

Biology X, Fall 2010

Signaling games

Andreas Witzel

CIMS, NYU

2010-11-01

see Skyrms, *Signals* and Gintis, *Game theory evolving*

Vervet monkeys

- ▶ Vervet monkeys have distinct alarm calls for different predators
 - ▶ Eagle: “cough” \rightsquigarrow hide in the underbush
 - ▶ Leopard: “bark” \rightsquigarrow climb on a tree
 - ▶ Snake: “chutter” \rightsquigarrow watch out for snake
-
- ▶ Even inter-species communication exists
 - ▶ How can such systems come about?
 - ▶ How can meaning evolve?
 - ▶ Can we give an explanation that is simple enough to apply even to bacteria and cells?

Sender-receiver games

- ▶ Introduced by David Lewis (1969) to explain **convention** and **meaning**
- ▶ “Worst-case scenario” in which natural salience is absent and signaling is purely conventional
- ▶ Two players: sender, receiver
- ▶ Sender has a “type” (state, private information)
- ▶ Sender chooses a signal (signals have no intrinsic meaning)
- ▶ Receiver responds by choosing an action
- ▶ Payoffs depend on type and action (and signal)
- ▶ A sender strategy maps types to signals
- ▶ A receiver strategy maps signals to actions
- ▶ An equilibrium is a pair of strategies such that neither can improve by deviating

Basic definitions

- ▶ Set of types T , signals S , actions A
- ▶ Probability distribution $\tau \in \Delta T$
- ▶ Sender strategy $\sigma : T \rightarrow \Delta S$
- ▶ Receiver strategy $\rho : S \rightarrow \Delta A$
- ▶ Payoff for sender: $u(t, s, a)$, for receiver: $v(t, s, a)$
- ▶ Equilibrium: pair of strategies σ, ρ such that

$$\sum_{t,s,a} u(t, s, a) \tau(t) \sigma(s|t) \rho(a|s) \geq \sum_{t,s,a} u(t, s, a) \tau(t) \sigma'(s|t) \rho(a|s)$$

and

$$\sum_{t,s,a} u(t, s, a) \tau(t) \sigma(s|t) \rho(a|s) \geq \sum_{t,s,a} u(t, s, a) \tau(t) \sigma(s|t) \rho'(a|s)$$

for all σ', ρ'

Simple example

		Receiver action		
		a_1	a_2	a_3
Sender type	t_1	1, 1	0, 0	0, 0
	t_2	0, 0	1, 1	0, 0
	t_3	0, 0	0, 0	1, 1

- ▶ One “right” action for each type
- ▶ Coordination game
- ▶ Signals costless

Simple example

		Receiver action		
		a_1	a_2	a_3
Sender type	t_1	1, 1	0, 0	0, 0
	t_2	0, 0	1, 1	0, 0
	t_3	0, 0	0, 0	1, 1

$t_1 \longrightarrow s_1 \longrightarrow a_1$

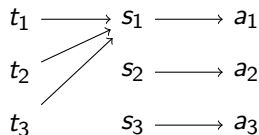
$t_2 \longrightarrow s_2 \longrightarrow a_2$

$t_3 \longrightarrow s_3 \longrightarrow a_3$

- ▶ One “right” action for each type
- ▶ Coordination game
- ▶ Signals costless
- ▶ Types of equilibria:
 - ▶ Separating (“signaling system”)

Simple example

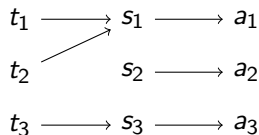
		Receiver action		
		a_1	a_2	a_3
Sender type	t_1	1, 1	0, 0	0, 0
	t_2	0, 0	1, 1	0, 0
	t_3	0, 0	0, 0	1, 1



- ▶ One “right” action for each type
- ▶ Coordination game
- ▶ Signals costless
- ▶ Types of equilibria:
 - ▶ Separating (“signaling system”)
 - ▶ Pooling

Simple example

		Receiver action		
		a_1	a_2	a_3
Sender type	t_1	1, 1	0, 0	0, 0
	t_2	0, 0	1, 1	0, 0
	t_3	0, 0	0, 0	1, 1



- ▶ One “right” action for each type
- ▶ Coordination game
- ▶ Signals costless
- ▶ Types of equilibria:
 - ▶ Separating (“signaling system”)
 - ▶ Pooling
 - ▶ Partial Pooling

Information

- ▶ View the **information** of a signal as how it changes probabilities
- ▶ Signals involve two kinds of information:
 - ▶ What state the sender has observed
 - ▶ What action the receiver will take
- ▶ Information content and quantity
- ▶ Information is maximal in signaling system
(but also in perfectly *mis*coordinating systems)

