

# Machine Level Programming: Basics

Computer Systems Organization (Spring 2017)  
CSCI-UA 201, Section 2

Instructor: Joanna Klukowska

Slides adapted from  
Randal E. Bryant and David R. O'Hallaron (CMU)  
Mohamed Zahran (NYU)

# Why do we look at machine code?

- understanding how the high-level programming language instructions are executed on a processor
- understanding how optimizing high-level program affects instructions executed in practice
- understanding security flaws of programs
- understanding things that are not handled at the high-level programming language

We will be working with the machine code for x86-64 processors.

# A Bit of History

# Intel x86 Processors

- Totally dominate laptop/desktop/server market
- Evolutionary design
  - Backwards compatible up until 8086, introduced in 1978
  - Added more features as time goes on
- Complex instruction set computer (CISC)
  - Many different instructions with many different formats
    - But, only small subset encountered with Linux programs
  - Hard to match performance of Reduced Instruction Set Computers (RISC)
  - But, Intel has done just that!
    - In terms of speed. Less so for low power.

We will just scratch the surface of the available instructions.

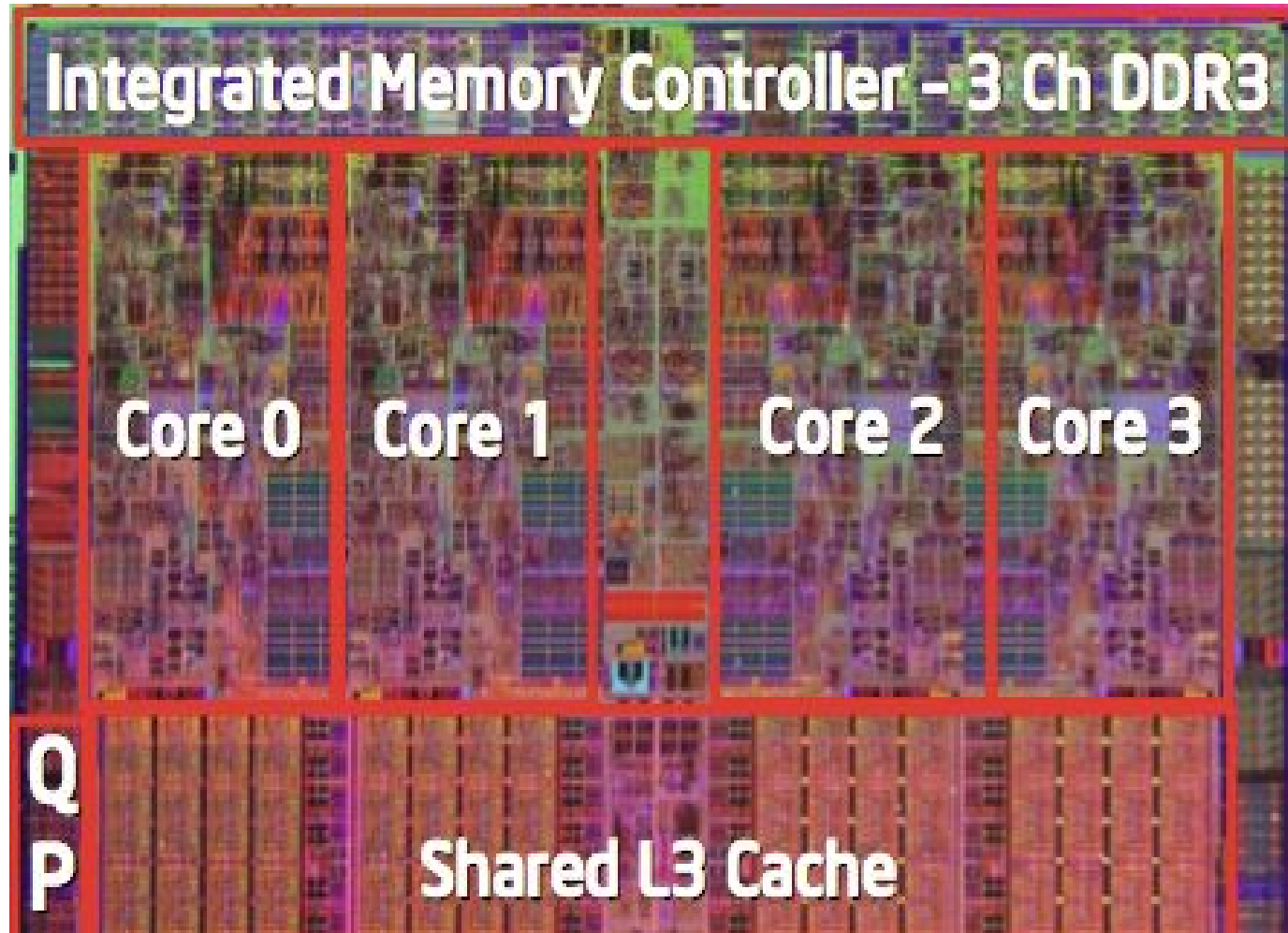
# Intel x86 Evolution

The **hertz** (symbol **Hz**) is the unit of frequency in the **International System of Units** (SI) and is defined as one **cycle per second**. Ex:  
 $10^6 \text{ Hz} = 1 \text{ MHz} = 10^6 \text{ cycles/repetitions per second}$

