

3. Top-Down Syntax Analysis

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Compiler Construction (CSCI-GA.2130-001)

<http://cs.nyu.edu/courses/fall14/CSCI-GA.2130-001/lecture-3.pdf>

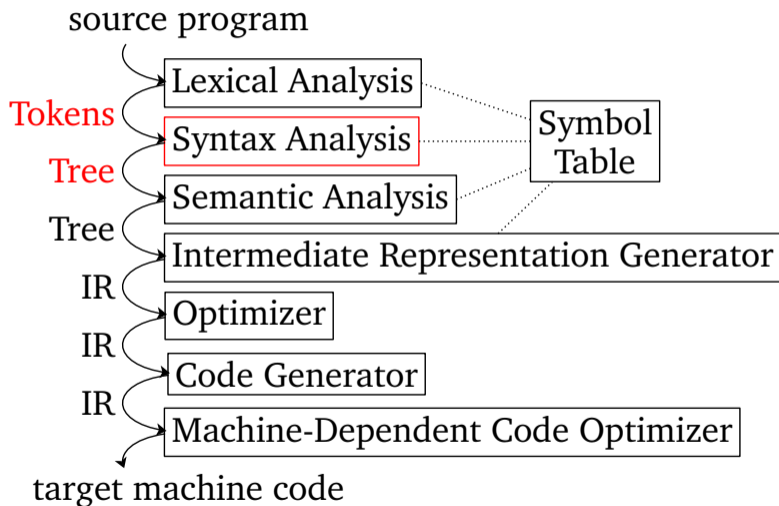
September 18, 2014



- 1 Context
- 2 Syntax Definitions
- 3 Parsers
- 4 Context-Free Grammars
- 5 Top-Down Parsing
 - FIRST and FOLLOW
 - Predictive Parsing
- 6 HACS as a Parser Generator



Second compilation phase



Example

`position = initial + rate * 60`

scanned into list of *tokens*:

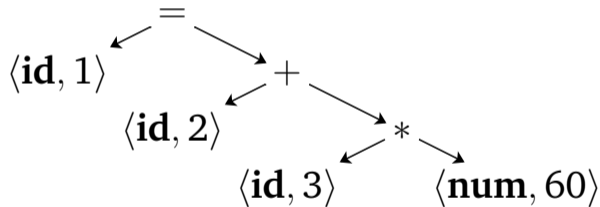
`<id, 1> <=> <id, 2> <+> <id, 3> <*> <num, 60>`

1	position
2	initial
3	rate



Example

parsed into *syntax tree*:



according to *precedence rules*...



Example

Some tree-structuring syntax rules:

- ▶ block indentation rules (Python)
- ▶ block delimiters like `{...}` (Java, C)
- ▶ grouping rules like `(...)` (most languages)
- ▶ built-in algebraic precedence rules (most languages)
- ▶ statements vs expressions ...



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How do we specify language syntax?

- ▶ Context-free grammar
- ▶ special notation (BNF)
- ▶ Set of rules (productions)

Example:

```
if (x=2) print("yep"); else print("nope");
```

Corresponds to a rule:

$$stm \rightarrow \text{if } (expr) \text{ } stm \text{ else } stm$$


How do we specify language syntax?

- ▶ Context-free grammar
- ▶ special notation (BNF)
- ▶ Set of rules (productions)

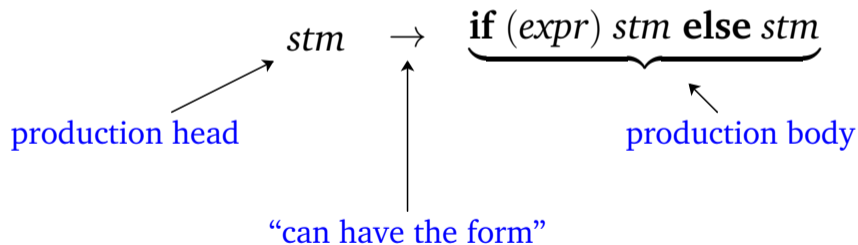
Example:

```
if (x=2) print("yep"); else print("nope");
```

Corresponds to a rule:

$$stm \rightarrow \mathbf{if} (expr) stm \mathbf{else} stm$$


Production rules



Production rules

$$stm \rightarrow \mathbf{if} (expr) stm \mathbf{else} stm$$

Nonterminals need more rules to define them.

Terminals are well defined, no more rules define them.



Components of context-free grammar.

- 1 Set of **terminal** symbols.
- 2 Set of **nonterminal** symbols.
- 3 Set of **productions**.
 - ▶ The head is non-terminal.
 - ▶ The body is a sequence of terminals and non-terminals.
- 4 Designation of one nonterminal as the **starting symbol**.



Example

$$\textit{list} \rightarrow \textit{list} + \textit{digit}$$
$$\textit{list} \rightarrow \textit{list} - \textit{digit}$$
$$\textit{list} \rightarrow \textit{digit}$$
$$\textit{digit} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$$

- 1 What are the terminals here?
- 2 What are the nonterminals?
- 3 What does the grammar generate?



Derivations

Derivation algorithm:

- ▶ given the grammar (production rules),
- ▶ begin with the start symbols,
- ▶ repeatedly replace nonterminals (head) with their bodies,
- ▶ the generated set of terminals defines **the language** of that grammar.

Example: **How do we *derive* the string $9 - 5 + 7$?**



Derivations

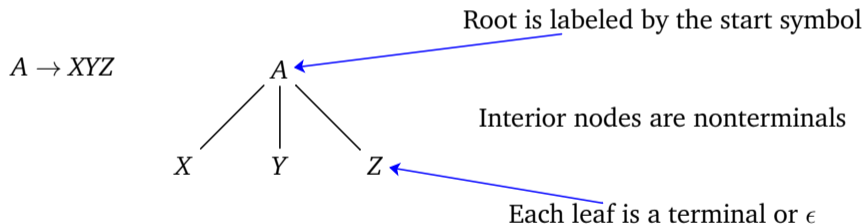
$$\begin{aligned} list &\rightarrow list + digit \mid list - digit \mid digit \\ digit &\rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9 \end{aligned}$$

Deriving the string $9 - 5 + 7$:

$$\begin{aligned} list &\Rightarrow list + digit \Rightarrow list - digit + digit \Rightarrow digit - digit + digit \\ &\Rightarrow 9 - digit + digit \Rightarrow 9 - 5 + digit \Rightarrow 9 - 5 + 7 \end{aligned}$$


Parsing

Given a string of terminals, figure out how to picture a tree from the start symbol of the grammar where all the terminals are at the leaves.



