

Design of Semantics by Abstract Interpretation

Patrick COUSOT
École Normale Supérieure
DMI, 45, rue d'Ulm
75230 Paris cedex 05
France
cousot@dmi.ens.fr
<http://www.dmi.ens.fr/~cousot>

MPI-Kolloquium, Max-Planck-Institut für Informatik, Saarbrücken,
am Montag, dem 2. Juni 1997 um 14.15 Uhr ¹

¹ Extended version of the invited address at MFPS XIII, CMU, Pittsburgh, March 24, 1997

.../...

- Abstraction of the relational into a **nondeterministic** Plotkin/-Smyth/Hoare **denotational/functional semantics**;
- Abstraction of the natural/demonic relational into a **deterministic denotational/functional semantics**; Scott's semantics;
- Abstraction of nondeterministic denotational semantics to weakest precondition/strongest postcondition **predicate transformer semantics**;
- Abstraction of predicate transformer semantics to à la Hoare **axiomatic semantics**; Program proof methods;
- Extension to the λ -calculus.

Content

Application of abstract interpretation ideas to the design of formal semantics:

- Examples of abstract interpretations;
- Abstraction of fixpoint semantics;
 - Maximal **trace semantics** of nondeterministic transition systems;
 - Abstraction of the trace into a natural/demonic/angelic **relational semantics**;

.../...

EXAMPLES OF ABSTRACT INTERPRETATIONS

Applications of Abstract Interpretation

- Mainly used for specifying *program analyzers* constructively derived from a formal semantics;
- Such analyzers can be used to statically and fully automatically determine *run-time properties of programs*;
- Such run-time information can be used in complement to classical program provers, model-checkers, ... for program *verification* (abstract debugging, ...) and *transformation* (compiler optimization, partial evaluation, parallelization, ...);
- We will show that abstract interpretation can be used to relate and *design program semantics* (and program proof methods).

Program Analysis by Abstract Interpretation

- (Bit-vector) data flow analysis;
- Strictness analysis and compartment analysis (generalizing strictness, termination, projection and PER analysis);
- Binding time analysis;
- Pointer analysis;
- Set/grammar-based analysis;
- Data dependence analysis (e.g. for vectorization/parallelization);
- Descriptive/soft and prescriptive (polymorphic) typing and type inference;
- Effect systems;
- ...

Approximation

- The central idea of abstract interpretation^{2,3} is that of *approximation*;
- A *program analyzer* computes a finite approximation of the infinite set of possible run-time behaviors of the program for all possible execution environments (inputs, interrupts, ...);
- A *program semantics* specifies an approximation of the run-time program behaviors in all possible execution environments abstracting away from implementation details.

² P. Cousot and R. Cousot. Abstract interpretation: a unified lattice model for static analysis of programs by construction or approximation of fixpoints. In *Conference Record of the Fourth Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 238-252, Los Angeles, California, 1977. ACM Press, New York, New York, USA.

³ P. Cousot and R. Cousot. Systematic design of program analysis frameworks. In *Conference Record of the Sixth Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 269-282, San Antonio, Texas, 1979. ACM Press, New York, New York, USA.

Program Debugging by Abstract Interpretation

- SYNTAX^{4,5} by François Bourdoncle: interval analysis for PASCAL programs;
- For *abstract debugging*, the user can provide:
 - Invariant assertions: `{% ... %}`,
 - Intermittent assertions: `{% ... ? %}` (termination is required by `{% true ? %}` before final `end.`),
- At each program point the analysis provides for each numerical variable `v` a corresponding invariant interval assertion (`v [l..h]`). A star (*) on one of the bounds (first: **First Condition**, next: `»`, previous: `«`) indicates a *necessary* condition in the form of a run-time check to be inserted in the program for the user assertions to be satisfied. A sharp # indicates a possible overflow.

⁴ F. Bourdoncle, *Abstract Debugging of Higher-Order Imperative Languages*, Proc. PLDI'93, ACM Press, 1993, pp. 46-55.
⁵ <http://www.ensmp.fr/~bourdonc/syntox.tar.Z>

File Options Analyze Edit Hide Show

File: /users/absint2/cousot/bin/Syntox/programs/MacCarthy1.p

```

program MacCarthy(input,output); (* MacCarthy's 91-function *)
var x, m : integer;
function MC(n : integer) : integer;
begin
  if (n > 100) then
    MC := n-10
  else begin
    MC := MC(MC(n + 11))
  end;
end;
begin
  read(x);
  m := MC(x);
  {% m = 91 ? %}
  (* Intermittent assertion enforcing MC(x) = 91 *)
  (* The debugger will determine necessary conditions *)
  (* on "x" to ensure that "MC(x) = 91" or "MC" loops *)
end.

```

- 1 -	
m	top ?
x	[10..101]*
\$1	top ?

<< First Condition >> Negation Iterations: - 3 +

File Options Analyze Edit Hide Show

File: /users/absint2/cousot/bin/Syntox/programs/MacCarthy0.p

```

program MacCarthy(input,output); (* MacCarthy's 91-function *)
var x, m : integer;
function MC(n : integer) : integer;
begin
  if (n > 100) then
    MC := n-10
  else begin
    MC := MC(MC(n + 11))
  end;
end;
begin
  read(x);
  m := MC(x);
  writeln(m);
end.

```

- 4 -	
m	[91..hi-10]
x	top
\$1	[91..hi-10]

<< First Condition >> Negation Iterations: - 3 +

File Options Analyze Edit Hide Show

File: /users/absint2/cousot/bin/Syntox/programs/MacCarthy2.p

```

program MacCarthy(input,output);
(* Generalization of MacCarthy's 91 function *)
var x, m : integer;
function MC(n:integer):integer;
begin
  writeln(n);
  if (n <= 100) then
    MC := MC(MC(MC(MC(MC(MC(MC(MC(MC(MC(n + 91))))))))))
  else
    MC := n-10
  end;
end;
begin
  read(x);
  {% x <= 101 %}
  m := MC(x);
  writeln('res = ', m);
end.

```

- 5 -	
m	91
x	[10..101]
\$1	91

<< First Condition >> Negation Iterations: - 3 +

```

File Options Analyze Edit Hide Show
File: /users/absint2/cousot/bin/Syntox/programs/MacCarthy3.p
program MacCarthy(input,output);
  (* Generalization of MacCarthy's 91 function with error *)
  var   x, m : integer;
  function MC(n: integer): integer;
  begin
    writeln(n);
    if (n <= 100) then
      MC := MC(MC(MC(MC(MC(MC(MC(MC(MC(MC(n + 90))))))))));
    (* Error ! *)
    else
      MC := n-10
    end;
  begin
    read(x);
    m := MC(x);
    writeln('res=', m);
    {% true ? %}
  end.

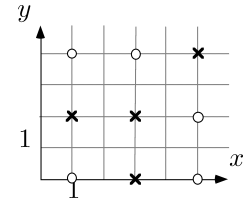
```

- 1 -	
m	top ?
x	*[101..hi]
\$1	top ?

<< First Condition >> Negation Iterations: - 3 +

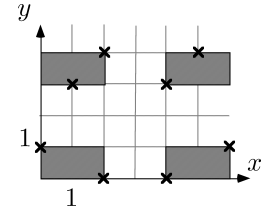
- Arithmetic congruences⁸:

$$x = 1 \pmod 2 \wedge y = 0 \pmod 2$$



- Interval congruences⁹:

$$x \in [0, 2] \pmod 4 \wedge y \in [0, 1] \pmod 3$$

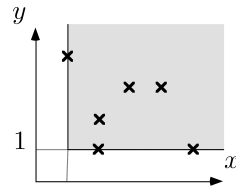


⁸ P. Granger. Static analysis of arithmetical congruences. *Int. J. of Comp. Math.*, 30:165-190, 1989.
⁹ F. Masdupuy. Semantic analysis of interval congruences. In D. Björner, M. Broy, and I.V. Pottosin, editors, *Proc. FMPA*, Academgorodok, Novosibirsk, Russia, LNCS 735, pages 142-155. Springer-Verlag, June 28-July 2, 1993.

Examples of independent numerical abstractions

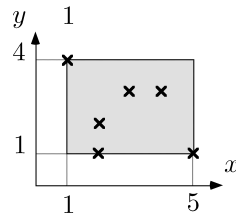
- Signs⁶:

$$x > 0 \wedge y > 0$$



- Intervals⁷:

$$1 \leq x \leq 5 \wedge 1 \leq y \leq 4$$

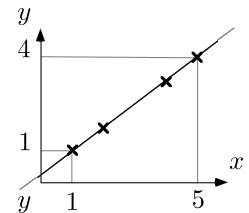


⁶ P. Cousot & R. Cousot. Systematic design of program analysis frameworks. In *6th POPL*, pages 269-282, San Antonio, Texas, 1979. ACM Press.
⁷ P. Cousot and R. Cousot. Static determination of dynamic properties of programs. In *Proc. 2nd International Symposium on Programming*, pages 106-130. Dunod, 1976.

Examples of relational numerical abstractions

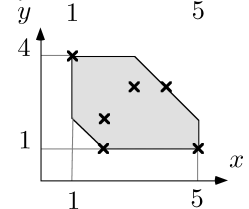
- Linear equalities¹⁰:

$$-3x + 4y = 1$$



- Simple sections¹¹:

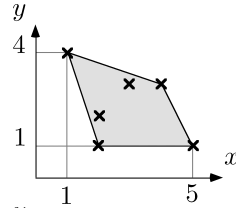
$$1 \leq x \leq 5 \wedge 1 \leq y \leq 4 \wedge 3 \leq x + y \leq 7$$



¹⁰ M. Karr. Affine relationships among variables of a program. *Acta Inf.*, 6:133-151, 1976.
¹¹ V. Balasundaram and K. Kennedy. A technique for summarizing data access and its use in parallelism enhancing transformations. In *SIGPLAN'89 PLDI*, pages 41-53, Portland, Ore., June 21-23, 1989.

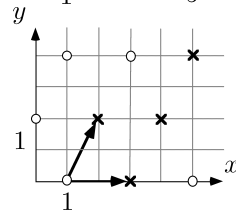
- Linear inequalities¹²:

$$\begin{aligned} & 3x + y \geq 7 \\ & \wedge 2x + y \leq 11 \\ & \wedge y \geq 1 \\ & \wedge x + 3y \leq 13 \end{aligned}$$



- Linear congruences¹³:

$$\begin{aligned} & 2x + y = 1 \pmod{2} \\ & \wedge y = 0 \pmod{2} \end{aligned}$$

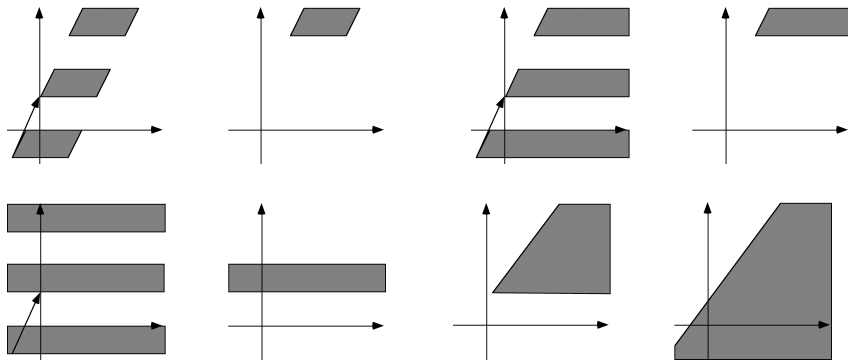


¹² P. Cousot and N. Halbwachs. Automatic discovery of linear restraints among variables of a program. In *5th POPL*, pages 84-97, Tucson, Arizona, 1978. ACM Press.

¹³ P. Granger. Static analysis of linear congruence equalities among variables of a program. In S. Abramsky and T.S.E. Maibaum, editors, *TAPSOFT'91, Proc. Int. Joint Conf. on Theory and Practice of Software Development*, Brighton, U.K., Volume 1 (CAAP'91), LNCS 493, pages 169-192. Springer-Verlag, 1991.

ABSTRACTION OF FIXPOINT SEMANTICS

- Trapezoidal congruences^{14, 15}:



¹⁴ F. Masdupuy. Using abstract interpretation to detect array data dependencies. In *Proc. International Symposium on Supercomputing*, pages 19-27, Fukuoka, Japan, Nov. 1991. Kyushu U. Press.

¹⁵ F. Masdupuy. Array operations abstraction using semantic analysis of trapezoid congruences. In *Proc. ACM International Conference on Supercomputing, ICS'92*, pages 226-235, Washington D.C., July 1992.

