Learning Hierarchies of Invariant Visual Features

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The big success of ML has been to learn classifiers from labeled data
- The representation of the input, and the metric to compare them are assumed to be “intelligently designed.”
- Example: Support Vector Machines require a good input representation, and a good kernel function.

The next frontier is to “learn the features”
- The question: how can a machine learn good internal representations
- In language, good representations are paramount.
  - What makes the words “cat” and “dog” semantically similar?
  - How can different sentences with the same meaning be mapped to the same internal representation?

How can we leverage unlabeled data (which is plentiful)?
The Traditional “Shallow” Architecture for Recognition

Pre-processing / Feature Extraction

Trainable Classifier

this part is mostly hand-crafted

Internal Representation

- The raw input is pre-processed through a hand-crafted feature extractor
- The features are not learned
- The trainable classifier is often generic (task independent)
- The most common Machine Learning architecture: the Kernel Machine
How do we learn invariant representations?

From the image of an airplane, how do we extract a representation that is invariant to pose, illumination, background, clutter, object instance....

How can a human (or a machine) learn those representations by just looking at the world?

How can we learn visual categories from just a few examples?

I don't need to see many airplanes before I can recognize every airplane (even really weird ones)
Good Representations are Hierarchical

- **In Language: hierarchy in syntax and semantics**
  - Words->Parts of Speech->Sentences->Text
  - Objects, Actions, Attributes...-> Phrases -> Statements -> Stories

- **In Vision: part-whole hierarchy**
  - Pixels->Edges->Textons->Parts->Objects->Scenes
Deep Learning: learning a hierarchy of internal representations

- From low-level features to mid-level invariant representations, to object identities
- Representations are increasingly invariant as we go up the layers
- Using multiple stages gets around the specificity/invariance dilemma
The recognition of everyday objects is a very fast process.
- The recognition of common objects is essentially “feed forward.”
- But not all of vision is feed forward.

Much of the visual system (all of it?) is the result of learning
- How much prior structure is there?

If the visual system is deep and learned, what is the learning algorithm?
- What learning algorithm can train neural nets as “deep” as the visual system (10 layers?).
- Unsupervised vs Supervised learning
- What is the loss function?
- What is the organizing principle?
- Broader question (Hinton): what is the learning algorithm of the neo-cortex?
Do we really need deep architectures?

- We can approximate any function as close as we want with shallow architecture. Why would we need deep ones?

  \[ y = \sum_{i=1}^{P} \alpha_i K(X, X^i) \quad \text{or} \quad y = F(W^1 \cdot F(W^0 \cdot X)) \]

  - kernel machines and 2-layer neural net are “universal”.

- Deep learning machines

  \[ y = F(W^K \cdot F(W^{K-1} \cdot F(\ldots F(W^0 \cdot X)\ldots))) \]

- Deep machines are more efficient for representing certain classes of functions, particularly those involved in visual recognition.

  - they can represent more complex functions with less “hardware”

- We need an efficient parameterization of the class of functions that are useful for “AI” tasks.
**Why are Deep Architectures More Efficient?**

[Bengio & LeCun 2007 “Scaling Learning Algorithms Towards AI”]

A deep architecture trades space for time (or breadth for depth)
- more layers (more sequential computation),
- but less hardware (less parallel computation).
- Depth-Breadth tradeoff

**Example 1: N-bit parity**
- requires \(N-1\) XOR gates in a tree of depth \(\log(N)\).
- requires an exponential number of gates of we restrict ourselves to 2 layers (DNF formula with exponential number of minterms).

**Example 2: circuit for addition of 2 N-bit binary numbers**
- Requires \(O(N)\) gates, and \(O(N)\) layers using \(N\) one-bit adders with ripple carry propagation.
- Requires lots of gates (some polynomial in \(N\)) if we restrict ourselves to two layers (e.g. Disjunctive Normal Form).
- Bad news: almost all boolean functions have a DNF formula with an exponential number of minterms \(O(2^N)\)....
Feature Extraction in Computer Vision

Examples:
- SIFT features with Spatial Pyramid Matching Kernel SVM [Lazebnik et al. 2006]
- Edges + Rectification + Histograms + SVM [Dalal & Triggs 2005]
- Fixed Features + “shallow” classifier
Trainable Feature Extraction: Hubel-Wiesel Stage

Filter Bank -> Sigmoid -> Average Pooling & Subsampling

Filter Bank + Sigmoid similar to “simple cells” in the visual cortex

Pooling & Subsampling similar to “complex cells” in the visual cortex

[Hubel & Wiesel 1962]
Deep Architecture: The Multi-stage Hubel-Wiesel Architecture

Stacking multiple stages of simple cell / complex cell layer pairs

We can't build the second layer features by hand!

