

**CSCI-UA.0201**

# **Computer Systems Organization**

## **Concurrency – Synchronization and Locking**

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# Example - bigloop

```
#define LEN 1000000000
```

Parallelize bigloop into two threads

```
long bigloop(int *arr) {  
    long r = 0;  
    for(int i = 0; i < LEN; i++)  
        r += arr[i];  
    return r;  
}
```

```
int main()  
{  
    int *arr = malloc(LEN * sizeof(int));  
    ...  
    long r = bigloop(arr);  
    ...  
}
```

# Example - bigloop

```
void* loop_thr1(void *arg) {
    long *r = malloc(sizeof(long));
    int *arr = (int *) arg;
    for (int i = 0; i < LEN/2; i++)
        (*r) += arr[i];
    return (void *) r;
}
```

```
void* loop_thr2(void *arg) {
    long *r = malloc(sizeof(long));
    int *arr = (int *) arg;
    for (int i = LEN/2; I < LEN; i++)
        (*r) += arr[i];
    return (void *) r;
}
```

```
int main() {
    int *arr = malloc(LEN * sizeof(int));
    ...
    pthread_t tid1, tid2;
    pthread_create(&tid, NULL, &loop_thr1, (void *)arr);
    pthread_create(&tid, NULL, &loop_thr2, (void *)arr);
    long *res1, *res2;
    pthread_join(tid, &res1);
    pthread_join(tid, &res2);
    printf("result is %ld\n", (*res1) + (*res2));
    free(res1); free(res2);
}
```

# Example - bigloop

```
void* loop_thr1(void *arg) {
    long *r = malloc(sizeof(long));
    int *arr = (int *) arg;
    for (int i = 0; i < LEN/2; i++)
        (*r) += arr[i];
    return (void *) r;
}
```

```
void* loop_thr2(void *arg) {
    long *r = malloc(sizeof(long));
    int *arr = (int *) arg;
    for (int i = LEN/2; I < LEN; i++)
        (*r) += arr[i];
    return (void *) r;
}
```

```
int main() {
    int *arr = malloc(LEN * sizeof(int));
    ...
    pthread_t tid1, tid2;
    pthread_create(&tid, NULL, &loop_thr1, (void *)arr);
    pthread_create(&tid, NULL, &loop_thr2, (void *)arr);
    long *res1, *res2;
    pthread_join(tid, &res1);
    pthread_join(tid, &res2);
    printf("result is %ld\n", (*res1) + (*res2));
    free(res1); free(res2);
}
```

Can we merge loop\_thr1 with loop\_thr2?

# Example - bigloop

```
typedef struct {
    int *arr;
    int len;
} loop_info;

int main() {
    int *arr = malloc(LEN * sizeof(int));
    ...
    pthread_t tids[2];
    for (int i = 0; i < 2; i++) {
        loop_info *info = (loop_info *)malloc(sizeof(loop_info));
        info->arr = arr + i * LEN/2;
        info->len = LEN/2;
        pthread_create(&tids[i], NULL, &loop, (void *)info);
    }
    for (int i = 0; i < 2; i++) {
        long *res;
        pthread_join(tids[i], &res);
        result += (*res); free(res);
    }
    free arr;
}

void* loop(void *arg) {
    loop_info *info = (loop_info *)arg;
    long *r = malloc(sizeof(long));
    for (int i = 0; i < info->len; i++)
        (*r) += info->arr[i];
    free(arg);
    return (void *)r;
}
```

# Synchronization and Locking

# Example 1 – Mutual Exclusion

global++




```
mov 0x20072d(%rip),%eax // load global into %eax  
add $0x1,%eax           // update %eax by 1  
mov %eax,0x200724(%rip) // restore global with %eax
```

# Example 1 – Mutual Exclusion


global++



```
mov 0x20072d(%rip),%eax // load global into %eax
add $0x1,%eax           // update %eax by 1
mov %eax,0x200724(%rip) // restore global with %eax
```

Thread 0 

global: 0

Thread 1 

global++

global++



# Example 1 – Mutual Exclusion

global++



```
mov 0x20072d(%rip),%eax // load global into %eax
add $0x1,%eax           // update %eax by 1
mov %eax,0x200724(%rip) // restore global with %eax
```

Thread 0

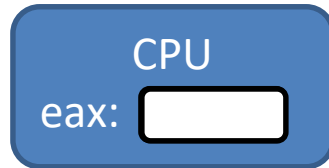


global: 0

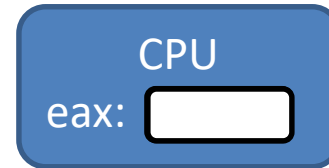
Thread 1



global++



global++



Time



# Example 1 – Mutual Exclusion

global++



```
mov 0x20072d(%rip),%eax // load global into %eax
add $0x1,%eax           // update %eax by 1
mov %eax,0x200724(%rip) // restore global with %eax
```

Thread 0

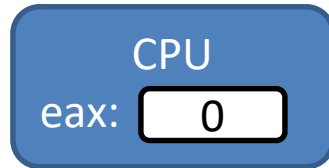


global: 0

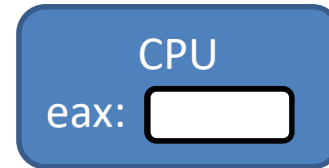
Thread 1



global++



global++



mov 0x20072d(%rip),%eax

# Example 1 – Mutual Exclusion

global++



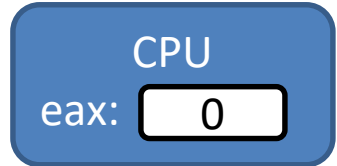
```
mov 0x20072d(%rip),%eax // load global into %eax
add $0x1,%eax           // update %eax by 1
mov %eax,0x200724(%rip) // restore global with %eax
```

Thread 0

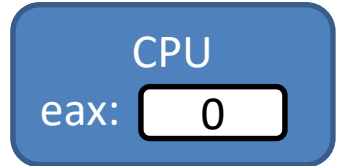
global: 0

Thread 1

global++



global++



mov 0x20072d(%rip),%eax

mov 0x20072d(%rip),%eax

# Example 1 – Mutual Exclusion

global++



```
mov 0x20072d(%rip),%eax // load global into %eax
add $0x1,%eax           // update %eax by 1
mov %eax,0x200724(%rip) // restore global with %eax
```

Thread 0

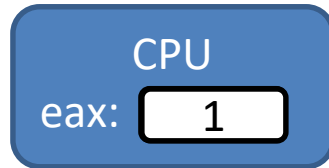


global: 0

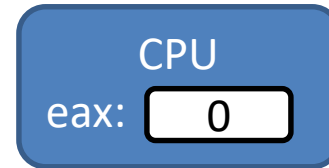
Thread 1



global++



global++



Time



mov 0x20072d(%rip),%eax

add \$0x1,%eax


mov 0x20072d(%rip),%eax

# Example 1 – Mutual Exclusion


global++



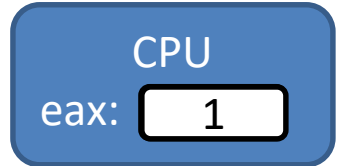
```
mov 0x20072d(%rip),%eax // load global into %eax
add $0x1,%eax           // update %eax by 1
mov %eax,0x200724(%rip) // restore global with %eax
```

Thread 0 

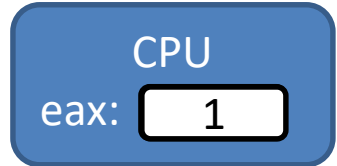
global: 0

Thread 1 

global++



global++



Time  
↓

```
mov 0x20072d(%rip),%eax
add $0x1,%eax
```

```
mov 0x20072d(%rip),%eax
add $0x1,%eax
```

# Example 1 – Mutual Exclusion

global++



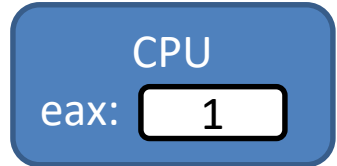
```
mov 0x20072d(%rip),%eax // load global into %eax
add $0x1,%eax           // update %eax by 1
mov %eax,0x200724(%rip) // restore global with %eax
```

Thread 0

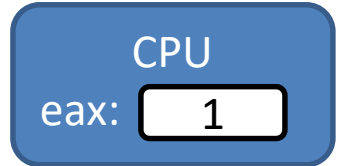
global: 1

Thread 1

global++



global++



```
mov 0x20072d(%rip),%eax
add $0x1,%eax
mov %eax,0x200724(%rip)
```


```
mov 0x20072d(%rip),%eax
add $0x1,%eax
```

# Example 1 – Mutual Exclusion


global++



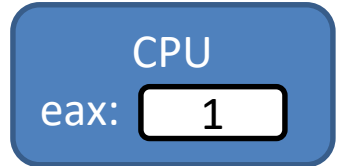
```
mov 0x20072d(%rip),%eax // load global into %eax
add $0x1,%eax           // update %eax by 1
mov %eax,0x200724(%rip) // restore global with %eax
```

Thread 0 

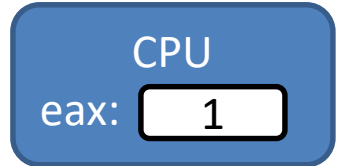
global: 1

Thread 1 

global++



global++



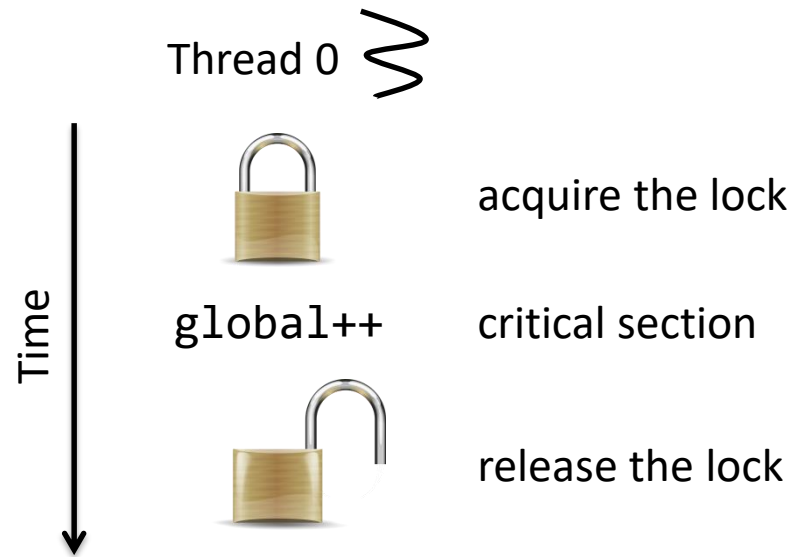
Time  
↓

```
mov 0x20072d(%rip),%eax
add $0x1,%eax
mov %eax,0x200724(%rip)
```

```
mov 0x20072d(%rip),%eax
add $0x1,%eax
mov %eax,0x200724(%rip)
```

# Mutual Exclusion

- Prevent concurrent threads from accessing the shared resource at the same time.
  - > Lock/Mutex





# Lock/Mutex API in pthread lib

- `pthread_mutex_t`
  - The type of mutex in pthread library
  - Each mutex has two states: lock and unlock

```
int global = 0;
pthread_mutex_t mu;
...
int main() {
    ...
    pthread_mutex_init(&mu, NULL);
}
```