Fixpoint Semantics Specification $\langle D, F \rangle$

- $\langle D, \sqsubseteq, \perp, \sqcup \rangle$ Semantic domain
 - $\langle D, \sqsubseteq \rangle$ poset
 - \perp infimum
 - \sqcup (partially defined) least upper bound
- $F \in D \mapsto^m D$ Total monotone semantic transformer
- The iterates of F from \perp are assumed to be well-defined: $F^0 \triangleq \perp$, $F^{\delta+1} = F(F^\delta)$ and $F^\lambda \triangleq \sqcup_{\delta < \lambda} F^\delta$, λ limit ordinal;
- The semantics is $S \triangleq \text{lfp}_{\perp}^{\sqsubseteq} F = F^\epsilon$ where ϵ is the order of the iterates (i.e. the least ordinal such that $F(F^\epsilon) = F^\epsilon$).

Benefits of a Fixpoint Presentation of the Semantics

- Many other equivalent possible presentations ¹⁶:
 - equational,
 - constraint,
 - closure condition,
 - rule-based,
 - game-theoretic;

¹⁶ P. Cousot and R. Cousot. Compositional and inductive semantic definitions in fixpoint, equational, constraint, closure-condition, rule-based and game-theoretic form, invited paper. In P. Wolper, ed., *Proc. 7th Int. Conf. on Computer Aided Verification, CAV'95*, LNCS 939, pp 293-308. Springer-Verlag, 3-5 July 1995.

- By approximation, fixpoints directly lead to iterative program analysis algorithms ^{17, 18};
- Fixpoint presentation of the semantics is not always possible (without further refinement of the semantic domain).

¹⁷ P. Cousot and R. Cousot. Abstract interpretation: a unified lattice model for static analysis of programs by construction or approximation of fixpoints. In *Conference Record of the Fourth Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 238-252, Los Angeles, California, 1977. ACM Press, New York, New York, USA.

¹⁸ P. Cousot and R. Cousot. Systematic design of program analysis frameworks. In *Conference Record of the Sixth Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 269-282, San Antonio, Texas, 1979. ACM Press, New York, New York, USA.

- Fixpoints directly lead to proof methods, e.g.:
 - Scott induction:

$$P(\perp) \wedge \forall X : P(X) \Rightarrow P(F(X)) \wedge P \text{ admissible} \\ \Rightarrow P(\text{lfp}_{\perp}^{\sqsubseteq} F) \\ \text{(with the hypotheses of Kleene's fixpoint theorem);}$$

- Park induction:

$$\text{lfp}_{\perp}^{\sqsubseteq} F \sqsubseteq P \\ \iff \exists I : F(I) \sqsubseteq I \wedge I \sqsubseteq P \\ \text{(with the hypotheses of Tarski's fixpoint theorem).}$$

Abstraction of Fixpoint Semantics

- Concrete semantics fixpoint semantics:
 - $\langle D, \sqsubseteq \rangle$ concrete semantic domain
 - $S[\tau] \in D$ concrete semantics of τ
 - $\triangleq \text{lfp}_{\perp}^{\sqsubseteq} F$ where $F \in D \xrightarrow{m} D$ is \sqsubseteq -monotonic
- Abstraction function: $\alpha \in D \mapsto D^{\#}$
- Abstract semantics fixpoint semantics:
 - $D^{\#}$ abstract semantic domain
 - $S^{\#}[\tau] \triangleq \alpha(S[\tau]) \in D^{\#}$ abstract semantics of τ
- Fixpoint characterization problem:
 - Find $\sqsubseteq^{\#}$ and $F^{\#} \in D^{\#} \xrightarrow{m} D^{\#}$, $\sqsubseteq^{\#}$ -monotonic such that:

$$\alpha(\text{lfp}_{\perp}^{\sqsubseteq} F) = \text{lfp}_{\perp}^{\sqsubseteq^{\#}} F^{\#}$$

Kleene Fixpoint Transfer Theorem

If $\langle \mathcal{D}^\natural, F^\natural \rangle$ and $\langle \mathcal{D}^\sharp, F^\sharp \rangle$ are semantic specifications and

$$\alpha(\perp^\natural) = \perp^\sharp$$

$$F^\sharp \circ \alpha = \alpha \circ F^\natural$$

$$\forall \sqsubseteq^\natural\text{-increasing chains } X_\kappa^\natural, \kappa \in \Delta : \alpha\left(\bigsqcup_{\kappa \in \Delta} X_\kappa^\natural\right) = \bigsqcup_{\kappa \in \Delta} \alpha(X_\kappa^\natural)$$

then

$$\alpha(\text{lfp}^{\sqsubseteq^\natural} F^\natural) = \text{lfp}^{\sqsubseteq^\sharp} F^\sharp$$

Note: The condition $F^\sharp \circ \alpha = \alpha \circ F^\natural$ provides guidelines for designing F^\sharp when knowing F^\natural and α .

Convergence

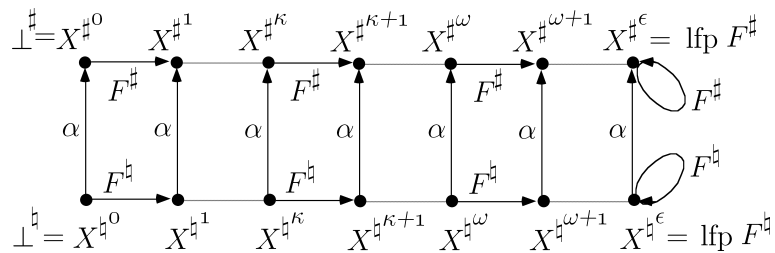
The convergence of the abstract iterates for F^\sharp (at ϵ') is at least as fast as the convergence of the concrete iterates for F (at ϵ , i.e. $\epsilon' \leq \epsilon$).

Proof

$$\begin{aligned} F(X^{\natural\epsilon}) &= X^{\natural\epsilon} && \text{hypothesis} \\ \Rightarrow \alpha(F(X^{\natural\epsilon})) &= \alpha(X^{\natural\epsilon}) \\ \Rightarrow F^\sharp(\alpha(X^{\natural\epsilon})) &= \alpha(X^{\natural\epsilon}) && \text{since } F^\sharp \circ \alpha = \alpha \circ F^\natural \\ \Rightarrow F^\sharp(X^{\sharp\epsilon'}) &= X^{\sharp\epsilon'} && \text{since } X^{\natural\epsilon} = \alpha(X^{\sharp\epsilon'}) \\ \Rightarrow \epsilon' &\leq \epsilon \end{aligned}$$

□

Sketch of Proof of Kleene Fixpoint Transfer Theorem



Abstraction function

- An important particular case of abstraction function:

$$\alpha \in \langle \mathcal{D}^\natural, \sqsubseteq^\natural \rangle \longmapsto \langle \mathcal{D}^\sharp, \sqsubseteq^\sharp \rangle$$

is when α preserves existing lubs:

$$\alpha\left(\bigsqcup_{i \in \Delta} x_i\right) = \bigsqcup_{i \in \Delta} \alpha(x_i)$$

- In this case there exists a unique $\gamma \in \langle \mathcal{D}^\sharp, \sqsubseteq^\sharp \rangle \longmapsto \langle \mathcal{D}^\natural, \sqsubseteq^\natural \rangle$ such that the pair $\langle \alpha, \gamma \rangle$ is a Galois connection.

Galois Connection

Given posets $\langle \mathcal{D}^\natural, \sqsubseteq^\natural \rangle$ and $\langle \mathcal{D}^\sharp, \sqsubseteq^\sharp \rangle$, a *Galois connection* is a pair of maps such that:

$$\begin{aligned} \alpha \in \mathcal{D}^\natural &\longmapsto \mathcal{D}^\sharp \\ \gamma \in \mathcal{D}^\sharp &\longmapsto \mathcal{D}^\natural \end{aligned}$$

$$\forall x \in \mathcal{D}^\natural : \forall y \in \mathcal{D}^\sharp : \alpha(x) \sqsubseteq^\sharp y \Leftrightarrow x \sqsubseteq^\natural \gamma(y)$$

in which case we write:

$$\langle \mathcal{D}^\natural, \sqsubseteq^\natural \rangle \xleftrightarrow[\alpha]{\gamma} \langle \mathcal{D}^\sharp, \sqsubseteq^\sharp \rangle$$

If α is surjective then we have a *Galois insertion* and write:

$$\langle \mathcal{D}^\natural, \sqsubseteq^\natural \rangle \xleftrightarrow[\alpha]{\gamma} \langle \mathcal{D}^\sharp, \sqsubseteq^\sharp \rangle$$

Tarski Fixpoint Transfer Theorem

If $\langle \mathcal{D}^\natural, \sqsubseteq^\natural, \perp^\natural, \sqcup^\natural \rangle$ and $\langle \mathcal{D}^\sharp, \sqsubseteq^\sharp, \perp^\sharp, \sqcup^\sharp \rangle$ are complete lattices, $F^\natural \in \mathcal{D}^\natural \xrightarrow{m} \mathcal{D}^\natural$, $F^\sharp \in \mathcal{D}^\sharp \xrightarrow{m} \mathcal{D}^\sharp$ are monotonic and

– α is a complete \sqcap -morphism (a)

– $F^\sharp \circ \alpha \sqsubseteq^\sharp \alpha \circ F^\natural$ (b)

– $\forall y \in \mathcal{D}^\sharp : F^\sharp(y) \sqsubseteq^\sharp y \Rightarrow \exists x \in \mathcal{D}^\natural : \alpha(x) = y \wedge F^\natural(x) \sqsubseteq^\natural x$ (c)

then

$$\alpha(\text{lfp}^{\sqsubseteq^\natural} F^\natural) = \text{lfp}^{\sqsubseteq^\sharp} F^\sharp$$

Example of Galois Connection: Elementwise Abstraction

• If

$$- \mathcal{Q} \in \mathcal{D}^\natural \longmapsto \mathcal{D}^\sharp$$

$$- \alpha \in \wp(\mathcal{D}^\natural) \longmapsto \wp(\mathcal{D}^\sharp)$$

$$\alpha(X) \triangleq \{\mathcal{Q}(x) \mid x \in X\}$$

$$- \gamma \in \wp(\mathcal{D}^\sharp) \longmapsto \wp(\mathcal{D}^\natural)$$

$$\gamma(Y) \triangleq \{x \mid \mathcal{Q}(x) \in Y\}$$

then

$$\langle \wp(\mathcal{D}^\natural), \subseteq \rangle \xleftrightarrow[\alpha]{\gamma} \langle \wp(\mathcal{D}^\sharp), \subseteq \rangle$$

If \mathcal{Q} is surjective then so is α .

Proof $\alpha(X) \subseteq Y \Leftrightarrow \{\mathcal{Q}(x) \mid x \in X\} \subseteq Y \Leftrightarrow \forall x \in X : \mathcal{Q}(x) \in Y \Leftrightarrow X \subseteq \{x \mid \mathcal{Q}(x) \in Y\} \Leftrightarrow X \subseteq \gamma(Y)$. \square

Proof

$$\begin{aligned} \text{(d)} \quad F^\natural(x) \sqsubseteq^\natural x & \\ \Rightarrow \alpha \circ F^\natural(x) \sqsubseteq^\sharp \alpha(x) & \quad \text{since } \alpha \text{ is monotonic by (a)} \\ \Rightarrow F^\sharp \circ \alpha(x) \sqsubseteq^\sharp \alpha(x) & \quad \text{by (b)} \end{aligned}$$

$$\text{(e)} \quad \{\alpha(x) \mid F^\natural(x) \sqsubseteq^\natural x\} = \{y \mid F^\sharp(y) \sqsubseteq^\sharp y\} \quad \text{by (c) and (d)}$$

$$\begin{aligned} \text{(f)} \quad \sqcap^\sharp \{\alpha(x) \mid F^\natural(x) \sqsubseteq^\natural x\} &= \sqcap^\sharp \{y \mid F^\sharp(y) \sqsubseteq^\sharp y\} \quad \text{by (e)} \\ \Rightarrow \alpha(\sqcap^\natural \{x \mid F^\natural(x) \sqsubseteq^\natural x\}) &= \sqcap^\sharp \{y \mid F^\sharp(y) \sqsubseteq^\sharp y\} \quad \text{by (a)} \\ \Rightarrow \alpha(\text{lfp}^{\sqsubseteq^\natural} F^\natural) &= \text{lfp}^{\sqsubseteq^\sharp} F^\sharp \quad \text{by Tarski's fixpt th.} \end{aligned}$$

\square

TRACE SEMANTICS

Sequences Finite Sequences

- \mathcal{A} non-empty alphabet
- $\mathcal{A}^{\vec{0}} \triangleq \{\varepsilon\}$ empty sequence
- $\mathcal{A}^{\vec{n}} \triangleq [0, n - 1] \mapsto \mathcal{A}$ when $n > 0$ finite sequences of length n
- $\mathbb{N}_+ \triangleq \{n \in \mathbb{N} \mid n > 0\}$ positive naturals
- $\mathcal{A}^{\vec{+}} \triangleq \bigcup_{n \in \mathbb{N}_+} \mathcal{A}^{\vec{n}}$ non-empty finite sequences
- $\mathcal{A}^{\vec{*}} \triangleq \mathcal{A}^{\vec{+}} \cup \{\varepsilon\}$ finite sequences
- The length of a finite sequence $\sigma \in \mathcal{A}^{\vec{n}}$ is $|\sigma| \triangleq n$;

Transition System

- A transition system is a pair $\langle \Sigma, \tau \rangle$ where:
 - Σ is a (non-empty) set of states,
 - We could also consider actions as in process algebra,
 - $\tau \subseteq \Sigma \times \Sigma$ is the binary transition relation between a state and its possible successors;
- We write $s \tau s'$ or $\tau(s, s')$ for $\langle s, s' \rangle \in \tau$ using the isomorphism $\wp(\Sigma \times \Sigma) \simeq (\Sigma \times \Sigma) \mapsto \mathbb{B}$;
- $\mathbb{B} \triangleq \{\text{tt}, \text{ff}\}$ is the set of boolean values;
- $\check{\tau} \triangleq \{s \in \Sigma \mid \forall s' \in \Sigma : \neg(s \tau s')\}$ is the set of final/blocking states.