Neocognitron [Fukushima 1971-1982]
- simple unsupervised/competitive feature learning

Convolutional Nets [LeCun 1988-2007]
- fully supervised feature learning
- A rare example of successful supervised deep learning

HMAX & friends [Poggio's group 2002-2006, Lowe 2006]
- simple feature learning (fixed Gabor filters + stored templates)
Convolutional Net: Supervised Multi-Stage Hubel-Wiesel Arch.

**Convolutional Net: supervised multi-stage Hubel-Wiesel Architecture**
- Convolutional Layers: detect local motifs
- Pooling/Subsampling: builds local invariance to distortions

**Training by supervised gradient descent (using back-propagation)**
- Every coefficient of every filter is learned simultaneously
- “end-to-end learning”

**The architecture is biologically inspired, but not the learning algorithm.**
End-to-End Supervised Training of Convolutional Nets:
- Gradient-based learning algorithm (similar to back-propagation)
- Every filter at every layer is learned.

This training method works very well but it requires many labeled training samples.

It is the record-holding method for handwriting recognition

It is used commercially by NCR for check reading machines, and Microsoft for OCR.
Supervised Convolutional Nets learn well with lots of data

Supervised Convolutional nets work very well for:
- handwriting recognition
  - Holds the record on MNIST!
- face detection
- object recognition with few classes and lots of training samples
Deep Supervised ConvNets Work (with lots of labeled data)

On recognition tasks with lots of training samples, deep supervised architecture outperform shallow architectures in speed and accuracy.

Handwriting Recognition: ConvNets hold the record
- raw MNIST: 0.62% for convolutional nets [Ranzato 07]
- raw MNIST: 1.40% for SVMs [Cortes 92]
- distorted MNIST: 0.40% for conv nets [Simard 03, Ranzato 06]
- distorted MNIST: 0.67% for SVMs [Bordes 07]

Object Recognition: beats SVMs
- small NORB: 6.0% for conv nets [Huang 05]
- small NORB: 11.6% for SVM [Huang 05]
- big NORB: 7.8% for conv nets [Huang 06]
- big NORB: 43.3% for SVM [Huang 06]

Face Detection: ConvNets beat Viola-Jones
- [Vaillant 93,94][Garcia & Delakis PAMI 05][Osadchy JMLR 07]
### Face Detection: Results

<table>
<thead>
<tr>
<th>Data Set -&gt;</th>
<th>TILTED</th>
<th>PROFILE</th>
<th>MIT+CMU</th>
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<td>False positives per image -&gt;</td>
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<td>26.9</td>
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<td>Our Detector</td>
<td>90%</td>
<td>67%</td>
<td>83%</td>
</tr>
<tr>
<td></td>
<td>97%</td>
<td>83%</td>
<td>88%</td>
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<tr>
<td>Jones &amp; Viola (tilted)</td>
<td>90%</td>
<td>x</td>
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<td>95%</td>
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<td>83%</td>
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Face Detection and Pose Estimation: Results
Face Detection with a Convolutional Net
Generic Object Detection and Recognition with Invariance to Pose and Illumination

50 toys belonging to 5 categories: animal, human figure, airplane, truck, car

10 instance per category: 5 instances used for training, 5 instances for testing

Raw dataset: 972 stereo pair of each object instance. 48,600 image pairs total.

For each instance:

- 18 azimuths
  - 0 to 350 degrees every 20 degrees

- 9 elevations
  - 30 to 70 degrees from horizontal every 5 degrees

- 6 illuminations
  - On/off combinations of 4 lights

- 2 cameras (stereo)
  - 7.5 cm apart
  - 40 cm from the object

| Training instances | Test instances |
Textured and Cluttered Datasets
Visual Navigation for a Mobile Robot

[LeCun et al. NIPS 2005]

- Mobile robot with two cameras
- The convolutional net is trained to emulate a human driver from recorded sequences of video + human-provided steering angles.
- The network maps stereo images to steering angles for obstacle avoidance
Industrial Applications of ConvNets

- **AT&T/Lucent/NCR**
  - Check reading, OCR, handwriting recognition (deployed 1996)

- **Vidient Inc**
  - Vidient Inc's “SmartCatch” system deployed in several airports and facilities around the US for detecting intrusions, tailgating, and abandoned objects (Vidient is a spin-off of NEC)

- **NEC Labs**
  - Cancer cell detection, automotive applications, kiosks

- **Google**
  - OCR, ???

- **Microsoft**
  - OCR, handwriting recognition, speech detection

- **France Telecom**
  - Face detection, HCI, cell phone-based applications

- **Other projects: HRL (3D vision)....**
Problem: ConvNets don't work when labeled samples are scarce

On recognition tasks with few labeled samples, deep supervised architectures don't do so well

Example: Caltech-101 Object Recognition Dataset
- 101 categories of objects (gathered from the web)
- Only 30 training samples per category!

