

4. Syntax-Directed Translation

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Compiler Construction (CSCI-GA.2130-001)

<http://cs.nyu.edu/courses/fall14/CSCI-GA.2130-001/lecture-4.pdf>

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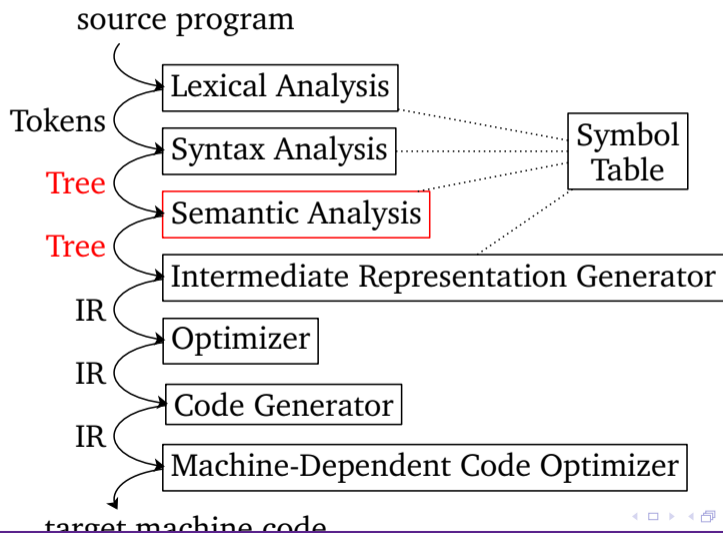
- 1 Introduction
- 2 SDT: Syntax-Directed Translation
- 3 SDD: Syntax-Directed Definition
- 4 HACS
 - Review
 - S-attributed SDDs
 - Recursive Translation Schemes



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Context



The Trees

TREE	INTERIOR NODES	GRAMMAR
parse tree	nonterminals	concrete syntax
abstract syntax tree	programming constructs	abstract syntax

Example (abstract syntax)

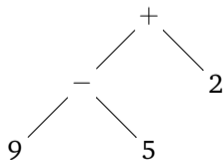


$$E \rightarrow E + E \mid E - E \mid \text{digit}$$

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Example (abstract syntax)



$$E \rightarrow E + E \mid E - E \mid \mathbf{digit}$$

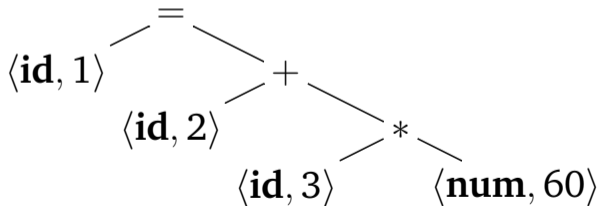
Back to the introductory example...



From Abstract Syntax Tree (AST)

`position = initial + rate * 60`

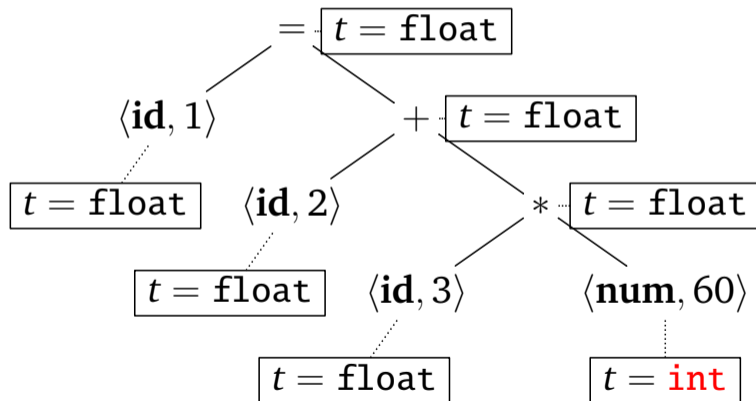
parsed into **abstract syntax tree**:



id	lexeme
1	position
2	initial
3	rate



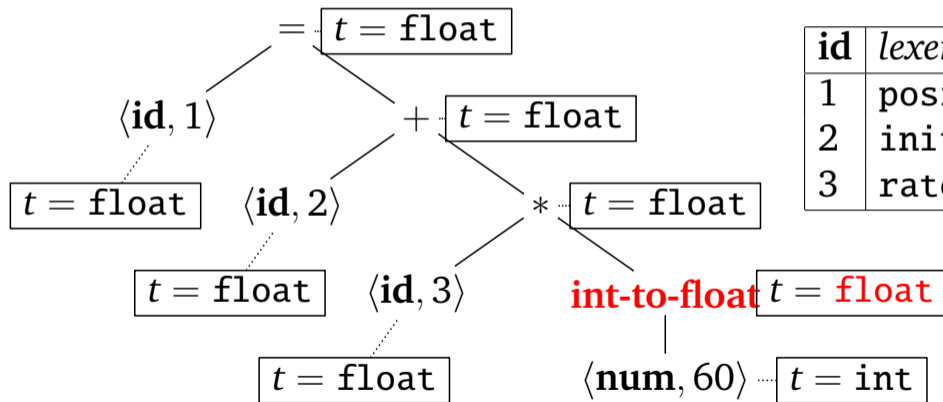
... to Annotated AST...



id	lexeme	t
1	position	float
2	initial	float
3	rate	float



... to “Fixed” AST



id	lexeme	t
1	position	float
2	initial	float
3	rate	float

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Syntax-Directed Translation

We have built a parse (or AST) tree, now what? How will this tree and production rules help the translation?

Intuitively, we need to associate *something* with each production and each tree node:

- ▶ something that **evaluates** the meaning at each node,
- ▶ something that **emits** the meaning as program fragments.



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Syntax-Directed Definition (SDD)

Attributes Each grammar symbol (terminal or nonterminal) has an *attribute* (“meaning”) associated.

Semantic Rules Each production has *semantic rules* associated for computing the attributes.



Example: SDD for Infix to Postfix Notation

Consider the grammar:

$$\begin{aligned} \text{expr} &\rightarrow \text{expr} + \text{term} \\ &\quad | \text{expr} - \text{term} \\ &\quad | \text{term} \end{aligned}$$

$$\text{term} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$$

expr has an **attribute** *expr.t*, *term* has an **attribute** *term.t*.

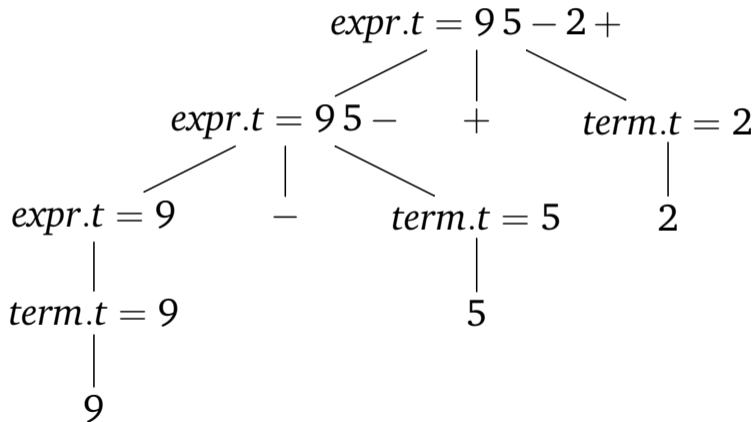


Example: SDD Infix to Postfix Notation

PRODUCTION	SEMANTIC RULE
$expr \rightarrow expr_1 + term_2$	$expr.t = expr_1.t \parallel term_2.t \parallel '+'$
$expr \rightarrow expr_1 - term_2$	$expr.t = expr_1.t \parallel term_2.t \parallel '-'$
$expr \rightarrow term_1$	$expr.t = term_1.t$
$term \rightarrow 0$	$term.t = '0'$
$term \rightarrow 1$	$term.t = '1'$
...	...
$term \rightarrow 9$	$term.t = '9'$

$_ \parallel _$ means concatenation, $_ -1, -2$ disambiguates

Attribute Values in Parse Trees



Translation Schemes

- ▶ Equivalent mechanism.
- ▶ Attaching **program fragments** to productions.
- ▶ The program fragments are called **semantic actions/side-effects**.
- ▶ They “emit” the program fragments during “tree traversal”.

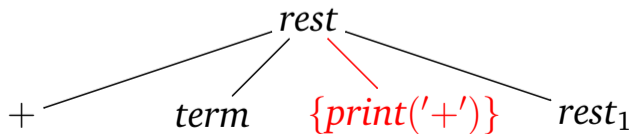
Example ($rest \rightarrow +term \{print('+')\} rest_1$)