The process of finding such a “grammar” tree is called **parsing**. The “grammar” tree is called a **parse tree**.



Exercise

$$\begin{aligned} list &\rightarrow list + digit \mid list - digit \mid digit \\ digit &\rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9 \end{aligned}$$

Derive a **parse tree** for the string $9 - 5 + 7$.



Example

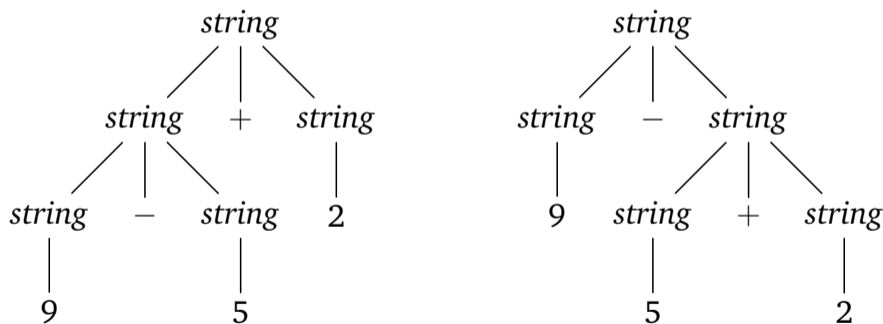
$string \rightarrow string + string \mid string - string \mid 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

What about a [parse tree](#) for the string $9 - 5 + 7$ with this grammar?



Ambiguity

A grammar is **ambiguous** if it has **more than one parse tree** generating the same string of terminals.



Two parse trees for $9 - 5 + 2$. Which is right?



Examples

Are any of the following grammars ambiguous?

$$① \quad S \rightarrow + S S \mid - S S \mid a$$

$$② \quad S \rightarrow S (S) S \mid \epsilon$$



Associativity of operators

How will you evaluate $9-5-2$?

- ▶ If 5 goes with the ‘-’ on the left: $(9-5)-2$ we say the operator is **left associative**.
- ▶ If 5 goes with the ‘-’ on the right: $9-(5-2)$ we say the operator is **right associative**.



Associativity of operators

How do we express associativity in production rules?

- ▶ Left associative $(9-5)-2$:

$$\textit{term} \rightarrow \textit{term} - \textit{digit} \mid \textit{digit}$$
$$\textit{digit} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$$

- ▶ Right associative $9-(5-2)$:

$$\textit{term} \rightarrow \textit{digit} - \textit{term} \mid \textit{digit}$$
$$\textit{digit} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$$


Precedence of operators

What if operators are different, e.g., $9 - 5 * 2$?

- ▶ If ‘*’ takes operands before ‘–’, it is said to have **higher precedence**.
- ▶ Another example: logical operators...



Precedence of operators

How to present precedence in productions?

$$\textit{expr} \rightarrow \textit{expr} + \textit{term} \mid \textit{expr} - \textit{term} \mid \textit{term}$$
$$\textit{term} \rightarrow \textit{term} * \textit{factor} \mid \textit{term} / \textit{factor} \mid \textit{factor}$$
$$\textit{factor} \rightarrow \mathbf{\textit{digit}} \mid (\textit{expr})$$

The example shows both operator precedence ($*$, $/$ over $+$, $-$) and left associativity.

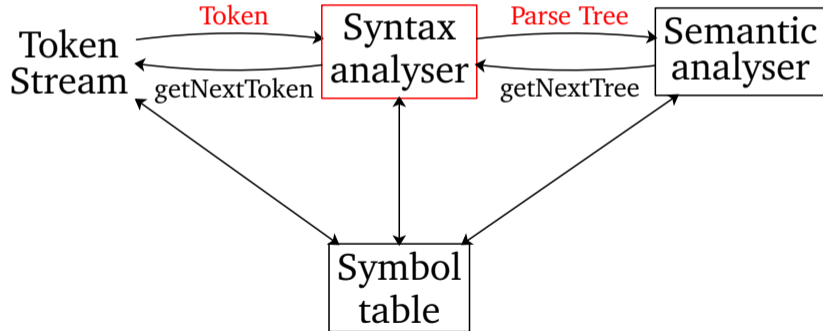
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*Lexical
Analysis*

*Syntax
Analysis*

*Semantic
Analysis*



Parsing

The parsing task:

- ▶ We have: set of grammar productions.
- ▶ We have: string of terminals for the grammar.
- ▶ We need: find a parse tree that generates the string.



Example: parsing

Given these grammar productions:

$$\begin{aligned} \textit{stmt} &\rightarrow \mathbf{expr} \\ &| \mathbf{if}(\mathbf{expr}) \textit{stmt} \\ &| \mathbf{for}(\textit{optexpr}; \textit{optexpr}; \textit{optexpr}) \textit{stmt} \\ &| \mathbf{other} \\ \textit{optexpr} &\rightarrow \mathbf{expr} \mid \epsilon \end{aligned}$$

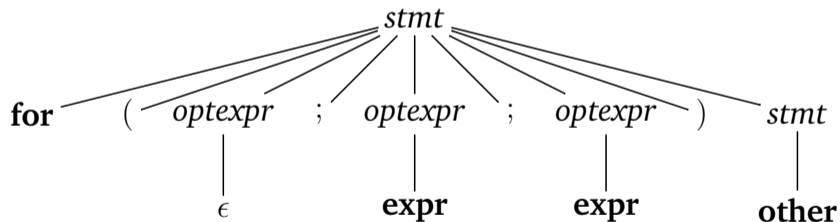
and this string:

for (ϵ ; \mathbf{expr} ; \mathbf{expr}) other



Example: parsing

How do we generate this parse tree in an operational manner?



Construction of a parse tree

Three general kinds of parsers:

Universal from any grammar, but too inefficient.

Bottom-up identifying the string symbols as terminals,
constructing the tree from leaves to root.

Top-down beginning with the start symbol as the root,
constructing the tree from root to leaves.



Definition

Given an input string **top-down parsing** is defined as follows:

- ▶ **Start naming the root** with the starting (nonterminal) symbol.
- ▶ Repeat whilst scanning the input string, **one symbol at a time**:
 - 1 At node N labeled with nonterminal A: *select a production for A* and construct children at N with the symbols in the production body.
 - 2 **Find the next unexpanded node/nonterminal**, typically the leftmost unexpanded nonterminal in the tree

“*select a production for A*” is guided by the current input symbol.



