

and so 24 becomes

$$-\ln \Pr[A_{t+dt}^*] > -\ln \Pr[A_t^*] + \frac{1}{2} \binom{k}{2} n^{-1/2} [f(t) - \frac{2L}{c}] dt \quad (38)$$

As  $\Pr[A_0] = 1$ , this gives a bound for  $-\ln \Pr[A_c^*]$  by taking steps of  $dt$ . Letting  $dt \rightarrow 0$  (or be an “infinitesimal”) gives

$$-\ln \Pr[A_c^*] > \frac{1}{2} \binom{k}{2} n^{-1/2} \int_0^c [f(t) - \frac{2L}{c}] dt = 4L \binom{k}{2} n^{-1/2} \quad (39)$$

That is,

$$\Pr[A_c^*] < e^{-2Lk^2 n^{-1/2}} = (n^{-2Lc})^k \quad (40)$$

which is certainly  $o(n^{-r})$ .

Reintroducing  $S$  as a parameter we have  $\Pr[\vee_S A_c^*(S)] = o(1)$ . Thus, recalling 36,

$$\Pr[\vee_S A_c(S)] \leq \Pr[\vee_S A_c^*(S)] + \Pr[\vee_S W_c(S)] = o(1) \quad (41)$$

But  $\neg A_c(S)$  is the event that  $IND$  returns Yes and that implies that  $S$  is not independent in  $G_c$ . Thus  $\wedge_S \neg A_c(S)$  implies  $\alpha(G_c) \leq k$  giving 2 and hence the Ramsey bound 3.

and if this occurs

$$\begin{aligned}
\sum \binom{X_i^*}{2} &= \sum_{u=L}^U (Z_u - Z_{u-1}) \binom{u}{2} \\
&\leq \sum_{u=L}^{U-1} (u-1)Z_u + Z_U \binom{U}{2} \\
&= O(n^{.5}k) + O(n^{.5}k) = O(n^{.5}k)
\end{aligned} \tag{35}$$

□

In our case the  $\deg(v)$  for  $v \notin S$  have precisely these independent distributions  $X_v$ . As  $n^{.5}k = o(k^2)$

$$\Pr[|VEE| \geq .4 \binom{k}{2} \wedge \neg W] = o(n^{-r})$$

and so  $\Pr[W(S) \wedge \neg W] = o(n^{-r})$  and

$$\Pr[\vee_S W(S)] \leq \Pr[W] + \sum_S \Pr[W(S) \wedge \neg W] = o(1) \tag{36}$$

Indeed, we could have strengthened  $W_t$  to require  $|UNEX| = o(k^2)$ .

## 5.6 Putting It All Together

The probability of generating a particular representation of a tree  $T$  is

$$\sim n^{-r} \int_{\Gamma} e^{-c^2 - y_1^2 - \dots - y_{2r}^2} dy_1 \dots y_{2r}$$

The  $n^{-r}$  factor is cancelled by the number  $\sim n^r$  of possible representations, giving 31.

We have selected  $D$  so that  $\sum f(T, t)$  over all  $T$  with at most  $D$  edges is at least  $1 - \frac{L}{c}$ . Thus the sum over all such  $T$  whose root survives is at least  $f(t) - \frac{L}{c}$ . Conditioning on the birth of  $e$  at time  $t$  for each such  $T$  the probability of generating  $T$  as the twintree of  $e$  is in the limit  $f(T, t)$  and therefore for  $n$  sufficiently large the probability of generating one of these  $T$  will be at least, say,  $f(t) - \frac{2L}{c}$ . Combining with the probability of an examinable  $e$  being born in  $[t, t + dt]$  gives

$$\Pr[\neg A_{t+dt} | A_t^*] > \frac{1}{2} \binom{k}{2} n^{-1/2} [f(t) - \frac{2L}{c}] dt \tag{37}$$

## 5.5 Wierd Events are Rare

For given  $n, D, c$  we write  $W(S)$  for the “wierd” event  $W(n, S, D, c)$ . With  $\binom{n}{k} \leq n^k$  different  $S$  we will try to bound events with probabilities  $o(n^{-k})$ . Let  $G^*$  denote all  $e$  with  $x_e \leq c$ . Note that the distribution of  $G^*$  is precisely that of  $G(n, p)$ ,  $p = cn^{-1/2}$ . Let  $W$  be the event that some vertex of  $G^*$  has degree bigger than  $2cn^{1/2}$ . Elementary estimates give  $\Pr[G^*] = o(1)$ . Let  $W^1(S)$  be the event that  $G^*$  has  $n^{1/2} \ln^6 n$  edges  $e$  in  $S$ . Elementary estimates give  $\Pr[W^1(S)] = o(n^{-k})$ . If  $\neg W^1(S)$  then  $KN$  has at most  $n^{1/2} \ln^6 n$  edges  $e \in S$ . Then  $O(k \ln^{-5} n)$  vertices  $i \in S$  can have  $\ln^{10} n$  neighbors  $j \in S$  in  $KN$  so only  $O(k^2 \ln^{-5} n)$   $e$  in  $S$  can be in  $UNEX - VEE$ .