# Acquiring a Lock

- `int pthread_mutex_lock(pthread_mutex_t *m)`
  - lock mutex `m`. If `m` is locked, caller blocks until `m` is unlocked
  - return 0 on success

```
int global = 0;
pthread_mutex_t mu;

void* add(void *) {
    pthread_mutex_lock(&mu);
    global++;
}
```


# Releasing a Lock

- `int pthread_mutex_unlock(pthread_mutex_t *m)`
  - unlocks mutex `m`
  - return 0 on success


```
int global = 0;
pthread_mutex_t mu;

void* add(void *) {
    pthread_mutex_lock(&mu);
    global++;
    pthread_mutex_unlock(&mu);
}
```

# Example 1 with Lock

Thread 0 


```
int global = 0;  
pthread_mutex_t mu;
```

Thread 1 


```
pthread_mutex_lock(&mu);  
global++;  
pthread_mutex_unlock(&mu);
```

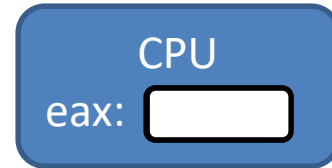
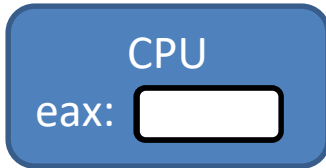
```
pthread_mutex_lock(&mu);  
global++;  
pthread_mutex_unlock(&mu);
```

# Example 1 with Lock

Thread 0 

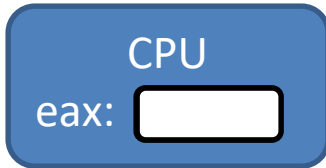
global: 0  
mu: unlocked


Thread 1 

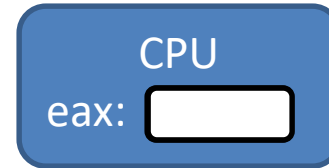


# Example 1 with Lock

Thread 0  global: 0  
mu: locked



Thread 1 




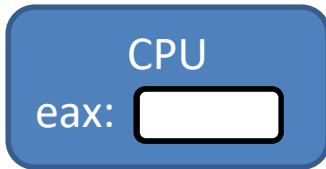
`pthread_mutex_lock(&mu);`


Time

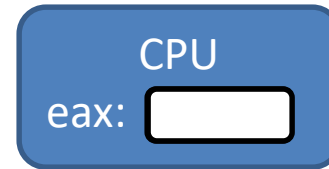


# Example 1 with Lock

Thread 0  global: 0  
mu: locked




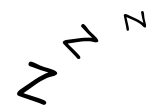
Thread 1 



`pthread_mutex_lock(&mu);`


`pthread_mutex_lock(&mu);`

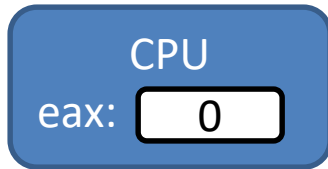
  
block and wait




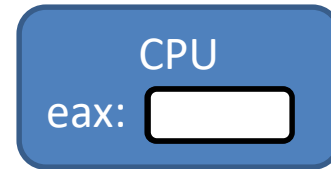
Time 

# Example 1 with Lock

Thread 0  global: 0  
mu: locked




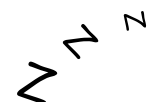
Thread 1 



`pthread_mutex_lock(&mu);`  
`mov 0x20072d(%rip),%eax`

`pthread_mutex_lock(&mu);`


  
block and wait

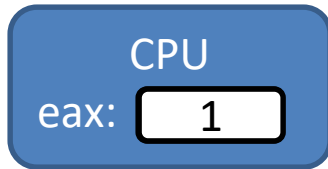



Time 

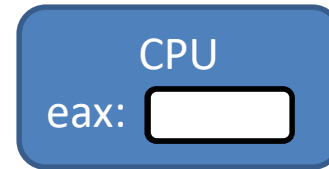


# Example 1 with Lock

Thread 0  global: 0  
mu: locked





Thread 1 



Time ↓


```
pthread_mutex_lock(&mu);  
mov 0x20072d(%rip),%eax  
add $0x1,%eax
```

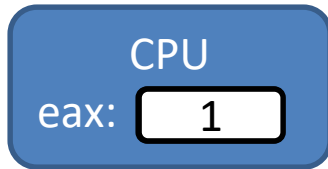
```
pthread_mutex_lock(&mu);
```


 

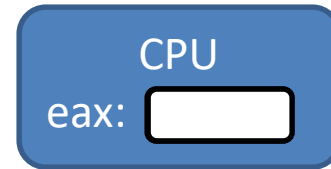
block and wait

# Example 1 with Lock

Thread 0  global: 1  
mu: locked




Thread 1 




Time ↓

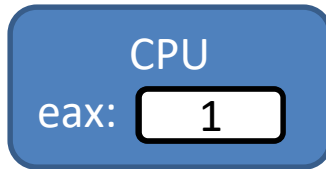
```
pthread_mutex_lock(&mu);  
mov 0x20072d(%rip),%eax  
add $0x1,%eax  
mov %eax,0x200724(%rip)
```


```
pthread_mutex_lock(&mu);  
↑  
block and wait 
```

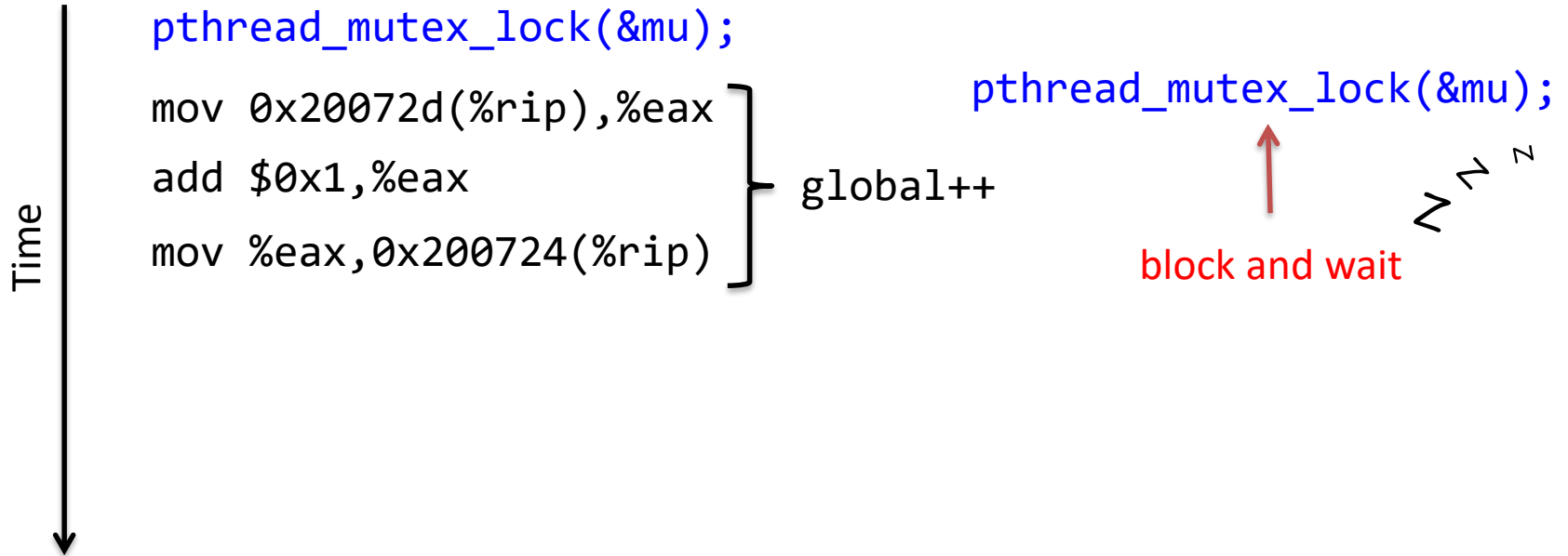
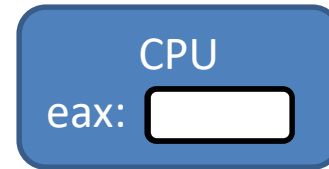
# Example 1 with Lock

Thread 0 

global: 1  
mu: locked




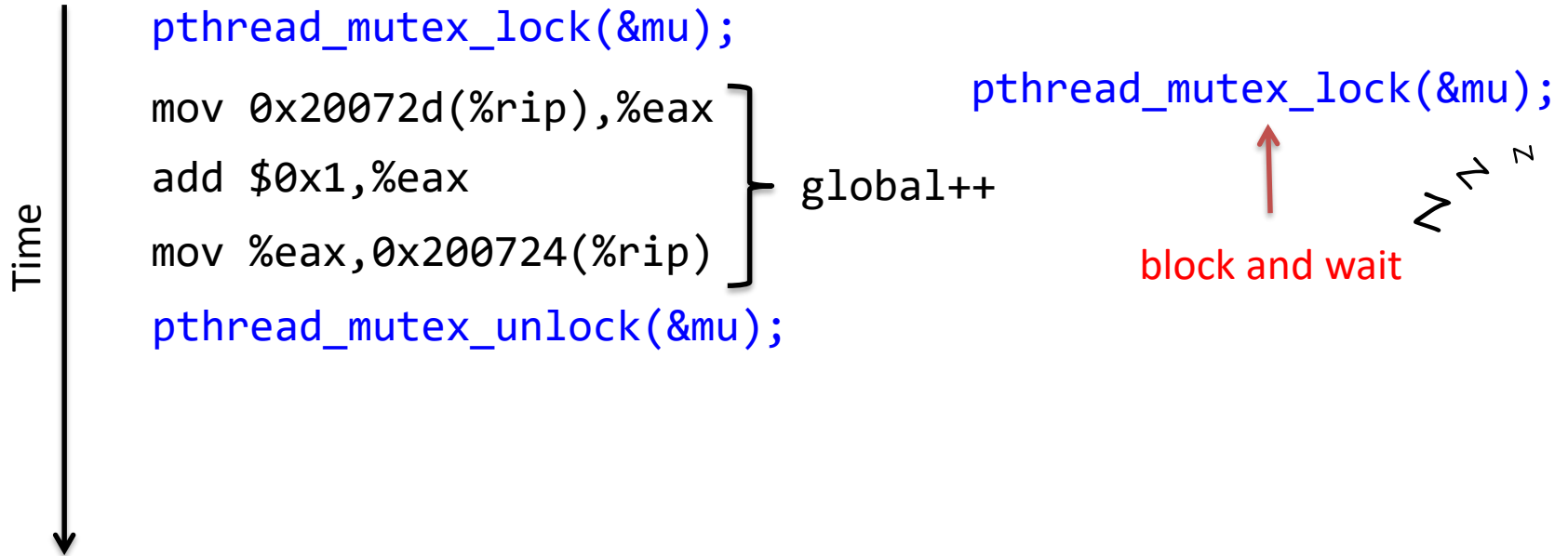
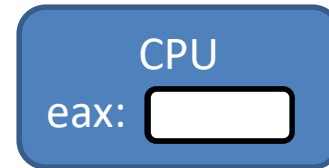
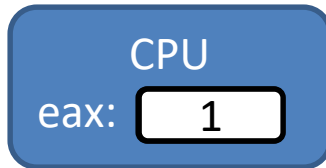
Thread 1 



