# Workload Characterization of a Personalized Web Site — And Its Implications for Dynamic Content Caching

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#### Abstract

Requests for dynamic and personalized content increasingly dominate current-day Internet traffic; however, traditional caching architectures are not well-suited to cache such content. Several recently proposed techniques, which exploit reuse at the sub-document level, promise to address this shortcoming, but require a better understanding of the workloads seen on web sites that serve such content.

In this paper, we study the characteristics of a medium-sized personalized web site, *NYUHome*, which is a customizable portal used by approximately 44,000 users from the New York University community. Our study leverages detailed server-side traces of client activity over a two-week period in February 2002, obtained by instrumenting the NYUHome server. The paper presents statistics on document composition, personalization behavior, server-side overheads, and client-perceived request latencies. We then use these statistics to derive general implications for efficient caching and edge generation of dynamic content in the context of our ongoing CONCA project. Our study verifies both the need for and likely benefit from caching content at sub-document granularity, and points to additional opportunities for reducing client-perceived latency using prefetching, access prediction, and content transcoding.

## **1** Introduction

The growing popularity of personalized Internet services, ranging from news portals to other "utility" services, has resulted in requests for dynamic and personalized content increasingly dominating current-day Internet traffic. Unfortunately, traditional solutions such as web caches and content distribution networks (CDNs) developed to improve delivery of static content do not yield the same benefits for dynamic content [18, 26].

More promising are recently proposed *object composition* approaches [3, 11, 12, 17, 20, 29, 35, 36, 39], which observe that despite multiple requests for the same site resulting in different content at document granularity, there exists substantial opportunity for reuse at the sub-document level (at the granularity of individual objects making up the overall document). For example, even on personalized views of the My Yahoo! portal, different users end up sharing the same news headlines and TV program guides. Two recent studies have shown that approximately 60% of the bytes in dynamic responses from a set of popular web sites could in fact be reused from a previous retrieval of the page [34, 39].

Although encouraging, the above proposals and studies need to be supplemented with characterizations of the actual workload encountered on sites that serve dynamic and personalized content. These characterizations serve two roles: first, they provide evidence for whether or not object composition techniques are in fact required and if they are likely to be beneficial (given the specific client and content characteristics), and second, they can lend new insights into further improving delivery of dynamic and personalized content. Two recent studies [5, 30] have

characterized the workloads seen by dynamic web sites; however, we have not seen any public literature on the characterization of personalized web sites. The latter, which allow users to customize their web pages by choosing amongst different *channels* (also called *modules*), offer additional challenges for content delivery because of the need to serve different content across the client population.

In this paper, we address the above omission by studying the characteristics of a medium-sized personalized web site, *NYUHome*. NYUHome is a customizable portal to many web-based services and tools for the students, faculty, and staff of New York University, and is being widely used by the NYU community. At the time of this writing, there are more than 44,000 registered users who access NYUHome: most of the requests originate from the New York city region but users also access the site while traveling and from overseas (NYU has campuses in London and Florence in addition to the main Washington Square location). Although NYUHome is smaller and exhibits less diversity in its client population than some commercial personalized web sites (e.g., MyYahoo!), we believe that its personalization and workload characteristics are likely to demonstrate similar trends and should therefore be of interest to our research community.

Our study leverages an instrumented version of the NYUHome server, working with detailed server-side traces of client activity over a two-week period from February 13 to February 28, 2002.<sup>1</sup>Access to the server code enables us to collect information at a finer granularity than normally present in web server logs. In particular, the instrumented logs allow us to characterize, from both a server-side and client-side perspective, document composition (number, type, and TTL of channels), personalization behaviors, server-side overheads for document generation, and client-perceived request latencies. Our results show that: (1) a considerable fraction of NYUHome users do personalize their view of the site, although a sizeable fraction of this personalization tends to be of layout than for selected content; (2) a significant fraction of document bytes are for content that is "sharable"; (3) non-sharable or personalized content is important enough for users to generate a considerably larger number of requests than would otherwise be indicated by the TTLs of channels; and (4) clients perceive request latencies over a wide range, determined primarily by their network connectivity.

We then use the above observations to derive general implications for efficient caching and edge generation of dynamic and personalized content. These implications are drawn in the specific context of our proposed CONCA architecture [35], which exploits knowledge of document structure and user access behavior to improve content delivery, but apply to other object composition techniques as well. We find that:

- There is a need for and substantial likely benefits from applying object composition techniques for personalized content, i.e., reusing content of "sharable" channels to serve subsequent requests.
- Both server load and client-perceived latencies can be further reduced by prefetching the content of a small number of personalized (non-shared) channels and pushing these eagerly towards the clients.
- The above can be achieved in a practical fashion by identifying only a small group of clients because of the Zipf-like distribution of client popularity and personalization behaviors.
- Client-perceived request latencies can be made more uniform by specializing the document layout and content, using transcoding, to the network connection employed by the client.

The rest of this paper is organized as follows. In Section 2, we describe the structure of NYUHome and overview the CONCA architecture to provide a concrete setting for the use of object composition. Section 3 discusses the method of trace gathering, including the information gathered, the method used and the format of the logs. A detailed analysis of these logs, in terms of request distribution, user behavior, and server performance, is described in Section 4. The implications for CONCA-like architectures are presented in Section 5. Section 6 discusses related work and we summarize in Section 7.

<sup>&</sup>lt;sup>1</sup>We are in the process of analyzing a longer-duration trace, results from which will be included in the final paper.