Let  $W^2(S)$  be the event that  $|VEE| \geq \frac{1}{3} \binom{k}{2}$  and  $\neg W$ . For  $v \notin S$  let  $\deg(v)$  be the number of neighbors of  $v$  in  $S$  in  $G^*$  and  $\deg^-(v)$  the number in  $KN$  so that  $\deg^-(v) \leq \deg(v)$  and

$$|VEE| \leq \sum_v |VEE(v)| = \sum_v \binom{\deg^-(v)}{2} \quad (33)$$

If  $\deg(v) \leq n^2$  then  $|VEE(v)| \leq n^4$ . But if  $v \notin SUL$  then  $VEE(v) = \emptyset$  and  $|SUL| = n^{1/2+o(1)}$  so the total contribution to  $|VEE|$  from these  $v$  is  $O(n^{.9+o(1)}) = o(k^2)$ . Now we consider  $v$  with  $n^2 < \deg(v) \leq 2cn^{1/2}$ . We need a technical lemma, very similar to one used by Erdős in his 1961 paper. *Lemma.* Let  $X_1, \dots, X_{n-k}$  be independent random variables, each with Binomial Distribution  $B(k, p)$ . Set  $X_i^* = X_i$  if  $n^2 \leq X_i \leq 2cn^{1/2}$ , otherwise  $X_i^* = 0$ . Then

$$\Pr \left[ \sum_{i=1}^n \binom{X_i^*}{2} = O(n^{.5}k) \right] = 1 - o(n^{-k}) \quad (34)$$

*Proof.* Set  $L = n^2$ ,  $U = 2cn^{1/2}$  for convenience. For  $L \leq u \leq U$  and all  $i$

$$\Pr[X_i \geq u] \leq \binom{k}{u} p^u \leq \left[ \frac{kep}{u} \right]^u < n^{.1u}$$

Let  $Z_u$  be the number of  $i$  with  $X_i \geq u$ . As the  $X_i$  are independent we crudely bound

$$\Pr[Z_u \geq \frac{30k}{u}] \leq 2^n (n^{-.1u})^{30k/u} < n^{-2k}$$

$$\Pr[Z_u < \frac{30k}{u}, L \leq u \leq U] > 1 - n \cdot n^{-2k} = 1 - o(n^{-k})$$

where  $f(T, t)$  is the probability of the branching process of §2 with  $c = t$  yielding  $T$ .

The proof is similar to that of the previous Claim. Say  $T$  has  $2r$  edges, labelled  $1, \dots, 2r$ . There are  $(n - |SUL|)_r \sim n^r$  possible representations of  $T$ , fix a particular one. Let edge  $i$  be  $\{top(i), bot(i)\}$  as before, with edge 0 being  $e$  itself,  $e = \{top(0), bot(0)\}$ . Let  $\mathcal{C}$  be as before except that all coordinates are in  $[0, t]$ . For a given  $(y_1, \dots, y_{2r}) \in \mathcal{C}$ , we want the probability of getting this particular  $T$  with  $x_i \in [y_i, y_i + dy_i]$ . From Lemma 3 of §5.3 the conditional probability is  $\sim n^{-1/2} dy_i$  of  $x_i$  being in the right interval and this remains true for  $x_i$  even if we condition on  $x_0, \dots, x_{i-1}$  so the conditional probability of having these edges is  $\sim n^{-r} dy_1 \cdots dy_{2r}$ .

Now further condition on the values  $y_i$  for the tree edges. We still need that  $CHECK(e)$  will have no further edges in  $T$ . For each of the finite number of pairs  $u, v$  of vertices, both involved in the tree  $T$  but not an edge of  $T$ , the conditional probability that  $x_{u,v} < c$  is (Lemma 2 of §5.3)  $\leq cn^{-1/2} = o(1)$  so almost surely none of these will affect  $CHECK(e)$ . For each edge  $0 \leq i \leq 2r$  and each vertex  $v$  not in the tree nor in  $S$  let  $B_{v,i}$  be the event that the birthdates of both  $\{v, top(i)\}$  and  $\{v, bot(i)\}$  are at most  $y_i$ .

When can  $\{v, top(i)\}$  be in  $KN$ ? We must have  $top(i)$  a vertex of  $e$  as the other vertices are not in  $SUL$ . We cannot have both  $\{v, top(i)\}, \{v, bot(i)\} \in KN$  because that would mean  $i = 0$  (edge  $e$ ) but  $e \in EXAM$ . (This is why we require  $e \notin VEE$  for being examinable.) From  $\neg W_t$  there are only  $O(\ln^{10} n)$  vertices  $v$  for which any  $\{v, top(0)\} \in KN$ . (Similarly,  $bot(0)$ .) With probability  $1 - o(1)$  for all such  $v$  and all vertices  $u$  of the tree for which  $\{v, u\} \notin KN$  the birthdate of  $\{v, u\}$  is greater than  $c$ , so almost surely none of these will affect  $CHECK(e)$ .

Now consider any other  $v$ , there being  $\sim n$  of them. Applying the Lemma  $\Pr[B_{v,i}] \sim y_i^2 n^{-1}$  given the conditioning. Also  $\Pr[B_{v,i} \wedge B_{v,i'}] = O(n^{-3/2})$  for any two edges  $i, i'$  so that

$$\Pr[\bigvee_{i=0}^{2r} B_{v,i}] \sim n^{-1} \sum_{i=0}^{2r} y_i^2$$

Again from the Lemma this holds for  $v$  even after further conditioning on  $\bigwedge \neg B_{k',i}$  for any number of other  $v' \neq v$  and  $0 \leq i \leq 2r$ . Thus

$$\ln \Pr[\bigwedge_v \bigwedge_i \neg B_{v,i}] \sim \sum_v n^{-1} \sum_i y_i^2 \sim \sum_i y_i^2 \quad (32)$$

But  $A$  is independent of  $D$  and  $C_i$  so

$$\Pr[D|C_iA] = \Pr[D|C_i] \geq \Pr[D]$$

which gives the lower bound.  $\square$

*Lemma 2.* For all distinct  $f, g \in P$

$$\Pr[x_f \leq t|C] \leq \Pr[x_f \leq t] = tn^{-1/2} \quad (29)$$

$$\Pr[x_f, x_g \leq t|C] \leq \Pr[x_f, x_g \leq t] = t^2n^{-1} \quad (30)$$

*Proof.* Direct application of FKG Inequality.  $\square$

In our application  $P$  is the set of pairs not in  $KN$  and not in  $S$ . The condition  $\min(x_e, x_f) \geq t$  when  $e \in KN$  is discarded when  $x_e \geq t$  and replaced by  $x_f \geq t$  when  $x_e < t$ .  $f \in Q$  when some condition  $x_f \geq t$  remains. Pairs  $f = \{v, u\}$  and  $g = \{v, w\}$  are adjacent in  $H$  if some  $VEEPROBE(e, v, t_e)$ ,  $e = \{u, w\}$  returned nil.