Example

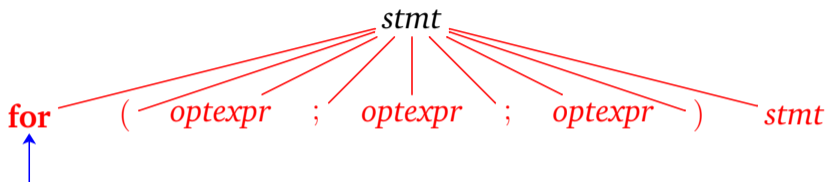
stmt



for (; **expr** ; **expr**) **other**



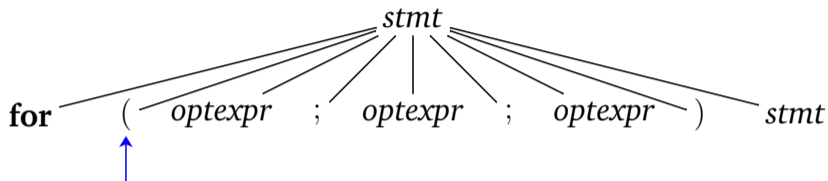
Example



for (; **expr** ; **expr**) **other**



Example

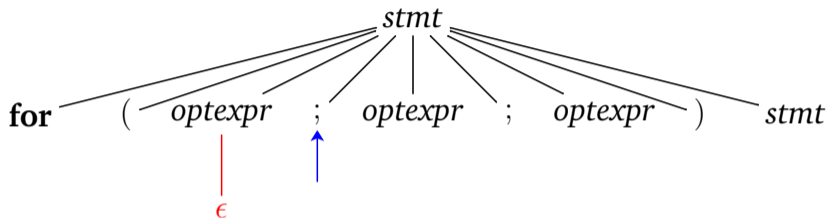


`for` `(` `;` `expr` `;` `expr` `)` `other`

A blue arrow points to the opening parenthesis `(`.



Example

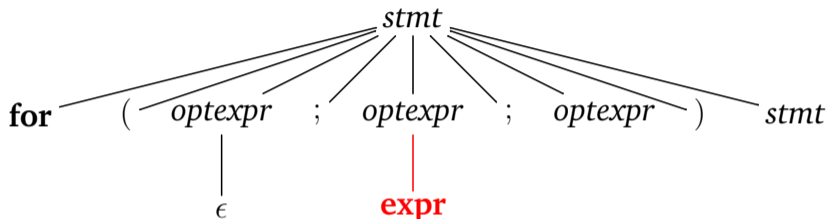


for (; **expr** ; **expr**) **other**

A blue vertical arrow points to the **;** between the first **(** and **expr**.



Example

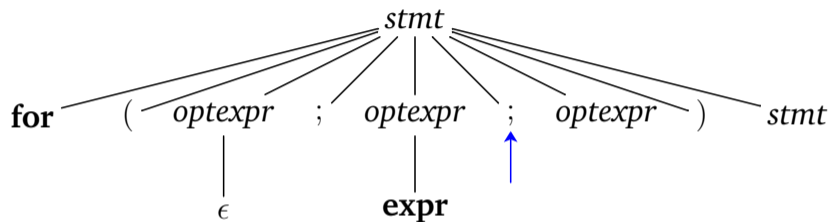


`for` `(` `;` `expr` `;` `expr` `)` `other`

A blue arrow points up from the `expr` node to its parent `optexpr` node.



Example

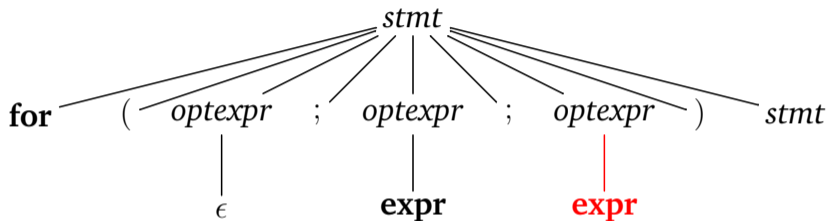


for (; expr ; expr) other

↑



Example

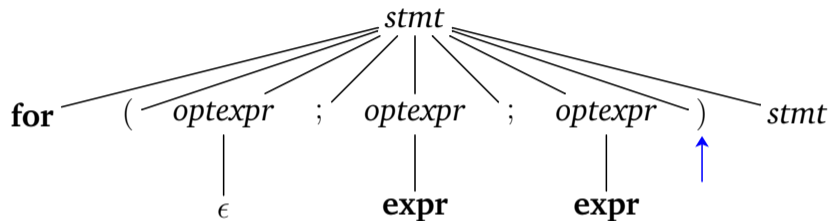


`for` `(` `;` `expr` `;` `expr` `)` `other`

A blue arrow points upwards from the `expr` in the input string to the `expr` node in the parse tree above.



Example

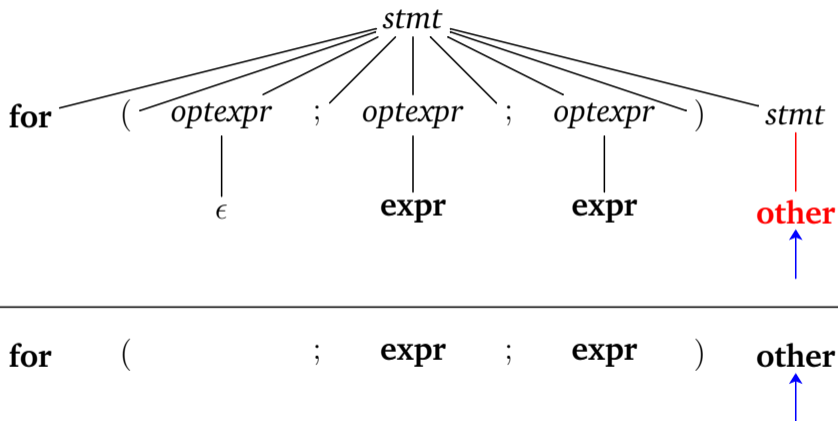


for (; expr ; expr) other

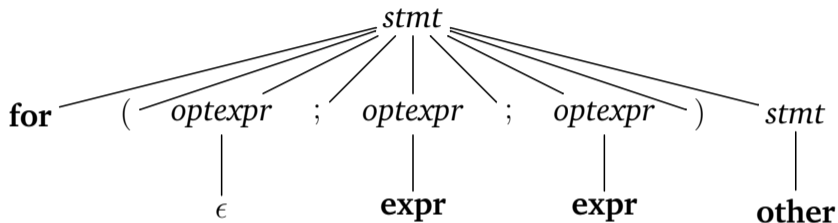
↑



Example



Example



for (; expr ; expr) other



Parsing

Sometimes choosing the right production involves trial and error, whence backtracking!