# 2 Background

### 2.1 NYUHome

NYUHome (home.nyu.edu) is a web portal for the students, faculty and staff of New York University (NYU) to obtain news and stock information, access their e-mail, register for courses, participate in web forums, access class pages, research tools, and more. The NYUHome screen is customizable at the granularity of *channels*, and can be personalized by different users in terms of both which channels are selected for display and their layout on the screen. The current version of NYUHome (Version 2.0) categorizes 20 channels into five tabs: HOME, ACADEMICS, RESEARCH, NEWS, and FILES. Figure 1 shows a screen snapshot of a dynamically generated and personalized NYUHome page and Figure 2 displays the default two-column layout of channels in each tab.



Figure 1: A screen snapshot of a personalized NYUHome page (NEWS tab).

HOME		ACADEMICS		RESEARCH		NEWS		FILES	
Email	Contact Home	Albert	Bookstore	Directory	Library	Events	Finance	Web Page	Files
Directory	Forums		Classes	Search			Horoscope		
Links							Movies		
							News		
							Sports		
							Weather		

Figure 2: Default channels and their layout in NYUHome.

**Channels** 8 of NYUHome's 20 channels are for objects that are sharable by everyone that has selected a particular channel. These include event listings for the NYU campus (Events), news headlines (News), links to the NYU library (Library) and the bookstore (Bookstore), movie listings (Movies), sports headlines (Sports), web search interface (Search), and a form-based interface to the NYU directory (Directory). Note that users can still choose to place these channels in different positions within their page.

The Finance channel is made up of a common sharable part which shows headlines from financial newspapers and a personalized part that provides information about user-selected stocks.

Two other channels, ContactHome and Albert can be thought of as being sharable when they are first presented on the user's screen, but exhibit more diversity in their object content thereafter (because of history). The Horoscope and Weather channels exhibit diversity in their content but can be thought of as sharable among the subset of the user pool that share the same zodiac sign or the same zip code.

The remaining 7 channels refer to truly personalized objects, such as a user's e-mail (Email), enrolled classes (Albert), personal files (Files), personal web page(s) (Web Page), interesting links (Links) and forums (Forums), and a personal MyHTML channel (not selected by default).

**Implementation** NYUHome is implemented using 150,000 lines of object-oriented perl and currently runs in a mod\_perl environment within an Apache 1.3 server on a 12 processor domain of a 399 MHz Sun E10000 with 12 GB RAM. The system is running Solaris 2.6 in a clustered, failover environment.

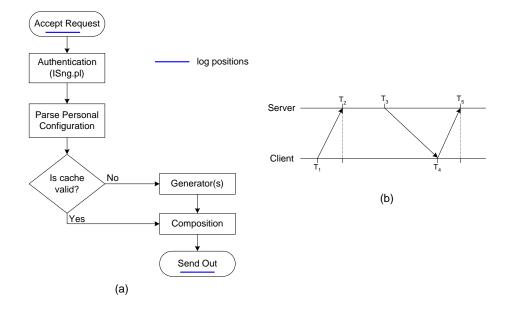
Figure 3(a) shows the basic flow sequence of how NYUHome serves a client request. Authentication happens only at the beginning of each session, with subsequent requests relying on a session key. After authentication, the personal preferences are fetched to construct the layout with the appropriate channels. NYUHome relies on two object-level caches: a *shared cache* for sharable channels, and a *non-shared cache*, which stores user preferences and individual personal content. Depending on the channel TTL, content is obtained either from the caches or generated in a channel-specific fashion. For content that can be gathered periodically (e.g., News, Events), a program runs at regular intervals to populate the cache.

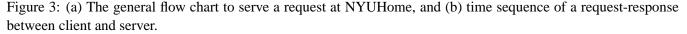
### 2.2 CONCA Architecture

CONCA (**CO**nsistent Nomadic Content Access) [35] is a proposed edge architecture for the efficient caching and delivery of dynamic and personalized content to users who access the content using diverse devices and connection technologies. CONCA attempts to exploit reuse at the granularity of individual objects making up a document, improving user experience by combining caching, prefetching, and transcoding operations as appropriate.

To achieve its goals, CONCA relies on additional information from both servers and users. All content supplied by servers in CONCA architecture is assumed to be associated with a "document template" which defines both the structure and form of the content. Ideally, the document template can be expressed by formatting languages such as XSL-FO [41] or edge-side include (ESI) [36]. For existing HTML web documents, we assume that the document template is a nested table. To support prefetching and efficient transcoding from cached objects, the CONCA architecture requires access information from users, which is captured in a "personal assistant" object. Currently, a CONCA prototype is being developed, and we are cooperating with the NYUHome team to build an infrastructure to efficiently deliver their content.

### **3** Trace Gathering





This study leverages detailed server logs over a two-week period spanning February 13 to February 28, 2002. To ensure that our instrumentation did not produce unintended side effects, fewer than 10 lines of code were added

at two locations: when a request was admitted into the system (Accept Request) and after the server was done processing a request (Send Out). At these points, we logged the following pieces of information:

- (at entry) The arrival time of a request, the document being requested; the source IP address; and the user ID or any session keys;
- (at end) The departure time of the response; the size of the document; for each channel present in the response, the channel ID, size, and the SHA-1 hash [1] of its contents; and for each column, the SHA-1 hash of the string obtained by concatenating the hash values of channels making up the column.

