

Exercise 19.3.2: Let $A \subseteq \mathbb{N}$ be any set. Let $\chi_A = b_0b_1b_2 \cdots$ be the ω -string such that $b_i = 1$ iff $i \in A$. Write $\chi_A[i : j]$ for $b_i b_{i+1} \cdots b_j$, and $\chi_A[j]$ for $\chi_A[0 : j]$.

- (i) For all recursive A , there exists a constant $c = c(A)$ such that $K'(\chi_A[n]) \leq c$.
(ii) For all r.e. A , there is a constant $c = c(A)$ such that $K'(\chi_A[n]) \leq \ell(n) + c$. □

END EXERCISE

19.4 Some Applications

Kolmogorov Complexity has many applications, typically in lower bound proofs. For instance, in showing the existence of “random” or “hard” instances in a suitable class. Such arguments amounts to a sophisticated form of counting, and are especially amenable in the Kolmogorov Complexity framework. The advantage of such a framework is often conciseness (since the basic facts of Kolmogorov Complexity can be taken as given). Having a single framework to approach a variety of problems also a source of satisfaction.

In such applications, we will be handling general objects (Turing machines, graphs, crossing sequences, etc) as arguments to our Kolmogorov Complexity function $K(x|y)$. For instance, if G is a graph we must assume some encoding of G as a number denoted $\langle G \rangle$. Instead of writing $K(\langle G \rangle)$, we will freely write $K(G)$. In general, for any kind of object X there is an implicit encoding $\langle X \rangle$. We may need to handle a sequence X_1, X_2, \dots, X_m of objects, and thus need an encoding $\langle X_1, \dots, X_m \rangle$. Instead of writing $K(\langle X \rangle | \langle X_1, \dots, X_m \rangle)$, we simply write $K(X | X_1, \dots, X_m)$. Furthermore, we will write $\ell(X), \ell(X_1, \dots, X_m)$ for the length of these encodings. Another notational device is to write $(X|Y)$ (read “ X given Y ” instead of $\langle X, Y \rangle$). This is useful for the conditioning interpretation of arguments.

19.4.1 Crossing Sequences

We revisit the crossing sequence arguments in Chapter 2, Section 10. Throughout the following discussion, let M be a nondeterministic multitape Turing machine accepting the binary palindromes, $L_{\text{pal}} = \{x \in \{0, 1\}^* : x = x^R\}$. Let M accept in time-space (t, s) . In Chapter 2, it was shown that

$$t(n)s(n) = \Omega(n^2).$$

We now give a proof based on Kolmogorov Complexity, but assuming that M is a deterministic machine.

Recall that a storage configuration C_j is like a configuration except that the input tape contents and input head position are omitted. If a configuration is $\langle q, w_i, n_i \rangle_{i=0}^k$, then the corresponding storage configuration is just $\langle q, w_i, n_i \rangle_{i=1}^k$. If π is an accepting computation path of M on an input x of length n , and $i = 0, \dots, n$, then an i -**crossing sequence relative to π** is $S = (C_1, \dots, C_m)$ where C_j ($j = 1, \dots, m$) is the storage configuration in π when the input head of M crosses from cell i to cell $i + 1$ for the $(j + 1)/2$ -th time (assuming odd j) or from cell $i + 1$ to cell i for the $(j/2)$ -th time (assuming even j). Each C_j can be represented by a string of length $O(\lg |Q| + s(3n)) = O_M(s(3n))$, where Q is the state set of M . Since $|S| = m$, we have

$$\ell(S) = O(ms(n)). \tag{13}$$

We may also assume that M always returns its input head to position 0 before accepting, and this means that we only need consider crossing sequence of even length $m = |S|$.

LEMMA 10 *For any y , there exists x of length n such that for all $i = \lceil n/3 \rceil, \dots, n$, $K(x_i|y) \geq n/3 - 4\ell(n)$. Here x_i is prefix of x of length i .*

Proof. By incompressibility (Theorem 6), there exists x of length n such that $K(x|\langle M, n \rangle) \geq n$. Let U be the reference machine for K . Consider a TM N which, given $(\langle w, z \rangle|y)$, outputs $U(z|y)w$. So, if z is a U -program for x_i given y , and $x_i w = x$ then $\langle w, z \rangle$ is N -program for x given y . Since $\ell(\langle w, z \rangle) \leq \ell(z) + \ell(w) + 2\ell(\ell(w)) + 1$ and $\ell(w) = n - i$, we obtain

$$K_N(x|y) \leq K(x_i|y) + (n - i) + 2\ell(n - i) + 1 \leq K(x_i|y) + n/3 + 3\ell(n)$$

provided $\ell(n) \geq 1$. By invariance,

$$n \leq K(x|y) \leq K_N(x|y) + C \leq K(x_i|y) + 2n/3 + 4\ell(n)$$

provided $\ell(n) \geq C$. Thus $K(x_i|y) \geq n/3 - 4\ell(n)$, as claimed. Note that C depends on N and K , but not on M, n, y, x . **Q.E.D.**