Information quantity

- ▶ Intuition: should compare probability with vs without observation
- ▶ Information quantity of signal s “in favor of” state (type) t :

$$\log \frac{\sigma(t|s)}{\tau(t)}$$

- ▶ Overall information quantity of signal s :

$$\sum_{t \in T} \sigma(t|s) \log \frac{\sigma(t|s)}{\tau(t)}$$

(Kullback-Leibler divergence)

- ▶ Information quantity of signal about act is analogous

Example

- ▶ Consider two equiprobable states t_1, t_2 and two signals s_1, s_2
- ▶ Consider separating sender strategy $\sigma(t_1) = s_1, \sigma(t_2) = s_2$
- ▶ Information quantity of s_1 :

$$\begin{aligned} & \sigma(t_1|s_1) \log \frac{\sigma(t_1|s_1)}{\tau(t_1)} + \sigma(t_2|s_1) \log \frac{\sigma(t_2|s_1)}{\tau(t_2)} \\ &= 1 \log \frac{1}{0.5} + 0 \log \frac{1}{0.5} = 1 \text{ (bit)} \end{aligned}$$

- ▶ Consider pooling sender strategy $\sigma(t_1) = s_1, \sigma(t_2) = s_1$
- ▶ Information quantity of s_1 :

$$\begin{aligned} & \sigma(t_1|s_1) \log \frac{\sigma(t_1|s_1)}{\tau(t_1)} + \sigma(t_2|s_1) \log \frac{\sigma(t_2|s_1)}{\tau(t_2)} \\ &= \tau(t_1) \log \frac{\tau(t_1)}{\tau(t_1)} + \tau(t_1) \log \frac{\tau(t_1)}{\tau(t_1)} = 0 \text{ (bit)} \end{aligned}$$

Information content

- ▶ “Meaning” of signal s
- ▶ Its information quantity in favor of each respective state

$$\left\langle \log \frac{\sigma(t_1|s)}{\tau(t_1)}, \dots, \log \frac{\sigma(t_n|s)}{\tau(t_n)} \right\rangle$$

- ▶ Consider two equiprobable states t_1, t_2 and two signals s_1, s_2
- ▶ Consider separating sender strategy $\sigma(t_1) = s_1, \sigma(t_2) = s_2$
- ▶ Information content of s_1 :

$$\langle 1, -\infty \rangle$$

Evolution

- ▶ **Replicator dynamics** as simple model of evolution
- ▶ Differential replication according to Darwinian fitness
- ▶ Discrete version proceeds in generations
- ▶ Equation to determine new proportion of individuals with strategy s :

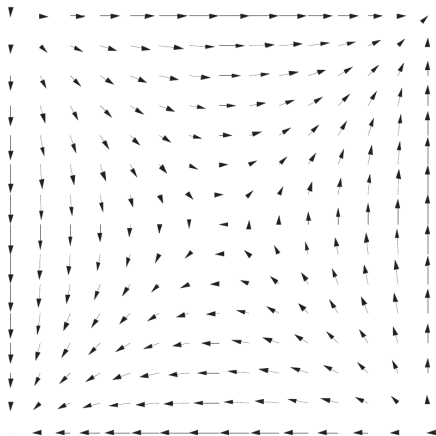
$$x_{t+1}(s) = x_t(s) \frac{\text{Fitness}(s)}{\text{Average fitness}}$$

- ▶ Continuous version:

$$\dot{x}(s) = x \cdot (\text{Fitness}(s) - \text{Average fitness})$$

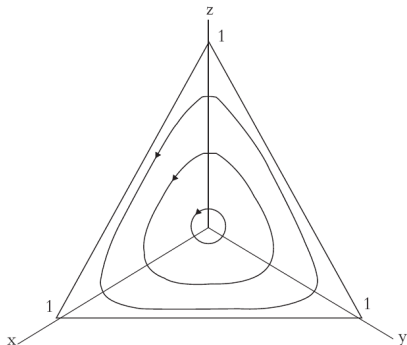
- ▶ Fitness in the simplest case is payoff of random pairing
- ▶ For cooperation to evolve, correlation is needed
- ▶ For symmetry breaking and exploration, add random mutation

Depiction of replicator dynamics



- ▶ Unstable states, rest points, stable and strongly stable states
- ▶ Illustrating with Hawk-Dove, Prisoner's dilemma, Inconsequential actions

Rock, scissors, paper



- ▶ Each pure strategy is equilibrium, but unstable
- ▶ Completely mixed state is stable, but not strongly
- ▶ No population that is not already in equilibrium converges

Evolution in signaling games

- ▶ Simplest case: two equiprobable types, two signals, two acts
- ▶ Sender and receiver have 4 strategies each, or 16 combined
- ▶ Signaling system always evolves
- ▶ All pooling equilibria are unstable
- ▶ Randomness breaks symmetry and creates information