# Example 1 with Lock

Thread 0  global: 1  
mu: unlocked

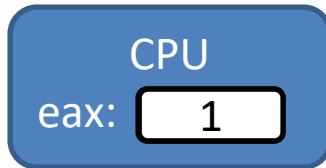
Thread 1 




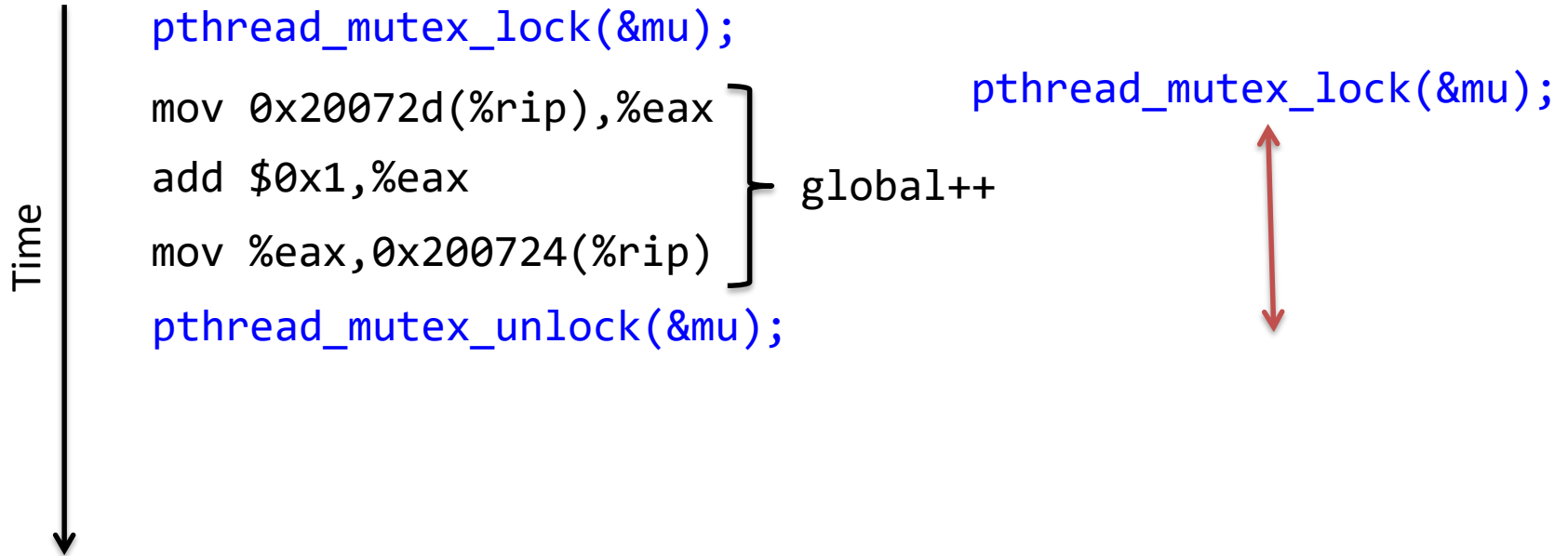
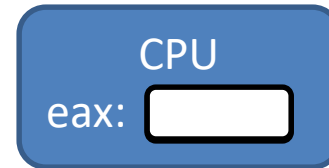
# Example 1 with Lock

Thread 0 


global: 1  
mu: locked

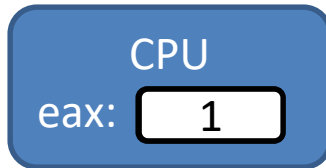



Thread 1 

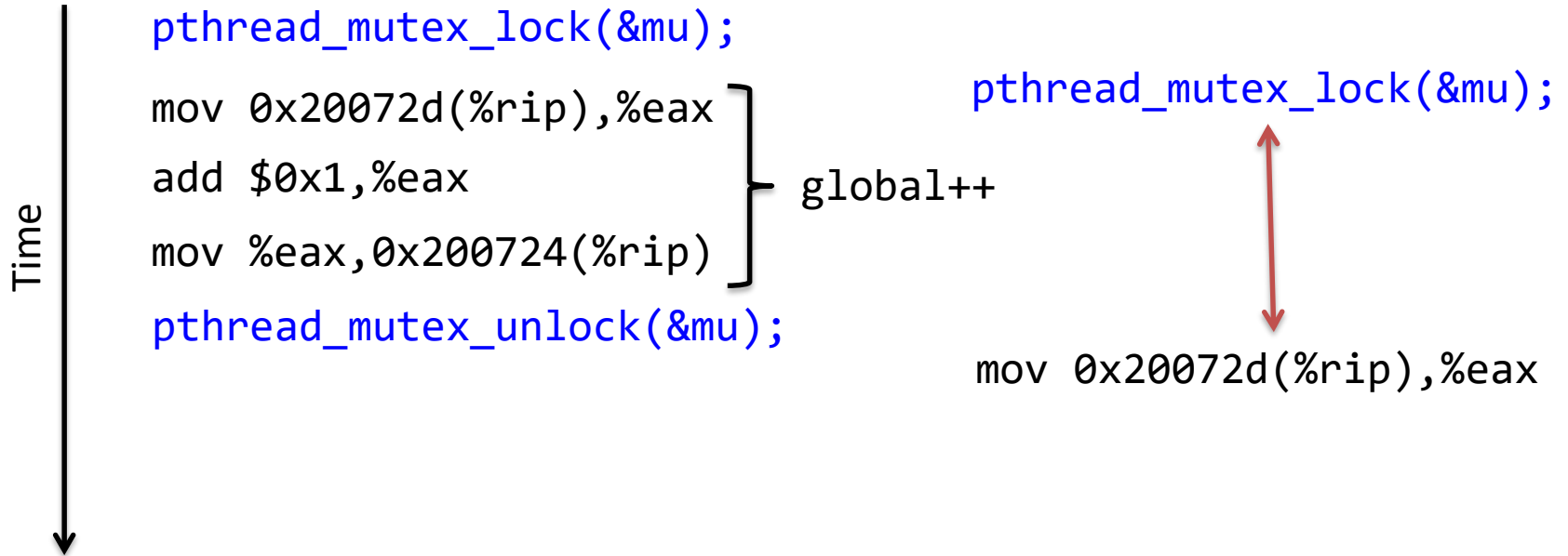
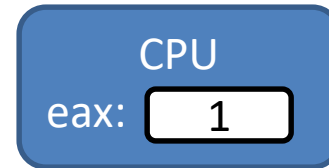


# Example 1 with Lock


Thread 0  global: 1  
mu: locked

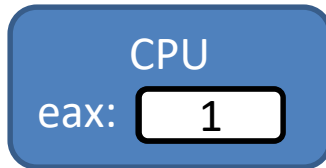



Thread 1 

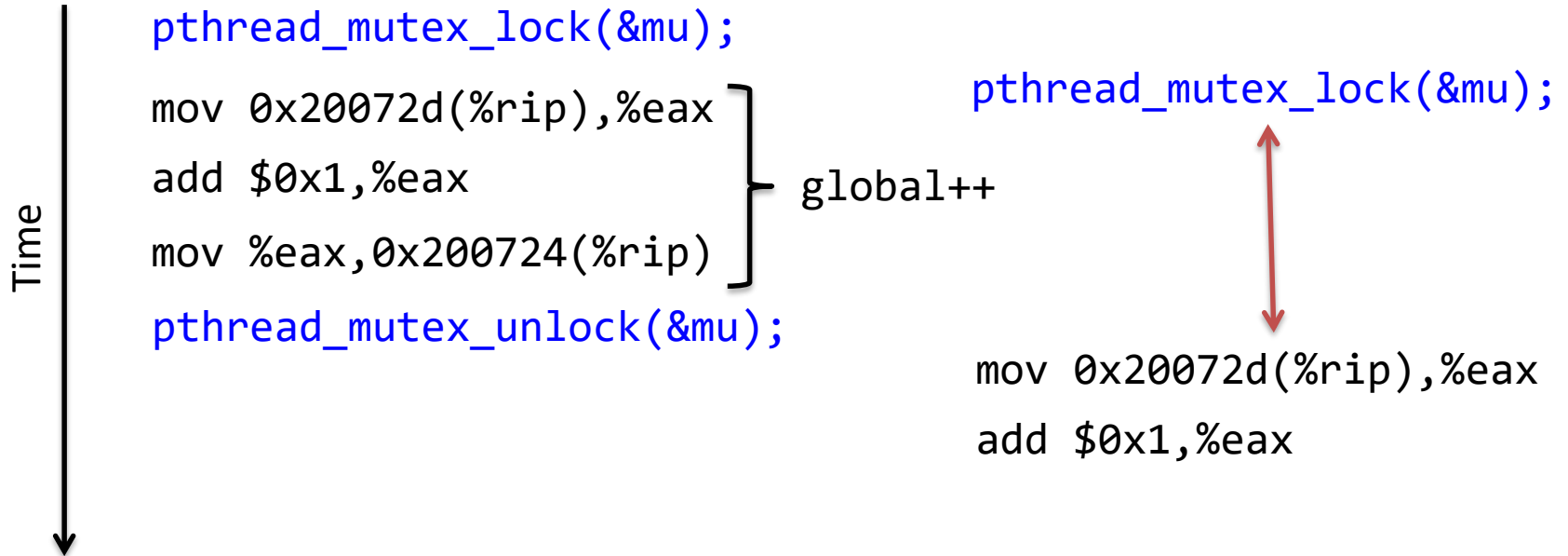
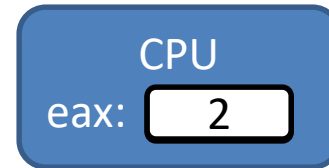