Recognition rates (OUCH!):
- Supervised ConvNet: 26.0%
- SIFT features + spatial pyramid kernel SVM: 66.2%
  [Lazebnik et al. 2006]

When learning the features, there are simply too many parameters to learn in purely supervised mode (or so we thought).
We need **unsupervised** learning methods that can learn invariant feature hierarchies.

“Deep Belief Networks” strategy [Hinton 2005]

- train each stage unsupervised one after the other.
- Hinton uses Restricted Boltzmann Machines for each stage.

[Hinton et al. “A fast learning algorithm for DBNs” 06]

[Hinton et al. “Reducing the dimensionality of data with neural nets” 06]

[Bengio et al. “Greedy layer-wise training of deep nets” 07]

[Ranzato et al. “Efficient learning of sparse representations with energy-based models” 07]

[Lee et al. 07]
The Deep Encoder/Decoder Architecture

Each stage is composed of [Bengio 06, LeCun 06]

- an encoder that produces a feature vector from the input
- a decoder that reconstruct the input from the feature vector
  - Hinton's Restricted Boltzmann Machines are a special case

Each stage is trained one after the other in a greedy fashion

- the input to stage $k+1$ is the feature vector of stage $k$. 
The Deep Encoder/Decoder Architecture

- Each stage is composed of
  - an encoder that produces a feature vector from the input
  - a decoder that reconstructs the input from the feature vector
    - Hinton's Restricted Boltzmann Machines are a special case

- Each stage is trained one after the other
  - Training stage 1

[Hinton 05, Bengio 06, LeCun 06, Ng 07]
The Deep Encoder/Decoder Architecture

Each stage is composed of
- an encoder that produces a feature vector from the input
- a decoder that reconstructs the input from the feature vector
  Hinton's Restricted Boltzmann Machines are a special case

Each stage is trained one after the other
- Training stage 2

[Hinton 05, Bengio 06, LeCun 06, Ng 07]
Training an Encoder/Decoder Module

- **Define the Energy** $E(Y)$ **as the reconstruction error**
  - Example: $E(Y) = || Y - Decoder(Encoder(Y)) ||^2$

- **Probabilistic Training, given a training set** $(Y_1, Y_2, ....)$
  - Interpret the energy $E(Y)$ as a $-\log P(Y)$ (unnormalized)
  - Train the encoder/decoder to maximize the prob of the data

**Train the encoder/decoder so that:**
- $E(Y)$ is small in regions of high data density (good reconstruction)
- $E(Y)$ is large in regions of low data density (bad reconstruction)
Each Stage is Trained as an Estimator of the Input Density

**Probabilistic View:**
- Produce a probability density function that:
  - has high value in regions of high sample density
  - has low value everywhere else (integral = 1).

**Energy-Based View:**
- produce an energy function \( E(Y,W) \) that:
  - has low value in regions of high sample density
  - has high(er) value everywhere else
Energy <-> Probability

\[ P(Y|W) = \frac{e^{-\beta E(Y,W)}}{\int_y e^{-\beta E(y,W)}} \]

\[ E(Y,W) \propto -\log P(Y|W) \]
The Intractable Normalization Problem

**Example: Image Patches**

**Learning:**
- Make the energy of every “natural image” patch low
- Make the energy of everything else high!

\[
P(Y, W) = \frac{e^{-\beta E(Y, W)}}{\int_y e^{-\beta E(y, W)} }
\]
Training an Energy-Based Model to Approximate a Density

Maximizing $P(Y|W)$ on training samples

$$P(Y|W) = \frac{e^{-\beta E(Y,W)}}{\int_y e^{-\beta E(y,W)}}$$

Minimizing $-\log P(Y,W)$ on training samples

$$L(Y, W) = E(Y, W) + \frac{1}{\beta} \log \int_y e^{-\beta E(y,W)}$$
Training an Energy-Based Model with Gradient Descent

Gradient of the negative log-likelihood loss for one sample \( Y \):

\[
\frac{\partial L(Y, W)}{\partial W} = \frac{\partial E(Y, W)}{\partial W} - \int_y P(y|W) \frac{\partial E(y, W)}{\partial W}
\]

Gradient descent:

\[
W \leftarrow W - \eta \frac{\partial L(Y, W)}{\partial W}
\]

- Pushes down on the energy of the samples
- Pulls up on the energy of low-energy \( Y \)'s

\[
W \leftarrow W - \eta \frac{\partial E(Y, W)}{\partial W} + \eta \int_y P(y|W) \frac{\partial E(y, W)}{\partial W}
\]
Probabilistic unsupervised learning is hard

- Pushing up on the energy of every points in regions of low data density is often impractical.

