

# Continuous Shape Transformation and Metrics on Regions \*

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**Abstract.** A natural approach to defining continuous change of shape is in terms of a metric that measures the difference between two regions. We consider four such metrics over regions: the Hausdorff distance, the dual-Hausdorff distance, the area of the symmetric difference, and the optimal-homeomorphism metric (a generalization of the Fréchet distance). Each of these gives a different criterion for continuous change. We establish qualitative properties of all of these; in particular, the continuity of basic functions such as union, intersection, set difference, area, distance, and smoothed circumference and the transition graph between RCC-8 relations.

We also show that the history-based definition of continuity proposed by Muller is equivalent to continuity with respect to the Hausdorff distance. An examination of the difference between the transition rules that we have found for the Hausdorff distance and the transition theorems that Muller derives leads to the conclusion that Muller's analysis of state transitions is not adequate. We propose an alternative characterization of transitions in Muller's first-order language over histories.

## 1. Introduction

Many physical processes — biological growth, movement of a string, inflation of a balloon, bending of a rod, evaporation of a puddle, and so on — involve the continuous change in the shape of an object. The knowledge that the shape is a continuous function of time is an important spatio-temporal constraint in qualitative reasoning about the process.

The first studies in the AI literature of continuous change of shape mostly proceeded by postulating desired properties. Randell, Cui, and Cohn [15] provide constraints on the transitions between the topological (RCC-8) relations that can occur in continuous shape change;

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for example, if object A is disconnected from object B at time  $t_1$  and A overlaps B at time  $t_2 > t_1$  then A must be externally connected to B at some time in between. Muller [14] gives a first-order characterization of continuous change in a topological language of space and time; we will discuss this in detail below (section 6.)

More recently, Galton [6,7] has adopted a mathematically more conventional approach, defining a metric over the space of regions and then using the standard epsilon-delta definition of continuity. Such an approach has two advantages. First, standard theorems about continuous functions come for free; for example, that the composition of two continuous functions is continuous. Second, by providing a semantic grounding for continuity, it allows one to prove the correctness of a transition graph like Randell, Cui, and Cohn’s, or of an axiomatic characterization like Muller’s rather than just positing them.

However, there is no standard metric over spatial regions. Rather, a number of different metrics suitable for different purposes are defined in the literature. Galton [7] studies five metrics on regions: the Hausdorff distance between the regions, the Hausdorff distance between the boundaries, the dual-Hausdorff distance, the area of the symmetric difference, and the Fréchet distance between the boundaries. Mumford [13] surveys six different metrics that have been proposed as similarity measures in computer vision: two of Galton’s and four others. What functions are continuous, and therefore what properties are held by all continuous functions, depend on the metric used. As we shall discuss below, different metric functions on shapes are associated with different physical scenarios, with different methods of obtaining shape information, and with different shape representations.

This paper continues Galton’s approach, developing a more extensive analysis of the qualitative properties of continuous shape transformation, where “continuous” is defined relative to a variety of metrics over regions. That is, the epsilon-delta definition of continuity can be applied to any metric over regions to give a definition of continuous shape transformation. The four different metrics that we shall consider yield four different concepts of continuous shape transformation. The object of this paper is to explore important qualitative properties of these four different concepts of continuous shape transformation.

The properties that we will consider are:

- The continuity or discontinuity of a number of basic functions: union, set-difference, intersection, area, distance, diameter, in-radius, smoothed circumference, the convex-hull function, and the projection function (section 4).
- The transition graph between binary topological relations (section 5).

The metrics we consider are the Hausdorff distance, the dual-Hausdorff distance, the area of the symmetric difference, and the optimal-homeomorphism metric — the same as Galton’s, except that we replace the Fréchet distance between boundaries by the more general optimal-homeomorphism metric, and we drop the Hausdorff distance between boundaries, which, as Galton observes, does not have very natural or useful behavior.<sup>1</sup>

Furthermore, we show that the history-based definition of continuity proposed by Muller [14] is equivalent to continuity with respect to the Hausdorff distance (section 6).

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<sup>1</sup>It may be of interest to observe that this paper was developed entirely independently of Galton’s; the author became aware of [6,7] only after the first draft of this was complete. The fact that both lines of research converged on the identical choices of metrics is therefore interesting.

Determining the continuity properties of different metrics is also relevant to the problem of spatial computing with shape tolerances (e.g. [2,11,16]). If we determine, for instance, that the area of a region is continuous with respect to the metric  $d_A(\mathbf{P}, \mathbf{Q})$  that means that if we have an approximation  $\mathbf{Q}$  of shape  $\mathbf{P}$  and  $d_A(\mathbf{P}, \mathbf{Q})$  is sufficiently small, then we are justified in using  $\text{area}(\mathbf{Q})$  as an estimate of  $\text{area}(\mathbf{P})$ . If no such continuity property holds, then the estimate of the area may be very far off.

The issue has a similar importance in computer vision. A key step in many computer vision system is to match a region found in an image against a model or against a region found in a different image. The criterion of matching can often be posed in terms of closeness relative to some metric on regions. If so, then continuity properties can be important in various ways. For example, if the area function is continuous relative to the matching criterion, then a necessary condition for closeness in the metric is closeness in area, and so a wide discrepancy in area can be used to prune out invalid matches. If the area function is discontinuous, then such pruning is not reliably safe.

Throughout this paper, we will take the space of regions to be all normal<sup>2</sup> bounded regions in Euclidean space. Somewhat surprisingly, for the purposes of this paper it makes very little difference whether we require all regions to be connected, or whether we allow disconnected regions. Also, the dimensionality of the space makes very little difference. For convenience of writing and of constructing diagrams, we will mostly speak of regions in the plane, but, except for Theorem 4.2 below, essentially everything applies, with obvious changes, to regions in three-space or higher dimensions. (One-dimensional space, of course, is rather different.)

Section 2 presents the various metrics on shapes that we consider in this paper. Since our intended application is physical reasoning, we focus on metrics where the constraint of continuous change has a natural physical interpretation. Section 3 discusses some basic concepts of topology that are used later in the paper. This paper adduces a large number of theorems; however, almost all of them are quite straightforward. The few proofs of any difficulty are presented in appendix A.

## 2. Metrics over regions

In this section, we define four different metrics that measure the difference between regions  $\mathbf{A}$  and  $\mathbf{B}$ .

**Definition 2.1.** The *Hausdorff* distance from  $\mathbf{A}$  to  $\mathbf{B}$  is defined as the maximum of either the maximal distance from a point  $\mathbf{p} \in \mathbf{A}$  to  $\mathbf{B}$  or the maximal distance from a point  $\mathbf{q} \in \mathbf{B}$  to  $\mathbf{A}$ .

$$d_H(\mathbf{A}, \mathbf{B}) = \max(\sup_{\mathbf{p} \in \mathbf{A}} \inf_{\mathbf{q} \in \mathbf{B}} d(\mathbf{p}, \mathbf{q}), \sup_{\mathbf{q} \in \mathbf{B}} \inf_{\mathbf{p} \in \mathbf{A}} d(\mathbf{p}, \mathbf{q}))$$

We denote the closure of the complement of region  $\mathbf{R}$  as  $\mathbf{R}^c$ .

**Definition 2.2.** The *dual-Hausdorff* distance from  $\mathbf{A}$  to  $\mathbf{B}$ , denoted “ $d_{Hd}(\mathbf{A}, \mathbf{B})$ ”, is the maximum of the Hausdorff distance between  $\mathbf{A}$  and  $\mathbf{B}$  and the Hausdorff distance between their complements.

$$d_{Hd}(\mathbf{A}, \mathbf{B}) = \max(d_H(\mathbf{A}, \mathbf{B}), d_H(\mathbf{A}^c, \mathbf{B}^c))$$

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<sup>2</sup>A region is normal if it is equal to the closure of its interior.

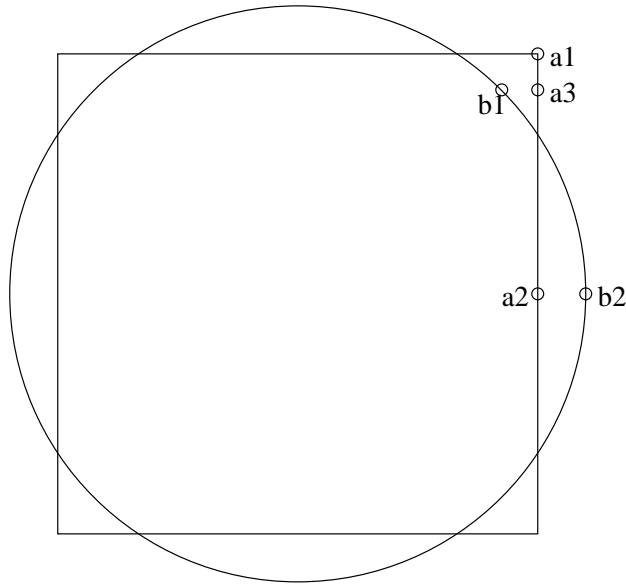


Figure 1. Hausdorff and dual-Hausdorff distances: Example 1

**Example 1:** Let  $\mathbf{A}$  be the square with vertices  $\langle 1, 1 \rangle, \langle -1, 1 \rangle, \langle -1, -1 \rangle, \langle 1, -1 \rangle$  and let  $\mathbf{B}$  be the circle centered at the origin of radius 1.2 (Figure 1). Then the distance from the point  $\mathbf{a1} = \langle 1, 1 \rangle$  in  $\mathbf{A}$  to the closest point  $\mathbf{b1} = \langle 0.6\sqrt{2}, 0.6\sqrt{2} \rangle$  in  $\mathbf{B}$  is  $\sqrt{2} - 1.2 \approx 0.214$ . Moreover, this is the greatest distance from any point in  $\mathbf{A}$  to the closest point in  $\mathbf{B}$ . The distance from the point  $\mathbf{b2} = \langle 1.2, 0 \rangle$  in  $\mathbf{B}$  to the nearest point  $\mathbf{a2} = \langle 1, 0 \rangle$  in  $\mathbf{A}$  is 0.2. Moreover, this is the greatest distance from any point in  $\mathbf{B}$  to the nearest point in  $\mathbf{A}$ . Therefore, the Hausdorff distance between  $\mathbf{A}$  and  $\mathbf{B}$ ,  $d_H(\mathbf{A}, \mathbf{B}) = \max(0.214, 0.2) = 0.214$ .

The Hausdorff distance between  $\mathbf{A}^c$  and  $\mathbf{B}^c$  is computed as follows: The distance from the point  $\mathbf{b1} = \langle 0.6\sqrt{2}, 0.6\sqrt{2} \rangle$  in  $\mathbf{B}^c$  to the closest point  $\mathbf{a3} = \langle 1, 0.6\sqrt{2} \rangle$  in  $\mathbf{A}^c$  is equal to  $1 - 0.6\sqrt{2} = 0.151$ . Moreover, this is the greatest distance from any point in  $\mathbf{B}^c$  to  $\mathbf{A}^c$ . The distance from the point  $\mathbf{a2} = \langle 1, 0 \rangle$  in  $\mathbf{A}^c$  to the point  $\mathbf{b2} = \langle 1.2, 0 \rangle$  in  $\mathbf{B}^c$  is 0.2. Moreover, this is the greatest distance from any point in  $\mathbf{A}^c$  to  $\mathbf{B}^c$ . Thus, the Hausdorff distance from  $\mathbf{A}^c$  to  $\mathbf{B}^c$ ,  $d_H(\mathbf{A}^c, \mathbf{B}^c) = \max(0.151, 0.2) = 0.2$ .