# Example 1 with Lock


Thread 0  global: 1  
mu: locked

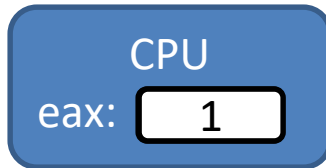



Thread 1 

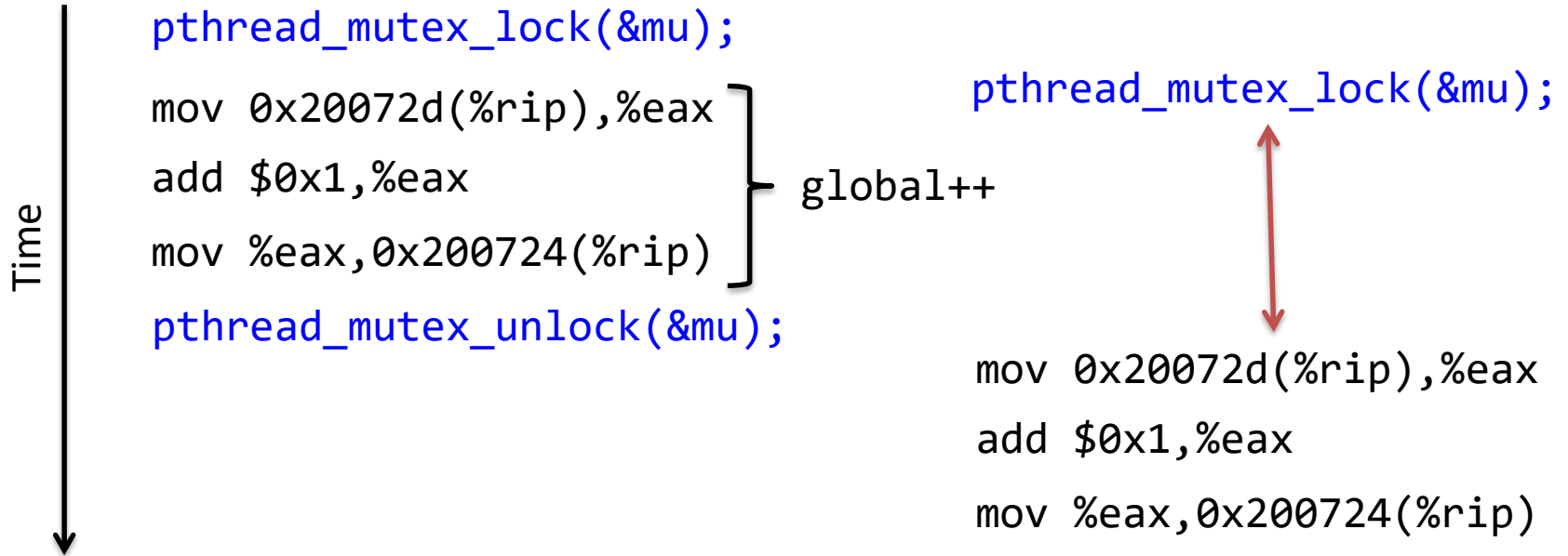
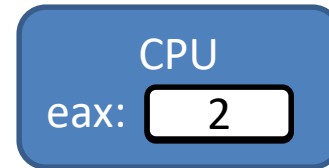


# Example 1 with Lock

Thread 0  global: 2  
mu: locked




Thread 1 

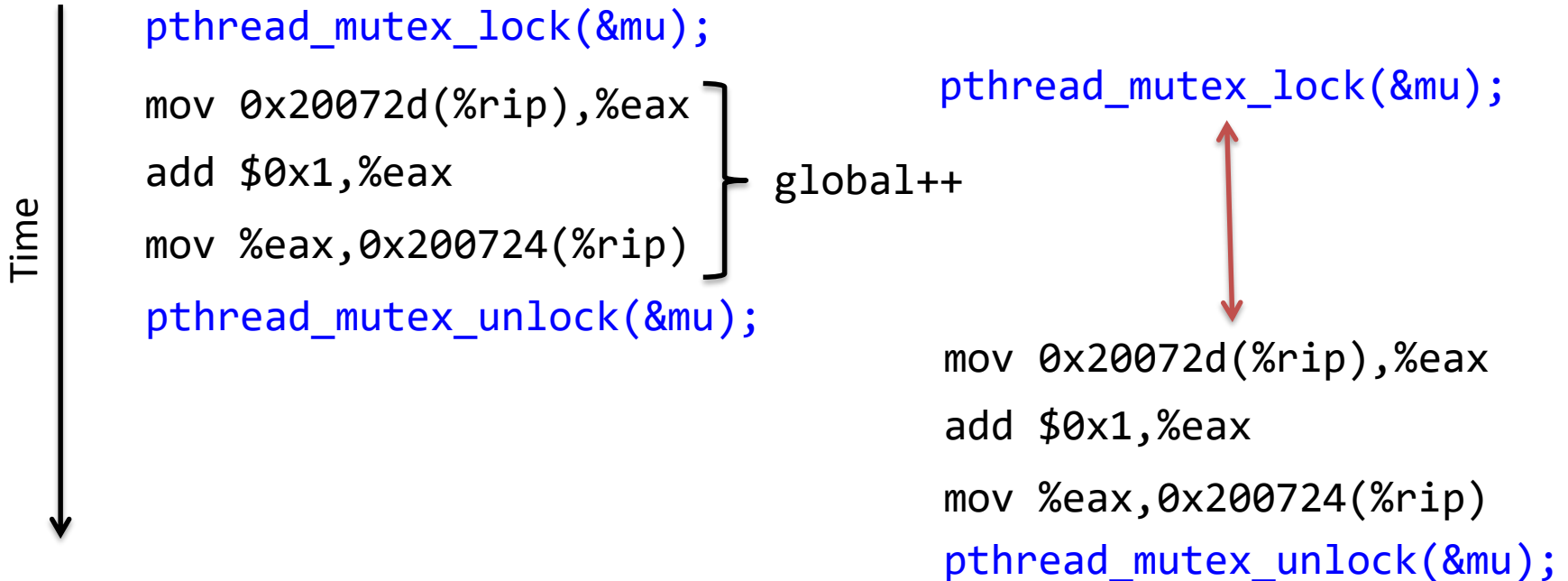
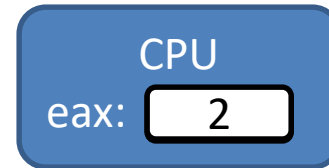
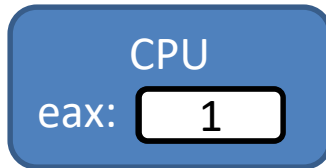




# Example 1 with Lock

Thread 0  global: 2  
mu: unlocked

Thread 1 



# Example 2

- Each thread increments two randomly chosen elements from a shared array

```
int array[10];
```

```
void* thr(void*) {  
    for (int i = 0; i < 2; i++) {  
        int idx = random() % 10;  
        array[idx]++;  
    }  
}
```

# Example 2

- Each thread increments two randomly chosen elements from a shared array

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    pthread_mutex_lock(&mu);
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        array[idx]++;
    }
    pthread_mutex_unlock(&mu);
}
```

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Which one is correct?







# Example 2.1

```
int array[10];  
pthread_mutex_t mu;  
  
void* thr(void*) {  
    pthread_mutex_lock(&mu);  
    for (int i = 0; i < 2; i++) {  
        int idx = random() % 10;  
        array[idx]++;  
    }  
    pthread_mutex_unlock(&mu);  
}
```

Both threads update elements 3 and 4

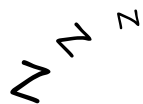
Thread 0 

`pthread_mutex_lock(&mu);`

Thread 1 

`pthread_mutex_lock(&mu);`

(block and wait)



0	1	2	3	4	5	6	7	8	9
0	0	0	0	0	0	0	0	0	0

Thread 1 Thread 0


wait

# Example 2.1

```
int array[10];
pthread_mutex_t mu;


void* thr(void*) {
    pthread_mutex_lock(&mu);
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        array[idx]++;
    }
    pthread_mutex_unlock(&mu);
}
```

Both threads update elements 3 and 4

Thread 0 

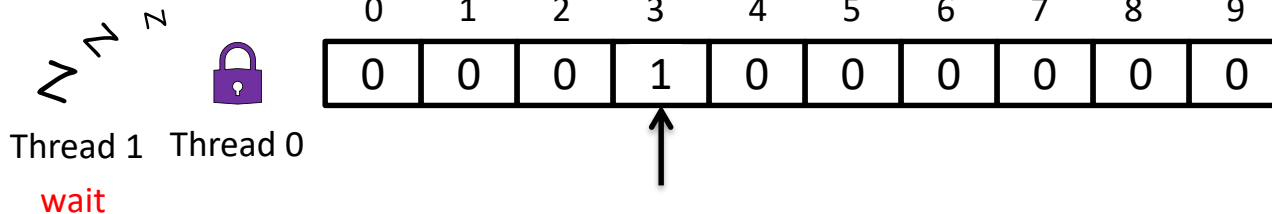
```
pthread_mutex_lock(&mu);
```

```
array[3]++;
```

Thread 1 

```
pthread_mutex_lock(&mu);
```

(block and wait)




# Example 2.1

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    pthread_mutex_lock(&mu);
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        array[idx]++;
    }
    pthread_mutex_unlock(&mu);
}
```


Both threads update elements 3 and 4

Thread 0 

```
pthread_mutex_lock(&mu);
```

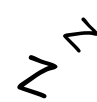



```
array[3]++;
```

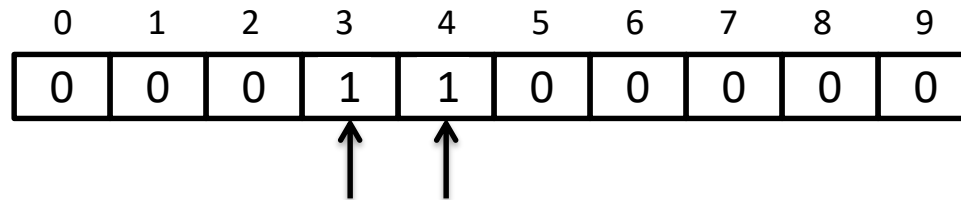
```
array[4]++;
```

Thread 1 

```
pthread_mutex_lock(&mu);
```

(block and wait)

     
Thread 1 Thread 0  
wait






# Example 2.1

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    pthread_mutex_lock(&mu);
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        array[idx]++;
    }
    pthread_mutex_unlock(&mu);
}
```

Both threads update elements 3 and 4


Thread 0 

```
pthread_mutex_lock(&mu);
```

```
array[3]++;
```

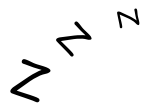
```
array[4]++;
```

```
pthread_mutex_unlock(&mu);
```

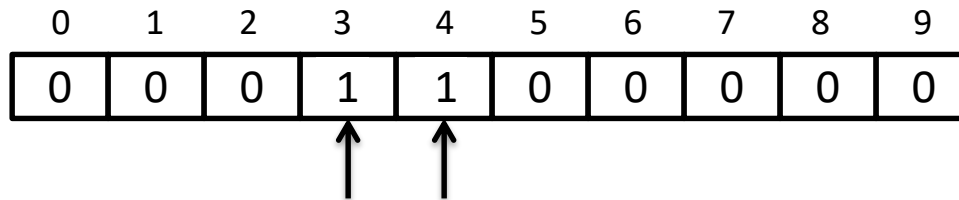
Thread 1 

```
pthread_mutex_lock(&mu);
```

(block and wait)



Thread 1 Thread 0  
wait




# Example 2.1

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    pthread_mutex_lock(&mu);
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        array[idx]++;
    }
    pthread_mutex_unlock(&mu);
}
```

Both threads update elements 3 and 4


Thread 0 

```
pthread_mutex_lock(&mu);
```

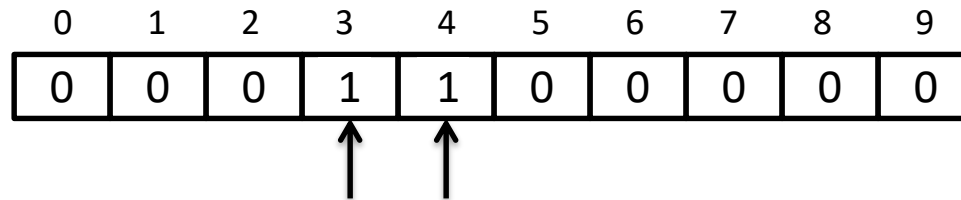
```
array[3]++;
```

```
array[4]++;
```

```
pthread_mutex_unlock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);
```