Solution 1: contrastive divergence [Hinton 2000]

- Only push up on points that are not too far from the training samples, and only on those points that have low energy. These points are obtained from the training samples through MCMC.
- This makes a “groove” in the energy surface around the data manifold.

Solution 2: **MAIN INSIGHT!** [Ranzato, ..., LeCun AI-Stat 2007]

- Restrict the information content of the code (features) Z
- If the code Z can only take a few different configurations, only a correspondingly small number of Ys can be perfectly reconstructed
- Idea: impose a sparsity prior on Z
- This is reminiscent of sparse coding [Olshausen & Field 1997]
Contrastive Divergence Trick [Hinton 2000]

- **push down** on the energy of the training sample $Y$
- **Pick a sample of low energy** $Y'$ near the training sample, and **pull up its energy**
  - this digs a trench in the energy surface around the training samples

\[
W \leftarrow W \ - \ \eta \frac{\partial E(Y, W)}{\partial W} + \eta \frac{\partial E(Y', W)}{\partial W}
\]

- **Pushes down on the energy of the training sample $Y$**
- **Pulls up on the energy $Y'$**
Contrastive Divergence Trick [Hinton 2000]

- push down on the energy of the training sample \( Y \)
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- Pushes down on the energy of the training sample \( Y \)
- Pulls up on the energy \( Y' \)
The Main Insight [Ranzato et al. 2007]

- If the information content of the feature vector is limited (e.g. by imposing sparsity constraints), the energy MUST be large in most of the space.
  - pulling down on the energy of the training samples will necessarily make a groove

- The volume of the space over which the energy is low is limited by the entropy of the feature vector
  - Input vectors are reconstructed from feature vectors.
  - If few feature configurations are possible, few input vectors can be reconstructed properly
Sparse Decomposition with Linear Reconstruction

**Energy** \((\text{Input},\text{Code})\) = \(\|\text{Input} - \text{Decoder} (\text{Code})\|^2 + \text{Sparsity} (\text{Code})\)

**Energy** \((\text{Input}) = \text{Min}_\text{over_Code}[\text{Energy} (\text{Input},\text{Code})]\)

- **Energy:** minimize to infer \(Z\)
  \[
  E (Y^i, Z^i; W) = \|Y^i - W_d Z^i\|^2 + \lambda \sum_j |z^i_j|
  \]
  \[
  F (Y^i; W) = \text{min}_z E (Y^i, z; W)
  \]

- **Loss:** minimize to learn \(W\) (the columns of \(W\) are constrained to have norm 1)
  \[
  L (W) = \sum_i F (Y^i; W) = \sum_i (\text{min}_{Z^i} E (Y^i, Z^i; W))
  \]

Yann LeCun
Problem with Sparse Decomposition: It's slow

Inference: Optimal Code = \text{Arg\_Min\_over\_Code}[\text{Energy(Input,Code)}]

\[ E(Y^i, Z^i; W) = \|Y^i - W_d Z^i\|^2 + \lambda \sum_j |z^i_j| \]

\[ F(Y^i; W) = \min_z E(Y^i, z; W) \]

\[ Z^i = \text{argmin}_z E(Y^i, z; W) \]

For each new Y, an optimization algorithm must be run to find the corresponding optimal Z

This would be very slow for large scale vision tasks

Also, the optimal Z are very unstable:

A small change in Y can cause a large change in the optimal Z
Solution: Predictive Sparse Decomposition (PSD)

- Prediction the optimal code with a trained encoder
- Energy = reconstruction_error + code_prediction_error + code_sparsity

\[
E(Y^i, Z^i ; W) = \|Y^i - W_d Z^i\|^2 + \|Z^i - f_e(Y^i)\|^2 + \lambda \sum_j |z_j^i|
\]

\[
f_e(Y^i) = D \tanh(W_e Y)
\]
PSD: Inference

Inference by gradient descent starting from the encoder output

\[ E(Y^i, Z^i; W) = \|Y^i - W_d Z^i\|^2 + \|Z^i - f_e(Y^i)\|^2 + \lambda \sum_j |z_j^i| \]

\[ Z^i = \text{argmin}_Z E(Y^i, z; W) \]
Learning by minimizing the average energy of the training data with respect to $W_d$ and $W_e$.