Parsing

Predictive parsing: NO backtracking!

- ▶ Part of a category called **recursive-descent parsing** are top-down methods, based on recursive procedures.
- ▶ The lookahead symbol **unambiguously** determines the flow of control.



Parsing

Designing a predictive parser.

- ▶ A procedure is designed for every nonterminal/production.
- ▶ Examination of the lookahead symbol chooses a production.
- ▶ No conflict between two bodies with the same head may occur.
- ▶ The procedure mimics the body of the chosen production:
 - ▶ nonterminals are procedure calls,
 - ▶ terminals are matched and lookahead is advanced.



Left recursion: a problem!

Left recursive grammar:

$$\mathit{expr} \rightarrow \mathit{expr} + \mathit{term} \mid \mathit{term}$$

Eliminating left recursion:

$$\begin{aligned} \mathit{expr} &\rightarrow \mathit{term} \mathit{factor} \\ \mathit{factor} &\rightarrow + \mathit{term} \mathit{factor} \mid \epsilon \end{aligned}$$

Both generating: term , $\mathit{term} + \mathit{term}$, $\mathit{term} + \mathit{term} + \mathit{term}$, ...



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Context Free Grammars, revisited

Start symbol, Nonterminals, Terminals, Productions

expression \rightarrow *expression* + *term*

expression \rightarrow *expression* - *term*

expression \rightarrow *term*

term \rightarrow *term* * *factor*

term \rightarrow *term* / *factor*

term \rightarrow *factor*

factor \rightarrow (*expression*)

factor \rightarrow **id**

Derivations, revisited

- ▶ Start with start symbol.
- ▶ Each step: a nonterminal is replaced with the body of a production.

Example:

$$E \rightarrow E + E \mid E * E \mid - E \mid (E) \mid \mathbf{id}$$

Deriving $\mathbf{-(id + id)}$

$$E \Rightarrow -E \Rightarrow -(E + E) \Rightarrow -(\mathbf{id} + E) \Rightarrow -(\mathbf{id} + \mathbf{id})$$



Derivations, revisited

\Rightarrow means derive in one step.

\Rightarrow^* means derive in zero or more steps.

\Rightarrow^+ means derive in one or more steps.

- 1 $\alpha \Rightarrow^* \alpha$ for any string α (reflective).
- 2 $\alpha \Rightarrow^* \beta$, and $\beta \Rightarrow^* \gamma$, then $\alpha \Rightarrow^* \gamma$ (transitive).
- 3 Same properties for \Rightarrow^+ .



Derivations, revisited

- ▶ **Leftmost derivations:** the leftmost nonterminal in each sentential is always chosen. $\alpha \Rightarrow_{lm} \beta$
- ▶ **Rightmost derivations:** the rightmost nonterminal in each sentential is always chosen. $\alpha \Rightarrow_{rm} \beta$



Example

$$S \rightarrow SS + \mid SS * \mid a$$

and the string **aa+a***

- 1 Give leftmost derivation for the string.
- 2 Give rightmost derivation for the string.
- 3 Give parse tree for the string.



Parse trees and derivations

Relationship:

- ▶ parse trees are graphical representations of derivations,
- ▶ parse trees filter out the order of nonterminal replacements,
- ▶ many-to-one relationship between derivations and parse trees.



Example

$$E \rightarrow E + E \mid E * E \mid - E \mid (E) \mid \mathbf{id}$$

The string $-(\mathbf{id} + \mathbf{id})$ has **two** derivations:

- ▶ $E \Rightarrow -E \Rightarrow -(E) \Rightarrow -(E + E) \Rightarrow -(\mathbf{id} + E) \Rightarrow -(\mathbf{id} + \mathbf{id})$
- ▶ $E \Rightarrow -E \Rightarrow -(E) \Rightarrow -(E + E) \Rightarrow -(E + \mathbf{id}) \Rightarrow -(\mathbf{id} + \mathbf{id})$

...but **one** parse tree!



Context-free grammars vs regular expressions

- ▶ Grammars are more powerful notations than regular expressions.

Every construct that can be described by a regular expression can be described by a grammar, but not vice versa.

Example:

$$\{a^n b^n \mid n \geq 1\}$$

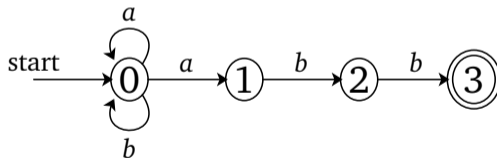


From RE to NFA

A regular expression:

$$(a|b)^*abb$$

as NFA:



From NFA to context-free grammar

Now apply the conversion algorithm:

- 1 For each state i of NFA, create nonterminal A_i .
- 2 If state i has transition to state j on input a , add the production $A_i \rightarrow aA_j$. If transition happens on ϵ , add the production $A_i \rightarrow A_j$.
- 3 If i is an accepting state, add $A_i \rightarrow \epsilon$.
- 4 If i is start state, make A_i the start symbol of the grammar.

which renders:

$$A_0 \rightarrow \mathbf{a}A_0 \mid \mathbf{b}A_0 \mid aA_1$$

$$A_1 \rightarrow \mathbf{b}A_2$$

$$A_2 \rightarrow \mathbf{b}A_3$$

$$A_3 \rightarrow \epsilon$$



Relevant question

If grammars are so much more powerful than regular expressions, why not use them during lexical analysis?

- ▶ Lexical (token) descriptions are quite simple patterns.
- ▶ REs easier to understand for simple patterns.
- ▶ Easier to generate an efficient lexical analyser from a simple REs.



How can we enhance our grammar?

- 1 Eliminate ambiguity.
- 2 Eliminate left-recursion.
- 3 Left factoring.



Eliminating ambiguity

Sometimes we can re-write the grammar to eliminate ambiguity.

$$\begin{aligned}
 stmt &\rightarrow \mathbf{if\ expr\ then\ stmt} \\
 &\quad | \mathbf{if\ expr\ then\ stmt\ else\ stmt} \\
 &\quad | \mathbf{other}
 \end{aligned}
 \tag{4.14}$$

consider the parse trees for:

if expr then if expr then stmt else stmt

How can we fix it?