Only requests for the NYUHome main page (served by ISng.pl) were logged, which is responsible for all five of the tags, content for most of the channels, and response assembly. Requests for embedded images are served by another machine, as is the content for two hot channels: Email and Albert. The file operations required for logging contribute negligible overhead when compared to the total request processing time.

The SHA-1 hash values permit efficient computation of the change frequency of each channel and each tab: with extremely high probability, different content in the channel results in different hash values. The overhead of computing the hash itself is three orders of magnitude less than the user-perceived request latency (several seconds); on a 399 MHz Sun E10000 system, the overhead of calculating a SHA-1 hash of a 10KB page is 0.30 milliseconds.

To estimate client-perceived request latency, we augmented each response to add a link to a blank pixel at the beginning of each document. When the client receives this reply, its browser will send out another request for this pixel. Recording the arrival time of this follow-on request and distinguishing it from the first request (using the name of the document being requested) gives us three timestamps:  $T_2$ , the time the first request arrives at the server;  $T_3$ , the time the response leaves the server; and  $T_5$ , the time the follow-on request arrives. Figure 3 shows these timestamps in the context of the overall request-response timeline between the client and server. Assuming that the TCP connection establishment overheads are similar for both requests,<sup>2</sup>the collected timestamps allow us to estimate client-perceived latency,  $T_4 - T_1$ , as the time interval  $T_5 - T_2$ . Additionally, this interval can be divided into two components: server processing time ( $T_p = T_3 - T_2$ ) and network transfer time ( $T_n = T_5 - T_3$ ). Assuming that the response time dominates network costs, this decomposition allows us to correlate document content characteristics with observed costs.

The combination of user ID information (instead of inferring it from client IP address), detailed information about document composition, and the above estimation of client-perceived latency distinguish our log format from traditional web server logs. Additionally, timestamps are at microsecond resolution, which is more accurate than any publicly available server log formats, such as CLF or ECLF [24].

# 4 Trace Analysis

We start by presenting the overall characteristics of the trace and then analyze it from three perspectives: document composition, personalization and user behavior, and request processing overhead and latency.

### 4.1 Overall Characteristics

Table 1 lists the aggregate statistics from the data we collected during the two-week period — the number of requests, the number of users who generated these requests, the number of IP addresses used, the number of sessions that requests fell into, and the total number of bytes transmitted as responses. The number in the parenthesis refers to the data bytes, the rest can be viewed as carrying layout and formatting information. The total number of users who accessed NYUHome during the two-week period (27,576) represent 62% of the registered users.

<sup>&</sup>lt;sup>2</sup>This assumption is true for NYUHome, which disables HTTP 1.1 persistent connections for reasons explained later in the paper.

Total # of requests	Total # of users	Total # of IP addresses	Total # of sessions	Total # of bytes (Mbytes)
643,853	27,576	73,119	520,408	6,533 (2,434)

Table 1: Aggregate statistics of the NYUHome log from 02/13/2002 to 02/28/2002.

Figure 4(a) shows that, on average, NYUHome is accessed each day by 13,000 users during weekdays and 9,000 users during the weekend. On average, NYUHome received **1706** requests an hour: Figure 4(b) shows the minimum and maximum requests received during the same hour over the two-week period. Figure 4(c) shows the cumulative distribution of the inter-request arrival interval. Using the  $\chi^2$  method as the goodness-of-fit [15] measure,<sup>3</sup> we found that this distribution is captured very well by an *Exponential* distribution with  $\lambda = 0.526$ , suggesting a Poisson arrival process. This observation seemingly conflicts with that from previous studies of web servers [6] and telnet sessions [33], where it was found that the aggregate reference stream is not Poisson. Note however, that in terms of *busy documents* defined in [6] (a document referenced at least 50 times in a one-hour interval), the arrival process was indeed found to be Poisson. We ascribe our observation to the fact that given the small number of documents accessible from the NYUHome site, and the large number of users, each document logged in the trace corresponds to a *busy* document.

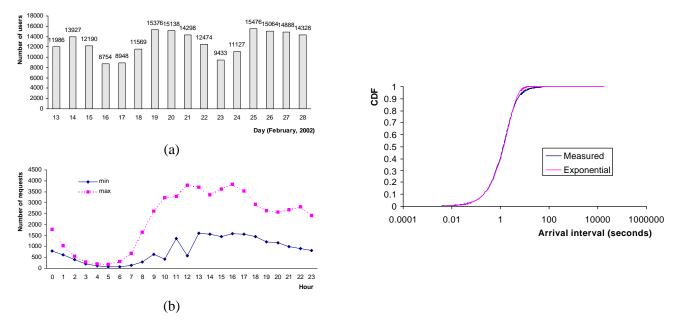


Figure 4: (a) The number of users who access NYUHome each day; (b) the number of requests arriving each hour; and (c) the inter-request arrival interval distribution.

