

## Pnueli & Shalev's declarative semantics

- Given a config  $C$  and set of env events  $E$ , a set of trans.  $T$  is **separable for  $C$  and  $E$**  if  $\exists T' \neq T$  s.t.  $T' \subset T$  and  $\text{enabled}(C, E, T') \cap (T \setminus T') = \emptyset$
- $T$  is **admissible** for  $C$  and  $E$  if  $T$  is **inseparable** (not sep.) for  $C$  and  $E$  and  $T = \text{enabled}(C, E, T)$ , i.e., **the declarative sem. is a fixed-point sem.**
- Since  $\text{enabled}(C, E, \cdot)$  may involve transitions with a negative trigger, it is in general **non-monotonic**, and a **unique least fixed point may not exist.**
- The notion of separability chooses distinguished fixed points that reflect causality
- **A separable set of transitions points to a break in the causality chain when firing these transitions**
- **Thm 1 (Pnueli & Shalev).** For all configs  $C$  and event sets  $E$ , a set  $T$  of trans. is admissible for  $C$  and  $E$  iff  $T$  is constructable for  $C$  and  $E$



### 3.1 Configuration Syntax

This paper focuses on the semantics of single Statecharts steps, since the semantics across steps is clear and well understood. It will therefore be convenient to reduce the Statecharts notation to the bare essentials and identify a Statecharts configuration with its set of leaving transitions, to which we — by abuse of terminology — also refer as *configuration*. We formalise configurations using the following, simple syntax, where  $I \subseteq \Pi \cup \overline{\Pi}$  and  $A \subseteq \Pi$ :

$$C ::= 0 \mid I/A \mid C \parallel C .$$

Intuitively, 0 stands for the configuration with the empty behaviour. Configuration  $I/A$  encodes a transition  $t$  with  $\text{trg}(t) = I$  and  $\text{act}(t) = A$ . When triggered, transition  $t$  fires and generates the events in  $A$ . Transitions  $I/A$  with empty trigger, i.e.,  $I = \emptyset$ , are simply written as  $A$  below. If we wish to emphasise that trigger  $I$  consists of the positive events  $P \subseteq \Pi$  and the negative events  $\overline{N} \subseteq \overline{\Pi}$ , i.e.,  $I = P \cup \overline{N}$ , then we denote transition  $I/A$  by  $P, \overline{N}/A$ . Finally, configuration  $C_1 \parallel C_2$  describes the parallel composition of configurations  $C_1$  and  $C_2$ . Observe that 0 coincides semantically with a transition with empty action; nevertheless, it seems natural to include 0. Using this syntax, we may encode the initial configuration  $C_1$  of our example Statechart of Fig. 1 as

$$a/b \parallel b, \overline{c}, \overline{e_3}, \overline{e_4}/a, e_2 \parallel c, \overline{e_2}, \overline{e_4}/a, e_3 \parallel \overline{b}, \overline{e_2}, \overline{e_3}/c, e_4 .$$



- For simplicity, in this expo we focus on statecharts w.r.t. the **empty** environment only
- This is **no** restriction, since considering a set  $E$  of events from env for a config  $C$  is **equivalent** to considering  $C // E$  relative to the **empty** set of events

## New Perspective: Order-Theoretic Perspective

- Statecharts are viewed as **process terms** in process algebra, whose sem. is given by a **compositional transl.** into **labelled trans. systs**
- A transition represents a **config.** step decorated by an **ACTION LABEL**, specifying the **synchr. causal interaction** with the env.
- (**Causality**) **labels** are **ordered** (globally) **consistent** sets to encode **causal info**
- A causality label (or **basic action**) is a pair  $(l, <)$  where
  - $l \subseteq \Pi \cup \Pi^\infty$  is a consistent set of pos. or neg. evnts, i.e.,  $l \cap l^c = \emptyset$
  - $A < B$  is an **irreflexive** and **transitive** causality ordering on subsets  $A, B \subseteq l$ , with  $B = \emptyset$  or  $B = \{b\}$  for  $b \in \Pi$ , where
    - **irreflexivity** means that  $A < \{b\}$  implies  $b \notin A$  and,
    - **transitivity** that if  $A < \{b\}$  and  $b \in C < D$  then  $((C \setminus \{b\}) \cup A) < D$

- causality labels represent **globally consistent** and **causally closed** interactions that are composed from statechart transitions
- Every transition  $t \in \text{trans}(C)$  leaving config  $C$  induces a **causality label**, where
  - $l_t =_{\text{def}} \text{trg}(t) \cup \text{act}(t)$
  - $<_t =_{\text{def}} \{\text{trg}(t) <_t \{e'\} : e' \in \text{act}(t)\}$
  - $\text{trg}(t) \cap \text{act}(t) = \emptyset$  and for no  $e \in \Pi$  both  $e, e^{\circ} \in \text{trg}(t) \cup \text{act}(t)$
- Then  $l_t$  is **consistent, irreflexive and transitive**