We give two related definitions:

(A) A sequence S of storage configurations is called an (M, i) -**sequence** if there exists an accepting computation path π of M on some x where $|x| \geq 2i$, and S is an i -crossing sequence relative to π . Furthermore, the prefix x_i of x of length $|x_i| = i$ is called a **witness** for S .

(B) If S is any sequence of storage configurations and w a word, we say (w, S) is **compatible** iff the following Turing machine N accepts (w, S) . On input $(\langle w, S \rangle | \langle M \rangle)$, N will simulate M on input w “modulo S ”. This means that, as long as the input head of M does read past the end of w , the simulation is normal. Let $S = (C_1, \dots, C_m)$, m even. Immediately after the j th time ($j = 1, 2, \dots, m/2$) when M moves its input head from position $|w| = i$ to position $i + 1$, N will check to see if the current storage configuration of M is equal to C_{2j-1} . If not, N rejects. Otherwise, N replaces the current storage configuration with C_{2j} , and continues its simulation with input head at position i . After C_m has been installed in this manner, N accepts $\langle w, S \rangle$ iff M goes on to accept its input without ever crossing to cell $i + 1$ again.

LEMMA 11 *Let S be an (M, i) -sequence.*

(i) *There is a unique w of length i such that (w, S) is compatible.*

(ii) *There is a unique witness of length i for S .*

(iii) *If w is the witness for S then $K(w|M) \leq \ell(S) + 3\ell(|w|)$.*

Proof.

(i) By definition of (M, i) -sequence, S has a witness w of length i . It is also clear that (w, S) is compatible. Next, for any w' of length i , we claim that if (w', S) is compatible then $w = w'$. To see this, note that since w is a witness, there is a palindrome v such that S is the $|w|$ -crossing sequence relative to π , where π is the accepting computation of M on wwv^R . It follows from the compatibility of (w', S) that M also accepts $w'vw^R$. This means $w'vw^R$ is a palindrome and hence $w' = w$.

(ii) We know that (w, S) is compatible when w is a witness of S . From part (i), there is a unique u of length i such that (u, S) is compatible. We conclude that any witness of length i for S must be equal to this unique u .

(iii) Consider the Turing machine T that on input $(\langle i, S \rangle | \langle M \rangle)$ will generate each string w of length i in turn. For each w , T will check if (w, S) is compatible (using N above). If so, T outputs w . If not, T tests the next string of length i . It follows that $\langle |w|, S \rangle$ is a T -program for w given M . Hence

$$K_T(w|M) \leq \ell(|w|, S) \leq \ell(S) + 2\ell(|w|).$$

By invariance, $K(w|M) \leq \ell(S) + 2\ell(|w|) + C \leq \ell(S) + 3\ell(|w|)$, assuming $\ell(|w|) \geq C$, as desired. Note that C depends on T , and hence on N , but does not depend on M or w . **Q.E.D.**

THEOREM 12 *For all deterministic M that accepts L_{pal} in time-space $(t(n), s(n))$, and for all $n \in \mathbb{N}$ sufficiently large, there is a constant $C > 0$ such that $t(n)s(n) \geq Cn^2$.*

Proof. By Lemma 10, there is an x of length n such that $K(x_i|M, n) \geq n/3 - 4\ell(n)$ for all $i \geq \lceil n/3 \rceil$. Let S_i be the i -crossing sequence for the accepting computation path of M on input x . By Lemma 11(iii), for $i \leq n/2$, $K(x_i|M) \leq \ell(S_i) + 3\ell(n)$. Hence $\ell(S_i) \geq n/3 - 7\ell(n)$. If the length of S_i is t_i then $\ell(S_i) = Ct_i s(n)$ where C depends on M (see (13)). Summing over all $i = \lceil n/3 \rceil, \dots, \lfloor n/2 \rfloor$, we obtain

$$\begin{aligned} t(n)s(n) &\geq \sum_{i=\lceil n/3 \rceil}^{\lfloor n/2 \rfloor} t_i s(n) \\ &\geq \sum_i C \ell(S_i) \\ &\geq C \sum_i \left(\frac{n}{3} - 7\ell(n) \right) \\ &= \Omega(n^2). \end{aligned}$$

Q.E.D.