The dual-Hausdorff distance from  $\mathbf{A}$  to  $\mathbf{B}$ ,  $d_{Hd}(\mathbf{A}, \mathbf{B}) = \max(d_H(\mathbf{A}, \mathbf{B}), d_H(\mathbf{A}^c, \mathbf{B}^c)) = 0.214$ .

**Example 2:** Figure 2 illustrates the difference between the Hausdorff distance and the dual Hausdorff distance. In the figure on the left, let  $\mathbf{P}$  be the square and let  $\mathbf{Q}$  be the union of all the small circles. Then the Hausdorff distance between  $\mathbf{P}$  and  $\mathbf{Q}$ ,  $d_H(\mathbf{P}, \mathbf{Q})$  is equal to half the distance between two consecutive circles on a diagonal. The midpoint of any such diagonal is the point in  $\mathbf{P}$  that is furthest from  $\mathbf{Q}$ . However, the dual Hausdorff distance between  $\mathbf{P}$  and  $\mathbf{Q}$ ,  $d_{Hd}(\mathbf{P}, \mathbf{Q})$  is equal to half the width of the large square; the center of the square is in  $\mathbf{Q}^c$  but no point in  $\mathbf{P}^c$  is closer than the midpoint of sides of the square.

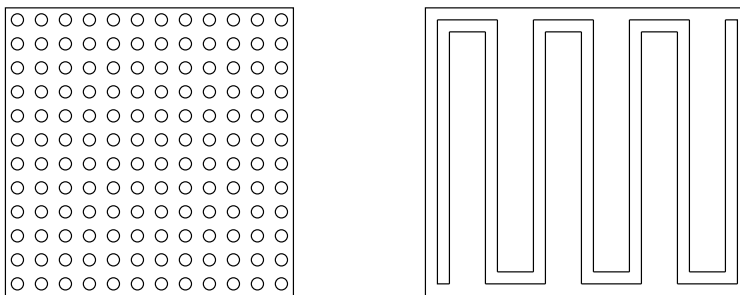


Figure 2. Hausdorff and dual-Hausdorff distances

The right-hand part of figure 2 shows that the same kind of thing can happen even if regions are required to be connected. Let  $\mathbf{P}$  be the large rectangle, and let  $\mathbf{Q}$  be the thin snaky region. Then the Hausdorff distance between them,  $d_H(\mathbf{P}, \mathbf{Q})$ , is half the distance between vertical columns of the snake; every point in  $\mathbf{P}$  is at most that distance from the nearest column of  $\mathbf{Q}$ . However, the dual-Hausdorff distance is again half the height of  $\mathbf{P}$ .

These examples, with variants, will serve as examples for almost all the differences between properties of the Hausdorff distance and the dual-Hausdorff distance mentioned in this paper.

The Hausdorff distance is well known in the literature. The dual-Hausdorff distance was introduced in [2].

The constraint that shapes change continuously relative to the Hausdorff distance corresponds to physical scenarios such as the region occupied by a quantity of gas in a vacuum. The Hausdorff distance between the regions occupied by the gas at time T1 and T2 corresponds to the maximum distance between the position of a molecule at T1 and its position at T2 (more precisely, the Hausdorff distance is the minimal possible value of the latter, over all possible ways of rearranging the molecules between the two scenarios). Therefore, the physical constraint that each molecule moves continuously corresponds to the constraint that the region occupied by the gas changes continuously relative to the Hausdorff distance.

Similarly, the constraint that shapes change continuously relative to the dual-Hausdorff distance corresponds to physical scenarios such as the region occupied by bubbles of gas inside a liquid. Since both the molecules of the gas and the molecules of the liquid must move continuously, both the region occupied by the gas and the region occupied by the liquid must change continuously in the Hausdorff distance. Since the region occupied by the liquid is the complement of the region occupied by the gas, the region occupied by the gas changes continuously in the dual-Hausdorff distance.

**Definition 2.3.** The metric  $d_A(\mathbf{P}, \mathbf{Q})$  is defined as the area of the symmetric difference  $(\mathbf{P} - \mathbf{Q}) \cup (\mathbf{Q} - \mathbf{P})$ . For example, in figure 1, the symmetric difference between  $\mathbf{A}$  and  $\mathbf{B}$  consists of the four corners of the square that lie outside the circle together with the four “sides” of the circle that lie outside the square. The area of this region is 0.92.

The following physical scenario gives rise to continuous change in the metric  $d_A(\mathbf{P}, \mathbf{Q})$ : Imagine that it has been raining over an uneven parking lot, so that the lot is now full of

puddles. The rain now stops and the puddles gradually evaporate. Let  $\mathbf{R}(t)$  be the region occupied by all the puddles at time  $t$  (either the two- or the three-dimensional region.) Then  $\mathbf{R}(t)$  changes continuously relative to the metric  $d_A(\mathbf{P}, \mathbf{Q})$ .

The metric  $d_A(\mathbf{P}, \mathbf{Q})$  also corresponds to the following method of evaluating the difference between two regions: Fix a standard large region  $\mathbf{O}$  containing all the regions of interest, and sample points at random within  $\mathbf{O}$ . For a fixed sample size, the number of points which differ on  $\mathbf{P}$  and  $\mathbf{Q}$  — that is, either lie in  $\mathbf{P}$  and not in  $\mathbf{Q}$  or vice versa — is proportional to  $d_A(\mathbf{P}, \mathbf{Q})$ .

The metric  $d_A(\mathbf{P}, \mathbf{Q})$  is very easy to compute in a bit vector representation; it is simply the number of pixels in  $\mathbf{P} \text{ XOR } \mathbf{Q}$ .

**Definition 2.4.** The *optimal homeomorphism* metric between  $\mathbf{P}$  and  $\mathbf{Q}$ , denoted  $d_O(\mathbf{P}, \mathbf{Q})$ , is defined as follows: If  $\sigma$  is a homeomorphism<sup>3</sup> from the plane to itself, we define  $c(\sigma)$ , the cost of  $\sigma$ , to be the least upper bound of  $d(\mathbf{x}, \sigma(\mathbf{x}))$  over all points  $\mathbf{x}$  in the plane. Then  $d_O(\mathbf{P}, \mathbf{Q})$  is defined as the minimum value of  $c(\sigma)$  over all homeomorphisms  $\sigma$  over the plane such that  $\sigma(\mathbf{P}) = \mathbf{Q}$ .

Physically, continuous motion with respect to the metric  $d_O$  corresponds to the following scenario: Draw the region  $\mathbf{P}$  on a transparent rubber sheet, and draw  $\mathbf{Q}$  on a table. Now consider methods for continuously deforming the sheet without tearing or folding it so that  $\mathbf{P}$  lies on top of  $\mathbf{Q}$ . The “best” such method is considered to be the method that moves the points in the sheet as little as possible.

Figure 3 illustrates the difference between the dual-Hausdorff distance and the optimal-homeomorphism distance. Let  $\mathbf{P}$  be the inner rectangle, and let  $\mathbf{Q}$  be the outer figure, consisting of a rectangle and a peninsula. The dual-Hausdorff distance between regions  $\mathbf{P}$  and  $\mathbf{Q}$  is equal to the distance from  $\mathbf{a}$  to  $\mathbf{b}$ . Every point in  $\mathbf{P}$  is within  $d(\mathbf{a}, \mathbf{b})$  of a point in  $\mathbf{Q}$  and vice versa, and every point in  $\mathbf{P}^c$  is within  $d(\mathbf{a}, \mathbf{b})$  of a point in  $\mathbf{Q}^c$ , and vice versa. On the other hand, the optimal homeomorphism distance from  $\mathbf{P}$  to  $\mathbf{Q}$  is equal to the distance from  $\mathbf{m}$  to  $\mathbf{b}$ . The optimal homeomorphism associates the whole “peninsula” of  $\mathbf{Q}$  with a small neighborhood of the point  $\mathbf{m}$  in  $\mathbf{P}$ , and the whole “inlet” of  $\mathbf{Q}^c$  with a small neighborhood of  $\mathbf{m}$  in  $\mathbf{P}^c$ .

The optimal-homeomorphism distance is closely related to the Fréchet distance between the boundaries, considered by Galton [7]. In particular, it is easily shown that the former is always at least as large as the latter. We conjecture, though we have not found a proof, that, for simply connected regions in the plane, the two metrics are equal. The metric  $d_O$  is more general as it applies to any regular regions in spaces of any dimensionality, whereas the Fréchet metric between boundaries applies only to simply-connected regions in the plane.

Alt and Godau [1] give an algorithm for computing the Fréchet distance between polygonal paths in the plane. If our above conjecture is correct, then this will suffice for computing the optimal-homeomorphism distance between simply-connected polygonal regions.

One defect of the optimal-homeomorphism metric is that if  $\mathbf{P}$  and  $\mathbf{Q}$  are not homeomorphic then  $d_O(\mathbf{P}, \mathbf{Q}) = \infty$ , so it gives no measure of greater and lesser similarity among non-homeomorphic pairs of regions.

**Theorem 2.1.** *The four functions  $d_H$ ,  $d_{Hd}$ ,  $d_A$ , and  $d_O$  are all metrics over the space of bounded regular regions.*

The proofs for  $d_H$ ,  $d_{Hd}$  and  $d_A$  are given by Galton [7]. The proof for  $d_O$  is straightforward.

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<sup>3</sup>A homeomorphism is a continuous one-to-one function whose inverse is also continuous.

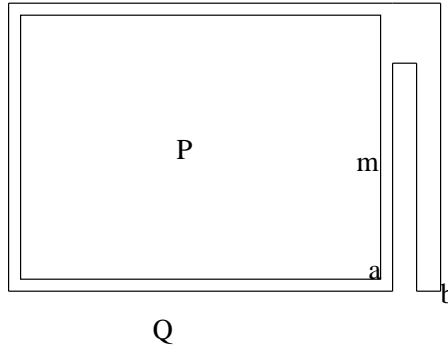


Figure 3. Dual-Hausdorff distance and optimal homeomorphism distance

### 3. Comparative topologies

We now discuss the topologies that these four metrics induce over the space of regular regions. As this space is rather abstract and likely to be unfamiliar, it will be helpful to review some basic definitions from point-set topology:<sup>4</sup>

**Definition 3.1.** A *topology* over a space  $\mathcal{S}$  is a collection  $\mathcal{O}$  of subsets of  $\mathcal{S}$  with the following four properties:

- $\mathcal{S}$  is an element of  $\mathcal{O}$ .
- The empty set is an element of  $\mathcal{O}$ .
- If  $\mathcal{T}$  is a subcollection of  $\mathcal{O}$ , then the union of the sets in  $\mathcal{T}$  is an element of  $\mathcal{O}$ .
- If  $P$  and  $Q$  are elements of  $\mathcal{O}$  then  $P \cap Q$  is an element of  $\mathcal{O}$ .

The elements of  $\mathcal{O}$  are called the *open sets* in the topology. Set  $P$  is said to be *closed* in the topology if  $\mathcal{S} - P$  is open.

**Definition 3.2.** Let  $P, Q$  be subsets of  $\mathcal{S}$ . The *interior* of  $P$  is the union of all open subsets of  $P$ . The *closure* of  $P$  is the intersection of all closed supersets of  $P$ .  $P$  is said to be *dense* in  $Q$  if  $Q$  is a subset of the closure of  $P$ .