Name	Date	Transistors	MHz
● 8086	1978	29K	5-10
○	First 16-bit processor. Basis for IBM PC & DOS		
○	1MB address space		
● 386	1985	275K	16-33
○	First 32 bit processor, referred to as IA32		
○	Capable of running Unix		
○	32-bit Linux/gcc uses no instructions introduced in later models		
● Pentium 4F	2004	125M	2800-3800
○	First 64-bit processor, referred to as x86-64		
● Core i7	2008	731M	2667-3333

**Notice that the speed is not increasing as much any more.**

# Schematic of an Intel Processor



# 64-bit History

- 2001: Intel Attempts Radical Shift from IA32 to IA64
  - Totally different architecture (Itanium)
  - Executes IA32 code only as legacy
  - Performance disappointing
- 2003: AMD Steps in with Evolutionary Solution
  - x86-64 (now called “AMD64”)
- Intel Felt Obligated to Focus on IA64
  - Hard to admit mistake or that AMD is better
- 2004: Intel Announces EM64T extension to IA32
  - Extended Memory 64-bit Technology
  - Almost identical to x86-64!
- All but low-end x86 processors support x86-64
  - But, lots of code still runs in 32-bit mode

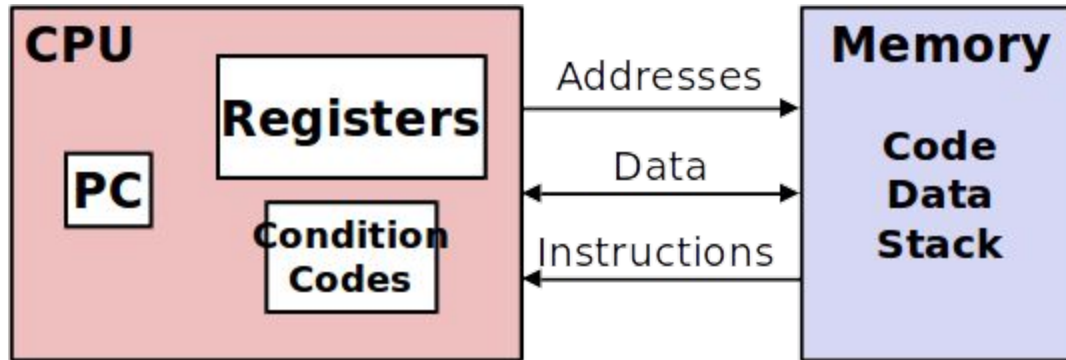
C, assembly, machine code



# Definitions

- **Architecture:** (also ISA: **instruction set architecture**) The parts of a processor design that one needs to understand or write assembly/machine code.
  - Examples: instruction set specification, registers.
  - Target of the compiler
- **Microarchitecture:** Implementation of the architecture.
  - Examples: cache sizes and core frequency.
- **Code Forms:**
  - Machine Code: The byte-level programs that a processor executes
  - Assembly Code: A text representation of machine code
- **Example ISAs:**
  - Intel: x86, IA32, Itanium, x86-64
  - ARM: Used in almost all mobile devices, Raspberry Pi

