

# CSCI-GA 3520: Honors Analysis of Algorithms

Final Exam: Thu, Dec 20 2018, Room WWH-101, 10:00-2:00pm.

- This is a four hour exam. There are six questions, worth 10 points each. Answer all questions and all their subparts.
- This is a closed book exam. No books, notes, reference material, either hard-copy, soft-copy or online, is allowed.
- In the past years, to pass this exam, one needed to answer 3 or 4 problems well, instead of answering all the problems poorly.
- Please print your name and SID on the front of the envelope only (not on the exam booklets). Please answer each question in a separate booklet, and number each booklet according to the question.
- Read the questions carefully. Keep your answers legible, and brief but precise. Assume standard results and algorithms (i.e., those taught in class or referred to in the homeworks).
- **You must prove correctness of your algorithm and prove its time bound unless stated otherwise. The algorithm can be written in plain English (preferred) or as a pseudo-code.**

Best of luck!

### Problem 1 (Graphs are undirected)

In this problem, we will study an algorithm to find the minimum spanning tree in a graph that is different from the one presented in class. Let  $G(V, E)$  be a connected undirected graph and  $\text{wt}(e) \geq 0$  denote the weight of an edge  $e \in E$ . The algorithm proceeds as below. Here  $S \subseteq V$  is a set that grows larger as the algorithm proceeds and  $T_S$  is a graph on the vertex set  $S$ . All the edges of  $T_S$  are from  $E$ .

1. Initialization: Let  $S = \{v\}$  where  $v \in V$  is an arbitrary vertex and let  $T_S$  have no edges.
2. Let  $e$  be the edge with minimum weight *among* the edges in  $E$  whose one endpoint is in  $S$  and the other endpoint is in  $V \setminus S$ . Call the endpoints of  $e$  in  $S$  and  $V \setminus S$  as  $u$  and  $w$  respectively.
3. Add  $w$  to  $S$  and add the edge  $e = (u, w)$  to  $T_S$ .
4. Stop if  $S = V$ . Otherwise repeat Steps (2) and (3).

You need to show the correctness of this algorithm. Do not worry about the running time.

- (a) Show that  $T_S$  is a tree on the set of vertices  $S$  throughout the execution of the algorithm.
- (b) Show that when the algorithm stops,  $T_S$  is a minimum spanning tree of  $G$ .

*Hint: Induction? Replacement argument?.*

### Problem 2 (Graphs are directed)

Let  $G(V, E)$  be a directed graph whose vertices are colored red or blue. A  $t$ -alternating walk is a walk (i.e., a path where vertices could repeat)  $v_0, v_1, \dots, v_k$  that has at least  $t$  color transitions, from red to blue or vice versa. Assume  $1 \leq t \leq |V|^2$ .

- (a) If  $G$  is acyclic, design a  $O(|V| + |E|)$  algorithm to decide whether  $G$  has a  $t$ -alternating walk.
- (b) Now let  $G$  be a general directed graph (not necessarily acyclic). Design a  $O(|V| + |E|)$  algorithm to decide whether  $G$  has a  $t$ -alternating walk.

Assume adjacency list representation of graphs.

### Problem 3

Let  $x$  denote a string of length  $n$  over the 4-symbol alphabet  $\{A, C, G, U\}$ . For example,

$$x = AGCUUCGAU, \quad n = 9.$$

For an index  $1 \leq i \leq n$ , let  $x_i$  denote the  $i^{\text{th}}$  symbol of  $x$ . A *folding* of the string  $x$  is a set  $\mathcal{E}$  of unordered pairs of indices from  $\{1, \dots, n\}$  satisfying the following.

1.  $\mathcal{E}$  is a matching, i.e., no index  $i$  appears in more than one pair in  $\mathcal{E}$ .
2. For all  $(i, j) \in \mathcal{E}$ ,  $(x_i, x_j)$  is either  $(A, U)$ ,  $(U, A)$ ,  $(C, G)$  or  $(G, C)$ . I.e., pairs can only connect  $A$  with  $U$  and  $C$  with  $G$ .
3. For all  $(i, j) \in \mathcal{E}$  with  $i < j$ , it holds that for all  $(k, \ell) \in \mathcal{E}$ , either both  $k, \ell$  are in the interval  $\{i + 1, \dots, j - 1\}$  or both are outside the interval  $\{i, \dots, j\}$ . I.e., the matching is *non-intersecting*.