The total number of unique IP addresses (73,119) indicates that on average, each user ID is associated with 2.65 different IP addresses. To understand where these requests come from, we classified the source IP addresses into five categories based on their point of origin: the NYU main campus, NYU Dialup (for phone modem connections), NYU-Resnet installed in student dormitories, NYU overseas campuses (at London and Florence), and other third-party ISPs. Figure 5 shows the distribution of IP addresses, and the corresponding number of requests that originate from each category. Although NYU machines contribute to only 17% of the IP addresses, they are responsible for 69% of all requests. The 60,688 IP addresses (83%) that fall outside NYU control represent varied connection op-

<sup>&</sup>lt;sup>3</sup>The Anderson-Darling ( $A^2$ ) test was also used in our analysis, however, the results of the  $A^2$  test showed no significance in terms of goodness of fit for large amounts of data, which is a common problem of  $A^2$  [9, 32]. The  $\chi^2$  method is used for goodness-of-fit test in the rest of the paper.

tions and correspond to administrative systems worldwide. Using the network-aware clustering technique proposed in [25], these IP addresses can be grouped into 4183 network clusters, where 109 clusters have more than 50 IP addresses and 60 clusters have more than 100 IP addresses. In Section 4.4, we correlate the measured client-perceived latency with the IP address category a request corresponds to.

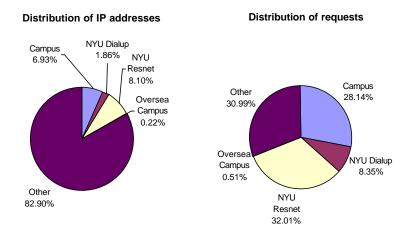


Figure 5: Distribution of IP addresses across five categories and the corresponding breakdown of request traffic.

## 4.2 Document Composition

To understand the characteristics of documents that were generated in response to client requests, we analyzed the properties of component tabs and individual channels.

**Tabs** Table 2 lists the number of requests to each tab, the number of users who accessed the tab, and the average number of requests per user (the ratio of the first two values). 90.1% of requests are for the default HOME tab. Of the requests to the other four tabs, 50% of requests from 7,148 users are for the ACADEMICS tab, which includes the course system Albert. On a per-user basis, after the HOME tab, the NEWS tab is the next popular. Table 2 also shows the average size of the document generated in response to a tab request, and the fraction of the response bytes taken up by layout (between 30% to 66%).

Tab	HOME	ACADEMICS	RESEARCH	NEWS	FILES
Number of requests	598,585 (90.1%)	32,229	9,873	15,595	7,927
Number of users	27,576	7,148	3,584	2,988	2,200
Requests per user	21.70	4.50	2.75	5.22	3.60
Average tab size	10024.65	7048.05	13374.59	26810.22	7052.34
Average template size	6611.18	3938.73	4169.09	9080.06	2506.19

Table 2: The number of requests to different tabs and corresponding number of users who are interested in these	
tabs.	

**Channels** Figure 6 shows, for each channel, the number of requests that involve the channel. Since the number of requests to channels in the HOME tab are an order of magnitude more than those to channels in other tabs, we show the two sets in separate figures. The figures also show the percentage of total document bytes contributed to by the channel. Variations in the number of requests for channels that belong to the same tab, particularly prominent in the NEWS tab, are a direct result of personalization.

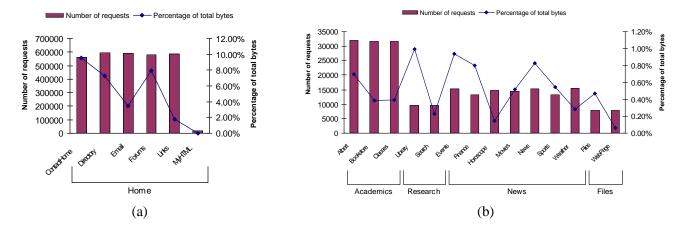


Figure 6: Distribution of the number of requests to different channels in the (a) HOME tab; (b) other tabs.

Figure 7(a) shows the cumulative distribution function (CDF) of the distribution of channel size, where the Xaxis is in log scale. From the figure, we see that the sizes of 70% of channels are less than 1000 bytes, and 99% are less than 3000 bytes. We found the channel sizes are best modeled using a *Weibull* distribution (with CDF  $F(X) = 1 - e^{-(0.0012x)^{1.6}}$ ). This observation is in agreement with a previous study where we looked at object sizes in dynamic documents downloaded from six news and e-commerce web sites [34]. It is interesting to compare this distribution with the overall document-size distribution in Figure 7(b). The latter shows that 70% of the documents lie in a very small range between 9,725 and 10,688 bytes. The popularity of the HOME tab and the fact that the layout accounts for a sizeable fraction of the overall document size explains this phenomenon.

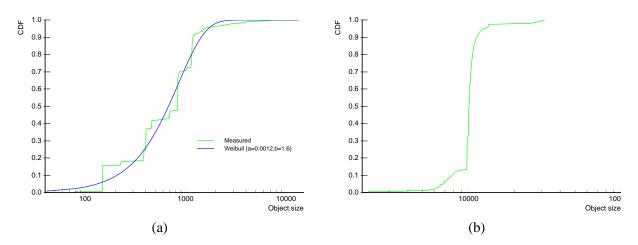


Figure 7: The cumulative distribution function of: (a) channel sizes, and estimated Weibull distribution, and (b) document sizes.

Table 3 lists average, minimum, and maximum sizes of all the channels, by decreasing order of average size. Also shown is the number of distinct hash values generated for the channel content, both during the whole period and during the busiest day (February 25). The number of distinct hash values for a channel indicate how sharable a channel is, dependent both upon its time-to-live (TTL) and the nature of its content. For instance, channels such as Library, Search, and Bookstore are essentially unchanging over the entire duration of the trace; channels such as Events, Movies, Sports, and News are sharable and change only infrequently (at most a few times a day); channels such as Horoscope, Weather, and even Classes, are not sharable, but given reasonable-sized client populations are in fact shared. Finally, channels such as Email, ContactHome, Albert, and Directory

are truly personalized (the last three because of per-user history) as reflected by their large number of distinct values.