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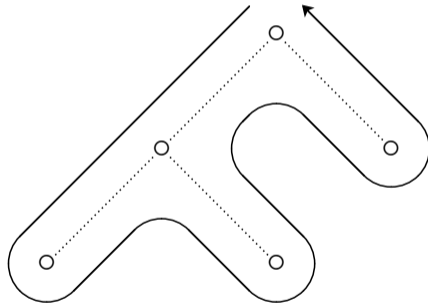
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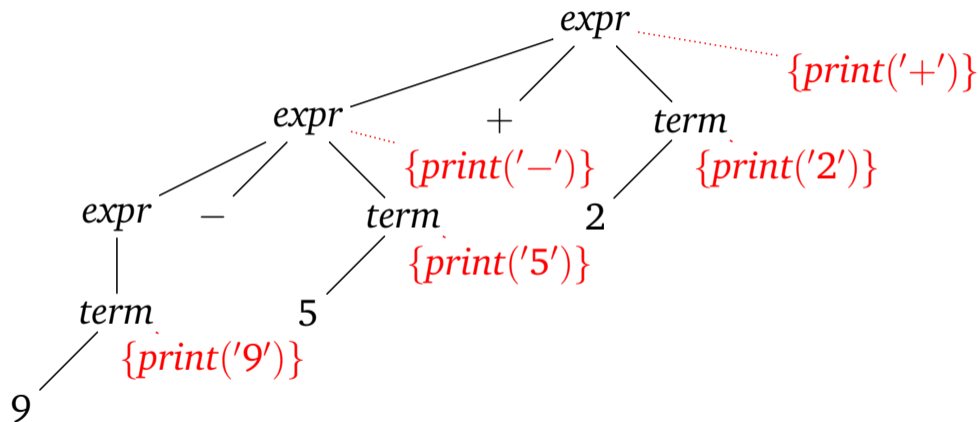
Semantic Action Table: Infix to Postfix Notation

PRODUCTIONS WITH SIDE-EFFECTS/ACTIONS
$expr \rightarrow expr_1 + term_2 \{ \text{print}(' + ') \}$
$expr \rightarrow expr_1 - term_2 \{ \text{print}(' - ') \}$
$expr \rightarrow term$
$term \rightarrow 0 \{ \text{print}('0') \}$
$term \rightarrow 1 \{ \text{print}('1') \}$
$term \rightarrow 2 \{ \text{print}('2') \}$
$term \rightarrow 3 \{ \text{print}('3') \}$
$term \rightarrow 4 \{ \text{print}('4') \}$
$term \rightarrow 5 \{ \text{print}('5') \}$
$term \rightarrow 6 \{ \text{print}('6') \}$
$term \rightarrow 7 \{ \text{print}('7') \}$
$term \rightarrow 8 \{ \text{print}('8') \}$
$term \rightarrow 9 \{ \text{print}('9') \}$