**Definition 3.3.** Let  $\mu$  is a metric over a space  $\mathcal{S}$ , let  $x \in \mathcal{S}$  and let  $\epsilon > 0$ . The *open ball* of radius  $\epsilon$  around  $x$ , denoted  $B_\mu(x, \epsilon)$  is the set of all points in  $\mathcal{S}$  within  $\epsilon$  of  $x$ .

$$B_\mu(x, \epsilon) = \{y \in \mathcal{S} \mid \mu(y, x) < \epsilon\}$$

If  $\mu$  is a metric over a space  $\mathcal{S}$ , then the topology associated with  $\mu$  is defined as follows: A set  $O$  is open relative to  $\mu$  if, for every point  $x \in O$  there exists an  $\epsilon > 0$  such that  $B_\mu(x, \epsilon) \subset O$ .

Given two different topologies  $\mathcal{O}$  and  $\mathcal{U}$  over the same set  $\mathcal{S}$ , we say that  $\mathcal{O}$  is *finer* than  $\mathcal{U}$  if every open set in  $\mathcal{O}$  is also open in  $\mathcal{U}$ . We say that  $\mathcal{O}$  is *strictly finer* than  $\mathcal{U}$  if  $\mathcal{O}$  is finer than  $\mathcal{U}$  but  $\mathcal{U}$  is not finer than  $\mathcal{O}$ .

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<sup>4</sup>We have already used some of these terms in connection with the topology of Euclidean space; however, that is a simpler and more familiar context.

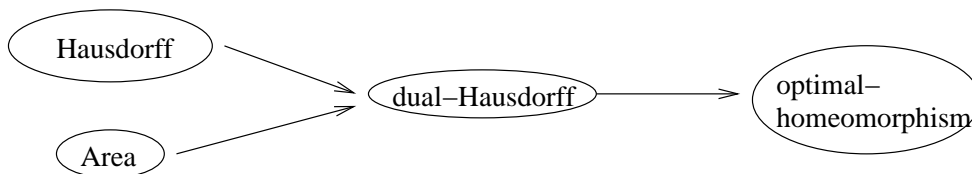


Figure 4. Comparative fineness of metrics

Figure 4 shows the comparative fineness of the topologies generated by our four metrics. That is,  $d_A$  and  $d_H$  are incomparable;<sup>5</sup> they are both coarser than  $d_{Hd}$  which is coarser than  $d_O$ .

The standard epsilon-delta definition of continuity is applicable to any function between metric spaces:

**Definition 3.4.** Let  $\mu$  be a metric over space  $\mathcal{S}$ , let  $\eta$  be a metric over space  $\mathcal{T}$ , let  $f$  be a function from  $\mathcal{S}$  to  $\mathcal{T}$ , and let  $x$  be a point in  $\mathcal{S}$ . The function  $f$  is *continuous* at  $x$  if the following holds: for every  $\epsilon > 0$  there exists a  $\delta > 0$  such that, for all  $y \in \mathcal{S}$ , if  $\eta(y, x) < \delta$  then  $\mu(f(y), f(x)) < \epsilon$ . That is, if  $y$  is chosen close enough to  $x$ , then  $f(y)$  will be close to  $f(x)$ .

The following well-known lemmas will be useful:

**Lemma 3.1.** *Let  $\mu$  and  $\eta$  be two different metrics over the same space  $\mathcal{S}$ . The topology generated by  $\mu$  is finer than the topology generated by  $\eta$  if and only if the following condition holds: For any point  $x \in \mathcal{S}$  and any infinite sequence  $y_1, y_2, \dots$  in  $\mathcal{S}$ , if the sequence of values  $\mu(y_1, x), \mu(y_2, x), \dots$  converges to 0, then the sequence  $\eta(y_1, x), \eta(y_2, x), \dots$  also converges to 0.*

**Lemma 3.2.** *Let  $\mu$  and  $\eta$  be two metrics over the same space  $\mathcal{S}$  and suppose that the topology generated by  $\mu$  is finer than the topology generated by  $\eta$ . Then*

- *Let  $f(t)$  be a function from the real line to  $\mathcal{S}$ . If  $f$  is continuous relative to  $\mu$  then  $f$  is also continuous relative to  $\eta$ .*
- *Let  $g(x)$  be a function from  $\mathcal{S}$  to the real line. If  $g$  is continuous relative to  $\eta$  then  $g$  is also continuous relative to  $\mu$ .*

Lemma 3.2 above enables us to use figure 4 to carry over continuity results from one metric to another. For example, if we show that the distance function is continuous relative to  $d_H$ , then it follows immediately that it is continuous relative to  $d_{Hd}$  and  $d_O$ .

Finally, it will be useful to distinguish two particular kinds of discontinuity:

**Definition 3.5.** A function  $f$  over space  $\mathcal{S}$  is *discontinuous everywhere* if it is discontinuous at every point of  $\mathcal{S}$ .

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<sup>5</sup>Galton [7] states, in effect, that  $d_H$  is finer than  $d_A$ . The reason for this is that he “exclude[s] ‘pathological’ cases in which the perimeters of the regions increase without limits as the Hausdorff distance between them tends to zero.”



$\mathbf{P} \text{ DC } \mathbf{Q}$	$\mathbf{P} \cap \mathbf{Q}$ is empty.
$\mathbf{P} \text{ EC } \mathbf{Q}$	$\text{Int}(\mathbf{P}) \cap \text{Int}(\mathbf{Q})$ is empty; $\text{Bd}(\mathbf{P}) \cap \text{Bd}(\mathbf{Q})$ is non-empty.
$\mathbf{P} \text{ OV } \mathbf{Q}$	$\text{Int}(\mathbf{P}) \cap \text{Int}(\mathbf{Q})$ is non-empty; $\text{Int}(\mathbf{P}) - \text{Int}(\mathbf{Q})$ is non-empty; and $\text{Int}(\mathbf{Q}) - \text{Int}(\mathbf{P})$ is non-empty.
$\mathbf{P} \text{ EQ } \mathbf{Q}$	$\mathbf{P} = \mathbf{Q}$ .
$\mathbf{P} \text{ TPP } \mathbf{Q}$	$\mathbf{P} \subset \mathbf{Q}$ ; $\mathbf{P} \neq \mathbf{Q}$ ; and $\text{Bd}(\mathbf{P}) \cap \text{Bd}(\mathbf{Q})$ is non-empty.
$\mathbf{P} \text{ NTPP } \mathbf{Q}$	$\mathbf{P} \subset \mathbf{Q}$ ; and $\text{Bd}(\mathbf{P}) \cap \text{Bd}(\mathbf{Q})$ is empty.

Table 1. RCC-8 relations

For example, the function “diameter( $\mathbf{R}$ )”, defined as the maximum distance between any two points in  $\mathbf{R}$ , is discontinuous everywhere with respect to the metric  $d_A$ ; for any region  $\mathbf{R}$ , there are regions, consisting of  $\mathbf{R}$  plus a small region at a considerable distance away, that differ from  $\mathbf{R}$  by an arbitrarily small amount in terms of the area of the symmetric difference, but have a diameter which is arbitrarily larger.

In the more familiar venue of functions from  $\mathfrak{R}^k$  to  $\mathfrak{R}^m$ , everywhere discontinuous functions are generally considered pathological. However, as we shall see, in the context of functions over regions, they are entirely standard.

**Definition 3.6.** A function  $f$  over space  $\mathcal{S}$  is *continuous almost everywhere*<sup>6</sup> if it is continuous over a dense, open set in  $\mathcal{S}$ .

If a function is not continuous, not almost everywhere continuous, and not everywhere discontinuous, we will say that it is “sometimes” discontinuous.

We will use the notations “Int( $\mathbf{R}$ )” and “Bd( $\mathbf{R}$ )” to mean the interior and boundary of region  $\mathbf{R}$ .

Finally, as we shall make repeated use in this paper of the RCC-8 relations [15] we define them in table 1 and illustrate them in figure 5.

## 4. The continuity of some basic functions

We now proceed to determine the continuity or discontinuity of some basic spatial functions under the various metrics above.

### 4.1. Some changes that must be continuous and some that must be discontinuous

There are certain types of change that, it seems reasonable to say, should be always be considered as continuous. In particular, a gradual translation, rotation, or change of scale that is continuous

<sup>6</sup>Note that this definition does not require that  $\mathcal{S}$  be a measure space. It is not easy to define a reasonable measure over the space of regions. If  $\mathcal{S}$  is a measure space, then this condition is sufficient, though not necessary, for the condition, “continuous except over a set of measure zero.”

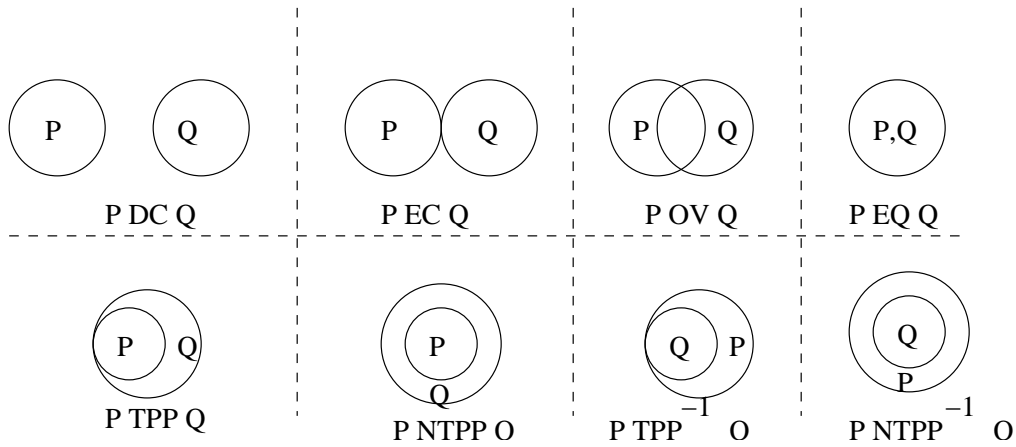


Figure 5. The RCC-8 relations

in the controlling parameter, should be considered as a continuous change by *any* reasonable theory of continuous change of shape. This indeed holds in all of the four metrics above.

A natural generalization of this condition is the following rule:

**Rule 1:** Let  $L(t)$  be a continuous function from time  $t$  to the space of non-singular linear transformations. Let  $\mathbf{P}$  be a bounded, regular region. Then the function of  $t$ ,  $\text{apply}(L(t), \mathbf{P})$  is a continuous function from time to regions.

This includes continuous translations, rotations, and skewings (affine transformations).

At the other extreme, it seems clear that a change that involves an entire circle or other open region instantaneously appearing out of nowhere or disappearing into nowhere must be considered discontinuous. At least, if this is not discontinuous, it is hard to see what would be discontinuous.

**Rule 2:** Let  $\mathbf{O}$  be an open region, and let  $L(t)$  be a function from time  $t$  to the space of regions. If  $L(t)$  is disjoint from  $\mathbf{O}$  for all  $t < 0$  and  $L(t)$  contains  $\mathbf{O}$  for all  $t > 0$ , then  $L$  is discontinuous at  $t = 0$ .