Thread 1   Thread 0


# Example 2.1

```
int array[10];  
pthread_mutex_t mu;  
  
void* thr(void*) {  
    pthread_mutex_lock(&mu);  
    for (int i = 0; i < 2; i++) {  
        int idx = random() % 10;  
        array[idx]++;  
    }  
    pthread_mutex_unlock(&mu);  
}
```

Both threads update elements 3 and 4

Thread 0 

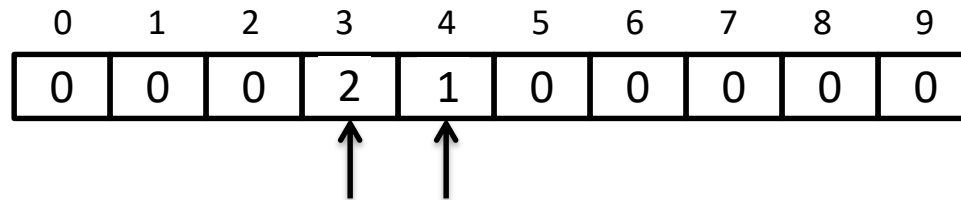
```
pthread_mutex_lock(&mu);  
  
array[3]++;  
array[4]++;  
pthread_mutex_unlock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);  
  
array[3]++;
```




Thread 1 Thread 0




# Example 2.1

```
int array[10];  
pthread_mutex_t mu;  
  
void* thr(void*) {  
    pthread_mutex_lock(&mu);  
    for (int i = 0; i < 2; i++) {  
        int idx = random() % 10;  
        array[idx]++;  
    }  
    pthread_mutex_unlock(&mu);  
}
```

Both threads update elements 3 and 4

Thread 0 

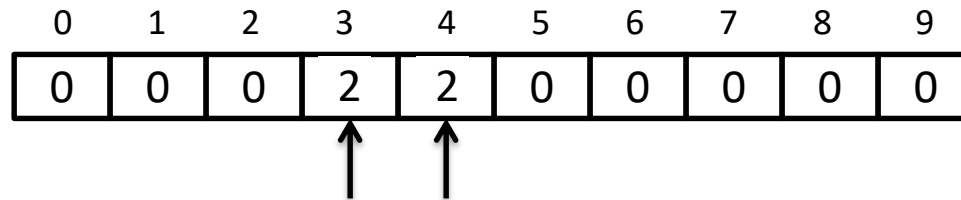
```
pthread_mutex_lock(&mu);  
  
array[3]++;  
array[4]++;  
pthread_mutex_unlock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);  
  
array[3]++;  
array[4]++;
```



Thread 1 Thread 0




# Example 2.1

```
int array[10];
pthread_mutex_t mu;


void* thr(void*) {
    pthread_mutex_lock(&mu);
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        array[idx]++;
    }
    pthread_mutex_unlock(&mu);
}
```

Both threads update elements 3 and 4

Thread 0 

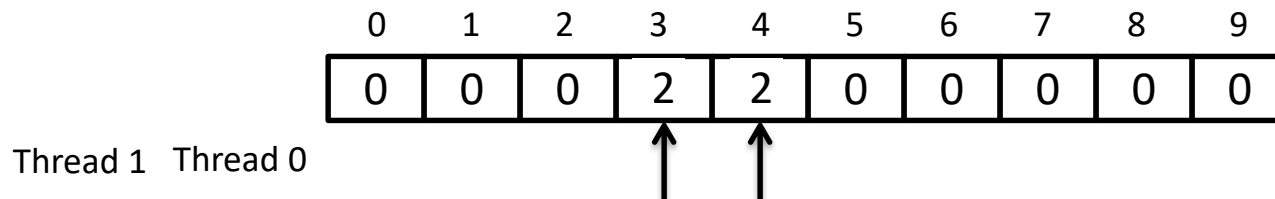
```
pthread_mutex_lock(&mu);

array[3]++;
array[4]++;
pthread_mutex_unlock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);

array[3]++;
array[4]++;
pthread_mutex_unlock(&mu);
```



# Example 2.2

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Both threads update elements 3 and 4

Thread 0  Thread 1 

0	1	2	3	4	5	6	7	8	9
0	0	0	0	0	0	0	0	0	0


Thread 1 Thread 0


# Example 2.2

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Both threads update elements 3 and 4

Thread 0 

Thread 1 

`pthread_mutex_lock(&mu);`



0	1	2	3	4	5	6	7	8	9
0	0	0	0	0	0	0	0	0	0

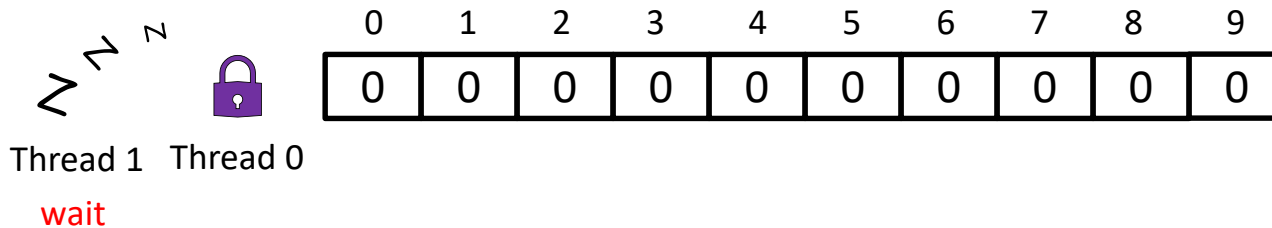
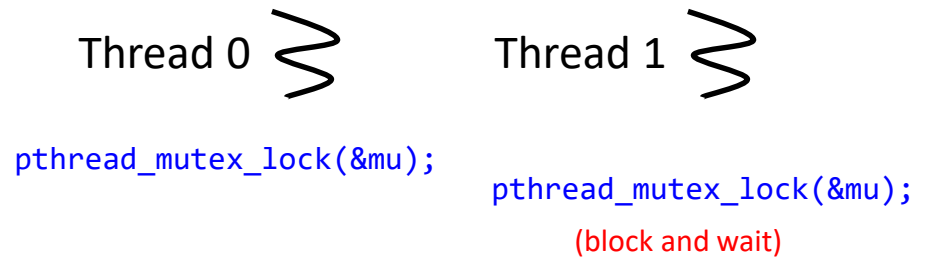
Thread 1 Thread 0

# Example 2.2

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Both threads update elements 3 and 4






# Example 2.2


```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

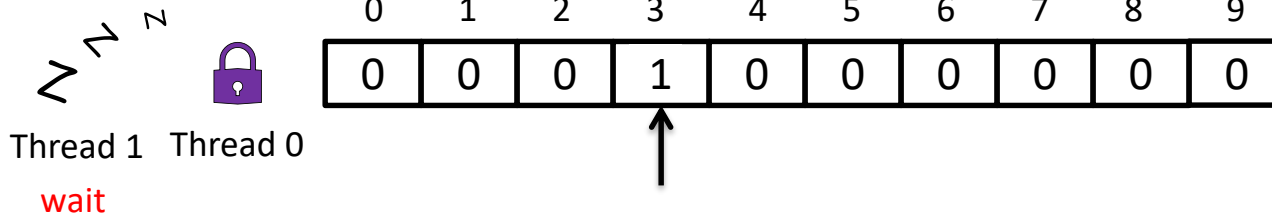
Both threads update elements 3 and 4

Thread 0 

```
pthread_mutex_lock(&mu);
array[3]++;
```

Thread 1 

```
pthread_mutex_lock(&mu);
        (block and wait)
```




# Example 2.2


```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

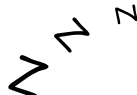
Both threads update elements 3 and 4

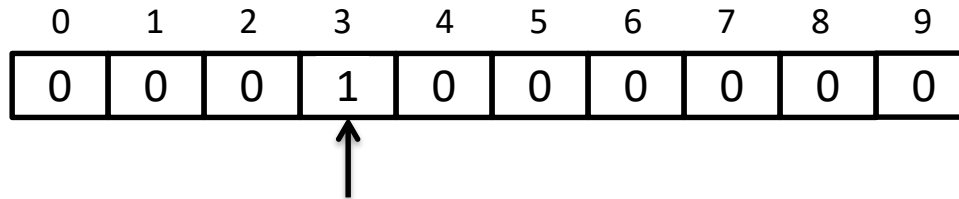
Thread 0 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);
        (block and wait)
```


 Thread 1 Thread 0  
wait




# Example 2.2

```
int array[10];  
pthread_mutex_t mu;  
  
void* thr(void*) {  
    for (int i = 0; i < 2; i++) {  
        int idx = random() % 10;  
        pthread_mutex_lock(&mu);  
        array[idx]++;  
        pthread_mutex_unlock(&mu);  
    }  
}
```


Both threads update elements 3 and 4

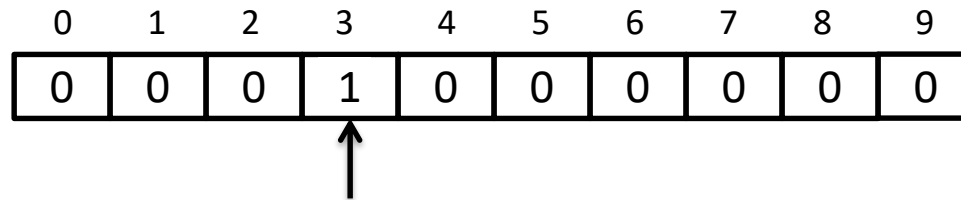
Thread 0 

```
pthread_mutex_lock(&mu);  
array[3]++;  
pthread_mutex_unlock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);
```

 Thread 1 Thread 0




# Example 2.2


```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

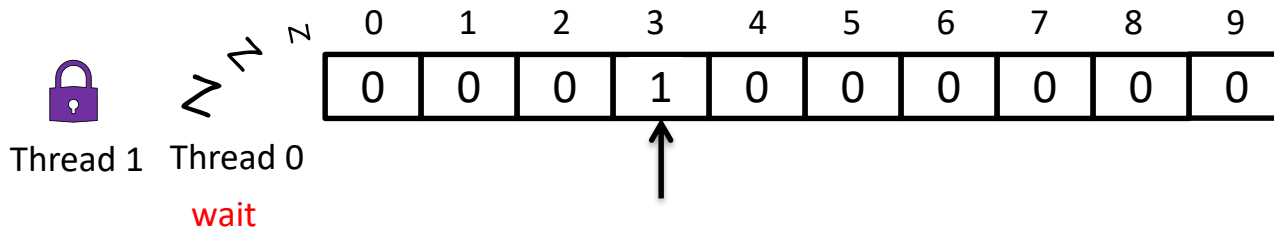
Both threads update elements 3 and 4

Thread 0 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
(block and wait)
```

Thread 1 