*Claim:* If  $\neg W_t$  then  $\deg(f) \leq 2(D+1)\ln^{10}n$ .

Consider the possible  $e = \{u, w\}$  above. Suppose  $u \in S$ . If  $w \in S$  then  $VEEPROBE$  was called during  $CHECK(e)$  and this can occur for at most  $\ln^{10}n$  different  $e$  by the requirement  $e \in EXAM$ . If  $w \notin S$  then  $VEEPROBE$  was called during  $CHECK(e')$  with  $u \in e'$  as otherwise  $CHECK(e')$  would have terminated as soon as it reached the sullied vertex  $u$ . There are again at most  $\ln^{10}n$  different  $e'$  and now at most  $D\ln^{10}n$  different  $e$ . Now suppose  $u \notin S$ . A  $VEEPROBE$  of an  $e$  with vertex  $u$  can only occur during that call of  $CHECK(e')$  in which  $u$  becomes sullied as after its sullied finding it terminates  $CHECK$ . Thus  $VEEPROBE$  of such an  $e$  could only be called at most  $D$  times.  $\square$

*Lemma 3.* If  $\neg W_t$  and  $f$  is a pair not in  $S$  with  $f \notin KN$  and for which no conclusion  $x_f \geq a_f$  can be drawn and if  $I \subseteq [0, t]$  is an interval of length  $u$  then the conditional (on the Oracle responses up to time  $t$ ) probability that  $x_f \in I$  is asymptotically the unconditional probability  $un^{-1/2}$ .

*Proof.* Lemma 2 and the Claim.

## 5.4 Twintree Probability, Conditionally

Now we return to the conditional probability for  $CHECK(e)$  to return Success. For each twintree  $T$  with at most  $D$  edges let  $g(T)$  be the probability  $CHECK(e)$  terminates having constructed that twintree.

*Claim:*

$$\lim_{n \rightarrow \infty} g(T) = f(T, t) \quad (31)$$

independent and uniform. Let  $H$  be a graph on  $P$ . To each  $e \in Q$  associate a  $t_f$  and to each  $\{e, f\} \in H$  associate a  $t_{ef}$ . Assume all  $t_f, t_{fg} \leq t$ . Let  $C$  be the condition

$$C = \bigwedge_{e \in Q} (x_e \geq t_e) \wedge \bigwedge_{\{e, f\} \in H} (\min(x_e, x_f) \geq t_{ef})$$

Let  $I \subseteq [0, t]$  be an interval of length  $u$ .

*Lemma 1.* For  $f \in P - Q$

$$un^{-1/2}(1 - tn^{-1/2})^{\deg(f)} \leq \Pr[x_f \in I|C] \leq \frac{u}{n^{1/2} - t} \quad (26)$$

*Proof:* With  $f$  fixed let  $C_d$  be the conjunction of the  $\deg(f)$  events in  $C$  involving  $f$  (the dependent conditions) and  $C_i$  the conjunction of the other events so that  $C = C_d C_i$ . Let  $A$  denote  $x_f \in I$ ,  $B$  denote  $x_f > t$ . Then

$$\Pr[C|A] \leq \Pr[C_i|A] = \Pr[C_i] = \Pr[C_i|B] = \Pr[C|B], \quad (27)$$

the last as  $B \Rightarrow C_d$ . Thus

$$\begin{aligned} \Pr[A|C] &\leq \frac{\Pr[A|C]}{\Pr[B|C]} \\ &= \frac{\Pr[A] \Pr[C|A]}{\Pr[B] \Pr[C|B]} \\ &\leq \frac{\Pr[A]}{\Pr[B]} \end{aligned} \quad (28)$$

giving the upper bound. Let  $D$  denote  $\bigwedge x_g > t$ , the conjunction over the  $\deg(f)$  neighbors  $g$  of  $f$ . Let  $C_{id}$  be the conjunction of the events in  $C_i$  involving and such  $g$  and  $C_{ii}$  the conjunction of all the other events in  $C_i$  so that  $C_i = C_{ii} C_{id}$ . But  $D \Rightarrow C_{id}$  so

$$\Pr[C_i|D] = \Pr[C_{ii}|D] = \Pr[C_{ii}] \geq \Pr[C_i]$$

which implies

$$\Pr[D|C_i] \geq \Pr[D]$$

Now we bound

$$\Pr[A|C] = \Pr[A|C_d C_i] \geq \Pr[A C_d | C_i]$$

As  $A, C_i$  are independent and  $D \Rightarrow C_d$

$$\Pr[A|C] \geq \Pr[A] \Pr[C_d | C_i A] \geq \Pr[A] \Pr[D | C_i A]$$

will go down by at most  $\frac{L}{c}cn^{3/2}/2$ , small compared to the  $10Ln^{3/2}/2$  edges accepted.

We have to be careful of “wierd” events. Let  $W_t$  (or, more formally,  $W(n, S, D, t)$ ) be the event that in running *IND* with  $c = t$  either

- There are at least  $n^{1/2} \ln^6 n$  edges  $e$  in  $S$  with  $e \in KN$ , or
- $|UNEX| \leq \frac{1}{2} \binom{k}{2}$ .