Infinite Sequences

- $\mathcal{A}^{\vec{\omega}} \triangleq \mathbb{N} \mapsto \mathcal{A}$ infinite sequences
- $\mathcal{A}^{\vec{\infty}} \triangleq \mathcal{A}^{\vec{*}} \cup \mathcal{A}^{\vec{\omega}}$ sequences
- $\mathcal{A}^{\vec{\neq}} \triangleq \mathcal{A}^{\vec{+}} \cup \mathcal{A}^{\vec{\omega}}$ non-empty sequences
- The length of an infinite sequence $\sigma \in \mathcal{A}^{\vec{\omega}}$ is $|\sigma| \triangleq \omega$

Junction of Finite Sequences

- Joinable non-empty finite sequences:

$$\alpha_0 \dots \alpha_{\ell-1} \text{ ? } \beta_0 \dots \beta_{m-1} \text{ iff } \alpha_{\ell-1} = \beta_0$$

- Their join is:

$$\frac{\begin{array}{c} \alpha_0 \dots \alpha_{\ell-1} \\ = \\ \beta_0 \ \beta_1 \dots \beta_{m-1} \end{array}}{\alpha_0 \dots \alpha_{\ell-1} \hat{\ } \beta_0 \dots \beta_{m-1} \stackrel{\Delta}{=} \alpha_0 \dots \alpha_{\ell-1} \beta_1 \dots \beta_{m-1}}$$

$$\alpha_0 \dots \alpha_{\ell} \dots \text{ ? } \beta_0 \dots \beta_{m-1} \text{ is true}$$

$$\alpha_0 \dots \alpha_{\ell} \dots \text{ ? } \beta_0 \dots \beta_m \dots \text{ is true}$$

$$\alpha_0 \dots \alpha_{\ell-1} \text{ ? } \beta_0 \dots \beta_m \dots \text{ iff } \alpha_{\ell-1} = \beta_0$$

- Their join is:

$$\frac{\begin{array}{c} \alpha_0 \dots \alpha_{\ell} \dots \hat{\ } \beta_0 \dots \beta_{m-1} \stackrel{\Delta}{=} \alpha_0 \dots \alpha_{\ell} \dots \\ \alpha_0 \dots \alpha_{\ell} \dots \hat{\ } \beta_0 \dots \beta_m \dots \stackrel{\Delta}{=} \alpha_0 \dots \alpha_{\ell} \dots \\ \alpha_0 \dots \alpha_{\ell-1} \\ = \\ \beta_0 \ \beta_1 \dots \beta_m \dots \end{array}}{\alpha_0 \dots \alpha_{\ell-1} \hat{\ } \beta_0 \dots \beta_m \dots \stackrel{\Delta}{=} \alpha_0 \dots \alpha_{\ell-1} \beta_1 \dots \beta_m \dots}$$

Junction of Infinitary Sequences

- Joinable infinitary sequences:

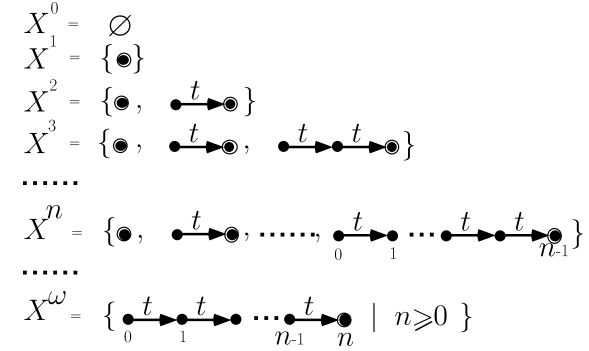
Junction of Sets of Sequences

- For sets A and $B \in \wp(\mathcal{A}^{\vec{x}})$ of non-empty sequences, we have:
 - $A \hat{\ } B \stackrel{\Delta}{=} \{\alpha \hat{\ } \beta \mid \alpha \in A \wedge \beta \in B \wedge \alpha \text{ ? } \beta\}$ junction
- $A \hat{\ } \left(\bigcup_{i \in \Delta} B_i \right) = \bigcup_{i \in \Delta} (A \hat{\ } B_i)$ and $\left(\bigcup_{i \in \Delta} A_i \right) \hat{\ } B = \bigcup_{i \in \Delta} (A_i \hat{\ } B)$
- Not co-continuous on $\wp(\mathcal{A}^{\vec{x}})$! Counter example ($\mathcal{A} = \{a\}$):
 - $A = \{a^\omega\}$,
 - $B_n = \{a^\ell \mid \ell \in \mathbb{N} \wedge \ell > n\}$, $n \in \mathbb{N}$ is a \subseteq -decreasing chain,
 - $A \hat{\ } \left(\bigcap_{n \in \mathbb{N}} B_n \right) = \emptyset$ and $\left(\bigcap_{n \in \mathbb{N}} A \hat{\ } B_n \right) = \{a^\omega\}$.

Trace Semantics

- $\langle \Sigma, \tau \rangle$ transition system
- $\tau^{\vec{n}} \triangleq \{ \sigma \in \Sigma^{\vec{n}} \mid \forall i < n - 1 : \sigma_i \tau \sigma_{i+1} \}$ partial traces of length n
- $\check{\tau} \triangleq \{ s \in \Sigma \mid \forall s' \in \Sigma : \neg(s \tau s') \}$ final/blocking states
- $\tau^{\vec{n}} \triangleq \{ \sigma \in \tau^{\vec{n}} \mid \sigma_{n-1} \in \check{\tau} \}$ complete traces of length n
- $\tau^{\vec{\tau}} \triangleq \bigcup_{n \in \mathbb{N}_+} \tau^{\vec{n}}$ finite complete traces
- $\tau^{\vec{\omega}} \triangleq \{ \sigma \in \Sigma^{\vec{\omega}} \mid \forall i \in \mathbb{N} : \sigma_i \tau \sigma_{i+1} \}$ infinite traces
- $\tau^{\vec{\infty}} \triangleq \tau^{\vec{\tau}} \cup \tau^{\vec{\omega}}$ complete traces

Sketch of Proof of $\text{lfp}_{\emptyset}^{\subseteq} F^{\vec{\tau}} = \bigcup_{i \in \mathbb{N}_+} \tau^{\vec{i}} = \tau^{\vec{\tau}}$



Fixpoint Characterization of $\tau^{\vec{\tau}}$ (finite complete execution traces)

$$\tau^{\vec{\tau}} = \text{lfp}_{\emptyset}^{\subseteq} F^{\vec{\tau}}$$

where the set of finite traces transformer $F^{\vec{\tau}}$ is:

$$F^{\vec{\tau}}(X) \triangleq \tau^{\vec{1}} \cup \tau^{\vec{2}} \frown X$$

Note: $F^{\vec{\tau}}$ is a complete \cup -morphism: $\bigcup_i F^{\vec{\tau}}(X_i) = F^{\vec{\tau}}(\bigcup_i X_i)$.

Fixpoint Characterization of $\tau^{\vec{\omega}}$ (infinite execution traces)

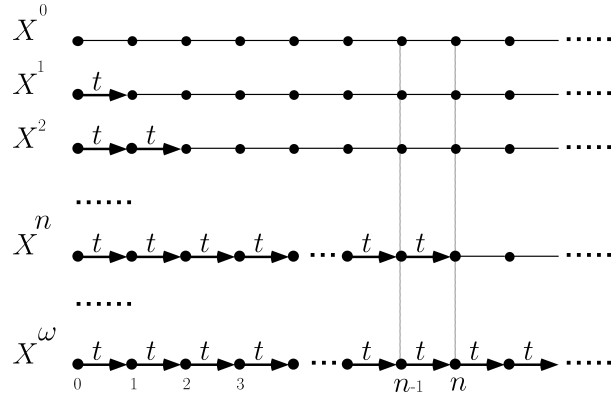
$$\tau^{\vec{\omega}} = \text{gfp}_{\Sigma^{\vec{\omega}}}^{\subseteq} F^{\vec{\omega}}$$

where the set of infinite traces transformer $F^{\vec{\omega}}$ is:

$$F^{\vec{\omega}}(X) \triangleq \tau^{\vec{2}} \frown X$$

Note: $F^{\vec{\omega}}$ is a complete \cap -morphism: $\bigcap_i F^{\vec{\omega}}(X_i) = F^{\vec{\omega}}(\bigcap_i X_i)$.

Sketch of Proof of $\text{gfp}_{\Sigma^{\vec{\omega}}}^{\subseteq} F^{\vec{\omega}} = \bigcap_{n \in \mathbb{N}} \tau^{\vec{n}} \circ \Sigma^{\vec{\omega}} = \tau^{\vec{\omega}}$



then:

- $\langle \wp(\Sigma^{\vec{\omega}}), \sqsubseteq^{\vec{\omega}}, \perp^{\vec{\omega}}, \sqcup^{\vec{\omega}} \rangle$ is a complete lattice (resp. cpo)
- $F^{\vec{\omega}}$ is monotonic (resp. continuous, a complete join morphism)
- $\text{lfp}_{\perp^{\vec{\omega}}}^{\subseteq^{\vec{\omega}}} F^{\vec{\omega}} = \text{lfp}_{\perp^{\vec{\omega}}}^{\subseteq^{\vec{\tau}}} F^{\vec{\tau}} \cup \text{lfp}_{\perp^{\vec{\omega}}}^{\subseteq^{\vec{\omega}}} F^{\vec{\omega}}$

(Trivial) bi-fixpoint theorem

- If
- $\Sigma^{\vec{\tau}}, \Sigma^{\vec{\omega}}$ is a partition of $\Sigma^{\vec{\omega}}$
 - $\langle \wp(\Sigma^{\vec{\tau}}), \sqsubseteq^{\vec{\tau}}, \perp^{\vec{\tau}}, \sqcup^{\vec{\tau}} \rangle$ (resp. $\langle \wp(\Sigma^{\vec{\omega}}), \sqsubseteq^{\vec{\omega}}, \perp^{\vec{\omega}}, \sqcup^{\vec{\omega}} \rangle$) is a complete lattice (resp. cpo)
 - $F^{\vec{\tau}} \in \wp(\Sigma^{\vec{\tau}}) \xrightarrow{m} \wp(\Sigma^{\vec{\tau}})$ (resp. $F^{\vec{\omega}} \in \wp(\Sigma^{\vec{\omega}}) \xrightarrow{m} \wp(\Sigma^{\vec{\omega}})$) is monotonic (resp. continuous, a complete join morphism)
 - $X^{\vec{\tau}} \triangleq X \cap \Sigma^{\vec{\tau}}, X^{\vec{\omega}} \triangleq X \cap \Sigma^{\vec{\omega}}$
 - $F^{\vec{\omega}}(X) \triangleq F^{\vec{\tau}}(X^{\vec{\tau}}) \cup F^{\vec{\omega}}(X^{\vec{\omega}})$
 - $X \sqsubseteq^{\vec{\omega}} Y \triangleq X^{\vec{\tau}} \sqsubseteq^{\vec{\tau}} Y^{\vec{\tau}} \wedge X^{\vec{\omega}} \sqsubseteq^{\vec{\omega}} Y^{\vec{\omega}}$
 - $\perp^{\vec{\omega}} \triangleq \perp^{\vec{\tau}} \cup \perp^{\vec{\omega}}$
 - $\bigsqcup_i^{\vec{\omega}} X_i \triangleq \bigsqcup_i^{\vec{\tau}} X_i^{\vec{\tau}} \cup \bigsqcup_i^{\vec{\omega}} X_i^{\vec{\omega}}$

Approximation and Computational Orderings

- $\langle \wp(\Sigma^{\vec{\omega}}), \sqsubseteq^{\vec{\omega}}, \perp^{\vec{\omega}}, \sqcup^{\vec{\omega}} \rangle$ is a complete lattice (or cpo) for the *computational ordering* $\sqsubseteq^{\vec{\omega}}$;
- $\langle \wp(\Sigma^{\vec{\omega}}), \subseteq, \emptyset, \cup \rangle$ is a complete lattice for the *approximation ordering* \subseteq (logical implication);
- Sometimes further abstractions identify $\sqsubseteq^{\vec{\omega}}$ and \subseteq (e.g. strictness analysis).