# Assembly/Machine Code View of a Computer

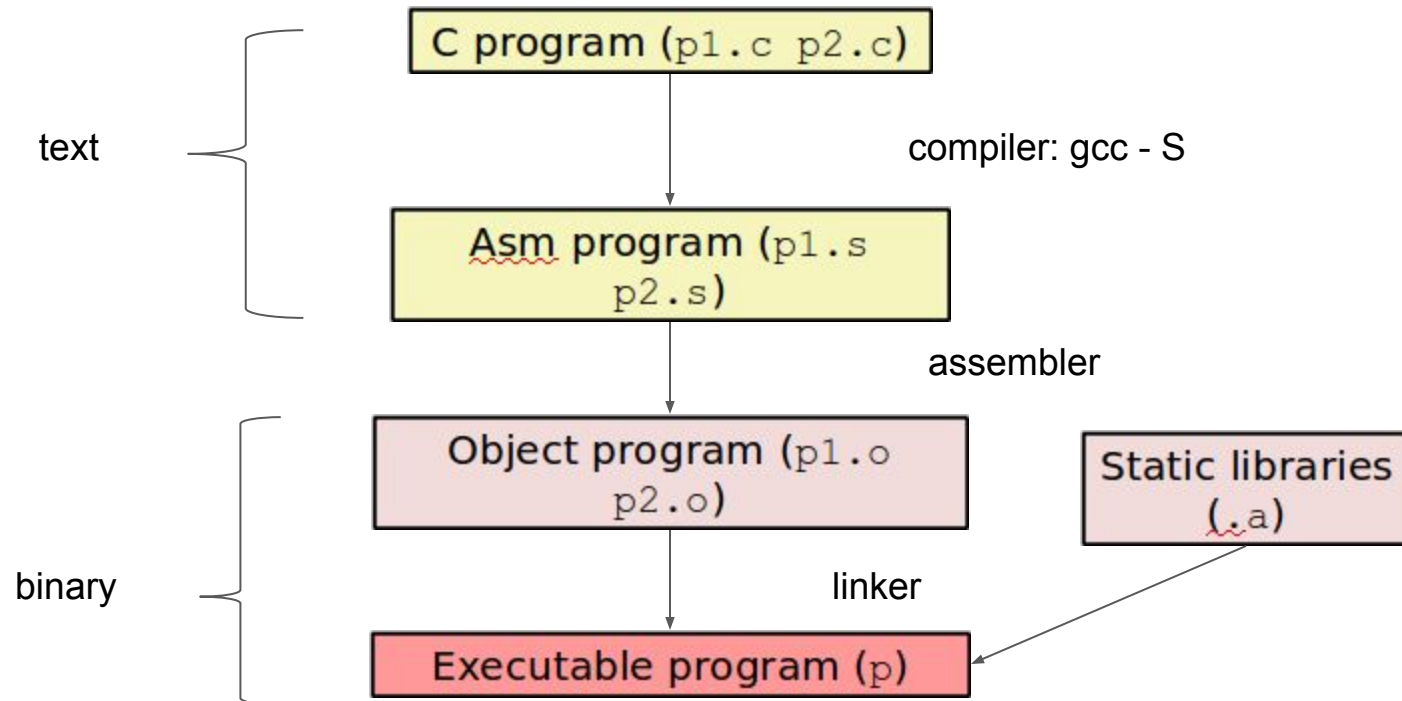


## Programmer-Visible State

- PC: Program counter / instruction pointer
  - Address of next instruction
  - Called `%rip` (x86-64)
- Register file
  - Heavily used program data
- Condition codes
  - Store status information about most recent arithmetic or logical operation
  - Used for conditional branching
- Memory
  - Byte addressable array
  - Code and user data
  - Stack to support procedures

# Turning C into Object Code

- Code in files p1.c p2.c
- Compile with command: `gcc -Og p1.c p2.c -o p`
  - Use basic optimizations (-Og) [New to recent versions of GCC]
  - Put resulting binary in file p



# Compiling into Assembly

```
long plus(long x, long y) {  
    return x + y;  
}  
  
void sumstore(long x, long y, long *dest)  
{  
    long t = plus(x, y);  
    *dest = t;  
}
```

Assembly code generated using

```
gcc -Og -S sum.c
```

output written to `sum.s` file.

```
sumstore:  
    pushq    %rbx  
    movq    %rdx, %rbx  
    call   plus  
    movq    %rax, (%rbx)  
    popq    %rbx  
    ret
```

**Note1:** the assembly code will be different for different versions of gcc and different compiler settings. The generated code should be equivalent in terms of what it does, though.

**Note2:** for now we ignore all instructions in the `.s` file that start with a dot - they are not really part of the assembly.

# Assembly Characteristics: Data Types

- “Integer” data of 1, 2, 4, or 8 bytes
  - Data values (it does not matter if it is signed or not at the level of assembly)
  - Addresses (untyped pointers)
- Floating point data of 4, 8, or 10 bytes
  - we will not really go into floating point numbers at the level of assembly
- Code: Byte sequences encoding series of instructions
- No aggregate types such as arrays or structures, just contiguously allocated bytes in memory

# Assembly Operations

- Perform arithmetic function on register or memory data
- Transfer data between memory and register
  - Load data from memory into register
  - Store register data into memory
- Transfer control
  - Unconditional jumps to/from procedures
  - Conditional branches

**Very limited in what can be done in one instruction - does only one thing: move data, single simple arithmetic operation, memory dereference.**

# Object Code

```
gcc -Og -c sum.c
objdump -d sum.o
```

```
000000000000000005 <sumstore>:
```

```
5: 53
```

```
6: 48 89 d3
```

```
9: e8 00 00 00 00
```

```
e: 48 89 03
```

```
11: 5b
```

```
12: c3
```

```
push %rbx
```

```
mov %rdx,%rbx
```

```
callq e <sumstore+0x9>
```

```
mov %rax, (%rbx)
```

```
pop %rbx
```

```
retq
```

**Total of 14 bytes.  
Each instruction  
can use a different  
number of bytes.  
Stats at location  
0x4005a2.**

- Assembler

- Translates .s into .o
- Binary encoding of each instruction
- Nearly-complete image of executable code
- Missing linkages between code in different files