Let  $A_t^* = A_t \wedge \neg W_t$ . It will turn out that  $A_t^*$  is essentially  $A_t$ .

## 5.2 Birth of an Examinable Edge

Now let  $t \in [0, c)$  and let  $dt$  be infinitesimal. We bound

$$\Pr[\neg A_{t+dt}^* | A_t^*] > \Pr[\neg A_{t+dt} | A_t^*] \quad (24)$$

and this we shall bound from below.

Indeed, we shall bound from below the probability of  $\neg A_{t+dt}$  conditional on any particular history of the procedure *IND* up to time  $t$  satisfying  $A_t^*$ . Formally we could modify *IND* so that *NEXTBORN* first returns TempHalt if there is no  $e \in EXAM$  with  $x_e \leq t$  and after TempHalt has been outputted we continue calling *NEXTBORN* as originally defined. We condition on all the outputs of the Oracle up to TempHalt and the precise values of  $x_e, e \in KN$ . Because  $\neg W_t$

$$|SUL| \leq |KN| + |S| = O(n^{1/2} \ln^6 n) = o(n) \quad (25)$$

Because  $\neg W_t$ , *NEXTBORN* is called with  $|EXAM| \geq \frac{1}{2} \binom{k}{2}$ . Each  $e \in EXAM$  has  $x_e \in [t, t+dt]$  with probability  $dt/[n^{1/2}-t] \sim n^{-1/2}dt$ . (For  $e$  in  $S$ , the Oracle checks  $x_e$  only during *NEXTBORN* – this is the reason for the exception in *FULLPROBE* – and so the  $e \notin KN$  conditionally have  $x_e$  independent and uniform in  $[t, n^{1/2}]$ .) Thus with probability  $\sim \frac{1}{2} \binom{k}{2} n^{-1/2}dt$  the call *NEXTBORN* returns an  $e$  with  $x_e \in [t, t+dt]$ . We fix this  $e$  and bound from below the probability that *CHECK*( $e$ ) returns Success. Lets review the conditioning at this point.

- For  $f \in KN$   $x_f$  is known. All such  $x_f \leq t$ , except  $x_e \in [t, t+dt]$ .
- For some  $f = \{i, j\} \in KN, v \neq i, j$  it is known that  $\min(x_{i,v}, x_{j,v}) > x_f$  since the Oracle responded nil to *VEEPROBE*( $f, v; x_f$ ).

## 5.3 A General Conditioning Lemma

It will be helpful to consider the conditioning in a slightly more abstract situation. Let  $Q \subset P$  be finite sets. For each  $e \in P$  let  $x_e \in [0, n^{1/2}]$  be

exceeds  $D$  we terminate the subprocedure *CHECK* with output Failure. (This is a critical “give up” aspect of the algorithm. By not probing further the twintree of  $e$  we are retaining a relative independence of many of the  $x_e$ .)

Now we can describe *CHECK*( $e$ ). Set  $T = \{e\}$ . At each stage take an  $f \in T$  (we can imagine keeping a stack here) for which *FULLPROBE*( $f$ ) has not yet been called and call *FULLPROBE*( $f$ ). (The first call is to *FULLPROBE*( $e$ .) The procedure may terminate inside *FULLPROBE* for one of the two reasons above. Otherwise, at some stage all  $f \in T$  have had *FULLPROBE*( $f$ ) called. We now give  $T$  a twintree structure with  $e$  the root and letting  $g, h$  be twins of  $f$  if they were returned during some *VEEPROBE*( $f, u, x_f$ ) Check wheter the root  $e$  survives the twintree  $T$  in the sense of §2. If it does *CHECK* returns Success, if it does not *CHECK* returns Failure.

When *CHECK* returns Success then we terminate *IND*( $S, D$ ) with output Yes. Otherwise we loop back to *NEXTBORN*. This concludes the description of *IND*.

We claim that if *IND* returns Yes then  $S$  must contain an edge in  $G_c$ . Let  $e$  be the edge for which *CHECK*( $e$ ) returned Success, so  $x_e \leq c$ . When the twintree  $T$  generated by *CHECK*( $e$ ) is the actual twintree of the relevant history of  $e$  then indeed  $e \in G_c$ . The only way this would not be is if during *CHECK*( $e$ ) a subprocedure *FULLPROBE*( $f$ ) was called where  $f = \{v, w\}$  had  $v \in S$ . In that case *FULLPROBE* does not check vertices  $u \in S$ . The relevant history would be different if for some  $u \in S$  both  $\{u, v\}, \{u, w\}$  were born before  $f$ . This could only affect whether  $f$  is accepted (and hence whether  $e$  is accepted) if both  $\{u, v\}, \{u, w\}$  were accepted. But in that case we would have  $\{u, v\} \in G_c$ , so again  $S$  would have an edge in  $G_c$ .

For  $0 \leq t \leq c$  let  $A_t$  be the event (other variables understood) that *IND* returns Yes by time  $t$  – i.e., that some subprocedure *CHECK*( $e$ ) with  $x_e \leq t$  has returned Success. If  $\neg A_c$  then we have just argued that  $S$  is not independent in  $G_c$ . Thus

$$\Pr[\neg A_c] > \Pr[S \text{ independent in } G_c] \tag{23}$$

This probability will prove more tractible.

Now to be explicit about  $D$ . We pick  $D$  so large that for  $c' \leq c$  the probability of the random twintree  $T$  (of §2) having more than  $D$  edges is less than  $L/c$ . The intuitive sense here is that the probability of accepting an edge will go down by at most  $L/c$  and so the total number of edges accepted



*UNEX* A set of  $e = \{i, j\}$  in  $S$  called unexaminable pairs. These consist of:

- (a) All  $e \in KN$ .
- (b) All  $e = \{i, j\}$  so that  $\{i, v\} \in KN$  for at least  $\ln^{10} n$  vertices  $v$ .  
(Or similarly for  $j$ .)
- (c) *VEE*

*EXAM* All  $e$  in  $S$  not in *UNEX*, called examinable pairs.