It is easily seen that rules 1 and 2 hold in all four of our metrics. (This is not as trivial an observation as it seems. For instance, if unbounded regions are admitted, then rotations and scalings are discontinuous in all our metrics, and translations are discontinuous relative to the metric  $d_A$ .)

## 4.2. Measures

We now consider the continuity of a number of real-valued functions over the space of regions.

The function  $\text{area}(\mathbf{R})$  is continuous under the metrics  $d_A$ ,  $d_{Hd}$  and  $d_O$ . It is everywhere discontinuous under the metric  $d_H$ . Figure 2 illustrates the discontinuity of the area function under  $d_H$ . Clearly, by making the dots smaller and closer together, or making the snake narrower and its bands closer together, the Hausdorff distance between  $\mathbf{P}$  and  $\mathbf{Q}$  may be made arbitrarily small, even while the area of  $\mathbf{Q}$  approaches zero.

The functions  $\text{distance}(\mathbf{P}, \mathbf{Q})$  and  $\text{diameter}(\mathbf{P})$  are continuous under the metrics  $d_H$ ,  $d_{Hd}$  and  $d_O$ . They are everywhere discontinuous under the metric  $d_A$ .

The radius of the largest inscribed circle in  $\mathbf{P}$  is continuous under the metrics  $d_{Hd}$  and  $d_O$ . It is everywhere discontinuous under the metrics  $d_A$  and  $d_H$ .

The circumference of  $\mathbf{P}$  is not continuous under any of these metrics, since it can always be made arbitrarily long by adding sufficiently many, arbitrarily small, notches on the boundary. However, there is a smoothed version of the circumference that is continuous under the metric  $d_O$ .

**Definition 4.1.** Let  $\phi$  be a simple curve in the plane, and let  $\Delta > 0$  be a distance. Let  $A(\phi, \Delta)$  be the set of all paths  $\psi$  such that  $d_O(\phi, \psi) \leq \Delta$ . We define the  $\Delta$ -smoothed length of  $\phi$  to be the greatest lower bound over the arc-length of paths in  $A(\phi, \Delta)$ .

$$\text{smooth}(\phi, \Delta) = \inf_{\psi \in A(\phi, \Delta)} \text{length}(\psi).$$

(One might ask, why use the metric  $d_O$  in definition 4.1 rather than  $d_H$ ? The answer is that the corresponding function defined with  $d_H$  is not at all well behaved. It is not continuous under  $\Delta$  and it is not continuous even under uniform expansion of the curve  $\phi$ .)

**Definition 4.2.** The  $\Delta$ -smoothed circumference of region  $\mathbf{P}$  is the sum of the  $\Delta$ -smoothed lengths of the boundaries of  $\mathbf{P}$ .

**Theorem 4.1.** Let  $\mathbf{P}$  range over the space of bounded, regular regions in the plane, with finitely many, piecewise smooth boundaries. For  $\Delta > 0$ , the  $\Delta$ -smoothed circumference of  $\mathbf{P}$  is a continuous function of  $\mathbf{P}$  under the metric  $d_O$ . It is everywhere discontinuous under the metrics  $d_A$ ,  $d_H$ , or  $d_{Hd}$ .

A similar result applies to the length of paths within the region.

**Definition 4.3.** Let  $\mathbf{R}$  be a connected normal region and let  $\Delta > 0$  be a distance. For any two points  $\mathbf{x}$  and  $\mathbf{y}$  in  $\mathbf{R}$ , define the  $\Delta$ -smoothed path-distance from  $\mathbf{x}$  to  $\mathbf{y}$  through  $\mathbf{R}$  to be the greatest lower bound of the  $\Delta$ -smoothed length of  $\phi$  over all curves  $\phi$  such that  $\mathbf{x}, \mathbf{y} \in \phi \subset \mathbf{R}$ . Define the  $\Delta$ -smoothed path diameter to be the maximum over all  $\mathbf{x}, \mathbf{y}$  of the  $\Delta$ -smoothed path-distance from  $\mathbf{x}$  to  $\mathbf{y}$  through  $\mathbf{R}$ .

**Theorem 4.2.** For  $\Delta > 0$ , the  $\Delta$ -smoothed path diameter of  $\mathbf{P}$  is a continuous function of  $\mathbf{P}$  under the metric  $d_O$ . It is everywhere discontinuous under the metrics  $d_A$ ,  $d_H$ , or  $d_{Hd}$ .

The proofs of theorems 4.1 and 4.2 are given in section 8.2.

Theorem 4.1 can be visualized physically as follows: Suppose that you plan to walk around the shore of a lake  $\mathbf{P}$ , and you want to determine how long a walk that is by looking at a map. The theorem states that, if you have some leeway  $\Delta$  in how close you have to stay to the exact shore of the lake (e.g. you must always be within 5 feet, or always within 1 foot, or always within 2 inches), and if the map is accurate enough in the sense of  $d_O$ , then you can estimate the length of your walk. Theorem 4.2 states the same thing with regard to walking through a field  $\mathbf{P}$ , as long as you have some small leeway  $\Delta$  in being allowed to step outside the field.

Theorem 4.2 holds for regions of arbitrary dimensionality. We do not know whether theorem 4.1 can be generalized to apply to the smoothed surface area of three-dimensional regions. Certainly the proof given in section 8.2 does not generalize in any obvious way to surface area.

	$d_A$	$d_H$	$d_{Hd}$	$d_O$
area	Cont.	Discont.	Cont.	Cont.
distance, diameter	Discont.	Cont.	Cont.	Cont.
in-radius	Discont.	Discont.	Cont.	Cont.
$\Delta$ -smoothed circumference, path diameter	Discont.	Discont.	Discont.	Cont.
Union, NormInt, NormDist	Cont	Discont.	a.e. Cont	Sometimes
convex hull	Discont.	Cont.	Cont.	Cont.
projection	Discont.	Cont.	a.e. Cont.	Discont.

Table 2. Continuity of some basic functions

### 4.3. Functions from regions to regions

**Definition 4.4.** Let  $\mathbf{Z}$  be any point set. The partial function “Norm( $\mathbf{Z}$ )”, called the non-null normalization of  $\mathbf{Z}$ , is defined as the closure of the interior of  $\mathbf{Z}$ , if this is non-empty; else it is undefined. The partial function NormInt( $\mathbf{P}, \mathbf{Q}$ ) is defined as Norm( $\mathbf{P} \cap \mathbf{Q}$ ). The partial function NormDiff( $\mathbf{P}, \mathbf{Q}$ ) is defined as Norm( $\mathbf{P} - \mathbf{Q}$ ).

The union function  $\mathbf{P} \cup \mathbf{Q}$  is continuous with respect to  $d_A$  and  $d_H$  [7]. The functions NormInt( $\mathbf{P}, \mathbf{Q}$ ) and NormDiff( $\mathbf{P}, \mathbf{Q}$ ) are continuous with respect to  $d_A$  and discontinuous with respect to  $d_H$  everywhere in their domain. All three functions are almost everywhere continuous with respect to  $d_{Hd}$ . Specifically,  $\mathbf{P} \cup \mathbf{Q}$  is discontinuous with respect to  $d_{Hd}$  at a pair of regions  $\mathbf{P}$  and  $\mathbf{Q}$  just if there is a point  $\mathbf{x} \in \text{Bd}(\mathbf{P}) \cap \text{Bd}(\mathbf{Q}) \cap \text{Int}(\mathbf{P} \cup \mathbf{Q})$ . NormInt( $\mathbf{P}, \mathbf{Q}$ ) is discontinuous at  $\mathbf{P}, \mathbf{Q}$  just if there exists a point  $\mathbf{x} \in \text{Bd}(\mathbf{P}) \cap \text{Bd}(\mathbf{Q})$  that is not in NormInt( $\mathbf{P}, \mathbf{Q}$ ). NormDiff( $\mathbf{P}, \mathbf{Q}$ ) is discontinuous at  $\mathbf{P}, \mathbf{Q}$  just if there exists a point  $\mathbf{x} \in \text{Bd}(\mathbf{P}) \cap \text{Bd}(\mathbf{Q})$  that is not in NormDiff( $\mathbf{P}, \mathbf{Q}$ ). (Figure 6). All three functions are sometimes discontinuous with respect to  $d_O$ ; specifically, they are discontinuous unless the boundaries of  $\mathbf{P}$  and  $\mathbf{Q}$  are disjoint.

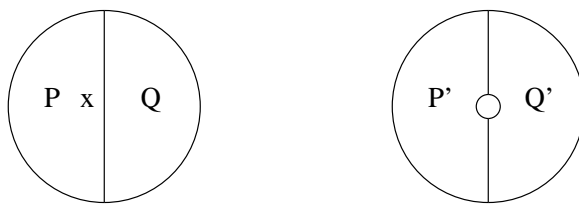
The convex-hull function is continuous with respect to  $d_H$ ,  $d_{Hd}$  and  $d_O$  but not  $d_A$ .

Projection functions, from 3-space to the plane or from the plane to the line, are continuous with respect to  $d_H$ . They are everywhere discontinuous with respect to  $d_A$  and  $d_O$ . They are almost everywhere continuous with respect to  $d_{Hd}$ . Specifically, let  $\Pi$  be a projection from space  $\mathcal{S}$  to space  $\mathcal{T}$  and let  $\mathbf{Q}$  be a region in  $\mathcal{S}$ . Then  $\Pi$  is discontinuous at  $\mathbf{Q}$  relative to  $d_{Hd}$  just if there exists a line  $\mathbf{L}$  in  $\mathcal{S}$  such that  $\Pi(\mathbf{L})$  is a single point in  $\text{Int}(\Pi(\mathbf{Q}))$  and  $\mathbf{L}$  is disjoint from  $\text{Int}(\mathbf{Q})$  (Figure 7).

Table 2 summarizes the results from this section.

## 5. Transition networks

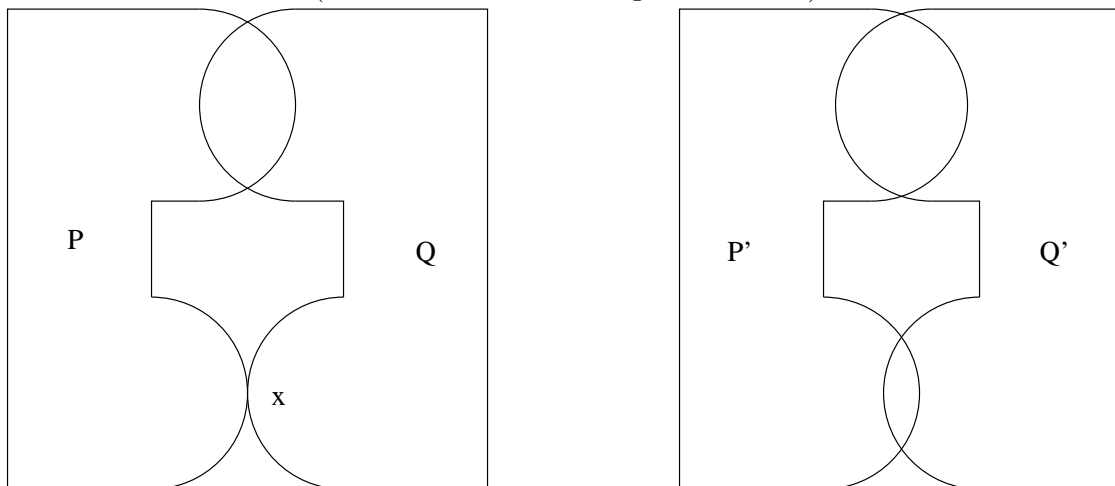
Randell, Cui, and Cohn [15] presented the transition network shown in figure 8 for the RCC-8 relations. Two relations  $X$  and  $Y$  in this network are connected by an arc if it is possible for two



$\mathbf{P \cup Q}$  is discontinuous under  $d_H$ .