Tree Traversal in Translation Schemes: Depth-First

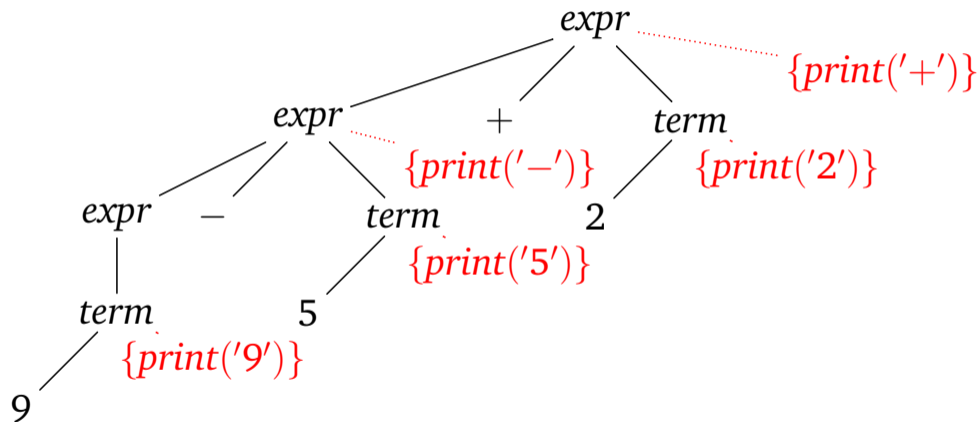


Semantic Actions/Side-Effects in Parse Tree



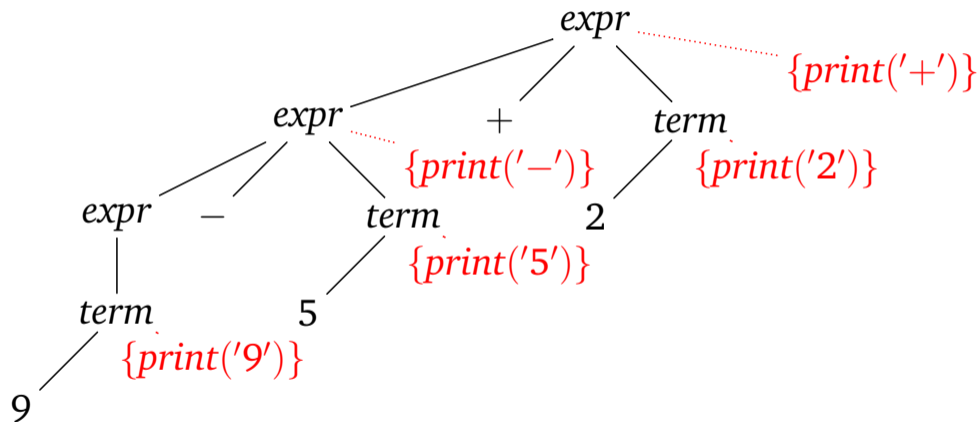
Input: 9 - 5 + 2. Output (print): 9 5 - 2 +. Single depth-first traversal

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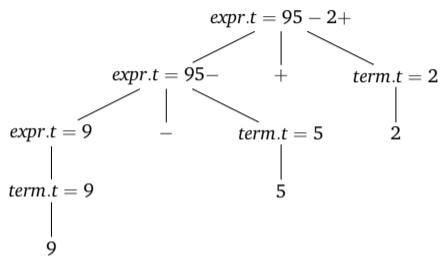
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Semantic Actions/Side-Effects in Parse Tree

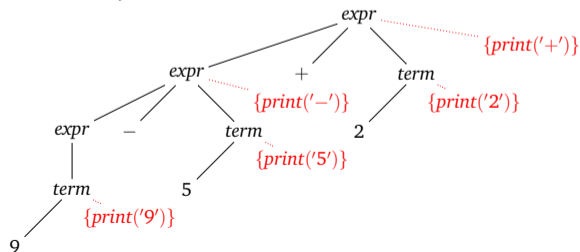


Input: 9 - 5 + 2. Output (print): 9 5 - 2 +. **Single depth-first traversal**

Summary



attributes/semantic rules (HACS)



semantic actions/side-effects (yacc)

Tree Traversal and Translation Schemes

When translation is defined in terms of **semantic actions**, the **tree traversal order**, that is the order in which the nodes are visited, becomes **essential**.



Exercise

Construct an SDD that generates an attribute t in *prefix notation* for each expression $expr$, for arithmetic expressions. (You only need to consider: '+', '-', and '*' expressions). Prefix notation is where the operator comes before its operands; e.g., $-xy$ is the prefix notation for $x - y$.



Solution: SDD for Infix Notation into Prefix Notation

PRODUCTION	SEMANTIC RULE
$expr \rightarrow expr_1 + term_2$	$expr.t = '+' \parallel expr_1.t \parallel term_2.t$
$expr \rightarrow expr_1 - term_2$	$expr.t = '-' \parallel expr_1.t \parallel term_2.t$
$expr \rightarrow expr_1 * term_2$	$expr.t = '*' \parallel expr_1.t \parallel term_2.t$
$expr \rightarrow term$	$expr.t = term.t$
$term \rightarrow 0$	$term.t = '0'$
$term \rightarrow 1$	$term.t = '1'$
...	...
$term \rightarrow 9$	$term.t = '9'$

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Syntax-Directed Definition (SDD)

Recall the key definition:

Grammar Given a context-free grammar.

Attributes Each grammar symbol (terminal or nonterminal) has a set of *attributes* associated.

Semantic Rules Each production has a set of *semantic rules* associated for computing the attributes.

If X is a symbol, a is an attribute, then $X.a$ denotes *the value of a at node X* .

Synthesized and Inherited Attributes

Synthesized attributes at node N are defined only in terms of the attribute values of the children of N , and N itself.

Inherited attributes at node N is defined only in terms of attribute values at N 's parent, N itself, and N 's siblings.



Example: SDD for Desk Calculator

PRODUCTION	SEMANTIC RULE
1. $L \rightarrow E \$$	$L.val = E.val$
2. $E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
3. $E \rightarrow T$	$E.val = T.val$
4. $T \rightarrow T_1 * F$	$T.val = T_1.val \times F.val$
5. $T \rightarrow F$	$T.val = F.val$
6. $F \rightarrow (E)$	$F.val = E.val$
7. $F \rightarrow \mathbf{digit}$	$F.val = \mathbf{digit.lexval}$

'val' and 'lexval' are **synthesized attributes**.