- Linker

- Resolves references between files
- Combines with static run-time libraries
  - E.g., code for malloc, printf
- Some libraries are dynamically linked
  - Linking occurs when program begins execution

# Machine Instructions - Example

- C Code

- Store value t where designated by dest

```
*dest = t;
```

- Assembly

- Move 8-byte value to memory
  - Quad words in x86-64 parlance
- Operands:

t:        register    %rax

dest:    register    %rbx

\*dest:   memory    M[%rbx]

```
movq %rax, (%rbx)
```

- Object Code

- 3-byte instruction
- Stored at address 0x40059e

```
0x40059e: 48 89 03
```



# Disassembling Object Code

Disassembler: `objdump -d sum`

- Useful tool for examining object code
- Analyzes bit pattern of series of instructions
- Produces approximate rendition of assembly code
- Can be run on either a.out (complete executable) or .o file

sumstore:

```
pushq    %rbx
movq    %rdx, %rbx
call    plus
movq    %rax, (%rbx)
popq    %rbx
ret
```

0000000004005a2 <sumstore>:

```
4005a2:    53                push    %rbx
4005a3:    48 89 d3          mov     %rdx,%rbx
4005a6:    e8 f2 ff ff ff   callq  40059d <plus>
4005ab:    48 89 03          mov     %rax, (%rbx)
4005ae:    5b                pop     %rbx
4005af:    c3                retq
```

# Alternate Disassembly

## Within gdb Debugger

```
gdb sum
```

- `disassemble sumstore`  
Disassemble procedure
- `x/14xb sumstore`

Examine the 14 bytes  
starting at `sumstore`

```
Dump of assembler code for function sumstore:  
0x0000000004005a2 <+0>:  push  %rbx  
0x0000000004005a3 <+1>:  mov   %rdx,%rbx  
0x0000000004005a6 <+4>:  callq 0x40059d <plus>  
0x0000000004005ab <+9>:  mov   %rax,(%rbx)  
0x0000000004005ae <+12>: pop   %rbx  
0x0000000004005af <+13>: retq  
End of assembler dump.
```

```
0x4005a2 <sumstore>:  0x53  0x48  0x89  0xd3  0xe8  0xf2  0xff  0xff  
0x4005aa <sumstore+8>: 0xff  0x48  0x89  0x03  0x5b  0xc3
```

# What Can be Disassembled?

- Anything that can be interpreted as executable code
- Disassembler examines bytes and reconstructs assembly source

BUT:

**The end user license agreement for some software  
forbids reverse engineering of code.**

# Assembly Basics: Registers, Operands, Move

# x86-64 Integer Registers

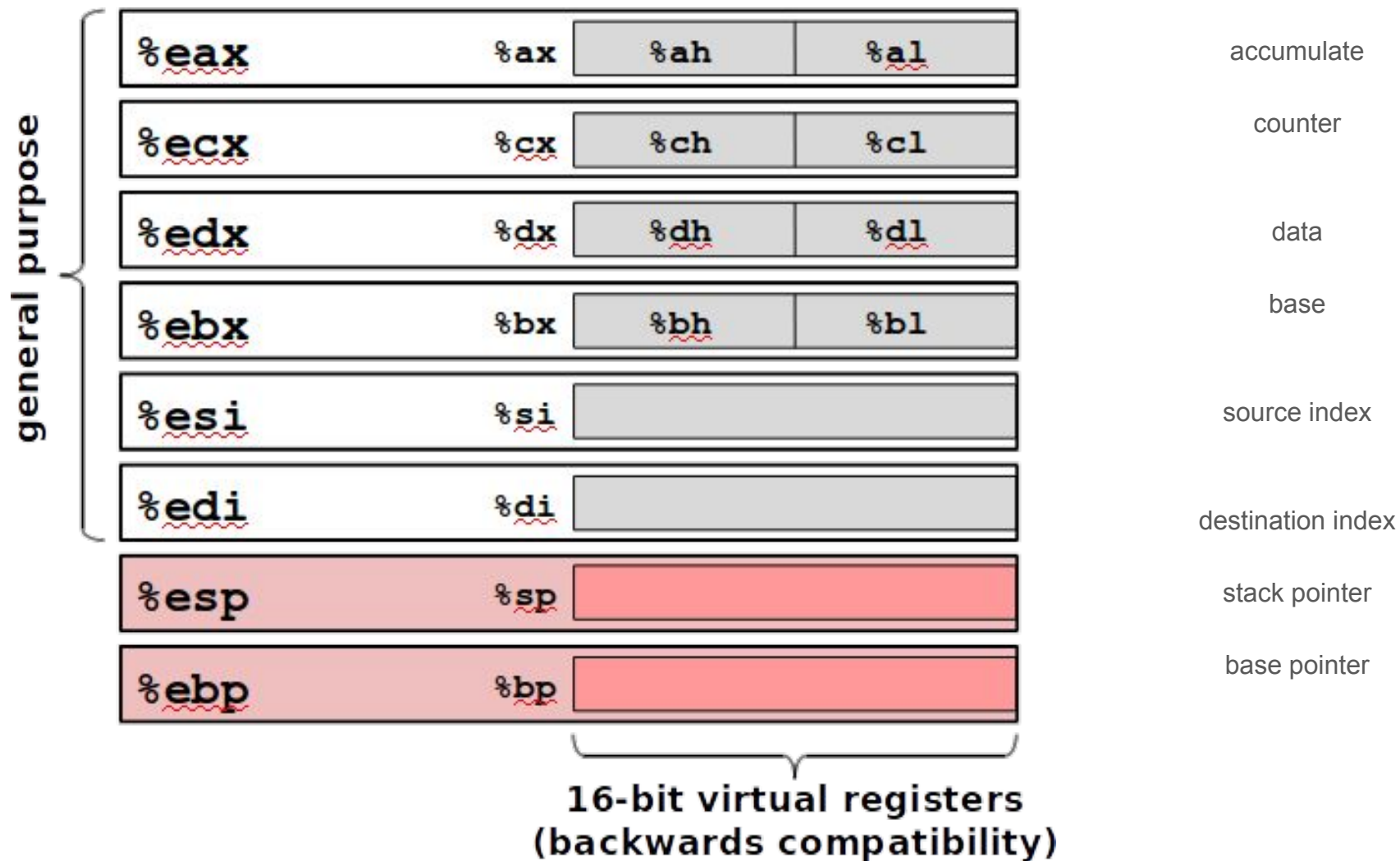
<b>%rax</b>	<b>%eax</b>
<b>%rbx</b>	<b>%ebx</b>
<b>%rcx</b>	<b>%ecx</b>
<b>%rdx</b>	<b>%edx</b>
<b>%rsi</b>	<b>%esi</b>
<b>%rdi</b>	<b>%edi</b>
<b>%rsp</b>	<b>%esp</b>
<b>%rbp</b>	<b>%ebp</b>