Eliminating ambiguity

$$\textit{stmt} \rightarrow \textit{matched_stmt}$$
$$| \textit{open_stmt}$$
$$\textit{matched_stmt} \rightarrow \mathbf{if\ expr\ then\ matched_stmt\ else\ matched_stmt}$$
$$| \mathbf{other}$$
$$\textit{open_stmt} \rightarrow \mathbf{if\ expr\ then\ stmt}$$
$$| \mathbf{if\ expr\ then\ matched_stmt\ else\ open_stmt}$$


Eliminating Left-Recursion

$A \rightarrow A\alpha \mid \beta$ can be rewritten:

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

$A \rightarrow A\alpha_1 \mid \dots \mid A\alpha_m \mid \beta_1 \mid \dots \mid \beta_n$ can be rewritten:

$$A \rightarrow \beta_1 A' \mid \dots \mid \beta_n A'$$

$$A' \rightarrow \alpha_1 A' \mid \dots \mid \alpha_m A' \mid \epsilon$$



Example: Eliminating Left-Recursion

How about something like:

$$\begin{aligned} S &\rightarrow A a \mid b \\ A &\rightarrow A c \mid S d \mid \epsilon \end{aligned} \quad (4.18)$$

Second line can be rewritten: $A \rightarrow A c \mid A a d \mid b d \mid \epsilon$

Resulting in:

$$\begin{aligned} S &\rightarrow A a \mid b \\ A &\rightarrow b d A' \mid A' \\ A' &\rightarrow c A' \mid a d A' \mid \epsilon \end{aligned}$$



Left Factoring

A way to delay the decision of what production rule to expand by.

General rule: $A \rightarrow \alpha \beta_1 \mid \alpha \beta_2$ rewritten to: $A \rightarrow \alpha A'$
 $A' \rightarrow \beta_1 \mid \beta_2$

Example:

$$\begin{aligned} stmt &\rightarrow \mathbf{if\ expr\ then\ stmt\ else\ stmt} \\ &\quad | \mathbf{if\ expr\ then\ stmt} \end{aligned}$$

rewritten to:

$$\begin{aligned} stmt &\rightarrow \mathbf{EXP\ else\ stmt} \mid \mathbf{EXP} \\ \mathbf{EXP} &\rightarrow \mathbf{if\ expr\ then\ stmt} \end{aligned}$$


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Top-Down Parsing

Once grammar is on its optimal form:

- 1 Constructing a parse tree, for an input string, starting at the root:
 - ▶ parse tree build in preorder (depth-first).
- 2 Finding a left-most derivation.
- 3 At each step of the top-down parse:
 - ▶ determine which production to be applied,
 - ▶ matching terminal symbols in the production body with the input string symbols.



Example

$$\begin{aligned}
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid \mathbf{id}
 \end{aligned}
 \tag{4.1}$$

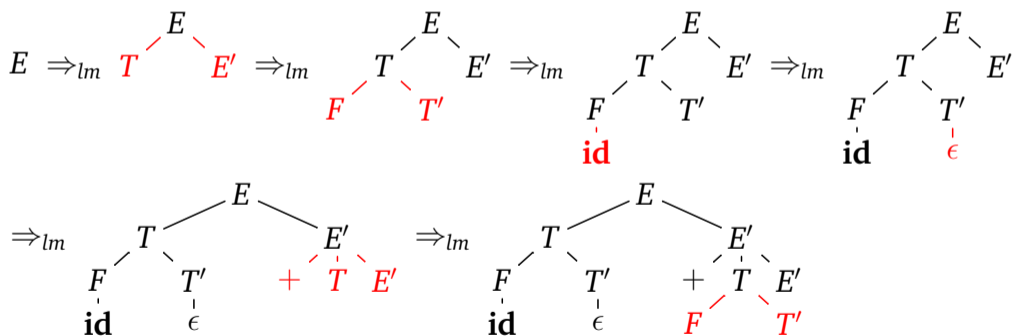
Left-recursion elimination:

$$\begin{aligned}
 E &\rightarrow T E' \\
 E' &\rightarrow + T E' \mid \epsilon \\
 T &\rightarrow F T' \\
 T' &\rightarrow * F T' \mid \epsilon \\
 F &\rightarrow (E) \mid \mathbf{id}
 \end{aligned}
 \tag{4.2}$$

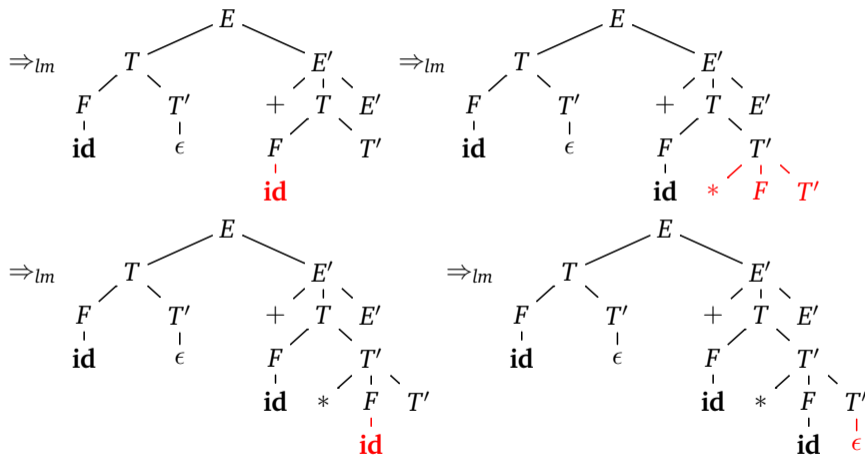


Example: Top-Down Parsing

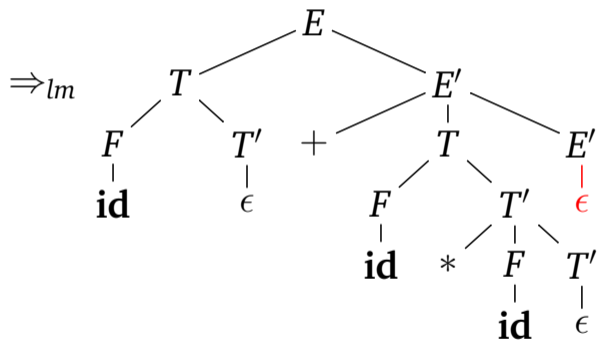
Consider the string: **id + id * id**



Example: Top-Down Parsing



Example: Top-Down Parsing



Top-Down parser categories

Recursive-Descent Parser general form of top-down parsers.