Evolution in signaling games

- ▶ Simplest case: two equiprobable types, two signals, two acts
- ▶ Sender and receiver have 4 strategies each, or 16 combined
- ▶ Signaling system always evolves
- ▶ All pooling equilibria are unstable
- ▶ Randomness breaks symmetry and creates information

- ▶ With unequal probability, (partial) pooling equilibria may evolve
- ▶ The greater the inequality, the more likely
- ▶ On the other hand, the smaller the impact on the welfare is

Evolution in signaling games

- ▶ Simplest case: two equiprobable types, two signals, two acts
- ▶ Sender and receiver have 4 strategies each, or 16 combined
- ▶ Signaling system always evolves
- ▶ All pooling equilibria are unstable
- ▶ Randomness breaks symmetry and creates information

- ▶ With unequal probability, (partial) pooling equilibria may evolve
- ▶ The greater the inequality, the more likely
- ▶ On the other hand, the smaller the impact on the welfare is

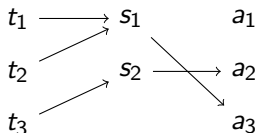
- ▶ Details depend on the exact payoffs, probabilities and mutation rates
- ▶ Correlation can destabilize pooling

Deception

- ▶ Deception is ubiquitous in nature (e.g. Photuris vs Photinus)
- ▶ How can we define it, and how can it be sustainable?
- ▶ Deception is only meaningful in the context of an existing signaling convention
- ▶ Take the information content of a signal to be its agreed-upon meaning
- ▶ A signal whose information content does not reflect the type is **misinformation** (e.g., alarm call when no predator present)
- ▶ A misinformative signal benefitting the sender (and harming the receiver) is **deceptive** (e.g., Photuris)
- ▶ Systematic deception changes the convention (again, Photuris)

Successful deception in equilibrium

	a_1	a_2	a_3
t_1	2, 10	0, 0	10, 8
t_2	0, 0	2, 10	10, 8
t_3	0, 0	10, 10	0, 0

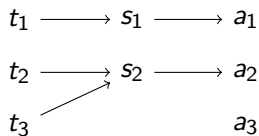
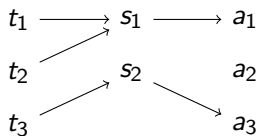


- ▶ Sender always manipulates receiver with “half-truths”:
 - ▶ In t_1 , the sender’s signal raises the probability of t_2
 - ▶ In t_2 , the sender’s signal raises the probability of t_1
- ▶ These half-truths induce receiver to choose a_3 in t_1 and t_2
- ▶ Sender benefits at expense of receiver (who prefers a_1 or a_2)

- ▶ Deception can even be seen as “morally good”:
 - ▶ Sender gains 8, receiver loses only 2
 - ▶ If you don’t know your role in advance (or you alternate), you would choose the deceptive equilibrium as universal law

Information bottlenecks can impact efficiency

	a_1	a_2	a_3
t_1	7, 7	0, 0	2, 2
t_2	4, 4	6, 6	0, 0
t_3	0, 0	5, 5	10, 10



- ▶ Both are evolutionarily stable, although the right one is worse

Inventing new signals

- ▶ Chinese restaurant process:
 - ▶ Restaurant with infinite number of tables
 - ▶ Guests enter one by one
 - ▶ If N guests are there, each new guest joins the table of any of them with probability $\frac{1}{N+1}$
 - ▶ With probability $\frac{1}{N+1}$, he starts a new table
- ▶ Pólya urn process:
 - ▶ Urn with various colored balls
 - ▶ Draw a ball at random, put back two of that color
 - ▶ “Neutral” evolution (without selection pressure)
 - ▶ Converges to random color
- ▶ Hoppe-Pólya urn:
 - ▶ Add a black “mutator” ball to Pólya’s urn
 - ▶ If it is drawn, put it back and add one with a new color
 - ▶ Equivalent to Chinese restaurant
 - ▶ Model for neutral evolution with invention

Inventing new signals

- ▶ Use a Hoppe-Pólya urn to model sender strategy
- ▶ Reinforcement learning: add balls depending on communication success (payoff)
- ▶ If receiver receives a new signal, he acts at random
- ▶ On success, the new signal is reinforced, otherwise removed
- ▶ Noisy forgetting to keep number of signals from exploding: at each step remove some ball at random
- ▶ In experiments, efficient signaling evolves quite robustly

Further topics

- ▶ Logic and information processing
- ▶ Complex signals and compositionality
- ▶ Teamwork
 - ▶ Quorum sensing (e.g. *Vibrio fischeri*)
 - ▶ *Myxococcus xanthus*
 - ▶ Multicellular organisms
- ▶ Learning to network
- ▶ Cheap talk