Loss function:

$$L(W) = \sum_i F(Y^i; W)$$

$$F(Y^i; W) = \min_z E(Y^i, z; W)$$
1. Initialize $Z = \text{Encoder}(Y)$
2. Find $Z$ that minimizes the energy function
3. Update the Decoder basis functions to reduce reconstruction error
4. Update Encoder parameters to reduce prediction error
Repeat with next training sample
Decoder Basis Functions on MNIST

- **PSD trained on handwritten digits: decoder filters are “parts” (strokes).**
  - Any digit can be reconstructed as a linear combination of a small number of these “parts”.

![MNIST digits with decoder basis functions](image-url)
Basis functions are like Gabor filters (like receptive fields in V1 neurons)

- 256 filters of size 12x12
- Trained on natural image patches from the Berkeley dataset
- Encoder is linear-tanh-diagonal
Classification Error Rate on MNIST

- Supervised Linear Classifier trained on 200 trained sparse features
  - Red: linear-tanh-diagonal encoder; Blue: linear encoder
Classification Error Rate on MNIST

Supervised Linear Classifier trained on 200 trained sparse features
Learned Features on natural patches: V1-like receptive fields
Learned Features: V1-like receptive fields

- 12x12 filters
- 1024 filters
How well do PSD features work on Caltech-101?

Recognition Architecture

Filter Bank → Non-Linearity → Spatial Pooling → Classifier

.abs.

New York University
96 filters on 9x9 patches trained with PSD
with Linear-Sigmoid-Diagonal Encoder

Recognition:
- Normalized_Image -> Learned_Filters -> Rectification -> Local_Normalization -> Spatial_Pooling -> PCA -> Linear_Classifier
- What is the effect of rectification and normalization?

weights: 0.9275 - 0.8688
Learning 96 Filters with PSD

- Filters->Sigmoid->AvPool->PCA->LinSVM 16%
- Filters->Sigmoid+Abs->AvPool->PCA->LinSVM 51%
- LCN->Filters->Sigmoid+Abs->AvPool->PCA->LinSVM 56%
- LCN->Filters->Sigm+Abs->LCN->AvPool->PCA->LinSVM 58%

LCN = Local Contrast Normalization (division by std dev of neighbors)
AvPool = Average pooling using boxcar filter and subsampling
PCA = PCA to 3000 components; LinSVM: Linear SVM classifier.

[Pinto&DiCarlo 2008] V1 model with 96 Gabor Filters (16 orientations, 4 scales) and half rectification

- LCN->G.Filters->Half-Rectif.->LCN->AvPool->PCA->LinSVM
- Caltech-101 recognition rate 59%

Adding a rectification makes a huge difference: 16%->51%

- The right features are not the output of the encoder
- The right features are the output of the sparsification function

Learning the filters with PSD gives the same results as multiscale Gabor filters
Approximated Sparse Features Predicted by PSD give better recognition results than Optimal Sparse Features computed with Feature Sign!

PSD features are more stable.

Feature Sign (FS) is an optimization methods for computing sparse codes [Lee...Ng 2006]
PSD Features are more stable

- Approximated Sparse Features Predicted by PSD give better recognition results than Optimal Sparse Features computed with Feature Sign!
- Because PSD features are more stable. Feature obtained through sparse optimization can change a lot with small changes of the input.

How many features change sign in patches from successive video frames (a,b), versus patches from random frame pairs (c)
PSD features are much cheaper to compute

Computing PSD features is hundreds of times cheaper than Feature Sign.
How Many 9x9 PSD features do we need?

Accuracy increases slowly past 64 filters.
Training a Multi-Stage Hubel-Wiesel Architecture with PSD

1. Train stage-1 filters with PSD on patches from natural images
2. Compute stage-1 features on training set
3. Train state-2 filters with PSD on stage-1 feature patches
4. Compute stage-2 features on training set
5. Train linear classifier on stage-2 features
6. Refine entire network with supervised gradient descent

What are the effects of the non-linearities and unsupervised pretraining?
Multistage Hubel-Wiesel Architecture on Caltech-101

INPUT 3@140x140

CONVOLUTIONS (9x9)

Y (luminance)

U

V

MAX/SUBSAMPLING (4x4)

CONVOLUTIONS (9x9)

32@132x132

32@33x33

64@25x25

MAX/SUBSAMPLING (5x5)

64@5x5
Each architecture has two stages of feature extraction and pooling, plus a supervised linear classifier on top.