$\text{NormDiff}(\mathbf{P}, \mathbf{Q})$  is discontinuous under  $d_H$ .  
 (Note: P is the entire large semi-circle.)



$\text{NormInt}(\mathbf{P}, \mathbf{Q})$  is discontinuous under  $d_H$ .

Figure 6. Discontinuities of Boolean functions

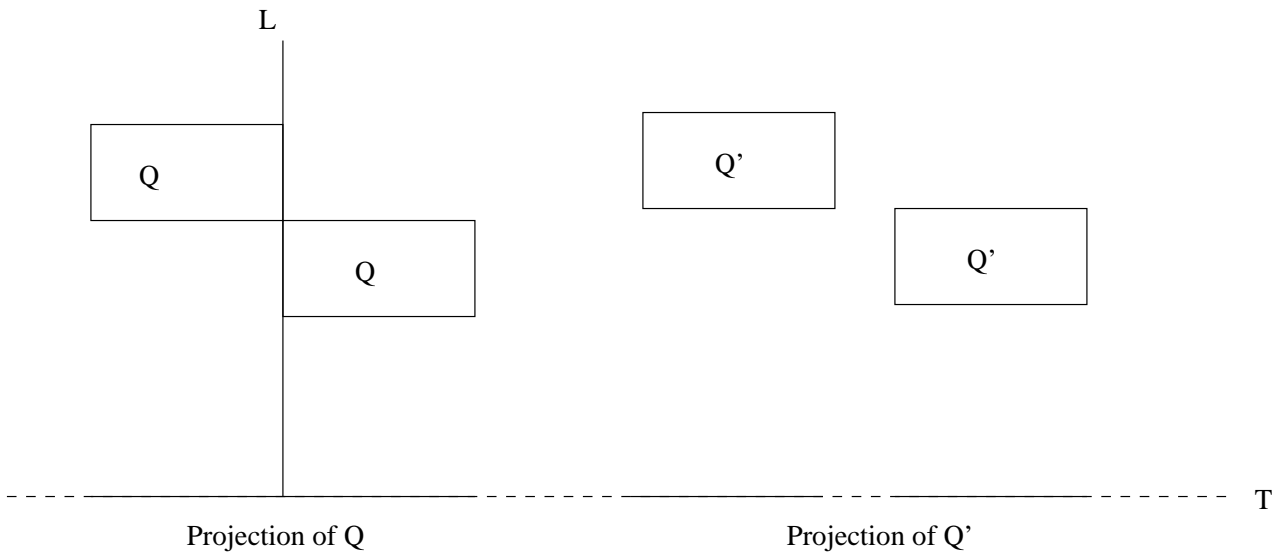


Figure 7. Discontinuity of projection function

continuous region-valued fluents  $\mathbf{P}(t)$  and  $\mathbf{Q}(t)$  to transition from the relation  $X$  to the relation  $Y$  without going through any intermediate relations. Galton [4] observes that any definition of continuous motion satisfying rule 1 must include all these transitions. Similar transition networks have been developed for other systems of binary topological relations,

Galton [5] extends the notation of figure 8 by changing the undirected edges to directed arcs. An arc from relation  $X$  to  $Y$  means that there are continuous functions  $\mathbf{f}(t)$  and  $\mathbf{g}(t)$  from time to the space of regions such that  $\mathbf{f}(0)$  and  $\mathbf{g}(0)$  are related by relation  $X$  and  $\mathbf{f}(t)$  and  $\mathbf{g}(t)$  are related by relation  $Y$  for  $t > 0$ . That is, two regions that are in relation  $X$  at one time can immediately change to relation  $Y$ . Galton’s terminology is that relation  $X$  “dominates” relation  $Y$ . Such a distinction between properties that can change immediately and those that require a finite duration to change is analogous to the QSIM rule of “ $\epsilon$ -transition.” [12]

An alternative, more abstract, interpretation<sup>7</sup> of these arcs is as follows: Let  $\mathcal{S}$  be the space of normal regions in the plane. A binary relation  $X$  can be considered as a subset of  $\mathcal{S} \times \mathcal{S}$ . There is an arc from relation  $X$  to  $Y$  if  $X$  intersects the boundary of  $Y$  within  $\mathcal{S} \times \mathcal{S}$ .

The transition networks for the RCC-8 relations for the topologies  $d_A$ ,  $d_H$ ,  $d_{Hd}$ , and  $d_O$  are displayed in Figures 9, 10, 11, and 11, respectively. (Topologies  $d_{Hd}$  and  $d_O$  have the same transitions.) The last of these is the one given by Galton [5].

In figure 10, the significance of the arrow from the dashed circle on the right to the dashed circle on the left is that *every* relation on the right can undergo a transition to *any* relation on the left. That is, there should be an arrow from each of the five states on the right to each of

<sup>7</sup>Strictly speaking, this interpretation is logically weaker than the one in the previous paragraph; that is, there could be relations that satisfy the property here but do not satisfy the property of the previous paragraph. However, this distinction does not arise with the relations and topologies that we are considering.

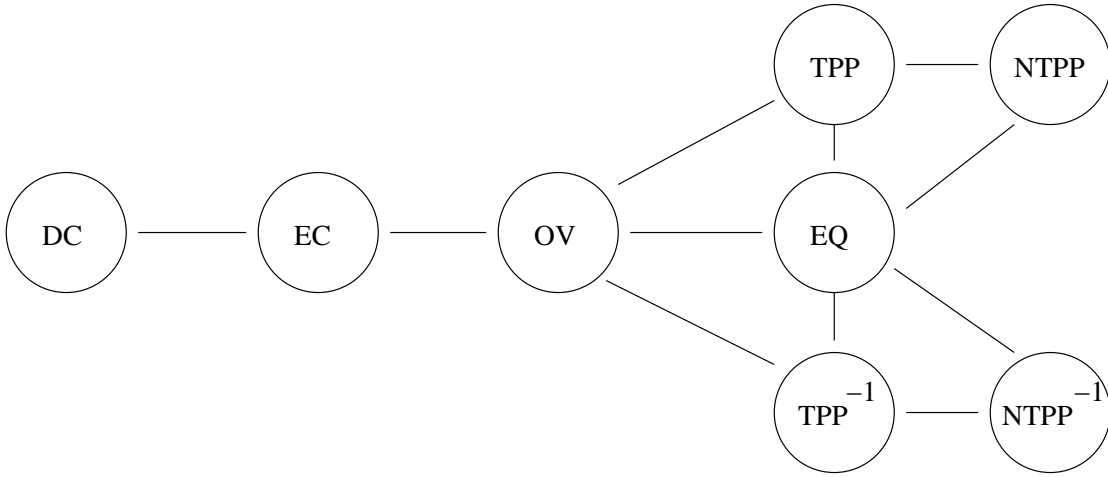


Figure 8. Undirected transition graph from (Randell, Cui, and Cohn, 1992)

the three states on the left; however, we have summarized these in terms of the dashed circles, in order to simplify the diagram.

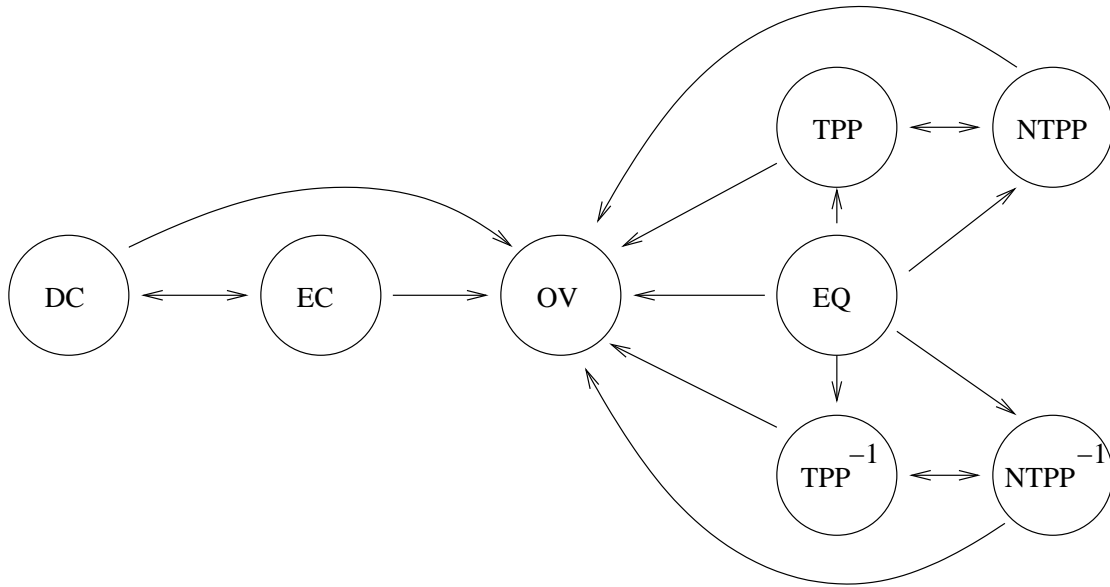
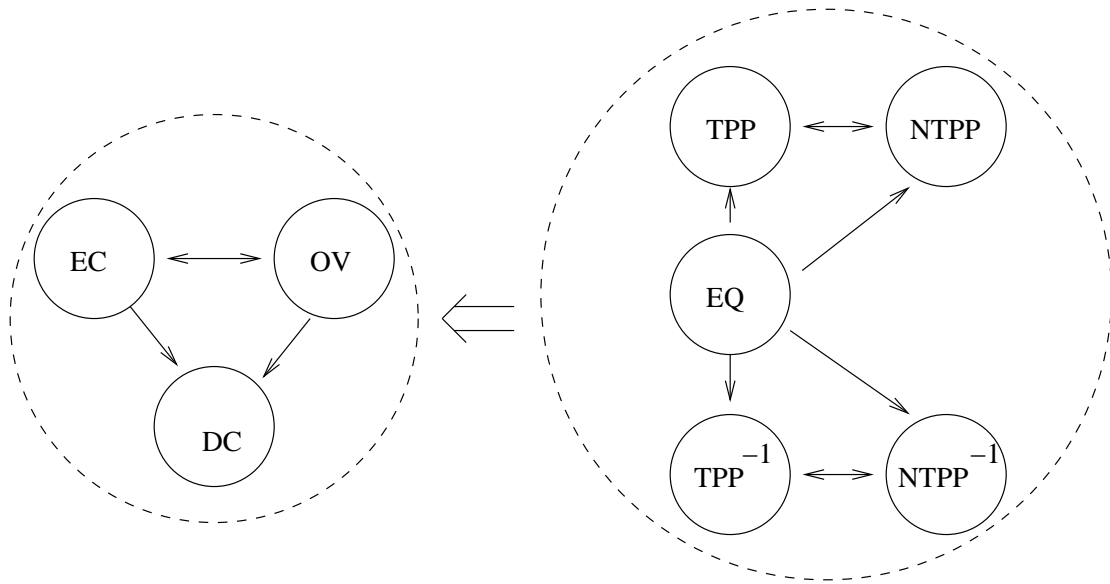
It may be noted that achieving these transitions requires that *both* regions be changing in time. If one region is fixed and the other changes continuously with respect to  $d_H$ , then the possible transitions are just those shown in figure 11.