Fixpoint Characterization of τ^{∞} (complete execution traces)

$$\tau^{\infty} = \tau^{\vec{}} \cup \tau^{\overleftarrow{}} = \text{lfp}_{\emptyset}^{\subseteq} F^{\vec{}} \cup \text{lfp}_{\Sigma^{\overleftarrow{}}}^{\supseteq} F^{\overleftarrow{}} = \text{lfp}_{\Sigma^{\infty}}^{\subseteq} F^{\infty}$$

by the bifixpoint theorem where the set of complete traces transformer F^{∞} is:

$$F^{\infty}(X) \triangleq \tau^{\vec{}} \cup \tau^{\overleftarrow{}} \frown X$$

Proof

$$\begin{aligned} F^{\infty}(X) &\triangleq F^{\vec{}}(X^{\vec{}}) \cup F^{\overleftarrow{}}(X^{\overleftarrow{}}) \\ &= \tau^{\vec{}} \cup \tau^{\overleftarrow{}} \frown X^{\vec{}} \cup \tau^{\overleftarrow{}} \frown X^{\overleftarrow{}} \\ &= \tau^{\vec{}} \cup \tau^{\overleftarrow{}} \frown (X^{\vec{}} \cup X^{\overleftarrow{}}) \\ &= \tau^{\vec{}} \cup \tau^{\overleftarrow{}} \frown X \end{aligned}$$

□

Scott's thesis (slightly revisited)

The semantics of a program can be expressed as the least fixpoint of a continuous operator (even in presence of unbounded nondeterminism), for a sufficiently refined semantic domain.

Continuity of the trace transformer $F^{\infty}(X)$

Unbounded non-determinism does not imply absence of continuity of the transformer of the fixpoint semantics:

Proof

$$\begin{aligned} \bigsqcup_i^{\infty} F^{\infty}(X_i) &= \bigsqcup_i^{\infty} \tau^{\vec{}} \cup \tau^{\overleftarrow{}} \frown X_i \\ &= \bigcup_i (\tau^{\vec{}} \cup \tau^{\overleftarrow{}} \frown X_i^{\vec{}}) \cup \bigcap_i (\tau^{\overleftarrow{}} \frown X_i^{\overleftarrow{}}) \\ &= \tau^{\vec{}} \cup \tau^{\overleftarrow{}} \frown \left(\bigcup_i X_i^{\vec{}} \cup \bigcap_i X_i^{\overleftarrow{}} \right) \\ &= F^{\infty} \left(\bigsqcup_i^{\infty} X_i \right) \end{aligned}$$

□

TRANSITION VERSUS TRACE SEMANTICS

Maximal Trace Semantics/Transition Semantics

The transition/small-step operational semantics is an abstraction of the maximal trace semantics:

$$\tau = \alpha^\tau(\tau^{\infty})$$

where

- the abstraction collects possible transitions $\alpha^\tau(T) \triangleq \{\langle s, s' \rangle \mid \exists \sigma \in \Sigma^* : \exists \sigma' \in \Sigma^\infty : \sigma \cdot s s' \cdot \sigma' \in T\}$;
- the concretization builds maximal execution traces $\gamma^\tau(t) \triangleq t^\infty$;
- $\langle \wp(\Sigma^\infty), \subseteq \rangle \xleftrightarrow[\alpha^\tau]{\gamma^\tau} \langle \wp(\Sigma \times \Sigma), \subseteq \rangle$.

RELATIONAL SEMANTICS

The Transition Abstraction is Approximate

In general:

$$T \subsetneq \gamma^\tau(\alpha^\tau(T))$$

Counter-example:

- set of fair traces $T = \{a^n b \mid n \in \mathbb{N}\}$
- $\alpha^\tau(T) = \{\langle a, a \rangle, \langle a, b \rangle\}$
- $\gamma^\tau(\alpha^\tau(T)) = \{a^n b \mid n \in \mathbb{N}\} \cup \{a^\omega\}$ is unfair for b .

Finite Relational Abstraction

Replace finite execution traces $\sigma_0 \sigma_1 \dots \sigma_{n-1}$ by their initial/final states $\langle \sigma_0, \sigma_{n-1} \rangle$:

- $\mathbb{Q}^+ \in \Sigma^{\vec{+}} \mapsto (\Sigma \times \Sigma)$
 $\mathbb{Q}^+(\sigma) \triangleq \langle \sigma_0, \sigma_{n-1} \rangle, \quad n \in \mathbb{N}_+, \sigma \in \Sigma^{\vec{n}}$
- $\alpha^+(X) \triangleq \{\mathbb{Q}^+(\sigma) \mid \sigma \in X\}$
 $\gamma^+(Y) \triangleq \{\sigma \mid \mathbb{Q}^+(\sigma) \in Y\}$
- $\langle \wp(\Sigma^{\vec{+}}), \subseteq \rangle \xleftrightarrow[\alpha^+]{\gamma^+} \langle \wp(\Sigma \times \Sigma), \subseteq \rangle$

Finitary Relational Semantics of a Transition System $\langle \Sigma, \tau \rangle$

- Finitary relational / big-step operational / natural semantics:

$$\tau^+ \triangleq \alpha^+(\tau^{\vec{+}}) = \alpha^+(\text{lfp}_{\emptyset}^{\subseteq} F^{\vec{+}})$$

- Fixpoint characterization:

$$\begin{aligned} \tau^+ &= \text{lfp}_{\emptyset}^{\subseteq} F^+ \\ F^+(X) &\triangleq \tilde{\tau} \cup \tau \circ X \\ \tilde{\tau} &\triangleq \{ \langle s, s \rangle \in \Sigma \mid \forall s' \in \Sigma : \neg(s \tau s') \} \end{aligned}$$

- α^+ is a \cap -morphism but not co-continuous hence not a complete \cap -morphism.

Proof

- $X^k \triangleq \{a^n b \mid n \geq k\}$
- $X^k, k \in \mathbb{N}_+$ is \subseteq -decreasing
- $\bigcap_{k \in \mathbb{N}_+} \alpha^+(X^k) = \bigcap_{k \in \mathbb{N}_+} \{ \langle a, b \rangle \} = \{ \langle a, b \rangle \}$
- $\bigcap_{k \in \mathbb{N}_+} X^k = \emptyset$ since $a^n b \in \bigcap_{k \in \mathbb{N}_+} X^k$ for $n \in \mathbb{N}_+$ is in contradiction with $a^n b \notin X^{n+1}$
- $\alpha^+(\bigcap_{k \in \mathbb{N}_+} X^k) = \alpha^+(\emptyset) = \emptyset$

□

- It follows that Tarski fixpoint transfer would not have been applicable.

Proof

- $\alpha^+(\emptyset) \triangleq \{ \alpha^+(\sigma) \mid \sigma \in \emptyset \} = \emptyset$
- $\alpha^+ \circ F^{\vec{+}} = \lambda X. \alpha^+(\tau^{\vec{+}} \cup \tau^{\vec{+}} \circ X)$
 $= \lambda X. \alpha^+(\tau^{\vec{+}}) \cup \alpha^+(\tau^{\vec{+}} \circ X)$
 $= \lambda X. \{ \langle s, s \rangle \in \Sigma \mid \forall s' \in \Sigma : \neg(s \tau s') \} \cup \alpha^+(\tau^{\vec{+}} \circ X)$
 $= \lambda X. \tilde{\tau} \cup \{ \alpha^+(\eta \circ \xi) \mid \eta \in \tau^{\vec{+}} \wedge \xi \in X \wedge \eta \tau \xi \}$
 $= \lambda X. \tilde{\tau} \cup \{ \langle \eta_0, \xi_{n-1} \rangle \mid \eta_0 \tau \xi_0 \wedge n \in \mathbb{N}_+ \wedge \xi \in X \cap \Sigma^{\vec{n}} \}$
 $= \lambda X. \tilde{\tau} \cup \{ \langle s, s' \rangle \mid \exists s'' : s \tau s'' \wedge \langle s'', s' \rangle \in \alpha^+(X) \}$
 $= \lambda X. \tilde{\tau} \cup \tau \circ \alpha^+(X)$
 $= F^+ \circ \alpha^+$

- α^+ is continuous (Galois connection)

- $\tau^+ = \alpha^+(\text{lfp}_{\emptyset}^{\subseteq} F^{\vec{+}}) = \text{lfp}_{\emptyset}^{\subseteq} F^+$ by Kleene's fixpoint transfer th.

□

Infinitary Relational Abstraction

Replace infinite execution traces $\sigma_0 \sigma_1 \dots \sigma_n \dots$ by their initial state $\langle \sigma_0, \perp \rangle$, making non-termination by Scott's \perp :

- $\mathcal{Q}^\omega \in \Sigma^{\vec{\omega}} \longmapsto \Sigma \times \{ \perp \}$ ¹⁹
 $\perp \notin \Sigma$ non-termination notation
 $\mathcal{Q}^\omega(\sigma) \triangleq \langle \sigma_0, \perp \rangle, \sigma \in \Sigma^{\vec{\omega}}$
- $\alpha^\omega(X) \triangleq \{ \mathcal{Q}^\omega(\sigma) \mid \sigma \in X \}$
 $\gamma^\omega(Y) \triangleq \{ \sigma \mid \mathcal{Q}^\omega(\sigma) \in Y \}$
- $\langle \wp(\Sigma^{\vec{\omega}}), \subseteq \rangle \xleftrightarrow[\alpha^\omega]{\gamma^\omega} \langle \wp(\Sigma \times \{ \perp \}), \subseteq \rangle$

¹⁹ or isomorphically $\alpha^\omega \in \wp(\Sigma^{\vec{\omega}}) \longmapsto \wp(\Sigma)$.

- α^ω is a complete \cup -morphism (Galois connection, hence continuous) and a \cap -morphism but not co-continuous.

Proof

- $X^k \triangleq \{a^n b^\omega \mid n \geq k\}$
- $X^k, k \in \mathbb{N}_+$ is \subseteq -decreasing
- $\bigcap_{k \in \mathbb{N}_+} \alpha^\omega(X^k) = \bigcap_{k \in \mathbb{N}_+} \{\langle a, \perp \rangle\} = \{\langle a, \perp \rangle\}$
- $\bigcap_{k \in \mathbb{N}_+} X^k = \emptyset$ since $a^n b^\omega \in \bigcap_{k \in \mathbb{N}_+} X^k$ for $n \in \mathbb{N}_+$ is in contradiction with $a^n b^\omega \notin X^{n+1}$
- $\alpha^\omega(\bigcap_{k \in \mathbb{N}_+} X^k) = \alpha^\omega(\emptyset) = \emptyset$

□

- It follows that Kleene dual fixpoint transfer does not apply.