<b>%r8</b>	<b>%r8d</b>
<b>%r9</b>	<b>%r9d</b>
<b>%r10</b>	<b>%r10d</b>
<b>%r11</b>	<b>%r11d</b>
<b>%r12</b>	<b>%r12d</b>
<b>%r13</b>	<b>%r13d</b>
<b>%r14</b>	<b>%r14d</b>
<b>%r15</b>	<b>%r15d</b>

Can reference low-order 4 bytes (also low-order 1 & 2 bytes), see p. 180 in the book

# IA32 Registers (History)

Origin  
(mostly obsolete)



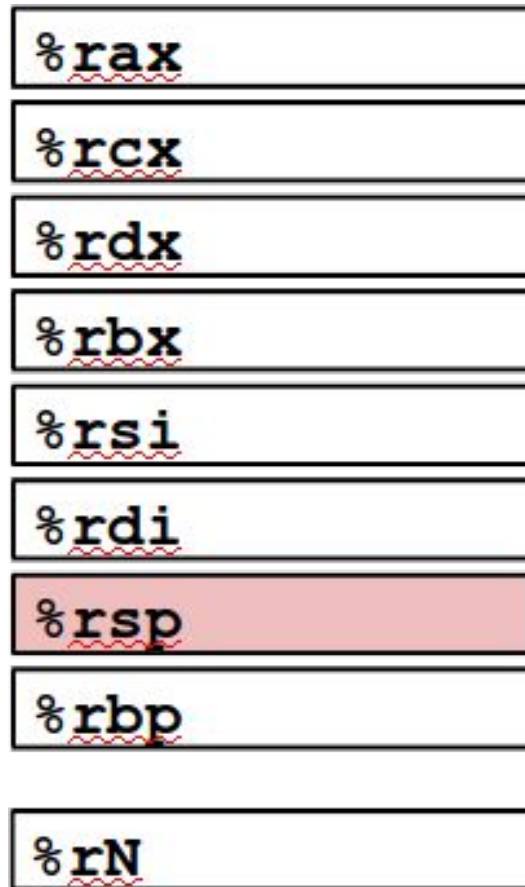
# Moving Data

- Moving Data

```
movq Source, Dest
```

- Operand Types

- **Immediate:** Constant integer data
  - Example: `$0x400`, `$-533`
  - Like C constant, but prefixed with '\$'
  - Encoded with 1, 2, or 4 bytes
- **Register:** One of 16 integer registers
  - Example: `%rax`, `%r13`
  - But `%rsp` reserved for special use
  - Others have special uses for particular instructions
- **Memory:** 8 consecutive bytes of memory at address given by register
  - Simplest example: `(%rax)`
  - Various other "address modes"