- ▶ May require backtracking.

Predictive Parser special case of recursive-descent parsers.

- ▶ No backtracking necessary.
- ▶ Constructed from LL(k) grammars, $k \geq 1$.

An **LL(k) grammar** implies scanning input from **L**eft, retrieving **L**eftmost derivation, using **k** lookahead symbols to reach a resolution.



Choosing the right production

Key parsing problem: determining the production to be applied for some nonterminal A.

FIRST and **FOLLOW** helps choosing the production based on the next input symbol.



Definition: FIRST and FOLLOW

Definition

Define $\text{FIRST}(\alpha)$, where α is any string of grammar symbols, to be the set of terminals that begin strings derived from α . If $\alpha \xRightarrow{*} \epsilon$, then ϵ is also in $\text{FIRST}(\alpha)$.

Definition

Define $\text{FOLLOW}(A)$, for non-terminal A , to be the set of terminals a that can appear immediately to the right of A in some sentential form; that is, the set of terminals a such that there exists a derivation of the form $S \xRightarrow{*} \alpha A a \beta$ for some α and β .



Algorithm: FIRST Set for a Grammar

Repeat for each production until a **fixed point** (with stable sets) is reached:

- 1 If X is a terminal, then $\text{FIRST}(X) = \{X\}$
- 2 If X is a nonterminal and $X \rightarrow Y_1 \dots Y_k$, $k \geq 1$, $a \in \text{FIRST}(X)$ if $a \in \text{FIRST}(Y_i)$, where ϵ is in all of $\text{FIRST}(Y_1) \dots \text{FIRST}(Y_{i-1})$; that is, $Y_1 \dots Y_{i-1} \xRightarrow{*} \epsilon$. If $\epsilon \in \text{FIRST}(Y_j)$, for all $j = 1, \dots, k$, $k \geq 1$, then add ϵ to $\text{FIRST}(X)$.
- 3 If $X \rightarrow \epsilon$ is a production, then add ϵ to $\text{FIRST}(X)$.



Algorithm: FOLLOW Set for a Grammar

Repeat for each production until a **fixed point** (with stable sets) is reached:

- 1 Place $\$$ in $\text{FOLLOW}(S)$, where S is the start symbol, and $\$$ is the input right endmarker.
- 2 If there is a production $A \rightarrow \alpha B \beta$, then everything in $\text{FIRST}(\beta)$ except ϵ is in $\text{FOLLOW}(B)$.
- 3 If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where $\text{FIRST}(\beta)$ contains ϵ , then everything in $\text{FOLLOW}(A)$ is in $\text{FOLLOW}(B)$.



Example

	FIRST	FOLLOW
$E \rightarrow T E'$	(id) \$
$E' \rightarrow + T E' \mid \epsilon$	+ ϵ) \$
$T \rightarrow F T'$	(id	+) \$
$T' \rightarrow * F T' \mid \epsilon$	* ϵ	+) \$
$F \rightarrow (E) \mid \mathbf{id}$	(id	* +) \$



LL(1)—Left (to right) Leftmost (derivation) with 1 (input symbol)

Three requirements for productions $A \rightarrow \alpha \mid \beta$:

- 1 $\text{FIRST}(\alpha)$ and $\text{FIRST}(\beta)$ are disjoint (including for ϵ).
- 2 If $\epsilon \in \text{FIRST}(\alpha)$ then $\text{FIRST}(\beta)$ and $\text{FOLLOW}(A)$ are disjoint.
- 3 If $\epsilon \in \text{FIRST}(\beta)$ then $\text{FIRST}(\alpha)$ and $\text{FOLLOW}(A)$ are disjoint.



Example (why is left recursion a problem?)

	FIRST	FOLLOW
$E \rightarrow E + T \mid T$	(id	+) \$
$T \rightarrow T * F \mid F$	(id	+ *) \$
$F \rightarrow (E) \mid \mathbf{id}$	(id	+ *) \$

We cannot decide how to parse from the first symbol!



Example (why is left recursion a problem?)

	FIRST	FOLLOW
$E \rightarrow E + T \mid T$	(id	+) \$
$T \rightarrow T * F \mid F$	(id	+ *) \$
$F \rightarrow (E) \mid \mathbf{id}$	(id	+ *) \$

We cannot decide how to parse from the first symbol!



Predictive Parsing Table

Input: LL(1) grammar G .

Output: Parsing table M .

Method: For each production $A \rightarrow \alpha$ in the grammar:

- 1 For each $a \in \text{FIRST}(\alpha)$ add $A \rightarrow \alpha$ to $M[A, a]$.
- 2 If $\epsilon \in \text{FIRST}(\alpha)$ then for each $b \in \text{FOLLOW}(A)$ add $A \rightarrow \alpha$ to $M[A, b]$. (If $\epsilon \in \text{FIRST}(\alpha)$ and $\$ \in \text{FOLLOW}(A)$ then add $A \rightarrow \alpha$ to $M[A, \$]$.)

Any entries $M[A, a]$ that have no content are set to **error**.



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT

STACK

OUTPUT



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT

STACK

OUTPUT

id + id * id\$

E \$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
$\mathbf{id} + \mathbf{id} * \mathbf{id}\$$	$E \$$	
$\mathbf{id} + \mathbf{id} * \mathbf{id}\$$	$TE' \$$	$E \rightarrow TE'$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
id + id * id\$	$E \$$	
id + id * id\$	$TE' \$$	$E \rightarrow TE'$
id + id * id\$	$FT'E' \$$	$T \rightarrow FT'$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
id + id * id\$	$TE' \$$	$E \rightarrow TE'$
id + id * id\$	$FT' E' \$$	$T \rightarrow FT'$
id + id * id\$	id $T' E' \$$	$F \rightarrow \text{id}$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
$\mathbf{id} + \mathbf{id} * \mathbf{id} \$$	$FT' E' \$$	$T \rightarrow FT'$
$\mathbf{id} + \mathbf{id} * \mathbf{id} \$$	$\mathbf{id} T' E' \$$	$F \rightarrow \mathbf{id}$
$+ \mathbf{id} * \mathbf{id} \$$	$T' E' \$$	



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$				$E \rightarrow TE'$	
E'		$E' \rightarrow +TE'$				$E' \rightarrow \epsilon$ $E' \rightarrow \epsilon$
T	$T \rightarrow FT'$				$T \rightarrow FT'$	
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$			$T' \rightarrow \epsilon$ $T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$				$F \rightarrow (E)$	