Proof

- α^ω is a complete \cup -morphism (G.c.) hence a complete meet morphism for \supseteq .

$$\begin{aligned} \bullet \alpha^\omega \circ F^{\vec{\omega}} &= \lambda X \cdot \alpha^\omega(\tau^{\dot{2}} \frown X) \\ &= \lambda X \cdot \{\langle \eta, \perp \rangle \mid \eta \in \tau^{\dot{2}} \wedge \xi \in X \wedge \eta \text{ ? } \xi\} \\ &= \lambda X \cdot \{\langle \eta_0, \perp \rangle \mid \eta_0 \tau \xi_0 \wedge \xi_0 \in X\} \\ &= \lambda X \cdot \{\langle s, \perp \rangle \mid \exists s' : s \tau s' \wedge \langle s', \perp \rangle \in \alpha^\omega(X)\} \\ &= \lambda X \cdot \tau \circ \alpha^\omega(X) \\ &= F^\omega \circ \alpha^\omega \end{aligned}$$

- We prove that $\forall Y \in \wp(\Sigma \times \{\perp\}) : F^\omega(Y) \supseteq Y \Rightarrow \exists X \in \Sigma^{\vec{\omega}} : \alpha^\omega(X) = Y \wedge F^{\vec{\omega}}(X) \supseteq X$:

$$- X \triangleq \{\sigma \in \tau^{\vec{\omega}} \mid \forall i \in \mathbb{N} : \langle \sigma_i, \perp \rangle \in Y\}$$

- We first prove that $\alpha^\omega(X) = Y$:

$$* \alpha^\omega(X) \subseteq Y \text{ is obvious since } \sigma \in X \text{ implies } \langle \sigma_0, \perp \rangle \in Y.$$

Infinitary Relational Semantics of a Transition System $\langle \Sigma, \tau \rangle$

- Infinitary relational semantics:

$$\tau^\omega \triangleq \alpha^\omega(\tau^{\vec{\omega}}) = \alpha^\omega(\text{gfp}_{\Sigma^{\vec{\omega}}}^{\subseteq} F^{\vec{\omega}}) = \alpha^\omega(\text{lfp}_{\Sigma^{\vec{\omega}}}^{\supseteq} F^{\vec{\omega}})$$

- Fixpoint characterization:

$$\begin{aligned} \tau^\omega &= \text{lfp}_{\Sigma \times \{\perp\}}^{\supseteq} F^\omega = \text{gfp}_{\Sigma \times \{\perp\}}^{\subseteq} F^\omega \\ F^\omega(X) &= \tau \circ X \end{aligned}$$

$$* Y \subseteq \alpha^\omega(X)$$

$$(a) Y \subseteq F^\omega(Y) = \tau \circ Y = \{\langle s, \perp \rangle \mid \exists s' : s \tau s' \wedge \langle s', \perp \rangle \in Y\}$$

- (b) If $\sigma_0 \dots \sigma_n$ is such that $\sigma_i \tau \sigma_{i+1}$, $i < n$ and $\langle \sigma_i, \perp \rangle \in Y$, $i \leq n$ then $\langle \sigma_n, \perp \rangle \in Y$ and (a) imply $\exists \sigma_{n+1} : \sigma_n \tau \sigma_{n+1} \wedge \langle \sigma_{n+1}, \perp \rangle \in Y$. So, by induction, we can build $\sigma \in \tau^{\vec{\omega}}$ such that $\forall i \in \mathbb{N} : \langle \sigma_i, \perp \rangle \in Y$. We have $\sigma \in X$ and $\langle \sigma_0, \perp \rangle \in \alpha^\omega(X)$ proving that $Y \subseteq \alpha^\omega(X)$;

- Next, we prove $F^{\vec{\omega}}(X) \supseteq X : F^{\vec{\omega}}(X) \supseteq X \iff X \subseteq \tau^{\dot{2}} \frown X \iff \forall \sigma \in X : \sigma_0 \tau \sigma_1 \wedge \sigma^{\geq 1} \in X$ where the suffix $\sigma^{\geq 1}$ is η such that $\forall i \in \mathbb{N} : \eta_i = \sigma_{i+1}$.

$$* \sigma_0 \tau \sigma_1 \text{ holds since } X \subseteq \tau^{\vec{\omega}},$$

$$* \eta \in \tau^{\vec{\omega}} \text{ and } \forall i \in \mathbb{N} : \langle \eta_i, \perp \rangle = \langle \sigma_i, \perp \rangle \in Y \text{ proving that } \eta = \sigma^{\geq 1} \in X.$$

- We conclude by Tarski's fixpoint transfer theorem.

□

Transfinite Iterations

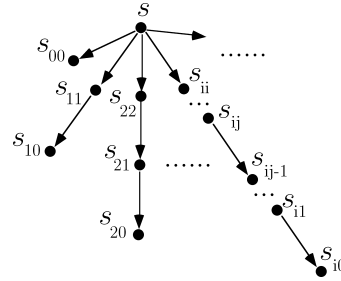
- Transition system $\langle \Sigma, \tau \rangle$:

$\Sigma \triangleq \{s\} \cup \{s_{ij} \mid 0 \leq j \leq i\}$
 elements of Σ are distinct
 two by two

$\tau \triangleq \{\langle s, s_{ii} \rangle \mid i \geq 0\} \cup$
 $\{\langle s_{ij}, s_{ij-1} \rangle \mid 0 < j \leq i\}$

$$\tau^{\vec{\omega}} = \emptyset$$

$$\tau^\omega = \emptyset$$



Bifinite Relational Abstraction

- $\alpha^\infty \in \wp(\Sigma^{\vec{\omega}}) \longmapsto \wp(\Sigma \times \Sigma_\perp)$, $\Sigma_\perp \triangleq \Sigma \cup \{\perp\}$
 $\alpha^\infty(X) \triangleq \alpha^+(X^{\vec{\tau}}) \cup \alpha^\omega(X^{\vec{\omega}})$ where $X^+ = X \cap (\Sigma \times \Sigma)$
 and $X^\omega = X \cap (\Sigma \times \{\perp\})$

- Bifinite relational semantics:

$$\begin{aligned} \tau^\infty &\triangleq \alpha^\infty(\tau^{\vec{\omega}}) \\ &= \alpha^+(\tau^{\vec{\tau}}) \cup \alpha^\omega(\tau^{\vec{\omega}}) \\ &= \alpha^+(\tau^{\vec{\tau}}) \cup \alpha^\omega(\tau^{\vec{\omega}}) \\ &= \tau^+ \cup \tau^\omega \end{aligned}$$

- Iterates:

$$F^\omega(X) = \tau \circ X$$

- $X^0 = \{\langle s, \perp \rangle\} \cup \{\langle s_{ij}, \perp \rangle \mid 0 \leq j \leq i\}$
- $X^1 = F^\omega(X^0) = \{\langle s, \perp \rangle\} \cup \{\langle s_{ij}, \perp \rangle \mid 1 \leq j \leq i\}$
- ...
- $X^n = \{\langle s, \perp \rangle\} \cup \{\langle s_{ij}, \perp \rangle \mid n \leq j \leq i\}$
- ...
- $X^\omega = \bigcap_{m \in \mathbb{N}} X^m = \{\langle s, \perp \rangle\}$
- $X^{\omega+1} = F^\omega(X^\omega) = \emptyset = \text{gfp}_{\Sigma \times \{\perp\}} F^\omega = \tau^\omega$

Fixpoint Bifinite Relational Semantics of a Transition System $\langle \Sigma, \tau \rangle$

- $\tau^\infty \triangleq \tau^+ \cup \tau^\omega$
 $= \text{lfp}_{\emptyset}^{\subseteq} \lambda X. \tau^{\vec{\tau}} \cup \tau \circ X \cup \text{lfp}_{\Sigma \times \{\perp\}}^{\supseteq} \lambda X. \tau \circ X$
 $= \text{lfp}_{\perp^\infty}^{\subseteq} F^\infty$ by the bi-fixpoint theorem, where:
- $F^\infty(X) \triangleq \lambda X. \tau^{\vec{\tau}} \cup \tau \circ X^+ \cup \tau \circ X^\omega = \lambda X. \tau^{\vec{\tau}} \cup \tau \circ (X^+ \cup X^\omega)$
 $= \lambda X. \tau^{\vec{\tau}} \cup \tau \circ X$
- $X \sqsubseteq^\infty Y \triangleq X^+ \subseteq Y^+ \wedge X^\omega \supseteq Y^\omega$
- $\perp^\infty \triangleq \emptyset \cup (\Sigma \times \{\perp\}) = \Sigma \times \{\perp\}$
- $\bigsqcup_i^\infty X_i \triangleq \bigcup_i X_i^+ \cup \bigcap_i X_i^\omega$
- $\langle \wp(\Sigma \times \Sigma_\perp), \sqsubseteq^\infty, \perp^\infty, \sqcup^\infty \rangle$ is a complete lattice.

Abstraction by Parts

$$\tau^\infty = \alpha^\infty(\text{lfp}_{\perp^\infty}^{\sqsubseteq^\infty} F^{\overline{\infty}}) = \text{lfp}_{\perp^\infty}^{\sqsubseteq^\infty} F^\infty$$

- The finitary part transfers through α^+ by Kleene's fixpoint transfer theorem (but Tarski's one is not applicable);
- The infinitary part transfers through α^ω by Tarski's fixpoint transfer theorem (but Kleene's one is not applicable);
- The whole transfers through α^∞ by parts using the bifixpoint theorem (although Kleene's and Tarski's fixpoint transfer theorems are not applicable).

Natural Fixpoint Denotational/Functional Nondeterministic Semantics of a Transition System $\langle \Sigma, \tau \rangle$

- $\tau^\sharp \triangleq \alpha^\sharp(\tau^\infty)$
 $= \text{lfp}_{\perp^\sharp}^{\sqsubseteq^\sharp} F^\sharp$
- $F^\sharp(f) \triangleq \lambda s \cdot (\forall s' \in \Sigma : \neg(s \tau s') ? \{s\} \mid \{s' \mid \exists s'' \in \Sigma : s \tau s'' \wedge s' \in f(s'')\})$

Proof

Trivial application of Kleene's fixpoint transfer theorem for the complete order-isomorphism α^\sharp .

□

Denotational/Functional Nondeterministic Abstraction

We use the complete order isomorphism:

$$\begin{array}{c} \langle \wp(\Sigma \times \Sigma_\perp), \sqsubseteq^\infty, \perp^\infty, \top^\infty, \sqcup^\infty, \sqcap^\infty \rangle \\ \xleftrightarrow[\alpha^\sharp]{\gamma^\sharp} \\ \langle \Sigma \longmapsto \wp(\Sigma_\perp), \sqsubseteq^\sharp, \perp^\sharp, \top^\sharp, \sqcup^\sharp, \sqcap^\sharp \rangle \end{array}$$

defined by the right-image of a relation:

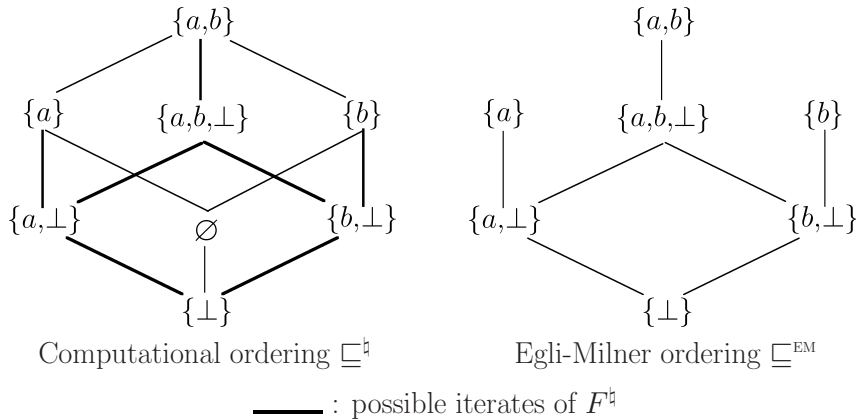
$$\begin{aligned} \alpha^\sharp(r) &= \lambda s \cdot \{s' \in \Sigma_\perp \mid r(s, s')\} \\ \gamma^\sharp(f) &= \{\langle s, s' \rangle \mid s' \in f(s)\} \end{aligned}$$

Computational Ordering

$$\begin{aligned} f \sqsubseteq^\sharp g &\triangleq \gamma^\sharp(f) \sqsubseteq^\infty \gamma^\sharp(g) \\ &= \{\langle s, s' \rangle \mid s' \in f(s) \cap \Sigma\} \subseteq \{\langle s, s' \rangle \mid s' \in g(s) \cap \Sigma\} \\ &\quad \wedge \{\langle s, s' \rangle \mid f(s) = \perp\} \subseteq \{\langle s, s' \rangle \mid g(s) = \perp\} \\ &= \forall s \in \Sigma : f(s)^+ \subseteq g(s)^+ \wedge f(s)^\omega \supseteq g(s)^\omega \\ &\quad \text{where } X^+ \triangleq X \cap \Sigma \text{ and } X^\omega \triangleq X \cap \{\perp\} \\ &= \forall s \in \Sigma : f(s) \sqsubseteq^\sharp g(s) \\ &\quad \text{where } X \sqsubseteq^\sharp Y \triangleq X^+ \subseteq Y^+ \wedge X^\omega \supseteq Y^\omega \end{aligned}$$

This is not the classical Egli-Milner ordering!

Orderings for the Nondeterministic Denotational Semantics, $\Sigma = \{a, b\}$



Comparing the orderings \sqsubseteq^{\natural} and \sqsubseteq^{EM}

- The lub \sqcup^{\natural} provides a semantics to the parallel or:

$$\llbracket P \parallel Q \rrbracket = \llbracket P \rrbracket \sqcup^{\natural} \llbracket Q \rrbracket$$

- (nontermination of $P \parallel Q$ only if both P and Q do not terminate);
- The lub \sqcup^{EM} may not be defined.

Plotkin's Fixpoint Denotational/Functional Nondeterministic Semantics of a Transition System $\langle \Sigma, \tau \rangle$

- $\tau^{\natural} \triangleq \alpha^{\natural}(\tau^{\infty})$
 $= \text{lfp}_{\lambda s \cdot \{\perp\}}^{\sqsubseteq^{\natural}} F^{\natural} = \text{lfp}_{\lambda s \cdot \{\perp\}}^{\sqsubseteq^{\text{EM}}} F^{\natural}$

Sketch of proof

- $\text{lfp}_{\lambda s \cdot \{\perp\}}^{\sqsubseteq^{\text{EM}}} F^{\natural}$ exists since F^{\natural} is Egli-Milner monotonic and $\langle \wp(\Sigma_{\perp}) - \{\emptyset\}, \sqsubseteq^{\text{EM}} \rangle$ is a cpo;
- $\text{lfp}_{\lambda s \cdot \{\perp\}}^{\sqsubseteq^{\text{EM}}} F^{\natural} = \text{lfp}_{\lambda s \cdot \{\perp\}}^{\sqsubseteq^{\natural}} F^{\natural}$ since the iterates exactly coincide.