# movq Operand Combinations

	<b>Source</b>	<b><u>Dest</u></b>	<b><u>Src, Dest</u></b>	<b>C Analog</b>
<u>movq</u>	<b><u>Imm</u></b>	<b>Reg</b>	<u>movq</u> \$0x4, % <u>rax</u>	temp = 0x4;
		<b><u>Mem</u></b>	<u>movq</u> \$-147, (% <u>rax</u> )	*p = -147;
	<b>Reg</b>	<b>Reg</b>	<u>movq</u> % <u>rax</u> , % <u>rdx</u>	temp2 = temp1;
		<b><u>Mem</u></b>	<u>movq</u> % <u>rax</u> , (% <u>rdx</u> )	*p = temp;
	<b><u>Mem</u></b>	<b>Reg</b>	<u>movq</u> (% <u>rax</u> ), % <u>rdx</u>	temp = *p;

**Cannot do memory-memory transfer with a single instruction**



# Simple Memory Addressing Modes

- Normal (R) Mem[Reg[R]]
  - Register R specifies memory address
  - **Pointer dereferencing in C**

```
movq (%rcx),%rax
```

- Displacement D(R) Mem[Reg[R]+D]
  - Register R specifies start of memory region
  - Constant displacement D specifies offset

```
movq 8(%rbp),%rdx
```

**Mem** - think of as a memory array:  
Mem[address] means value stored at the particular memory address.

**Reg** - think of as a register array:  
Reg[reg\_name] means value stored at the particular register

Note: the normal mode is a special case of displacement mode in which  $D = 0$

# Simple Addressing Modes - swap () Examples

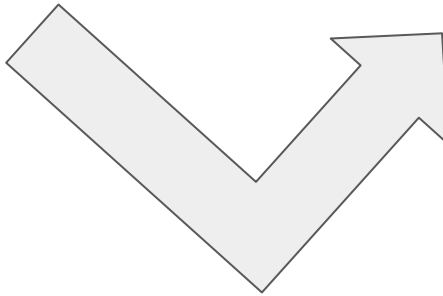
```
void swap (long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

```
swap:
    movq    (%rdi), %rax
    movq    (%rsi), %rdx
    movq    %rdx, (%rdi)
    movq    %rax, (%rsi)
    ret
```

**swap.c**

**swap.s**

**gcc -S -Og swap.c**

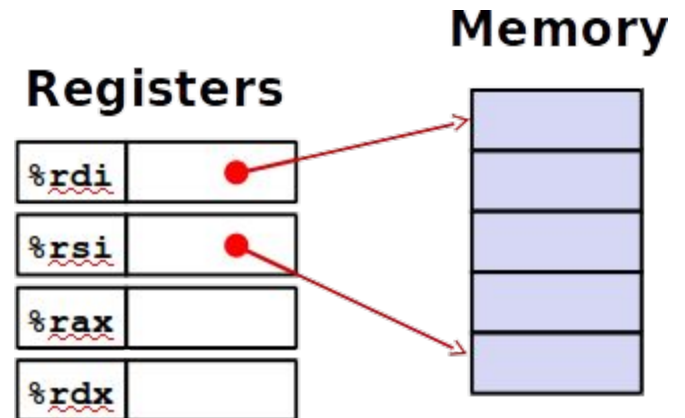


# Understanding swap ()

```
void swap (long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

## Register Value

<b>%rdi</b>	<b>xp</b>
<b>%rsi</b>	<b>yp</b>
<b>%rax</b>	<b>t0</b>
<b>%rdx</b>	<b>t1</b>



```
swap:
    movq    (%rdi), %rax
    movq    (%rsi), %rdx
    movq    %rdx, (%rdi)
    movq    %rax, (%rsi)
    ret
```

# Understanding `swap()`

## Registers

<code>%rdi</code>	<code>0x120</code>
<code>%rsi</code>	<code>0x100</code>
<code>%rax</code>	
<code>%rdx</code>	

## Memory

	Address
123	0x120
	0x118
	0x110
	0x108
456	0x100

```
swap:
    movq    (%rdi), %rax    # t0 = *xp
    movq    (%rsi), %rdx    # t1 = *yp
    movq    %rdx, (%rdi)    # *xp = t1
    movq    %rax, (%rsi)    # *yp = t0
    ret
```

# Understanding `swap()`

## Registers

<u><code>%rdi</code></u>	<code>0x120</code>
<u><code>%rsi</code></u>	<code>0x100</code>
<u><code>%rax</code></u>	<b><code>123</code></b>
<u><code>%rdx</code></u>	

## Memory

	Address
123	<code>0x120</code>
	<code>0x118</code>
	<code>0x110</code>
	<code>0x108</code>
456	<code>0x100</code>

```
swap:
```

```
    movq    (%rdi), %rax    # t0 = *xp  
    movq    (%rsi), %rdx    # t1 = *yp  
    movq    %rdx, (%rdi)    # *xp = t1  
    movq    %rax, (%rsi)    # *yp = t0  
    ret
```