```
pthread_mutex_lock(&mu);
```




# Example 2.2


```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

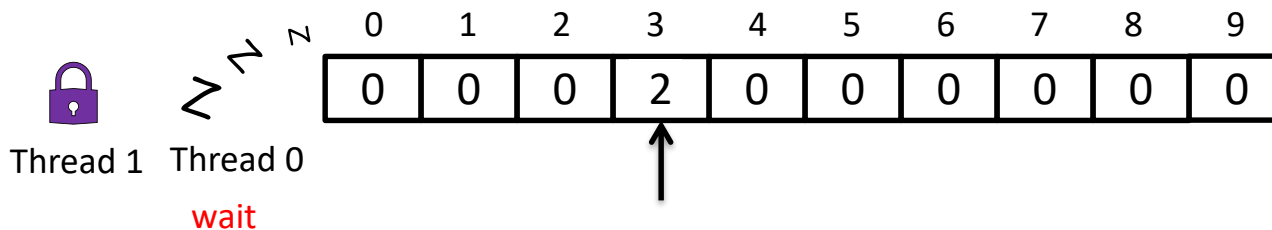
Both threads update elements 3 and 4

Thread 0 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
    (block and wait)
```

Thread 1 

```
pthread_mutex_lock(&mu);
array[3]++;
```




# Example 2.2


```
int array[10];
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void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

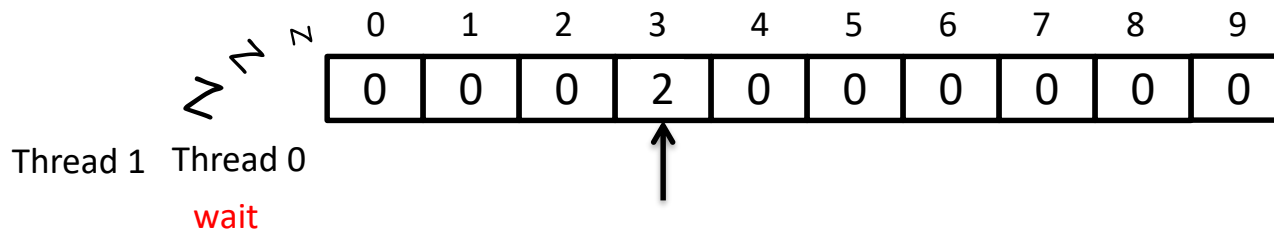
Both threads update elements 3 and 4

Thread 0 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
    (block and wait)
```

Thread 1 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
```




# Example 2.2


```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

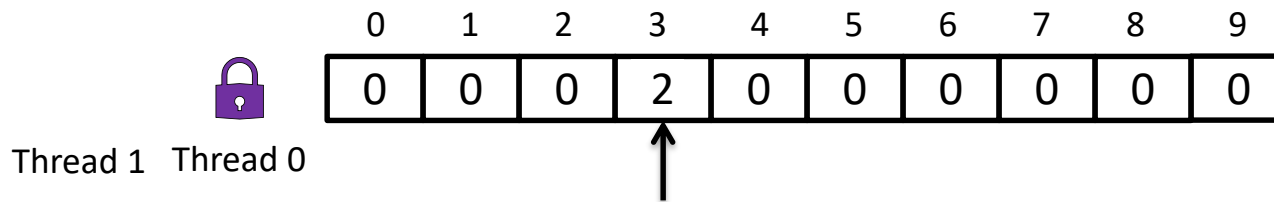
Both threads update elements 3 and 4

Thread 0 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
```




# Example 2.2


```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Both threads update elements 3 and 4

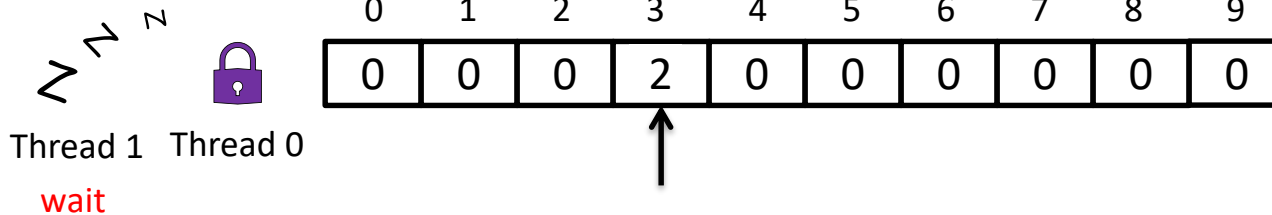
Thread 0 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
```

(block and wait)






# Example 2.2

```
int array[10];
pthread_mutex_t mu;


void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Both threads update elements 3 and 4

Thread 0 

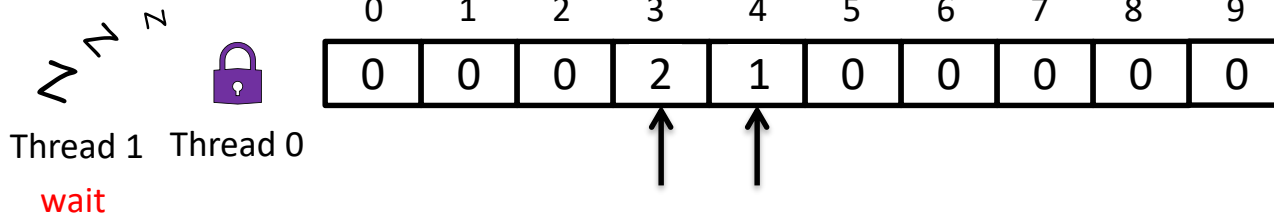
```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
```

array[4]++;

Thread 1 

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
```

(block and wait)



# Example 2.2

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Both threads update elements 3 and 4

Thread 0  $\rightsquigarrow$

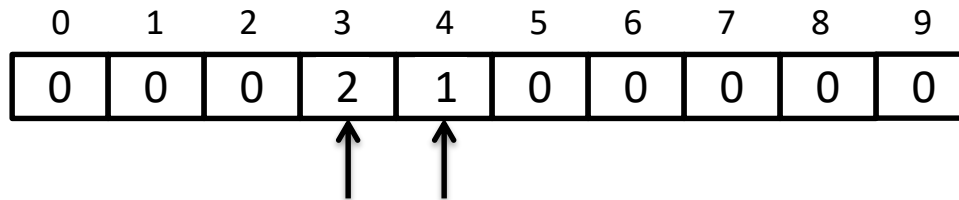
```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
array[4]++;
pthread_mutex_unlock(&mu);
```

Thread 1  $\rightsquigarrow$

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
array[4]++;
pthread_mutex_unlock(&mu);
```

(block and wait)

$\rightsquigarrow$   $\rightsquigarrow$   $\rightsquigarrow$   
Thread 1 Thread 0  
wait



# Example 2.2

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Both threads update elements 3 and 4

Thread 0  $\approx$

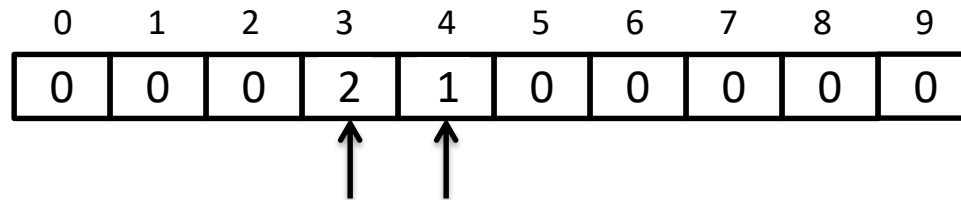
```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
array[4]++;
pthread_mutex_unlock(&mu);
```

Thread 1  $\approx$

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
array[4]++;
pthread_mutex_unlock(&mu);
```



Thread 1 Thread 0



# Example 2.2

```
int array[10];  
pthread_mutex_t mu;  
  
void* thr(void*) {  
    for (int i = 0; i < 2; i++) {  
        int idx = random() % 10;  
        pthread_mutex_lock(&mu);  
        array[idx]++;  
        pthread_mutex_unlock(&mu);  
    }  
}
```

Both threads update elements 3 and 4

Thread 0  $\approx$

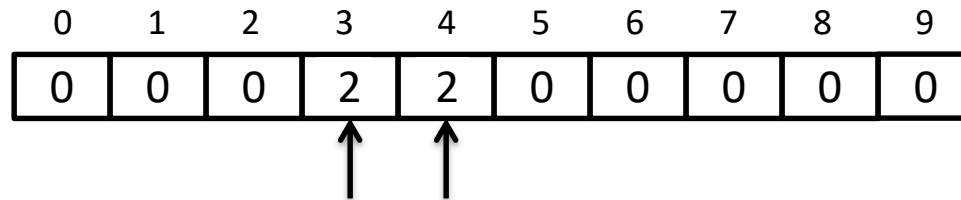
```
pthread_mutex_lock(&mu);  
array[3]++;  
pthread_mutex_unlock(&mu);  
pthread_mutex_lock(&mu);  
  
array[4]++;  
pthread_mutex_unlock(&mu);
```

Thread 1  $\approx$

```
pthread_mutex_lock(&mu);  
  
array[3]++;  
pthread_mutex_unlock(&mu);  
pthread_mutex_lock(&mu);  
  
array[4]++;
```



Thread 1 Thread 0



# Example 2.2

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

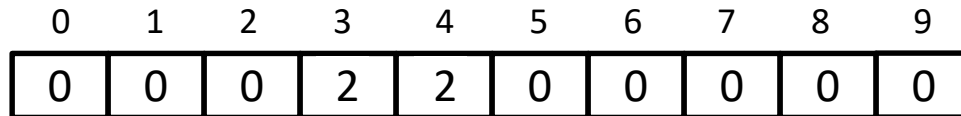
Both threads update elements 3 and 4

Thread 0  $\rightsquigarrow$

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
array[4]++;
pthread_mutex_unlock(&mu);
```

Thread 1  $\rightsquigarrow$

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
array[4]++;
pthread_mutex_unlock(&mu);
```



Thread 1    Thread 0



# Example 2.2

```
int array[10];
pthread_mutex_t mu;

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&mu);
        array[idx]++;
        pthread_mutex_unlock(&mu);
    }
}
```

Do you see any problem?

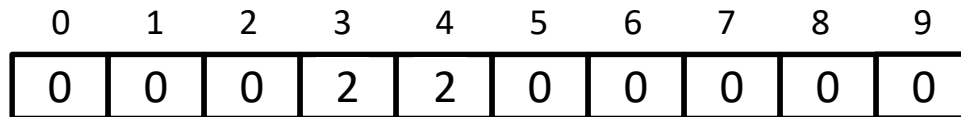
Both threads update elements 3 and 4

Thread 0  $\rightsquigarrow$

```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
array[4]++;
pthread_mutex_unlock(&mu);
```

Thread 1  $\rightsquigarrow$


```
pthread_mutex_lock(&mu);
array[3]++;
pthread_mutex_unlock(&mu);
pthread_mutex_lock(&mu);
array[4]++;
pthread_mutex_unlock(&mu);
```




Thread 1    Thread 0



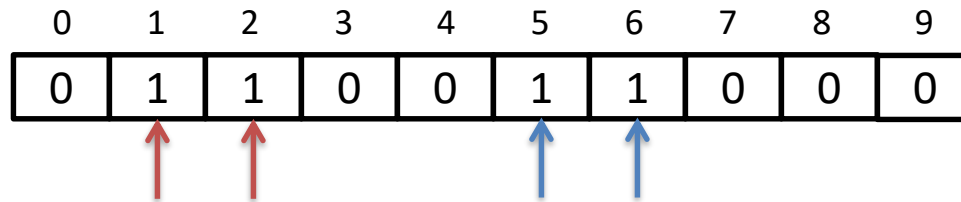
# Example 2.3

Thread 0 

```
pthread_mutex_lock(&mu);  
array[1]++;  
array[2]++;  
pthread_mutex_unlock(&mu);
```


Thread 1 

```
pthread_mutex_lock(&mu);  
array[5]++;  
array[6]++;  
pthread_mutex_unlock(&mu);
```




The executions of these two threads will always be serialized, even though they access different elements.