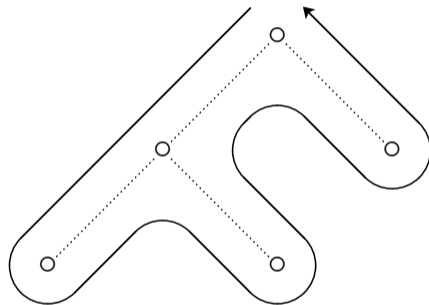
Characteristics of Desk Calculator

- ▶ **S-attributed** (only synthesized attributes)
- ▶ **attribute grammar** (without side-effects)

Order of attribute evaluation: any bottom-up traversal.



Tree Traversal: Depth-First



Post-order (default). Pre-order. Binary trees: also in-order.



Exercise: Desk Calculator

Give *annotated parse trees* for the following expressions:

- ▶ $(3 + 4) * (5 + 6) \$$
- ▶ $1 * 2 * 3 * (4 + 5) \$$

(Parse trees showing attributes and their values)



Exercise: Mingling with Inherited Attributes. . .

PRODUCTION	SEMANTIC RULES
1. $T \rightarrow F T'$	$T'.inh = F.val; T.val = T'.syn$
2. $T' \rightarrow * F T'_1$	$T'_1.inh = T'.inh \times F.val; T'.syn = T'_1.syn$
3. $T' \rightarrow \epsilon$	$T'.syn = T'.inh$
4. $F \rightarrow \mathbf{digit}$	$F.val = \mathbf{digit.lexval}$

'*inh*' is inherited, and '*val*', '*syn*' are synthesized.



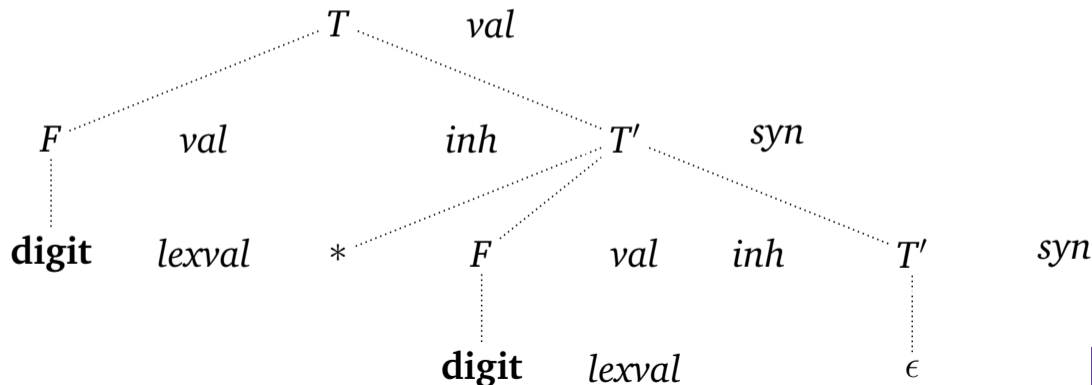
Dependency Graphs

Dependency graphs depicts the flow of information among attributes.



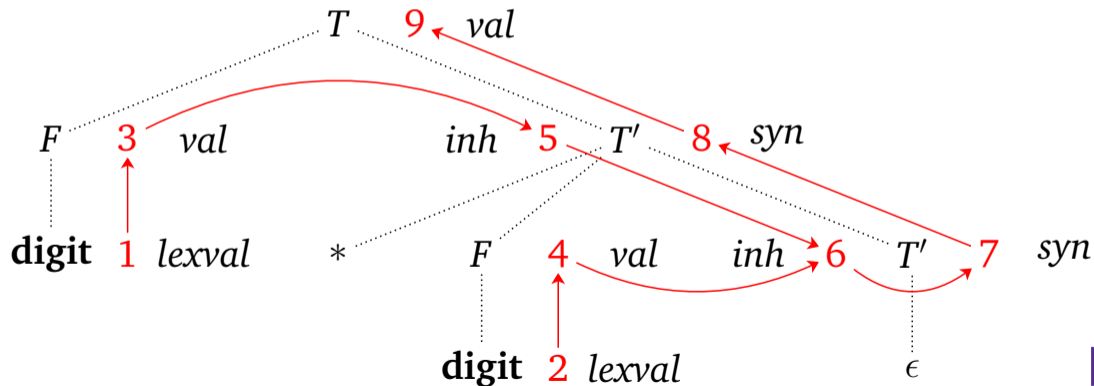
Example: Attributes

Parse tree for $3 * 5$ based on SDD:



Example: Attributes with Dependency Graph

Parse tree for $3 * 5$ based on SDD:



Dependency Graphs

Central problem: Determining the efficient evaluation order for the attribute instances in a given parse tree.