□

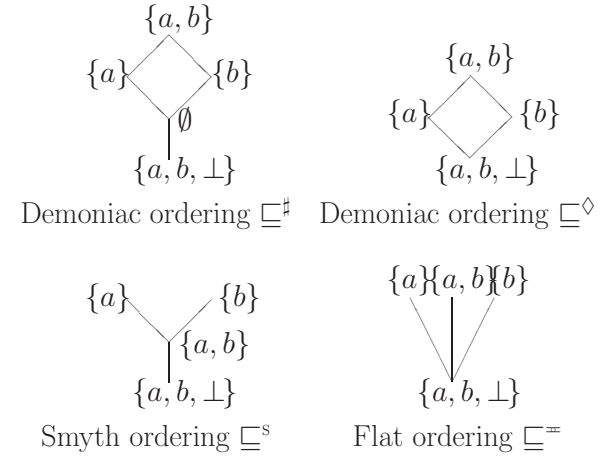
Fixpoint Iterates Reordering

- Let $\langle \langle D, \sqsubseteq, \perp, \sqcup \rangle, F \rangle$ be a fixpoint semantic specification;
- let E be a set and \preceq be a binary relation on E , such that:
 1. \preceq is a pre-order on E ;
 2. all iterates F^{δ} , $\delta \in \mathbb{O}$ of F belong to E ;
 3. \perp is the \preceq -infimum of E ;
 4. the restriction $F|_E$ of F to E is \preceq -monotone;
 5. for all $x \in E$, if λ is a limit ordinal and $\forall \delta < \lambda : F^{\delta} \preceq x$ then $\bigsqcup_{\delta < \lambda} F^{\delta} \preceq x$.
- Then $\text{lfp}_{\perp}^{\sqsubseteq} F = \text{lfp}_{\perp}^{\preceq} F|_E \in E$.

Nondeterministic Smyth/Demoniac Denotational Semantics

- $\tau^\sharp \triangleq \alpha^\sharp(\tau^\natural)$ where
 - $\alpha^\sharp(f) \triangleq \lambda s \cdot f(s) \cup \{s' \in \Sigma \mid \perp \in f(s)\}$;
 - $\gamma^\sharp(g) \triangleq g$.
- $\langle \Sigma \mapsto \wp(\Sigma_\perp), \dot{\subseteq} \rangle \xleftarrow{\gamma^\sharp} \langle \Sigma \mapsto (\wp(\Sigma) \cup \{\Sigma_\perp\}), \dot{\subseteq} \rangle \xrightarrow{\alpha^\sharp}$

Examples of Other Possible Demoniac Iterate Orderings



Demoniac Denotational Semantics in Fixpoint Form

$$\tau^\sharp = \text{lfp}_{\dot{\subseteq}^\sharp} F^\natural$$

where:

- $F^\natural \triangleq \lambda s \cdot (\forall s' \in \Sigma : \neg(s \tau s') ? \{s\} \mid \{s' \mid \exists s'' \in \Sigma : s \tau s'' \wedge s' \in f(s'')\})$
- The DCPO²⁰ $\langle \dot{D}^\sharp, \dot{\subseteq}^\sharp, \dot{\perp}^\sharp, \dot{\sqcup}^\sharp \rangle$ is the restriction of the pointwise extension of the flat DCPO $\langle D^\sharp, \sqsubseteq^\sharp, \perp^\sharp, \sqcup^\sharp \rangle$;
- $D^\sharp \triangleq (\wp(\Sigma) \setminus \{\emptyset\}) \cup \{\perp^\sharp\}$
- $\perp^\sharp \triangleq \Sigma_\perp$
- $\dot{D}^\sharp \triangleq \{f \in \Sigma \mapsto D^\sharp \mid \forall s, s' \in \Sigma : (s' \in f(s) \wedge f(s) \neq \perp^\sharp) \Rightarrow (s' \in \check{\tau} \wedge f(s') = \{s'\})\}$.

This is not the classical Smyth ordering!

²⁰ Directed Complete POset.

Minimality of $\langle \dot{D}^\sharp, \dot{\subseteq}^\sharp \rangle$

- Let $\langle E, \preceq \rangle$ be any poset such that:
 - $\dot{\perp}^\sharp$ is the \preceq -infimum of E ,
 - $F^\natural[\tau] \triangleq \lambda s \cdot (\forall s' \in \Sigma : \neg(s \tau s') ? \{s\} \mid \{s' \mid \exists s'' \in \Sigma : s \tau s'' \wedge s' \in f(s'')\}) \in E \mapsto E$ is \preceq -monotone, and
 - $\forall \tau : \tau^\sharp = \text{lfp}_{\dot{\subseteq}^\sharp} F^\natural[\tau]$
- then:
 - $\dot{D}^\sharp \subseteq E$, and
 - $\dot{\subseteq}^\sharp \subseteq \preceq$.

Hoare/Angelic Denotational Semantics

- $\tau^b \triangleq \dot{\alpha}^b(\tau^{\natural})$
- $\dot{\alpha}^b(\varphi) \triangleq \lambda s \cdot \varphi(s) \cap \Sigma$
- $\dot{\gamma}^b(\phi) \triangleq \lambda s \cdot \phi(s) \cup \{\perp\}$
- $\langle \Sigma \mapsto \wp(\Sigma_{\perp}), \dot{\subseteq} \rangle \xleftrightarrow[\dot{\alpha}^b]{\dot{\gamma}^b} \langle \Sigma \mapsto \wp(\Sigma), \dot{\subseteq} \rangle$
- $\tau^b = \text{lfp}_{\dot{\emptyset}}^{\dot{\subseteq}} F^{\natural}$ where $F^{\natural} = \lambda s \cdot (\forall s' \in \Sigma : \neg(s \tau s') \Rightarrow \{s\} \mid \{s' \mid \exists s'' \in \Sigma : s \tau s'' \wedge s' \in f(s'')\})$ is a complete $\dot{\cup}$ -morphism on the complete lattice $\langle \Sigma \mapsto \wp(\Sigma), \dot{\subseteq}, \dot{\emptyset}, \lambda s \cdot \Sigma, \dot{\cup}, \dot{\cap} \rangle$ which is the pointwise extension of the powerset $\langle \wp(\Sigma), \emptyset \rangle$.

Denotational/Functional Deterministic Abstraction

- $\langle \wp(\Sigma_{\perp}), \subseteq \rangle \xleftrightarrow[\alpha^s]{\gamma^s} \langle \Sigma \cup \{\perp, \top\}, \subseteq^s \rangle$ where $\forall s \in \Sigma : \perp \subseteq^s \perp \subseteq^s s \subseteq^s s \subseteq^s \top \subseteq^s \top$

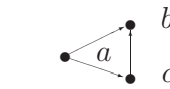
- The abstraction α^s disregards nondeterminism:

$$\begin{aligned} \alpha^s(\emptyset) &\triangleq \perp & \gamma^s(\perp) &\triangleq \{\perp\} \\ \alpha^s(\{\perp\}) &\triangleq \perp & & \\ \alpha^s(\{s\}) &= \alpha^s(\{s, \perp\}) \triangleq s, s \in \Sigma & \gamma^s(s) &\triangleq \{s, \perp\} \\ \alpha^s(X) &\triangleq \top, \text{ otherwise} & \gamma^s(\top) &\triangleq \Sigma_{\perp} \end{aligned}$$

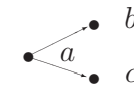
- $\langle \Sigma \mapsto \wp(\Sigma_{\perp}), \subseteq \rangle \xleftrightarrow[\alpha^s]{\gamma^s} \langle \Sigma \mapsto (\Sigma \cup \{\perp, \top\}), \subseteq^s \rangle$ where $\dot{\alpha}^s(f) \triangleq \lambda s \cdot \alpha^s(f(s))$ and $\dot{\gamma}^s(f) \triangleq \lambda s \cdot \gamma^s(f(s))$

DENOTATIONAL/FUNCTIONAL DETERMINISTIC SEMANTICS

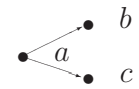
Natural τ^{\natural} and deterministic τ^{\top} denotational semantics of nondeterministic transition systems τ



$$\begin{aligned} \tau^{\natural}(a) &= \{b\} \\ \tau^{\top}(a) &= b \\ \tau^{\top}(b) &= b \\ \tau^{\top}(c) &= b \end{aligned}$$



$$\begin{aligned} \tau^{\natural}(a) &= \{b, \perp\} \\ \tau^{\top}(a) &= b \\ \tau^{\top}(b) &= b \\ \tau^{\top}(c) &= \perp \end{aligned}$$



$$\begin{aligned} \tau^{\natural}(a) &= \{b, c\} \\ \tau^{\top}(a) &= \top \\ \tau^{\top}(b) &= b \\ \tau^{\top}(c) &= c \end{aligned}$$

Fixpoint Denotational/Functional Deterministic Semantics of a Transition System $\langle \Sigma, \tau \rangle$

- $\tau^s \triangleq \hat{\alpha}^s(\tau^\natural) = \hat{\alpha}^s(\text{lfp}_{\lambda s \cdot \{\perp\}}^{\sqsubseteq^s} F^\natural) = \text{lfp}_{\lambda s \cdot \perp}^{\sqsubseteq^s} F^s$
- $F^s \triangleq \lambda f \cdot \lambda s \cdot (\forall s' \in \Sigma : \neg(s \tau s') \ ? \ s \mid \sqcup^s \{f(s'') \mid s \tau s'\})$

Proof

- $\hat{\alpha}^s(\lambda s \cdot \{\perp\}) = \lambda s \cdot \perp$;
- $\hat{\alpha}^s \circ F^d = F^s \circ \hat{\alpha}^s$ leads to the definition of F^d ;
- $\hat{\alpha}^s(\dot{\sqcup}_i^\infty f_i) = \dot{\sqcup}_i^{s^s} \hat{\alpha}^s(f_i)$ leads to the definition of the \sqsubseteq^s -lub $\dot{\sqcup}^s$;
- F^s is monotonic for \sqsubseteq^s ;
- Kleene's fixpoint transfer theorem applies.

□

The Rôle of \top

- The top element \top is often eliminated from Scott's domains by lack of intuitive interpretation;
- We interpret \top as an abstraction forgetting about nondeterminism.

Deterministic Transition System, Scott's Semantics

- If τ is deterministic, then $\tau \in \Sigma \mapsto \Sigma$ and

$$F^s = \lambda f \cdot \lambda s \cdot (s \notin \text{dom } \tau \ ? \ s \mid \tau(s)) \quad (1)$$

- \top is unreachable and can be eliminated from the domain so that \sqsubseteq^s is exactly Scott ordering.