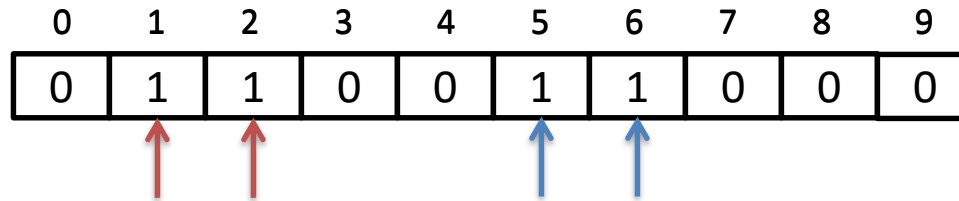
# Problem: over-synchronization

Thread 0 

```
pthread_mutex_lock(&mu);  
array[1]++;  
array[2]++;  
pthread_mutex_unlock(&mu);
```

Thread 1 

```
pthread_mutex_lock(&mu);  
array[5]++;  
array[6]++;  
pthread_mutex_unlock(&mu);
```



The executions of these two threads will always be serialized, even though they access different elements.



# Lock Granularity

- Coarse-grained locking
  - One big lock, associated with the entire array
- Fine-grained locking
  - Multiple locks, each associated with a single element

# Example 2.4


- Each thread increments two randomly chosen elements from a shared array

```
int array[10];
pthread_mutex_t locks[10];


void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        pthread_mutex_lock(&locks[idx]);
        array[idx]++;
        pthread_mutex_unlock(&locks[idx]);
    }
}
```



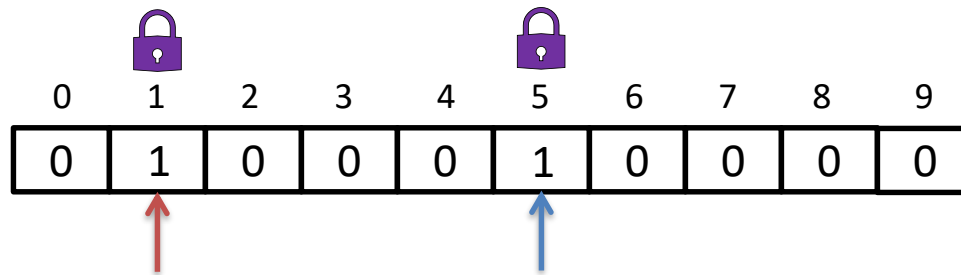
# Example 2.4

Thread 0 


```
pthread_mutex_lock(&locks[1]);  
array[1]++;
```

Thread 1 


```
pthread_mutex_lock(&locks[5]);  
array[5]++;
```



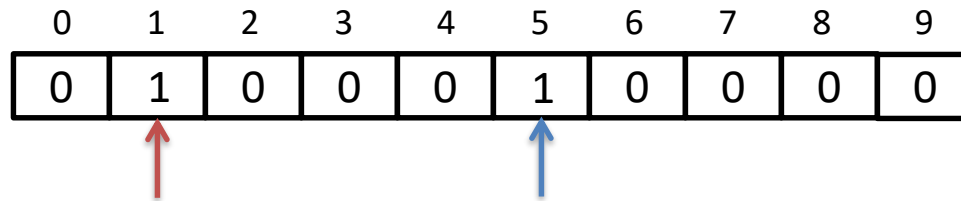
# Example 2.4

Thread 0 


```
pthread_mutex_lock(&locks[1]);  
array[1]++;  
pthread_mutex_unlock(&locks[1]);
```

Thread 1 


```
pthread_mutex_lock(&locks[5]);  
array[5]++;  
pthread_mutex_unlock(&locks[5]);
```



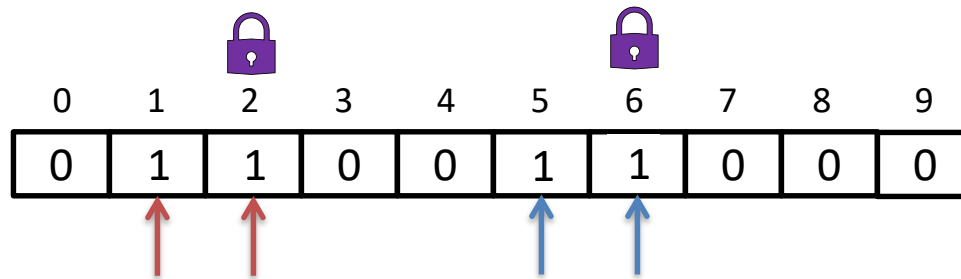
# Example 2.4

Thread 0 


```
pthread_mutex_lock(&locks[1]);  
array[1]++;  
pthread_mutex_unlock(&locks[1]);  
pthread_mutex_lock(&locks[2]);  
array[2]++;
```

Thread 1 


```
pthread_mutex_lock(&locks[5]);  
array[5]++;  
pthread_mutex_unlock(&locks[5]);  
pthread_mutex_lock(&locks[6]);  
array[6]++;
```



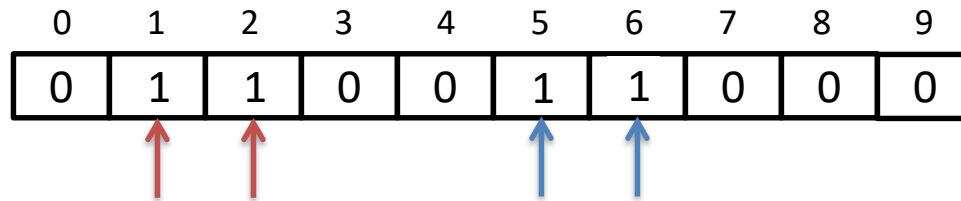
# Example 2.4

Thread 0 

```
pthread_mutex_lock(&locks[1]);  
array[1]++;  
pthread_mutex_unlock(&locks[1]);  
pthread_mutex_lock(&locks[2]);  
array[2]++;  
pthread_mutex_unlock(&locks[1]);
```

Thread 1 

```
pthread_mutex_lock(&locks[5]);  
array[5]++;  
pthread_mutex_unlock(&locks[5]);  
pthread_mutex_lock(&locks[6]);  
array[6]++;  
pthread_mutex_unlock(&locks[6]);
```



# Compare and Swap (CAS)

- `bool __sync_bool_compare_and_swap (type *ptr,  
 type oldval,  
 type newval)`
  - If the current value of `*ptr` is `oldval`, then write `newval` into `*ptr`
  - Return value:
    - Zero: if value of `*ptr` was different from `oldval`
    - Non-Zero: if comparison was successful and `newval` was written
- We'll write just `CAS(ptr, oldval, newval)` in the following
- CAS is implemented directly by hardware instructions



# Example 2.5

```
int array[10];

void* thr(void*) {
    for (int i = 0; i < 2; i++) {
        int idx = random() % 10;
        int oldval;
        do {
            oldval = array[idx];
        } while (!CAS(&array[idx], oldval, oldval+1))
    }
}
```

# Implementing a Spinlock with CAS

```
struct {
    int is_locked;
    pid_t thread_id;
} mutex_t;

int mutex_lock(mutex_t *mu) {
    int l;
    do {
        l = mu->is_locked;
    } while (l || !CAS(&mu->is_locked, 0, 1))
    mu->thread_id = gettid(); ← returns unique ID of current thread
    return 0;
}

int mutex_unlock(mutex_t *mu) {
    if (!mu->is_locked || mu->thread_id != gettid())
        return 1;
    mu->is_locked = 0;
    return 0;
}
```

# Example 3

```
typedef struct {  
    char *name;  
    int val;  
} account;
```

```
account *accounts[10];
```

```
// transfer money from account x to account y  
void transfer(int x, int y, int amount) {  
    accounts[x]->val -= amount;  
    accounts[y]->val += amount;  
}
```

```
// return the total of accounts x and y  
int sum(int x, int y) {  
    return accounts[x]->val + accounts[y]->val;  
}
```

# Example 3

```
typedef struct {  
    char *name;  
    int val;  
} account;
```

Each thread may invoke transfer or sum

No thread should observe the intermediate state of a transfer.

```
account *accounts[10];
```

```
// transfer money from account x to account y
```

```
void transfer(int x, int y, int amount) {  
    accounts[x]->val -= amount;  
    accounts[y]->val += amount;  
}
```

```
// return the total of accounts x and y
```

```
int sum(int x, int y) {  
    return accounts[x]->val + accounts[y]->val;  
}
```

Thread 1: transfer(1, 2, 10);

Thread 2: sum(1, 2)

# Example 3

```
typedef struct {  
    char *name;  
    int val;  
} account;
```

Each thread may invoke transfer or sum

```
account *accounts[10];  
pthread_mutex_t mu;
```

No thread should observe the intermediate state of a transfer.

```
// transfer money from account x to account y
```

```
void transfer(int x, int y, int amount) {  
    pthread_mutex_lock(&mu);  
    accounts[x]->val -= amount;  
    accounts[y]->val += amount;  
    pthread_mutex_unlock(&mu);  
}
```

```
// return the total of accounts x and y
```

```
int sum(int x, int y) {  
    pthread_mutex_lock(&mu);  
    int res = accounts[x]->val + accounts[y]->val;  
    pthread_mutex_unlock(&mu);  
    return res;  
}
```

Thread 1: transfer(1, 2, 10);

Thread 2: sum(1, 2)

# Example 3

```
typedef struct {  
    char *name;  
    int val;  
} account;
```

Each thread may invoke transfer or sum

```
account *accounts[10];  
pthread_mutex_t mu;
```

No thread should observe the intermediate state of a transfer.

```
// transfer money from account x to account y  
void transfer(int x, int y, int amount) {  
    pthread_mutex_lock(&mu);  
    accounts[x]->val -= amount;  
    accounts[y]->val += amount;  
    pthread_mutex_unlock(&mu);  
}
```

```
// return the total of accounts x and y  
int sum(int x, int y) {  
    pthread_mutex_lock(&mu);  
    int res = accounts[x]->val + accounts[y]->val;  
    pthread_mutex_unlock(&mu);  
    return res;  
}
```

Can we improve this implementation with fine-grained locking?