Calculating Attributes

Cycles possible!

PRODUCTION	SEMANTIC RULE
$A \rightarrow B$	$A.s = B.i; B.i = A.s + 1$

When dependency graphs have cycles, evaluation is “stuck”!



Well-behaved SDD Classes

Given an SDD, hard to tell if there exists a parse tree whose dependency graphs have cycles!

Safe way to go: use classes of SDDs that guarantee an evaluation order:

S-Attributed Definitions all attributes are synthesized.

L-Attributed Definitions “left” restrictions on dependency graph edges.



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L-Attributed Definitions

Suppose an attribute rule for $A \rightarrow X_1 X_2 \dots X_i \dots X_n$ produces **inherited $X_i.a$ attribute**. The rule may only use:

- ▶ inherited attributes associated with the head (A),
- ▶ inherited or synthesized attributes associated with the occurrences of symbols X_1, X_2, \dots, X_{i-1} **located left of X_i** .
- ▶ Inherited or synthesized attributes associated with the occurrence of X_i itself, as long as not part of a dependency graph cycle!

or, the produced attribute is synthesized.



Example: Mingling with Inherited Attributes...

Decide if the SDD is L-attributed and argue :

PRODUCTION	SEMANTIC RULES
1. $T \rightarrow FT'$	$T'.inh = F.val; T.val = T'.syn$
2. $T' \rightarrow *FT'_1$	$T'_1.inh = T'.inh \times F.val; T'.syn = T'_1.syn$
3. $T' \rightarrow \epsilon$	$T'.syn = T'.inh$
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'inh' is inherited, and 'val', 'syn' are synthesized.



Another example

The following SDD is NOT L-attributed. Why?

PRODUCTION	SEMANTIC RULES
$A \rightarrow BC$	$A.s = B.b$ $B.i = F(C.c, A.s)$

where $B.i$ is inherited, and $A.s$, $C.c$ are synthesized.



Another example

The following SDD is NOT L-attributed. Why?

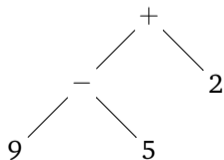
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Construction of Abstract Syntax Trees

TREE	INTERIOR NODES	GRAMMAR
parse tree	nonterminals	concrete syntax
abstract syntax tree	programming constructs	abstract syntax

Example (abstract syntax)



$$E \rightarrow E + E \mid E - E \mid \mathbf{digit}$$

Construction of AST

Example 1: S-attributed SDD for simple expressions.

PRODUCTION	SEMANTIC RULE
1. $E \rightarrow E_1 + T_2$	$E.node = \mathbf{new Node}('+', E_1.node, T_2.node)$
2. $E \rightarrow E_1 - T_2$	$E.node = \mathbf{new Node}('-', E_1.node, T_2.node)$
3. $E \rightarrow T$	$E.node = T.node$
4. $T \rightarrow (E)$	$T.node = E.node$
5. $T \rightarrow \mathbf{id}$	$T.node = \mathbf{new Leaf}(\mathbf{id}, \mathbf{id.entry})$
6. $T \rightarrow \mathbf{num}$	$T.node = \mathbf{new Leaf}(\mathbf{num}, \mathbf{num.entry})$

► Show the AST for $a - 4 + c$

Construction of AST

Example 2: L-attributed SDD for simple expressions.

PRODUCTION	SEMANTIC RULE
1. $E \rightarrow T E'$	$E.node = E'.syn; E'.inh = T.node$
2. $E' \rightarrow + T E'_1$	$E'_1.inh = \mathbf{new Node}('+', E'.node, T.node)$ $E'.syn = E'_1.syn$
3. $E' \rightarrow - T E'_1$	$E'_1.inh = \mathbf{new Node}('-', E'.node, T.node)$ $E'.syn = E'_1.syn$
4. $E' \rightarrow \epsilon$	$E'.syn = E'.inh$
5. $T \rightarrow (E)$	$T.node = E.node$
6. $T \rightarrow \mathbf{id}$	$T.node = \mathbf{new Leaf}(\mathbf{id}, \mathbf{id.entry})$
7. $T \rightarrow \mathbf{num}$	$T.node = \mathbf{new Leaf}(\mathbf{num}, \mathbf{num.entry})$