It is interesting to compare the unique hash values of the latter personalized channels with the total number of requests seen for the corresponding channels and the number of requests seen for the corresponding tab (Figure 6 and Table 2). The close correspondence (e.g., 396,892 values for the Email channel compared to its 595,585 requests) indicate that a large fraction of the requests for the tab may in fact be related to a desire to track changes in the contents of the channel in consideration.

Channel	Average size	Minimum size	Maximum size	# of distinct	# of distinct
	(byte)	(byte)	(byte)	hash	hash (02/25)
Library	6860.3054	2672	6887	3	2
Events	4254.8327	217	6391	19	1
Files	4074.6542	63	26064	7309	508
Finance	4061.5146	68	4267	59	7
News	3648.8510	849	3965	406	28
Sports	2777.3233	68	2940	256	20
Movies	2432.0277	68	2524	41	5
Search	1572.9772	1565	1573	7	4
Albert	1482.0000	1482	1482	30020	1879
Weather	1251.1674	41	3814	467	32
ContactHome	1158.8461	1102	2010	163808	20527
Forums	934.4364	140	36778	10038	5631
Classes	845.0027	214	11204	2135	641
Directory	835.5847	833	838	27273	14055
Bookstore	829.6047	800	845	4	1
Horoscope	663.6914	366	884	221	19
WebPage	522.9972	497	540	2240	275
Email	398.2748	303	840	396892	32343
Links	206.4090	79	3860	1999	1078
MyHTML	128.8586	80	2393	346	174

Table 3: The size information of channels, including average, minimum, and maximum sizes, and the number of distinct values of channels during the whole period and the busiest day (02/25/2002).

#### 4.3 User Behavior and Personalization

To understand the behavior of a particular user (associated with a particular user ID), we examined session statistics, client popularity, and personalization characteristics.

**Sessions** Figure 8(a) shows the cumulative distribution function of the number of requests per session (defined as the requests accompanied by the same session key). 82.85% of sessions contain one request only, for the HOME tab. For sessions with multiple requests, Figure 8(b) shows the inter-request arrival interval. The x-axis in the figure uses a log scale in order to show the full range of values. The mean inter-request time is 492.7 seconds, the median is 92.9 seconds, and 14% of the request intervals are larger than 15 minutes (900 seconds). Such relatively long inter-request intervals are the reason NYUHome has disabled HTTP 1.1 persistent connections.

The concept of inter-request interval within a session is very similar to that of the "Inactive OFF" time between successive requests; however, our observation differs from previous studies that have characterized OFF times using a heavy-tailed Pareto-like distribution [9, 13]. In contrast, we find that the session inter-request intervals are captured best by a *Lognormal* distribution with  $\mu = 4.5$ ,  $\sigma = 2.2$ , without a heavy tail. We ascribe this difference to the typical behavior of users in regards to a single personalized portal site, where a user may spend time on pop-up windows

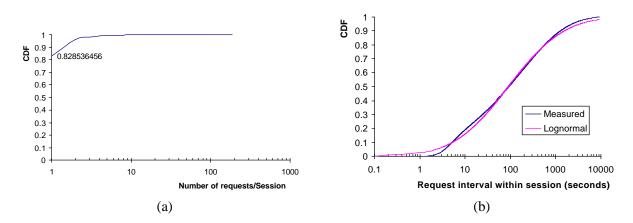


Figure 8: The cumulative distribution function of (a) the number of requests per session, and (b) the inter-request interval within a session.

(such as checking e-mail), before going back to click other tabs.

**Client Popularity** Figure 9 shows the cumulative distribution function of the number of requests per user: 57% of the users send less than 15 requests during the two-week period (one request/day on average), however, 5% of users send more than 90 requests (six requests/day on average). As in [5], we studied the relationship between the rank of users (based on the number of requests he or she issues) and the corresponding number of requests. Users who issue the most number of requests are assigned rank 1. If client popularity follows a Zipf-like distribution, the log scale plot should appear linear with a slope near  $-\beta$  [8]. Figure 9(b) shows that the popularity of clients does follow a Zipf-like distribution for the top 2000 users with  $\beta = 0.35$ , but does not fit as well for users who issue fewer than 50 requests over the two-week period.

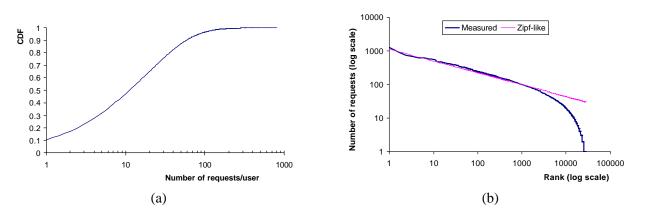


Figure 9: Concentration of the number of requests from client perspective: (a) cumulative distribution function of the number of requests per user; (b) client popularity (request count vs. rank).

**Personalization** To understand how many users personalize their NYUHome pages and how, we calculated the distinct channel combinations (including both channel selection and layout options) for each tab, and then counted the distinct number of users who used a particular channel combinations. The relative statistics are shown in Figure 10. The pie graphs in Figure 10(a) show the comparison between the percentage of users who use the default channel selection and layout and those who personalize one and/or the other. With the exception of the ACADEMICS tag, there was significant customization. The numbers are also likely to have been biased towards the lower end by the fact that a significant number of users likely use the HOME tab only to check their e-mail.