INPUT	STACK	OUTPUT
id + id * id\$	id T' E' \$	$F \rightarrow \mathbf{id}$
+ id * id\$	T' E' \$	
+ id * id\$	T' E' \$	$T' \rightarrow \epsilon$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
+ id * id \$	$T' E' \$$	
+ id * id \$	$T' E' \$$	$T' \rightarrow \epsilon$
+ id * id \$	$E' \$$	$E' \rightarrow +TE'$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
+ id * id \$	$T' E' \$$	$T' \rightarrow \epsilon$
+ id * id \$	$E' \$$	$E' \rightarrow +TE'$
+ id * id \$	+ $T E' \$$	



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
+ id * id \$	$E' \$$	$E' \rightarrow +TE'$
+ id * id \$	+ $TE' \$$	
id * id \$	$TE' \$$	



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
+ id * id\$	+ TE' \$	
id * id\$	TE' \$	
id * id\$	FT' E' \$	T → FT'



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
$\mathbf{id} * \mathbf{id} \$$	$TE' \$$	
$\mathbf{id} * \mathbf{id} \$$	$FT' E' \$$	$T \rightarrow FT'$
$\mathbf{id} * \mathbf{id} \$$	$\mathbf{id} T' E' \$$	$F \rightarrow \mathbf{id}$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
$\mathbf{id} * \mathbf{id} \$$	$FT' E' \$$	$T \rightarrow FT'$
$\mathbf{id} * \mathbf{id} \$$	$\mathbf{id} T' E' \$$	$F \rightarrow \mathbf{id}$
$* \mathbf{id} \$$	$T' E' \$$	



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
$\mathbf{id * id\$}$	$\mathbf{id T' E' \$}$	$F \rightarrow \mathbf{id}$
$* \mathbf{id\$}$	$T' E' \$$	
$* \mathbf{id\$}$	$* F T' E' \$$	$T' \rightarrow *FT'$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
* id \$	$T' E' \$$	
* id \$	* $F T' E' \$$	$T' \rightarrow *FT'$
id \$	$F T' E' \$$	



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
* id \$	* $FT'E'$ \$	$T' \rightarrow *FT'$
id \$	$FT'E'$ \$	
id \$	id $T'E'$ \$	$F \rightarrow \mathbf{id}$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
$\mathbf{id}\$$	$FT'E'\$$	
$\mathbf{id}\$$	$\mathbf{id}T'E'\$$	$F \rightarrow \mathbf{id}$
$\$$	$T'E'\$$	$T' \rightarrow \epsilon$



Parser Table

NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
$\mathbf{id}\$$	$\mathbf{id}T'E'\$$	$F \rightarrow \mathbf{id}$
$\$$	$T'E'\$$	$T' \rightarrow \epsilon$
$\$$	$E'\$$	$E' \rightarrow \epsilon$



Parser Table

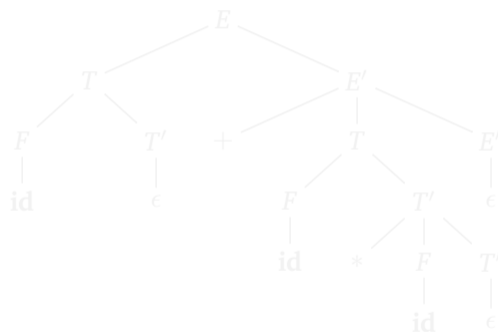
NON-TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'	$E' \rightarrow +TE'$			$E' \rightarrow \epsilon \quad E' \rightarrow \epsilon$		
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'	$T' \rightarrow \epsilon$		$T' \rightarrow *FT'$		$T' \rightarrow \epsilon \quad T' \rightarrow \epsilon$	
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

INPUT	STACK	OUTPUT
\$	$T' E' \$$	$T' \rightarrow \epsilon$
\$	$E' \$$	$E' \rightarrow \epsilon$
\$	$\$$	



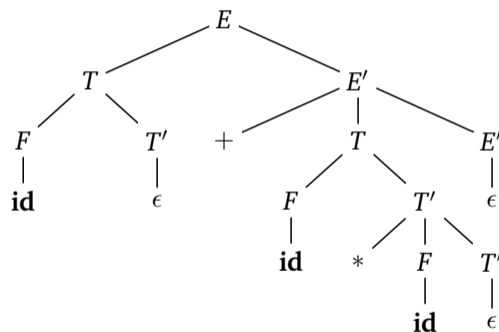
Example: Top-Down Predictive Parsing

INPUT	STACK	OUTPUT
id + id * id \$	$E \$$	
id + id * id \$	$TE' \$$	$E \rightarrow TE'$
id + id * id \$	$FT' E' \$$	$T \rightarrow FT'$
id + id * id \$	id $T' E' \$$	$F \rightarrow \text{id}$
+ id * id \$	$T' E' \$$	
+ id * id \$	$T' E' \$$	$T' \rightarrow \epsilon$
+ id * id \$	$E' \$$	$E' \rightarrow +TE'$
+ id * id \$	+ $TE' \$$	
id * id \$	$TE' \$$	
id * id \$	$FT' E' \$$	$T \rightarrow FT'$
id * id \$	id $T' E' \$$	$F \rightarrow \text{id}$
* id \$	$T' E' \$$	
* id \$	* $FT' E' \$$	$T' \rightarrow *FT'$
id \$	$FT' E' \$$	
id \$	id $T' E' \$$	$F \rightarrow \text{id}$
\$	$T' E' \$$	$T' \rightarrow \epsilon$
\$	$E' \$$	$E' \rightarrow \epsilon$
\$	$\$$	



Example: Top-Down Predictive Parsing

INPUT	STACK	OUTPUT
id + id * id \$	$E \$$	
id + id * id \$	$TE' \$$	$E \rightarrow TE'$
id + id * id \$	$FT' E' \$$	$T \rightarrow FT'$
id + id * id \$	id $T' E' \$$	$F \rightarrow \text{id}$
+ id * id \$	$T' E' \$$	
+ id * id \$	$T' E' \$$	$T' \rightarrow \epsilon$
+ id * id \$	$E' \$$	$E' \rightarrow +TE'$
+ id * id \$	+ $TE' \$$	
id * id \$	$TE' \$$	
id * id \$	$FT' E' \$$	$T \rightarrow FT'$
id * id \$	id $T' E' \$$	$F \rightarrow \text{id}$
* id \$	$T' E' \$$	
* id \$	* $FT' E' \$$	$T' \rightarrow *FT'$
id \$	$FT' E' \$$	
id \$	id $T' E' \$$	$F \rightarrow \text{id}$
\$	$T' E' \$$	$T' \rightarrow \epsilon$
\$	$E' \$$	$E' \rightarrow \epsilon$
\$	$\$$	



Errors

- ▶ Lexical.
- ▶ Syntactic.
- ▶ Semantic.
- ▶ Logical.