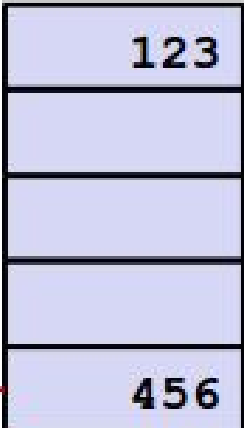
# Understanding `swap()`

## Registers

<code>%rdi</code>	0x120
<code>%rsi</code>	0x100
<code>%rax</code>	123
<code>%rdx</code>	456

## Memory

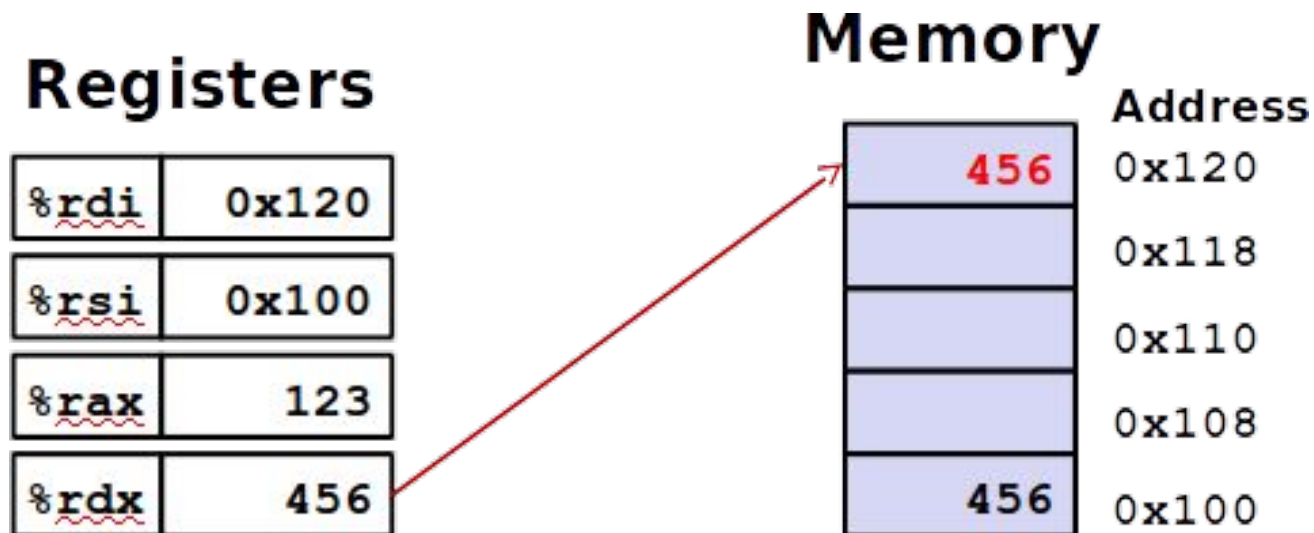
Address
0x120
0x118
0x110
0x108
0x100



```
swap:
```

```
    movq    (%rdi), %rax    # t0 = *xp  
    movq    (%rsi), %rdx   # t1 = *yp  
    movq    %rdx, (%rdi)   # *xp = t1  
    movq    %rax, (%rsi)   # *yp = t0  
    ret
```

# Understanding `swap()`



```
swap:
    movq    (%rdi), %rax    # t0 = *xp
    movq    (%rsi), %rdx    # t1 = *yp
    movq    %rdx, (%rdi)    # *xp = t1
    movq    %rax, (%rsi)    # *yp = t0
    ret
```

# Understanding `swap()`

## Registers

<code>%rdi</code>	<code>0x120</code>
<code>%rsi</code>	<code>0x100</code>
<code>%rax</code>	<code>123</code>
<code>%rdx</code>	<code>456</code>

## Memory

	Address
456	<code>0x120</code>
	<code>0x118</code>
	<code>0x110</code>
	<code>0x108</code>
123	<code>0x100</code>



```
swap:
    movq    (%rdi), %rax    # t0 = *xp
    movq    (%rsi), %rdx   # t1 = *yp
    movq    %rdx, (%rdi)   # *xp = t1
    movq    %rax, (%rsi)   # *yp = t0
    ret
```



# Simple Memory Addressing Modes

- Normal (R) Mem[Reg[R]]
  - Register R specifies memory address
  - **Pointer dereferencing in C**

```
movq (%rcx),%rax
```

- Displacement D(R) Mem[Reg[R]+D]
  - Register R specifies start of memory region
  - Constant displacement D specifies offset

```
movq 8(%rbp),%rdx
```

**Mem** - think of as a memory array:  
Mem[address] means value stores at the particular memory address.

**Reg** - think of as a register array:  
Reg[reg\_name] means value stored at the particular register

Note: the normal mode is a special case of displacement mode in which  $D = 0$

# Complete Memory Addressing Modes

- Most General Form

$$D(Rb, Ri, S) \quad \text{Mem}[\text{Reg}[Rb] + S * \text{Reg}[Ri] + D]$$

D: Constant “displacement” 1, 2, or 4 bytes

Rb: Base register: Any of 16 integer registers

Ri: Index register: Any, except for %rsp

S: Scale: 1, 2, 4, or 8 (why these numbers?)