**Convolutional Net: purely supervised training**
- Stage: Filters->Tanh->AveragePooling->Tanh
- Architecture: Stage->Stage->LinClassifier 26%

**Convolutional Net: unsupervised training of stages with SESM, and supervised training of top layer [Ranzato et al. CVPR 07]**
- Stage: Filters->Tanh->MaxPooling
- Architecture: Stage->Stage->LinClassifier 54%

**HMAX [Serre 05] -> [Mutch&Lowe 06]**
- Fixed Gabors at stage-1, simple learning algo for stage-2 (storing random templates)
- Stage: MultiscaleFilters->Sigmoid->Scale/Space Pooling
- Architecture: Stage->Stage->LinClassifier 56%
Multistage Hubel-Wiesel Architecture

- **Image Preprocessing:**
  - High-pass filter, local contrast normalization (divisive)

- **First Stage:**
  - Filters: 64 9x9 kernels producing 64 feature maps
  - Pooling: 10x10 averaging with 5x5 subsampling

- **Second Stage:**
  - Filters: 4096 9x9 kernels producing 256 feature maps
  - Pooling: 6x6 averaging with 3x3 subsampling
  - Features: 256 feature maps of size 4x4 (4096 features)

- **Classifier Stage:**
  - Multinomial logistic regression

- **Number of parameters:**
  - Roughly 750,000
Multistage Hubel-Wiesel Architecture on Caltech-101

Various non-linearities and training protocols

- R: random initialization + supervised training
- P: PSD training (frozen)
- A: PSD training + supervised adjustment
- Tanh: sigmoid non-linearity
- Abs: sigmoid+absolute value non-linearity
- Cnorm: local contrast normalization

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<tr>
<th>Id</th>
<th>Accuracy (%)</th>
<th>Protocol</th>
<th>Machine</th>
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<td>Traditional ConvNet Architecture</td>
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<tr>
<td>1</td>
<td>26.0%</td>
<td>RR</td>
<td>Tanh, 64 features</td>
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</tbody>
</table>
Our Best result is 67.2%
- comparable to the 66% of [Lazebnik 2006], which was obtained with SIFT features, Vector Quantization, and an SVM that uses the spatial pyramid matching kernel.
- The result is below [Varma 2007] which uses a large number of hand-designed features and a learned linear combination of kernels.

Our system is considerably cheaper/faster, and simpler
- Smaller net with only 16 features at stage-1 yield 65.2%.

The crucial ingredient seems to be the absolute value rectification
- A purely supervised system with rectification and contrast normalization yields 60%, despite the enormous number of parameters compared to the number of training samples!

Global supervised refinement is essential
- The 2\textsuperscript{nd} stage features need supervised refinement.
Multistage Hubel-Wiesel Architecture: Filters

Stage 1

- After PSD

- After supervised refinement

Stage 2
Unsupervised PSD ignores the spatial pooling step.

Could we devise a similar method that learns the pooling layer as well?

Idea [Hyvarinen & Hoyer 2001]: sparsity on pools of features

- Minimum number of pools must be non-zero
- Number of features that are on within a pool doesn't matter
- Pools tend to regroup similar features
Learning the filters and the pools

Using an idea from Hyvarinen: topographic square pooling (subspace ICA)

1. Apply filters on a patch (with suitable non-linearity)
2. Arrange filter outputs on a 2D plane
3. Square filter outputs
4. Minimize sqrt of sum of blocks of squared filter outputs

Units in the code $Z$

Define pools and enforce sparsity across pools
Learning the filters and the pools

- The filters arrange themselves spontaneously so that similar filters enter the same pool.

- The pooling units can be seen as complex cells.

- They are invariant to local transformations of the input:
  - For some it's translations, for others rotations, or other transformations.
Pinwheels?
Invariance Properties Compared to SIFT

- Measure distance between feature vectors (128 dimensions) of 16x16 patches from natural images
  - Left: normalized distance as a function of translation
  - Right: normalized distance as a function of translation when one patch is rotated 25 degrees.

- Topographic PSD features are more invariant than SIFT
Learning Invariant Features

- **Recognition Architecture**
  - ->HPF/LCN->filters->tanh->sqr->pooling->sqrt->Classifier
  - Block pooling plays the same role as rectification
Recognition Accuracy on Caltech 101

- A/B Comparison with SIFT (128x34x34 descriptors)
- 32x16 topographic map with 16x16 filters
- Pooling performed over 6x6 with 2x2 subsampling
- 128 dimensional feature vector per 16x16 patch
- Feature vector computed every 4x4 pixels (128x34x34 feature maps)
- Resulting feature maps are spatially smoothed

<table>
<thead>
<tr>
<th>Method</th>
<th>Avrge Accuracy/Class (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>classifier: PCA + linear SVM</strong></td>
<td></td>
</tr>
<tr>
<td>Proposed Method</td>
<td>50.9</td>
</tr>
<tr>
<td>SIFT (not rotation invariant)</td>
<td>51.2</td>
</tr>
<tr>
<td>SIFT (rotation invariant)</td>
<td>45.2</td>
</tr>
<tr>
<td>Serre et al. features [26]</td>
<td>47.1</td>
</tr>
<tr>
<td><strong>classifier: Spatial Pyramid Matching Kernel SVM</strong></td>
<td></td>
</tr>
<tr>
<td>Proposed Method</td>
<td>59.6</td>
</tr>
<tr>
<td>SIFT</td>
<td>65</td>
</tr>
</tbody>
</table>
CNP: FPGA Implementation of ConvNets

