CSCI-GA.2250-001

Operating Systems

File Systems II

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Registers in CPU

Level 1
Cache (sRAMs)

Level 2
Main Memory (dRAMs)

Level 3
Disk Storage (Solid-state, Magnetic)

Level 4
Tape Units (Magnetic Tapes, Optical Disks)

Increase in capacity and access time

Capacity

Increase in cost per bit
Abstracted by OS as files.
A Conventional Hard Disk (Magnetic) Structure
Hard Disk (Magnetic) Architecture

- **Surface** = group of tracks
- **Track** = group of sectors
- **Sector** = group of bytes
- **Cylinder**: several tracks on corresponding surfaces
This Lecture

• How files and directories are stored?
• How disk space is managed?
• How to make everything work efficiently and reliably?
File System Layout

- Stored on disks
- Disks can have partitions with different file systems
- Sector 0 of the disk called **MBR** (*Master Boot Record*)
- MBR used to boot the computer
- The end of MBR contains the partition table

![Diagram of file system layout]

MBR | Partition table | Disk partition
---|-----------------|-----------------
MBR and Partition Table

- Gives the starting and ending **addresses** of each partition.
- One partition in the table is marked as **active**.
- When the computer is booted, BIOS executes MBR.
- **MBR** finds the active partition and reads its first block (called **boot block**) and executes it.
- Boot block loads the **OS** contained in that partition.