The importance of *UNEX* will become more apparent later. Basically we do not want to explore  $e \in UNEX$  because they have been too “tarnished” by earlier explorations.

It will be useful to imagine the values  $x_e$  hidden from us and that probes are made of an Oracle. Formally such probes are given by the following subprocedures.

*NEXTBORN*( $K$ )  $K$  a set of pairs. The output is that  $e \in K$  with  $x_e$  minimal.  $e$  is added to  $KN$  and time  $t$  is updated to  $x_e$ . Exception: If no  $e \in K$  has  $x_e \leq c$  we return “nil”. (That is, we “stop” at time  $c$ .)

*VEEPROBE*( $e, v, t$ ) Here  $e = \{i, j\}$ ,  $i, j, v$  are distinct vertices,  $t$  real. If

$$\min[x_{i,v}, x_{j,v}] \leq t$$

then output  $\{i, v\}, \{j, v\}$  and add these pairs to  $KN$ . Otherwise the output is “nil”.

Now we describe *IND*. The outer loop is a call *NEXTBORN*(*EXAM*). If the return is nil then *IND* terminates with output Maybe. Otherwise let  $e = \{i, j\}$  be the output. We call a subprocedure *CHECK*( $e$ ). *CHECK* will keep an auxiliary set variable  $T$ , meant to reflect the twintree of  $e$  in  $G_t$ . Initially set  $T = \{e\}$ . *CHECK*( $e$ ) will have outputs Success and Failure.

*CHECK* will have a subprocedure *FULLPROBE*( $f$ ),  $f = \{v, w\}$ . In *FULLPROBE* we take all vertices  $u \neq v, w$  of the graph in an arbitrary order and call *VEEPROBE*( $f, u, x_f$ ). An exception: if either  $v, w \in S$  we do not query for  $u \in S$ . When the response is nil we go to the next  $u$ . Otherwise we add the returned edges  $\{u, v\}, \{u, w\}$  to  $T$ . (Recall *VEEPROBE* adds them to  $KN$ .) Two important cases: If  $v \in SUL$  then we terminate the subprocedure *CHECK* with output Failure. Also, if the size of  $T$  now

## 5.1 A Modified Dynamic Algorithm

Fix  $\epsilon > 0$ , arbitrarily small. Fix a particular  $k$ -set  $S$  with  $k = \epsilon n^{1/2}(\ln n)$ . Set  $L = 3\epsilon^{-1}$  (so that the random graph “works”). Pick  $c$  so that (giving ourselves room)  $F(c) = 10L$ . Our object is to bound the probability that  $S$  is independent in  $G_c$ .

We modify the dynamic algorithm of our opening paragraph to a procedure we’ll call *IND*. *IND* has five parameters.

$n$  The number of vertices. Let  $V = \{1, \dots, n\}$  denote the vertex set. The 2-sets  $e \subset V$  are called pairs.

$S$  A subset of  $V$ . We let  $k$  denote the size of  $S$ . We’ll say  $e$  is *in*  $S$  if both its vertices are in  $S$ .

$D$  A positive integer which plays a key role in telling when to “give up” the search.

$c$  Nonnegative real. The “total time” for *IND*.

$x$  A function on the pairs. For each  $e$   $x_e \in [0, n^{1/2}]$ . All probabilities are with the underlying assumption that the  $x_e$  are independent and uniform. (We further assume all  $x_e$  are distinct, which occurs with probability one.)

The possible outputs of *IND* are Yes and Maybe. Yes will imply that  $G_c$  has an edge in  $S$ . Maybe still allows this possibility as we are deliberately not doing a full test. *IND* will keep these variables.

$t$  Real. The current “time”. While formally this is simply another variable we think of *IND* running dynamically in  $t$ . Initially  $t = 0$ .

$KN$  A set of pairs call “known”. These are the pairs or which we “know”  $x_e$ . Initially  $KN = \emptyset$ .

Several auxilliary variables are defined for convenience in terms of  $KN$ .

$SUL$  A set of vertices called sullied.  $v \in SUL$  if some  $\{v, w\} \in KN$ .

$VEE(v)$  Defined for  $v \notin S$ , this is the set of  $e = \{i, j\}$  in  $S$  for which  $\{v, i\}, \{v, j\} \in KN$ .

$VEE$  The union of  $VEE(v)$  over  $v \notin S$ .

What is the usual value of  $Z^f$ ? As  $Z^f \geq Z_c$  we've shown that  $E[Z^f]$  grows faster than  $n^{3/2}$ . We conjecture that  $Z = \Theta(n^{3/2}(\ln n)^{1/2})$  almost always. We know that for  $c$  fixed  $E[Z_c] \sim F(c)n^{3/2}/2$ . A simple analysis of 20 gives that

$$F(c) \sim (\ln c)^{1/2} \tag{21}$$

asymptotically as  $c \rightarrow \infty$ . If we “plug in” the final value  $c = n^{1/2}$  this would give the conjecture. We emphasize that this is not a valid argument, the limiting relation between  $f_n(c)$  and  $f(c)$  held only for  $c$  a constant, albeit an arbitrarily large one, not for  $c$  a function of  $n$ . We also note that the results of the next section indicate that, at least to some extent,  $G_c$  can be regarded as the random graph  $G(n, p)$  with  $p$  chosen so that the two models have the same expected number of edges. If this applied to  $G^f$  and if the expected number of edges in  $G^f$  were  $n^{3/2}(\ln n)^{1/2}$  then the simple argument of the next section would give that almost surely  $\alpha(G^f) < k$  with  $k = \Theta(n^{1/2}(\ln n)^{1/2})$  which would mean  $R(3, k) > n$  or, reversing variables.  $R(3, k) = \Omega(k^2(\ln k)^{-1})$ . This would match the upper bound of Ajtai, Komlós and Szemerédi.