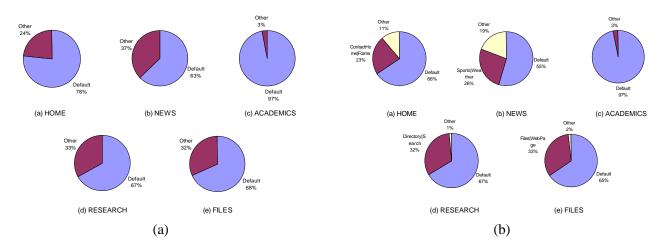


Figure 10: Effect of personalization: (a) the percentage of users who use personalization functionality; (b) the distribution of the number of requests to different channel combinations of each tab.

Figure 10(b) shows that the number of requests that are targeted to these different channel combinations are compatible with the user fractions. More interesting is the observation that in the four tabs where personalization occurs, a significant percentage of the requests are for a channel combination that differs from the default only in its layout, not in the set of channels. To take an example, for the NEWS tab, 26% of requests are for the channel combination which exchanges the position of the Sports and Weather channel with respect to the default.<sup>4</sup> Another statistic that does not come across in the graphs is users are interested in other forms of personalization: 19% of the requests for the NEWS tab involve 40 channel combinations different from either the default or the simple layout exchange.

An additional observation pertains to how frequently users change their personalization preferences. In our two-week trace, this number was relatively small: 1090 of the 27,576 users changed their preferences at least once. While we expect these numbers to be somewhat higher were the trace to cover a different period (e.g., the start of the academic year when there is an influx of new students), overall we believe there is a tendency for "sticky" preferences, similar to the observation made in the context of MyYahoo! [27].

#### 4.4 Request Processing Cost and Latency

Table 4 shows, for the overall trace as well as for individual tabs, the average, minimum, and maximum values of the per-request processing time,  $T_p$ , and network transfer time,  $T_n$ , computed as described in Section 3. The lower half of Table 4 lists the network transfer times for the five categories of IP addresses identified in Section 4.1.

**Processing Overhead** The average server processing overhead across all of the requests is 1.41 seconds; 28% of requests incur overheads larger than this value, and 14% of the requests incur overheads larger than 2 seconds. To understand whether there is a relationship between server load and request processing overhead, we looked at the average processing time seen by requests on the least busy (02/16) and the busiest day (02/25) in our trace. Figure 11 shows the processing overhead and number of requests received by the server on an hourly basis on these two days. We conclude that the average processing time is independent of load,<sup>5</sup> and reason that that NYUHome server is operating far below it's planning capacity most of the time. Consequently, the high server processing overheads represent inherent overheads associated with dynamic generation of personalized content.

<sup>&</sup>lt;sup>4</sup>This can possibly be explained by the fact that by default, the user would have needed to scroll down to see the weather information.

<sup>&</sup>lt;sup>5</sup>The bursts at 3:00am on 02/16, 12:00pm on 02/25, and 1:00pm on 02/25 occur because of backup operations and a restart of the session manager respectively.

Tab	Average $T_p$	$\operatorname{Min} T_p$	Max $T_p$	Average $T_n$	$\operatorname{Min} T_n$	Max $T_n^a$	Throughput (KB/sec)
Total	1.41	0.05	487.75	2.45	0.002	19.74	30.24
HOME	1.44	0.05	487.75	2.51	0.01	20.29	29.93
ACADEMICS	0.66	0.06	45.39	1.61	0.01	12.43	19.30
RESEARCH	0.48	0.06	23.31	2.07	0.04	12.21	31.76
NEWS	1.92	0.06	224.01	1.73	0.03	14.16	67.62
FILES	2.07	0.05	46.19	1.73	0.04	12.32	19.17
Campus		—		1.35	0.004	9.35	38.54
NYU Dialup		—		7.43	0.9	42.19	2.26
NYU-Resnet		—	—	1.02	0.002	8.15	43.02
Overseas		—	_	2.24	0.34	9.50	8.35
Others				3.92	0.01	28.51	17.38

<sup>*a*</sup>Max  $T_n$  refers to the 99th percentile value: a small fraction of requests involve file and web page upload/download and can incur transfer times of several minutes.

Table 4: The average, minimum, and maximum values of server processing overhead and network transfer time, and the average throughput.

To understand the primary contributors for this processing overhead, we computed the correlation coefficient between the overhead and the number of channels in and the overall document size of the response. We found a strong correlation, 0.98, between the number of channels and the processing overhead, which explains the lower average  $T_p$  values for the ACADEMICS and RESEARCH tabs. On the other hand, the correlation coefficient for the relationship between document size and processing overhead achieved a value of 0.044, indicating the lack of any significant correlation between the two.

Based on a simple model for processing overhead involving the number of channels and three types of perchannel cost —  $t_c$  for obtaining content from a cache,  $t_g$  for generating the content synchronously, and  $t_a$  for assembling the content into a document — we end up with the following relationships:  $t_g + t_a = 0.523s$  and  $t_c + t_a = 0.329s$ . Thus, generating a channel synchronously incurs an additional average overhead of about 0.2 seconds.

