

LECTURE #5

Oct 9 2014

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- 1) Class: Discussion on TSP + OptMap.
- 2) SAT.
- 3) QUIZ
- 4) Architecture Team for SAT.

BOOLEAN SATISFIABILITY

- Logic:
- a) Philosophical Logic:
Aristotle (STOIC): Philosophy vs Sophistry.
 - b) Constructivist:
Leibnitz (1646-1716) - Lingua Characteristica
Universalis.
George Boole (1815-1864) - Making Logic
Algebraic.
Jevons (1835-1882) - Logic Piano
(Making Logic Computational)

Arithmetization ($T, F \Rightarrow 0, 1$)

\Rightarrow Axiomatization ($A \rightarrow B \rightarrow \neg B \rightarrow \neg A$)

\Rightarrow Algebraization

\Rightarrow Algorithmization.

Propositional Logic (PL).

Boolean Connectives:

- Negation \neg
- Conjunction \wedge
- Disjunction \vee

$$\neg: \{0,1\} \rightarrow \{0,1\}$$

$$: 1 \mapsto 0; \quad : 0 \mapsto 1$$

$$\wedge: \{0,1\}^2 \rightarrow \{0,1\}$$

$$: (1,1) \mapsto 1; \quad (1,0) \mapsto 0;$$

$$: (0,1) \mapsto 0; \quad (0,0) \mapsto 0$$

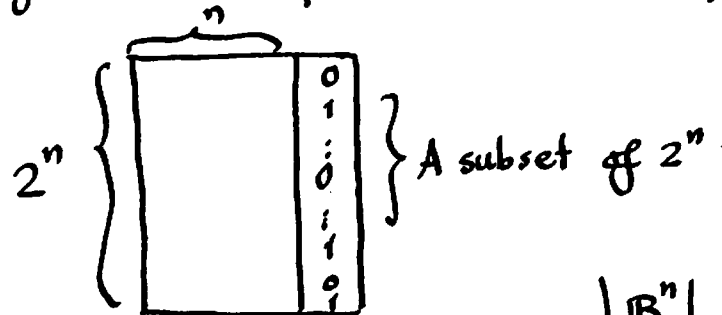
$$\vee: \{0,1\}^2 \rightarrow \{0,1\}$$

$$: (1,1) \mapsto 1; \quad (1,0) \mapsto 1$$

$$: (0,1) \mapsto 1; \quad (0,0) \mapsto 0.$$

Boolean Truth Function:

A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is called an n -ary Boolean function or truth function.



$$|B^n| = 2^{2^n}$$

A Boolean function is satisfiable $\models f$

$$\text{iff } \exists x \in \{0,1\}^n \quad f(x) = 1. \quad \leftarrow \in NP$$

Also NP-complete.

Propositional Language:
Boolean formulas:

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\mathcal{F} of formulas built up from the symbols
(,), \wedge , \vee , \neg ... and
logical variables x_1, x_2, \dots, x_n , inductively as
follows:

(F₁) The atomic strings x_1, x_2, \dots are formulas,
called prime formulas (also atomic formulas).

(F₂) If the strings α and β are formulas, then
so too are strings
 $(\alpha \wedge \beta)$, $(\alpha \vee \beta)$ and $\neg \alpha$.

~.

Truth assignment:

$$\omega: PV \rightarrow \{0, 1\}$$

$$\text{Extend to } \omega: \mathcal{F} \rightarrow \{0, 1\}$$

$$\omega \neg \alpha \equiv 1 - \omega \alpha$$

$$\omega(\alpha \wedge \beta) \equiv \omega \alpha \cdot \omega \beta$$

$$\omega(\alpha \vee \beta) \equiv \max(\omega \alpha, \omega \beta)$$

~.

THM

Every Boolean function can be represented by a

Boolean formula $\in \mathcal{F}$.

□.

NORMAL FORMS:

(2)

1) Literals: Prime formulas and negation of prime formulas are called literals
 $x_i, \neg x_i (\equiv \bar{x}_i)$

2) Conjunctive Normal Form (CNF)
A conjunction

$\beta_1 \wedge \beta_2 \wedge \dots \wedge \beta_m$
where each β_i is a disjunction of literals, is called a Conjunctive Normal form:

$(x_1 \vee x_2 \vee \neg x_3) \wedge (x_3 \vee x_4 \vee \neg x_5) \wedge \dots \wedge (\neg x_1 \vee x_2 \vee x_3)$
variable \downarrow clause \swarrow literal

$\langle \text{lit} \rangle := x_i \mid \neg x_i$

$\langle \text{clause} \rangle := \langle \text{lit} \rangle \vee \langle \text{lit} \rangle \vee \langle \text{lit} \rangle \vee \dots \vee \langle \text{lit} \rangle$

$\langle \text{CNF} \rangle := \langle \text{clause} \rangle \wedge \langle \text{clause} \rangle \dots \wedge \langle \text{clause} \rangle$

3) Disjunctive Normal Form (DNF)
A disjunction

$\alpha_1 \vee \alpha_2 \vee \dots \vee \alpha_k$
where each α_i is a conjunction of literals, is called a Disjunctive Normal Form.

Let $\omega : PV \rightarrow \{0,1\}$ = Truth Assignment

α = Boolean Formula in CNF (k-CNF)

$\exists \omega \omega \models \alpha \equiv \exists \omega \omega \alpha = 1$

= Boolean Satisfiability Problem

SAT, k-SAT (3-SAT & 2-SAT)

Logic Problems.