PREDICATE TRANSFORMER SEMANTICS

Nondeterministic Denotational to Predicate Transformer Abstractions

$$\begin{aligned}
\alpha^{-1} &\triangleq \lambda f \in D \mapsto \wp(E) \cdot \lambda s' \cdot \{s \mid s' \in f(s)\} \\
\gamma^{-1} &\triangleq \lambda f \in E \mapsto \wp(D) \cdot \lambda s \cdot \{s' \mid s \in f(s')\} \\
\alpha^{\triangleright} &\triangleq \lambda f \in D \mapsto \wp(E) \cdot \lambda P \in \wp(D) \cdot \{s' \mid \exists s \in P : s' \in f(s)\} \\
\gamma^{\triangleright} &\triangleq \lambda \Psi \in \wp(D) \mapsto \wp(E) \cdot \lambda s \cdot \Psi(\{s\}) \\
\alpha^{\cup} &\triangleq \lambda \Psi \in \wp(D) \mapsto \wp(E) \cdot \lambda Q \in \wp(E) \cdot \{s \mid \Psi(\{s\}) \cap Q \neq \emptyset\} \\
\gamma^{\cup} &\triangleq \lambda \Psi \in \wp(E) \mapsto \wp(D) \cdot \lambda P \in \wp(D) \cdot \{s' \mid \Psi(\{s'\}) \cap P \neq \emptyset\} \\
\alpha^{\sim} &\triangleq \lambda \Psi \in \wp(D) \mapsto \wp(E) \cdot \lambda P \in \wp(D) \cdot \neg(\Psi(\neg P)) \\
\gamma^{\sim} &\triangleq \lambda \Psi \in \wp(E) \mapsto \wp(D) \cdot \lambda P \in \wp(D) \cdot \neg(\Psi(\neg P)) \\
\alpha^{\cap} &\triangleq \lambda \Phi \in \wp(D) \mapsto \wp(E) \cdot \lambda Q \in \wp(E) \cdot \{s \mid \Phi(\neg\{s\}) \cup Q = E\} \\
\gamma^{\cap} &\triangleq \lambda \Phi \in \wp(E) \mapsto \wp(D) \cdot \lambda P \in \wp(D) \cdot \{s' \mid \Phi(\neg\{s'\}) \cup P = D\}
\end{aligned}$$

Predicate Transformer Abstractions

If $f \in D \mapsto \wp(E)$:

$$\begin{aligned}
\text{gsp}[f] &\triangleq \alpha^{\triangleright}[f] \in \wp(D) \mapsto \wp(E) \\
&= \lambda P \in \wp(D) \cdot \{s' \in E \mid \exists s \in P : s' \in f(s)\} \\
\text{gspa}[f] &\triangleq \alpha^{\sim} \circ \alpha^{\triangleright}[f] \in \wp(D) \mapsto \wp(E) \\
&= \lambda P \in \wp(D) \cdot \{s' \in E \mid \forall s \in D : s' \in f(s) \Rightarrow s \in P\} \\
\text{gwp}[f] &\triangleq \alpha^{\sim} \circ \alpha^{\triangleright} \circ \alpha^{-1}[f] \in \wp(E) \mapsto \wp(D) \\
&= \lambda Q \in \wp(E) \cdot \{s \in D \mid \forall s' \in E : s' \in f(s) \Rightarrow s' \in Q\} \\
\text{gwp}\alpha[f] &\triangleq \alpha^{\triangleright} \circ \alpha^{-1}[f] \in \wp(E) \mapsto \wp(D) \\
&= \lambda Q \in \wp(E) \cdot \{s \in D \mid \exists s' \in Q : s' \in f(s)\}
\end{aligned}$$

Galois Connection Commutative Diagram

$$\begin{array}{ccccc}
\langle D \mapsto \wp(E), \underline{\subseteq} \rangle & \xleftrightarrow[\alpha^{\triangleright}]{\gamma^{\triangleright}} & \langle \wp(D) \mapsto \wp(E), \underline{\subseteq} \rangle & \xleftrightarrow[\alpha^{\sim}]{\gamma^{\sim}} & \langle \wp(D) \mapsto \wp(E), \underline{\supseteq} \rangle \\
\alpha^{-1} \updownarrow \gamma^{-1} & & \alpha^{\cup} \updownarrow \gamma^{\cup} & & \alpha^{\cap} \updownarrow \gamma^{\cap} \\
\langle E \mapsto \wp(D), \underline{\subseteq} \rangle & \xleftrightarrow[\alpha^{\triangleright}]{\gamma^{\triangleright}} & \langle \wp(E) \mapsto \wp(D), \underline{\subseteq} \rangle & \xleftrightarrow[\alpha^{\sim}]{\gamma^{\sim}} & \langle \wp(E) \mapsto \wp(D), \underline{\supseteq} \rangle
\end{array}$$

Generalized Weakest Precondition Semantics

- $\tau^{\text{gwp}} \triangleq \text{gwp}[\tau^{\sharp}] = \text{lfp}_{\perp^{\text{gwp}}}^{\sqsubseteq^{\text{gwp}}} F^{\text{gwp}}$
- $F^{\text{gwp}} \in D^{\text{gwp}} \mapsto D^{\text{gwp}} \triangleq \lambda \Phi \cdot \lambda Q \cdot (\neg \check{\tau} \cup Q) \dot{\cap} \text{gwp}[\tau^{\sharp}] \circ \Phi$
 $= \lambda \Phi \cdot \lambda Q \cdot (Q \cap \check{\tau}) \dot{\cup} \text{wp}[\tau^{\sharp}] \circ \Phi$
- is a \sqsubseteq^{gwp} -monotone map on the complete lattice $\langle D^{\text{gwp}}, \sqsubseteq^{\text{gwp}}, \perp^{\text{gwp}}, \sqcup^{\text{gwp}} \rangle$
- $\text{wp}[f] Q \triangleq \{s \in \Sigma \mid \exists s' \in \Sigma : s' \in f(s) \wedge \forall s' \in f(s) : s' \in Q\}$
- $D^{\text{gwp}} \triangleq \wp(\Sigma_{\perp}) \mapsto \wp(\Sigma)$,
- $\Phi \sqsubseteq^{\text{gwp}} \Psi \triangleq \forall Q \subseteq \Sigma : \Psi(Q \cup \{\perp\}) \subseteq \Phi(Q \cup \{\perp\}) \wedge \Phi(\Sigma) \subseteq \Psi(\Sigma)$,
- $\perp^{\text{gwp}} = \lambda Q \cdot (\perp \in Q ? \Sigma \mid \emptyset)$
- $\sqcup_{i \in \Delta}^{\text{gwp}} \Psi_i \triangleq \lambda Q \cdot \bigcap_{i \in \Delta} \Psi_i(Q \cup \{\perp\}) \cap (\perp \notin Q ? \bigcup_{i \in \Delta} \Psi_i(\Sigma) \mid \Sigma)$.

Dijkstra's Weakest Conservative Precondition Abstraction

- $\langle D^{\text{gwp}}, \supseteq \rangle \xleftrightarrow[\alpha^{\text{wp}}]{\gamma^{\text{wp}}} \langle D^{\text{wp}}, \supseteq \rangle$ where $D^{\text{wp}} \triangleq \wp(\Sigma) \xrightarrow{\circ} \wp(\Sigma)$, $\alpha^{\text{wp}} \triangleq \lambda\Phi \cdot \Phi|_{\wp(\Sigma)}$ and $\gamma^{\text{wp}}(\Psi) \triangleq \lambda Q \cdot (\perp \notin Q ? \Psi(Q) \mid \emptyset)$;
- $\tau^{\text{wp}} \triangleq \alpha^{\text{wp}}(\tau^{\text{gwp}}) = \alpha^{\text{wp}}(\text{gwp}[\tau^{\sharp}])$;
- Dijkstra's fixpoint characterization of τ^{wp} is for a given postcondition Q ;
- If $Q \subseteq E$ then $\langle \wp(E) \xrightarrow{\circ} \wp(D), \supseteq \rangle \xleftrightarrow[\alpha^{\text{q}}]{\gamma^{\text{q}}} \langle \wp(D), \supseteq \rangle$ where $\alpha^{\text{q}}(\Phi) \triangleq \Phi(Q)$ and $\gamma^{\text{q}}(P) \triangleq \lambda R \cdot (Q \subseteq R ? P \mid \emptyset)$;

Dijkstra's Weakest Liberal Precondition Semantics

- $\langle D^{\text{gwp}}, \supseteq \rangle \xleftrightarrow[\alpha^{\text{wlp}}]{\gamma^{\text{wlp}}} \langle D^{\text{wlp}}, \supseteq \rangle$ where $D^{\text{wlp}} \triangleq \wp(\Sigma) \xrightarrow{\circ} \wp(\Sigma)$, $\alpha^{\text{wlp}} \triangleq \lambda\Phi \cdot \lambda Q \cdot \Phi(Q \cup \{\perp\})$ and $\gamma^{\text{wlp}}(\Psi) \triangleq \lambda Q \cdot (\perp \in Q ? \Psi(Q) \mid \emptyset)$;
- $\tau^{\text{wlp}} \triangleq \alpha^{\text{wlp}}(\tau^{\text{gwp}}) = \text{gwp}[\tau^{\flat}]$;
- By Kleene fixpoint transfer, $\tau^{\text{wlp}} = \lambda Q \cdot \text{gfp}_{\Sigma}^{\subseteq} F^{\text{wlp}}[Q]$.

Dijkstra's Weakest Conservative Precondition Semantics

From $\tau^{\text{wp}}(Q) = \alpha^{\text{q}}(\alpha^{\text{wp}}(\tau^{\text{gwp}}))$ and Kleene fixpoint transfer theorem, we derive:

- $\tau^{\text{wp}}(Q) = \lambda Q \cdot \text{lfp}_{\emptyset}^{\subseteq} F^{\text{wp}}[Q]$
- $F^{\text{wp}} \in \wp(\Sigma) \xrightarrow{\circ} \wp(\Sigma) \xrightarrow{\text{m}} \wp(\Sigma)$
- $\tau^{\blacktriangleright}(s) \triangleq \{s' \mid s \tau s'\}$;
- $F^{\text{wp}}[Q] \triangleq \lambda P \cdot (Q \cap \tilde{\tau}) \cup_{\text{wp}}[\tau^{\blacktriangleright}] P$
 $= \lambda P \cdot (\neg \tilde{\tau} \cup Q) \cap_{\text{gwp}}[\tau^{\blacktriangleright}] P$

is a \subseteq -monotone map on the complete lattice $\langle \wp(\Sigma), \subseteq, \emptyset, \Sigma, \cup, \cap \rangle$.

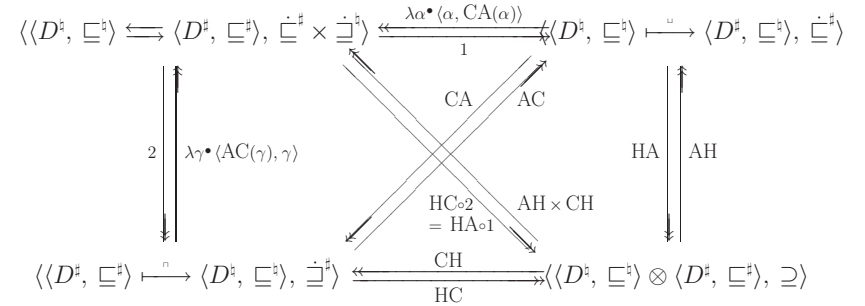
Correspondence Between Pre- and Postcondition Semantics

If $f \in D \xrightarrow{\circ} \wp(E)$ then $\langle \wp(D), \subseteq \rangle \xleftrightarrow[\text{gsp}[f]]{\text{gwp}[f]} \langle \wp(E), \subseteq \rangle$.

AXIOMATIC SEMANTICS

Galois Connection Commutative Diagram

$$\begin{aligned}
 1(\langle \alpha, \gamma \rangle) &\triangleq \alpha & \text{HA}(\alpha) &\triangleq \{ \langle x, y \rangle \in D^\sharp \times D^\sharp \mid \alpha(x) \sqsubseteq^\sharp y \} \\
 2(\langle \alpha, \gamma \rangle) &\triangleq \gamma & \text{HC}(\gamma) &\triangleq \{ \langle x, y \rangle \in D^\sharp \times D^\sharp \mid x \sqsubseteq^\sharp \gamma(y) \} \\
 \text{AC}(\gamma) &\triangleq \lambda x \bullet \Pi^\sharp \{ y \mid x \sqsubseteq^\sharp \gamma(y) \} & \text{AH}(H) &\triangleq \lambda x \bullet \Pi^\sharp \{ y \mid \langle x, y \rangle \in H \} \\
 \text{CA}(\alpha) &\triangleq \lambda y \bullet \sqcup^\sharp \{ x \mid \alpha(x) \sqsubseteq^\sharp y \} & \text{CH}(H) &\triangleq \lambda y \bullet \sqcup^\sharp \{ x \mid \langle x, y \rangle \in H \}
 \end{aligned}$$



Galois Connections, Complete Join/Meet Morphisms and Tensor Product

- G. c.: $\langle D^\sharp, \sqsubseteq^\sharp \rangle \rightleftharpoons \langle D^\sharp, \sqsubseteq^\sharp \rangle \triangleq \{ \langle \alpha, \gamma \rangle \mid \langle D^\sharp, \sqsubseteq^\sharp \rangle \xrightarrow{\gamma} \langle D^\sharp, \sqsubseteq^\sharp \rangle \}$;
- Complete join morphisms: $D^\sharp \xrightarrow{\alpha} D^\sharp \triangleq \{ \alpha \in D^\sharp \xrightarrow{\alpha} D^\sharp \mid \forall X \subseteq D^\sharp : \alpha(\sqcup^\sharp X) = \sqcup^\sharp \alpha^\blacktriangleright(X) \}$;
- Complete meet morphisms: $D^\sharp \xrightarrow{\gamma} D^\sharp \triangleq \{ \gamma \in D^\sharp \xrightarrow{\gamma} D^\sharp \mid \forall Y \subseteq D^\sharp : \gamma(\Pi^\sharp Y) = \Pi^\sharp \gamma^\blacktriangleright(Y) \}$;
- Tensor products: $\langle D^\sharp, \sqsubseteq^\sharp \rangle \otimes \langle D^\sharp, \sqsubseteq^\sharp \rangle \triangleq \{ H \in \wp(D^\sharp \times D^\sharp) \mid (1) \wedge (2) \wedge (3) \}$ where the conditions are:
 1. $\langle X \sqsubseteq^\sharp X' \wedge \langle X', Y' \rangle \in H \wedge Y' \sqsubseteq^\sharp Y \rangle \Rightarrow \langle \langle X, Y \rangle \in H \rangle$;
 2. $\langle \forall i \in \Delta : \langle X_i, Y \rangle \in H \rangle \Rightarrow \langle \langle \sqcup_{i \in \Delta}^\sharp X_i, Y \rangle \in H \rangle$;
 3. $\langle \forall i \in \Delta : \langle X, Y_i \rangle \in H \rangle \Rightarrow \langle \langle X, \Pi_{i \in \Delta}^\sharp Y_i \rangle \in H \rangle$.