As these transitions are not obvious and somewhat counter-intuitive, we will give an example of the transition from EQ to DC; the other transitions are analogous. The example is similar to the right hand of figure 2. For any  $t \in (0, 1)$ , define the curve  $\phi(t) = \{\langle u, \sin(u/t) \rangle | u \in [0, 1]\}$ . Thus,  $\phi(t)$  is a sine curve that ranges in the  $y$ -direction between  $-1$  and  $1$ , and in the  $x$ -direction between  $0$  and  $1$ , with wavelength  $2\pi t$ . Let  $\psi(t)$  be parallel to  $\phi(t)$  but shifted down a distance  $t$ ; that is,  $\psi(t) = \{\langle u, \sin(u/t) - t \rangle | u \in [0, 1]\}$ . Let  $\delta(t)$  be the minimum distance between  $\phi(t)$  and  $\psi(t)$ . Let  $\mathbf{f}(t)$  be the region of points within distance  $\delta/3$  of  $\phi(t)$  and let  $\mathbf{g}(t)$  be the region of points within distance  $\delta/3$  of  $\psi(t)$ . Finally, let  $\mathbf{f}(0) = \mathbf{g}(0)$  be the rectangle  $[0, 1] \times [-1, 1]$ . Then it is immediate that  $\mathbf{f}(t) \text{ DC } \mathbf{g}(t)$  for all  $t > 0$ ; that  $\mathbf{f}(0) = \mathbf{g}(0)$ ; and that both  $\mathbf{f}$  and  $\mathbf{g}$  are continuous relative to the metric  $d_H$ .

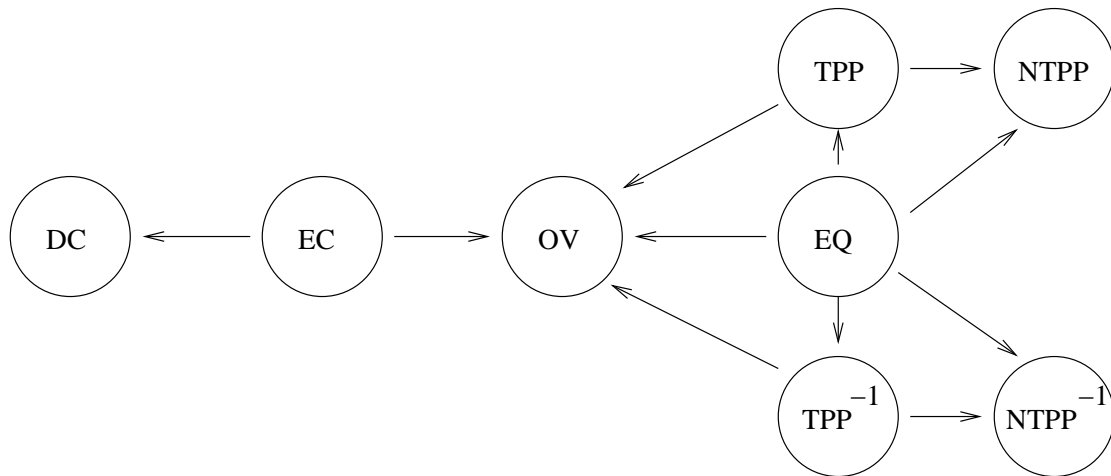
The reader may object that an example such as the previous one is obviously just a mathematical pathology, without real-world significance. Consider, however, the following sequence of examples:

First, consider a large marching band spread uniformly (either in a regular pattern or randomly) in a rectangle. One says that the shape of the band is the rectangle.

Second, imagine that the marching band imitates, as far as possible, the region function  $\mathbf{f}(t)$  above, in backwards time. That is, the band starts out forming a thick S shape with two bends, and then gradually adds more and more bends spaced closer and closer, the curve gradually becoming thinner and thinner. Eventually, the band is uniformly spread through the rectangle. Thus, for a while, the band has a snake shape; later, its shape is the rectangle; and there is no

Figure 9. Transition graph for  $d_A$ Figure 10. Transition graph for  $d_H$



Figure 11. Transition graph for  $d_{Hd}$  and  $d_O$ 

other kind of shape that it occupies at any intermediate time.

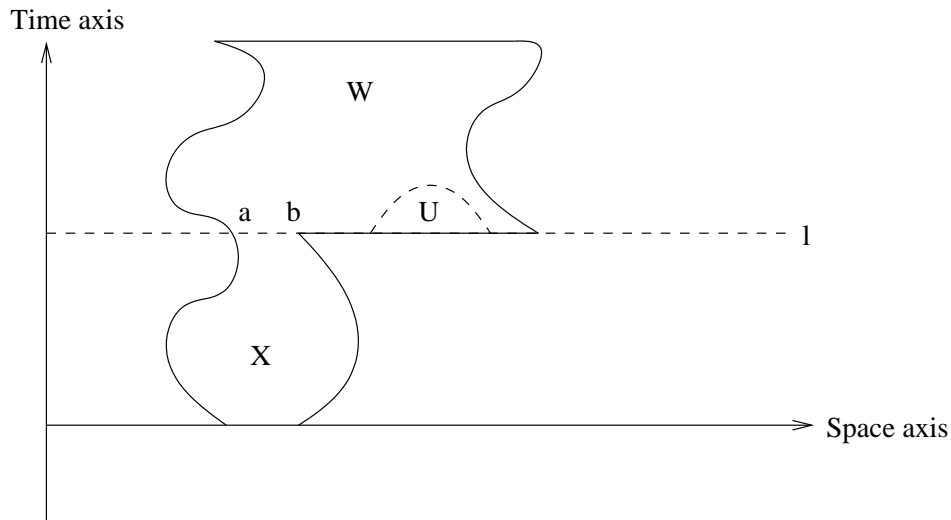
Third, imagine that there are two such bands, that start in S shapes that are initially well separated, but the S's move closer together as they develop. Then, as long as the bands are viewed as forming S's, their shapes are disjoint; once they are viewed as forming rectangles, then they each form the same rectangle. Thus, the shapes of the bands transition from DC to EQ with no intermediate relations.

Finally, rather than think about two marching bands composed of people, which is a rather unimportant case, think about two gasses composed of molecules. The two gasses start out in separate swirls, that grow thinner and thinner, and denser and denser, until each of the gasses fills the volume.

Now, this is certainly not a decisive argument that the transition from DC to EQ is a useful one. For one thing, it could be argued that the volume at the end is filled by the mixture of the two gasses and not by either gas individually. For another, gasses do not behave like marching bands, and in reality will certainly start to mix, and thus occupy overlapping regions, before they occupy coextensional regions. But the example does, I think, suggest that the possibility of a EQ to DC cannot be dismissed quite as readily as one might at first suppose, and that it may, in fact, depend in a subtle way on the idealization of a discrete collection filling a space.

## 6. Muller's theory

In an important and elegant paper, Philippe Muller [14] develops a theory of motion based on the geometry of four-dimensional regions of space-time, called "histories". This approach was suggested in Hayes' "Naive Physics Manifesto" [9], but Muller's paper was the first to explore it systematically. The paper is relevant to the analysis here, because it proposes a definition of continuity and purports to derive transition relations from that definition.



W is the whole history in the solid boundary. X is the portion of W below the dotted line.  
U is the semi-circle on the left, also a subregion of W.

Figure 12. Muller's definition of discontinuity

Muller's language is a first-order language over the universe of histories. Muller's paper admits both regions that are regular and those that are "regular and open"; i.e. the interior of regular regions. I will modify this here to include only regular regions; this restriction does not affect the issues under discussion here, and it simplifies the presentation.

The language contains three primitive binary relations:<sup>8</sup>

$Cxy$  – Regions  $x$  and  $y$  are connected; that is, they share at least one point.

$x < y$  – Region  $x$  strictly precedes region  $y$  temporally.

$x \approx y$  – The temporal projections of regions  $x$  and  $y$  share at least one instant.

Other relations between regions are defined in terms of non-recursive first-order formulas over these.

Muller proposes the following definition (D4.2) for continuity: Region  $w$  represents a continuous function from time to space if it satisfies the following:

$$\text{D4.2: } \text{CONTINU}w \triangleq \text{CON}_t w \wedge \forall_x \forall_u ((\text{TS}xw) \wedge x \approx u \wedge P_u w) \Rightarrow Cxu.$$

Here " $\text{CON}_t w$ " means that the temporal projection of  $w$  is a connected time interval. " $P_u w$ " means that  $u$  is a subregion of  $w$ . " $\text{TS}xw$ " means that  $x$  is a "time-slice" of  $w$ . This predicate is a little tricky. For a normal regions  $w$  and  $x$ , it asserts that  $x$  is the normalization of the restriction of  $w$  to a time period  $i$ , where  $i$  is a regular subset of the time-line.

Figure 12 shows how a discontinuous function of time fails to satisfy definition D4.2. Note that the only part of line  $l$  contained in  $x$  is the segment between points  $a$  and  $b$ .

It can be shown that the graph of a function from time to regions satisfies definition D4.2 if and only if it is continuous over  $d_H$ . More precisely, we can state the following:

<sup>8</sup>In this section I will follow Muller's notational conventions: variables are lower-case; predicates are either prefix or infix; atomic formulas are strings of symbols without further punctuation.

**Theorem 6.1.** *Let  $w$  be a bounded normal history whose temporal projection is a connected time interval  $I$ , and let  $w(t)$  be the cross-section of  $w$  at time  $t \in I$ . Then  $w$  satisfies Muller’s definition D4.2 iff  $w(t)$  is continuous in the Hausdorff distance.*

The proof is given in section 8.3 of appendix A.

Theorem 6.1 above leads to a conflict between Muller’s analysis of transitions and our own. Muller claims to show that it follows from his definition that the only transitions possible are those shown in figure 8. We have shown, on the contrary, that functions continuous in the Hausdorff distance can execute any of the transitions in figure 10. Indeed, note that the transition from EQ to DC described in section 5 satisfies Muller’s of continuity. Where, then, is the difference between Muller’s account of the transitions and ours?

The resolution is that Muller is using a different, and, we believe, flawed formal definition of a “transition”. That is, the formal theorems Th 4.3 – 4.6 that Muller proves are, indeed, true, but his interpretation of these theorems as expressing transition relations is incorrect. However, the analysis of this error is beyond the scope of this paper. We elsewhere [3] discuss Muller’s transition rules and propose an alternative formulation of transition rules in Muller’s spatio-temporal language.

## 7. Conclusions and Future Work

The issue of what it means for two regions to be “similar” or “close” and the issue of what it means for the region occupied by an object to change “continuously” over time are directly connected through the epsilon-delta definition of continuity. The choices of these definitions relate in turn to what kind of object is involved; how the shape is measured or constructed; what is the nature of the abstraction from the object, which is a term in a physical theory, to the shape, which is a term in a geometric theory; and how the notion of continuous shape change is to be used in a dynamic theory of the domain. All of these options and issues must be considered by the theory designer when the notion of continuous shape transformation is incorporated into a domain theory.