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SAT Is a formula satisfiable?
 $\exists \omega \omega \models \alpha$ NP-complete.
 ($\alpha = \text{CNF}$)

TAUT Is a formula a tautology?
 $\forall \omega \omega \models \alpha$ (Negation of $(\exists \omega \omega \models \neg \alpha)$) coNP-complete
 ($\alpha = \text{CNF}$)

EQUIV Are two formulas semantically equivalent?
 $\forall \omega \omega \models \alpha \leftrightarrow \beta$ coNP-complete
 ($\alpha = \text{CNF}$
 $\beta = x_i \vee \neg x_i$)

1) $k\text{-SAT} \rightsquigarrow 3\text{-SAT}$. ($3\text{-SAT} = \text{NPC}$).

Clauses: $C = \{c_1, c_2, \dots, c_m\}$

Variables: $u = \{u_1, u_2, \dots, u_n\}$

$c_i \in C \rightarrow$ literals $\Rightarrow \{z_1, z_2, \dots, z_k\}$ $z_j \in \{u_{jr}, \bar{u}_{jr}\}$

For each clause c_i introduce additional variables:

$\{y_{i1}, y_{i2}, \dots, u_{i, k-3}\}$ $k \geq 3$

$\{y_{i1}, y_{i2}\}$ $k \leq 3$

$$k=1 \quad C_i = z_1 \quad C'_i = (z_1 \vee y_{i_1} \vee y_{i_2}) \wedge (z_1 \vee \bar{y}_{i_1} \vee y_{i_2}) \wedge (z_1 \vee y_{i_1} \vee \bar{y}_{i_2}) \wedge (z_1 \vee \bar{y}_{i_1} \vee \bar{y}_{i_2})$$

$$k=2 \quad C_i = z_1 \vee z_2 \quad C'_i = (z_1 \vee z_2 \vee y_{i_1}) \wedge (z_1 \vee z_2 \vee \bar{y}_{i_1})$$

$$k=3 \quad C_i = z_1 \vee z_2 \vee z_3 \quad C'_i = (z_1 \vee z_2 \vee z_3)$$

$$k > 3 \quad C_i = (z_1 \vee z_2 \vee \dots \vee z_k) \\ C'_i = (z_1 \vee z_2 \vee y_{i_1}) \wedge (\bar{y}_{i_1} \vee z_3 \vee y_{i_2}) \wedge \dots \wedge (\bar{y}_{i_{l-2}} \vee z_l \vee y_{i_{l-1}}) \wedge \dots \wedge (\bar{y}_{i_{k-3}} \vee z_{k-1} \vee z_k)$$

- a) $z_1 = T \text{ or } z_2 = T \quad \forall_j y_{ij} = F.$
- b) $z_{k-1} = T \text{ or } z_k = T \quad \forall_j y_{ij} = T$
- c) $z_l = T \quad \forall_{j \leq l-2} y_{ij} = T \quad \& \quad \forall_{j \geq l-1} y_{ij} = F$

$$d) \quad \forall_l z_l = F \quad C'_i \equiv y_{i_1} \wedge (\bar{y}_{i_1} \vee y_{i_2}) \wedge \dots \wedge (\bar{y}_{i_{k-4}} \vee y_{i_{k-3}}) \wedge \bar{y}_{i_{k-3}} \\ \equiv y_{i_1} \wedge (y_{i_1} \rightarrow y_{i_2}) \wedge \dots \wedge (y_{i_{k-4}} \rightarrow y_{i_{k-3}}) \wedge \bar{y}_{i_{k-3}} \\ \equiv y_{i_{k-3}} \wedge \bar{y}_{i_{k-3}} \equiv \perp$$

3-SAT = NP Complete.

Two Ideas (Polynomial Cases)

KROM-SAT

HORN-SAT.

KROM- Clause
Every clause is a disjunction of <= 2 literals.

HORN- Clause
Every clause is a disjunction of literals in which all or nearly all of the literals are negated

x1 v ~x3
= ~x1 -> ~x3
x1
= T -> x1
:

x v ~y v ~z
= y ^ z -> x
~x v ~y
= x ^ y -> ~x
x
= T -> x

Strongly Connected Component in a graph

G = (V, E)

V = { xi, ~xi | 1 <= i <= n }

(u, v) in E iff u -> v
= ~v -> ~u
iff (~v, ~u) in E

Truth Propagation.

Initialize: w: PV -> 0
satisfies { x ^ y -> ~
x ^ y ^ z -> v
But not T -> x (unit clause)

Update: Find a clause whose r.h.s is not satisfied
FLIP it

Repeat: ...

TWO RANDOMIZED ALGORITHMS. 3-SAT.

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1) while # iterations $< 20 \left(\frac{3}{2}\right)^m$ do ← # clauses.

Initialize $G := F$

while $G \neq 2\text{-CNF}$ do

Choose a 3-CNF clause in G . $\equiv c$

$c \rightarrow c'$ (remove a literal from c randomly)

$G := (G \setminus c) \cup \{c'\}$

Run 2-SAT on G

If $G = \text{SAT}$ ~~not then~~ return TRUE ~~else~~
return FALSE.

2) while # iterations $< 10n^2 \left(\frac{4}{3}\right)^n$ do

Let A be an assignment for F (Random)

while # iterations $< 3n$ do

if A satisfies F , return SAT.

else find a clause $c \in F$ not satisfied
Randomly pick a literal and FLIP.

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Best complexity bound is  $(1.508)^n$  Hooghi.