- Show AST and dependency graph for $a - 4 + c$

Syntax-Directed Translation of Type Expressions

	PRODUCTION	SEMANTIC RULE
1	$T \rightarrow BC$	$T.syn = C.syn$ $C.inh = B.syn$
2	$B \rightarrow \mathbf{int}$	$B.syn = integer$
3	$B \rightarrow \mathbf{float}$	$B.syn = integer$
4	$C \rightarrow [\mathbf{num}]C_1$	$C.syn = array(\mathbf{num.val}, C_1.syn)$ $C_1.inh = C.inh$
5	$C \rightarrow \epsilon$	$C.syn = C.inh$

- Show AST and dependencies for `int[2][3]`

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HACS Review: Lexical Specification

space [\t\n] ;

token Int | $\langle \text{Digit} \rangle^+$;

token Float | $\langle \text{Int} \rangle \text{"."} \langle \text{Int} \rangle$;

token fragment Digit | [0–9] ;



HACS Review: Parser Specification

$$\begin{aligned}
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid \mathbf{int} \mid \mathbf{float}
 \end{aligned}
 \tag{4.1}$$

```

sort Exp | [[⟨Exp@1⟩ + ⟨Exp@2⟩]]@1
         | [[⟨Exp@2⟩ * ⟨Exp@3⟩]]@2
         | [[⟨Int⟩]]@3 | [[⟨Float⟩]]@3
         | sugar [[(⟨Exp#1@1⟩)]]@3 →Exp#1 ;
  
```

$$E \rightarrow E + E \mid E * E \mid \mathbf{int} \mid \mathbf{float}$$

HACS Review: Parser Specification

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$$E \rightarrow E + E \mid E * E \mid \mathbf{int} \mid \mathbf{float}$$

HACS Review: Parser Specification

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```

$$E \rightarrow E + E \mid E * E \mid \mathbf{int} \mid \mathbf{float}$$

This Week

- ▶ S-attributed SDDs.
- ▶ Recursive Translation Schemes.



S-attributed SDD

Only synthesized attributes.



Example: Type Synthesis SDD

PRODUCTION	SEMANTIC RULES
$E \rightarrow E_1 + E_2$	$E.t = \text{Unif}(E_1.t, E_2.t)$ (1)
$E_1 * E_2$	$E.t = \text{Unif}(E_1.t, E_2.t)$ (2)
int	$E.t = \text{Int}$ (3)
float	$E.t = \text{Float}$ (4)



Example: Type Synthesis HACS Sorts

```
sort Type | Int | Float;
```



Example: Type Synthesis HACS Unification Rules

```
sort Type | scheme Unif(Type,Type);  
Unif(Int, Int) →Int;  
Unif(Float, #) →Float;  
Unif(#, Float) →Float;
```



Example: Type Synthesis SDD Rule (1)

$$E \rightarrow E_1 + E_2 \quad | \quad E.t = \text{Unif}(E_1.t, E_2.t) \quad (1)$$

$$\llbracket \langle \text{Exp\#1} \uparrow \text{type}(\#t_1) \rangle + \langle \text{Exp\#2} \uparrow \text{type}(\#t_2) \rangle \rrbracket \uparrow \text{type}(\text{Unif}(\#t_1, \#t_2))$$



Example: Type Synthesis SDD Rule (1)

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Example: Type Synthesis HACS

```
attribute ↑type(Type);
```

```
sort Exp; ↑type;
```

```
[[ ⟨Exp#1 ↑type(#t1)⟩ + ⟨Exp#2 ↑type(#t2)⟩ ]]↑type(Unif(#t1,#t2));  
[[ ⟨Exp#1 ↑type(#t1)⟩ * ⟨Exp#2 ↑type(#t2)⟩ ]]↑type(Unif(#t1,#t2));  
[[ ⟨Int#⟩ ]]↑type(Int);  
[[ ⟨Float#⟩ ]]↑type(Float);
```



Recursive Translation Scheme

// New syntax for value conversion from Int to Float:

```
sort Exp | [[float <Exp>]];
```



Recursive Translation Scheme (II)

// New scheme for inserting all needed int-to-float conversions:

```
sort Exp | scheme I2F(Exp);
```



Recursive Translation Scheme (III)