*Remark.* We've shown  $G_c$  has expected size  $F(c)n^{3/2}/2$ . N. Alon has given an intuitive justification for this. Suppose  $G_c$  behaved like a random graph with  $p = F(c)n^{-1/2}$ . By time  $c + dc$  an additional  $\frac{1}{2}n^{3/2}dc$  pairs are born. The probability that a pair has a common neighbor in  $G(n, p)$  is  $(1-p^2)^{n-2} \sim \exp[-F(c)^2]$ . Thus it would be reasonable to expect  $\exp[-F(c)^2]\frac{1}{2}n^{3/2}dc$  pairs to be accepted. This would give  $F(c + dc) = F(c) + \exp[-F(c)^2]dc$ . Taking  $dc$  infinitesimal this gives a differential equation with solution 20.

## 5 Ramsey $R(3, k)$

Our object here is to show 2. For intuitive guidance in view of 1 lets consider instead of  $G_c$  the usual random graph  $G \sim G(n, p)$  with  $p = Ln^{-1/2}$  Let  $k = \epsilon n^{1/2}(\ln n)$ . There are  $\binom{n}{k} < n^k$   $k$ -sets  $S$  and for each

$$\Pr[S \text{ independent}] = (1-p)\binom{k}{2} \sim e^{-pk^2/2} \tag{22}$$

The expected number of independent  $k$ -sets is then less than  $n^k e^{-pk^2/2} = [ne^{-pk^2/2}]^k$  which is  $o(1)$  for  $L$  large. Our object will be to show that 22 is roughly correct for our model  $G_c$ . By “roughly correct” we will mean up to a constant factor in the exponent. Such a factor only affects the bound on  $R(3, k)$  by a constant factor, and that is not our concern here.

into three rectangles and using Fubini's Theorem

$$E[Z] = 2[F(c + \Delta c) - F(c)] \cdot F(c) + [F(c + \Delta c) - F(c)]^2$$

For  $c$  fixed we do asymptotics with  $\Delta c \rightarrow 0$ . As  $f$  is nonincreasing the last term is at most  $(f(c)\Delta c)^2 = o(\Delta c)$ . By continuity (and the fundamental theorem of calculus!)

$$F(c + \Delta c) - F(c) \sim f(c)(\Delta c)$$

so that

$$E[Z] \sim 2f(c)F(c)(\Delta c)$$

Consider  $Z$  as  $A$  plus the sum over  $i \geq 2$  of  $i - 1$  times the probability Eve has  $i$  twinbirths in  $X$ , both surviving. Even neglecting the both surviving requirement this sum is  $O((\Delta c)^2)$ . Thus

$$A \sim 2f(c)F(c)(\Delta c)$$

so that 16 becomes

$$\frac{f(c + \Delta c) - f(c)}{\Delta c} \sim -2f^2(c)F(c)$$

which beomes (in  $F$ ) the second order differential equation

$$F''(c) = -2(F'(c))^2 F(c) \tag{18}$$

At  $c = 0$  we have the initial conditions

$$F(0) = 0, f(0) = F'(0) = 1 \tag{19}$$

Fortuitously (!) this differential equation has the precise implicit solution

$$c = \int_0^{F(c)} e^{t^2} dt \tag{20}$$

which does indeed have the property that  $\lim_{c \rightarrow \infty} F(c) = \infty$ . This gives 7 and therefore 1.

*Remark and Conjecture.* Let  $G^f, Z^f$  be the final  $G$  and its number of edges as defined in our opening paragraph. Note that while the use of independent  $x_e$  proved to be a handy analytic tool we could equally well have defined  $G^f$  as follows. Randomly order the  $\binom{n}{2}$  pairs. Begin with  $G = \emptyset$ . Add each edge to  $G$  if it would not create a triangle. Then  $G^f$  is the final value of  $G$ .

The  $n^r$  factors of 13 asymptotically cancel so 15 giving 11.  $\square$

Now we show 6. Let  $\epsilon > 0$  be arbitrarily small and let  $FIN$  be a finite family of twintrees so that the branching process yields a  $T \in FIN$  with probability at least  $1 - \frac{\epsilon}{2}$ . (E.g.,  $FIN$  could be all twintrees with at most some large number  $D$  of edges.) Now use 11 to pick  $n_0$  so that for  $n > n_0$  and each of the finite number of  $T \in FIN$

$$|f_n(T, c) - f(T, c)| < \frac{\epsilon}{2|FIN|}$$

Then  $f_n(c)$  is at least the probability that there is a normal relevant history with twintree  $T \in FIN$  with the root surviving and that is at least  $f(c) - \epsilon$ . Also  $1 - f_n(c)$  is at least the probability that there is a normal relevant history with twintree  $T \in FIN$  with the root not surviving and that is at least  $1 - f(c) - \epsilon$ . As  $\epsilon$  was arbitrary this yields 6.

The required uniformity over  $c \in [0, C]$  for 6 is easy to check. From 10 given  $\epsilon > 0$  we may pick  $FIN$  that works for every  $c \in [0, C]$  simultaneously. An examination of the proof of 11 gives that the limit is approached uniformly for  $c \in [0, C]$ .