Detect early? Recover? Avoid repetition?

- ▶ Panic! (for a while, “skip to ;”)
- ▶ Phrase-level.
- ▶ Error Productions.
- ▶ Global Correction.



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- 1 Context
- 2 Syntax Definitions
- 3 Parsers
- 4 Context-Free Grammars
- 5 Top-Down Parsing
 - FIRST and FOLLOW
 - Predictive Parsing
- 6 HACS as a Parser Generator**



HACS Encoding

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow (E) \mid \mathbf{id} \end{aligned} \tag{4.1}$$

```
sort E | [[⟨E⟩ + ⟨T⟩]] | [[⟨T⟩]] ;  
sort T | [[⟨T⟩ * ⟨F⟩]] | [[⟨F⟩]] ;  
sort F | [[ ( ⟨E⟩ ) ]] | [[⟨Id⟩]] ;
```



HACS Encoding

$$\begin{aligned}
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid \mathbf{id}
 \end{aligned}
 \tag{4.1}$$

```

sort E | [[⟨E⟩ + ⟨T⟩]] | [[⟨T⟩]] ;
sort T | [[⟨T⟩ * ⟨F⟩]] | [[⟨F⟩]] ;
sort F | [[ ( ⟨E⟩ ) ]] | [[⟨Id⟩]] ;
  
```



HACS Encoding Details

```
sort E | [[⟨E⟩ + ⟨T⟩]] | [[⟨T⟩]] ;  
sort T | [[⟨T⟩ * ⟨F⟩]] | [[⟨F⟩]] ;  
sort F | [[ ( ⟨E⟩ ) ]] | [[⟨Id⟩]] ;
```

- ▶ Every choice (= production) introduced by “|”
- ▶ Explicit “[[]]” for concrete syntax
- ▶ Explicit “⟨ ⟩” for nonterminal and terminal references
- ▶ sort means kind of syntax tree node
- ▶ Direct left recursion is permitted



HACS Encoding Details

```
sort E | [[⟨E⟩ + ⟨T⟩]] | [[⟨T⟩]] ;  
sort T | [[⟨T⟩ * ⟨F⟩]] | [[⟨F⟩]] ;  
sort F | [[ ( ⟨E⟩ ) ]] | [[⟨Id⟩]] ;
```

- ▶ Every choice (= production) introduced by “|”
- ▶ Explicit “[[]]” for concrete syntax
- ▶ Explicit “⟨ ⟩” for nonterminal and terminal references
- ▶ sort means kind of syntax tree node
- ▶ Direct left recursion is permitted



HACS Encoding with Precedence & Associativity

```

sort E | [[⟨E⟩ + ⟨T⟩]] | [[⟨T⟩]] ;
sort T | [[⟨T⟩ * ⟨F⟩]] | [[⟨F⟩]] ;
sort F | [[ ( ⟨E⟩ ) ]] | [[⟨Id⟩]] ;

```

```

sort E | [[⟨E@1⟩ + ⟨E@2⟩]]@1
          | [[⟨E@2⟩ * ⟨E@3⟩]]@2
          | sugar [[(⟨E#1@1⟩)]]@3 →E#1 | [[⟨Id⟩]]@3 ;

```



HACS Encoding with Precedence & Associativity

```
sort E | [[⟨E⟩ + ⟨T⟩]] | [[⟨T⟩]] ;
```

```
sort T | [[⟨T⟩ * ⟨F⟩]] | [[⟨F⟩]] ;
```

```
sort F | [[ ( ⟨E⟩ ) ]] | [[⟨Id⟩]] ;
```

```
sort E | [[⟨E@1⟩ + ⟨E@2⟩]]@1
```

```
    | [[⟨E@2⟩ * ⟨E@3⟩]]@2
```

```
    | sugar [[(⟨E#1@1⟩)]@3 →E#1 | [[⟨Id⟩]]@3 ;
```



HACS Encoding with Precedence & Associativity Details

```

sort E | [[⟨E@1⟩ + ⟨E@2⟩]]@1
          | [[⟨E@2⟩ * ⟨E@3⟩]]@2
          | sugar [[(⟨E#1@1⟩)]]@3 →E#1 | [[⟨Id⟩]]@3 ;
  
```

- ▶ Single sort captures abstract syntax tree (AST)
- ▶ Precedence marker "@"*n* for precedence and associativity.
- ▶ **sugar** specifies concrete-only syntax.



HACS Encoding with Precedence & Associativity Details

```

sort E | [[⟨E@1⟩ + ⟨E@2⟩]]@1
          | [[⟨E@2⟩ * ⟨E@3⟩]]@2
          | sugar [[(⟨E#1@1⟩)]]@3 →E#1 | [[⟨Id⟩]]@3 ;
  
```

- ▶ Single **sort** captures **abstract syntax tree** (AST)
- ▶ **Precedence** marker "@"*n* for **precedence** and **associativity**.
- ▶ **sugar** specifies **concrete-only** syntax.



HACS Expression Parser

```

1  sort Stat | [ [ <Name> := <Exp> ; ] | [ { <Stat*> } ] ];
2
3  sort Exp | [ [ <Exp@1> + <Exp@2> ]@1
4            | [ [ <Exp@2> * <Exp@3> ]@2
5            | [ [ <Int> ]@3
6            | [ [ <Float> ]@3
7            | [ [ <Name> ]@3
8            | sugar [ ( <Exp#> ) ]@3 → Exp# ;
9
10 sort Name | symbol [ [ <Id> ] ];

```



HACS Summary

- ▶ Unusual brackets $\llbracket \rrbracket \langle \rangle$...
- ▶ Automatically does **immediate left recursion elimination**.
- ▶ **sort** covers multiple non-terminals.
- ▶ Precedence & associativity handled automatically (the @ markers).
- ▶ **sugar**.
- ▶ (symbol for names – that's next week.)

Oh, and it is just a front-end for JavaCC and the CRSX rewrite engine.



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- ▶ Unusual brackets `[[[⟨⟩]`...
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Oh, and it is just a front-end for JavaCC and the CRSX rewrite engine.



Project Milestone 1

Project Milestone 1 Released!
Due 10/6 (Monday) 8am



Questions?

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