// Cases for +:

$$\text{I2F}(\llbracket \langle \text{Exp}\#1 \uparrow \text{type}(\text{Int}) \rangle + \langle \text{Exp}\#2 \uparrow \text{type}(\text{Int}) \rangle \rrbracket \uparrow \text{type}(\#t))$$

$$\rightarrow \llbracket \langle \text{Exp I2F}(\#1) \rangle + \langle \text{Exp I2F}(\#2) \rangle \rrbracket \uparrow \text{type}(\#t);$$

$$\text{I2F}(\llbracket \langle \text{Exp}\#1 \uparrow \text{type}(\text{Float}) \rangle + \langle \text{Exp}\#2 \uparrow \text{type}(\text{Int}) \rangle \rrbracket \uparrow \text{type}(\#t))$$

$$\rightarrow \llbracket \langle \text{Exp I2F}(\#1) \rangle + (\text{float} \langle \text{Exp I2F}(\#2) \rangle) \rrbracket \uparrow \text{type}(\#t);$$

$$\text{I2F}(\llbracket \langle \text{Exp}\#1 \uparrow \text{type}(\text{Int}) \rangle + \langle \text{Exp}\#2 \uparrow \text{type}(\text{Float}) \rangle \rrbracket \uparrow \text{type}(\#t))$$

$$\rightarrow \llbracket (\text{float} \langle \text{Exp I2F}(\#1) \rangle) + \langle \text{Exp I2F}(\#2) \rangle \rrbracket \uparrow \text{type}(\#t);$$

$$\text{I2F}(\llbracket \langle \text{Exp}\#1 \uparrow \text{type}(\text{Float}) \rangle + \langle \text{Exp}\#2 \uparrow \text{type}(\text{Float}) \rangle \rrbracket \uparrow \text{type}(\#t))$$

$$\rightarrow \llbracket \langle \text{Exp I2F}(\#1) \rangle + \langle \text{Exp I2F}(\#2) \rangle \rrbracket \uparrow \text{type}(\#t);$$

Recursive Translation Scheme (IV)

// Cases for *:

$$\begin{aligned} & \mathbf{I2F}(\llbracket \langle \text{Exp}\#1 \uparrow \text{type}(\text{Int}) \rangle * \langle \text{Exp}\#2 \uparrow \text{type}(\text{Int}) \rangle \rrbracket \uparrow \text{type}(\#t)) \\ & \rightarrow \llbracket \langle \text{Exp } \mathbf{I2F}(\#1) \rangle * \langle \text{Exp } \mathbf{I2F}(\#2) \rangle \rrbracket \uparrow \text{type}(\#t); \end{aligned}$$

$$\begin{aligned} & \mathbf{I2F}(\llbracket \langle \text{Exp}\#1 \uparrow \text{type}(\text{Float}) \rangle * \langle \text{Exp}\#2 \uparrow \text{type}(\text{Int}) \rangle \rrbracket \uparrow \text{type}(\#t)) \\ & \rightarrow \llbracket \langle \text{Exp } \mathbf{I2F}(\#1) \rangle * (\text{float} \langle \text{Exp } \mathbf{I2F}(\#2) \rangle) \rrbracket \uparrow \text{type}(\#t); \end{aligned}$$

$$\begin{aligned} & \mathbf{I2F}(\llbracket \langle \text{Exp}\#1 \uparrow \text{type}(\text{Int}) \rangle * \langle \text{Exp}\#2 \uparrow \text{type}(\text{Float}) \rangle \rrbracket \uparrow \text{type}(\#t)) \\ & \rightarrow \llbracket (\text{float} \langle \text{Exp } \mathbf{I2F}(\#1) \rangle) * \langle \text{Exp } \mathbf{I2F}(\#2) \rangle \rrbracket \uparrow \text{type}(\#t); \end{aligned}$$

$$\begin{aligned} & \mathbf{I2F}(\llbracket \langle \text{Exp}\#1 \uparrow \text{type}(\text{Float}) \rangle * \langle \text{Exp}\#2 \uparrow \text{type}(\text{Float}) \rangle \rrbracket \uparrow \text{type}(\#t)) \\ & \rightarrow \llbracket \langle \text{Exp } \mathbf{I2F}(\#1) \rangle * \langle \text{Exp } \mathbf{I2F}(\#2) \rangle \rrbracket \uparrow \text{type}(\#t); \end{aligned}$$


Recursive Translation Scheme (II)

// Cases for literals:

$$\mathbf{I2F}(\llbracket \langle \text{Int} \#1 \rangle \rrbracket \uparrow \text{type}(\#t)) \rightarrow \llbracket \langle \text{Int} \#1 \rangle \rrbracket \uparrow \text{type}(\#t);$$
$$\mathbf{I2F}(\llbracket \langle \text{Float} \#1 \rangle \rrbracket \uparrow \text{type}(\#t)) \rightarrow \llbracket \langle \text{Float} \#1 \rangle \rrbracket \uparrow \text{type}(\#t);$$


Questions?

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