## Ex. $a/b // b, c^{c^0}/d$

- Thus,  $t_1 =_{\text{def}} a/b$  and  $t_2 =_{\text{def}} b, c^{c^0}/d$  correspond to labels  $l_1 = \{a, b\}$ ,  $\{a\} <_1 \{b\}$ , and  $l_2 = \{b, c^{c^0}, d\}$  with  $\{b, c^{c^0}\} <_2 \{d\}$
- Their **joint execution** would be label  $l_3 = \{a, b, c^{c^0}, d\}$  with causalities  $\{a\} <_3 \{b\}$ ,  $\{b, c^{c^0}\} <_3 \{d\}$  and  $\{a, c^{c^0}\} <_3 \{d\}$
- Here, the last pair arises from **the combined reaction of  $t_1$  triggering  $t_2$** ; its presence is enforced by transitivity of  $<_3$
- Note that this ex. composes causality labels in parallel
- In general, the **parallel** composition of causality labels  $\sigma_1 = (l_1, <_1)$  and  $\sigma_2 = (l_2, <_2)$  is the set  $\sigma_1 \times \sigma_2$  of all **maximal, irreflexive and transitive suborderings** of the transitive closure  $(<_1 \cup <_2)^+$



Next we define the operation of parallel composition between causality labels  $\sigma_1 = (\ell_1, \prec_1)$  and  $\sigma_2 = (\ell_2, \prec_2)$  to form the full causal and concurrent closure of all interactions coded in two orderings. Due to nondeterminism, the composition  $\sigma_1 \times \sigma_2$  does not yield a single causality label but rather a set of them. They are obtained as the maximal irreflexive and transitive sub-orderings of the transitive closure  $(\prec_1 \cup \prec_2)^+$ . Here, the transitive closure of  $\prec_1 \cup \prec_2$  is the smallest relation  $\prec$  with  $\prec_1 \cup \prec_2 \subseteq \prec$  such that, if  $A \prec \{b\}$  and  $b \in B \prec C$ , then  $(B \setminus \{b\}) \cup A \prec C$ . Now,  $(\ell, \prec) \in \sigma_1 \times \sigma_2$  if (i)  $\ell = \ell_1 \cup \ell_2$ , (ii)  $(\ell, \prec)$  is a causality label, and (iii)  $\prec$  is maximal in  $(\prec_1 \cup \prec_2)^+$ .

**Theorem 2 (Correctness & Completeness).** *If  $C$  is a configuration and  $A \subseteq \Pi$ , then  $A$  is a Pnueli-Shalev step response of  $C$  if and only if there exists a causality label  $\sigma$  with  $C \mapsto \sigma$  such that  $\emptyset$  enables  $\sigma$  and  $A = \text{act}(\sigma)$ .*



# Compositional, Fully Abstract and Denotational Semantics

- The Pnueli & Shalev semantics lacks compositionality because an interaction with the environment is only allowed *at the beginning of a step* but *NOT* during a step
- Compositionality can only be achieved by *exhausting* the communication potential of a step
- This is done by regarding interaction steps, basically, *sequences of monotonically increasing fixed-points of the enabledness function*, extending until this potential is exhausted



## Interaction steps

- Read a configuration  $C$  of a Statechart as a specification of a **set of interaction steps** between a Statechart and **all its possible environments**
- This set is **nonempty** since one may always construct an environment that disables those transitions in  $C$  that would cause global consistency and, thus, failure in the sense of Pnueli and Shalev
- An interaction step is a **monotonically increasing sequence**  $M = (M_0, M_1, \dots, M_n)$  of reactions  $M_i \subseteq \Pi$ , where  $M_{i-1} \subseteq M_i$  for all  $i$ , and each reaction contains events representing both the environmental input and the Statecharts response.
- By the requirement for **monotonicity**, such a sequence **extends** the communication potential between the Statechart and its environment, until this potential is **exhausted**

## Interaction steps (cont'd)

- An interaction step is best understood as a **separation** of a Pnueli-Shalev step response  $M_n$  in its  **$n$  properly contained causally closed sub-fixed-points**
- Each  $M_i$  **extends**  $M_{i-1}$  by **new** environmental stimuli plus **the Statecharts response** to these
- Here, responses are computed according to Pnueli and Shalev, except that **events not contained in  $M_n$**  are assumed to be **absent in  $M_i$**
- Thus, global consistency is interpreted as a **logical specification over the full interaction step  $M$** , and NOT only relative to a **single** reaction  $M_i$



## Interaction steps (cont'd)

- Thus, each interaction step separates a Pnueli-Shalev step response into **causally-closed sets of events**
- Each passage from  $M_{i-1}$  to  $M_i$  represents a **non-causal "step"** triggered by th environment
- This creates a **separation** between  $M_{i-1}$  and  $M_i$  **in the spirit of P-S**: as all events **generated by the transitions enabled under  $M_{i-1}$  are contained in  $M_{i-1}$ , their intersection with  $M_i \setminus M_{i-1}$  is empty**

## Interpreting configurations , logically

- Transitions  $P, N^{\text{co}}/A$  of a config are interpreted on interaction steps  $M = (M_0, \dots, M_n)$  as follows: For each  $M_i$ , either
  - (1) all events in  $A$  are also in  $M_i$  (the transition is enabled and thus fires), or
  - (2) one or more events in  $A$  are not in  $M_i$  and  $P \not\subseteq M_i$  (not all positive trigger events are present, disabling the transition), or
  - (3) one or more events in  $A$  are not in  $M_i$ , and some event  $e \in N$  is in  $M_j$  for some  $i \leq j \leq n$  (global consistency is enforced over the whole interaction step  $M$ , disabling the transition)