Important problems for future study include:

- Further alternative metrics and further qualitative properties of interest.
- The relation between the real-world application of the geometric theory and the suitable natural of shape approximation.
- The relation of the theories developed here to the theory of continuous change over discrete spaces developed by Galton [8] and others.
- A.G. Cohn (personal communication) observes that all the entries in the composition table of the RCC relations correspond to connected subsets of the transition network. It would be interesting to explain this fact in terms of the theory of the topology of the space of regions.

## 8. Appendix: Proofs

This paper adduces many theorems;<sup>9</sup> however, most of these are entirely straightforward. The only ones of any difficulty are the relation of the dual-Hausdorff metric to the area; the relation of the optimal-homeomorphism metric to the circumference and internal diameter; and the fact that Muller’s definition is equivalent to continuity in the Hausdorff metric. These are proven in this appendix.

### 8.1. The dual-Hausdorff distance and the area

**Definition 8.1.** Fix a coordinate system in the plane. Let  $\delta > 0$ . A  $\delta$ -grid square is a square  $[N\delta, (N+1)\delta] \times [M\delta, (M+1)\delta]$  where  $N$  and  $M$  are integers.

**Definition 8.2.** Fix a coordinate system in the plane. Let  $\mathbf{R}$  be a bounded regular region, and let  $\delta > 0$ . The  $\delta$ -grid approximation of  $\mathbf{R}$ , denoted “ $\text{Gr}(\mathbf{R}, \delta)$ ” is the union of all  $\delta$ -grid square contained in  $\mathbf{R}$ .

The following is a basic property of area (indeed, it can be taken as the definition of area):

**Lemma 8.1.** *Let  $\mathbf{R}$  be a bounded regular region. Then for any  $\epsilon > 0$  there exists an  $\eta > 0$  such that for all positive  $\delta < \eta$ ,  $\text{area}(\mathbf{R}) - \text{area}(\text{Gr}(\mathbf{R}, \delta)) < \epsilon$ .*

**Lemma 8.2.** *Let  $\mathbf{R}$  be a bounded regular region, and let  $\epsilon > 0$ . Then there exists  $\mu > 0$  such that, for any region  $\mathbf{S}$ , if  $d_H(\mathbf{R}^c, \mathbf{S}^c) < \mu$ , then  $\text{area}(\mathbf{R} - \mathbf{S}) < \epsilon$ .*

**Proof:** Using lemma 8.1, choose  $\delta$  so that  $\text{area}(\mathbf{R}) - \text{area}(\text{Gr}(\mathbf{R}, \delta)) < \epsilon/2$ . Let  $K = \text{area}(\text{Gr}(\mathbf{R}, \delta)) / \delta^2$ , the number of  $\delta$ -grid squares in  $\text{Gr}(\mathbf{R}, \delta)$ . Let  $\mu = \epsilon/8K\delta$ . Choose  $\mathbf{S}$  so that  $d_H(\mathbf{R}^c, \mathbf{S}^c) < \mu$ .

Now let  $\mathbf{Q}$  be any  $\delta$ -grid square in  $\text{Gr}(\mathbf{R}, \delta)$  and let  $\mathbf{x}$  be a point in  $\mathbf{Q}$  that is more than  $\mu$  from the edges of  $\mathbf{Q}$ . Then, since  $\mathbf{Q} \subset \mathbf{R}$ ,  $d(\mathbf{x}, \mathbf{R}^c) \geq d(\mathbf{x}, \mathbf{Q}^c) > \mu$ , so  $\mathbf{x} \notin \mathbf{S}^c$ . That is,  $\mathbf{S}$  contains the entire square  $\mathbf{Q}$  except for the strips within  $\mu$  of the edges. The area of  $\mathbf{Q} \cap \mathbf{S}$  is therefore at least  $(\delta - 2\mu)^2 > \delta^2 - 4\mu\delta$ . Summing over all the  $K$   $\delta$ -grid squares, we derive that  $\text{area}(\mathbf{S} \cap \text{Gr}(\mathbf{R}, \delta)) > K(\delta^2 - 4\mu\delta) = \text{area}(\text{Gr}(\mathbf{R}, \delta)) - \epsilon/2$ . Therefore  $\text{area}(\mathbf{R} - \mathbf{S}) \leq \text{area}(\mathbf{R} - (\mathbf{S} \cap \text{Gr}(\mathbf{R}, \delta))) = \text{area}(\mathbf{R}) - \text{area}(\mathbf{S} \cap \text{Gr}(\mathbf{R}, \delta)) \leq \text{area}(\mathbf{R}) - (\text{area}(\text{Gr}(\mathbf{R}, \delta)) - \epsilon/2) < \epsilon$ .  $\square$

**Theorem 8.1.** *Let  $\mathbf{R}$  be a bounded regular region, and let  $\epsilon > 0$ . Then there exists  $\mu > 0$  such that, for any region  $\mathbf{S}$ , if  $d_{Hd}(\mathbf{R}, \mathbf{S}) < \mu$ , then  $d_A(\mathbf{R}, \mathbf{S}) < \epsilon$ .*

**Proof:** Let  $\mathbf{O}$  be a bounded regular region that contains all points within distance 1 of  $\mathbf{R}$ . Let  $\mathbf{Q}$  be the closure of  $\mathbf{O} - \mathbf{R}$ . By lemma 8.2, there exists  $\mu_1$  such that, for any regular region  $\mathbf{S}$ ,  $d_H(\mathbf{R}^c, \mathbf{S}^c) < \mu_1$ , then  $\text{area}(\mathbf{R} - \mathbf{S}) < \epsilon/2$ . Also by lemma 8.2, there exists  $\mu_2$  such that, for any regular region  $\mathbf{T}$ , if  $d_H(\mathbf{Q}^c, \mathbf{T}^c) < \mu_2$ , then  $\text{area}(\mathbf{Q} - \mathbf{T}) < \epsilon/2$ . Let  $\mu = \min(\mu_1, \mu_2, 1)$ . Let  $\mathbf{S}$  be any region such that  $d_{Hd}(\mathbf{R}, \mathbf{S}) < \mu$ . Clearly  $\mathbf{S} \subset \mathbf{O}$ . Let  $\mathbf{T} = \mathbf{O} - \mathbf{S}$ . Then  $d_H(\mathbf{R}^c, \mathbf{S}^c) < \mu \leq \mu_1$ , and  $d_H(\mathbf{Q}^c, \mathbf{T}^c) = d_H(\mathbf{R}, \mathbf{S}) < \mu \leq \mu_2$ . Therefore  $d_A(\mathbf{R}, \mathbf{S}) = \text{area}(\mathbf{R} - \mathbf{S}) + \text{area}(\mathbf{S} - \mathbf{R}) = \text{area}(\mathbf{R} - \mathbf{S}) + \text{area}(\mathbf{Q} - \mathbf{T}) < \epsilon$ .

**Corollary 8.1.** *The area of a region is continuous under the metric  $d_{Hd}$ .*

**Corollary 8.2.** *The metric  $d_{Hd}$  defines a topology finer than that defined by  $d_A$ .*

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<sup>9</sup>For example, for every pair of nodes in figures 9 through 11 there is a theorem stating either that there is or that there is not an arc between them.

## 8.2. The optimal-homeomorphism metric and smoothed path lengths

**Definition 8.3.** A *path* in the plane is a continuous function from  $[0,1]$  to the plane. If  $\phi(t)$  and  $\psi(t)$  are paths, then  $d_O(\phi, \psi)$  is defined to be  $\max_{t \in [0,1]} d(\phi(t), \psi(t))$ .

We repeat definition 4.1:

**Definition 4.1.** Let  $\phi$  be a simple curve in the plane, and let  $\Delta > 0$  be a distance. Let  $A(\phi, \Delta)$  be the set of all paths  $\psi$  such that  $d_O(\phi, \psi) \leq \Delta$ . We define the  $\Delta$ -smoothed length of  $\phi$  to be the greatest lower bound over the arc-length of paths in  $A(\phi, \Delta)$ .

$$\text{smooth}(\phi, \Delta) = \inf_{\psi \in A(\phi, \Delta)} \text{length}(\psi).$$

**Lemma 8.3.** For any path  $\phi$  and for any  $\Delta > 0$ ,  $\text{smooth}(\phi, \Delta)$  is finite.

**Proof:** Clearly, it suffices to show that  $A(\phi, \Delta)$  contains a path of finite length.

Since  $\phi$  is bounded, it can be covered by a finite collection of open balls of radius  $\Delta/2$ :  $B(x_1, \Delta/2) \dots B(x_k, \Delta/2)$ . Thus, for every  $x \in \phi$  there exists an  $x_i \in \{x_1 \dots x_k\}$  such that  $d(x, x_i) < \Delta/2$ . For each  $x$ , let  $\text{closest}(x)$  be the  $x_i$  for which  $d(x, x_i)$  is minimal.

The function of  $x$ ,  $d(x, \text{closest}(x)) = d(x, \{x_1 \dots x_k\})$  is a continuous function of  $x$ . Therefore for  $x \in \phi$ , it attains a maximum value  $d(x_{\max}, \text{closest}(x_{\max})) < \Delta/2$ . Let  $\Delta_1$  be this maximum value.

We are now going to track how  $\phi$  moves from one open ball  $B(x_i, \Delta/2)$  to another as follows: Start at  $\phi(0)$  and mark  $B(\text{closest}(\phi(0)), \Delta/2)$  as the current open ball. We stick with this until we leave this open ball, at time  $t_1$ . We are now right on the boundary of the open ball  $B(\text{closest}(\phi(0)), \Delta/2)$ . We now choose  $B(\text{closest}(\phi(t_1)), \Delta/2)$  as the current open ball; and we continue in this way until  $t = 1$ .

Formally, we define the following sequence of times  $t_0, t_1 \dots$

$$t_0 = 0.$$

$$t_i \text{ is the earliest time after } t_{i-1} \text{ for which } d(\phi(t), \text{closest}(\phi(t_{i-1}))) \geq \Delta/2$$

We now claim that the sequence  $t_0, t_1 \dots$  is finite. Proof: Suppose it is not. Then there must exist a subsequence of the times  $t_{i_1}, t_{i_2} \dots$  that attains the same value of  $\text{closest}(\cdot)$  infinitely often. Since no two consecutive values of  $\text{closest}(t_i)$  can be equal, we have, for all  $i_j$ ,

$$\begin{aligned} \text{closest}(\phi(t_{i_1})) &= \text{closest}(t_{i_j}) \\ d(\phi(t_{i_{j+1}}), \text{closest}(\phi(t_{i_j}))) &\geq \Delta/2. \end{aligned}$$

However  $d(\phi(t_{i_j}), \text{closest}(\phi(t_{i_j}))) \leq \Delta_1$ . Hence,  $d(\phi(t_{i_j}), \phi(t_{i_{j+1}})) \geq \Delta/2 - \Delta_1 > 0$ . That is,  $\phi$  moves infinitely often between pairs of points that are separated by a fixed positive distance  $\Delta/2 - \Delta_1$ ; but this is impossible for a continuous function  $\phi$  over a bounded interval. Hence, the sequence  $t_0, t_1 \dots t_n = 1$  is finite.

Finally, define the path  $\psi(t)$  as follows:  $\psi(t_i) = \phi(t_i)$  for  $i = 1 \dots n$ ; and for  $t \in (t_i, t_{i+1})$ ,  $\psi(t)$  follows the straight line from  $\phi(t_i)$  to  $\phi(t_{i+1})$ . Since  $\psi$  consists of  $n$  straight lines, it has finite length. Moreover for  $t \in (t_i, t_{i+1})$ ,

$$d(\psi(t), \phi(t)) \leq d(\psi(t), \phi(t_i)) + d(\phi(t_i), \phi(t)) \leq d(\phi(t_{i+1}), \phi(t_i)) + \Delta/2 \leq \Delta$$

So  $\psi \in A(\phi, \Delta)$ .  $\square$ .