For example, if  $x = AGCUUCGAU$ , a possible folding is given by  $\mathcal{E} = \{(1, 9), (2, 3), (5, 8), (6, 7)\}$ . Design a polynomial time algorithm that given a string  $x$  finds a folding of maximum cardinality.

### Problem 4 (Graphs are undirected)

Let  $G(V, E)$  be an undirected graph. For a subset  $S \subseteq V$  of vertices, its neighborhood  $\text{Nbd}(S)$  is defined as

$$\text{Nbd}(S) = \{v \mid v \in S \text{ or } (u, v) \in E \text{ for some } u \in S\}.$$

An  $n$ -vertex graph is called an *expander graph* if for every subset of vertices  $S \subseteq V$ ,  $1 \leq |S| \leq \frac{n}{2}$ , we have  $|\text{Nbd}(S)| \geq \frac{11}{10} \cdot |S|$ . The goal is to show that the diameter of an expander graph, i.e., the maximum distance between any pair of vertices, is  $O(\log n)$ . Assume therefore that  $G$  is an expander graph. For a vertex  $s$  and an integer  $j \geq 0$ , let  $D_j(s)$  denote the set of all vertices whose distance from  $s$  is at most  $j$ .

- (a) Show that for every vertex  $s$ , and any  $j \geq 0$ , we have  $|D_j(s)| \geq \min\left(\left(\frac{11}{10}\right)^j, \frac{n+1}{2}\right)$ .
- (b) Show that for every two distinct vertices  $s$  and  $t$ , the distance between  $s$  and  $t$  is at most  $C \log_2 n$ , where  $C$  is a constant that does not depend on  $s$  or  $t$  or  $n$ .

### Problem 5 (Graphs are undirected)

Construct an undirected graph  $G(V, E)$  at random as follows. Let  $V$  be a set of  $n$  vertices. For each pair of distinct vertices  $u, v \in V$ , let  $(u, v) \in E$  with probability  $\frac{1}{2}$ , independently for all vertex pairs. That is, for each vertex pair  $(u, v)$ , the pair is included as an edge with probability  $\frac{1}{2}$  and left out with probability  $\frac{1}{2}$ , independently for all vertex pairs.

We intend to analyze the size of the largest independent set in this random graph. Recall that an independent set is a subset of vertices with no edge amongst them. For a subset  $S \subseteq V$ ,  $1 \leq |S| \leq n$ , let  $\mathcal{E}_S$  be the event that  $S$  is an independent set.

- (a) What is  $\Pr[\mathcal{E}_S]$ ?
- (b) For  $1 \leq k \leq n$ , let  $\mathcal{D}_k$  be the event that  $G$  has an independent set of size  $k$ . Can you express  $\mathcal{D}_k$  in terms of the events  $\mathcal{E}_S$ ?
- (c) Can you provide an upper bound on  $\Pr[\mathcal{D}_k]$  as a function  $k$ ?
- (d) What is the smallest value of  $k$  for which you can show that  $\Pr[\mathcal{D}_k] \leq \frac{1}{100}$ ?

The desired value of  $k$  should be in terms of number of vertices  $n$ . Give the smallest value you can. It is enough to be correct up to a constant factor.  $n$  can be thought of as sufficiently large.

### Problem 6 (Graphs are undirected)

Recall that a vertex cover in an undirected graph is a subset of vertices that touches every edge. Consider the following language:

$$L = \left\{ G \mid G \text{ is an undirected graph with } n \text{ vertices and has a vertex cover of size at most } \frac{n}{4} \right\}.$$

Show that  $L$  is NP-complete. You can assume NP-completeness of any of the problems discussed in class.