**Transfer Time and Throughput** The average network transfer time was observed to be 2.45 seconds, with 27% of the requests resulting in larger times and 15% of the requests spending more than 5 seconds in the network. Looking at throughput, defined as the ratio of document size and the network transfer time, we find a mean value of 30 KB/s and a median value of 13 KB/s. Both network transfer time and throughput are captured well by the *Lognormal* distribution with  $\mu = 0.005$ ,  $\sigma = 1.55$  (transfer time) and  $\mu = 9.35$ ,  $\sigma = 1.6$  (throughput) respectively. Our finding of throughput coincides with earlier observations made by Balakrishnan et al. using traces from the 1996 Atlanta Summer Olympic Games web server [7].

To identify the primary contributor to network transfer time, we again computed the correlation coefficient between transfer times and document sizes. The result, -0.0031, reveals that in general there is no clear correlation between the two. A stronger correlation was observed when we separated out the network transfer time based upon the category of IP address a particular request belongs to. The five categories from Section 4.1 correspond to two (Campus and NYU-Resnet) with good LAN-like connectivity, one (Overseas) with WAN-like connectivity, one (NYU Dialup) with phone modem connectivity, and the remaining (Other ISPs) that correspond to varied connectivity options ranging from ADSL, cable modems, to phone modems. As one might expect, the faster connectivity options result in lower transfer times and better throughput, while the slower connectivity options see degraded performance. On average, users who access NYUHome using phone modems (NYU-Dialup) encounter five times the network transfer time and 1/20th the throughput of those accessing NYUHome from campus.

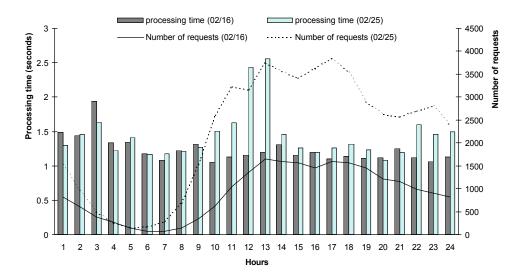


Figure 11: Processing overhead comparison between the least busy (02/16) and busiest (02/25) day.

# **5** Implications for Dynamic Content Caching and Delivery

The analysis of the NYUHome traces points to both the need to improve delivery of personalized content, and the opportunity for leveraging various solutions at the server-side and on surrogates and proxy caches to address this need. We discuss these implications below:

#### 1. Need for efficient delivery of personalized content

Our study has shown that six years after the introduction of the concept of personalization, a substantial fraction of users are using the concept — 30% in our case, and larger if one accounts for the fact that a large fraction of NYUHome users use it as their e-mail server. However, this situation comes at the cost of increased server overheads that are several times larger than that seen for static content or even non-personalized dynamic content, and larger network transfer latencies. Together, these contribute to client-perceived latencies of several seconds.

#### 2. Effectiveness of server-side fragment caches

Although NYUHome relies on simple fragment-based caches, our observations show that these by themselves are not sufficient to reduce per-request processing overheads. Accessing the cache and assembling the content incurs per-channel overheads of about 0.33 seconds (see Section 4.4). Generating channel content incurs an additional per-channel overhead of 0.2 seconds.

More efficient server-side caching schemes such as DUP [11, 12] are likely to yield better performance, as are schemes which cache partial responses in addition to per-channel content and can use these to incrementally construct the full response. The latter are particularly well-suited for requests that refer to one or more default selection and layout of channels. As we found in Section 4.3, a significant fraction of all personalization takes the relatively simple form of only layout modification.

#### 3. Potential for and likely benefits from using the object composition technique

Object composition techniques advocate caching of channel content at surrogates and proxy caches; requests from clients are forwarded to servers only to download missing channels, which are then assembled into a response sent back to the client.

We observe that among the eleven NYUHome channels with average length larger than 1 KB (see Table 3), six of them — Library, Events, News, Sports, Movies, and Weather — are completely sharable; one — Finance — has a large portion that is sharable; and one more — Weather — although not completely sharable can be effectively shared amongst users that share the same zip code. Combined with the fact that over 60% of

requests refer to the default layout of a tab (see Figure 10), and that the tab layout template, which can be cached as well, contributes to anywhere from 30% to 66% of the transmitted bytes (see Table 2), the object composition technique can yield significant bandwidth savings and reduction in server processor overheads.

Taking the HOME tab as an example, let's examine the potential bandwidth savings by downstream proxy caches. The average size of this page is 10,000 bytes, and it includes 5 channels: Email (398), Contact Home (1158), Directory(835), Forums (934), and Links (206). The number in the parenthesis refers to the average size of each channel in bytes and is taken from Table 3. The size of the layout template is 6,469 bytes (calculated by subtracting the sum of channel sizes from the total page size). Assuming a request is received and authenticated at a proxy cache, which has already cached the template and four channels except the Email channel, what the proxy cache needs to do is send a request for the latter to the server. As such, the number of bytes that must be transmitted between the NYUHome server and the proxy cache reduces from 10,000 to 398 (96% are saved).

Additional savings are possible by redesigning tab layouts so as to separate out sharable channels from those that are truly personalized. As observed in Section 4.2, the large number of HOME tab requests and its correspondence with the number of distinct hash values seen for the Email and ContactHome channels seems to suggest that users may be loading the tab primarily to track changes in the contents of the personalized channels. Modifying the tab layouts can help avoid the need to transmit unnecessary channels.