## 4 A Differential Equation

Here we find  $f(c)$  as the solution to a differential equation. Consider Eve with birthdate  $c + \Delta c$ . For Eve to survive she must have no twins both surviving with twinbirthdate  $(x, y) \in [0, c]^2$  nor twins both surviving with twinbirthdate  $(x, y) \in X$  where we set  $X = [0, c + \Delta c]^2 - [0, c]^2$ . The Poisson nature of Eve's births make these independent events. Thus

$$f(c + \Delta c) = f(c)(1 - A) \tag{16}$$

where  $A$  is the probability Eve does have twins, both surviving, twinbirthdate  $(x, y) \in X$ . We first bound  $0 \leq A \leq 2\Delta c + (\Delta c)^2$ , the latter being an upper bound on the probability Eve has twins with twinbirthdates in this interval. By itself, this implies that  $f$  is continuous and nonincreasing. Then  $f$  is integrable. We define the integral

$$F(u) = \int_0^u f(t)dt \tag{17}$$

Let  $Z$  be the number of Eve's twins with twinbirths in  $X$ , both surviving. Then  $E[Z]$  is simply the integral of  $f(x)f(y)$  over  $(x, y) \in X$ . Splitting  $X$

Let  $T$  have  $2r$  edges, label them  $1, \dots, 2r$ . Let  $\Gamma$  be the set of  $(x_1, \dots, x_{2r}) \in [0, c]^{2r}$  such that  $x_i < x_j$  whenever edge  $i$  lies below edge  $j$  in  $T$ . Then

$$f(T, c) = \int_{\Gamma} e^{-c^2 - y_1^2 - \dots - y_{2r}^2} dy_1 \cdots dy_{2r} \quad (12)$$

Indeed, to generate  $T$  with birthdates in the infinitesimal intervals  $[y_i, y_i + dy_i]$  there is probability  $\prod dy_i$  of having those births, probability  $\exp[-c^2]$  for Eve to have no more births and  $\exp[-y_i^2]$  for the child of edge  $i$  (with birthdate  $y_i$ ) to have no further children.

Compare this with  $f_n(c)$ . There are  $(n-2)_r$  choices of vertices of  $G$  that could generate  $T$ . (The vertices of  $e = \{i, j\}$  have been fixed but every birth requires a new vertex  $v$ .) Fix such a representation of  $T$ . Let edge  $i$  be represented by the pair  $top(i), bot(i)$  of vertices of  $G$  and let  $REP$  be the set of all  $r+2$  vertices in the representation (including the vertices of  $e$ ). Take  $(y_1, \dots, y_{2r}) \in \Gamma$ . The probability that each edge  $i$  in the representation has  $x_i$  in the infinitesimal interval  $[y_i, y_i + dy_i]$  is  $n^{-1/2} dy_i$ . This gives

$$f_n(T, c) = (n-2)_r \int_{\Gamma} A(y_1, \dots, y_{2r}) n^{-r} dy_1 \cdots dy_{2r} \quad (13)$$

where  $A$  is the probability, conditional on having the edges of  $T$  with birthdates  $y_i$ , that the relevant history does not contain any more edges. We require the asymptotics of  $A$ . With probability  $(1 - o(1))$  for each  $\{u, w\} \subset REP$  that is not an edge we have  $x_{u,w} > c$ . Now for each  $u \notin REP$  and each edge  $i = \{top(i), bot(i)\}$  let  $B_{u,i}$  be the “bad” event that  $x_{u,v} < y_i$  for both  $v = top(i)$  and  $v = bot(i)$ . We’ll include the edge  $e$  as the case  $i = 0$ . Note that these values (involving a new vertex  $u$ ) are independent of previous conditionings. Thus

$$A \sim \Pr[\bigwedge_u \bigwedge_{i=0}^{2r} \neg B_{u,i}] \quad (14)$$

Clearly  $\Pr[B_{u,i}] = y_i^2 n^{-1}$  where we interpret  $y_0 = c$ . Fix  $u$  and let  $i$  range over the  $2r+1$  edges. Any two edges  $i, i'$  have  $\Pr[B_{u,i} \wedge B_{u,i'}] = O(n^{-3/2})$  since even when they overlap in a vertex we are requiring three pairs to have small  $x$ -value. As  $r$  is fixed the first step of Inclusion-Exclusion gives

$$\Pr[\bigvee_i B_{u,i}] = (1 - o(1)) \left[ \sum_i y_i^2 \right] n^{-1}$$

for fixed  $u$ . But these events are mutually independent over  $u \notin REP$  so

$$A \sim [1 - \Pr[\bigvee_i B_{u,i}]]^{n-(r+2)} \sim e^{-c^2 - y_1^2 - \dots - y_{2r}^2} \quad (15)$$

We claim  $T$  is finite with probability one. Note that if “Mary” has birthdate  $a$  and  $b < a$  then the probability Mary has twinbirthdates  $(x, y)$  with  $x$  in the infinitesimal interval  $[b, b + db]$  is  $a \cdot db$ . Let  $N_g$  be the number of children in the  $g$ -th generation. Then

$$E[N_g] = 2^g \int^* c x_1 \cdots x_{g-1} dx_1 \cdots dx_g \quad (8)$$

where  $\int^*$  is over those  $(x_1, \dots, x_g)$  with  $0 < x_g < \dots < x_1 < c$ . Here  $2^g$  represents the choices of birth order and  $x_i$  is the birthdate for the  $i$ -th generation. This has the precise solution

$$E[N_g] = 2 \frac{c^{2g}}{g!} \quad (9)$$

so the total number  $N$  of vertices of  $T$  has

$$E[N] = 1 + 2 \sum_{g=1}^{\infty} \frac{c^{2g}}{g!} = 2e^{c^2} - 1 \quad (10)$$

The finiteness of  $E[N]$  gives the claim.

On a twintree  $T$  we define bottom-up the notion of a vertex surviving or dying. A childless vertex survives. A vertex dies if and only if it has twins both of whom survive. Now we *define*  $f(c)$  to be the probability that the random tree  $T$  defined above has its root survive.