Floyd/Hoare/Naur Partial Correctness Semantics

- $\tau^{\text{pH}} \triangleq \text{HC}(\tau^{\text{wip}})$;
- $\tau^{\text{pH}} = \{ \langle P, Q \rangle \in \wp(\Sigma) \otimes \wp(\Sigma) \mid \exists I \in \wp(\Sigma) : P \subseteq I \wedge I \subseteq \text{gwp}[\tau^\blacktriangleright] I \wedge (I \cap \tilde{\tau}) \subseteq Q \}$.

Proof By Park fixpoint induction: if $\langle D, \sqsubseteq, \perp, \top, \sqcup, \Pi \rangle$ is a complete lattice, $F \in D \xrightarrow{m} D$ is \sqsubseteq -monotone and $L \in D$ then $\text{lfp}_\perp^\sqsubseteq F \sqsubseteq P \iff (\exists I : F(I) \sqsubseteq I \wedge I \sqsubseteq P)$. \square

Hoare Logic

- Hoare triples: $\{P\}\tau^{\infty}\{Q\} \triangleq \langle P, Q \rangle \in \tau^{\text{th}}, \{P\}\tau\{Q\} \triangleq P \subseteq \text{gwp}[\tau^{\blacktriangleright}]Q;$
- Hoare logic: $\{P\}\tau^{\infty}\{Q\}$ if and only if it derives from the axiom:

$$\{\text{gwp}[\tau^{\blacktriangleright}]Q\}\tau\{Q\} \quad (\tau)$$

and the following inference rules:

$$\frac{P \subseteq P', \{P'\}\tau^{\infty}\{Q'\}, Q' \subseteq Q}{\{P\}\tau^{\infty}\{Q\}} (\Rightarrow) \quad \frac{\{P_i\}\tau^{\infty}\{Q\}, i \in \Delta}{\{\bigcup_{i \in \Delta} P_i\}\tau^{\infty}\{Q\}} (\vee)$$

$$\frac{\{P\}\tau^{\infty}\{Q_i\}, i \in \Delta}{\{P\}\tau^{\infty}\{\bigcap_{i \in \Delta} Q_i\}} (\wedge) \quad \frac{\{I\}\tau\{I\}}{\{I\}\tau^{\infty}\{I \cap \check{\tau}\}} (\tau^{\infty})$$

Manna/Pnueli Total Correctness Logic

- Manna/Pnueli triples: $[P]\tau^{\infty}[Q] \triangleq \langle P, Q \rangle \in \tau^{\text{th}}, [P]\tau[Q] \triangleq P \subseteq \text{gwp}[\tau^{\blacktriangleright}]Q;$
- Manna/Pnueli total correctness axiomatic semantics: $[P]\tau^{\infty}[Q]$ if and only if it derives from the axiom (τ) , the inference rules (\Rightarrow) , (\wedge) , (\vee) and the following:

$$\frac{I^0 \subseteq Q \cap \check{\tau}, \bigwedge_{\delta=1}^{\epsilon} I^{\delta} \subseteq \neg \check{\tau} \cup Q, \bigwedge_{\delta=1}^{\epsilon} [I^{\delta}]\tau[\bigcup_{\beta < \delta} I^{\beta}]}{[I^{\epsilon}]\tau^{\infty}[Q]} (\tau^{\infty})$$

Floyd Total Correctness Semantics

- $\tau^{\text{th}} \triangleq \text{HC}(\tau^{\text{wp}});$
- $\tau^{\text{th}} = \{\langle P, Q \rangle \in \wp(\Sigma) \otimes \wp(\Sigma) \mid \exists \epsilon \in \mathbb{O} : \exists I \in (\epsilon + 1) \mapsto \wp(\Sigma) : \forall \delta \leq \epsilon : I^{\delta} \subseteq (\neg \check{\tau} \cup Q) \cap \text{gwp}[\tau^{\blacktriangleright}](\bigcup_{\beta < \delta} I^{\beta}) \wedge P \subseteq I^{\epsilon}\}.$
- Floyd (equivalent) verification conditions:

$$\forall s \in I^{\delta} : \bigvee \forall s' : \neg(s \tau s') \wedge s \in Q$$

$$\exists s' : s \tau s' \wedge \forall s' : s \tau s' \Rightarrow (\exists \beta < \delta : s' \in I^{\beta})$$

Proof By the lower fixpoint induction principle: if $\langle D, \sqsubseteq, \perp, \sqcup \rangle$ is a DCPO, $F \in D \mapsto D$ is \sqsubseteq -monotone, $\perp \in D$ satisfies $\perp \sqsubseteq F(\perp)$ and $P \in D$ then $P \sqsubseteq \text{lfp}_{\perp}^{\sqsubseteq} F \iff (\exists \epsilon \in \mathbb{O} : \exists I \in (\epsilon + 1) \mapsto D : I^0 \sqsubseteq \perp \wedge \forall \delta : 0 < \delta \leq \epsilon \Rightarrow I^{\delta} \sqsubseteq F(\bigcup_{\zeta < \delta} I^{\zeta}) \wedge P \sqsubseteq I^{\epsilon}). \square$

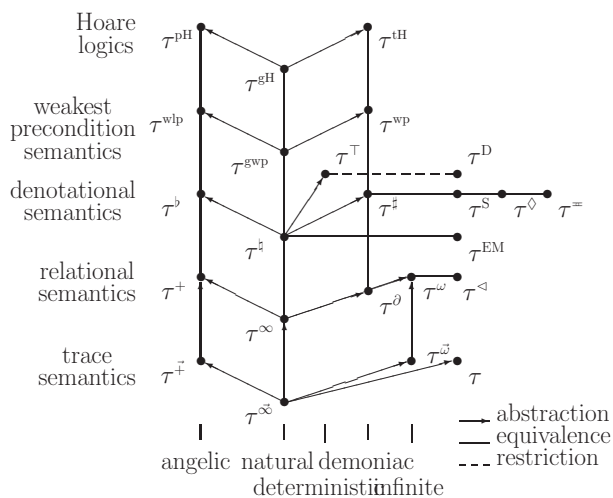
LATTICE OF SEMANTICS

Comparison of Semantics

- $\tau^{\natural} \in D^{\natural} \leq \tau^{\sharp} \in D^{\sharp}$ iff $\tau^{\sharp} = \alpha^{\sharp}(\tau^{\natural})$ and $\langle D^{\natural}, \leq \rangle \xrightleftharpoons[\alpha^{\sharp}]{\gamma^{\sharp}} \langle D^{\sharp}, \leq \rangle$ is a preorder between semantics;
- The quotient poset is isomorphic to Ward lattice of upper closure operators $\gamma^{\sharp} \circ \alpha^{\sharp}$ on $\langle D^{\infty}, \subseteq \rangle$;
- We get a lattice of semantics which is part of the lattice of abstract interpretations.

APPLICATION TO THE (EAGER) LAMBDA-CALCULUS (PROSPECTIVE)

Lattice of Semantics



Relational Semantics with Closures

$$\begin{array}{c}
 E \vdash \lambda x \cdot e \Rightarrow \langle x, e, E \rangle \\
 \hline
 E \vdash e_1 \Rightarrow \perp
 \end{array}
 \qquad
 \begin{array}{c}
 E \vdash e_1 \Rightarrow \perp \\
 \hline
 E \vdash e_1(e_2) \Rightarrow \perp
 \end{array}$$

$$\begin{array}{c}
 c = \langle x, e, E[f \leftarrow c] \rangle \\
 \hline
 E \vdash \mu f \cdot \lambda x \cdot e \Rightarrow c
 \end{array}
 \qquad
 \begin{array}{c}
 E \vdash e_1 \Rightarrow \langle x', e', E' \rangle \\
 E \vdash e_2 \Rightarrow \perp \\
 \hline
 E \vdash e_1(e_2) \Rightarrow \perp
 \end{array}$$

$$\begin{array}{c}
 E \vdash e_1 \Rightarrow \langle x', e', E' \rangle \\
 E \vdash e_2 \Rightarrow v, v \neq \Omega \\
 E'[x' \leftarrow v] \vdash e' \Rightarrow r \\
 \hline
 E \vdash e_1(e_2) \Rightarrow r
 \end{array}
 \qquad
 \begin{array}{c}
 E \vdash e_1 \Rightarrow \langle x', e', E' \rangle \\
 E \vdash e_2 \Rightarrow v, v \neq \Omega \\
 E'[x' \leftarrow v] \vdash e' \Rightarrow \perp \\
 \hline
 E \vdash e_1(e_2) \Rightarrow \perp
 \end{array}$$

Denotational Semantics

$u, f, \varphi \in \mathbb{U} \cong \{\Omega\}_{\perp}^{\top} \oplus \mathbb{Z}_{\perp}^{\top} \oplus [\mathbb{U} \mapsto \mathbb{U}]_{\perp}^{\top}$ values

$R \in \mathbb{R} \triangleq \mathbb{X} \mapsto \mathbb{U}$ environments

$\phi \in \mathbb{S} \triangleq \mathbb{R} \mapsto \mathbb{U}$ semantic domain

$$\mathbf{S}[\lambda x \cdot e]R \triangleq \lambda u \cdot (u = \perp ? \perp \\ | u = \Omega ? \Omega \\ | \mathbf{S}[e]R[x \leftarrow u])$$

$$\mathbf{S}[e_1(e_2)]R \triangleq (\mathbf{S}[e_1]R = \perp \vee \mathbf{S}[e_2]R = \perp ? \perp \\ | \mathbf{S}[e_1]R = f \in [\mathbb{U} \mapsto \mathbb{U}] ? f(\mathbf{S}[e_2]R) \\ | \Omega)$$

$$\mathbf{S}[\mu f \cdot \lambda x \cdot e]R \triangleq \text{lfp}^{\perp} \lambda \varphi \cdot \mathbf{S}[\lambda x \cdot e]R[f \leftarrow \varphi]$$

- $\alpha \in (\mathbb{X} \mapsto \mathbb{V}) \mapsto (\mathbb{X} \mapsto \mathbb{U})$:

$$\alpha(E) \triangleq \lambda x \cdot \alpha(E(x))$$

- $\alpha \in \wp([\mathbb{X} \mapsto \mathbb{V}] \times \mathbb{V}) \mapsto ([\mathbb{X} \mapsto \mathbb{U}] \mapsto \mathbb{U})$:

$$\alpha(\Phi[e]) \triangleq \lambda R \cdot \alpha(\{r \mid \exists E : \alpha(E) = R \wedge E \vdash e \Rightarrow r \in \Phi[e]\})$$

Abstraction

- The rules of the relational semantics can be interpreted as least fix-points for the bifinite ordering;
- The abstraction function $\alpha \in \wp(\mathbb{V}) \mapsto \mathbb{U}$ is as follows²¹:

$$\alpha(\emptyset) \triangleq \perp$$

$$\alpha(\{\perp\}) \triangleq \perp$$

$$\alpha(\{z\}) = \alpha(\{z, \perp\}) \triangleq z, \quad z \in \mathbb{Z}$$

$$\alpha(\{\Omega\}) \triangleq \Omega$$

$$\alpha(X) \triangleq \top, \quad \text{otherwise.}$$

$$\alpha(\langle x, e, E \rangle) \triangleq \lambda u \in \mathbb{U} \cdot \alpha(\{r \mid \exists v \in \mathbb{V} : \alpha(\{v\}) = u \wedge \\ E[x \leftarrow v] \vdash e \Rightarrow r\})$$

²¹ Lifting and injections are omitted.

Alternative Partitioning of Executions

- We have explored linear time (set of traces) semantics with partition between finite and infinite traces;
- A different partitioning for branching time (tree) semantics would be states with or without later possibility to branch toward a nonterminating execution.

Need for semantics at various levels of refinement

- Many semantics at different levels of abstraction are needed for program analysis;
- A unified framework for presenting all these semantics seems indispensable.

Further Work for Semanticists

- Consider realistic practical languages (C⁺⁺, Java, ML, etc);
- Consider computable approximations of semantic domains (to be used in program analysis);
- A need for mathematical foundations but also applications of programming semantics;
- A lot of work for future applied semanticists (like applied mathematicians).