Thread 1: transfer(1, 2, 10);

Thread 2: sum(1, 2)

# Example 3

```
account *accounts[10];
pthread_mutex_t locks[10];

// transfer money from account x to account y
void transfer(int x, int y, int amount) {
    pthread_mutex_lock(&locks[x]);
    accounts[x]->val -= amount;
    pthread_mutex_unlock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    accounts[y]->val += amount;
    pthread_mutex_unlock(&locks[y]);
}

// return the total of accounts x and y
int sum(int x, int y) {
    pthread_mutex_lock(&locks[x]);
    int vx = accounts[x]->val;
    pthread_mutex_unlock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    int vy = accounts[y]->val;
    pthread_mutex_unlock(&locks[y]);
    return vx + vy;
}
```

Each thread may invoke transfer or sum

No thread should observe the intermediate state of a transfer.

Thread 1: transfer(1, 2, 10);
Thread 2: sum(1, 2)

# Example 3

```
account *accounts[10];
pthread_mutex_t locks[10];

// transfer money from account x to account y
void transfer(int x, int y, int amount) {
    pthread_mutex_lock(&locks[x]);
    accounts[x]->val -= amount;
    pthread_mutex_unlock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    accounts[y]->val += amount;
    pthread_mutex_unlock(&locks[y]);
}

// return the total of accounts x and y
int sum(int x, int y) {
    pthread_mutex_lock(&locks[x]);
    int vx = accounts[x]->val;
    pthread_mutex_unlock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    int vy = accounts[y]->val;
    pthread_mutex_unlock(&locks[y]);
    return vx + vy;
}
```

Each thread may invoke transfer or sum

No thread should observe the intermediate state of a transfer.

Any problems?

Thread 1: transfer(1, 2, 10);
Thread 2: sum(1, 2)










# Example 3

```
account *accounts[10];
pthread_mutex_t locks[10];


void transfer(int x, int y, int amount) {
    pthread_mutex_lock(&locks[x]);
    accounts[x]->val -= amount;
    pthread_mutex_unlock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    accounts[y]->val += amount;
    pthread_mutex_unlock(&locks[y]);
}

int sum(int x, int y) {
    pthread_mutex_lock(&locks[x]);
    int vx = accounts[x]->val;
    pthread_mutex_unlock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    int vy = accounts[y]->val;
    pthread_mutex_unlock(&locks[y]);
    return vx + vy;
}
```

Thread 0 

```
transfer(1, 2, 10)
pthread_mutex_lock(&locks[1]);
accounts[1]->val -= 10;
pthread_mutex_unlock(&locks[1]);

pthread_mutex_lock(&locks[2]);
accounts[2]->val += 10;
pthread_mutex_unlock(&locks[2]);
```

Thread 1 

```
sum(1, 2) -> 90
pthread_mutex_lock(&locks[1]);
int vx = accounts[1]->val;
pthread_mutex_unlock(&locks[1]);
pthread_mutex_lock(&locks[2]);
int vy = accounts[2]->val;
pthread_mutex_unlock(&locks[2]);
```

0	1	2	3	4	5	6	7	8	9
50	40	60	50	50	50	50	50	50	50

# Example 3

```
account *accounts[10];
pthread_mutex_t locks[10];

// transfer money from account x to account y
void transfer(int x, int y, int amount) {
    pthread_mutex_lock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    accounts[x]->val -= amount;
    accounts[y]->val += amount;
    pthread_mutex_unlock(&locks[y]);
    pthread_mutex_unlock(&locks[x]);
}

// return the total of accounts x and y
int sum(int x, int y) {
    pthread_mutex_lock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    int vx = accounts[x]->val;
    int vy = accounts[y]->val;
    pthread_mutex_unlock(&locks[y]);
    pthread_mutex_unlock(&locks[x]);
    return vx + vy;
}
```

No thread can observe the intermediate state of a transfer.

Each thread still holds x's lock when accessing y.

Thread 1: transfer(1, 2, 10); Thread 2: sum(1, 2)
--

# Example 3

```
account *accounts[10];
pthread_mutex_t locks[10];

// transfer money from account x to account y
void transfer(int x, int y, int amount) {
    pthread_mutex_lock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    accounts[x]->val -= amount;
    accounts[y]->val += amount;
    pthread_mutex_unlock(&locks[y]);
    pthread_mutex_unlock(&locks[x]);
}

// return the total of accounts x and y
int sum(int x, int y) {
    pthread_mutex_lock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    int vx = accounts[x]->val;
    int vy = accounts[y]->val;
    pthread_mutex_unlock(&locks[y]);
    pthread_mutex_unlock(&locks[x]);
    return vx + vy;
}
```

No thread can observe the intermediate state of a transfer.

Each thread still holds x's lock when accessing y.

Any problems?

Thread 1: transfer(1, 2, 10);
Thread 2: sum(1, 2)








# Deadlock

```
account *accounts[10];
pthread_mutex_t locks[10];

void transfer(int x, int y, int amount) {
    pthread_mutex_lock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    accounts[x]->val -= amount;
    accounts[y]->val += amount;
    pthread_mutex_unlock(&locks[y]);
    pthread_mutex_unlock(&locks[x]);
}

int sum(int x, int y) {
    pthread_mutex_lock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    int vx = accounts[x]->val;
    int vy = accounts[y]->val;
    pthread_mutex_unlock(&locks[y]);
    pthread_mutex_unlock(&locks[x]);
    return vx + vy;
}
```

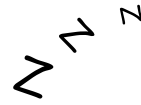
Thread 0 


transfer(1, 2, 10)

```
pthread_mutex_lock(&locks[1]);
pthread_mutex_lock(&locks[2]);
```



block and wait



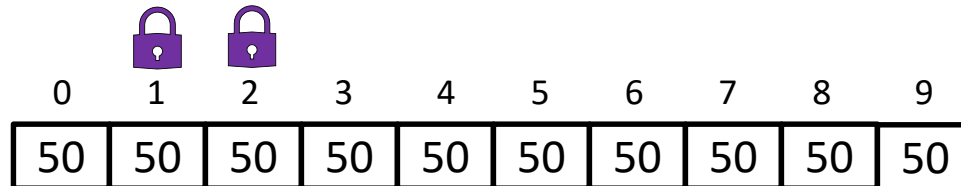
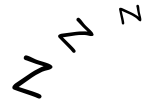
Thread 1 

sum(2, 1)

```
pthread_mutex_lock(&locks[2]);
pthread_mutex_lock(&locks[1]);
```



block and wait





# Deadlock

```
account *accounts[10];
pthread_mutex_t locks[10];

void transfer(int x, int y, int amount) {
    pthread_mutex_lock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    accounts[x]->val -= amount;
    accounts[y]->val += amount;
    pthread_mutex_unlock(&locks[y]);
    pthread_mutex_unlock(&locks[x]);
}

int sum(int x, int y) {
    pthread_mutex_lock(&locks[x]);
    pthread_mutex_lock(&locks[y]);
    int vx = accounts[x]->val;
    int vy = accounts[y]->val;
    pthread_mutex_unlock(&locks[y]);
    pthread_mutex_unlock(&locks[x]);
    return vx + vy;
}
```

Thread 0   
transfer(1, 2, 10)

Thread 1   
sum(2, 1)

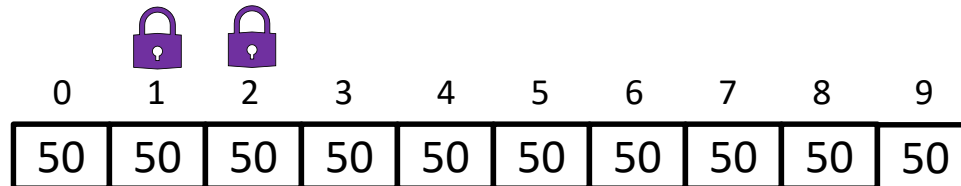
```
pthread_mutex_lock(&locks[1]);
pthread_mutex_lock(&locks[2]);
```

```
pthread_mutex_lock(&locks[2]);
pthread_mutex_lock(&locks[1]);
```

   
**block and wait**

   
**block and wait**

**Program cannot make any progress!**



# Techniques to Prevent Deadlock

- Observation
  - A deadlock occurs if a thread who's holding one lock is blocked trying to grab another lock
- Trick
  - Use "trylock" to avoid thread being blocked.

# Technique 1: trylock

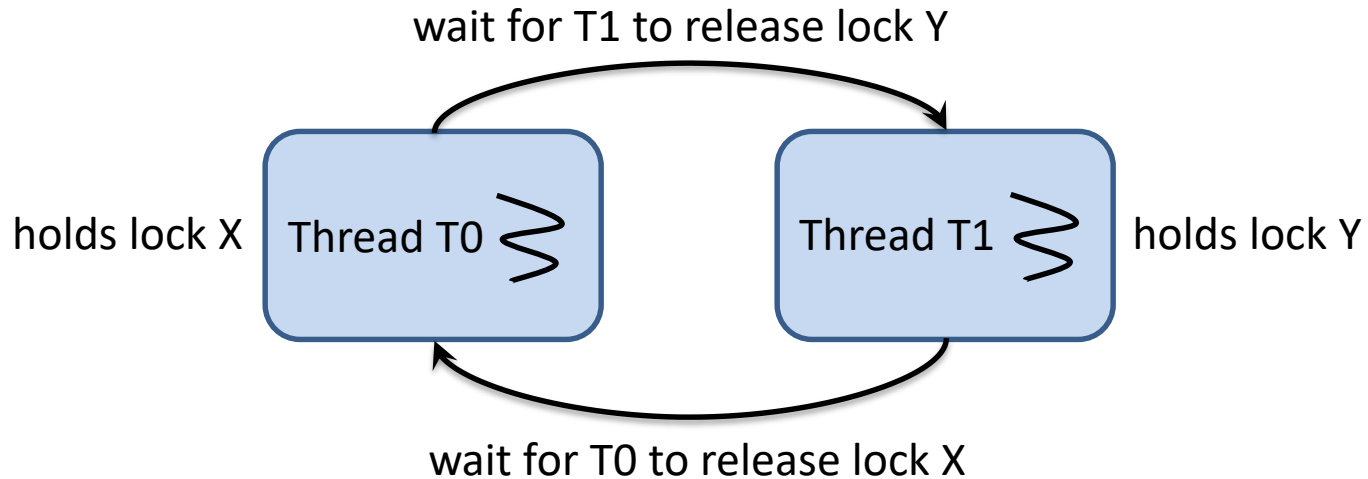
- `int pthread_mutex_trylock(pthread_mutex_t *mu);`
  - If the mutex `mu` is locked, the call returns immediately.
  - Return value:
    - Zero: lock was acquired successfully;
    - Non-Zero: lock is already being held

# Technique 1: trylock

```
void transfer(int x, int y, int amount) {  
    retry:  
    pthread_mutex_lock(&locks[x]);  
    int succ = pthread_mutex_trylock(&locks[y]);  
    if (succ != 0) {  
        pthread_mutex_unlock(&locks[x]); ← must release lock on x if  
        goto retry;                               trylock is unsuccessful  
    }  
    accounts[x]->val -= amount;  
    accounts[y]->val += amount;  
    pthread_mutex_unlock(&locks[y]);  
    pthread_mutex_unlock(&locks[x]);  
}
```

# Technique 2: Lock Ordering

- Observation
  - A deadlock occurs only if concurrent threads try to acquire locks in different order



- Technique: all threads acquire locks in the same order