### 3 The Relevant History

Here we show 6. Fix  $e = \{i, j\}$  and  $c > 0$ , condition on  $x_e = c$ , and consider  $f_n(c)$ . Define the *relevant history* of  $e$  to be a set  $T$  of edges defined as follows.  $e \in T$ . If  $\{u, l\} \in T$  and  $x_{u,v}, x_{l,v} < x_{u,l}$  then  $\{u, v\}, \{l, v\} \in T$ . We can find  $T$  by a breadth first search, we search an edge  $\{u, l\}$  already in  $T$  by checking whether any  $v$  satisfy the condition and if so adding those edges to  $T$ . We call the relevant history *normal* if every time such a  $v$  is found it is a vertex that has not yet appeared in any of the edges of  $T$ . When the relevant history is normal we give  $T$  a twintree structure, letting  $\{u, v\}, \{l, v\}$  be twins of  $\{u, l\}$ , with  $\{u, v\}$  the firstborn if and only if  $u < l$ . For any twintree  $T$  let  $f(T, c)$  be the probability that the branching process of §2 gives  $T$  and let  $f_n(T, c)$  be the probability that the relevant history of  $e$  is normal with twintree  $T$ .

*Claim:*

$$\lim_{n \rightarrow \infty} f_n(T, c) = f(T, c) \quad (11)$$

improving Paul Erdős's classic 1961 lower bound on  $R(3, k)$ .

Fix a pair  $e = \{i, j\}$ . We say  $e$  survives at time  $c$  if there is no  $k \neq i, j$  with  $\{i, k\}, \{j, k\} \in G_c$ . Let  $f_n(c)$  be the probability that  $e$  survives at time  $c$  given  $x_e = c$ . This is independent of the particular  $e$ . In an infinitesimal time range  $c$  to  $c + dc$  there is probability  $n^{3/2}dc/2$  that some edge  $e$  is born and probability  $n^{3/2}f_n(c)dc/2$  that an edge is accepted. Thus

$$E[Z_c] = \frac{n^{3/2}}{2}F_n(c) \tag{4}$$

where we define

$$F_n(c) = \int_0^c f_n(t)dt \tag{5}$$

We shall give an explicit function  $f(c)$  so that

$$\lim_{n \rightarrow \infty} f_n(c) = f(c) \tag{6}$$

and further the limit is uniform in that for every  $C, \epsilon > 0$  there exists  $n_0$  so that  $|f_n(c) - f(c)| < \epsilon$  for all  $n > n_0$  and all  $0 \leq c \leq C$ . We'll further show, by explicit integration, that

$$\int_0^\infty f(c) = \infty \tag{7}$$

Lets show that this implies 1. Pick  $C$  so that  $\int_0^C f(c)dc > L + 1$ . Pick  $n_0$  so that for  $n > n_0$  and  $0 \leq c \leq C$  we have  $|f_n(c) - f(c)| < C^{-1}$ . Then

$$F_n(C) = \int_0^C f_n(c)dc > \int_0^C f(c)dc - 1 > L$$

## 2 A Branching Process

To define  $f(c)$  we consider a branching process beginning with a root "Eve" with birthdate  $c$ . Eve gives birth to ordered twins, with birthdates  $x, y$ . The set of "twinbirthdates"  $(x, y)$  is given by a Poisson distribution with unit density over  $[0, c] \times [0, c]$ . That is, for any  $0 \leq x, y < c$  and  $dx, dy$  infinitesimal Eve has probability  $dx \cdot dy$  of having a birth  $(x', y')$  with  $x' \in [x, x + dx]$ ,  $y' \in [y + dy]$ . A child with birthdate  $a$  then has children (always twins) independently by the same process, twinbirthdates  $(x, y) \in [0, a] \times [0, a]$ . These children in turn may have children, and so on. Let  $T$  be the random tree so generated. We'll call  $T$  a twintree, in addition to root, mother and daughter it contains the relation twin.



# Maximal TriangleFree Graphs and Ramsey $R(3, k)$

Joel Spencer

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## 1 Results

We describe a random dynamic algorithm that creates a graph  $G$  on a vertex set  $V = \{1, \dots, n\}$ . The 2-sets  $e \subset V$  are called *pairs*. To each pair  $e$  assign, independently and uniformly, a real  $x_e \in [0, n^{1/2}]$ . (We further assume the  $x_e$  are distinct, this occurs with probability one.) We call  $x_e$  the birthtime of  $e$ . Begin at time zero with  $G$  empty. Let time increase. When an edge  $e$  is born add it to  $G$  if and only if that does not create a triangle in  $G$ . If  $e$  is added to  $G$  we say  $e$  is accepted, otherwise rejected. Let  $G_c$  be  $G$  at time  $t = c$  and  $G^f$  be the final  $G$ , at time  $t = n^{1/2}$ . Let  $Z_c, Z^f$  be the number of edges of  $G_c, G^f$  respectively. All these are random variables, dependent on the choices of the  $x_e$ . We will show:

- For all  $L$  there exist  $c, n_0$  so that for  $n > n_0$

$$E[Z_c] \geq L \frac{n^{3/2}}{2} \quad (1)$$

- For all  $\epsilon > 0$  there exist  $c, n_0$  so that for  $n > n_0$

$$\Pr[\alpha(G_c) \geq \epsilon n^{1/2}(\ln n)] < 1 \quad (2)$$

In particular, there exists a graph  $G = G_c$  which is trianglefree and has no independent set of size  $\epsilon n^{1/2}(\ln n)$ . That is, the Ramsey Function  $R(3, k) > n$  for  $k = \epsilon n^{1/2}(\ln n)$ . Reversing, for all  $M > 0$  if  $k$  is sufficiently large then

$$R(3, k) > M \frac{k^2}{\ln^2 k} \quad (3)$$