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```
<table>
<thead>
<tr>
<th>MBR</th>
<th>Partition table</th>
<th>Entire disk</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<tr>
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<td></td>
</tr>
</tbody>
</table>
```

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Disk partition
Partition table

Disk partition

MBR

Partition

Boot block  Superblock  Free space mgmt  I-nodes  Root dir  Files and directories
Contains the bootable code (e.g. operating system)
• Contains all key parameters about the file system
  • (e.g. filesystem type (magic, #-blocks, ..)
  • Is read into memory when computer is booted or file system is touched.
Bitmap or linked list
An array of data structures, one per file, telling about the file
Root Directory .. Think ‘/’ (as in ‘/home/user/franke’)
Which disk blocks go with which files?
Implementing Files: Contiguous Allocation

- Store each file as a contiguous run of disk blocks

![Diagram of file allocation before and after deletion of files D and F.]

After files D and F were deleted
Implementing Files: Contiguous Allocation

+ Simple to implement:
  Need to remember starting block address of the file and number of blocks
+ Read performance is excellent
  The entire file can be read from disk in a single operation.

- Disk becomes fragmented
- Need to know the final size of a file when the file is created
Implementing Files: Linked List Allocation

- Keep a file as a linked list of disk blocks
- The first word of each block is used as a pointer to the next one.
- The rest of the block is for data.
Implementing Files: Linked List Allocation

+ No (external) fragmentation
+ The directory entry needs just to store the disk address of the first block.

- Random access is extremely slow.
- The amount of data storage is no longer a power of two, because the pointer takes up a few bytes.
Implementing Files:
Linked List Allocation Using a Table in Memory

- Take the pointer word from each block and put it in a table in memory.

- This table is called: File Allocation Table (FAT)
- Directory entry needs to keep a single integer: (the start block number)

Main drawback: Does not scale to large disks because the table needs to be in memory all the time.

FAT12, FAT16, FAT32
## Limits of FAT

- **Limits:**
  

<table>
<thead>
<tr>
<th></th>
<th></th>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>FAT12</td>
<td>8.3 (255 UTF-16 code units with LFN[^4])</td>
<td>Any byte except for values 0-31, 127 (DEL) and: &quot;/*:&lt;&gt;?</td>
<td>|+;= [] (low case a-z are stored as A-Z). With VFAT LFN any Unicode except NUL[^14][^15]</td>
<td>No limit defined[^16]</td>
<td>32 MB (256 MB)</td>
</tr>
<tr>
<td>FAT16</td>
<td>8.3 (255 UTF-16 code units with LFN[^4])</td>
<td>Any byte except for values 0-31, 127 (DEL) and: &quot;/*:&lt;&gt;?</td>
<td>|+;= [] (low case a-z are stored as A-Z). With VFAT LFN any Unicode except NUL[^14][^15]</td>
<td>No limit defined[^16]</td>
<td>2 GB (4 GB)</td>
</tr>
<tr>
<td>FAT32</td>
<td>8.3 (255 UTF-16 code units with LFN[^4])</td>
<td>Any byte except for values 0-31, 127 (DEL) and: &quot;/*:&lt;&gt;?</td>
<td>|+;= [] (low case a-z are stored as A-Z). With VFAT LFN any Unicode except NUL[^14][^15]</td>
<td>No limit defined[^16]</td>
<td>4 GB (256 GB[^22])</td>
</tr>
</tbody>
</table>


ISO 9660:1988

| Level 1: 8.3, Level 2 & 3: ~ 180 | Depends on Level[^51] | ~ 180 bytes? | 4 GB (Level 1 & 2) to 8 TB (Level 3)[^52] | 8 TB[^53] |

| ZFS         | 255 bytes | Any Unicode except NUL | No limit defined[^16] | 16 EB | 16 EB |
Implementing Files: I-nodes

- A data structure associated with each file
- Lists the attributes and disk addresses of the file blocks
- Need only be in memory when the corresponding file is open
- Aka FILE META DATA
Implementing Files: I-nodes

Properties:
- Small file access fast
- Everything a block
- Huge files can be presented
Implementing Directories

Example:
- Disk address of the file (in contiguous scheme)
- Number of the first block (in linked-list schemes)
- Number of the i-node,
Implementing Directories

• Where the attributes should be stored?
  – Directly in the directory entry
  – In the i-nodes
## Implementing Directories: Variable-Length Filenames

<table>
<thead>
<tr>
<th>File 1 entry length</th>
<th>File 1 attributes</th>
</tr>
</thead>
<tbody>
<tr>
<td>p</td>
<td>r</td>
</tr>
<tr>
<td>e</td>
<td>c</td>
</tr>
<tr>
<td>b</td>
<td>u</td>
</tr>
<tr>
<td>e</td>
<td>t</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>File 2 entry length</th>
<th>File 2 attributes</th>
</tr>
</thead>
<tbody>
<tr>
<td>p</td>
<td>r</td>
</tr>
<tr>
<td>e</td>
<td>r</td>
</tr>
<tr>
<td>o</td>
<td>n</td>
</tr>
<tr>
<td>l</td>
<td>n</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>File 3 entry length</th>
<th>File 3 attributes</th>
</tr>
</thead>
<tbody>
<tr>
<td>f</td>
<td>o</td>
</tr>
</tbody>
</table>

### Disadvantages:
- Entries are no longer of the same length.
- Variable size gaps when files are removed
- A big directory may span several pages which may lead to page faults.
Implementing Directories: Variable-Length Filenames

- Keep directory entries fixed length
- Keep filenames in a heap at the end of the directory.
- Page faults can still occur while accessing filenames.
Implementing Directories

• For extremely long directories, linear search can be slow.
  – Hashing can be used
  – Caching can be used
Speeding up

- Continuously going to the disk is expensive, e.g. "/home/franke/nyu/best/class/ever"
- Root -> home -> franke -> nyu -> best -> class -> ever multiple dentry (directories need to be read)
- **DENTRY cache**
Shared Files

• Appear simultaneously in different directories
Shared Files: Method 1

- Disk blocks are not listed in directories but in a data structure associated with the file itself (e.g. i-nodes in UNIX).