- **Implementation on low-end Xilinx FPGA**
  - Xilinx Spartan3A-DSP: 250MHz, 126 multipliers.
  - Face detector ConvNet at 640x480: 5e8 connections
  - 8fps with 200MHz clock: 4Gcps effective
    - Prototype runs at lower speed b/c of narrow memory bus on dev board
  - Very lightweight, very low power
    - Custom board the size of a matchbox (4 chips: FPGA + 3 RAM chips)
    - good for micro UAVs vision-based navigation.
  - High-End FPGA could deliver very high speed: 1024 multipliers at 500MHz: 500Gcps peak perf.
CNP Architecture

Terminal Interface  UART Interface  32bit Soft Processor
Instruction Manager  Kernel Manager  Post Processing
Hardware I/O  Memory I/O

Memory  Hardware  Processor  Software Function
Hardware Function

Memory Multi Port Interface

FRM  FIFO
CONV, FULL, SUB
SIGM
ALU

Priority Manager
Memory Management

Priority Manager

FIFO

Display Manager I/O
Video Manager

Screen  Camera

DDR SDRAM  >32MB

Yann LeCun
Systolic Convolver: 7x7 kernel in 1 clock cycle

Pix In

C0 → X → C0 → X → C0 → X
1/2 → Δ → Δ → Δ → Δ(W-3) → line n

Pix In

C0 → X → C0 → X → C0 → X
line n → Δ → Δ → Δ → Δ(W-3) → line n+1

Pix In

C0 → X → C0 → X → C0 → X
line n+1 → Δ → Δ → Δ → Pix Out
Design

- **Soft CPU used as micro-sequencer**
  - Micro-program is a C program on soft CPU

- **16x16 fixed-point multipliers**
  - Weights on 16 bits, neuron states on 8 bits.

- **Instruction set includes:**
  - Convolve X with kernel K result in Y, with sub-sampling ratio S
  - Sigmoid X to Y
  - Multiply/Divide X by Y (for contrast normalization)

- **Microcode generated automatically from network description in Lush**

<table>
<thead>
<tr>
<th>Entity</th>
<th>Occupancy</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>I/Os</td>
<td>135 out of 469</td>
<td>28%</td>
</tr>
<tr>
<td>DCMs</td>
<td>2 out of 8</td>
<td>25%</td>
</tr>
<tr>
<td>Mult/Accs</td>
<td>56 out of 126</td>
<td>44%</td>
</tr>
<tr>
<td>Bloc Rams</td>
<td>100 out of 126</td>
<td>84%</td>
</tr>
<tr>
<td>Slices</td>
<td>16790 out of 23872</td>
<td>70%</td>
</tr>
</tbody>
</table>
Face detector on CNP

<table>
<thead>
<tr>
<th>Layer</th>
<th>Kernels</th>
<th>Layer size</th>
</tr>
</thead>
<tbody>
<tr>
<td>Input image</td>
<td></td>
<td>1@42 × 42</td>
</tr>
<tr>
<td>C1 (Conv)</td>
<td>[6@7 × 7]</td>
<td>6@36 × 36</td>
</tr>
<tr>
<td>S2 (Pool)</td>
<td>[6@2 × 2]</td>
<td>6@18 × 18</td>
</tr>
<tr>
<td>C3 (Conv)</td>
<td>[61@7 × 7]</td>
<td>16@12 × 12</td>
</tr>
<tr>
<td>S4 (Pool)</td>
<td>[16@2 × 2]</td>
<td>16@6 × 6</td>
</tr>
<tr>
<td>C5 (Conv)</td>
<td>[305@6 × 6]</td>
<td>80@1 × 1</td>
</tr>
<tr>
<td>F6 (Dotp)</td>
<td>[160@1 × 1]</td>
<td>2@1 × 1</td>
</tr>
</tbody>
</table>

Input Image: 320x240

C1: 6@314x234

S2: 6@157x117

C3: 16@151x111

S4: 16@75x55

C5: 80@70x50
Results

- Clock speed limited by low memory bandwidth on the development board
  - Dev board uses a single DDR with 32 bit bus
  - Custom board will use 128 bit memory bus
- Currently uses a single 7x7 convolver
  - We have space for 2, but the memory bandwidth limits us
- Current Implementation: 5fps at 512x384
- Custom board will yield 30fps at 640x480
  - 4e10 connections per second peak.
Results
Results
Results
Results
FPGA Custom Board: NYU ConvNet Proc

- Xilinx Virtex 4 FPGA, 8x5 cm board
  - Dual camera port, expansion and I/O port
  - Dual QDR RAM for fast memory bandwidth
  - MicroSD port for easy configuration
  - DVI output
  - Serial communication to optional host
Getting a robot to drive autonomously in unknown terrain solely from vision (camera input).