- Special Cases

$$(Rb, Ri) \quad \text{Mem}[\text{Reg}[Rb] + \text{Reg}[Ri]]$$

$$D(Rb, Ri) \quad \text{Mem}[\text{Reg}[Rb] + \text{Reg}[Ri] + D]$$

$$(Rb, Ri, S) \quad \text{Mem}[\text{Reg}[Rb] + S * \text{Reg}[Ri]]$$

# Address Computation Examples

<u>%rdx</u>	0xf000
%rcx	0x0100

Expression	Address Computation	Address
0x8(%rdx)	$0xf000 + 0x8$	0xf008
(%rdx,%rcx)	$0xf000 + 0x100$	0xf100
(%rdx,%rcx,4)	$0xf000 + 4*0x100$	0xf400
0x80(,%rdx,2)	$2*0xf000 + 0x80$	0x1e080

# Logical and Arithmetic Operations

# Address Computation Instruction

- **leaq Src, Dst**

- load effective address
- Src is address mode expression
- Set Dst to address denoted by expression

- **Uses**

- Computing addresses without a memory reference (for array or structure offsets)
  - E.g., translation of `p = &x[i];`
- Computing arithmetic expressions of the form  $x + k*y$ 
  - $k = 1, 2, 4, \text{ or } 8$

- **Example**

**file: leaq\_example.c**

```
long m12 (long x) {  
    return x*12;  
}
```

create object code using  
`gcc -Og -S leaq_example.c`



```
leaq(%rdi,%rdi,2), %rax  
    # t = x+x*2  
salq$2, %rax  
    # return t<<2
```

# Arithmetic Operations

- Two Operand Instructions:

<u>Format</u>	<u>Computation</u>	
<b>addq Src, Dest</b>	$Dest = Dest + Src$	
<b>subq Src, Dest</b>	$Dest = Dest - Src$	
<b>imulq Src, Dest</b>	$Dest = Dest * Src$	
<b>salq Src, Dest</b>	$Dest = Dest \ll Src$	□ also called shlq
<b>sarq Src, Dest</b>	$Dest = Dest \gg Src$	□ arithmetic
<b>shrq Src, Dest</b>	$Dest = Dest \gg Src$	□ logical
<b>xorq Src, Dest</b>	$Dest = Dest \wedge Src$	
<b>andq Src, Dest</b>	$Dest = Dest \& Src$	
<b>orq Src, Dest</b>	$Dest = Dest   Src$	

- Watch out for argument order!
- No distinction between signed and unsigned int (why?)
- See page 192 in the book

# Arithmetic Operations

- One Operand Instructions:

<u>Format</u>		<u>Computation</u>
<b>incq</b>	<b>Dest</b>	$Dest = Dest + 1$
<b>decq</b>	<b>Dest</b>	$Dest = Dest - 1$
<b>negq</b>	<b>Dest</b>	$Dest = -Dest$
<b>notq</b>	<b>Dest</b>	$Dest = \sim Dest$

- Watch out for argument order!
- No distinction between signed and unsigned int (why?)
- See page 192 in the book

# Example: arithmetic expression

```
long arith (long x, long y, long z)
{
    long t1 = x+y;
    long t2 = z+t1;
    long t3 = x+4;
    long t4 = y * 48;
    long t5 = t3 + t4;
    long rval = t2 * t5;
    return rval;
}
```

```
arith:
    leaq(%rdi,%rsi), %rax
    addq %rdx, %rax
    leaq(%rsi,%rsi,2), %rcx
    salq $4, %rcx
    leaq 4(%rdi,%rcx), %rcx
    imulq %rcx, %rax
    ret
```



# Example: arithmetic expression

```
long arith (long x, long y, long z)
{
    long t1 = x+y;
    long t2 = z+t1;
    long t3 = x+4;
    long t4 = y * 48;
    long t5 = t3 + t4;
    long rval = t2 * t5;
    return rval;
}
```

```
arith:
    leaq(%rdi,%rsi), %rax    #t1
    addq %rdx, %rax         #t2
    leaq(%rsi,%rsi,2), %rcx
    salq $4, %rcx          #t4
    leaq 4(%rdi,%rcx), %rcx #t5
    imulq %rcx, %rax       #rval
    ret
```

Register	Use(s)
<b>%rdi</b>	Argument <b>x</b>
<b>%rsi</b>	Argument <b>y</b>
<b>%rdx</b>	Argument <b>z</b>
<b>%rax</b>	<b>t1, t2, rval</b>
<b>%rdx</b>	<b>t4</b>
<b>%rcx</b>	<b>t5</b>