- Directories just point to that data structure.
- This approach is called: static linking
Shared Files: Method 2

• Have the system create a new file (of type LINK). This new file contains the path name of the file to which it is linked.

• This approach is called: **symbolic linking**

• The main drawback is the extra overhead.
Log-Structured File Systems

• Disk seek time does not improve as fast as relative to CPU speed, disk capacity, and memory capacity.

• Disk caches can satisfy most requests

SO: In the future, most disk accesses will be writes
Log-Structured File Systems

- Structure the entire file as a log
- Periodically (or when in need):
  - All pending writes buffered in memory are collected into a single segment
  - The segment is written in disk in contiguous space at the end of the log
- i-nodes now scattered over the disk
- An i-node map, indexed by i-number, is maintained.
- The map is kept on disk and is also cached.
- A cleaner thread scans log to compact it and discard unneeded information.
- E.g. file created then deleted.

- Disk is circular buffer, where writer add new segments at front and cleaner thread removing old from the back.
Journaling File System

• Keep a log of what the file system is going to do before it does it.
• Commit log to disk (now we have a record)
• If the system crashes before it is done, then after rebooting the log is checked and the job is finished.
• Example: Microsoft NTFS, Linux ext3
• The logged operations must be idempotent (i.e. can be repeated as often as necessary without harm).
• Consider “rm /home/franke/nofreelunch”
  – Remove the file from its directory
  – Release the i-node
  – Release all the disk blocks to the free block pool
Virtual File Systems

- Integrating multiple file systems into an orderly structure.

![Diagram of Virtual File Systems]

- User process
- POSIX
- Virtual file system
- FS 1
- FS 2
- FS 3
- VFS interface
- Buffer cache

mount
Disk Space Management

• All file systems chop files up into fixed-size blocks that need not be adjacent.
• Block size:
  – Too large -> we waste space
  – Too small -> we waste time
Access time for a block is completely dominated by the seek time and rotational delay. So ... The more data are fetched the better.
Disk Space Management: Keeping Track of Free Blocks

• **Method 1:** Linked list of disk blocks, with each block holding as many free disk block numbers as possible.

• **Method 2:** Using a bitmap
Disk Space Management: Keeping Track of Free Blocks

Free blocks are holding the free list.
Disk Space Management: Disk Quotas

• When a user opens file
  – The attributes and disk addresses are located
  – They are put into an open file table in memory
  – A second table contains the quota record for every user with a currently open file.
Disk Space Management: Disk Quotas
File System Backups

• It is usually desirable to back up only specific directories and everything in them than the entire file system.
• Since immense amounts of data are typically dumped, it may be desirable to compress them.
• It is difficult to perform a backup on an active file system.
• Incremental dump: backup only the files that have been modified from last full-backup
File System Backups: Physical Dump

- Starts at block 0 of the disk
- Writes all the disk blocks onto the output tape (or any other type of storage) in order.
- Stops when it has copied the last one.

+ Simplicity and great speed
- Inability to skip selected directories and restore individual files.
File System Backups: Logical Dump

• Starts at one or more specified directories
• Recursively dumps all files and directories found there and have changed since some given base date.
File System Consistency

• Two kinds of consistency checks
  – Blocks
  – Files
File System Consistency: Blocks

• Build two tables, each one contains a counter for each block, initially 0
• Table 1: How many times each block is present in a file
• Table 2: How many times a block is present in the free list
• A consistent file system: each block has 1 either in the first or second table
File System Consistency: Blocks

(a) 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15
   1 1 0 1 0 1 1 1 1 0 0 1 1 1 0 0
   0 0 1 0 1 0 0 0 0 1 1 0 0 0 1 1

(b) 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15
   1 1 0 1 0 1 1 1 1 0 0 1 1 1 0 0
   0 0 0 0 1 0 0 0 0 1 1 0 0 0 1 1

(c) 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15
   1 1 0 1 0 1 1 1 1 0 0 1 1 1 0 0
   0 0 1 0 2 0 0 0 0 1 1 0 0 0 1 1

(d) 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15
   1 1 0 1 0 2 1 1 1 0 0 1 1 1 0 0
   0 0 1 0 1 0 0 0 0 1 1 0 0 0 1 1
File System Consistency: Blocks

- Add the block to the free list

Rebuild the free list: Allocate a free block, make a copy of that block and give it to the other file.
File System Consistency: Files

- Table of counters; a counter per file
- Counts the number of that file’s usage count.
- Compares these numbers in the table with the counts in the i-node of the file itself.
- Both counts must agree.
File System Consistency: Files

- Two inconsistencies:
  - count of i-node > count in table
  - count of i-node < count in table
- Fix: set the count in i-node to the correct value
File System Performance

- **Caching:**
  - *Block cache*: a collection of blocks kept in memory for performance reasons

- **Block Read Ahead:**
  - Get blocks into the cache before they are needed

- **Reducing Disk Arm Motion:**
  - Putting blocks that are likely to be accessed in sequence close to each other, preferably in the same cylinder

- **Defragmentation**
Conclusions

• Files and file system are major parts of an OS.
• There are different ways of organizing files, directories, and their attributes.
• Files and File system are the OS way of abstracting storage.