Vector[Job]): Receive = {  
  case Controller.Result(links) =>  
    val job = queue.head  
    job.client ! Result(job.url, links)  
    context.stop(sender)  
    context.become(runNext(queue.tail))  
  case Get(url) =>  
    context.become(enqueueJob(queue, Job(sender, url)))  
}
```

# Important Lessons to Remember

- Prefer `context.become` for different behaviors, with data local to each behavior
- An actor application is non-blocking – event-driven from top to bottom
- Actors are run by a dispatcher – potentially shared – which can also run Futures
- Prefer immutable data structures, since they can be shared
- Do not refer to actor state from code running asynchronously