Our team (NYU/Net-Scale Technologies Inc.) was one of 8 participants funded by DARPA.

All teams received identical robots and can only modify the software (not the hardware).

The robot is given the GPS coordinates of a goal, and must drive to the goal as fast as possible. The terrain is unknown in advance. The robot is run 3 times through the same course.

Long-Range Obstacle Detection with on-line, self-trained ConvNet

Uses temporal consistency!
Long Range Vision: Distance Normalization

Pre-processing (125 ms)
- Ground plane estimation
- Horizon leveling
- Conversion to YUV + local contrast normalization
- Scale invariant pyramid of distance-normalized image “bands”
Convolutional Net Architecture

- Operates on 12x25 YUV windows from the pyramid

YUV image band
- 20-36 pixels tall,
- 36-500 pixels wide

Convolutions with 7x6 kernels
- 20x6x20 input window

Pooling/subsampling with 1x4 kernels
- 20x6x5 input window

Convolutions with 6x5 kernels
- 100x1x1 input window

Logistic regression 100 features -> 5 classes
- 100 features per 3x12x25 input window
Convolutional Net Architecture

100@25x121

CONVOLUTIONS (6x5)

20@30x125

MAX SUBSAMPLING (1x4)

20@30x484

CONVOLUTIONS (7x6)

3@36x484

YUV input
Long Range Vision: 5 categories

Online Learning (52 ms)

- Label windows using stereo information – 5 classes

![Diagram showing different categories: super-ground, ground, footline, obstacle, super-obstacle]
Trainable Feature Extraction

“Deep belief net” approach to unsupervised feature learning

Two stages are trained in sequence
- each stage has a layer of convolutional filters and a layer of horizontal feature pooling.
- Naturally shift invariant in the horizontal direction

Filters of the convolutional net are trained so that the input can be reconstructed from the features
- 20 filters at the first stage (layers 1 and 2)
- 300 filters at the second stage (layers 3 and 4)

Scale invariance comes from pyramid.
- for near-to-far generalization
Online Learning (52 ms)

- Train a logistic regression on every frame, with cross entropy loss function

5 categories are learned
750 samples of each class are kept in a ring buffer: short term memory.
Learning “snaps” to new environment in about 10 frames
Weights are trained with stochastic gradient descent
Regularization by decay to default weights
Long Range Vision Results

Input image

Stereo Labels

Classifier Output

Input image

Stereo Labels

Classifier Output
Long Range Vision Results

Input image
Stereo Labels
Classifier Output

Input image
Stereo Labels
Classifier Output
Long Range Vision Results

- Input image
- Stereo Labels
- Classifier Output

- Input image
- Stereo Labels
- Classifier Output
Vehicle Map (Hyperbolic Polar map)

Legend:
- Goal
- Trajectories
- Traversable
- Uncertain
- Quasi-Lethal
- Lethal
- Bumper/Stuck
- Unseen

Cost Map (FastOD & FarOD)

RGB Map (FarOD Only)

FarOD Neural Network Labels

FarOD Stereo: Input labels to Neural Network
Another way to Learn Deep Invariant Features: DrLIM

Hadsell, Chopra, LeCun CVPR 06], also [Weston & Collobert ICML 08 for language models]

**Loss function:**

- Outputs corresponding to input samples that are neighbors in the neighborhood graph should be nearby.
- Outputs for input samples that are not neighbors should be far away from each other.

Make this small

![Similar images (neighbors in the neighborhood graph)](image1)

Make this large

![Dissimilar images (non-neighbors in the neighborhood graph)](image2)
Learning Deep Invariant Features with DrLIM

- **Co-location patch data**
  - multiple tourist photos
  - 3d reconstruction
  - groundtruth matches

- **Uses temporal consistency**
  - Pull together outputs for same patch
  - Push away outputs for different patches

---

Input 64x64 → Layer 1 6x60x60 → Layer 2 6x20x20 → Layer 3 21x15x15 → Layer 4 21x5x5 → Layer 5 55x1x1 → Output 25x1x1

*data from: Winder and Brown, CVPR 07*
**Feature Learning for traversability prediction (LAGR)**

Comparing
- purely supervised
- stacked, invariant auto-encoders
- DrLIM invariant learning

**Testing on hand-labeled groundtruth frames – binary labels**

Comparison of Feature Extractors on Groundtruth Data
The End