#### 4. Benefits from proxy prefetching and/or server pushing

Although several NYUHome channels are sharable, a sizeable fraction do refer to truly personalized content, and therefore do not benefit from caching of channel content at surrogates or proxy caches. More suitable solutions for channels such as Email, ContactHome, Links, and Forums, involve either the proxy cache prefetching the content from the server or the server pushing the content upon detecting an update.

Such eager propagation of content can avoid unnecessary downloads — a large fraction of the difference between the total number of requests involving the Home tab and the number of distinct hash values for the Email channel likely fall into this category. Additionally, as seen in Figure 8, the interval between successive requests in a session is large enough (on the order of several minutes) to permit use of sophisticated prefetching policies.

#### 5. Benefits from predicting access patterns

To allow prefetching schemes such as the ones described above to be practically employed in personalized web sites, the conflicting demands of personalization and prediction need to be reconciled. In other words, for prefetching to be successful, we need to predict access patterns of individual users, which is likely to result in prohibitive space and time overhead. Fortunately, the Zipf-like distribution of client popularity (see Figure 9(b)), which indicates that a small number of users are responsible for most of the requests, suggests a solution to this problem. By focusing on predicting the access patterns of only the users who make the most requests, overheads of collecting and exploiting access pattern information can be made manageable.

#### 6. Need for migrating channel generation functionality to edge servers

Server overheads for request processing, observed to be strongly correlated to the number of channels in the document, can be reduced by offloading channel content caching and content assembly to proxy caches. However, as we find in Section 4.4, generating channel content incurs an additional per-channel overhead of 0.2 seconds, implying that additional improvements are possible by shifting channel generation functionality downstream as well. Clearly, this choice needs to be traded off against the cost of maintaining consistency between the state at the server and that at edge servers. For channels such as Classes and Forums, generated from read-mostly data, migration of the channel generation code may be an attractive option.

#### 7. Need for customizing content based on network connection characteristics

The network transfer times reported in Table 4 show that there are wide variations in the latencies seen by different groups of IP addresses based on their connectivity characteristics. To provide a uniform user experience across multiple device types and network connections, one might imagine defining different default layouts and channel content for each class of device or network. Taking the example of the NEWS tab and NYU-Dialup users, the

latency perceived by clients can be lowered by reducing the number of channels in the tab, by reducing the amount of content in each channel (e.g., the News channel can incorporate fewer headlines), and by changing the formatting to reduce the fraction of document bytes devoted to defining the tab layout template.

## 6 Related Work

Web workload characterization has been extensively studied in the past five years from the perspective of proxies[10, 16, 38, 40], client browsers[2, 8, 13, 14, 22], and servers[5, 6, 27, 28]. However, many of these studies focus on the characteristics of web resources at the granularity of the whole document and leverage existing server and/or proxy logs. Many of these previous research results accurately capture the characteristics of static web content. However, for dynamic and personalized web content which introduces the notion of channels, many of these characteristics need to be revisited. Moreover, personalized content necessitates understanding of new characteristics, such as the change frequency of user preferences, the number and sizes of channels making up a document, the freshness times of these channels. To the best of our knowledge, the work described in this paper is one of the first efforts trying to model these latter characteristics for a personalized web site.

The first user experience analysis of a personalized web site was done by Manber et al. on Yahoo! [27]. In that paper only general information and some high level implications for the design of personalized web sites were presented. However, in our study, the detailed characteristics of personalized web site a presented, and several implications for dynamic content caching are proposed too.

The studies of MSNBC news site [30] and a large shopping site [5] are closely related to our effort. In [30], Padmanabhan and Qiu analyzed the dynamics of both the server content and client accesses made to the server by analyzing the standard HTTP logs from the web site. Most recent work by Arlitt et al. [5] focus on characterizing the scalability of a large web-based shopping system. Although our study share the similar motivation as these two previous work, however, workload characterization of a personalized web site is the focus of this paper. Furthermore, different from their scenario, we have access to the source code of the server, which allows us to get more information, such as client request distribution for each channel, processing overhead, network transfer time, and channel size distribution, which are not available in regular server logs that use CLF or ECLF. Therefore, we think our study complements to these previous efforts.

By proposing two specific splitting techniques, Shi et al. in [34] modeled the object characteristics, such as the distribution of freshness time and the distribution of object size, by analyzing the content from several dynamic news Web sites and e-commerce sites, which is complementary to this work. In this paper, we analyze the characteristics of channels which is an ideal candidate for object composition techniques.

Though there are several previous research paper on the performance of the web server [4, 19, 21, 23, 31, 37], our work differs from them in that the overhead of dynamic web content generation and related network transfer time are studied.

# 7 Summary and Future Work

In this paper, we have presented the analysis of a medium-sized personalized web site NYUHOme using the instrumenting logs on February 2002. In addition to a detailed study of characteristics of NYUHome, we also present several implications derived from these observations. The main implications include: (1) Personalization functionality is increasingly being accepted, and traditional caching and prefetching schemes need to be revisited due to the specific characteristics of personalized content; (2) There is a need for and substantial likely benefits from applying object composition techniques for personalized content; (3) Both server load and client-perceived latencies can be further reduced by prefetching the content of a small number of personalized (non-shared) channels, which can be achieved in a practical fashion by identifying only a small group of clients because of the Zipf-like distribution of client popularity and personalization behaviors; (4) Client-perceived request latencies can be made more uniform by specializing the document layout and content, using transcoding, to the network connection employed by the client.

Our next step and future work includes integrating these implications into CONCA prototype, and cooperating with NYUHome team to accelerate the delivery of their content.

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