**Lemma 8.4.** *Let  $\phi$  and  $\psi$  be paths of finite length, and let  $p$  be a value between 0 and 1. Define the path  $\theta(t) = p\phi(t) + (1-p)\psi(t)$ . Then  $\text{length}(\theta) \leq p \cdot \text{length}(\phi) + (1-p) \cdot \text{length}(\psi)$ .*

**Proof:**

$$\begin{aligned} \text{length}(\theta) &= \int_0^1 |\dot{\theta}(t)| dt = \int_0^1 |p\dot{\phi}(t) + (1-p)\dot{\psi}(t)| dt \leq \\ &\int_0^1 |p\dot{\phi}(t)| + |(1-p)\dot{\psi}(t)| dt = p \cdot \text{length}(\phi) + (1-p) \cdot \text{length}(\psi) \end{aligned}$$

□

**Lemma 8.5.** *Let  $\alpha > \beta > \gamma > 0$ . Let  $p = (\beta - \gamma)/(\alpha - \gamma)$ . Let  $\mathbf{o}, \mathbf{x}, \mathbf{y}, \mathbf{z}$  be points such that  $d(\mathbf{x}, \mathbf{o}) \leq \gamma$ ;  $d(\mathbf{y}, \mathbf{o}) \leq \alpha$ ; and  $\mathbf{z} = p\mathbf{y} + (1-p)\mathbf{x}$ . Then  $d(\mathbf{z}, \mathbf{o}) \leq \beta$ .*

**Proof:**

Let  $\mathbf{x} = \mathbf{o} + \lambda\hat{u}$  and  $\mathbf{y} = \mathbf{o} + \mu\hat{v}$ , where  $\lambda \leq \gamma$ ,  $\mu \leq \alpha$ , and  $\hat{u}$  and  $\hat{v}$  are unit vectors. Then

$$d(\mathbf{z}, \mathbf{o})^2 = ((1-p)\lambda\hat{u} + p\mu\hat{v}) \cdot ((1-p)\lambda\hat{u} + p\mu\hat{v}) = (1-p)^2\lambda^2 + 2p(1-p)\lambda\mu\hat{u} \cdot \hat{v} + p^2\mu^2$$

Clearly, if  $p$  is fixed and  $\lambda$  and  $\mu$  are constrained as above, this final expression attains a maximum when  $\lambda = \gamma$ ,  $\mu = \alpha$ , and  $\hat{u} = \hat{v}$ . For those values, it is equal to  $((1-p)\gamma + p\alpha)^2$ . For the specified value  $p = (\beta - \gamma)/(\alpha - \gamma)$ , this is equal to  $\beta^2$ . □

**Lemma 8.6.** *Let  $\phi$  be a path, and let  $\alpha > \beta > \gamma > 0$ . Then*

$$\text{smooth}(\phi, \alpha) \leq \text{smooth}(\phi, \beta) \leq \text{smooth}(\phi, \alpha) + \frac{\alpha - \beta}{\beta - \gamma}(\text{smooth}(\phi, \gamma) - \text{smooth}(\phi, \alpha))$$

**Proof:** The first inequality is trivial, since  $A(\phi, \alpha) \supset A(\phi, \beta)$ , and so the infimum is being taken over a larger set.

Let  $\psi$  be a path in  $A(\phi, \alpha)$  and let  $\eta$  be a path in  $A(\phi, \gamma)$ . Let  $p = (\beta - \gamma)/(\alpha - \gamma)$ . Let  $\theta$  be the path  $\theta(t) = p\psi(t) + (1-p)\eta(t)$ . By lemma 8.5,  $d(\theta(t), \phi(t)) \leq \beta$ , so  $\theta \in A(\phi, \beta)$ . By lemma 8.4,  $\text{length}(\theta) \leq p \cdot \text{length}(\psi) + (1-p) \cdot \text{length}(\eta)$ . Since  $\psi$  and  $\eta$  can be chosen so that their lengths are arbitrarily close to  $\text{smooth}(\phi, \alpha)$  and  $\text{smooth}(\phi, \gamma)$  respectively, and since  $\text{smooth}(\phi, \beta) \leq \text{length}(\theta)$ , it follows that  $\text{smooth}(\phi, \beta) \leq p \cdot \text{smooth}(\phi, \alpha) + (1-p) \cdot \text{smooth}(\phi, \gamma)$ . The second inequality in the statement of the lemma then follows by an algebraic transformation.

**Theorem 8.2.** *For any path  $\phi$  and any  $\Delta > 0$  and  $\epsilon > 0$  there exists  $\delta > 0$  such that, if  $\psi$  is a path and  $d_O(\phi, \psi) < \delta$ , then  $|\text{smooth}(\phi, \Delta) - \text{smooth}(\psi, \Delta)| < \epsilon$ .*

**Proof:** Let  $\delta < \Delta/2$  and let  $\psi$  be any path such that  $d_O(\phi, \psi) < \delta$ . Then

$$A(\phi, \Delta - \delta) \subset A(\psi, \Delta) \subset A(\phi, \Delta + \delta).$$

Therefore,  $\text{smooth}(\phi, \Delta - \delta) \geq \text{smooth}(\psi, \Delta) \geq \text{smooth}(\phi, \Delta + \delta)$ .

If we now apply lemma 8.6, using  $\alpha = \Delta$ ,  $\beta = \Delta - \delta$  and  $\gamma = \Delta/4$ , we get

$$\text{smooth}(\phi, \Delta - \delta) \leq \text{smooth}(\phi, \Delta) + \frac{\delta}{(3/4)\Delta - \delta}(\text{smooth}(\phi, \Delta/4) - \text{smooth}(\phi, \Delta))$$

Applying lemma 8.6 with  $\alpha = \Delta + \delta$ ,  $\beta = \Delta$ ,  $\gamma = \Delta/4$ , a simple algebraic transformation gives

$$\text{smooth}(\phi, \Delta + \delta) \geq \text{smooth}(\phi, \Delta) - \frac{\delta}{(3/4)\Delta - \delta} (\text{smooth}(\phi, \Delta/4) - \text{smooth}(\phi, \Delta))$$

Hence, if we choose  $\delta < \min(\Delta/2, 4\Delta\epsilon/\text{smooth}(\phi, \Delta/4))$ , then the conclusion of the theorem is satisfied.

**Corollary 8.3.** *For any fixed  $\Delta > 0$ , the  $\Delta$ -smoothed circumference and the  $\Delta$ -smoothed path diameter of a region are continuous functions with respect to the metric  $d_O$ .*

Corollary 8.3 is the interesting part of theorems 4.1 and 4.2 (section 4.2).

### 8.3. Muller's definition and continuity in the Hausdorff metric

In this section, we prove that Muller's definition of continuity (D4.2) is equivalent to continuity in the Hausdorff metric.

We repeat the definition:

$$\text{D4.2: } \text{CONTINU}w \stackrel{\Delta}{=} \text{CON}_t w \wedge \forall_x \forall_u ((\text{TS}xw) \wedge x \bowtie u \wedge \text{P}uw) \Rightarrow \text{C}xu.$$

**Theorem 8.3.** *Let  $w$  be a bounded normal history whose temporal projection is a connected time interval  $I$ , and let  $w(t)$  be the cross-section of  $w$  at time  $t \in I$ . Then  $w$  satisfies Muller's definition D4.2 iff  $w(t)$  is continuous in the Hausdorff distance.*

**Proof:** Suppose that  $w$  does not satisfy D4.2. Then there exists a time slice  $x$  of  $w$  and a normal subset  $u$  of  $w$  such that  $x$  and  $u$  meet in time but  $x$  is not connected to  $u$ . Let  $t_0$  be a time instant within the temporal projections of both  $x$  and  $u$ . Let  $x(t)$  and  $u(t)$  be the cross-sections of histories  $x$  and  $u$  at time  $t$ . Note that, for every  $t$ ,  $x(t)$ ,  $w(t)$ , and  $u(t)$  are closed regions of space, though not necessarily regular regions of space.

Since  $x$  is a normal history, there is a time interval  $i$  containing  $t_0$  in the time projection of  $x$ . Assume, without loss of generality, that  $i$  precedes  $t_0$ . By definition of a time slice, for any  $t$  in the interior of  $i$ ,  $w(t) = x(t)$ . Let  $p$  be any spatial point in  $u(t_0) \subset w(t_0)$ . Then, since  $u$  is disconnected from  $x$ ,  $p$  is not in  $x(t_0)$ , so the spatio-temporal point  $\langle t_0, p \rangle$  is not in  $x$ . Since  $x$  is closed, there must exist an  $\epsilon > 0$  and a subinterval of  $i$ ,  $(t_1, t_0)$ , such that for all  $t \in (t_1, t_0)$   $d(p, x(t)) > \epsilon$ . But for  $t \in (t_1, t_0)$ ,  $d_H(w(t_0), w(t)) \geq d(p, w(t)) = d(p, x(t)) > \epsilon$ . Hence  $w(t)$  is discontinuous with respect to  $d_H$  at  $t_0$ .

Conversely, suppose that  $w(t)$  is discontinuous with respect to  $d_H$  at time  $t_0$ . Then there exists an  $\epsilon > 0$  and a sequence of times  $t_1, t_2 \dots$  converging to  $t_0$  such that  $d_H(w(t_i), w(t_0)) > \epsilon$  for all  $t_i$ . There must be an infinite subsequence of these that lies on one side of  $t_0$ , and the case where this is above  $t_0$  is symmetric with the case where it is below. Therefore, we can assume without loss of generality that the  $t_i$  converge to  $t_0$  from below. For each such  $t_i$ , either there exists a point  $p_i \in w(t_i)$  such that  $d(p_i, w(t_0)) > \epsilon$  or there exists a point  $q_i \in w(t_0)$  such that  $d(q_i, w(t_i)) > \epsilon$ . At least one of the sequences  $p_i, q_i$  must be infinite.

Suppose that the sequence  $p_i$  is infinite. Since  $w$  is bounded, the  $p_i$  must all lie in some bounded region of space. Hence, they must have a cluster point  $p$ . Now there is a problem:

since  $w$  is closed the spatio-temporal point  $\langle t_0, p \rangle$  must lie in  $w$ , but  $d(p, w(t_0))$  must be greater than  $\epsilon$ , which is a contradiction.

Suppose that the sequence  $q_i$  is infinite. Again, these must have a cluster point  $q$ . Since  $w(t_0)$  is compact,  $q \in w(t_0)$ . By renumbering, restrict the sequence  $q_i$  so that  $d(q, q_i) < \epsilon/2$  for all  $i$ . Then  $d(q, w(t_i)) > \epsilon/2$  for all  $i$ . Let  $x$  be the time slice of  $w$  over  $[0, t_0]$ , and let  $u$  be a normal subset of  $w$  containing  $\langle t_0, q \rangle$  of diameter less than  $\epsilon/2$ . Then the temporal projection of  $x$  and  $u$  share the point  $t_0$ , but  $x$  and  $u$  are not connected, so by definition D4.2  $w$  is not continuous.  $\square$

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